

# **CS-E4540 Answer Set Programming**

Introduction

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### Introduction

**Declarative Problem Solving** 

**Answer Set Programming** 

Some Prerequisites

#### 1. DECLARATIVE PROBLEM SOLVING

- Declarative programming languages allow the specification of what is to be computed rather than how computation takes place.
- PROLOG (PROgramming in LOGic) is a prototypical language that was developed for declarative programming.

```
Nat(0). Nat(s(X)) :- Nat(X).
```

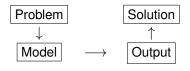
 Programming in a procedural language such as Pascal, C, or Java is much about controlling the execution order of commands.

```
unsigned int f(unsigned int x) {
  if(x == 0 || x==1)
    return 1;
  else return x*f(x-1);
}
```

# **Conceptual Model**

A problem is solved using a declarative programming language by

- 1. modeling the problem domain using the language,
- 2. performing actual computation steps to produce output, and
- extracting a solution for the problem from the output.



Compilers and/or interpreters can be used to execute the model.

### **Basic Requirements**

#### Any declarative language should

- have a clear declarative semantics,
- enable concise formalization of a variety of problem domains,
- lend itself to modular program development, and
- provide sufficient performance and scalability.

#### Remark

The last two requirements may endanger the declarative nature of programming (cf. the use of cuts "!" in PROLOG), i.e., a form of control becomes necessary for efficiency reasons.

#### 2. ANSWER SET PROGRAMMING

Answer set programming (ASP) is a paradigm for declarative programming that effectively emerged in the late nineties.

- A rule-based language is used for problem encodings.
- Every program P, i.e., a set of rules, has a clearly defined semantics (the set of answer sets associated with P).
- The order of rules and the order of individual conditions in rules is irrelevant which gives a declarative nature for answer sets.
- Dedicated search engines—answer set solvers—are used to compute an answer set or several answer sets for a program.

# **Revising the Conceptual Model for ASP**

A problem is encoded so that the answer sets of the respective program and the solutions of the problem are in a tight correspondence.



Current answer set solvers expect ground programs as their input which implies a preprocessing step in order to remove variables.

# **Example: Graph Coloring**

```
edge (a,b). edge (b,c). edge (c,a).
                                  % Edges
node(X) := edge(X,Y).
                                       % Extract nodes
node(Y) := edge(X,Y).
r(X) := not q(X), not b(X), node(X). % Red
q(X) := not b(X), not r(X), node(X). % Green
b(X) := not r(X), not q(X), node(X). % Blue
:- r(X), r(Y), edge(X,Y).
                                      % Constraints
:= q(X), q(Y), edge(X,Y).
:-b(X), b(Y), edge(X,Y).
\#show r/1.
\#show q/1.
\#show b/1.
```

## **Example: Running the Solver**

### The program for 3-coloring graphs is used as follows:

```
$ gringo color.lp | clasp
clasp version 3.2.0
Reading from stdin
Solving...
Answer: 1
r(a) q(b) b(c)
SATISFIABLE
Models : 1+
Calls
         : 1
Time : 0.000s (Solving: 0.00s 1st Model: 0.00s ...)
CPU Time : 0.000s
```

### **Roots of ASP**

- Knowledge representation and reasoning
- Databases (SQL)
- Deductive databases
- Logic programming (PROLOG)
  - SLD-Resolution
  - Negation as failure to prove
  - Clark's completion and supported models
- Constraint programming



ASP = KR + DB + Search

### **Example: SuDoku Puzzle**

```
number (1..9).
border(1). border(4). border(7).
region(X,Y):- border(X), border(Y).
1 { value(X, Y, N): number(X), number(Y),
    X1 \le X, X \le X1 + 2, Y1 \le Y, Y \le Y1 + 2 } 1
  :- number(N), region(X1,Y1).
:= 2 \{ value(X,Y,N) : number(N) \}, number(X), number(Y).
:= 2 \{ value(X,Y,N) : number(Y) \}, number(N), number(X).
:= 2 \{ value(X,Y,N) : number(X) \}, number(N), number(Y).
```

