ITC 5104 Database Design and SQL

LECTURE 11 - NORMALIZATION

Objectives

Why relations should be normalized

The meaning of functional dependence and its relationship to keys

How inference rules for functional dependencies can be used

The definition of first normal form and how to achieve it

The meaning of full functional dependency

The definition of second normal form and how to achieve it

The meaning of transitive dependency

Objectives

The definition of third normal form and how to achieve it When to stop the normalization process

Objectives of Normalization

Basic objective of logical modeling is to develop a "good" description of the data, its relationships and constraints

For the relational model this means we must identify a suitable set of relations

This is a difficult task since there are many options for the designer to consider

This chapter considers the logical design process generally called normalization

Objectives of Normalization

Normalization

- Process for evaluating and correcting table structures to minimize data redundancies
 - Reduces data anomalies
- Series of stages called normal forms:
 - First normal form (1NF)
 - Second normal form (2NF)
 - Third normal form (3NF)

Objectives of Normalization

Normalization (continued)

- 2NF is better than 1NF; 3NF is better than 2NF
- For most business database design purposes, 3NF is as high as needed in normalization
- Highest level of normalization is not always most desirable

Denormalization produces a lower normal form

Increased performance but greater data redundancy

Normalization

Example: company that manages building projects

- Charges its clients by billing hours spent on each contract
- Hourly billing rate is dependent on employee's position
- Periodically, report is generated that contains information such as displayed on the next slide

Normalization

PROJ_NUM	PROJ_NAME	EMP_NUM	EMP_NAME	JOB_CLASS	CHG_HOUR	HOURS
15	Evergreen	103	June E. Arbough	Elect. Engineer	84.50	23.8
		101	John G. News	Database Designer	105.00	19.4
		105	Alice K. Johnson *	Database Designer	105.00	35.7
		106	William Smithfield	Programmer	35.75	12.6
		102	David H. Senior	Systems Analyst	96.75	23.8
18	Amber Wave	114	Annelise Jones	Applications Designer	48.10	24.6
		118	James J. Frommer	General Support	18.36	45.3
20		104	Anne K. Ramoras *	Systems Analyst	96.75	32.4
		112	Darlene M. Smithson	DSS Analyst	45.95	44.0
22	Rolling Tide	105	Alice K. Johnson	Database Designer	105.00	64.7
2		104	Anne K. Ramoras	Systems Analyst	96.75	48.4
		113	Delbert K. Joenbrood *	Applications Designer	48.10	23.6
		111	Geoff B. Wabash	Clerical Support	26.87	22.0
		106	William Smithfield	Programmer	35.75	12.8
25	Starflight	107	Maria D. Alonzo	Programmer	45.95 ner 105.00 st 96.75 signer 48.10 26.87 35.75 35.75 st 96.75 ner 105.00	24.6
		115	Travis B. Bawangi	Systems Analyst	96.75	45.8
		101	John G. News *	Database Designer	105.00	56.3
		114	Annelise Jones	Applications Designer	48.10	33.1
		108	Ralph B. Washington	Systems Analyst	96.75	23.6
		118	James J. Frommer	General Support	18.36	30.5
		112	Darlene M. Smithson	DSS Analyst	45.95	41.4

