



# ASYMPTOTICS

Big O: upper bound: could grow  $\leq f(x)$  at most as fast  
 Big Ω: lower bound: could grow  $\geq f(x)$  at least as slow

Big Θ: TIGHTEST bound: when upper/lower converge to same value

Best vs Worst case: represented w/ tight bound  $\Theta$   
 ↓ exit as fast as possible    ↓ exit as slow as possible  $O(1) < O(\lg N) < O(N) < O(N\lg N) < O(n^2) < O(x^n) < O(n!)$

|                | ordered array   | bulky BST        | HashTable   | Heap             |
|----------------|---|------------------|-------------|------------------|
| add            | $\Theta(N)$   | $\Theta(\log N)$ | $\Theta(1)$ | $\Theta(\log N)$ |
| getSmallest    | $\Theta(1)$   | $\Theta(\log N)$ | $\Theta(N)$ | $\Theta(1)$      |
| removeSmallest | $\Theta(N)$   | $\Theta(\log N)$ | $\Theta(N)$ | $\Theta(\log N)$ |
|                | $1+2+3+\dots+n \in \Theta(n^2)$<br>$1+3+5+\dots+\log(n) \in O(\log^2 n)$<br>$1+\log(1)+\log(2)+\dots+\log(n) \in \Theta(N \log N)$<br>$1+2+4+8+\dots+n \in \Theta(n)$<br>$1+3+9+27+\dots+n \log n \in \Theta(n \log n)$ |                  |             |                  |
|                |   |                  | arithmetic  |                  |
|                |   |                  |             | geometric        |

public f1(int n)

```

if N == 0
    return
f1(N/2)
f1(N/2)

```



N logN layers  
N work / layer  
↓  
 $O(N \log N)$

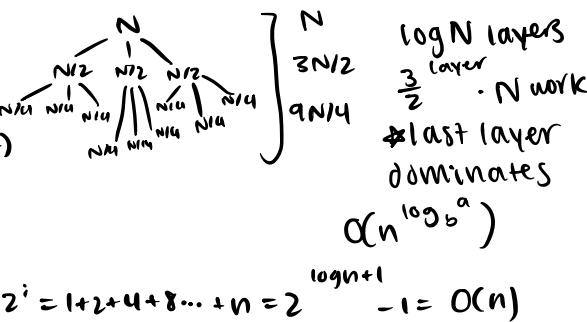
public f1(int n) {

```

if (N==1)
    return
for (int i=0; i<=N; i++)
    print(i)
f1(N/2)
f1(N/2)
f1(N/2)

```

$$\sum_{i=1}^{\log N} 2^i = 1+2+4+8\dots+n = 2^{\log N+1} - 1 = O(n)$$



$O(n^{\log_2 3})$

$2^{\log N+1} - 1 = O(n)$

## Disjoint Sets

public interface DisjointSet

```

void connect(x,y) ← connect nodes x & y
boolean isConnected(x,y) ← true if x & y connected

```

QUICKFIND = array of integers

QUICKUNION = stores parent of each node k merges by changing parents

W&V = same as QU, merges smaller into larger (reduce stringiness)

W&V w/ path comp: set parent of node to set's root

whenever isConnected(a,b) is called

WRITE OUT WORK FOR DIFF VALUES N

for (i=0; i<n; i++) { // code } → power of n

for (i=1; i<n; i+=2) { // code } ] → factor of logn

### RECURSIVE CALLS

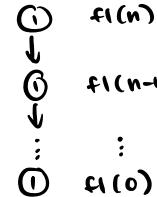
- ① runtime of single layer  $1+2+\dots+n = O(n^2)$
- ② draw tree based on # of calls  $1+2+4+8+\dots+n = O(n)$
- ③ sum work / layer  $1+2+3+\dots+\log n = (\log n)^2$
- ④ sum up layers \* look at height of tree ↑ largest term

public f1(int n)

```

if n<=0
    return 0
else
    return n+f1(n-1)