## **Example: Running the SuDoku Program**

Royle's instance with 16 clues is solved in a fraction of a second:

```
$ gringo sudoku.lp royle.lp | clasp 1
clasp version 3.2.0
Reading from stdin
Solving...
Answer: 1
value (1,3,2) value (1,9,1) ... value (7,9,4) value (8,9,2)
SATISFIABLE
Models : 1+
Calls : 1
Time : 0.012s (Solving: 0.00s 1st Model: 0.00s ...)
CPU Time : 0.000s
```

### Example

The corresponding solution can be extracted from the answer set and then visualized as a solved SuDoku puzzle:

| 1 | 9 | 3 | 8 | 6 | 7 | 4 | 2 | 5 |
|---|---|---|---|---|---|---|---|---|
| 4 | 6 | 8 | 5 | 3 | 2 | 9 | 1 | 7 |
| 7 | 5 | 2 | 1 | 4 | 9 | 6 | 8 | 3 |
| 6 | 2 | 1 | 4 | 7 | 3 | 5 | 9 | 8 |
| 5 | 3 | 4 | 9 | 1 | 8 | 7 | 6 | 2 |
| 9 | 8 | 7 | 2 | 5 | 6 | 3 | 4 | 1 |
| 2 | 1 | 6 | 3 | 9 | 5 | 8 | 7 | 4 |
| 8 | 7 | 5 | 6 | 2 | 4 | 1 | 3 | 9 |
| 3 | 4 | 9 | 7 | 8 | 1 | 2 | 5 | 6 |

### Example

Actually, there are 2 solutions for this 16 clue puzzle. The other is obtained by exchanging the occurrences of 8 and 9:

| 1 | 8 | 3 | 9 | 6 | 7 | 4 | 2 | 5 |
|---|---|---|---|---|---|---|---|---|
| 4 | 6 | 9 | 5 | 3 | 2 | 8 | 1 | 7 |
| 7 | 5 | 2 | 1 | 4 | 8 | 6 | 9 | 3 |
| 6 | 2 | 1 | 4 | 7 | 3 | 5 | 8 | 9 |
| 5 | 3 | 4 | 8 | 1 | 9 | 7 | 6 | 2 |
| 8 | 9 | 7 | 2 | 5 | 6 | 3 | 4 | 1 |
| 2 | 1 | 6 | 3 | 8 | 5 | 9 | 7 | 4 |
| 9 | 7 | 5 | 6 | 2 | 4 | 1 | 3 | 8 |
| 3 | 4 | 8 | 7 | 9 | 1 | 2 | 5 | 6 |

### **Applications of ASP**

- Argumentation
- Code (super)optimization
- Configuration
- Cryptanalysis
- Database integration
- Decision support
- Diagnosis
- Games
- Music composition
- Phylogenetics
- Planning
- Semantic web
- Testing and verification
- Timetabling

(Abridged from http://www.cs.uni-potsdam.de/~torsten/asp/)

## **Application: Learning Markov Networks**

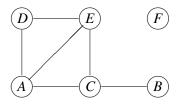
### Example

Heart disease dataset [Edwards and Havránek, 1985]:

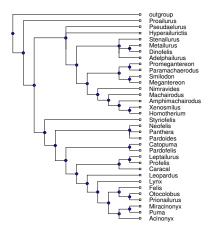
- A Smoking
- B Strenuous mental work
- C Strenuous physical work
- D Systolic blood pressure > 140
- E Ratio of beta and alpha lipoproteins > 3
- F Family anamnesis of coronary heart disease

# **Resulting Markov Network**

| $\boldsymbol{A}$                     | B | C | D | $\boldsymbol{E}$ | F |   |
|--------------------------------------|---|---|---|------------------|---|---|
| 1                                    | 1 | 0 | 1 | 0                | 0 | • |
| 1<br>0                               | 0 | 0 | 1 | 0                | 0 |   |
| 0                                    | 1 | 1 | 0 | 1                | 1 |   |
| 0                                    | 1 | 1 | 0 | 0                | 1 |   |
| 0                                    | 0 | 0 | 1 | 0                | 0 |   |
| 0                                    | 0 | 0 | 1 | 0                | 1 |   |
| 0                                    | 1 | 0 | 1 | 0                | 0 |   |
| 1                                    | 0 | 1 | 0 | 1                | 0 |   |
| 0<br>0<br>0<br>0<br>1<br>0<br>1<br>0 | 0 | 0 | 1 | 1                | 0 |   |
| 1                                    | 0 | 0 | 0 | 1                | 1 |   |
| 0                                    | 1 | 1 | 1 | 0                | 1 |   |
| 0                                    | 1 | 1 | 0 | 0                | 1 |   |
| 0                                    | 0 | 1 | 1 | 0                | 0 |   |
| 0                                    | 0 | 0 | 0 | 1                | 0 |   |
| :                                    | : | : | : | :                | : |   |



