

2021

Theory of Computation

Kun-Ta Chuang

**Department of Computer Science and Information Engineering
National Cheng Kung University**



Outline



Minor Variations on the Turing Machine Theme



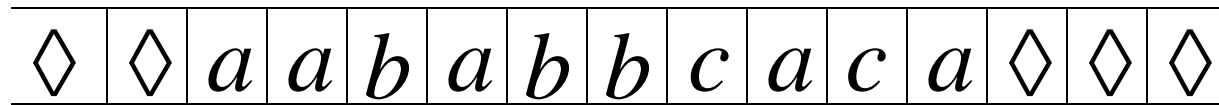
Turing Machines with More Complex Storage



Nondeterministic and Universal Turing Machines

The Standard Model

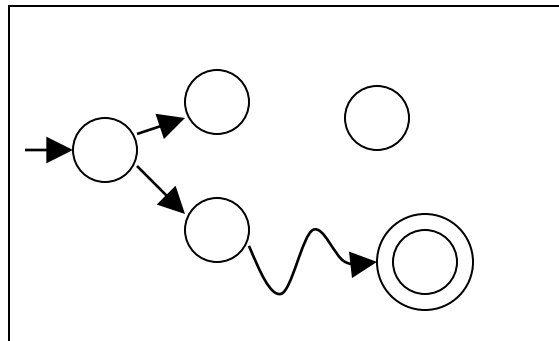
Infinite Tape



Read-Write Head

(Left or Right)

Control Unit



Deterministic

Variations of the Standard Model

- Turing machines with:
- Stay-Option
 - Semi-Infinite Tape
 - Off-Line
 - Multitape
 - Multidimensional
 - Nondeterministic

The variations form different Turing Machine **Classes**

We want to prove:

Each **Class** has the same
power with the **Standard Model**

Same Power of two classes means:

Both classes of Turing machines accept the same languages

Same Power of two classes means:

For any machine M_1 of first class

there is a machine M_2 of second class

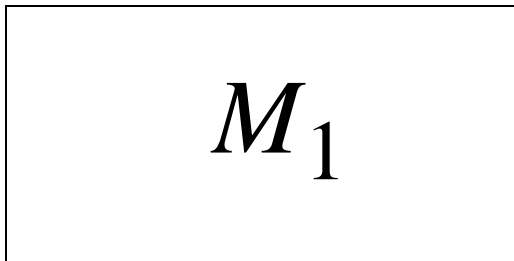
such that: $L(M_1) = L(M_2)$

And vice-versa

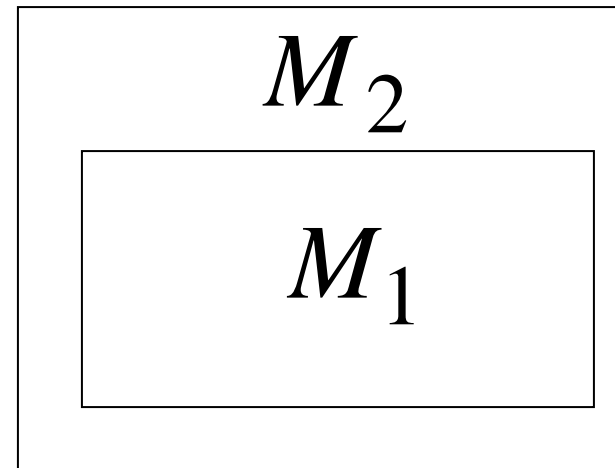
Simulation: a technique to prove same power

Simulate the machine of one class
with a machine of the other class

First Class
Original Machine



Second Class
Simulation Machine

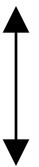
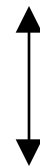
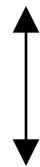


Configurations in the Original Machine correspond to configurations in the Simulation Machine

Instantaneous description

Original Machine:

$d_0 \vdash d_1 \vdash \cdots \vdash d_n$



*

*

*

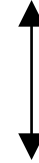
Simulation Machine:

$d'_0 \vdash d'_1 \vdash \cdots \vdash d'_n$

Final Configuration

Original Machine:

d_f



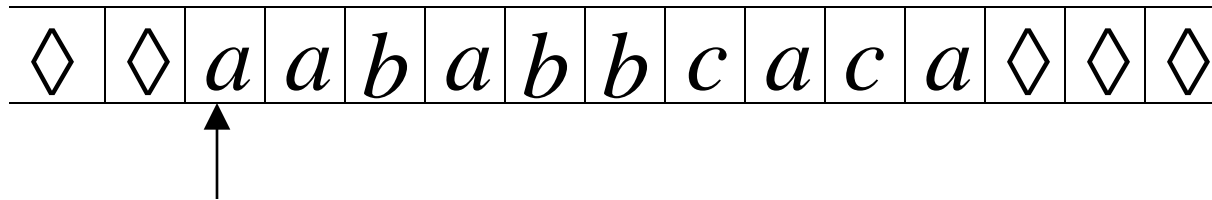
Simulation Machine:

d'_f

The Simulation Machine
and the Original Machine
accept the same language

Turing Machines with Stay-Option

The head can stay in the same position

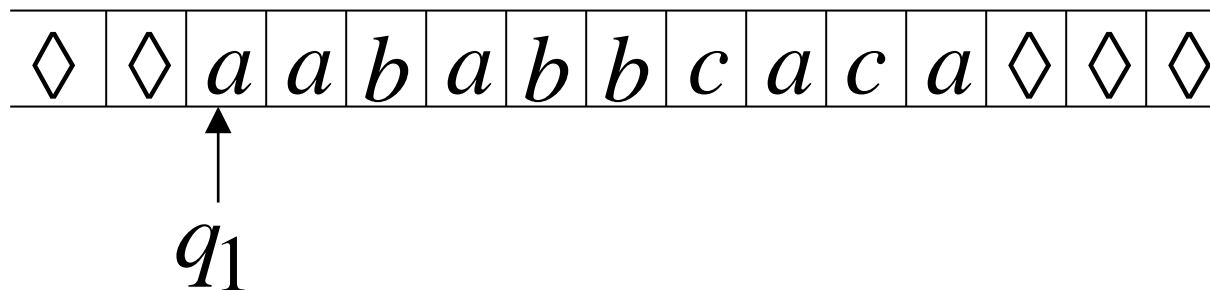


Left, Right, Stay

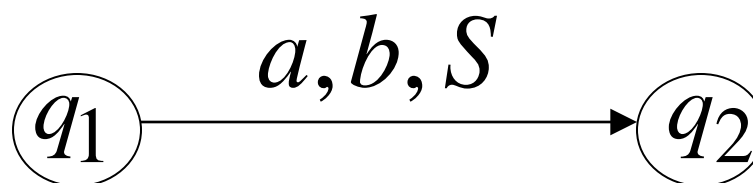
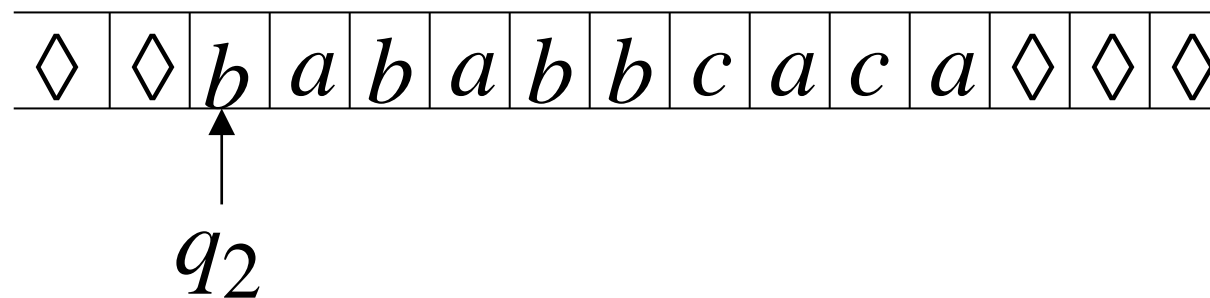
L,R,S: moves

Example:

Time 1



Time 2



Theorem: Stay-Option Machines
have the same power with
Standard Turing machines

Proof:

Part 1: Stay-Option Machines
are at least as powerful as
Standard machines

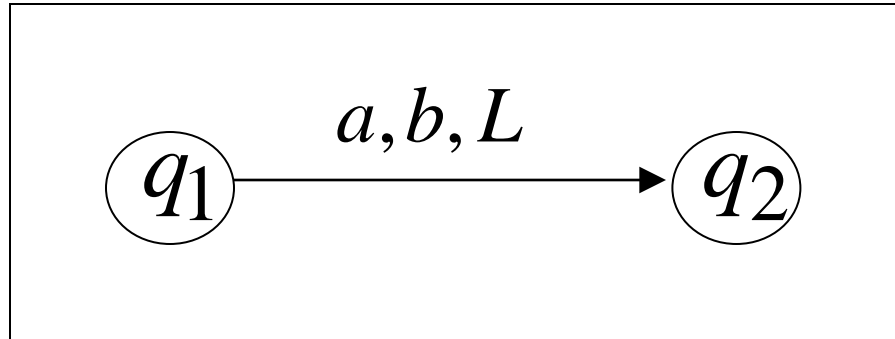
Proof: a Standard machine is also
a Stay-Option machine
(that never uses the S move)

Proof:

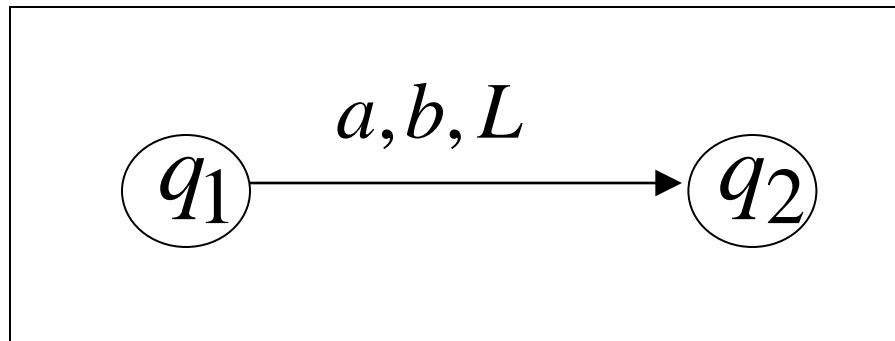
Part 2: Standard Machines
are at least as powerful as
Stay-Option machines

Proof: a standard machine can simulate
a Stay-Option machine

Stay-Option Machine

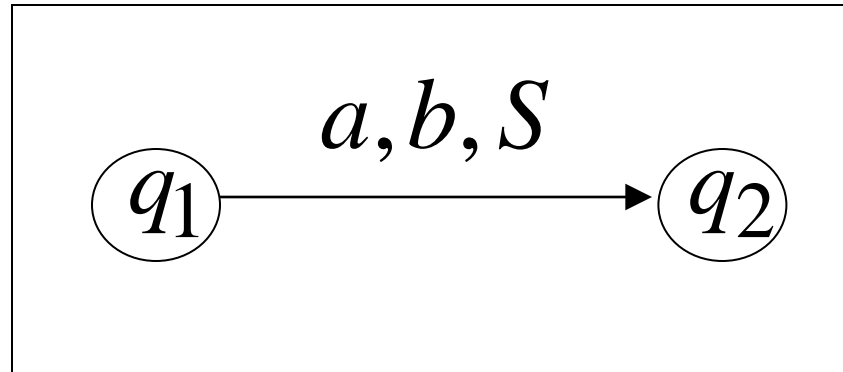


Simulation in Standard Machine

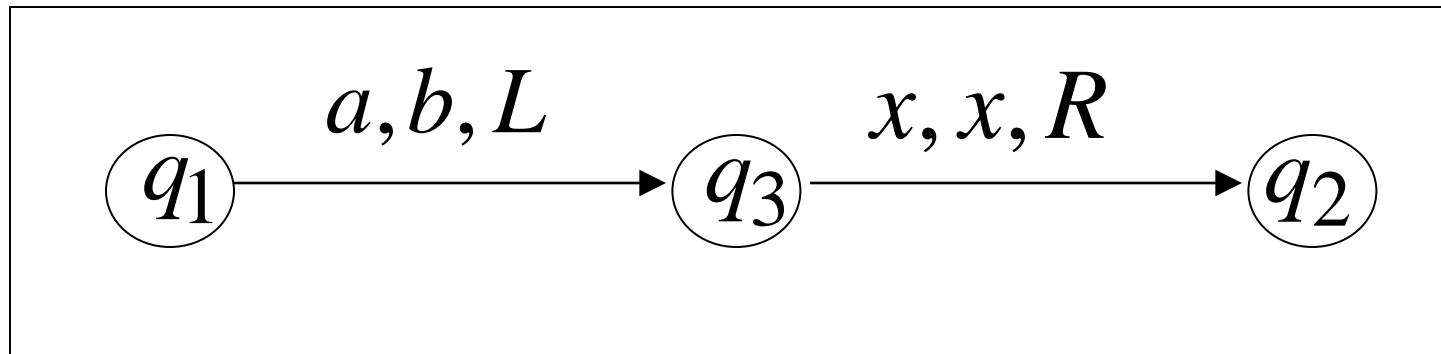


Similar for Right moves

Stay-Option Machine



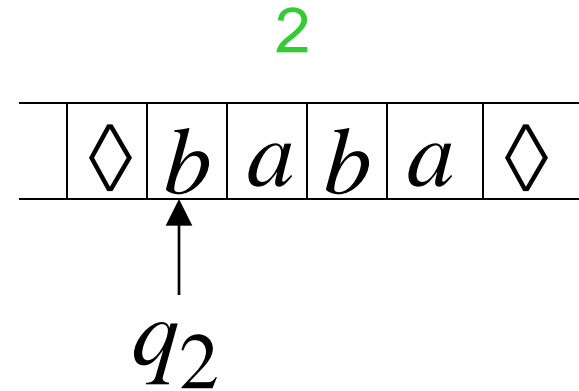
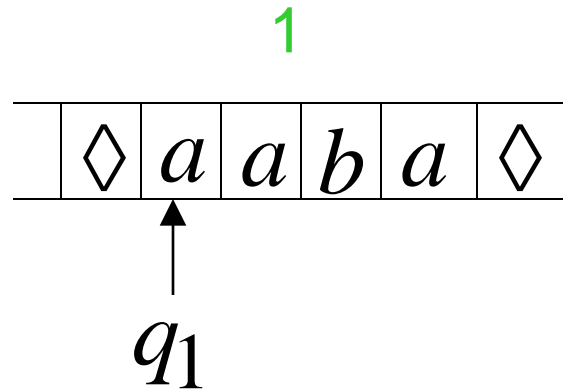
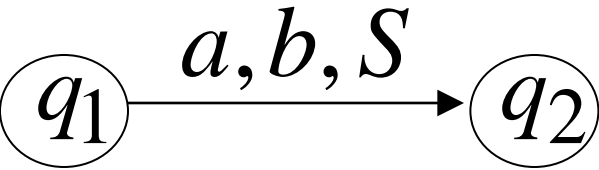
Simulation in Standard Machine



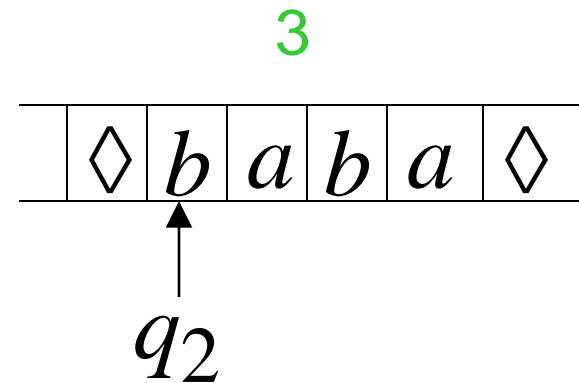
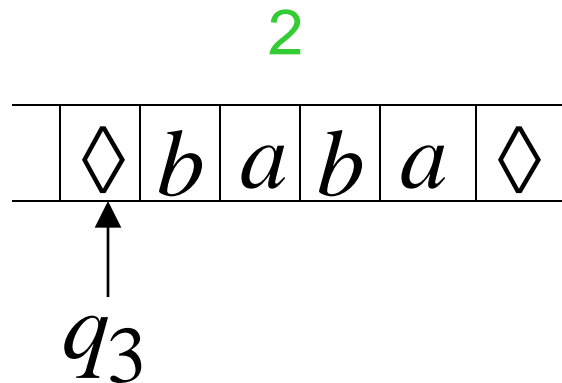
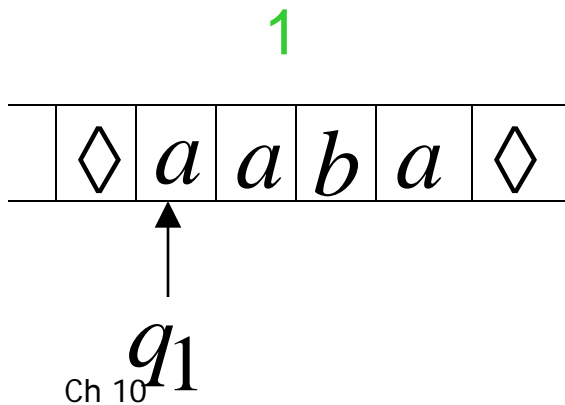
For every symbol x