Normalization

PROJECT	PROJECT	EMPLOYEE	EMPLOYEE	JOB	CHARGE/	HOURS	TOTAL
	NAME	NUMBER	NAME	CLASS	HOUR	BILLED	CHARGE
15	Evergreen	103	June E. Arbough	Elec. Engineer	\$ 85.50	23.8	\$ 2,034.90
		101	John G. News	Database Designer	\$105.00	19.4	\$ 2,037.00
		105	Alice K. Johnson *	Database Designer	\$105.00	35.7	\$ 3,748.50
		106	William Smithfield	Programmer	\$ 35.75	12.6	\$ 450.45
		102	David H. Senior	Systems Analyst	\$ 96.75	23.8	\$ 2,302.65
				Subtotal			\$10,573.50
18	Amber Wave	114	Annelise Jones	Applications Designer	\$ 48.10		\$ 1,183.26
		118	James J. Frommer	General Support	\$ 18.36	45.3	\$ 831.71
		104	Anne K. Ramoras *	Systems Analyst	\$ 96.75	32.4	\$ 3,134.70
		112	Darlene M. Smithson	DSS Analyst	\$ 45.95	45.0	3.8 \$ 2,034.90 9.4 \$ 2,037.00 5.7 \$ 3,748.50 2.6 \$ 450.45 3.8 \$ 2,302.65 \$ 10,573.50 5.6 \$ 1,183.26 5.3 \$ 831.71 2.4 \$ 3,134.70 5.0 \$ 2,067.75 \$ 7,265.52 5.7 \$ 6,998.50 3.4 \$ 4,682.70 3.6 \$ 1,135.16 2.0 \$ 591.14 2.8 \$ 457.60 \$ 13,765.10 5.6 \$ 915.20 5.8 \$ 4,431.15 5.3 \$ 5,911.50 3.1 \$ 1,592.11 3.6 \$ 2,283.30 0.5 \$ 559.98 1.4 \$ 1,902.33
				Subtotal			\$ 7,265.52
22	Rolling Tide	105	Alice K. Johnson	Database Designer	\$105.00	65.7	\$ 6,998.50
2	****	104	Anne K. Ramoras	Systems Analyst	\$ 96.75	48.4	\$ 4,682.70
		113	Delbert K. Joenbrood	Applications Designer	\$ 48.10	23.6	\$ 1,135.16
		111	Geoff B. Wabash	Clerical Support	\$ 26.87	22.0	\$ 591.14
		106	William Smithfield	Programmer	\$ 35.75	12.8	\$ 457.60
				Subtotal			\$13,765.10
22	Starflight	107	Maria D. Alonzo	Programmer	\$ 35.75	25.6	\$ 915.20
		115	Travis B. Bawangi	Systems Analyst	\$ 96.75	45.8	\$ 4,431.15
		101	John G. News *	Database Designer	\$105.00	56.3	\$ 5,911.50
		114	Annelise Jones	Applications Designer	\$ 48.10	33.1	\$ 1,592.11
		108	Ralph B. Washington	Systems Analyst	\$ 96.75	23.6	\$ 2,283.30
		118	James J. Frommer	General Support	\$ 18.36	30.5	\$ 559.98
		112	Darlene M. Smithson	DSS Analyst	\$ 45.95	41.4	\$ 1,902.33
				Subtotal			\$17,595.57
				Total			\$49,199.69

Normalization Process

Each table represents a single subject

No data item will be unnecessarily stored in more than one table

All nonprime attributes in a table are dependent on the primary key

Each table is void of insertion, update, deletion anomalies

Normalization Process

NORMAL FORM	CHARACTERISTIC	SECTION
First normal form (1NF)	Table format, no repeating groups, and PK identified	6.3.1
Second normal form (2NF)	1NF and no partial dependencies	6.3.2
Third normal form (3NF)	2NF and no transitive dependencies	6.3.3
Boyce-Codd normal form (BCNF)	Every determinant is a candidate key (special case of 3NF)	6.6.1
Fourth normal form (4NF)	3NF and no independent multivalued dependencies	6.6.2

Normalization Process

Objective of normalization is to ensure that all tables are in at least 3NF

Higher forms are not likely to be encountered in business environment

Normalization works one relation at a time

Progressively breaks table into new set of relations based on identified dependencies

Functional Dependence

CONCEPT	DEFINITION
Functional dependence	The attribute <i>B</i> is fully functionally dependent on the attribute <i>A</i> if each value of <i>A</i> determines one and only one value of <i>B</i> . Example: PROJ_NUM → PROJ_NAME (read as "PROJ_NUM functionally determines PROJ_NAME") In this case, the attribute PROJ_NUM is known as the "determinant" attribute, and the attribute PROJ_NAME is known as the "dependent" attribute.
Functional dependence (generalized definition)	Attribute A determines attribute B (that is, B is functionally dependent on A) if all of the rows in the table that agree in value for attribute A also agree in value for attribute B.
Fully functional dependence (composite key)	If attribute <i>B</i> is functionally dependent on a composite key <i>A</i> but not on any subset of that composite key, the attribute <i>B</i> is fully functionally dependent on <i>A</i> .

