Dictionaries

- Container of elements from a totally ordered universe that supports the basic operations of
- inserting/deleting elements and searching for a given element.

Sets

- Collection of well defined elements.
- Members of a set are all distinct.
- Set as ADT: operations:
- 1. Union (A, B, C)
- 2. Intersection (A, B, C)
- 3. Difference (A, B, C)
- 4. Merge (A, B, C)
- 5. Find (x)
- 6. Member (x, A) or Search (x, A)
- 7. Makenull (A)

- 8. Equal (A, B)
- 9. Assign (A, B)
- 10. Insert (x, A)
- 11. Delete (x, A)
- 12. Min (A) (if A is an ordered set)

Set implementation:

- Bit Vector
- Array
- Linked List
 - Unsorted
 - Sorted

Dictionaries

- is a dynamic set
- ADT
 - 1. Makenull (D)
 - 2. Insert (x, D)
 - 3. Delete (x, D)
 - 4. Search (x, D)
- Useful in implementing symbol tables, text retrieval systems, database systems, page mapping tables, etc.

Dictionary Implementation

- 1. Fixed Length arrays
- 2. Linked lists: sorted, unsorted, skip-lists
- 3. Hash Tables: open, closed
- 4. Trees
 - Binary Search Trees (BSTs)
 - Balanced BSTs
 - AVL Trees
 - Red-Black Trees
 - Splay Trees
 - Multiway Search Trees
 - 2-3 Trees
 - B Trees
 - Tries

Example

- Collection of student records in this class.
- (key, element) = (student name, linear list of assignment and exam scores)
- All keys are distinct.
- Get the element whose key is Amit Goyal.
- Update the element whose key is Mayukh rath.
- put() implemented as update when there is already a pair with the given key.
 - remove() followed by put().

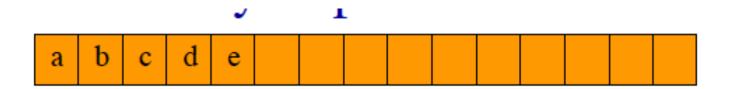
Dictionary With Duplicates

- Keys are not required to be distinct.
- Word dictionary.
 - Pairs are of the form (word, meaning).
 - May have two or more entries for the same word
 - (bolt, a threaded pin)
 - bolt, a crash of thunder)
 - (bolt, to shoot forth suddenly)
 - (bolt, a gulp)
 - (bolt, a standard roll of cloth)

Implementation via Linear List

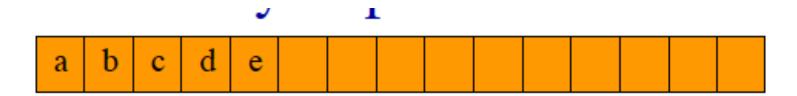
- L = (e0, e1, e2, e3, ..., en-1)
- Each ei is a pair (key, element).
- 5-pair dictionary D = (a, b, c, d, e).
- a = (aKey, aElement), b = (bKey, bElement) ...
- Array or linked representation.

Through Array



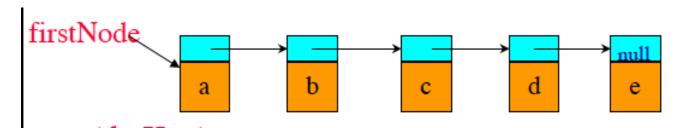
- get(theKey)
 - O(size) time
- put(theKey, theElement)
 - O(size) time to verify duplicate, O(1) to add at right end.
- remove(theKey)
 - O(size) time.

Through Sorted Array



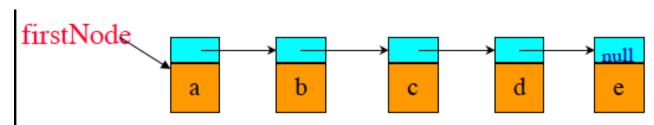
- elements are in ascending order of key.
- get(theKey)
 - O(log size) time
- put(theKey, theElement)
 - O(log size) time to verify duplicate, O(size) to add.
- remove(theKey)
 - O(size) time.