```

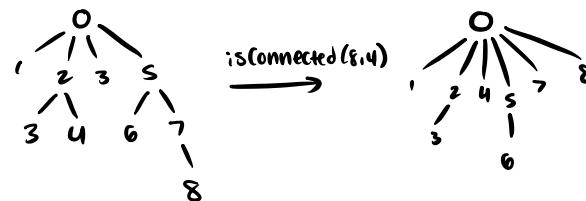


### \*BREAK UP SUMS

1 work + N levels = N

$$S_n = \sum_{i=1}^n a_i = \frac{n(a_1+a_n)}{2}$$

Path compression: tie all traversed nodes to root (when isConnected() is called)



\* means on avg

STACKS : LIFO

LAST IN, FIRST OUT

QUEUES : FIFO

FIRST IN, FIRST OUT

| CONSTRUCTOR | connect()               | isConnected()           |
|-------------|-------------------------|-------------------------|
| $\Theta(N)$ | $O(N)$                  | $O(1)$                  |
| $\Theta(N)$ | $O(N)$                  | $O(N)$                  |
| $\Theta(N)$ | $O(\log N)$             | $O(\log N)$             |
| $\Theta(N)$ | $O(\log^2 N)$           | $O(\log^2 N)$           |
|             | $\underline{\Theta(1)}$ | $\underline{\Theta(1)}$ |
|             | long run                | long run                |

To traverse a tree

- use nodes and use left and right pointers to move down tree

Insert: start from root: <root → move left

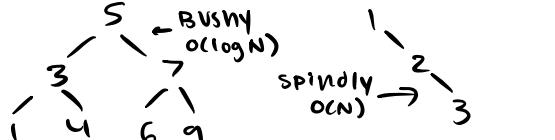
>root → move right

create new node when we hit null

# order of insertion → height

Delete: no children → remove node

1 child → child replaces deleted node (recurse until leaf),  
 replace w/  
 2 child → leftmost node on right or rightmost on left



BSTs ① Node serves as root for smaller tree

② Node in left subtree < root

③ Node in right subtree > root

DELETE: no children → remove node

1 child → child replaces deleted node (recurse until leaf),  
 replace w/  
 2 child → leftmost node on right or rightmost on left

# B-Trees / 2-3 trees

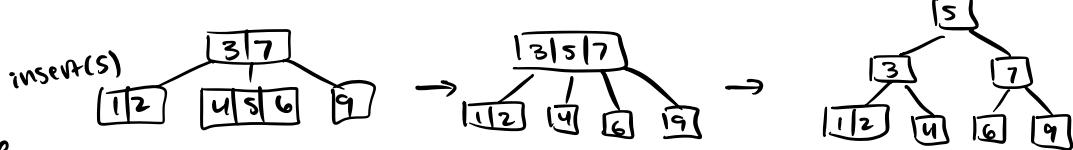
\*BALANCED!!

\*minimize split & pop for minimum tree

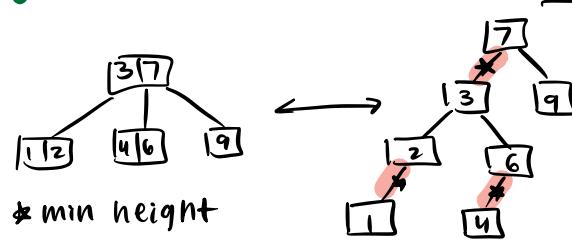
- each node up to 2 items & 3 children
- insert into existing node
- < all value → left
- > all value → right
- in between → middle



