

ConCert: A Smart Contract Certification Framework in Coq

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What are smart contracts?

- A concept of smart contracts was proposed by Nick Szabo in 90s.
- This is different from smart contracts *on blockchains*.

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programs in a general-purpose language running “on a blockchain”.

- Check conditions, change global state (account balances) and local state (user-defined contract state).
- Code and calls to contracts *from users* are recorded in blocks.
- Each node executes the calls and maintains state.
- Contracts are not self-executing: someone has to call.

What is so special about smart contracts?

- They often manage money: auctions, crowdfunding campaigns, multi-signature wallets, DAOs.
- Once deployed, contract code cannot be changed.
- Code is Law.
- Flaws may result in huge financial losses:
 - The DAO \sim \$50M — hacker attack.
 - Parity's multi-signature wallet \sim \$280M — a bug in the library code.

Functional smart contract languages

- Contracts are programs in a functional language transforming the state:

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Examples of such languages:

- Liquidity, LIGO (Tezos)
- Scilla (Zilliqa)
- **Acorn(Concordium)**

- ACORN is a smart contract language for the Concordium blockchain.
- Explicitly typed System F_2 , inductive types, general recursion.
- The Concordium blockchain interprets ACORN programs.
- That's what we are going to verify!

Our contributions

- Deep embedding (AST + semantics on top): for meta-theory.
- Shallow embedding (Coq functions): for convenient reasoning about programs.

Our contributions

- Deep embedding (AST + semantics on top): for meta-theory.
- Shallow embedding (Coq functions): for convenient reasoning about programs.
- Combine deep and shallow embeddings.
- λ_{smart} : explicitly typed System F + ADT + structural recursion (\sim ACORN, or a pure subset of ML-like functional language).
- Shallow embedding of λ_{smart} programs through meta-programming facilities of MetaCoq.
- Soundness using the formalisation of Coq's meta-theory in Coq.
- Integration of the shallow embedding with the execution model (scheduler).

The MetaCoq project

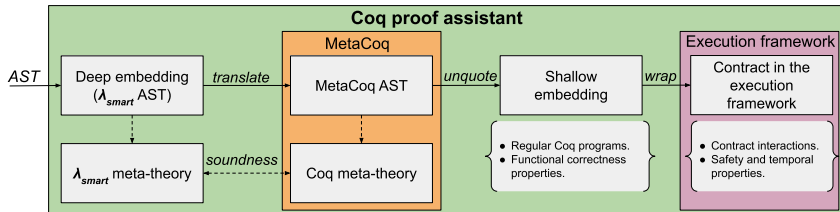


MetaCoq: Metaprogramming in Coq

Consists of several subprojects. Relevant for our project:

- Template Coq — adds meta-programming facilities to Coq:
 - `quote`: from Coq's definitions to AST as an inductive data type.
 - **`unquote`**: from AST to back to a Coq definition.
- PCUIC — formalisation of Coq's meta-theory.

ConCert: A Smart Contract Certification Framework



- “translate”: a compiler from λ_{smart} (System F + inductives) into PCUIC.
- A bit of λ_{smart} meta-theory:
 - a “fueled” definitional interpreter.

$\text{eval} : \mathbb{N} \rightarrow \text{global_env} \rightarrow \text{env} \rightarrow \text{expr} \rightarrow \text{res val}$

Embedding ACORN code into Coq

Code in ACORN

```
definition foldr a b (f :: a → b → b) (initVal :: b) =  
  letrec go (xs :: List a) :: b =  
    case xs of  
      Nil → initVal  
      Cons x xs' → f x (go xs')  
  in go
```

A fragment of λ_{smart} AST (deep embedding)

```
Definition Functions := [( "foldr", eTyLam "A" (eTyLam "A" (eLambda "x" (  
  tyArr (tyRel 1) (tyArr (tyRel 0) (tyRel 0))) (eLambda "x" (tyRel 0)  
  (eLetIn "f" (eFix "rec" "x" ))))));
```

Code in Coq

```
(** Run the translation in the Template Monad (translate and unquote) *)  
Run TemplateProgram (translateDefs gEnv Functions).
```

```
Print foldr.  
(* fun (A A0 : Set)(x : A → A0 → A0) (x0 : A0) ⇒  
  fix rec (x1 : List A) : A0 :=  
    match x1 with  
    | @Nil_coq _ ⇒ x0  
    | @Cons_coq _ x2 x3 ⇒ x x2 (rec x3)  
  end *)
```

Translation: $\llbracket - \rrbracket_{\Sigma}^t : \lambda_{\text{smart}} \rightarrow \text{PCUIC}$

Theorem

For any closed λ_{smart} expression e if $\text{eval}_{\Sigma, []}^n(e) = \text{Ok } v$, then there is a derivation in MetaCoq's CBV big-step evaluation relation:

$$\llbracket e \rrbracket_{\Sigma}^t \Downarrow \llbracket \text{of_val}(v) \rrbracket_{\Sigma}^t$$

- We support only structurally recursive λ_{smart} programs.
- We rely on correctness of MetaCoq's `unquote`.

Execution model

- Remember the signature
 $\text{contract} : \text{CallCtx} * \text{Msg} * \text{State} \rightarrow \text{State} * \text{Action list?}$
- Actions can be transfers, calls to other contracts (including self calls), contract deployments.

Execution model

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- Actions can be transfers, calls to other contracts (including self calls), contract deployments.
- The execution model formalises the *scheduler*:
 - blockchain state updates (account balances, contract deployments);
 - executing the calls in the *Action list* in *some* order;
 - adding new blocks.
- Provides a reasoning framework on traces — chains of one-step executions.
- Outgoing actions might be arbitrary reordered.
- Implementation and partial correctness of the Congress contract (simplified DAO).

Jakob Botsch Nielsen, Bas Spitters. Smart Contract Interactions in Coq.
FMBC'19

Crowdfunding

Crowdfunding: a smart contract allowing arbitrary users to donate money within a deadline.

- Will the users get their money back if the campaign is not funded (goal is not reached)?
- Are all contributions recorded correctly in the contract?
- Does the contract have enough money at the account to cover all contributions?
- ...

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```
(* The contract balance "on a blockchain" is consistent the sum of  
   individual contributions *)
```

```
Corollary cf_donations_backed_after_block {_ : ChainBuilderType}  
  prev hd acts new cf_addr lstate :
```

```
  builder_add_block prev hd acts = Some new →
```

```
(* [cf_contract] - produced from the deep embedding *)
```

```
  env_contracts new cf_addr = Some cf_contract →
```

```
  cf_state new cf_addr = Some lstate →
```

```
  ~ lstate.(done_coq) →
```

```
  account_balance (env_chain new) cf_addr >=  
    sum_map (lstate.(donations_coq)).
```

- Deep and shallow embeddings in one framework.
- Soundness through the PCUIC formalisation.
- ACORN code verification: parts of the standard library and simple contracts.
- Example: properties of a crowdfunding contract.
- Integration with the execution model.
- Extraction to a functional smart contract language.

Future work:

- Static semantics of λ_{smart} .
- Gas analysis.
- Connect the development to CertiCoq.