Example

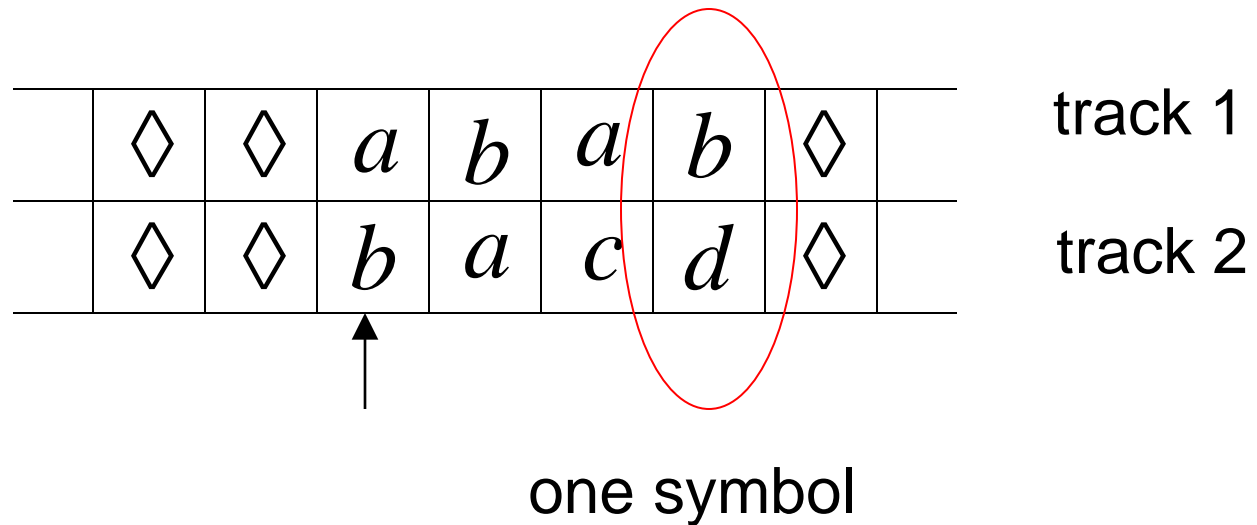
Stay-Option Machine:

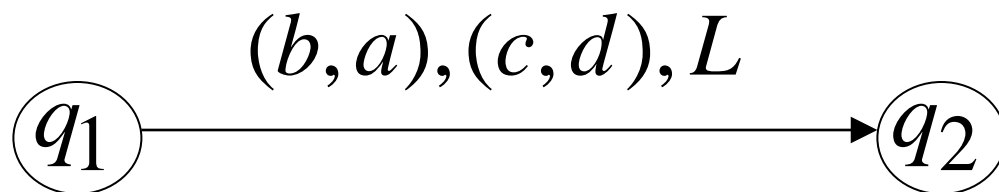
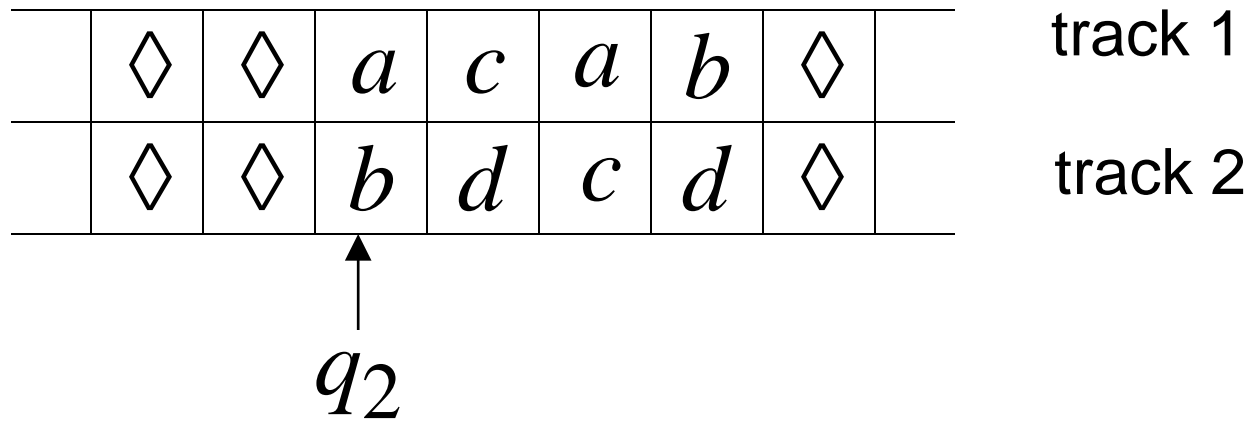
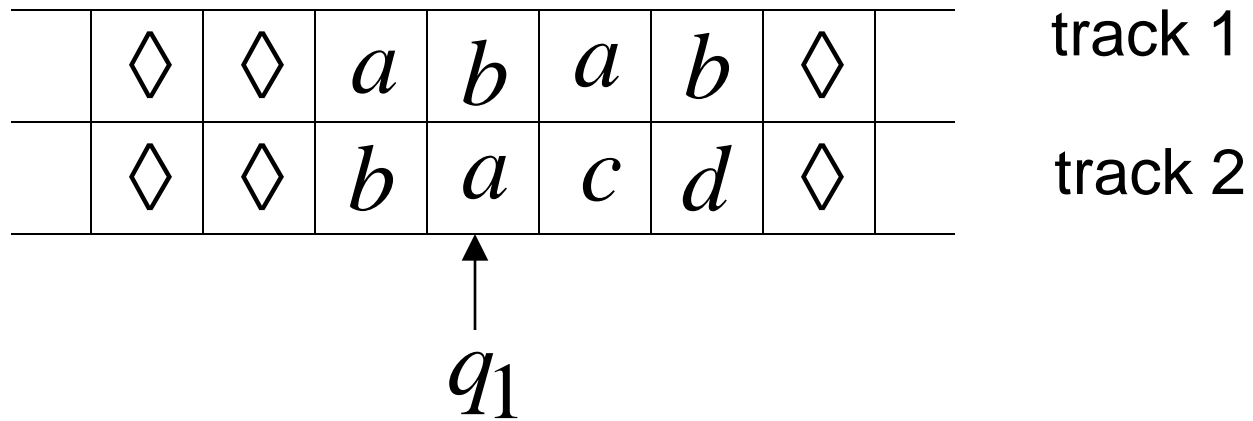


Simulation in Standard Machine:

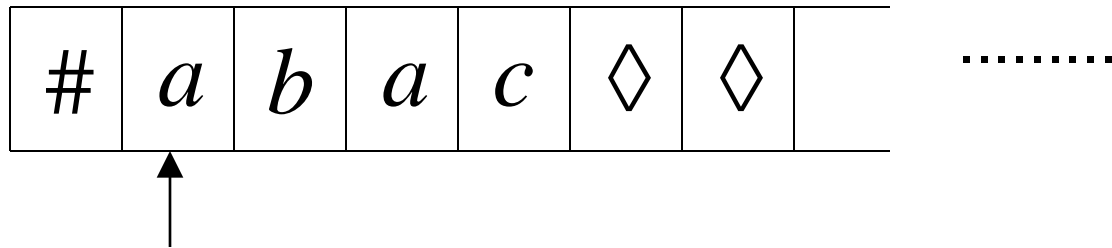


Standard Machine--Multiple Track Tape





Semi-Infinite Tape

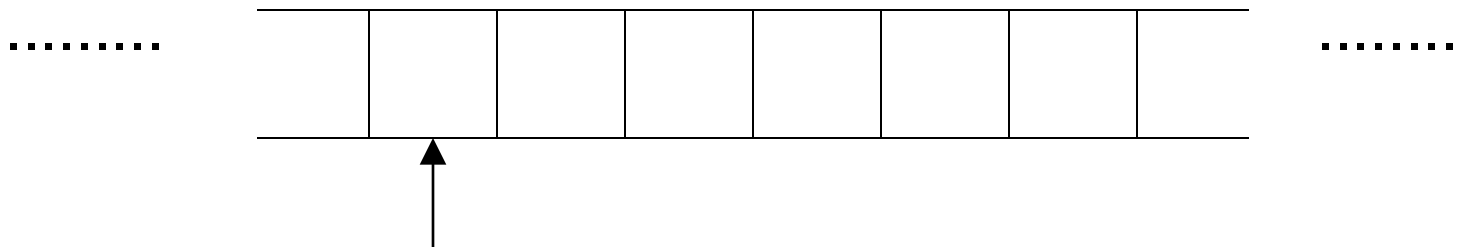


Standard Turing machines simulate
Semi-infinite tape machines:

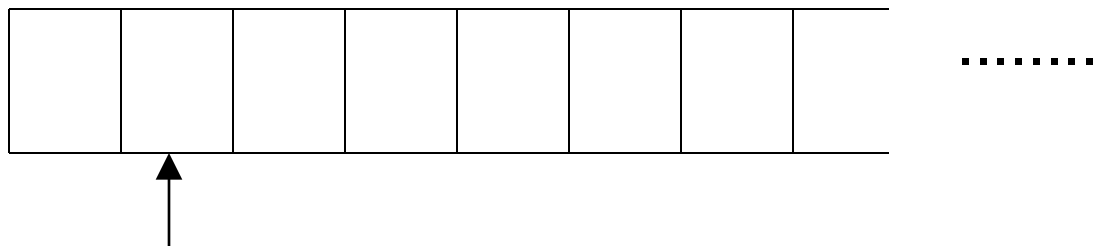
Trivial

Semi-infinite tape machines simulate Standard Turing machines:

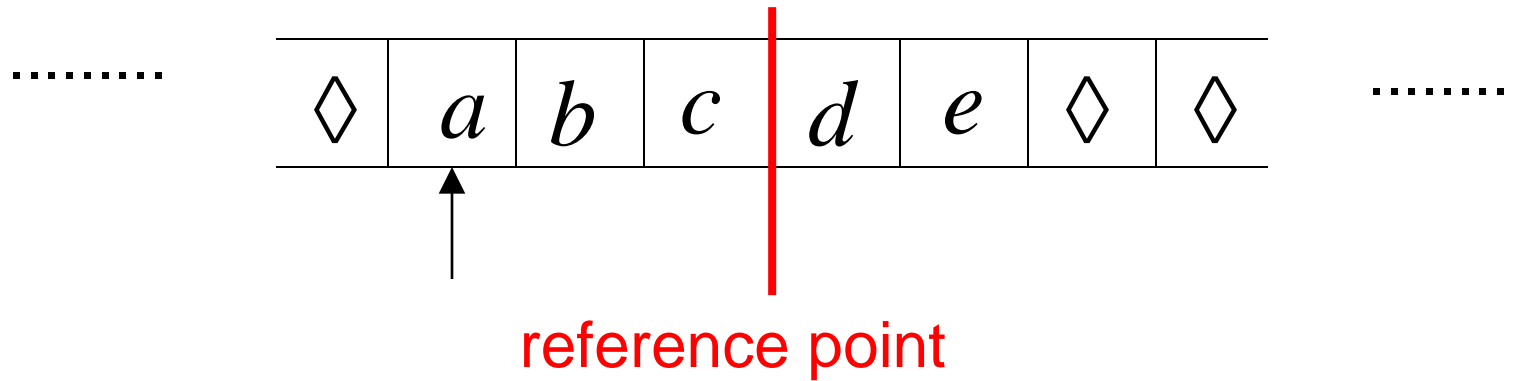
Standard machine



Semi-infinite tape machine



Standard machine



Semi-infinite tape machine with two tracks

Right part

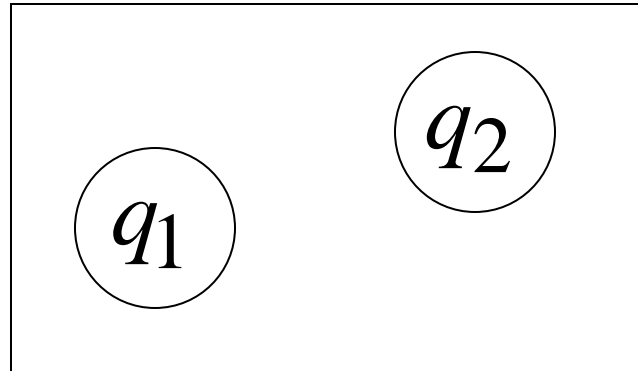
#	<i>d</i>	<i>e</i>	◇	◇	◇	
---	----------	----------	---	---	---	--

Left part

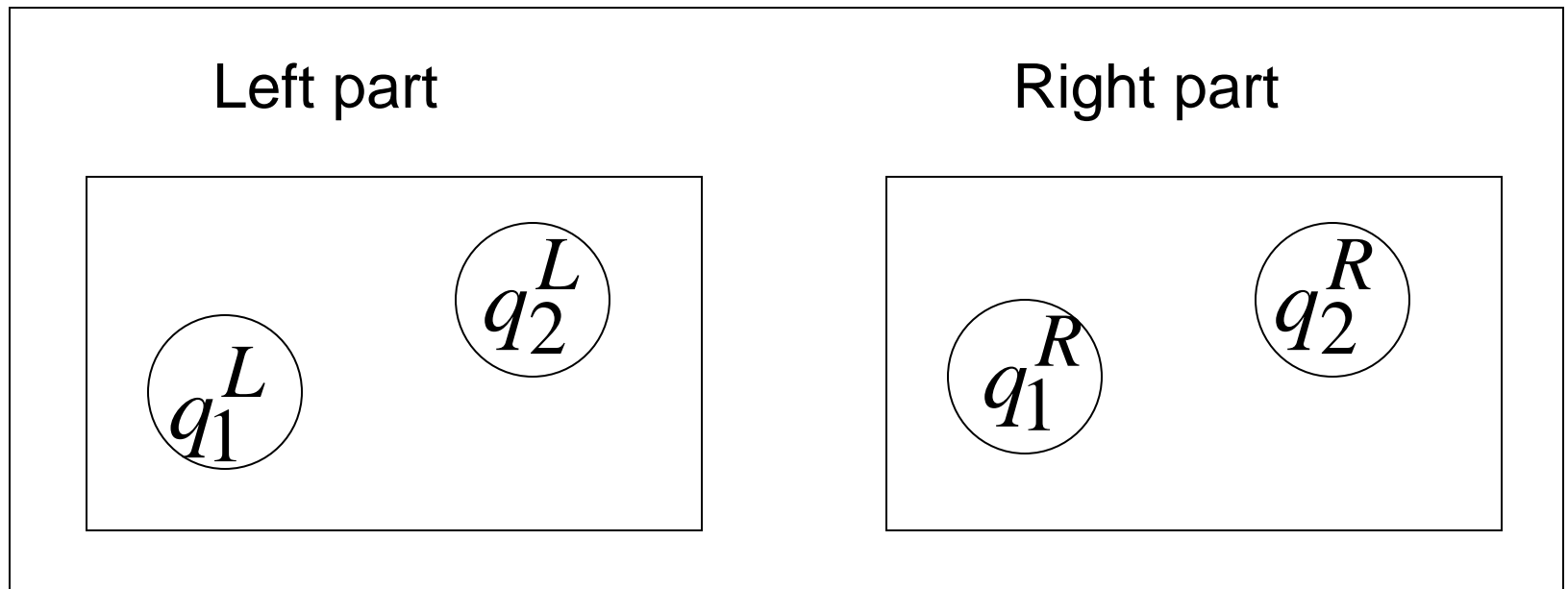
#	<i>c</i>	<i>b</i>	<i>a</i>	◇	◇	
---	----------	----------	----------	---	---	--