April 4, 2019

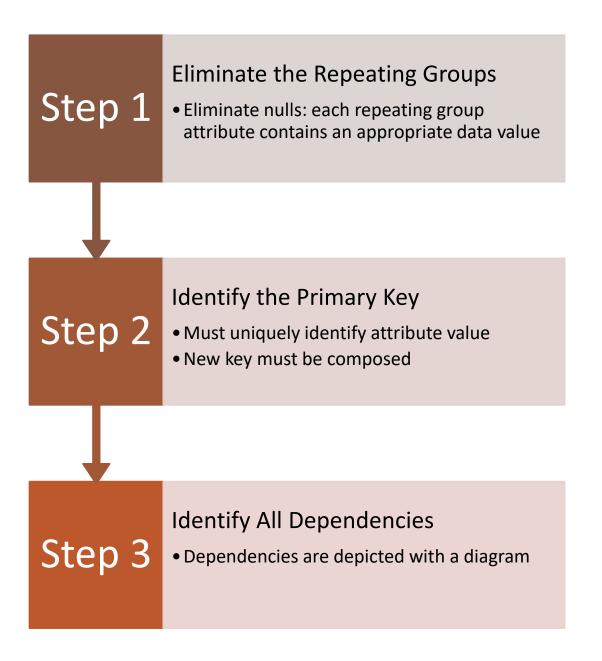
Repeating group

 Group of multiple entries of same type can exist for any single key attribute occurrence

Relational table must not contain repeating groups

Normalizing table structure will reduce data redundancies

Normalization is three-step procedure



PROJ_NUM	PROJ_NAME	EMP_NUM	EMP_NAME	JOB_CLASS	CHG_HOUR	HOURS
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25	Starflight	108	Ralph B. Washington	Systems Analyst	96.75	23.8
25	Starflight	118	James J. Frommer	General Support	18.36	30.5
25	Starflight	112	Darlene M. Smithson	DSS Analyst	45.95	41.4

Looking at the data given on the previous slide we need to find a primary key

What is a primary key?

This we have discussed previously

We need to also define the dependencies in the diagram on the previous slide

What depends on the primary key

Also are there other values that depend on only a portion of the primary key

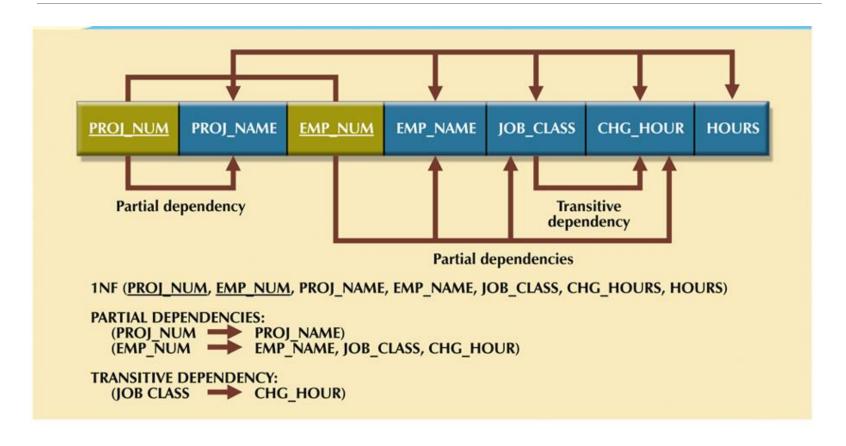
Create a Dependency Diagramto show these dependent relationships

Dependency Diagram

Dependency diagram:

- Depicts all dependencies found within given table structure
- Helpful in getting bird's-eye view of all relationships among table's attributes
- Makes it less likely that you will overlook an important dependency

Dependency Diagram



First normal form describes tabular format:

- All key attributes are defined
- No repeating groups in the table
- All attributes are dependent on primary key

All relational tables satisfy 1NF requirements

Some tables contain partial dependencies

- Dependencies are based on part of the primary key
- Should be used with caution