Through unsorted Chain



- get(theKey)
 - O(size) time
- put(theKey, theElement)
 - O(size) time to verify duplicate, O(1) to add at left end.
- remove(theKey)
 - O(size) time.

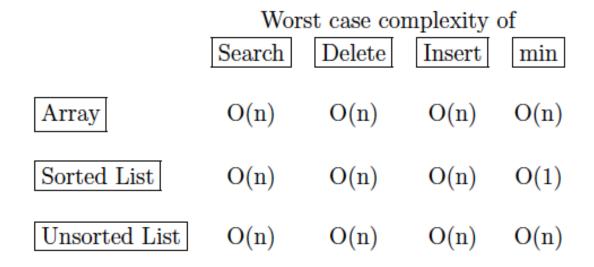
Through Sorted Chain



- Elements are in ascending order of Key.
- get(theKey)
 - O(size) time (why??)
- put(theKey, theElement)
 - O(size) time to verify duplicate, O(1) to put at proper place. (Why??)
- remove(theKey)
 - O(size) time. (Why??)

Summarizing Performance

N: number of elements in dictionary D



 Sorted list has the best average case performance.

Hash Tables

- Implementations of Dictionary
 - Hash Tables
 - Worst-case time for get, put, and remove is O(size).
 Expected time is O(1).
 - Skip Lists

Hashing

- Open Hashing:
 - Keys are stored in linked lists attached to cells of a hash table
- Closed Hashing:
 - All keys are stored in the hash table itself without the use of linked lists.
- Hash Functions:
 - Hash Code Maps
 - Compression Maps

Good Hash Functions

- Fast to Compute
- Uniform distribution
- Good Hash Functions Rare
 - Look at Birthday Paradox

Hashing Code Maps on non-integers

- Turn key to Integers:
 9835-467 → 9835467
- Strings:
 - Value of Ascii values
 - Polynomial code maps
 - Add up (is it good?)
- Numerics types
 - Find functions to map to integers
 - Long, double ---
 - Is adding words good?

- Polynomial Code Maps
- a0+ X a1+ ... + X² a2 ... +
 Xⁿ (n-1) a(n-1)
- Use Hornets Rule
- A1 + X (a1 + X(a2+ ... + X(a(n-2) + X a (n-1))...)
- Choice X = 33, 37, 39, 41
 - At most 6 collisions on vocabulary of 500000

Compression Maps

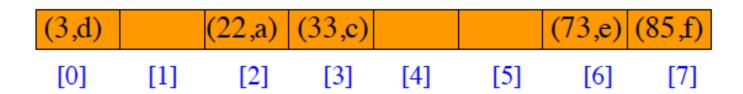
- H (k) = k mod m --- use remainder
 - $m = b^e -- bad$
 - M is power of 2. h(k) gives e least significant bits of k
 - All keys with the same ending go to the same value
 - M prime good (not too close to power of 20
 - Helps uniform distribution
- Floor function :
 - H(k) = Floor (m (k A mod 1)); 0 < A < 1
 - Value of m is not critical (could be 2^p)
 - Optimal choice depends on data
 - Knuth A → square_root(5) -1/2 conjugate of Golden ration;
 Fibonacci Hashing
- Multiply, divide see later

Ideal Hashing

- Uses a 1D array (or table) table[0:b-1].
- Each position of this array is a bucket.
 - A bucket can normally hold only one dictionary pair.
- Uses a hash function f that converts each key k into an index in the range [0, b-1].
 - f(k) is the home bucket for key k.
- Every dictionary pair (key, element) is stored in its home bucket table[f[key]].

Example

- Pairs are: (22,a), (33,c), (3,d), (73,e), (85,f).
- Hash table is table[0:7], b = 8.
- Hash function is key/11.
- Pairs are stored in table as below:



• get, put, and remove take O(1) time.

Problem



- get, put, and remove take O(1) time.
- Insert (26,g): Where does (26,g) go?
- Keys that have the same home bucket are synonyms.
 - 22 and 26 are synonyms with respect to the hash function that is in use.
 - The home bucket for (26,g) is already occupied.