# **Application: Supertree Construction**



#### **Factors Behind the Success of ASP**

- The performance of computers has increased remarkably.
- Implementation techniques have advanced rapidly.
- Many efficient solvers are publicly available.

#### Download state-of-the-art tools from

```
http://potassco.sourceforge.net/
```

- the gringo grounder (4.5.4) and
- the clasp solver (3.2.0).
- Rule-based languages are highly expressive—enabling concise encodings for a wide variety of problems.
- ASP languages lend themselves to fast prototyping with little programming effort.

#### 3. SOME PREREQUISITES

- Propositional languages
- Interpretations and models
- Logical entailment
- First-order languages
- Structures
- Herbrand bases
- Herbrand structures and models
- Relational operations

# **Propositional Languages**

- Any set of atomic sentences  $\mathcal{P} \neq \emptyset$ , or atoms for short, induces a propositional language  $\mathcal{L}$  the set of well-formed sentences.
- Sentences are built using the atoms of P and propositional connectives ¬ (negation), ∧ (conjunction), ∨ (disjunction), → (implication), and ↔ (equivalence).
  - 1. Atomic sentences are sentences.
  - 2. If  $\alpha$  and  $\beta$  are sentences, then expressions of the forms  $(\neg \alpha)$ ,  $(\alpha \lor \beta)$ ,  $(\alpha \land \beta)$ ,  $(\alpha \to \beta)$ ,  $(\alpha \leftrightarrow \beta)$  are also sentences.
- Propositional theories T are defined as subsets of L.

#### Example

The theory  $T=\{r\vee g\vee b,\ \neg r\vee \neg g,\ \neg g\vee \neg b,\ \neg b\vee \neg r\}$  describes the 3-coloring of a single node in a graph.

## **Interpretations and Models**

- An interpretation I for  $\mathcal{L}$  is defined as any subset of  $\mathcal{P}$ :
  - 1. atoms in I are considered to be true and
  - 2. atoms in  $\mathcal{P} \setminus I$  are false.
- If  $\mathcal{P}$  is finite, there are  $|\mathbf{2}^{\mathcal{P}}| = 2^{|\mathcal{P}|}$  different interpretations, each of which represents a unique state of the world described in  $\mathcal{L}$ .
- The satisfaction I |= α of a sentence α ∈ L in an interpretation I is defined in the standard way.
- An interpretation I is a model of a theory T iff  $I \models T$ , i.e.,  $I \models \alpha$  holds for every  $\alpha \in T$ .

#### Example

The theory  $T = \{r \lor g \lor b, \neg r \lor \neg g, \neg g \lor \neg b, \neg b \lor \neg r\}$  based on  $\mathcal{P} = \{r, g, b\}$  has models  $M_1 = \{r\}, M_2 = \{g\}$ , and  $M_3 = \{b\}$ .

### **Logical Entailment**

- A sentence  $\alpha$  is a logical consequence of a theory T, denoted  $T \models \alpha$ , iff  $M \models \alpha$  for every model  $M \models T$ .
- The set of logical consequences  $Cn(T) = \{\alpha \in \mathcal{L} \mid T \models \alpha\}.$
- The operator  $Cn(\cdot)$  has the properties of a closure operator. For any  $T_1$  and  $T_2$ ,
  - 1.  $T_1 \subseteq \operatorname{Cn}(T_1)$ ,
  - 2.  $T_1 \subseteq T_2 \implies \operatorname{Cn}(T_1) \subseteq \operatorname{Cn}(T_2)$ , and
  - 3.  $Cn(Cn(T_1)) = Cn(T_1)$ .