$\Theta \log N$  to find node  
\*lower worst case runtime



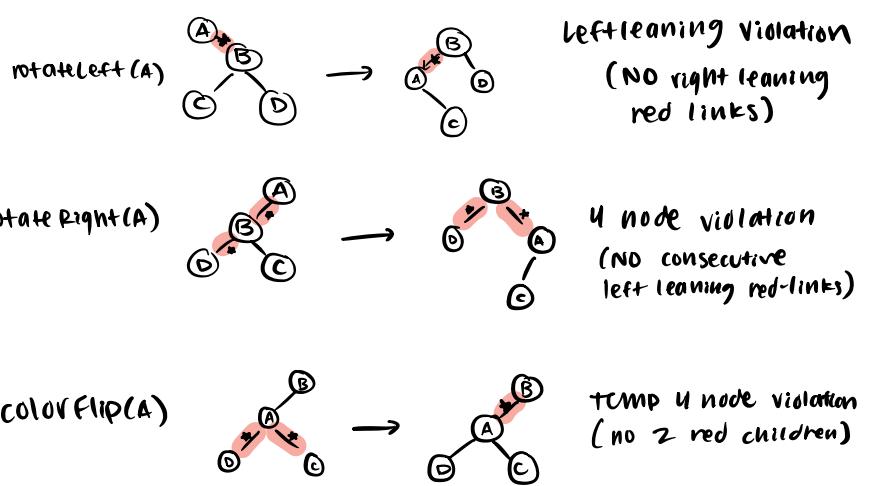
**LLRB** - same structure: use red-links to rep nodes w/ multiple values



\*min height  
= binary tree

\*must have  
same # of black  
links from root  
to null nodes

insert w/ redlink → apply fixups



## Hashing

data → hashcode → Math.floorMod(HashCode, capacity)

→ index to buckets

Hash function: map object w/ integer

- ① Deterministic  $H(x) = H(x) \rightarrow$  same value for any  $x$
- ② Equal for value that's .equals()  $H(x) = H(z) = y$   
if  $x.equals(z)$

Insertion:

- ① compute hash key - obj.getHashCode()
- ② find bucket:  $H(key) \% arr.length$
- ③ scan nodes in bucket: if key exists  $\xrightarrow{\text{update for HashMap}}$  nothing for HashSet  
if not  $\rightarrow$  insert end of list

amortized:  $O(1)$  for search, insertion, deletion

worst:  $O(N)$  → lots in same bucket

resize:  $O(N)$  →  $O(1)$  inserted  $N$  times

GOOD IF ① uniformly distributed

② fast to compute

\*\*.equals() matches comparing  
hashcodes \*\*

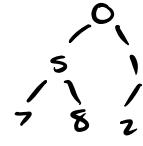
resize after load factor reached

load factor =  $N/M$

$\uparrow$   
 $\# \text{buckets}$   
 $\uparrow$   
 $\# \text{items}$

**Heaps**: represented as arrays

- ① not stored @ index 1 (not 0)
- ② left child @ index  $2i$
- ③ right child @ index  $2i+1$



\*complete tree: every level full (except last)  
and all nodes are far left as possible

**Priority Queue**: like queue where elements sorted on priority (ie min/max)

\* bubbling up = linear

\* min-heap: every node  
can be  $\leq$  children  
 $\rightarrow [1, 0, 5, 1, 7, 8, 2]$

|           | Best   | Worst       |
|-----------|--------|-------------|
| insert    | $O(1)$ | $O(\log N)$ |
| findMin   | $O(1)$ | $O(1)$      |
| removeMin | $O(1)$ | $O(\log N)$ |

**INJECTION**: insert into next available → bubble up  
**DELETION**: swap bottom rightmost w/ root → sink down  
**getSmallest**: return root

# Graphs

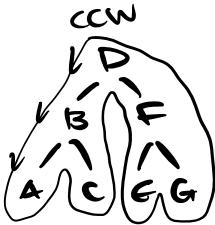
DFS: visit each subtree recursively (w/ stack)

adjacency lists: nodes connected to each node

fringe = datastructure to keep track of nodes to visit

root = where we start traversing

DBFACEG → \*level ordering = BFS



## TREE TRAVERSAL

① Preorder: \* visit crossing LEFT

print(x.key)  
preorder(x.left)  
preorder(x.right)  
mark parent then its child  
(visit, go left, go right)  
DBACFEG

② Postorder \* cross RIGHT

postorder(x.left)  
postorder(x.right)  
print(x.key)  
mark all children then parent  
ACBEGFD