Collision

(3,d) (22,a) (33,c) (73,e) (85,f)

- A collision occurs when the home bucket for a new pair is occupied by a pair with a different key.
- An overflow occurs when there is no space in the home bucket for the new pair.
- When a bucket can hold only one pair, collisions and overflows occur together.
- Need a method to handle overflows.

Issues with Hash

- Choice of hash function.
- Overflow handling method.
- Size (number of buckets) of hash table.

Open Hashes

U be the universe of keys:

- Integers
- character strings
- complex bit patterns

B the set of hash values (or buckets or bins).

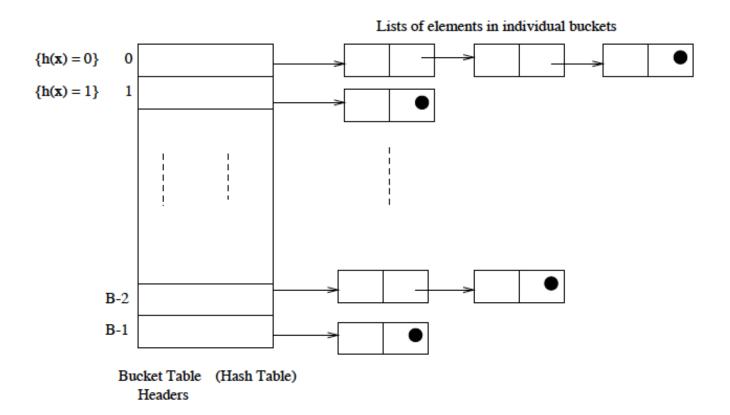
• Let $B = \{0, 1, ..., m - 1\}$ where m > 0 is a positive integer.

Open Hashing

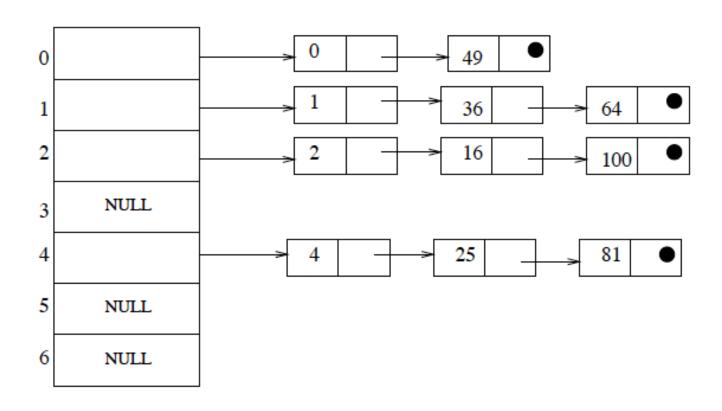
A hash function $h: U \rightarrow B$ associates buckets (hash values) to keys.

- Two issues:
- Collisions
 - If x1 and x2 are two different keys, if h(x1) = h(x2), it is called **collision**. Collision resolution is the most important issue in hash table implementations.
- Hash Functions
 - Choosing a hash function that minimizes the number of collisions and also hashes uniformly is another critical issue.
- Collision Resolution : By Chaining

Collision Resolution: Chaining

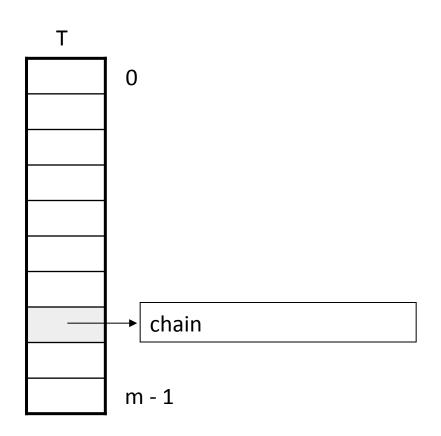


Open hashing: An example



Analysis of Hashing with Chaining: Worst Case

- How long does it take to search for an element with a given key?
- Worst case:
 - All n keys hash to the same slot
 - Worst-case time to search is $\Theta(n)$, plus time to compute the hash function



Analysis of Hashing with Chaining: Average Case

28

- Average case
 - depends on how well the hash function distributes the n keys among the m slots
- Simple uniform hashing assumption:
 - Any given element is equally likely to hash into any of the m slots (i.e., probability of collision Pr(h(x)=h(y)), is 1/m)
- Length of a list:

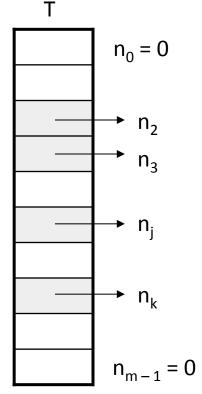
$$T[j] = n_j, j = 0, 1, ..., m-1$$

Number of keys in the table:

$$n = n_0 + n_1 + \cdots + n_{m-1}$$

Average value of n_i:

$$E[n_i] = \alpha = n/m$$

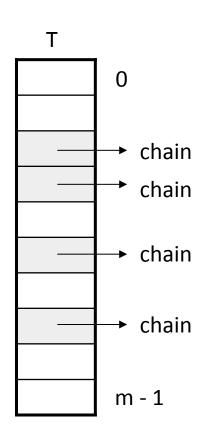


Load Factor of a Hash Table

Load factor of a hash table T:

$$\alpha = n/m$$

- n = # of elements stored in the table
- m = # of slots in the table = # of linked lists
- α encodes the average number of elements stored in a chain
- α can be <, =, > 1



Consert Chains Successful Starch Seguing Us end The Expected No. of Elements examined the list on inserling that in) The lever $= 1 + \frac{1}{2} \sum_{i=1}^{n} (i-i)$ = $1+\frac{1}{mm}\frac{(n-v)^{m}}{2}=|+m-1|$ - 1+ 1 m - 1 = 1+ d -1 Note: In case of a successful slarch, E(# elements) escammed is I more than to no. of elements estaining wheretwo sought for element was Wested Considering to Twice for the hable function Q(2+2 -1) = Q(1+2)

Average Case Analysis

- Let the no. of slots be proportional to the no. of elements in the Table
- n = O(m)
- $\alpha = n/m = O(m)/n = O(1)$
- Searching takes a constant time on the average
- Insertion takes O(1) worst case
- Deletion takes O(1) worst-case time when the lists are doubly linked list

Case 1: Unsuccessful Search (i.e., item not stored in the table)

Theorem

An unsuccessful search in a hash table takes expected time

$$\Theta(1+\alpha)$$

Under the assumption of simple uniform hashing

(i.e., probability of collision Pr(h(x)=h(y)), is 1/m)

Proof

- Searching unsuccessfully for any key k
 - need to search to the end of the list T[h(k)]
- Expected length of the list:
 - $E[n_{h(k)}] = \alpha = n/m$
- Expected number of elements examined in an unsuccessful search is α
- Total time required is:
 - O(1) (for computing the hash function) + $\alpha \rightarrow \Theta(1 + \alpha)$

Case 2: Successful Search

Successful search: $\Theta(1 + \frac{a}{2}) = \Theta(1 + a)$ time on the average (search half of a list of length a plus O(1) time to compute h(k))

Analysis of Search in Hash Tables

• If m (# of slots) is proportional to n (# of elements in the table):

- n = O(m)
- $\alpha = n/m = O(m)/m = O(1)$
- ⇒ Searching takes constant time on average

Example

- Keys: 0, 1, 4, 9, 16, 25, 36, 49, 64, 81, 100.
- Hash Function: x % 7

Complexity

- Bucket lists
 - unsorted lists
 - sorted lists (these are better)
- Insert (x, T)
 - Insert x at the head of list T[h(key (x))]
- Search (x, T)
 - Search for an element x in the list T[h(key (x))]
- Delete (x, T)
 - Delete x from the list T[h(key (x))]
- Worst case complexity of all these operations is O(n)
 - It is assumed that the h(k) can be computed in O(1) time.
 - In the average case, the running time is $O(1 + \alpha)$
- n = number of elements stored
- m = number of hash values or buckets
- Load factor = α = n/m
- If n is O(m), average case complexity of these operations becomes O(1)!

