


# NP-Completeness

# Preliminaries

- **Polynomial-Time algorithm:**  
On input size  $n$  the worst-case running time is  $O(n^k)$  for some constant  $k$ .
- **Turing's Halting problem:**  
Not solvable by any computers
- **Tractability:** Synonymous to polynomial-time.

# NP- Complete Problems

(an interesting class)

- **No polynomial time** algorithm has yet been discovered for an NP-Complete problem
- No one has been able to prove that **no polynomial time algo can exist for any one of them**
- $P \neq NP$   most perplexing open research problem

# Polynomial-time Solvable VS NP-Completeness

## Polynomial-time Solvable

- Shortest Path
- Euler Tour
- 2-CNF

## NP-Completeness

- Longest Simple Path  
(NP-Complete even if all edge weight are 1)
- Hamiltonian Cycle
- 3-CNF

# Continued.

- P consists of problems solvable in polynomial time.
- NP consists problems that are verifiable in polynomial time
- If we were given a certificate of a solution , we can verify whether it is correct in polynomial time
- $P \subseteq NP$  ?
- (Open question whether P is a proper subset of NP)

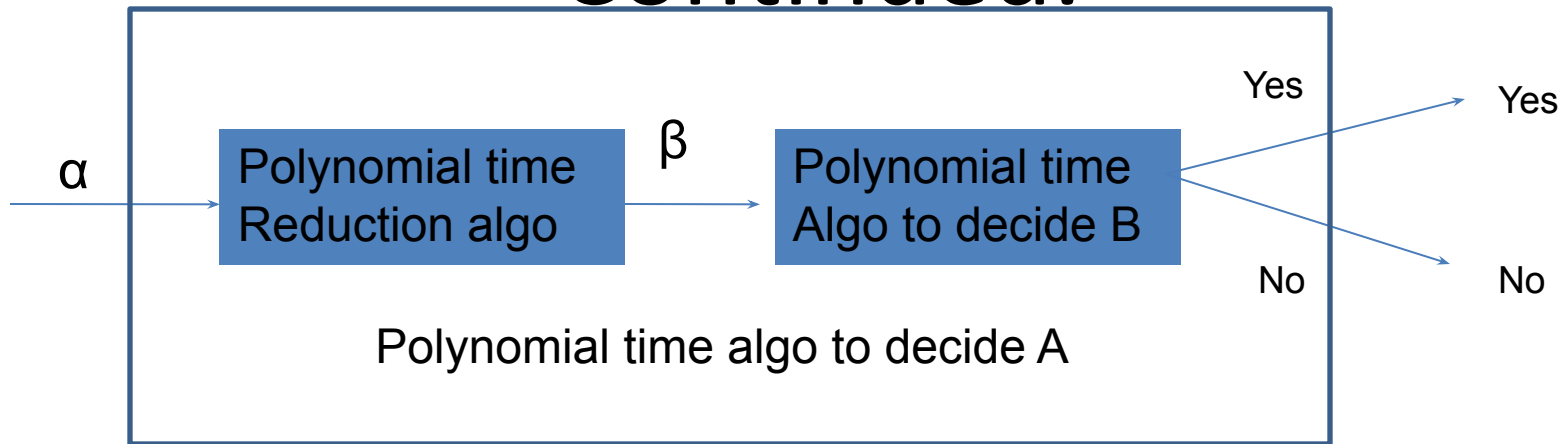
# NP-Complete Definition

- A problem  $P'$  is in NP and it is as hard as any problem in NP
- If any NP-Complete problem has a polynomial time algorithm, then every one will have one.

# Optimization VS Decision Problem

- Given an instance  $\alpha$  of problem A, use a polynomial time reduction algorithm to transform it to an instance  $\beta$  of B.
- Run the polynomial time decision algorithm for B on the instance  $\beta$
- Use the answer for  $\beta$  as the answer for  $\alpha$

# Continued.



- By reducing solving problem A to solving problem B, we use the easiness of 'B' to prove easiness of 'A'.
- The same technique can now be used to show the same hardness of B if we already know the hardness of A.



# Continued.

- We will prove that B is NP-Complete by using the result that A is NP-complete.
- First NP-Complete problem is required.
- 3-SAT was first proved to be NP-Complete.

# Formal Language Framework

- An alphabet  $\Sigma$  is a finite set of symbols
- A language  $L$  over  $\Sigma$  is any set of strings made up of symbols from  $\Sigma$ .
- Example:  $\Sigma = \{0, 1\}$ ,  $L = \{10, 11, 101, 111, 1011, \dots\}$  is the language representing the binary strings for all prime numbers
- Union and Intersection are defined on language

# Continued.

- Complement of  $L$  is  $L' = \Sigma^* - L$
- Concatenation of 2 languages  $L_1$  and  $L_2$  is the language  $L = \{X_1X_2 : X_1 \in L_1 \text{ and } X_2 \in L_2\}$
- Closure or Kleen star of a language  $L$  is the language  $L^* = \{\epsilon\} \cup L \cup L^2 \cup L^3 \cup \dots$   
where  $L^k$  is the language obtained by concatenating  $L$  to itself  $k$  times.