③ In-order: cross BOTTOM

inOrder(x.left)  
print(x.key)  
inOrder(x.right)  
mark left → self → right  
ABCDEFG

## GENERAL GRAPH TRAVERSALS

BFS: in order of distance

Pre-order: visit, go to children

Post-order: go to children, visit

In-order: N/A

- process node as soon as it enters stack myself, then all children
- process node as soon as it leaves all children, then myself

\* FOR BFS:

distance to all item  
on queue is always  
K or K+1

## DFS:

initialize fringe (empty stack)

while fringe not empty:

pop vertex off fringe

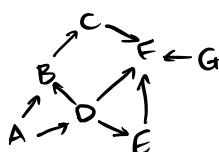
if vertex not marked:

mark + visit vertex

for each neighbor of vertex

if neighbor not marked

push to fringe



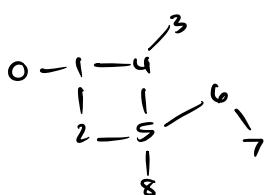
DFS pre-order: A B C F D E G

DFS post-order: F C B E D A G

① Go A → B → C → F & return F → C → B

② Go A → D → E & return E → D → A

③ Go G & return G



DFS pre-order: 0 1 2 5 4 3 6 7 8  
postorder: 3 4 7 6 8 5 2 1 0

\* figure out how to break ties  
& remain consistent

① Go 0 → 1 → 2 → 5 → 4 → 3  
return 3 → 0

② Go 5 → 6 → 7 & return 7 → 6

③ Go 5 → 8 & return 8

④ return 5 → 2 → 1 → 0

$$T(n) = aT\left(\frac{n}{b}\right) + O(n^d)$$

a = # of func being called recursively

b = # of work being divided

d = exponent of work done on each level

$$T(n) = \begin{cases} O(n^d) & d > \log_b a \\ O(n^{\log_b a}) & d < \log_b a \\ O(n^d \log n) & d = \log_b a \end{cases}$$

d: exponent of work done on each level

|             | Access    | Search    | Insertion | Deletion  |
|-------------|-----------|-----------|-----------|-----------|
| Array       | O(1)      | O(n)      | O(n)      | O(n)      |
| Linked List | O(n)      | O(1)      | O(1)      | O(1)      |
| Doubly LL   | O(n)      | O(1)      | O(1)      | O(1)      |
| HashTable   | N/A       | O(1)      | O(1)      | O(1)      |
| BST         | O(log(n)) | O(log(n)) | O(log(n)) | O(log(n)) |
| B-Tree      | O(log(n)) | O(log(n)) | O(log(n)) | O(log(n)) |
| LLRB        | O(log(n)) | O(log(n)) | O(log(n)) | O(log(n)) |
| Heap        | N/A       | O(log(n)) | O(log(n)) | O(log(n)) |

# Sorting

|           | Memory           | Runtime   | Notes                        | Stable         |
|-----------|------------------|---|------------------------------|----------------|
| Heapsort  | $\Theta(n)$      | Best: $\Theta(n \log n)$<br>Worst: $\Theta(n^2 \log n)$ | Bad caching                  | No ✗           |
| Insertion | $\Theta(n)$      | Best: $\Theta(n)$<br>Worst: $\Theta(n^2 \log n)$        | $\Theta(n)$ if almost sorted | Yes ✓          |
| Merge     | $\Theta(n)$      | $\Theta(n \log n)$                                      |                              | Yes ✓          |
| Quicksort | $\Theta(\log n)$ | Best: $\Theta(n \log n)$<br>Worst: $\Theta(n^2)$        | fastest compare sort         | No (typically) |
| Counting  | $\Theta(n+r)$    | $\Theta(n+r)$   | alphabet keys only           | Yes ✓          |
| LSD       | $\Theta(n+r)$    | $\Theta(wn+wk)$   | strs of alphabet keys only   | Yes ✓          |
| MSD       | $\Theta(n+wr)$   | Best: $\Theta(n+r)$<br>Worst: $\Theta(wn+wr)$           | bad caching                  | Yes ✓          |
| Selection | $\Theta(1)$      | $\Theta(n^2)$   |                              | No ✗           |

