

Report

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Directory Structure:

Main directory contains Report, Q1 sub-directory and Q2 sub-directory.

Q1 directory contains 1a.py, 1c.py, and 1d.py.

Q2 directory contains 2a.py and 2f.py.

Some python programs are generic and hence used across multiple parts by passing corresponding arguments.

How to Run:

Please run “chmod +x run.sh” to make it executable.

Q1.

`./run.sh 1 train_path test_path validation_path part`

This run two programs in part a,b of type 0 (multi-way split) and type 1 (two-way split). Here “type” is another argument passed internally in run.sh.

More details of how to run: (shown in figure on next page)

```

anmolcp810@LAPTOP-T0AAO263: /mnt/d/IIT Delhi/Semester 7/COL774/Assignments/Run/A3/Q1
(base) anmolcp810@LAPTOP-T0AAO263: /mnt/d/IIT Delhi/Semester 7/COL774/Assignments/Run/A3/Q1$ python 1a.py --help
usage: 1a.py [-h] [--train TRAIN] [--test TEST] [--val VAL] --type TWO_WAY

optional arguments:
  -h, --help            show this help message and exit
  --train TRAIN          Path to train file
  --test TEST           Path to test file
  --val VAL             Path to validation file
  --type TWO_WAY        Type of split
(base) anmolcp810@LAPTOP-T0AAO263: /mnt/d/IIT Delhi/Semester 7/COL774/Assignments/Run/A3/Q1$ python 1c.py --help
usage: 1c.py [-h] [--train TRAIN] [--test TEST] [--val VAL]

optional arguments:
  -h, --help            show this help message and exit
  --train TRAIN          Path to train file
  --test TEST           Path to test file
  --val VAL             Path to validation file
(base) anmolcp810@LAPTOP-T0AAO263: /mnt/d/IIT Delhi/Semester 7/COL774/Assignments/Run/A3/Q1$ python 1d.py --help
usage: 1d.py [-h] [--train TRAIN] [--test TEST] [--val VAL] --type PARAMETER_TYPE

optional arguments:
  -h, --help            show this help message and exit
  --train TRAIN          Path to train file
  --test TEST           Path to test file
  --val VAL             Path to validation file
  --type PARAMETER_TYPE Type of split

```

Q2.

./run.sh 2 train_path test_path

Part two has more arguments for each python file deciding adaptive learning rate, activation function, hidden layers, stopping criteria, etc.