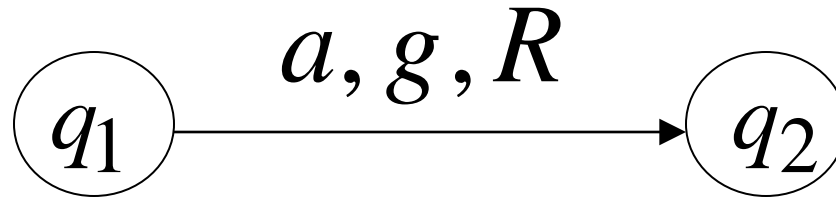
Standard machine



Semi-infinite tape machine

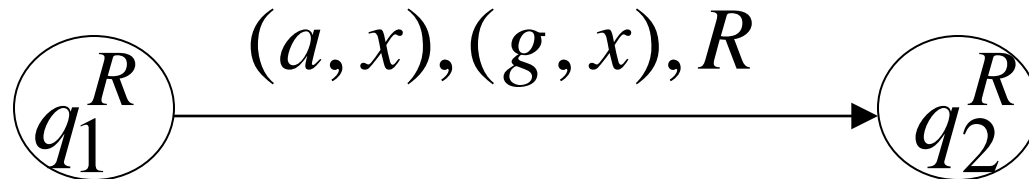


Standard machine

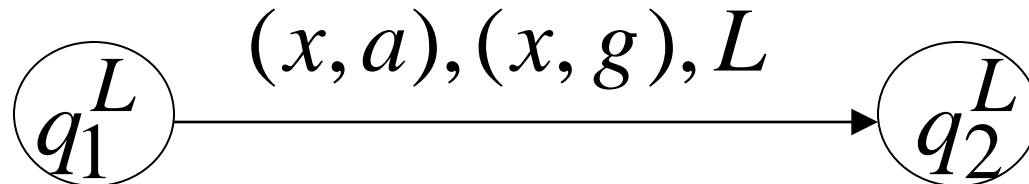


Semi-infinite tape machine

Right part



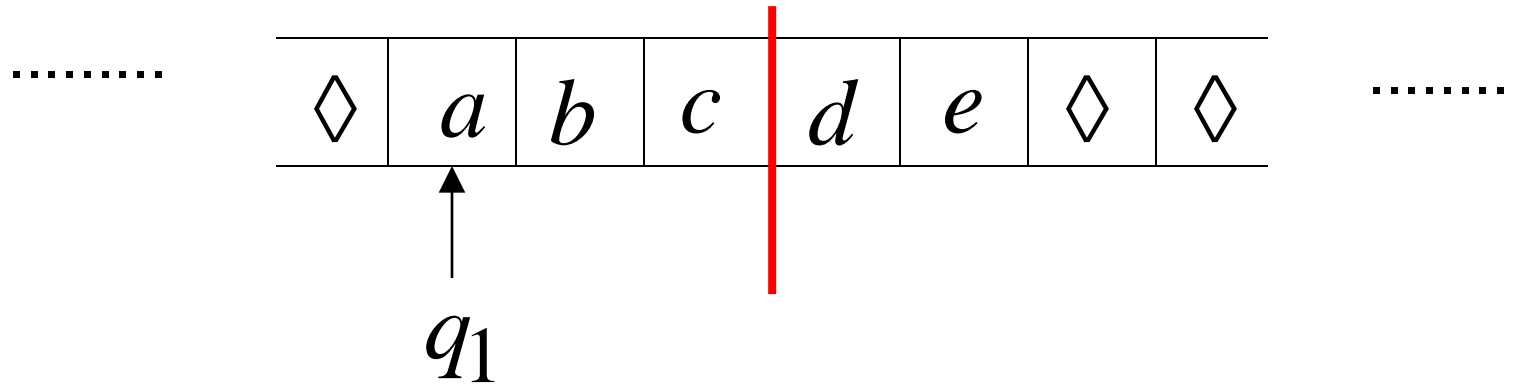
Left part



For all symbols x

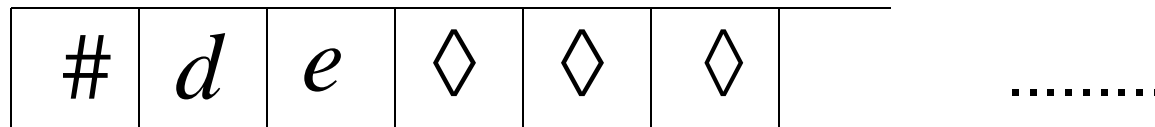
Time 1

Standard machine

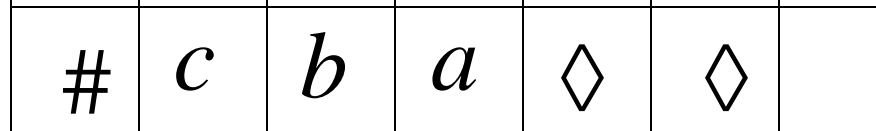


Semi-infinite tape machine

Right part



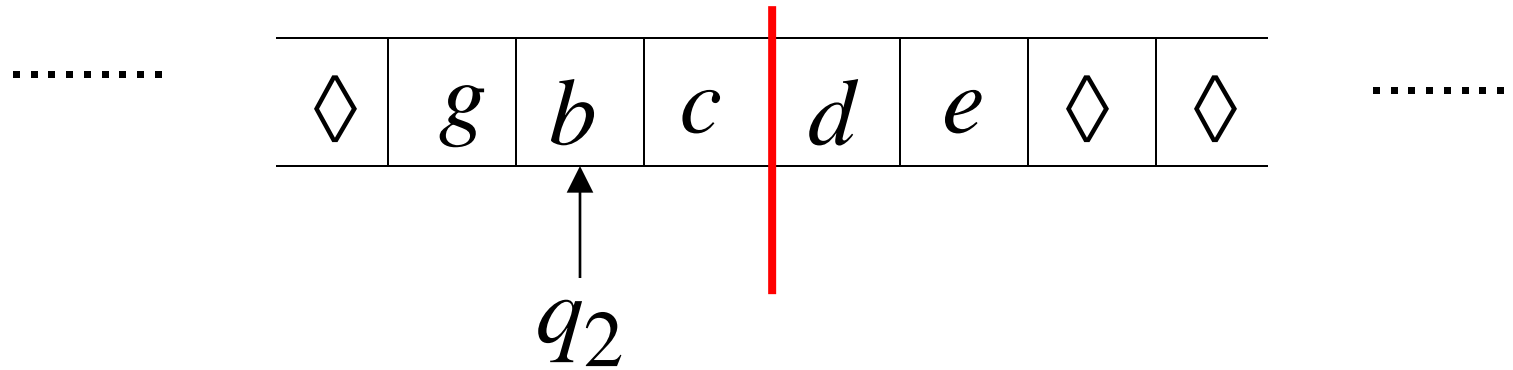
Left part



q_1^L

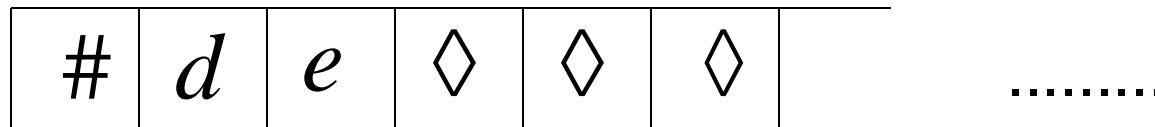
Time 2

Standard machine

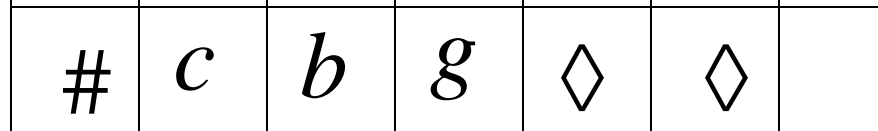


Semi-infinite tape machine

Right part



Left part

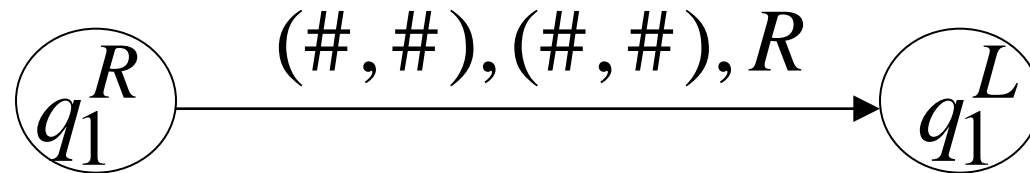


q_2^L

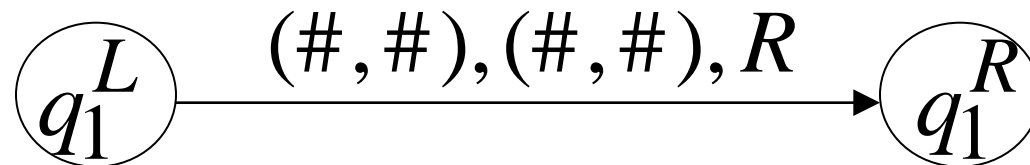
At the border:

Semi-infinite tape machine

Right part



Left part



Semi-infinite tape machine

Time 1

Right part

Left part

#	<i>d</i>	<i>e</i>	◇	◇	◇	
#	<i>c</i>	<i>b</i>	<i>g</i>	◇	◇	

.....

q_1^L

Time 2

Right part

Left part

#	<i>d</i>	<i>e</i>	◇	◇	◇	
#	<i>c</i>	<i>b</i>	<i>g</i>	◇	◇	

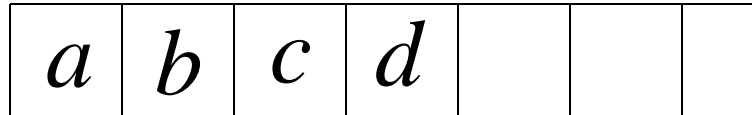
.....

q_1^R

Theorem: Semi-infinite tape machines
have the same power with
Standard Turing machines

The Off-Line Machine

Input File

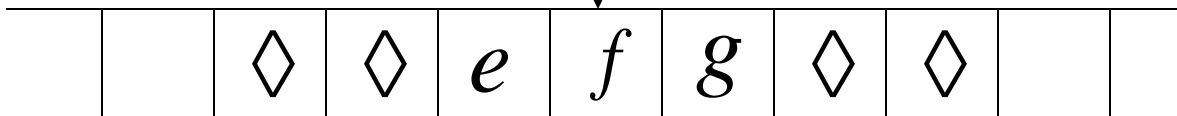


read-only

Control Unit

Tape

read-write

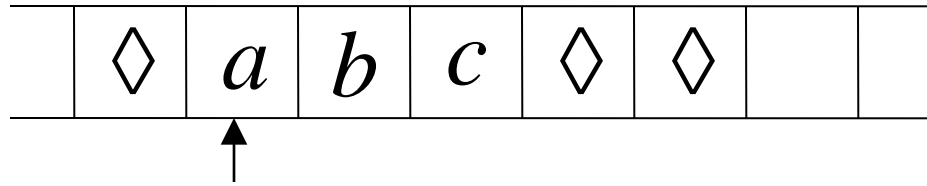


Off-line machines simulate Standard Turing Machines:

Off-line machine:

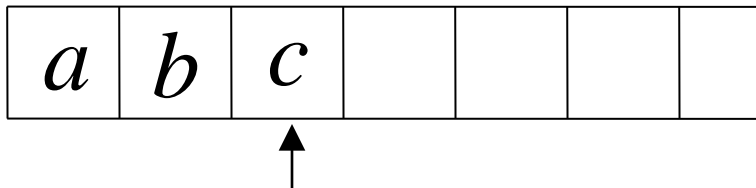
1. Copy input file to tape
2. Continue computation as in Standard Turing machine

Standard machine

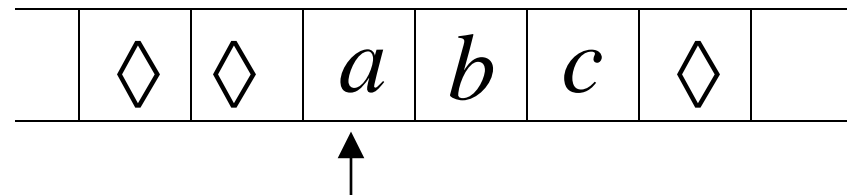


Off-line machine

Input File

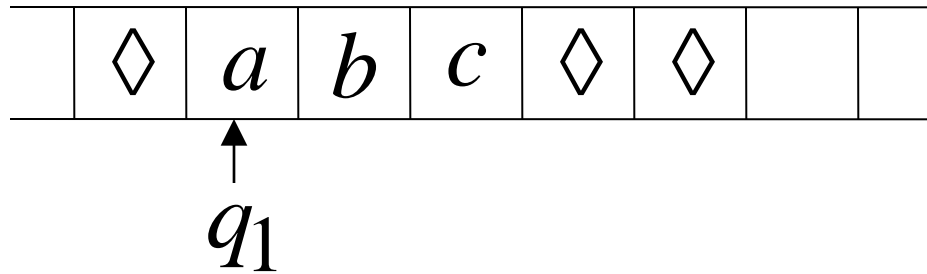


Tape



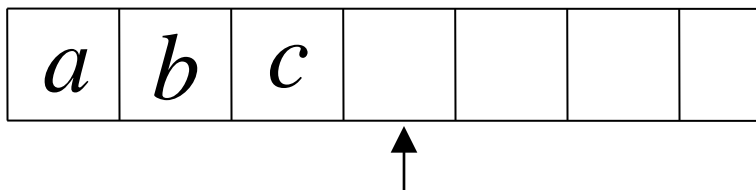
1. Copy input file to tape

Standard machine

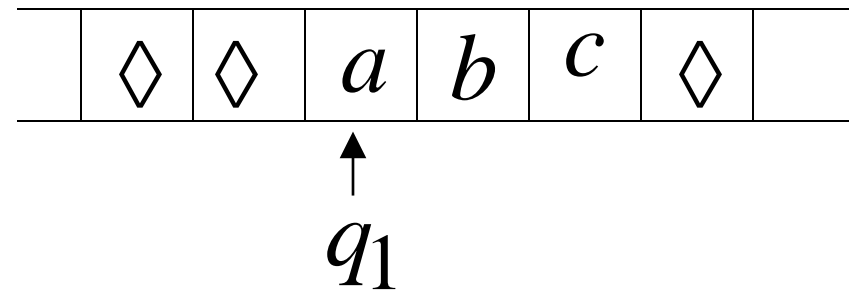


Off-line machine

Input File



Tape



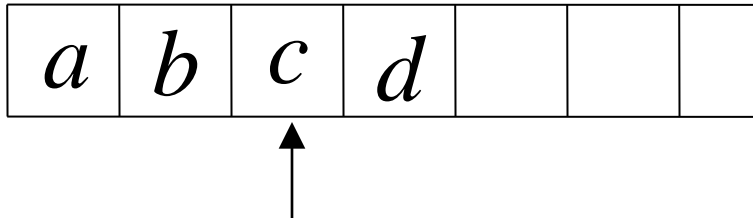
2. Do computations as in Turing machine

Standard Turing machines simulate Off-line machines:

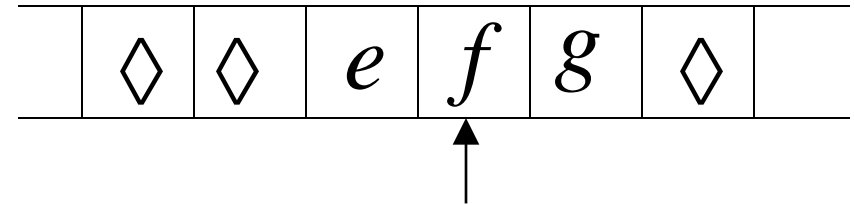
Use a Standard machine with four track tape
to keep track of
the Off-line input file and tape contents

Off-line Machine

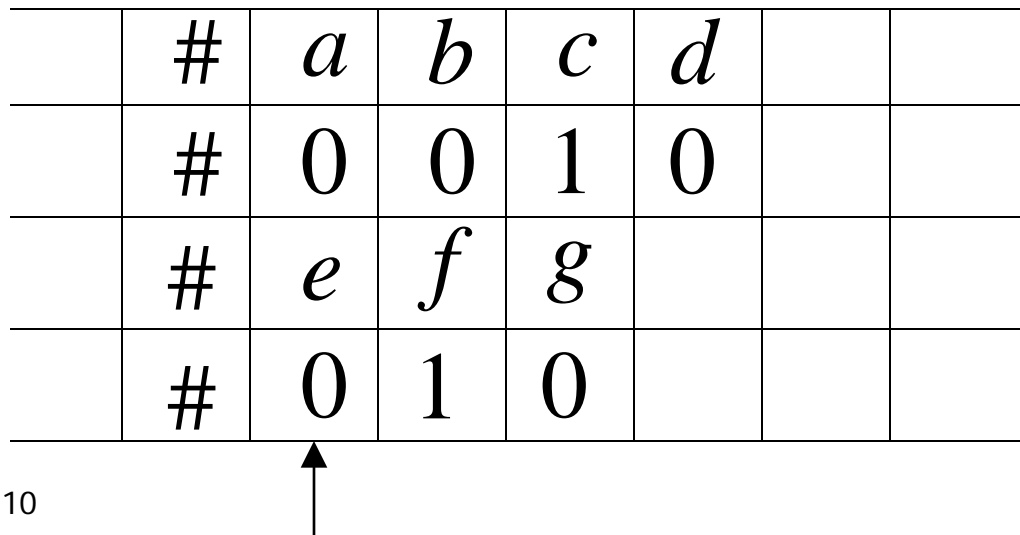
Input File



Tape



Four track tape -- Standard Machine



Input File

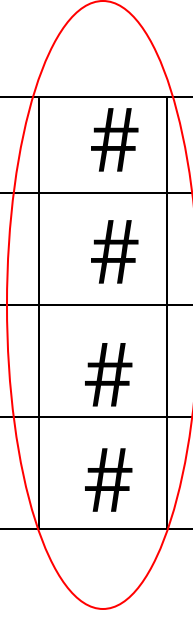
head position

Tape

head position

Reference point

	#	<i>a</i>	<i>b</i>	<i>c</i>	<i>d</i>			Input File
	#	0	0	1	0			head position
	#	<i>e</i>	<i>f</i>	<i>g</i>				Tape
	#	0	1	0				head position