Conversion to Second Normal Form

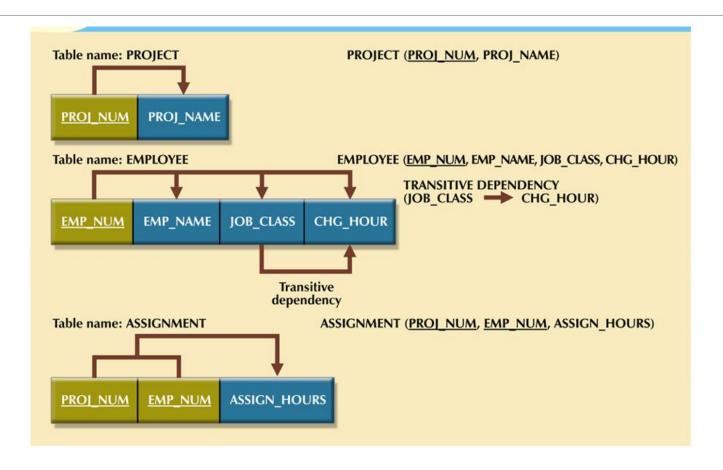
Step 1: Make New Tables to Eliminate Partial Dependencies

- Write each key component on separate line, then write original (composite) key on last line
- Each component will become key in new table

Step 2: Assign Corresponding Dependent Attributes

- Determine attributes that are dependent on other attributes
- At this point, most anomalies have been eliminated

Conversion to Second Normal Form



Conversion to Second Normal Form

Table is in second normal form (2NF) when:

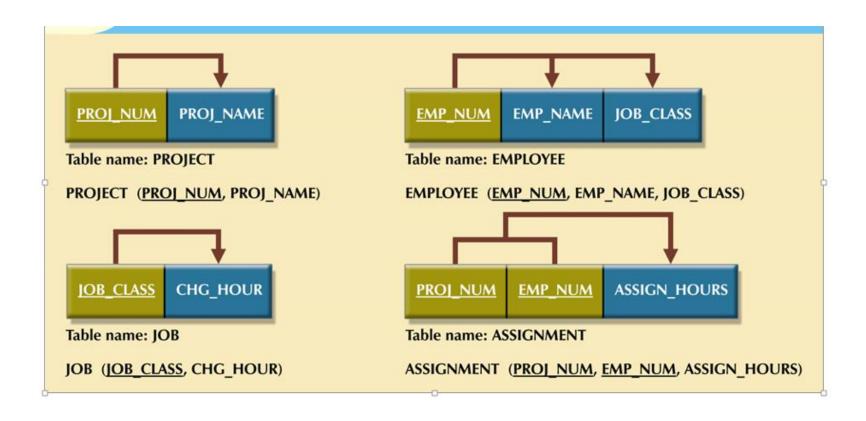
- It is in 1NF and
- It includes no partial dependencies:
 - No attribute is dependent on only portion of primary key

Step 1: Make New Tables to Eliminate Transitive Dependencies

- For every transitive dependency, write its determinant as PK for new table
- Determinant: any attribute whose value determines other values within a row

Step 2: Reassign Corresponding Dependent Attributes

- Identify attributes dependent on each determinant identified in Step 1
 - Identify dependency
- Name table to reflect its contents and function



A table is in third normal form (3NF) when both of the following are true:

- It is in 2NF
- It contains no transitive dependencies

Improve the Design

Table structures should be cleaned up to eliminate initial partial and transitive dependencies

Normalization cannot, by itself, be relied on to make good designs

Valuable because it helps eliminate data redundancies

Issues to address, in order, to produce a good normalized set of tables:

- Evaluate PK Assignments
- Evaluate Naming Conventions
- Refine Attribute Atomicity
- Identify New Attributes

Complete Database Employee Table



Surrogate Key Consideration

When primary key is considered to be unsuitable, designers use surrogate keys

Data entries in Table 6.4 are inappropriate because they duplicate existing records

No violation of entity or referential integrity

Normalization should be part of the design process

Make sure that proposed entities meet required normal form before table structures are created

Many real-world databases have been improperly designed or burdened with anomalies