Closed Hashing

- All elements are stored in the hash table itself
- Avoids pointers; only computes the sequence of slots to be examined.
- Collisions are handled by generating a sequence of rehash values.

$$h: \underbrace{U}_{\text{universe of primary keys}} \times \underbrace{\{0,1,2,\ldots\}}_{\text{probe number}} \rightarrow \{0,1,2,\ldots,m-1\}$$

Closed Hashing

- Given a key x, it has a hash value h(x,0) and
 - a set of rehash values h(x, 1), h(x,2), . . . , h(x, m-1)
- We require that for every key x, the probe sequence
 - < h(x,0), h(x, 1), h(x,2), ..., h(x, m-1)> be a permutation of <0, 1, ..., m-1>.
 - This ensures that every hash table position is eventually considered as a slot for storing a record with a key value x.

Closed Hashing

- Search (x, T): Search will continue until you find the element x (successful search) or an empty slot (unsuccessful search).
- Delete (x, T): No delete if the search is unsuccessful; If the search is successful, then put the label DELETED (different from an empty slot).
- Insert (x, T): No need to insert if the search is successful. If the search is unsuccessful, insert at the first position with a DELETED tag.

Handling Overflows

- An overflow occurs when the home bucket for a new pair (key, element) is full.
- Handle overflows by:
 - Search the hash table in some systematic fashion for a bucket that is not full.
 - Linear probing (linear open addressing).
 - Quadratic probing.
 - Random probing.
- Eliminate overflows by permitting each bucket to keep a list of all pairs for which it is the home bucket.
 - Array linear list.
 - Chain

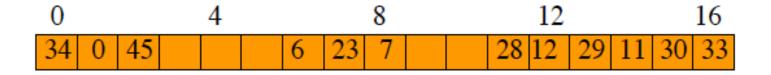
Rehashing Methods

Denote h(x, 0) by simply h(x).

- 1. Linear probing:
 - $-h(x, i) = (h(x) + i) \mod m$
- 2. Quadratic Probing
 - $h(x, i) = (h(x) + C_1i + C_2i^2)$ mod m where C_1 and C_2 are constants.
- 3. Double Hashing $h(x,i) = (h(x) + i) \quad h(x) = mod m$ another
 hash
 function

Linear Probing – Get And Put

- divisor = b (number of buckets) = 17.
- Home bucket = key % 17.



Put in pairs whose keys are 6, 12, 34, 29, 28, 11, 23, 7, 0, 33, 30, 45

Linear Probing – Remove



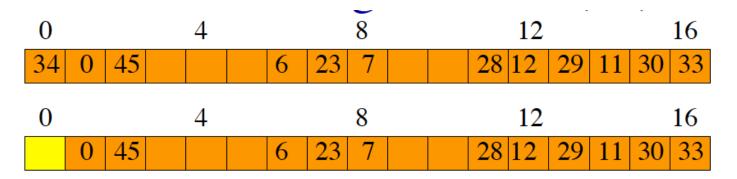
• remove(0)



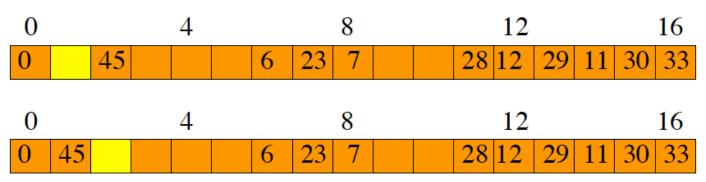
• Search cluster for pair (if any) to fill vacated bucket.



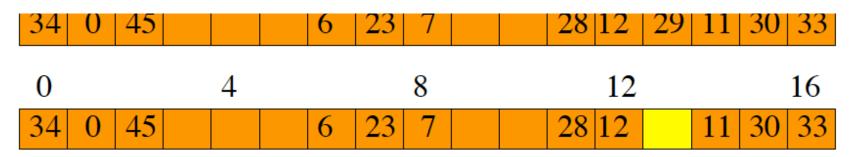
Linear Probing – remove(34)



 Search cluster for pair (if any) to fill vacated bucket.