Repeat for each state transition:

- Return to reference point
- Find current input file symbol
- Find current tape symbol
- Make transition

Theorem: Off-line machines
have the same power with
Standard machines

Outline



Minor Variations on the Turing Machine Theme

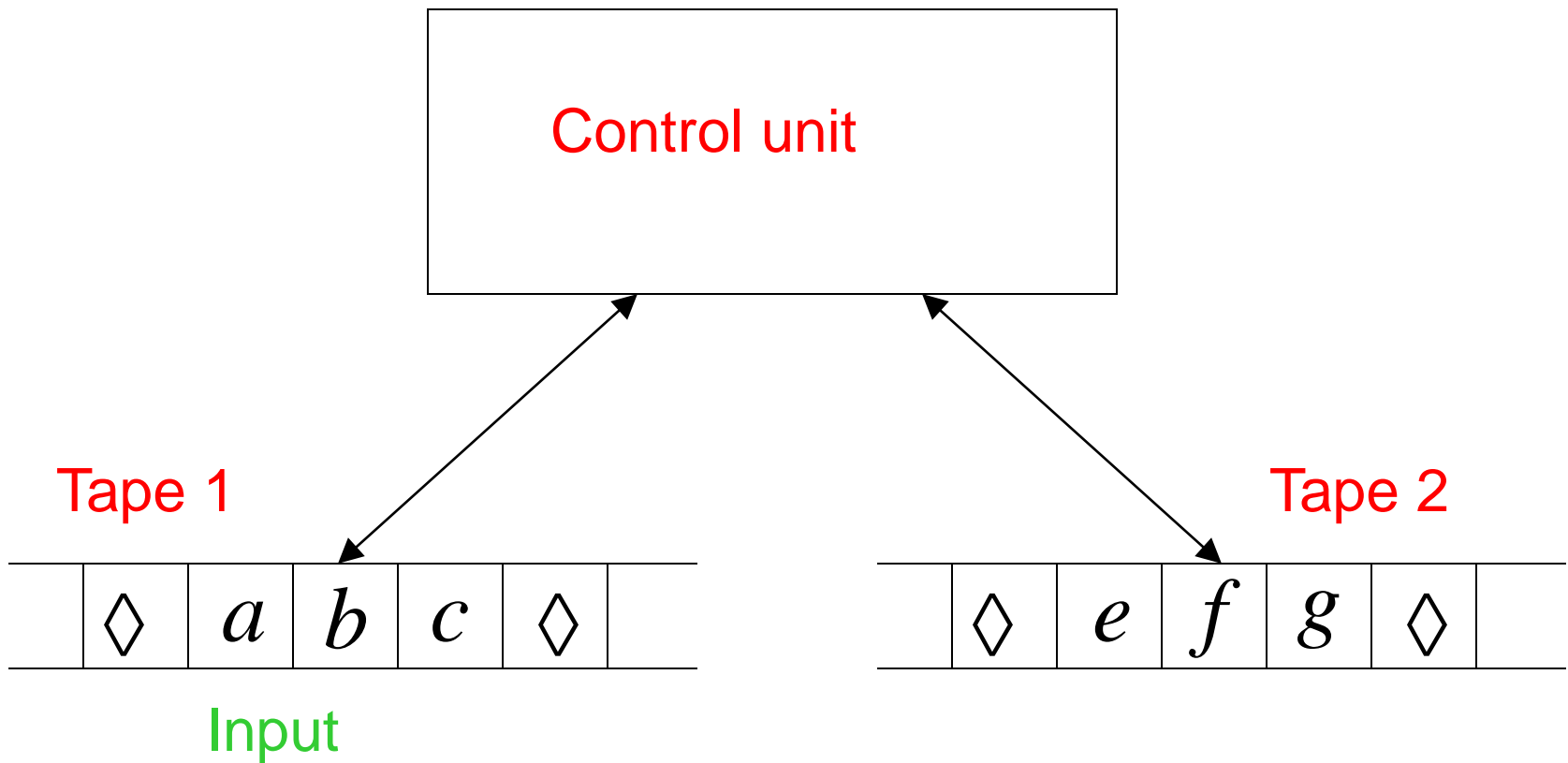


Turing Machines with More Complex Storage



Nondeterministic and Universal Turing Machines

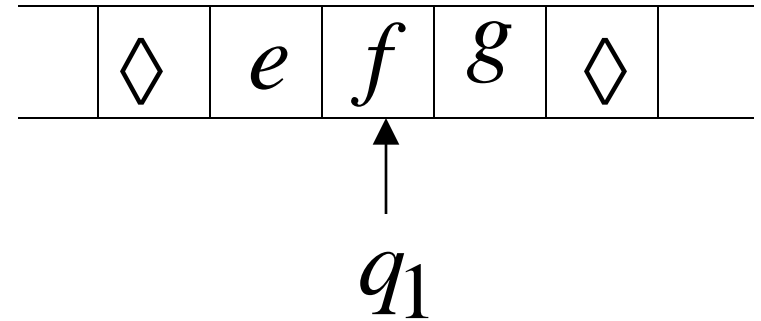
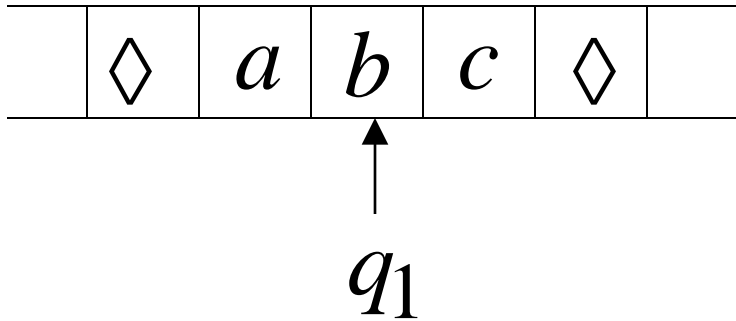
Multitape Turing Machines



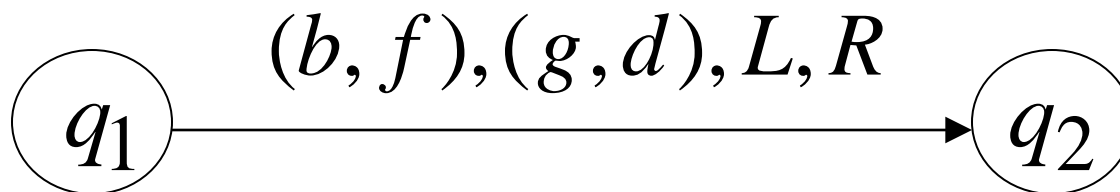
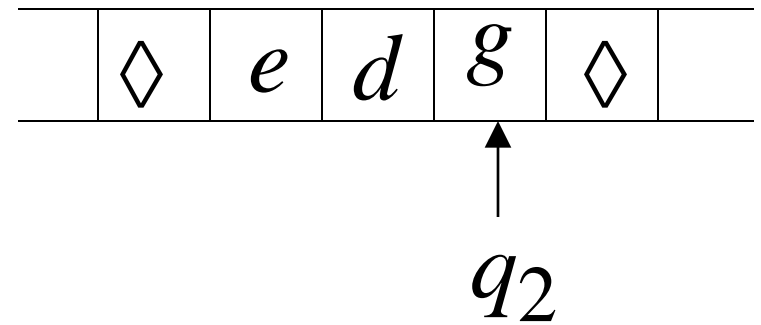
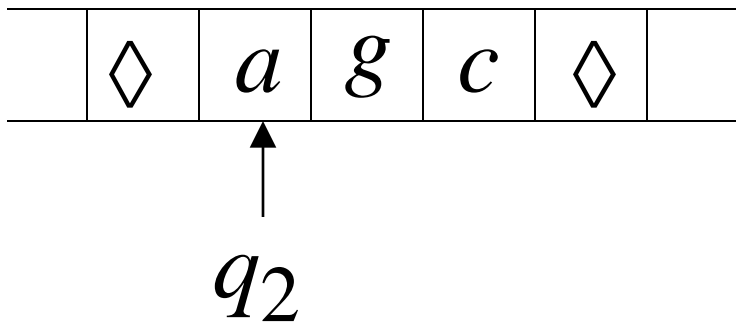
Tape 1