You may be asked to redesign and modify existing databases

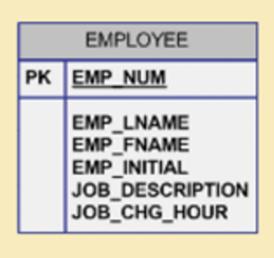
ER diagram

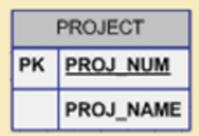
- Identify relevant entities, their attributes, and their relationships
- Identify additional entities and attributes

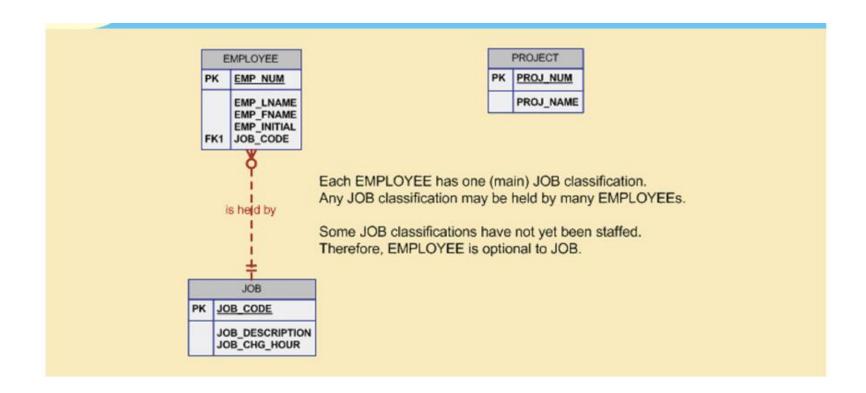
Normalization procedures

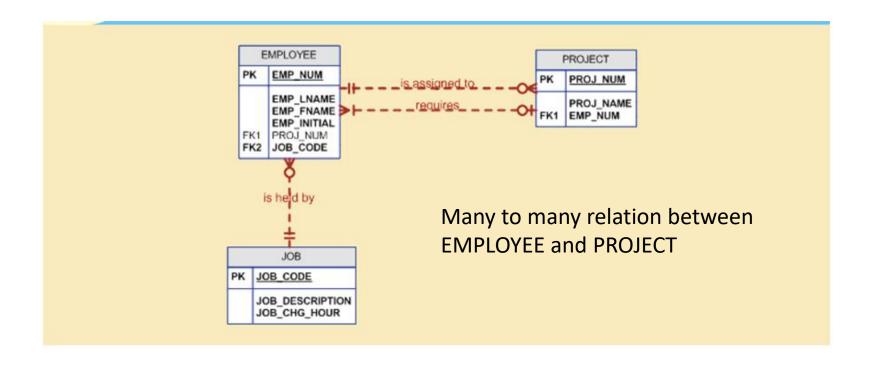
- Focus on characteristics of specific entities
- Micro view of entities within ER diagram

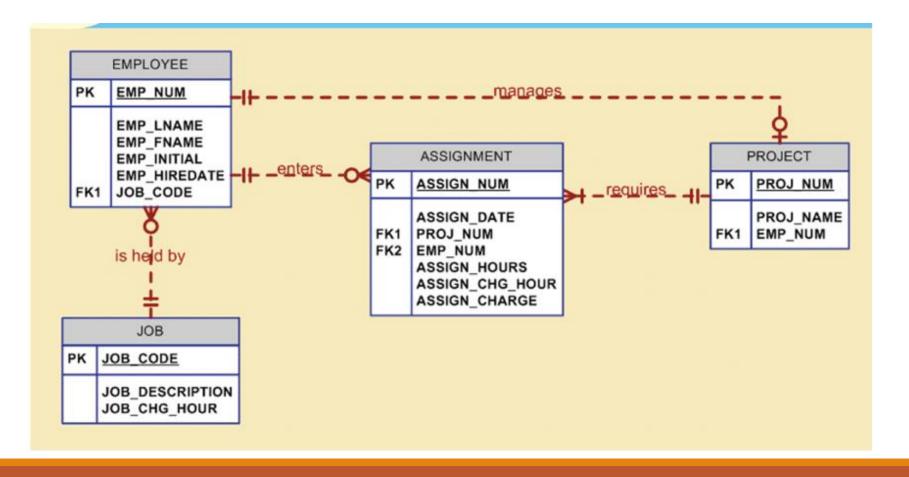
Difficult to separate normalization process from ER modeling process