- The set of instances of any decision problem  $Q$  is simply the set  $\Sigma^*$ , where  $\Sigma = \{0, 1\}$  since  $Q$  is entirely characterized by those problem instances that produce a 1 / (yes) answer, we can view  $Q$  as a language  $L$  over  $\Sigma = \{0, 1\}$ , where  $L = \{x \in \Sigma^*: Q(x) = 1\}$
- We say an algo  $A$  accepts a string  $x \in \{0, 1\}^*$ , if given input  $x$ , the output of the algorithm  $A(x)$  is 1.  $L = \{x \in \{0, 1\}^*: A(x) = 1\}$
- A language  $L$  is decided by an algorithm  $A$  if every binary string in  $L$  is accepted by  $A$  and every binary string not in  $L$  is rejected by  $A$

# A tentative definition of complexity

## Class P

- $P = \{L \subseteq \{0, 1\}^*: \exists \text{ an algo. } A \text{ that decides } L \text{ in polynomial time}\}.$

# Verification Algorithm

- Two argument algorithm  $A$ , Where one argument is an ordinary input string  $x$  and the other is a binary string  $y$  called a certificate.
- A 2-argument algorithm  $A$  verifies an input string  $x$  if there exists a certificate  $y$  such that  $A(x, y) = 1$ .

# Complexity class NP

- The class of languages that can be verified by a polynomial time algo.
- More precisely a language  $L$  belongs to NP if  $\exists$  a two input poly-time algo  $A$  and a constant  $c$  such that
$$L = \{x \in \{0, 1\}^*: \exists \text{ certificate } y \text{ with } |y| = O(|x|^c) \text{ such that } A(x, y) = 1.\}$$
- $L_1 \leq_p L_2 \implies L_1$  is not more than a polynomial factor harder than  $L_2$

# NP Complete language


- A language  $L \subseteq \{0, 1\}^*$  is NP-complete if
  - $L \in \text{NP}$ , and
  - $L' \leq_p L$  for every  $L' \in \text{NP}$
  - If only condition 2 is satisfied then  $L$  is NP-hard



# Optimization Problem

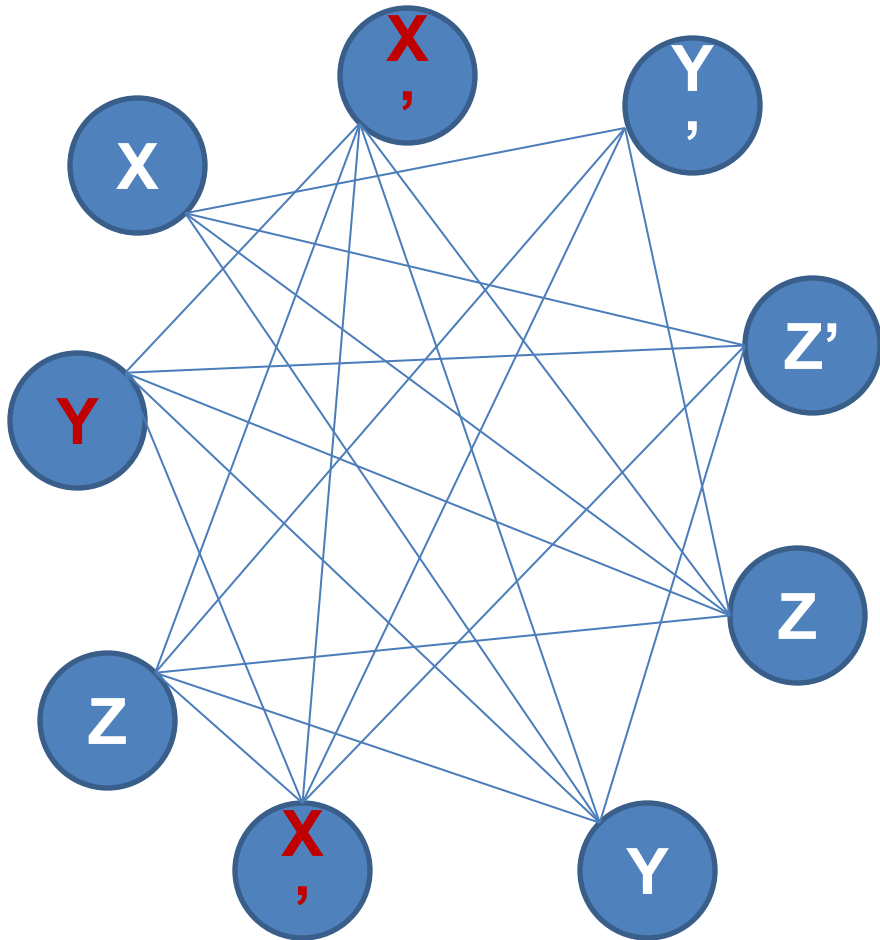
- Find the maximum sized clique from a graph
- The corresponding decision problem called clique decision problem (CDP) is NP-Complete
- CNF satisfiability is NP-Complete by Cook-Levin theorem.
- Karp reduced CNF-Sat to CDP.

# Construction

- CNF formula( $f$ )  Graph ( $G$ )
- It has a vertex for every pair  $(v, c)$ .
  - $v$  is a variable or its negation ( $v'$ ),
  - $c$  is a clause in the formula  $f$  that contains  $v$ .
- Edges are there between  $(v, c)$  and  $(u, d)$  if ,  $c \neq d$  and  $u \neq v'$
- Means: between any 2 literals in different clauses, who are not each other's negation.

# Example

$$(x \cup y \cup z) \wedge (x' \cup y' \cup z') \wedge (x' \cup y \cup z)$$



The 3 highlighted vertices give a 3-clique and correspond to a satisfying assignment.

# Continued.

- If  $k$  denotes the no. of clauses in the CNF formula ( $f$ ), then the  $k$ -vertex clique in the graph represents ways of assigning truth values to some of its variables in order to satisfy the formula.
- $f$  is satisfiable iff a  $k$ -clique exists.