### Example

Consider the theory  $T = \{a, \ a \to b, \ \neg b \lor c, \ d \to \neg c\}$  based on  $\mathcal{P} = \{a, b, c, d\}$ . The theory has a unique model  $M = \{a, b, c\}$ .  $\Longrightarrow \operatorname{Cn}(T) = \{a, \ a \to b, \ b, \ \neg b \lor c, \ c, \ d \to \neg c, \ \neg d, \ c \lor d, \ldots\}$ .

## First-Order Languages (I)

- ullet A first-order language  $\mathcal L$  is based on mutually disjoint sets of
  - constant symbols C,
  - variable symbols  $\mathcal{V}$ ,
  - function symbols  $\mathcal{F}$ , and
  - relation symbols  $\mathcal{R}$ .
- A term is either
  - 1. a constant symbol c from C,
  - 2. a variable symbol v from  $\mathcal{V}$ , or
  - 3. an expression of the form  $f(t_1,...,t_n)$  where f is a function symbol of arity n > 0 from  $\mathcal{F}$  and  $t_1,...,t_n$  are terms.

#### Remark

Constants represent function symbols of arity 0.

# First-Order Languages (II)

- An atomic formula is an expression of the form
  - 1. R for each relation symbol of arity 0 from  $\mathcal{R}$ ,
  - 2.  $t_1 = t_2$  where  $t_1$  and  $t_2$  are terms, or
  - 3.  $R(t_1,...,t_n)$  where R is a relation symbol of arity n > 0 from  $\mathcal{R}$  and  $t_1,...,t_n$  are terms.
- Atomic formulas are formulas.
- If  $\alpha$  and  $\beta$  are formulas and  $\nu$  is a variable from  $\mathcal{V}$ , then  $(\neg \alpha), (\alpha \lor \beta), (\alpha \land \beta), (\alpha \to \beta), (\alpha \leftrightarrow \beta), (\forall \nu \alpha), \text{ and } (\exists \nu \alpha)$  are also formulas.
- A sentence is a formula having no free occurrences of variables.

### Structures (I)

- ullet An interpretation for a first-order language  ${\cal L}$  is a structure S based on a universe U which is any non-empty set and
  - 1. each  $c \in \mathcal{C}$  is mapped to an element  $c^S \in U$ ,
  - 2. each  $v \in \mathcal{V}$  is mapped to an element  $v^S \in U$ ,
  - 3. each  $f \in \mathcal{F}$  is mapped to a function  $f^{\mathcal{S}}: U^n \to U$  where n is the arity of f, and
  - 4. each  $R \in \mathcal{R}$  with an arity n is mapped to a relation  $R^S \subseteq U^n$ .
- Given a structure S, each term t is mapped to an element  $t^s \in U$ .

#### Example

Given a constant symbol 0 and a unary (of arity 1) function symbol s we may define a structure S based on  $U = \{0, 1, 2, \ldots\}$  by setting  $0^S = 0$  and  $s^S : x \mapsto x + 1$ . Thus  $(s(s(s(0))))^S = 3$ .

## Structures (II)

- Atomic formulas R,  $t_1 = t_2$ , and  $R(t_1, ..., t_n)$  are satisfied by S iff  $\langle \rangle \in R^S$ ,  $(t_1)^S = (t_2)^S$ , and  $\langle (t_1)^S, ..., (t_n)^S \rangle \in R^S$ , respectively.
- The satisfaction of a first order formula/sentence  $\alpha$  in a structure is defined in the standard way.
- Structures that are models of sentences  $(S \models \alpha)$  and theories  $(S \models T)$  are distinguished in analogy to propositional logic.
- The definition of  $T \models \alpha$ , i.e., whether a sentence  $\alpha$  is a logical consequence of a theory T, remains unchanged.

### Example

For  $T = \{E(0), \ \forall x (E(x) \to O(s(x))), \ \forall x (O(x) \to E(s(x)))\}$  formalizing even natural numbers:  $T \models E(s(s(0)))$  but  $T \not\models \neg E(s(0))$ .