N: # of keys

W: width of longest key

R: size of alphabet

✗: constant compare time

## Hoare ex

|    |    |    |    |    |    |    |    |    |   |   |   |
|----|----|----|----|----|----|----|----|----|---|---|---|
| 15 | 19 | 32 | 2  | 26 | 41 | 17 | 17 | 3  | 9 | 4 | 1 |
| 17 | 15 | 17 | 32 | 2  | 26 | 41 | 17 | 19 | 1 | 3 | 9 |
| 17 | 15 | 17 | 32 | 2  | 26 | 41 | 17 | 19 | 1 | 3 | 9 |
| 17 | 15 | 17 | 17 | 2  | 26 | 41 | 32 | 19 | 1 | 3 | 9 |
| 2  | 15 | 17 | 17 | 17 | 26 | 41 | 32 | 19 | 1 | 3 | 9 |

RESET!

\* random pivots

\* shuffle before sorting \* Best pivot is median

|    |    |    |    |    |    |    |    |  |
|----|----|----|----|----|----|----|----|--|
| 18 | 7  | 22 | 34 | 99 | 18 | 11 | 4  | Best = pivot lands in middle<br>$\Theta(n \log n)$ |
| 7  | 11 | 4  | 18 | 22 | 34 | 99 |    |  |
| 4  | 7  | 11 | 18 | 18 | 22 | 34 | 99 | Worst = pivot @ beginning<br>$\Theta(n^2)$         |

→ requires stable subroutine

LSD: sort each digit indep from rightmost digit to left

|     |     |     |     |     |     |   |                  |
|-----|-----|-----|-----|-----|-----|---|------------------|
| 582 | 675 | 591 | 189 | 900 | 770 | 2 | look at groups   |
| 900 | 770 | 591 | 582 | 675 | 189 |   | of sorted digits |
| 900 | 770 | 675 | 582 | 189 | 591 |   |                  |
| 189 | 582 | 591 | 675 | 770 | 900 |   |                  |

## QUICK SORT : \* using pivot

choose pivot  
everything lower ← left  
everything higher → right  
left = 1st pivot  
l pointer dislikes ≥  
G pointer dislikes ≤  
dislike + stop → swap  
l overcome G: swap pivot w/ G pointer & repeat

Hoare in-place partitioning

COMPARISON SORTS: at least  $\log n$  comparisons  
COUNTING SORTS: create new arr & copy item w/ key i into index i

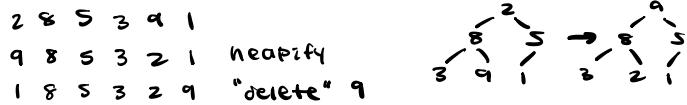
selection: swap minimum from unsorted to true front  
\* Front items sorted first

insertion: \* sorted & unsorted half

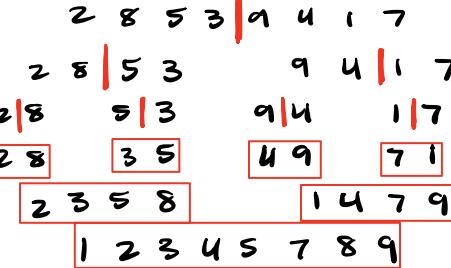
|   |   |   |   |   |   |
|---|---|---|---|---|---|
| 2 | 8 | 5 | 3 | 9 | 4 |
| 2 | 5 | 8 | 3 | 9 | 4 |
| 2 | 3 | 5 | 8 | 9 | 4 |
| 2 | 3 | 5 | 8 | 9 | 4 |
| 2 | 3 | 4 | 5 | 8 | 9 |

Best case: all sorted

Heap: sort into max heap and keep selecting max/top element to place into sorted partition @ end



Merge: \* splitting in half & recombine → divide & conquer divide into equal parts, recursively sort halves, merge results



Best case:  $\Theta(n+r)$  w/ only 1 pass of tog digit  
Worst:  $\Theta(wn+wr)$  w/ looking @ every char