More details of how to run :

```

(base) anmolcp810@LAPTOP-T0AAO263: /mnt/d/IIT Delhi/Semester 7/COL774/Assignments/Run/A3/Q2$ python 2a.py --help
usage: 2a.py [-h] [--train TRAIN] [--test TEST] [--adaptive ADAPTIVE] [--activation ACTIVATION] -q Q [-l1 L1] [-l2 L2] [-k K] [--delta DELTA]

optional arguments:
  -h, --help            show this help message and exit
  --train TRAIN          Path to train file
  --test TEST           Path to test file
  --adaptive ADAPTIVE    Adaptive learning rate, 0 for not adaptive, 1 for adaptive
  --activation ACTIVATION
                        Activation function for hidden layer
  -q Q                  Question number
  -l1 L1                Hidden Layer 1 units
  -l2 L2                Hidden Layer 2 units
  -k K                  Iterations in stopping criteria
  --delta DELTA          Delta in stopping criteria
(base) anmolcp810@LAPTOP-T0AAO263: /mnt/d/IIT Delhi/Semester 7/COL774/Assignments/Run/A3/Q2$ python 2f.py --help
usage: 2f.py [-h] [--train TRAIN] [--test TEST]

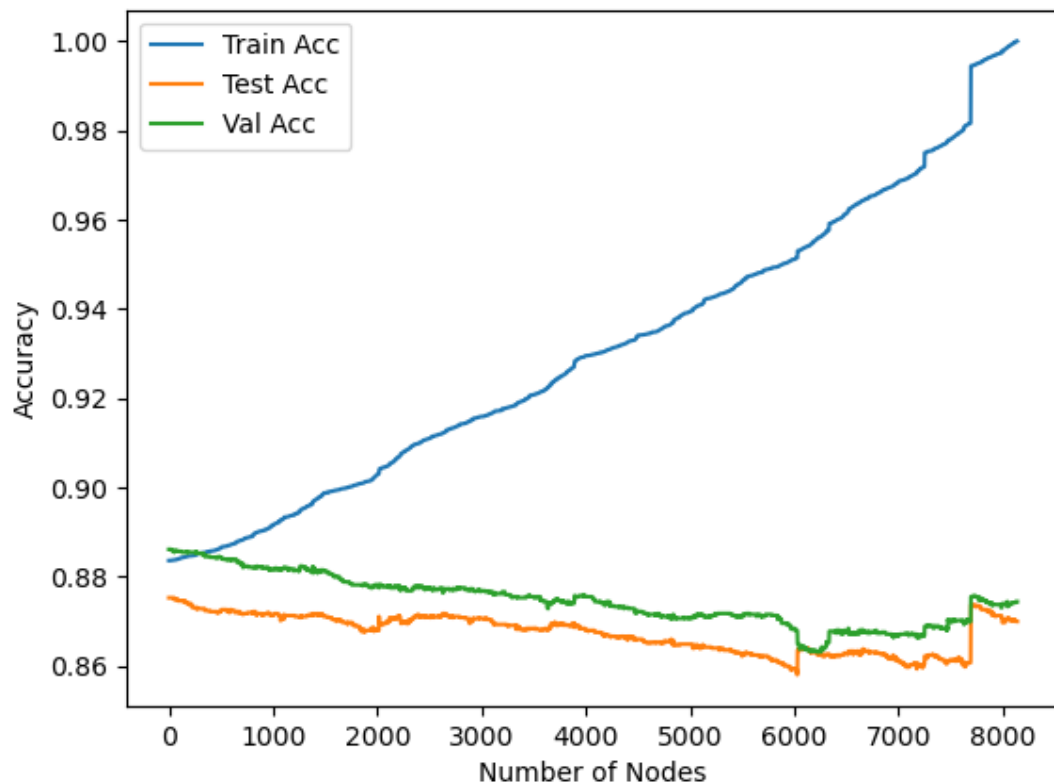
optional arguments:
  -h, --help            show this help message and exit
  --train TRAIN          Path to train file
  --test TEST           Path to test file

```

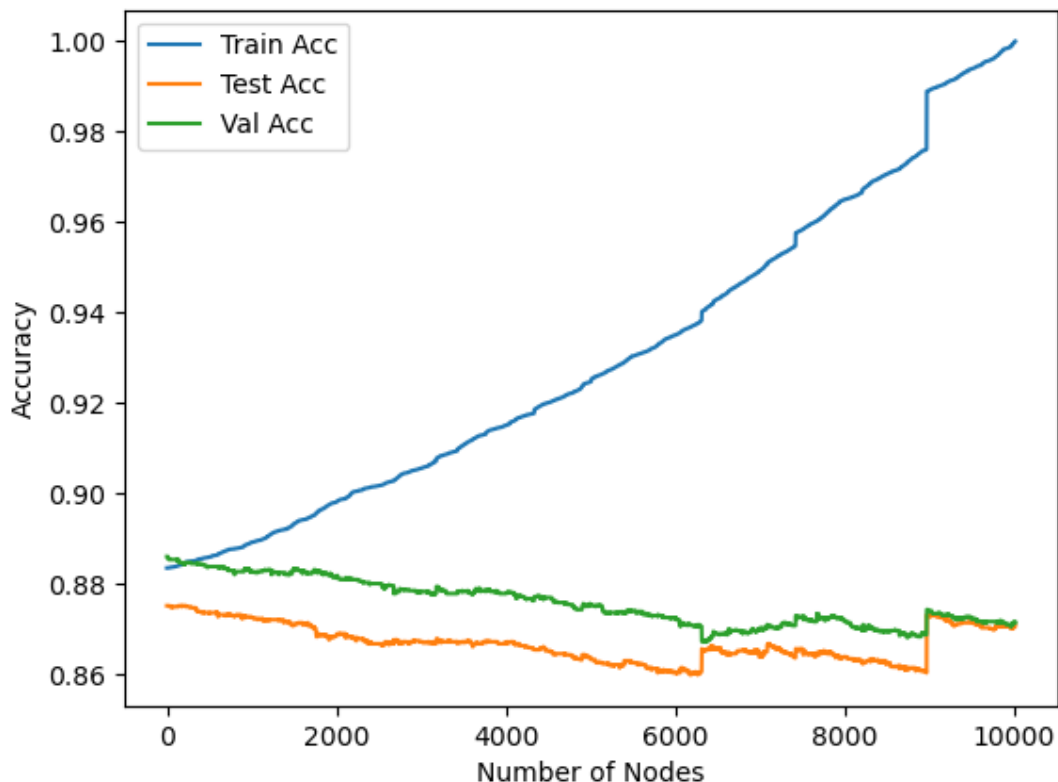
Description:

Q1

- a. In part a of Q1(a), I used `pd.get_dummies()` to convert data to one-hot encoding format. Rest the approach to construct decision tree was same for both parts two-way and multi-way splits, i.e. choosing best attribute having highest mutual information.



Accuracy for two-way split



Accuracy for Multi-way Split

Observations:

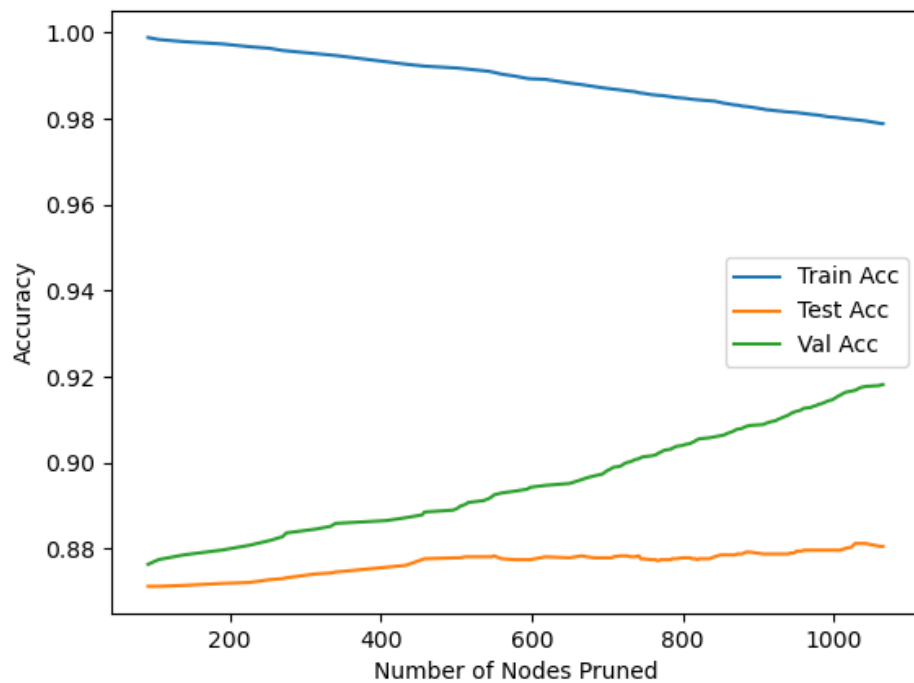
1. Multi-way split expands more nodes (10015) compared to two-way split (which expanded around 8200 nodes).
2. Test/val accuracy was higher for two-way split. One reason might be that, model has become more fine-grained because we considering even categories as attributes, so we have more attributes. Hence the model is able to learn better.

Note: When running part a, code also does part b by pruning the nodes as well.