#### **Herbrand Bases**

- A ground term is a term having no occurrences of variables.
- Given the sets  $\mathcal C$  and  $\mathcal F$  (see above), the Herbrand universe is the set of ground terms constructible using the symbols of  $\mathcal C$  and  $\mathcal F$ .
- Given the set  $\mathcal{R}$ , the Herbrand base consists of atomic sentences  $R(t_1,\ldots,t_n)$  where  $R\in\mathcal{R}$  is of arity n and each  $t_i$  is a ground term.
- A Herbrand instance of an atomic formula  $R(t_1,...,t_n)$  is obtained by substituting ground terms for variables occurring in  $t_1,...,t_n$ .
- We may also define the Herbrand base Hb(T) of a theory T by inspecting which constant/function symbols occur in T.

#### Example

For the previous theory T formalizing even natural numbers, we have  $\mathrm{Hb}(T)=\{E(0),O(0),E(s(0)),O(s(0)),\ldots\}.$ 

#### **Herbrand Structures and Models**

- A Herbrand structure S is based on a fixed interpretation of constant and function symbols over the Herbrand universe:
  - 1. Each  $c \in \mathcal{C}$  is mapped to  $c^S = c$ .
  - 2. Each  $f \in \mathcal{F}$  is mapped to  $f^S : \langle t_1, \dots, t_n \rangle \mapsto f(t_1, \dots, t_n)$ .
  - ⇒ Only interpretations of variables and relations can vary!
- Any Herbrand structure S can be viewed as a propositional interpretation  $I = \{R(t_1, ..., t_n) \in Hb(T) \mid S \models R(t_1, ..., t_n)\}.$
- A Herbrand model M of a theory T is a Herbrand structure that satisfies all sentences of T.

### Example

For the theory T formalizing even natural numbers, we have a Herbrand model  $M=\{E(0),O(s(0)),E(s(s(0))),O(s(s(s(0)))),\ldots\}.$ 

# **Relational Operations**

Assume that  $R_1$  and  $R_2$  are binary relations (of arity 2) over a fixed universe U, i.e.,  $R_1 \subseteq U^2$  and  $R_2 \subseteq U^2$ .

- 1. The union of  $R_1$  and  $R_2$  is  $R_1 \cup R_2 = \{\langle x, y \rangle \in U^2 \mid \langle x, y \rangle \in R_1 \text{ or } \langle x, y \rangle \in R_2\}.$
- 2. The intersection of  $R_1$  and  $R_2$  is  $R_1 \cap R_2 = \{\langle x, y \rangle \in U^2 \mid \langle x, y \rangle \in R_1 \text{ and } \langle x, y \rangle \in R_2\}.$
- 3. The projections of  $R_1$  w.r.t. the first/second arguments are  $P_1 = \{x \in U \mid \langle x, y \rangle \in R_1\}$  and  $P_2 = \{y \in U \mid \langle x, y \rangle \in R_1\}$ .
- 4. The composition of  $R_1$  of  $R_2$  is  $R_1 \circ R_2 = \{\langle x, y \rangle \in U^2 \mid \langle x, z \rangle \in R_1 \text{ and } \langle z, y \rangle \in R_2\}.$

#### **OBJECTIVES**

- You have the necessary premises for the course, i.e., you are familiar with the syntax and semantics of classical logic.
- You know the main characteristics of declarative programming languages and understand the difference w.r.t. procedural ones.
- You understand the conceptual model of answer set programming.
- You are able to list the basic steps which are required to to apply ASP in declarative problem solving.

#### TIME TO PONDER

#### Definition

The set of classical models associated with a propositional theory T is  $CM(T) = \{M \subseteq Hb(T) \mid M \models T\}.$ 

#### **Problem**

Let  $T_1$  and  $T_2$  be arbitrary propositional theories which may be based on different Herbrand bases  $Hb(T_1)$  and  $Hb(T_2)$ .

Which one of the following equations is correct in general?

- 1.  $CM(T_1 \cup T_2) = CM(T_1) \cap CM(T_2)$ .
- 2.  $CM(T_1 \cup T_2) = \{M_1 \cup M_2 \mid M_1 \in CM(T_1) \text{ and } M_2 \in CM(T_2)\}.$
- 3.  $CM(T_1 \cup T_2) = \{M_1 \cup M_2 \mid M_1 \in CM(T_1), M_2 \in CM(T_2), \text{ and } M_1 \cap C = M_2 \cap C\}$ where  $C = Hb(T_1) \cap Hb(T_2)$  gives atoms common to  $T_1$  and  $T_2$ .