Completed Database



Database design

Another example

An alternate method of making the original table 1NF is to make the multivalued attribute part of the key

Our new table now would contain multiple rows for students with multiple majors

The text states that this method can cause problems or difficulties when the designer attempts to put the relation into higher normal forms, I disagree with this statement and I will show you how

We will actually end up with the same structure shown as the first solution for the 1NF on slides 32 and 33

stuID	lastName	major	credits	status	socSecNo
S1001	Smith	History	90	Senior	100429500
S1003	Jones	Math	95	Senior	010124567
S1006	Lee	CSC	15	Freshman	088520876
S1006	Lee	Math	15	Freshman	088520876
S1010	Burns	Art	63	Junior	099320985
S1010	Burns	English	63	Junior	099320985
S1060	Jones	CSC	25	Freshman	064624738

Our original primary key of stuld will no longer work since we now have a repeating value

A new primary key consisting of {stuld, major} is used to give the unique identifier to each row

This composite primary key can be taken out to leave the stuID and its dependent attributes left

This will form two tables as was shown previously

Slide 40 will show the stuld and its dependent attributes while slide 41 will show the composite primary key of stuld and major

stuID	lastName	credits	status	socSecNo
S1001	Smith	90	Senior	100429500
S1003	Jones	95	Senior	010124567
S1006	Lee	15	Freshman	088520876
S1010	Burns	63	Junior	099320985
S1060	Jones	25	Freshman	064624738

stuId	major
S1001	History
S1003	Math
S1006	CSC
S1006	Math
S1010	Art
S1010	English
S1060	CSC

Our first example just did this step for us but as you can see this can be arrived at by breaking down the third example

The second example is the one that causes the largest problem and should be avoided altogether

Examples 1 and 3 are the solutions you will see through out most books dealing with relational database design and normalization just two different approaches

For the relations shown on the next slide we have the following functional dependencies in addition to the trivial ones:

- {courseNo, stuld} → {lastName}
- {courseNo, stuld} → {facId}
- {courseNo, stuId} → {schedule}
- {courseNo, stuld} → {room}
- {courseNo, stuId} → {grade}

courseNo	stuId	stuLastName	facId	schedule	room	grade
ART103A	S1001	Smith	F101	MWF9	H221	А
ART103A	S1010	Burns	F101	MWF9	Н221	
ART103A	S1006	Lee	F101	MWF9	Н221	В
CSC201Z	S1003	Jones	F105	TuThF10	M110	A
CSC201Z	S1006	Lee	F105	TuThF10	M110	С
HST205A	S1001	Smith	F102	MWF11	Н221	

Since there is no other candidate key we chose {courseNo, stuID} for the primary key

Ignoring trivial dependencies we also have the following functional dependencies:

- courseNo → facId
- courseNo → schedule
- courseNo → room
- stuld → lastName

These dependencies are dependent on only a portion of the composite primary key, these can be called partial dependencies since you do not need to know the entire primary key to determine each of these dependent values

We find attributes that are functionally dependent on the combination {courseNo, stuId}

There are also attributes that are only dependent on a subset of that combination

We say that these attributes are not fully functionally dependent on the combination

Definition:

In a relation R, attribute A of R is fully functionally dependent on attribute or a set of attributes X or R if A is functionally dependent on X but not functionally dependent on any proper subset of X.

lastName is functionally dependent on {courseNo, stuId} but is also functionally dependent on a proper subset of that combination, stuId

Similarly facId, schedule, and room are functionally dependent on the proper subset courseNo

Grade on the other hand is fully functionally dependent on the combination {courseNo, stuId}

Definition:

A relation is in second normal form (2NF) if and only if it is in first normal form (1NF) and all the non-key attributes are fully functionally dependent on the key