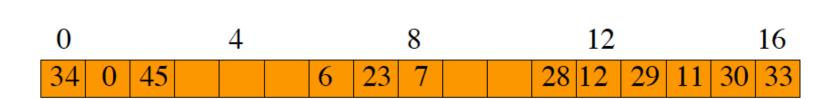
Linear Probing – remove(29)



 Search cluster for pair (if any) to fill vacated bucket.

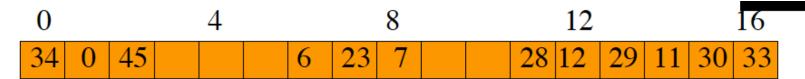
0			4			8			12				16
34	0 4	5		(5 2	23 7		28	12	11		30	33
0			4			8			12				16
34	0 4	5		6	$5 \mid 2$	23 7		28	12	11	30		33
0			4			8			12				16

Performance – Linear Probing



- Worst-case get/put/remove time is Theta(n), where n is the number of pairs in the table.
- This happens when all pairs are in the same cluster.

Expected Performance



- alpha = loading density = (number of pairs)/b.
 - alpha = 12/17.
- S_n = expected number of buckets examined in a successful search when n is large
- U_n = expected number of buckets examined in a unsuccessful search when n is large
- Time to put and remove governed by U_n.

Expected Performance

- $S_n \sim \frac{1}{2}(1 + \frac{1}{1 alpha})$
- $U_n \sim \frac{1}{2}(1 + \frac{1}{(1 alpha)^2})$
- Note that $0 \le alpha \le 1$.

alpha	S_n	U_n
0.50	1.5	2.5
0.75	2.5	8.5
0.90	5.5	50.5

Alpha <= 0.75 is recommended.

Hash Table Design

- Performance requirements are given, determine maximum permissible loading density.
- We want a successful search to make no more than 10 compares (expected).
- Sn $\sim 1/2(1 + 1/(1 alpha))$
- alpha <= 18/19
- We want an unsuccessful search to make no more than 13 compares (expected).
- Un $\sim 1/2(1 + 1/(1 alpha)2)$
- .alpha <= 4/5
- So alpha $\leq \min\{18/19, 4/5\} = 4/5$.

Hash Table Design

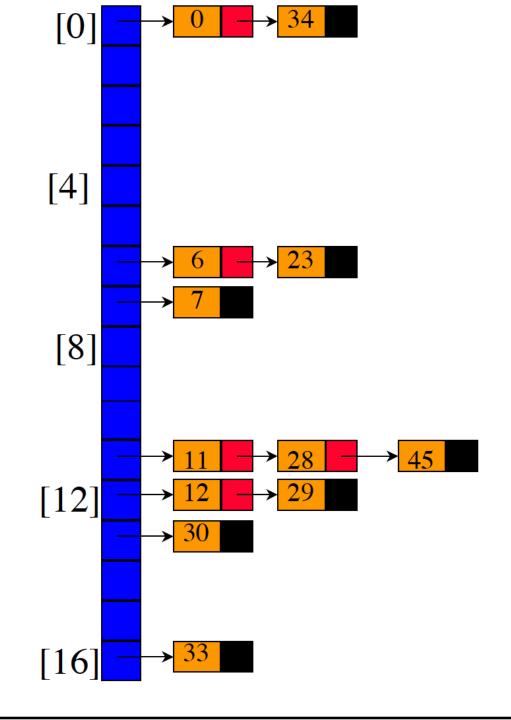
- Dynamic resizing of table.
 - Whenever loading density exceeds threshold (4/5 in our example), rehash into a table of approximately twice the current size.
- Fixed table size.
- Know maximum number of pairs.
- No more than 1000 pairs.
- Loading density <= 4/5 => b >= 5/4*1000 = 1250.
- Pick b (equal to divisor) to be a prime number or an odd number with no prime divisors smaller than 20.

Linear List Of Synonyms

- Each bucket keeps a linear list of all pairs for which it is the home bucket.
- The linear list may or may not be sorted by key.
- The linear list may be an array linear list or a chain.

Sorted Chains

- Put in pairs whose keys are 6, 12, 34, 29, 28, 11, 23, 7, 0, 33, 30, 45
- Home bucket = key % 17.



