Valuative capacity of compact subsets of ultrametric spaces

Anne Johnson

August 1, 2019

([F]) Let $K \subseteq \mathbb{C}$ be a compact subset. Fix $n \in \mathbb{N}$, and for $z = (z_1, \ldots, z_n) \in K^n$, consider

$$\delta_n(z) = \prod_{j < i} |z_i - z_j|^{\frac{2}{(n(n-1))}}$$

An element $z=(z_1,\ldots,z_n)\in K^n$ is called a **Fekete n-tuple** if z maximizes δ_n over all n-tuples in K.

[F] Let K be a compact subset of a metric space, (M, ρ) . Fix $n \in \mathbb{N}$, and for $z = (z_1, \ldots, z_n) \in K^n$, consider

$$\delta_n(z) = \prod_{j < i} \rho(z_i, z_j)^{\frac{2}{(n(n-1))}}$$

An element $z = (z_1, ..., z_n) \in K^n$ is called a **generalized Fekete n-tuple** if z maximizes δ_n over all n-tuples in K.

([B2]) Let S be a subset of \mathbb{Z} and let p be any prime. A p-ordering of S is a sequence, $\{a_i\}_{i\geq 0}$ in S, such that a_0 is arbitrary and for i>0, a_i minimizes

$$v_p(\prod_{j< i}(z-a_j))$$

over $z \in S$.

([B2]) Let S be a subset of \mathbb{Z} and let p be any prime. A p-ordering of S is a sequence, $\{a_i\}_{i\geq 0}$ in S, such that a_0 is arbitrary and for i>0, a_i minimizes

$$v_p(\prod_{j< i}(z-a_j))$$

over $z \in S$.

▶ p—orderings give a recursive construction for generalized Fekete n—tuples.

([B2]) Let S be a subset of \mathbb{Z} and let p be any prime. A p-ordering of S is a sequence, $\{a_i\}_{i\geq 0}$ in S, such that a_0 is arbitrary and for i>0, a_i minimizes

$$v_p(\prod_{j< i}(z-a_j))$$

over $z \in S$.

▶ p—orderings give a recursive construction for generalized Fekete n—tuples!

([F])Let $K \subseteq \mathbb{C}$ be a compact subset. The **transfinite diameter** of K is

$$\lim_{n\to\infty} [\max_{z} \ \delta_n(z)]$$

where the maximum is taken over all n-tuples in K.

([Ch], theorem 4.2) Let E be a subset of V, a rank-one valuation domain with valuation v. If $\{a_i\}_{i\geq 0}$ is v-ordering of E, then

$$\lim_{n \to \infty} \frac{1}{n} \sum_{k=0}^{n-1} v(a_n - a_k) = \frac{2}{n(n+1)} \inf_{x_0, \dots, x_n \in E} v(\prod_{0 \le j < i \le n} (x_i - x_j))$$

([J1]) Let S be a compact subset of (M, ρ) . A ρ -ordering of S is a sequence, $\{a_i\}_{i\geq 0}$ in S, such that a_0 is arbitrary and for i>0, a_i maximizes

$$\prod_{j< i} \rho(z, a_j))$$

over $z \in S$.

([J1]) Let S be a compact subset of (M, ρ) . A ρ -ordering of S is a sequence, $\{a_i\}_{i\geq 0}$ in S, such that a_0 is arbitrary and for i>0, a_i maximizes

$$\prod_{j< i} \rho(z, a_j))$$

over $z \in S$.

► The terms $\prod_{i=0}^{n} \rho(a_n - a_j)$ do not depend on the choice of ρ -ordering. We call this the ρ -sequence of S.

ho- orderings give a recursive construction for generalized Fekete n-tuples.

- ho- orderings give a recursive construction for generalized Fekete n-tuples.
- ► The limit

$$\omega(S) := \lim_{n \to \infty} \left[\prod_{i=0}^{n} \rho(a_n - a_i) \right]^{\frac{1}{n}}$$

is called the **valuative capacity** of S.

Valuative capacity has the following properties:

• translation-invariance, i.e., $\omega(a+S) = \omega(S)$ (under a translation-invariant operation)

Valuative capacity has the following properties:

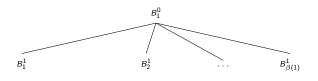
- translation-invariance, i.e., $\omega(a+S) = \omega(S)$ (under a translation-invariant operation)
- ▶ scaling, i.e., $\omega(bS) = |b|\omega(S)$ (under a multiplicative norm)

Valuative capacity has the following properties:

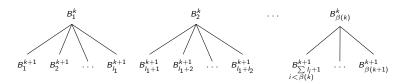
- ► translation-invariance, i.e., $\omega(a+S) = \omega(S)$ (under a translation-invariant operation)
- ▶ scaling, i.e., $\omega(bS) = |b|\omega(S)$ (under a multiplicative norm)
- decomposition, i.e.,

$$\frac{1}{\log(\frac{\omega(S)}{d})} = \sum_{i=1}^{n} \frac{1}{\log(\frac{\omega(A_i)}{d})}$$

for
$$d = diam(S)$$
 and $\rho(A_i, A_j) = d, \forall i, j$



... ...