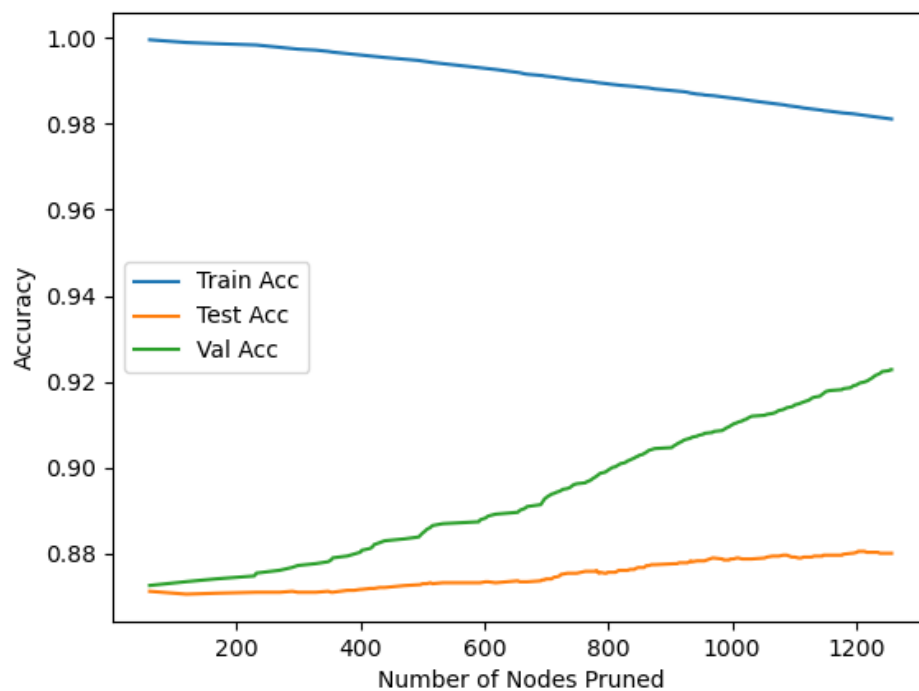
b. I followed the bottom up approach to prune the nodes.

Approach: I maintained a dataframe of predictions on validation set, and whenever predicting on a node, I only changed those entries of dataframe which corresponded to part of dataset present at that node. This led to efficient

prediction in accuracy. And after prediction, I changed the entries to old values.



Two way split



Multi Way Split

Observations:

1. Train Accuracy decreases as more nodes are pruned while both validation and test accuracies increase.
2. The extent of increase in validation accuracy is higher than increase test accuracy.
3. Pruning does lead to improvement in general performance as seen in test set.

Note: When making programs for submission, I have used variable `two_way = 0` or `1`, if `two_way` is `0` then multi-way approach is used else `two_way`.

This is also reflected in png file names, i.e. `accuracy_{two_way}.png`.

- c. I used `sklearn.ensemble.RandomForestClassifier` to train, and `sklearn.model_selection.ParameterGrid` to list out the parameters. And selected the best parameters with highest OOB score.

Best Parameters:

1. `n_estimators`: 350
2. `max_features`: 0.3
3. `min_samples_split`: 8

OOB Score: 0.9076255253262553

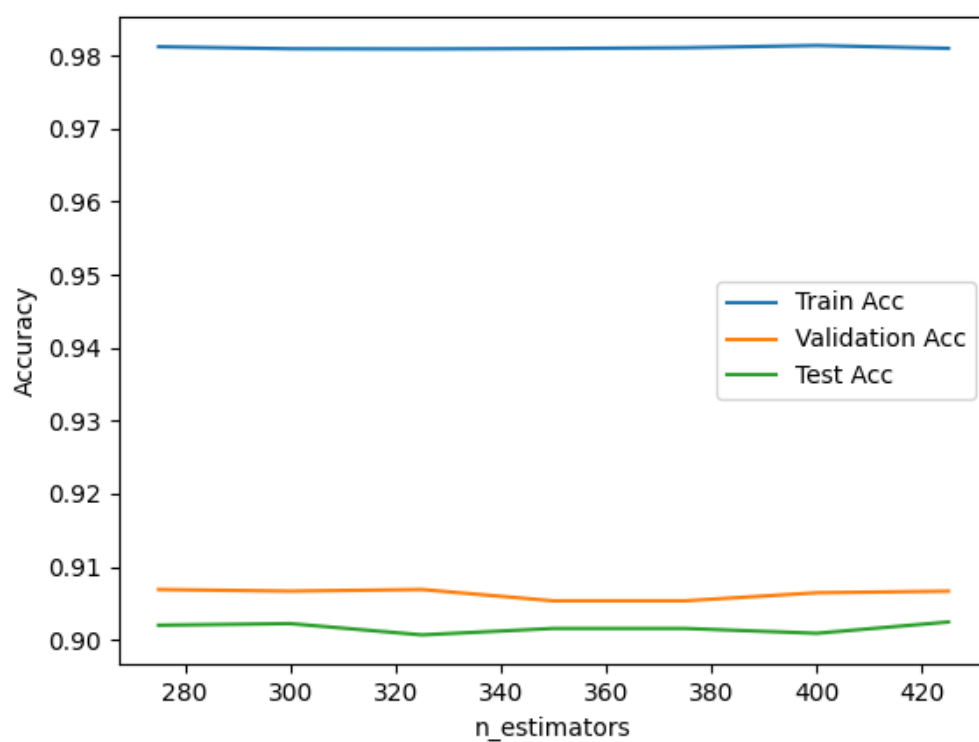
Train Accuracy: 0.9808947135589471

Validation Accuracy: 0.9062361786819991

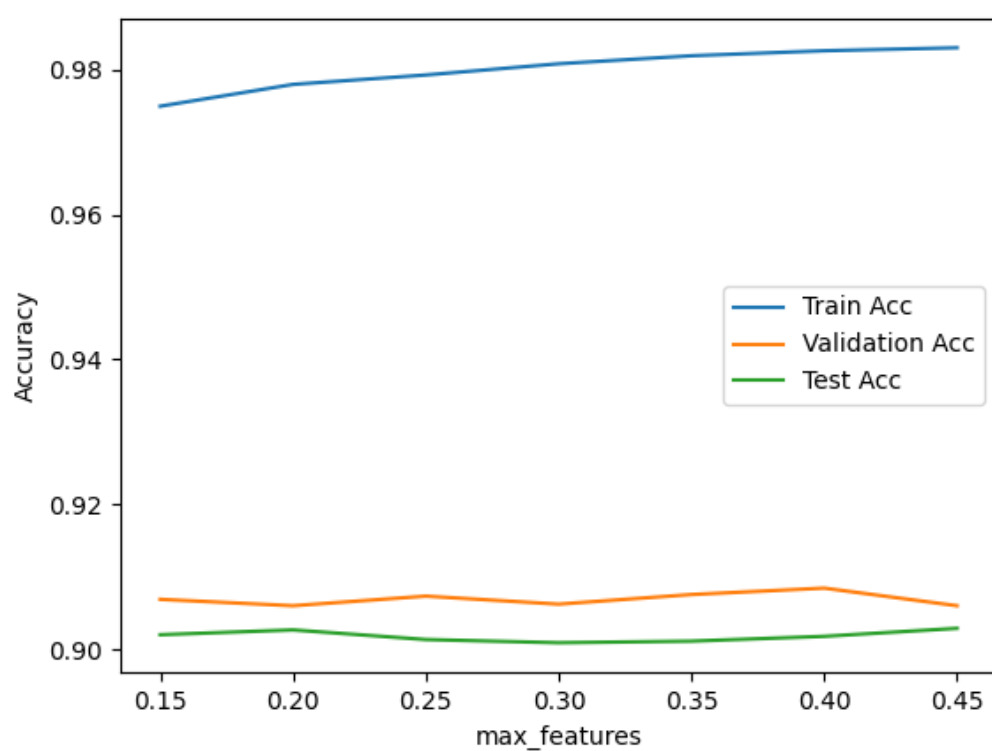
Test Accuracy: 0.9006856890068569

To handle categorical attributes, I simply converted to one-hot encoding.