→ does NOT require stable subroutine

MSD: sort each digit from left to right

|     |     |     |     |     |     |
|-----|-----|-----|-----|-----|-----|
| 582 | 675 | 591 | 189 | 900 | 770 |
| 189 | 582 | 591 | 675 | 770 | 900 |
| 189 | 582 | 591 | 675 | 770 | 900 |

## Dijkstra's : SPT

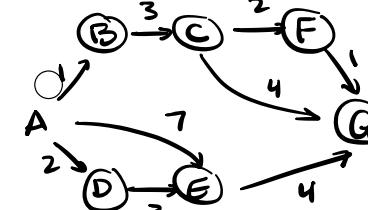
node to every other node in graph

- ① Pop node from front of PQ
- ② Add/update distances of all children
- ③ Resort PQ
- ④ Finalize distance to current node from root
- ⑤ Repeat while PQ not empty

\* use PQ \*

heap

## NO NEG WEIGHTS



## \* KEEP TRACK OF TOTAL DIST FROM ROOT

directed / undirected / cyclic ✓

## A\* : Dijkstra but w/ heuristic SPT

use: (distance from start) + (heuristic)

\* Admissible: heuristic val NEVER exceeds true distance: heuristic(v, target) ≤ true dist(v, target)

\* Consistent: heuristic(v, target) ≤ dist(v, w) + heuristic(w, target)

SPT:  $O((E + V) \log V) \rightarrow E \log V$  Priority queue add/remove vertices

visit A:

|      |   |   |   |   |   |   |
|------|---|---|---|---|---|---|
| A    | B | C | D | E | F | G |
| dist | 0 | 1 | ∞ | 2 | 7 | ∞ |
| edge | - | A | - | A | A | - |

[1]

PQ: B, D, E

visit B:

|      |   |   |   |   |   |   |
|------|---|---|---|---|---|---|
| A    | B | C | D | E | F | G |
| dist | 0 | 1 | 4 | 2 | 7 | ∞ |
| edge | - | A | B | A | A | - |

[2]

PQ: D, C, E

visit C:

|      |   |   |   |   |   |   |
|------|---|---|---|---|---|---|
| A    | B | C | D | E | F | G |
| dist | 0 | 1 | 4 | 2 | 5 | 6 |
| edge | - | A | B | A | D | C |

[3]

|      |   |   |   |   |   |   |
|------|---|---|---|---|---|---|
| A    | B | C | D | E | F | G |
| dist | 0 | 1 | 4 | 2 | 5 | 6 |
| edge | - | A | B | A | D | C |

[4]

|      |   |   |   |   |   |   |
|------|---|---|---|---|---|---|
| A    | B | C | D | E | F | G |
| dist | 0 | 1 | 4 | 2 | 5 | 6 |
| edge | - | A | B | A | D | C |

[5]

|      |   |   |   |   |   |   |
|------|---|---|---|---|---|---|
| A    | B | C | D | E | F | G |
| dist | 0 | 1 | 4 | 2 | 5 | 6 |
| edge | - | A | B | A | D | C |

[6]

# Minimum Spanning Tree

## Kruskal's Algorithm

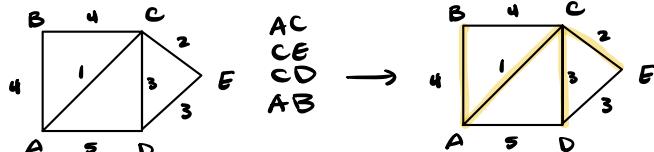
while there are still nodes not in MST:

- Add lightest edge that doesn't create a cycle
- Add endpoints of that edge to set of nodes in MST

\* Edges sorted in non-decreasing order of weight  
\* start w/ vertex carrying minimum weight

: minimizes global sum of weights

shortest net path around graph to hit ALL nodes that's NOT cyclic



\* doesn't have to be adjacent edge

\* MULTIPLE ON SAME GRAPH

- \* USES WQU and path compression
- \* V-1 edges
- \* Sorting:  $O(E \log E)$
- \* Total:  $O(E \log V)$