- ho_{k+1} —ordering of $S_{\gamma_{k+1}}$ is found by selecting elements of each B_i^k in order as much as possible, and skipping to B_{i+1}^k , when it is not possible
- ▶ to build a ρ —ordering of S from the above, it suffices only to make a choice of centres for each of B_i^k 's.

If S is a semi-regular ultrametric space, δ is the characteristic sequence of S, β is the structure sequence of S, and α is the sequence describing the semi-regularity, then

$$v_{\gamma_k}(\delta(n)) = \lfloor \frac{n}{\beta(k)} \rfloor - \lfloor \frac{n}{\beta(k+1)} \rfloor = \sum_{j=1}^{\alpha(k)-1} \lfloor \frac{n+j \cdot \beta(k)}{\alpha(k)\beta(k)} \rfloor$$

Let S be a regular, tame subset of a compact ultrametric space with $\gamma_k=q^{c_k}$ for some $c_k\in\mathbb{Q}$ and for all $k\in\mathbb{N}\cup 0$. Then

$$v_q(\delta(n)) = c_0 n + \sum_{k=1}^{\infty} (c_k - c_{k-1}) \cdot \lfloor \frac{n}{q^k} \rfloor$$

and

$$log_q(\omega(S)) = \lim_{n \to \infty} c_0 + \frac{1}{n} \sum_{k=1}^{\infty} (c_k - c_{k-1}) \cdot \lfloor \frac{n}{q^k} \rfloor$$

Let (M_i, ρ_i) , for i in some finite index set I, be a collection of metric spaces and suppose ρ_i is an ultrametric for each i. Then (M, ρ_{∞}) is an ultrametric space, where $M = M_1 \times M_2 \times \ldots \times M_n$ and ρ_{∞} is the metric described above.

Let (M_i, ρ_i) , for i in some finite index set I, be a collection of metric spaces and suppose ρ_i is an ultrametric for each i. Then (M, ρ_{∞}) is an ultrametric space, where $M = M_1 \times M_2 \times \ldots \times M_n$ and ρ_{∞} is the metric described above.

Let $M=(\mathbb{Z}^n,\rho_{p,\infty})$ be the ultrametric space with points equal to the *n*-fold product of (\mathbb{Z},ρ_p) (for $n<\infty$) for some fixed prime p. The valuative capacity of M is $(\frac{1}{p})^{\frac{1}{p^n-1}}$.

Let (M_i, ρ_i) , for i in some finite index set I, be a collection of metric spaces and suppose ρ_i is an ultrametric for each i. Then (M, ρ_{∞}) is an ultrametric space, where $M = M_1 \times M_2 \times \ldots \times M_n$ and ρ_{∞} is the metric described above.

- Let $M=(\mathbb{Z}^n,\rho_{p,\infty})$ be the ultrametric space with points equal to the *n*-fold product of (\mathbb{Z},ρ_p) (for $n<\infty$) for some fixed prime p. The valuative capacity of M is $(\frac{1}{p})^{\frac{1}{p^n-1}}$.
- what about primes $p \neq q$?

$$\sum_{i=1}^{\infty} i \cdot \lfloor \frac{n + 2^{i} \cdot 3^{\lceil \frac{i}{\log_2(3)} \rceil}}{2^{i+1} \cdot 3^{\lceil \frac{i}{\log_2(3)} \rceil}} \rfloor$$

$$\sum_{i=1}^{\infty} i \cdot \lfloor \frac{n+2^{i} \cdot 3^{\lceil \frac{i}{\log_2(3)} \rceil}}{2^{i+1} \cdot 3^{\lceil \frac{i}{\log_2(3)} \rceil}} \rfloor$$

$$\sum_{i=1}^{\infty} i \cdot \left(\lfloor \frac{n+2^{\lceil \frac{i}{\log_3(2)} \rceil} \cdot 3^{i}}{2^{\lceil \frac{i}{\log_3(2)} \rceil} \cdot 3^{i+1}} \rfloor + \lfloor \frac{n+2^{\lceil \frac{i}{\log_3(2)} \rceil+1} \cdot 3^{i}}{2^{\lceil \frac{i}{\log_3(2)} \rceil} \cdot 3^{i+1}} \rfloor \right)$$

Conjecture

Finite products of (\mathbb{Z}, ρ_{p_i}) for distinct primes, p_i , have transcendental valuative capacity.

references

- M. Bhargava, *P*-orderings and polynomial functions on arbitrary subsets of Dedekind rings, *J. reine angew. Math.*, **490** (1997), 101-127.
- M. Bhargava, The factorial function and generalizations, *Am. Math. Monthly* **107** (2000), 783-799.
- J.-L. Chabert, Generalized factorial ideals, *Commutative algebra, Arab. J. Sci. Eng. Sect. C* **26** (2001), 51-68.
- M. Fekete, Uber die Verteilung der Wurzelen bei gewisser algebraiche Gleichun- gen mit ganzzahligen Koeffizienten, *Math. Zeits.* **17** (1923), 228-249.
- \blacksquare K. Johnson, *p*-orderings, Fekete *n*—tuples and capacity in ultrametric spaces (in preparation).