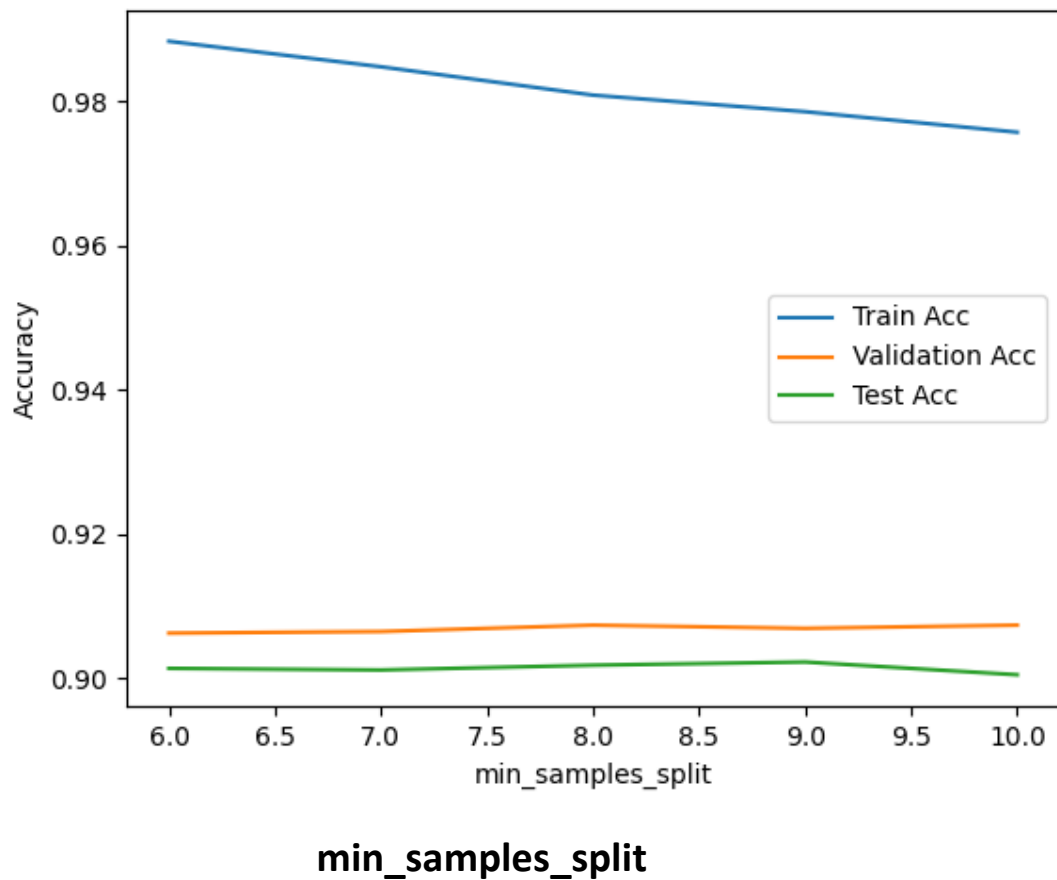
- d. Variation in accuracies with varying values on one dimension are given below:



$n_estimators$



$max_features$



Observations:

1. The models are not sensitive to varying values of parameters in terms of validation/test accuracies.
2. But training accuracy does get affected to some extent.
3. This is probably because, in random forest setting the model becomes robust to variations in values of the parameters and it also does not get affected by random noise in training data.

Q2.

- a. I just ran `pd.get_dummies()` to convert training data to one-hot encoding. Moreover, for y-label, since the values were numeric, I converted it to string column and then ran `pd.get_dummies()`

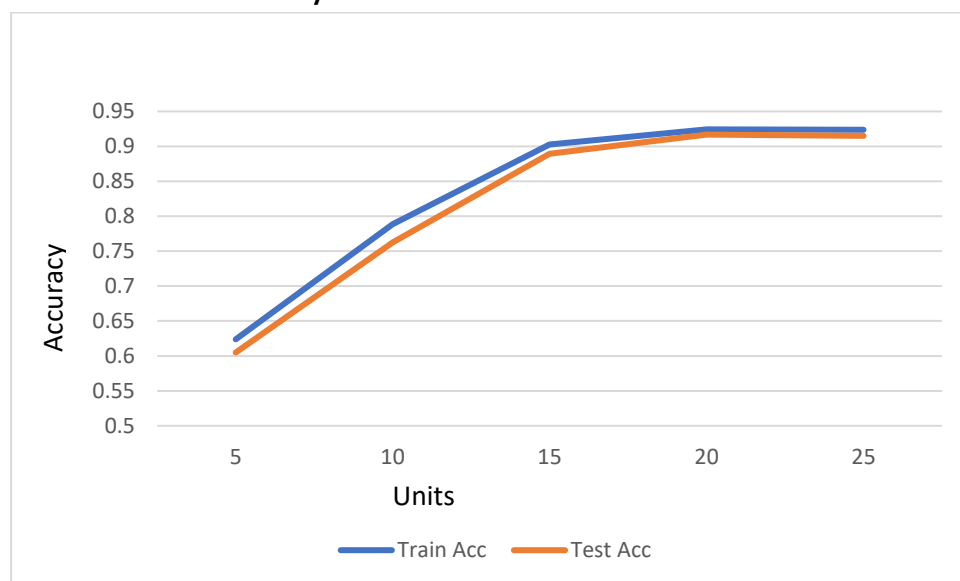
b. I implemented the forward and backward propagation as was given in lecture notes. I stored net_j and out_j values for node j at some layer l and these values were used during backpropagation.