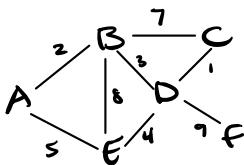
## PRIM'S ALGORITHM

works w/ neg edge weights

- ① Start w/ any node
- ② Add that node to nodes in MST
- ③ While there are still nodes NOT in MST:
  - Add the lightest edge from node in MST that leads to unvisited node
  - Add new node to set of MST nodes

CUT PROPERTY: given any cut, any min weight crossing edge in MST

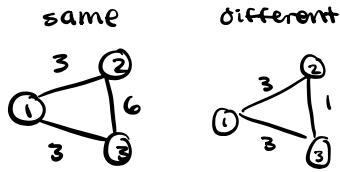
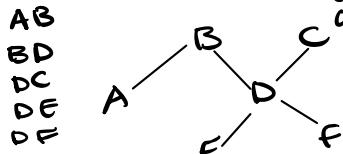
↑ assignment of graph's nodes to 2 non-empty sets



↑ edge that connects node from one set to node from other set

CUT PROPERTY: smallest edge spanning current vertex & others always in MST

Time complexity:  $O(V^2)$



Unique edge weights = 1 MST

Duplicate edge weights → different MSTs w/ diff tiebreaking

| KRUSKAL'S    | # of times | Time per op   | Total time      |
|--------------|------------|---------------|-----------------|
| insert       | E          | $O(\log E)$   | $O(E \log E)$   |
| delete min   | $O(E)$     | $O(\log E)$   | $O(E \log E)$   |
| union        | $O(V)$     | $O(\log^* V)$ | $O(V \log^* V)$ |
| is connected | $O(E)$     | $O(\log^* V)$ | $O(E \log^* V)$ |

|     | SPT              | Dijkstra's      | runtime ( $E \geq V$ ) |                       |
|-----|------------------|-----------------|------------------------|-----------------------|
| MST | Prim's           | $O(E \log V)$   | $O(E \log V)$          | Fails for neg weights |
| MST | Kruskal's        | $O(E \log E)$   | $O(E \log E)$          | = Dijkstra's          |
| MST | Kruskal's sorted | $O(E \log^* V)$ | $O(E \log^* V)$        | WQUPC                 |

WQUPC

|            |                  |
|------------|------------------|
| DFS        | $O(V + E)$       |
| BFS        | $O(V + E)$       |
| Dijkstra's | $O((V+E)\log V)$ |
| A*         | $O((V+E)\log V)$ |
| Prim's     | $O((V+E)\log V)$ |
| Kruskal's  | $O(E \log E)$    |

(min/max)

PQ: elements sorted on priority

.add() to keep K max elems  
.size() in min heap:

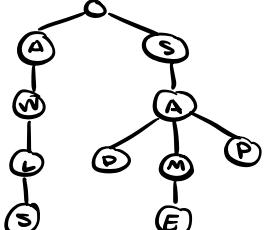
```
for (i<n):
    pq.add(i)
    if (pq.size() > k):
        pq.removeSmallest
```

Tries: each node corresponds to single char

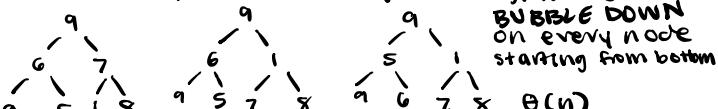
INSERTION:  $O(n)$   
↑ key length

PREFIX SEARCH:  $\Theta(M)$  len of str

a  
awls  
sad  
sam  
same  
sap



Bottom-up heapification: treat array as heap  
rearrange nodes



BUBBLE DOWN  
on every node  
starting from bottom

$\Theta(n)$

TOP-down heapification:  
start w/ empty heap and  
inserts all elements into it  
worst case:  $\Theta(n \log n)$



TOP-DOWN HEAPIFICATION:  
start w/ empty heap and  
inserts all elements into it  
worst case:  $\Theta(n \log n)$