Example 1

- Linear probing
- h(x, 0) = x%7
- h(x, i) = (h(x, 0) + i)%7
- Start with an empty table

Insert (20, T)	0	14
Insert (30, T)	1	empty
Insert (9, T)	2	30
Insert $(45, T)$	3	9
Insert (14, T)	4	45
	5	empty
	6	20
Search (35, T)	0	14
Delete (9, T)	1	empty
	2	30
	3	deleted
	4	45
	5	empty
	6	20
Search (45, T)	0	14
Search (52, T)	1	empty
Search (9, T)	2	30
Insert $(45, T)$	3	10
Insert (10, T)	4	45
	5	empty
	6	20
	_	
Delete (45, T)	0	14
Insert (16, T)	1	empty
	2	30

0	14	
1	empty	
2	30	
3	10	
4	16	
5 6	empty	
6	20	
'		

Example 2

Let m be the number of slots.

- Assume: every even numbered slot occupied and every odd numbered slot empty
 - any hash value between 0 . . . m-1 is equally likely to be generated.
 - linear probing

empty
occupied
empty
occupied
empty
occupied
occupied

Expected number of probes for a successful search = 1

Expected number of probes for an unsuccessful search

$$= \left(\frac{1}{2}\right)(1) + \left(\frac{1}{2}\right)(2)$$
$$= 1.5$$

Comparison of Rehashing

Linear Probing

m distinct probe

Primary clustering

Quadratic Probing

m distinct probe

sequences

sequences

No primary clustering;

but secondary clustering

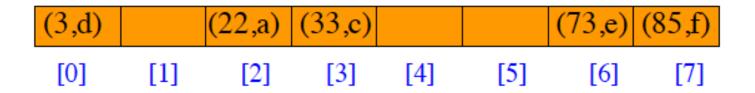
Double Hashing

sequences

m² distinct probe No primary clustering

No secondary clustering

A Uniform Hash Function



- Let keySpace be the set of all possible keys.
- A uniform hash function maps the keys in keySpace into buckets such that approximately the same number of keys get mapped into each bucket

Uniform Hash Function

- Equivalently, the probability that a randomly selected key has bucket i as its home bucket is 1/b,0 <= i < b.
- A uniform hash function minimizes the likelihood of an overflow when keys are selected at random.

What is a good function

- Should satisfy the simple uniform hashing property.
- Let U = universe of keys
- Let the hash values be 0, 1, . . . , m-1

Let us assume that each key is drawn independently from U according to a probability distribution P. i.e., for $k \in U$

$$P(k) = Probability that k is drawn$$

Then simple uniform hashing requires that

$$\sum_{k:h(k)=j} P(k) = \frac{1}{m} \text{ for each } j = 0, 1, \dots, m-1$$

that is, each bucket is equally likely to be occupied.

Simple Hash Function with Uniform hashing Property

 Suppose the keys are known to be random real numbers k independently and uniformly distributed in the range [0,1).

$$h(k) = \lfloor km \rfloor$$

satisfies the simple uniform hashing property

Use of Qualitative information about P

- For example, consider a compiler's symbol table in which the keys are arbitrary character strings representing identifiers in a program. It is common for closely related symbols,
 - say pt, pts, ptt, to appear in the same program.
- A good hash function would minimize the chance that such variants hash to the same slot

A Common Approach

- Derive a hash value in a way that is expected to be independent of any patterns that might exist in the data.
- The division method computes the hash value as the remainder when the key is divided by a prime number.
 - Unless that prime is somehow related to patterns in the distribution P, this method gives good results.

Division Method

A key is mapped into one of m slots using the function

$$h(k) = k \mod m$$

- Requires only a single division, hence fast
- m should not be:
 - a power of 2, since if $m = 2^p$, then h(k) is just the p lowest order bits of k
 - a power of 10, since then the hash function does not depend on all the decimal digits of k
 - $-2^{p}-1$. If k is a character string interpreted in radix 2^{p} , two strings that are identical except for a transposition of two adjacent characters will hash to the same value.
- Good values for m

primes not too close to exact powers of 2.