Moreover, I converted elementwise operations given in slides to matrix operations for efficiency.

And during backpropagation, I also stored the $\delta_j = -(\text{dJ}/\text{dnet}_j)$ values and updated the parameters θ in one go after computing all the deltas.

Note: In part a and b, there was nothing to run, but only to implement, hence when parts a and b are run using `run.sh`, the code will actually run part c.

c. Below figure shows the test/train accuracy with number of units in hidden layer.



Observations:

1. Accuracy increases with number of units.

Stopping Criteria:

| Hidden Layers | Iterations | Delta | lr | batch | Training Time | Epochs | Train Acc | Test Acc |
|---------------|------------|----------|-----|-------|---------------|--------|-----------|----------|
| 5 | 30 | 1.00E-07 | 0.1 | 100 | 20.99798751 | 822 | 0.62375 | 0.60495 |
| 10 | 30 | 1.00E-07 | 0.1 | 100 | 290.4864535 | 7337 | 0.7888 | 0.76253 |
| 15 | 40 | 5.00E-08 | 0.1 | 100 | 265.8787086 | 6047 | 0.90248 | 0.88959 |
| 20 | 40 | 5.00E-08 | 0.1 | 100 | 799.2288294 | 16937 | 0.92435 | 0.91687 |
| 25 | 50 | 5.00E-08 | 0.1 | 100 | 519.6255953 | 10098 | 0.92395 | 0.91487 |

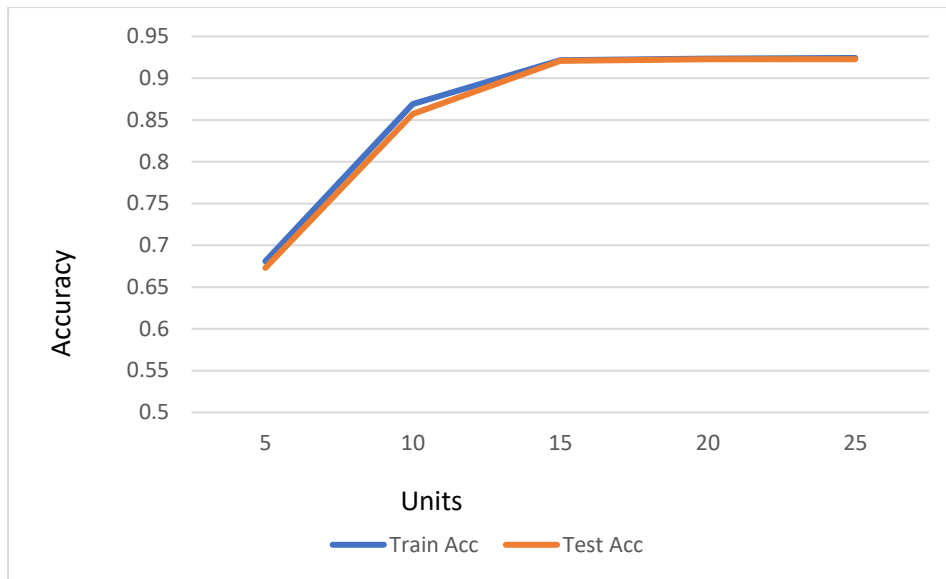
Confusion Matrix:

Please refer to files in Q2 directory named as
confusion_matrix_test_{part}_{hidden_units}.txt

Example: confusion_matrix_test_c_5.txt

Note: When run.sh is called, the new files created will be named as
confusion_matrix_test_{part}_{hidden_units}_{activation}.txt,
for example confusion_matrix_test_e_100_relu.txt.
This has been done to prevent overwriting of earlier files.