These dependencies are also referred to as **partial dependencies** since the attributes are only dependent on part of the initial primary key, partial dependencies are removed in 2NF

If a relation is in 1NF and the key only consists of a single attribute the relation is automatically 2NF

You are only concerned about 2NF when there is a composite key

The Class relation is not in 2NF since lastName is not fully functionally dependent on the key {courseNo, stuId}

There of course are others but all you need is one to show the relation is not in 2NF

The next thing to do is to transfer the 1NF relation into an equivalent set of 2NF relations

The original composite key is {courseNo, stuId}, each of these is to be placed into a separate relation

- courseNo
- stuld

The original composite key must be maintained courseNo, stuld

There are now going to potentially be 3 relations

After the relations have been defined then the dependent attributes are placed beside them

- courseNo → facId, schedule, room
- stuld → lastName
- courseNo, stuId → grade (and of course the other attributes still actually apply but not shown any more

Using projection NewClass relation is broken onto the following relations:

- Register (<u>courseNo, stuID</u>, grade)
- Class2 (<u>courseNo</u>, facId, schedule, room)
- Stu (<u>stuld</u>, stuLastName)

The resulting relations are shown on the following slides

courseNo	stuId	stuLastName	facId	schedule	room	grade
ART103A	S1001	Smith	F101	MWF9	Н221	А
ART103A	S1010	Burns	F101	MWF9	Н221	
ART103A	S1006	Lee	F101	MWF9	Н221	В
CSC201Z	S1003	Jones	F105	TuThF10	M110	А
CSC201Z	S1006	Lee	F105	TuThF10	M110	С
HST205A	S1001	Smith	F102	MWF11	Н221	

NewClass Table not in 2NF

classNo	stuId	grade
ART103A	S1001	A
ART103A	S1010	
ART103A	S1006	В
CSC201A	S1003	A
CSC201A	S1006	С

Register Table in 2NF

stuId	stuLastName
S1001	Smith
S1010	Burns
S1006	Lee
S1003	Jones

classNo	facId	schedule	room
ART103A	F101	MWF9	H221
CSC210A	F105	TUTHF10	M110
HST205A	F202	MWF11	H221

Redundant data has been reduced

A student can be added by adding the details only to the Stu table

Course information only has to be added once now to the Class2 table

If we drop a registration record in the Register table it will not affect the student or course tables at all of those details are maintained

Second normal form is better than first normal form

We will consider the following relation:

NewStudent (stuld, lastName, major, credits, status)

The next slide will show an instance of this relation

There is only one candidate key stuld and iot will be used as the primary key

All other attributes are dependant on this key

We have the following functional dependency

stuld → {lastName, major, credits, status}

We discussed that the number of credits taken by a student determines their status, given this shows this

credits → status

The stuld functionally determines the status in two ways directly and transitively

Since (stuld \rightarrow credits) and (credits \rightarrow status) therefore (stuld \rightarrow status)

Definition:

• If A, B, and Care attributes of relation R, such that A → B and B → C,
then C is transitively dependent on A

In the third normal form we want to eliminate transitive dependencies

Transitive dependencies will cause insertion, update and deletion anomalies

stuID	lastName	credits	status	Major
S1001	Smith	90	Senior	History
S1003	Jones	95	Senior	Math
S1006	Lee	15	Freshman	CSC
S1010	Burns	63	Junior	Art
S1060	Jones	25	Freshman	CSC

NewClass Table not in 3NF

stuID	lastName	credits	status	socSecNo
S1001	Smith	90	Senior	100429500
S1003	Jones	95	Senior	010124567
S1006	Lee	15	Freshman	088520876
S1010	Burns	63	Junior	099320985
S1060	Jones	25	Freshman	064624738

Again the NewClass Table not in 3NF – credits → status, transitive dependency

credits	status
15	Freshman
25	Freshman
63	Junior
90	Senior
95	Senior

Copy credits from the original table and remove the status form the original

stuId	lastName	major	credits
S1001	Smith	History	90
S1003	Jones	Math	95
S1006	Lee	CSC	15
S1010	Burns	Art	63
S1060	Jones	CSC	25

The status is removed and credits is left behind