Selecting The Divisor

- Because of this correlation, applications tend to have a bias towards keys that map into odd integers (or into even ones).
- When the divisor is an even number, odd integers hash into odd home buckets and even integers into even home buckets.
 - -20%14 = 6,30%14 = 2,8%14 = 8
 - -15%14 = 1,3%14 = 3,23%14 = 9
- The bias in the keys results in a bias toward either the odd or even home buckets.

Selecting the Divisor

- When the divisor is an odd number, odd (even) integers may hash into any home.
 - -20%15 = 5,30%15 = 0,8%15 = 8
 - -15%15 = 0,3%15 = 3,23%15 = 8
- The bias in the keys does not result in a bias toward either the odd or even home buckets.
- Better chance of uniformly distributed home buckets.
- So do not use an even divisor.

Selecting The Divisor

- Similar biased distribution of home buckets is seen, in practice, when the divisor is a multiple of prime numbers such as 3, 5, 7,...
- The effect of each prime divisor p of b decreases as p gets larger.
- Ideally, choose b so that it is a prime number.
- Alternatively, choose b so that it has no prime factor smaller than 20.

Multiplication Method

Two Steps

- 1. Multiply the key k by a constant A in the range 0 < A < 1 the fractional part of kA
- 2. Multiply this fractional part by m and take the floor.

$$h(k) = \lfloor m(kA \mod 1) \rfloor$$

where

$$kA \mod 1 = kA - \lfloor kA \rfloor$$

 $h(k) = \lfloor m(kA - \lfloor kA \rfloor) \rfloor$

• Advantage of the method is that the value of m is not critical. We typically choose it to be a power of 2:

$$m = 2^{p}$$

for some integer p so that we can then easily implement the function on most computers as follows:

Suppose the word size = w. Assume that k fits into a single word. First multiply k by the w-bit integer $\lfloor A.2^w \rfloor$. The result is a 2w - bit value

$$r_1 2^w + r_0$$

where r_1 is the high order word of the product and r_0 is the low order word of the product. The desired p-bit hash value consists of the p most significant bits of r_0 .

• Works practically with any value of A, but works better with some values than the others. The optimal choice depends on the characteristics of the data being hashed. Knuth recommends

$$A \simeq \frac{\sqrt{5} - 1}{2} = 0.6180339887...$$
 (Golden Ratio)

Universal Hashing

- Involves choosing a hash function randomly in a way that is independent of the keys that are actually going to be stored.
- Select the hash function at random from a carefully designed class of functions.
 - Let Φ be a finite collection of hash functions that map a given universe U of keys into the range $\{0, 1, 2, \dots, m-1\}$.
 - Φ is called **universal** if for each pair of distinct keys $x, y \in U$, the number of hash functions $h \in \Phi$ for which h(x) = h(y) is precisely equal to

 $\frac{|\Phi|}{m}$

• With a function randomly chosen from Φ , the chance of a collision between x and y where $x \neq y$ is exactly $\frac{1}{m}$.

Example: Universal class of hash functions

Let table size m be prime. Decompose a key x into r+1 bytes. (i.e., characters or fixed-width binary strings). Thus

$$x = (x_0, x_1, \dots, x_r)$$

Assume that the maximum value of a byte to be less than m.

Let $a=(a_0,a_1,\ldots,a_r)$ denote a sequence of r+1 elements chosen randomly from the set $\{0,1,\ldots,m-1\}$. Define a hash function $h_a \in \Phi$ by

$$h_a(x) = \sum_{i=0}^r a_i x_i \mod m$$

With this definition, $\Phi = \bigsqcup_a \{h_a\}$ can be shown to be universal. Note that it has m^{r+1} members.

Hash Table Restructuring

- When a hash table has a large number of entries (say n ≥ 2m in open hash table or n ≥ 0.9m in closed hash table), the average time for operations can become quite substantial.
 - one idea is to simply create a new hash table with more number of buckets (say twice or any appropriate large number); the currently existing elements will have to be inserted into the new table.
 - May call for rehashing of all these key values transferring all the records
 - Effort will be less than it took to insert them into the original table.
- Subsequent dictionary operations will be more efficient and can more than make up for the overhead in creating the larger table.