- d. Below figure shows the test/train accuracy with number of units in hidden layer with adaptive learning rate.



Observations:

1. Accuracy again increases with number of units.
2. The accuracy at given number of units is higher with adaptive learning compared to that in part c.

Stopping Criteria:

| Hidden Layers | Iterations | Delta | lr | batch | Training Time | Epochs | Train Acc | Test Acc |
|---------------|------------|----------|-----|-------|---------------|--------|-----------|----------|
| 5 | 30 | 1.00E-07 | 0.1 | 100 | 272.519 | 10629 | 0.68085 | 0.67293 |
| 10 | 30 | 1.00E-07 | 0.1 | 100 | 184.836 | 4778 | 0.86913 | 0.85718 |
| 15 | 40 | 5.00E-08 | 0.1 | 100 | 116.081 | 2737 | 0.92167 | 0.92084 |
| 20 | 40 | 5.00E-08 | 0.1 | 100 | 1066.26 | 22577 | 0.92359 | 0.92252 |
| 25 | 50 | 5.00E-08 | 0.1 | 100 | 1038.62 | 20216 | 0.92419 | 0.92272 |

Confusion Matrix:

Please refer to files in Q2 directory named as
 confusion_matrix_test_{part}_{hidden_units}.txt

Example: confusion_matrix_test_d_5.txt

Note: When run.sh is called, the new files created will be named as
confusion_matrix_test_{part}_{hidden_units}_{activation}.txt,
for example confusion_matrix_test_e_100_relu.txt.
This has been done to prevent overwriting of earlier files.

- e. I had made a generic neural network which could accept any form of activation function and its derivation, so I just defined ReLU activation function and its derivative and used that inside train function.

Stopping Criteria:

| Hidden Layers | Iterations | Delta | lr | batch | Training Time | Epochs | Train Acc | Test Acc | Activation |
|---------------|------------|----------|-----|-------|---------------|--------|-----------|----------|------------|
| 100, 100 | 50 | 4.00E-08 | 0.1 | 100 | 4603.97 | 23727 | 0.91879 | 0.91696 | Sigmoid |
| 100, 100 | 50 | 4.00E-08 | 0.1 | 100 | 0.04831 | 1 | 0.47401 | 0.47378 | Relu |

Confusion Matrix:

Please refer:

confusion_matrix_test_e_100_relu.txt

confusion_matrix_test_e_100_sigmoid.txt

Observations:

1. Large neural networks take longer to train and need stricter stopping criteria.
2. The accuracies could have been better if models were trained for longer and convergence criteria used was even stricter.

- f. Training Accuracy: 1.0
Test Accuracy: 0.9894

Confusion Matrix:

```

[[501086  0  0  0 114  9  0  0  0  0]
 [ 25422472  1  0  0  0  0  0  0  0  0]
 [ 616 47046520 16  0  0  0  0  0  0]
 [ 1250  2 34619522  0  0  1  0  0  0]
 [ 3717  0  0  0 168  0  0  0  0  0]
 [ 1848  0  0  0  8 140  0  0  0  0]
 [ 467  0 157 345  0  0 455  0  0  0]
 [  0  0  0 230  0  0  0  0  0  0]
 [  5  0  0  0  3  4  0  0  0  0]
 [  2  0  0  0  0  1  0  0  0  0]]

```

Using training library, the accuracies are much better than what I had implemented.

Reasons:

1. One reason might be using a different loss function (categorical cross entropy).
2. The implementation used beneath MLPclassifier must be more efficient because it took very less time compared to what I implemented.
3. They have used better adaptive learning rate, where they are also taking into account whether training loss is improving or not, and they then reduce the learning rate by a certain amount.
4. They only stop when learning rate is too small.