Time 1

Tape 2



Time 2



Multitape machines simulate
Standard Machines:

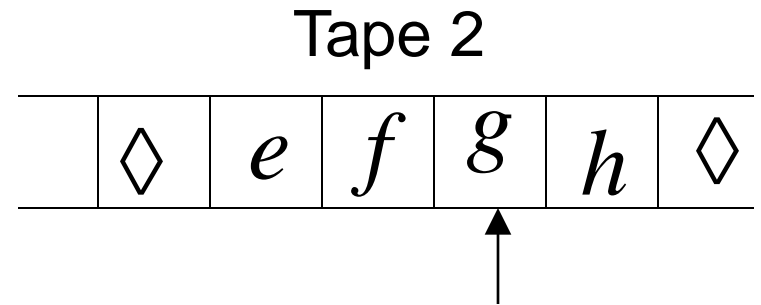
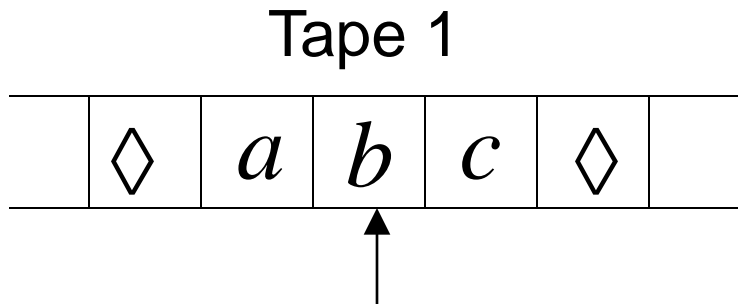
Use just one tape

Standard machines simulate
Multitape machines:

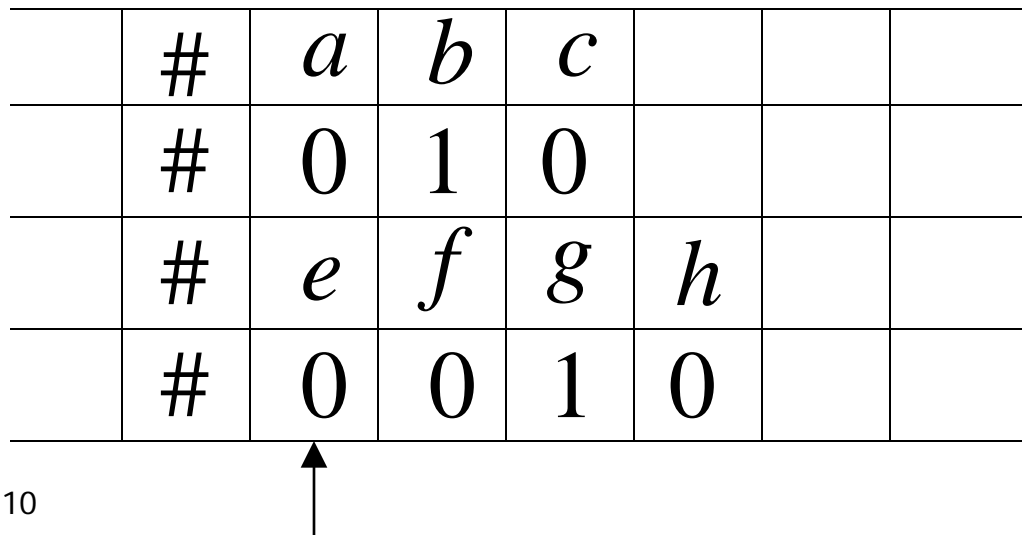
Standard machine:

- Use a multi-track tape
- A tape of the Multiple tape machine corresponds to a pair of tracks

Multitape Machine



Standard machine with four track tape



Tape 1

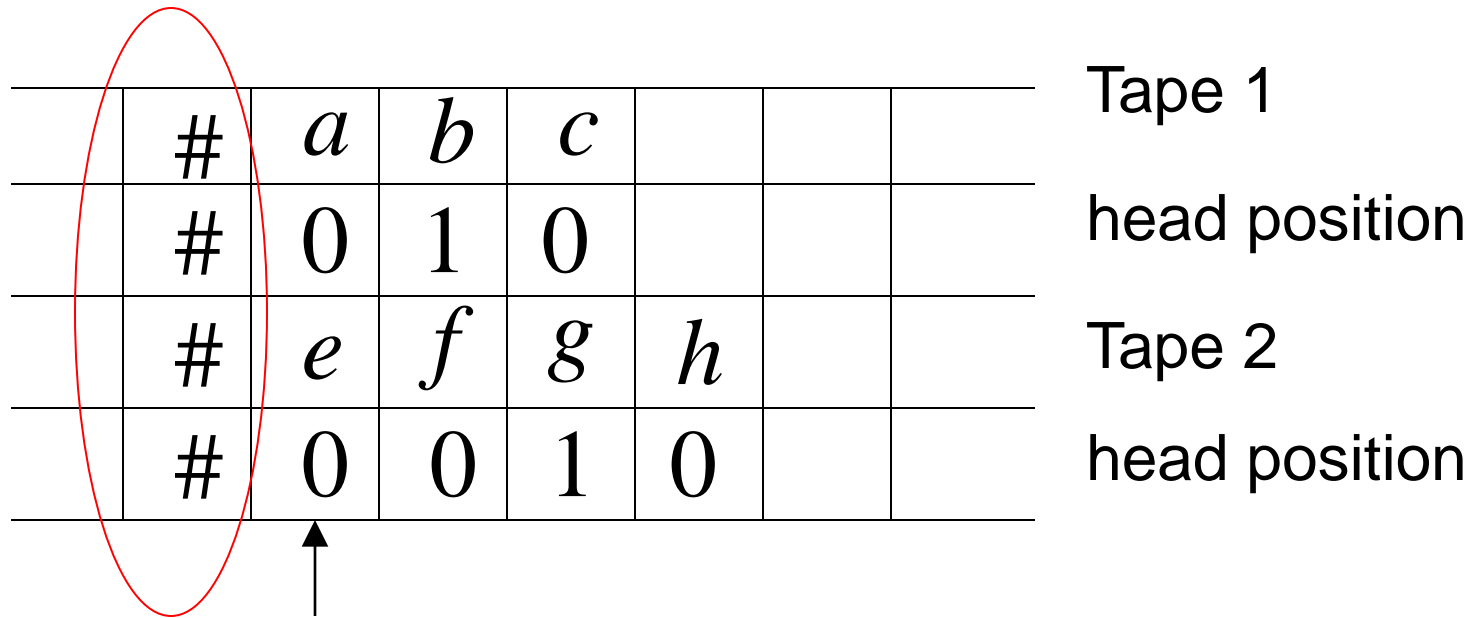
head position

Tape 2

head position

Reference point

	#	<i>a</i>	<i>b</i>	<i>c</i>				Tape 1
	#	0	1	0				head position
	#	<i>e</i>	<i>f</i>	<i>g</i>	<i>h</i>			Tape 2
	#	0	0	1	0			head position



Repeat for each state transition:

- Return to reference point
- Find current symbol in Tape 1
- Find current symbol in Tape 2
- Make transition

Theorem: Multi-tape machines
have the same power with
Standard Turing Machines

Same power doesn't imply same speed:

Language $L = \{a^n b^n\}$

Acceptance Time

Standard machine

n^2

Two-tape machine

n

$$L = \{a^n b^n\}$$

Standard machine:

Go back and forth n^2 steps

Two-tape machine:

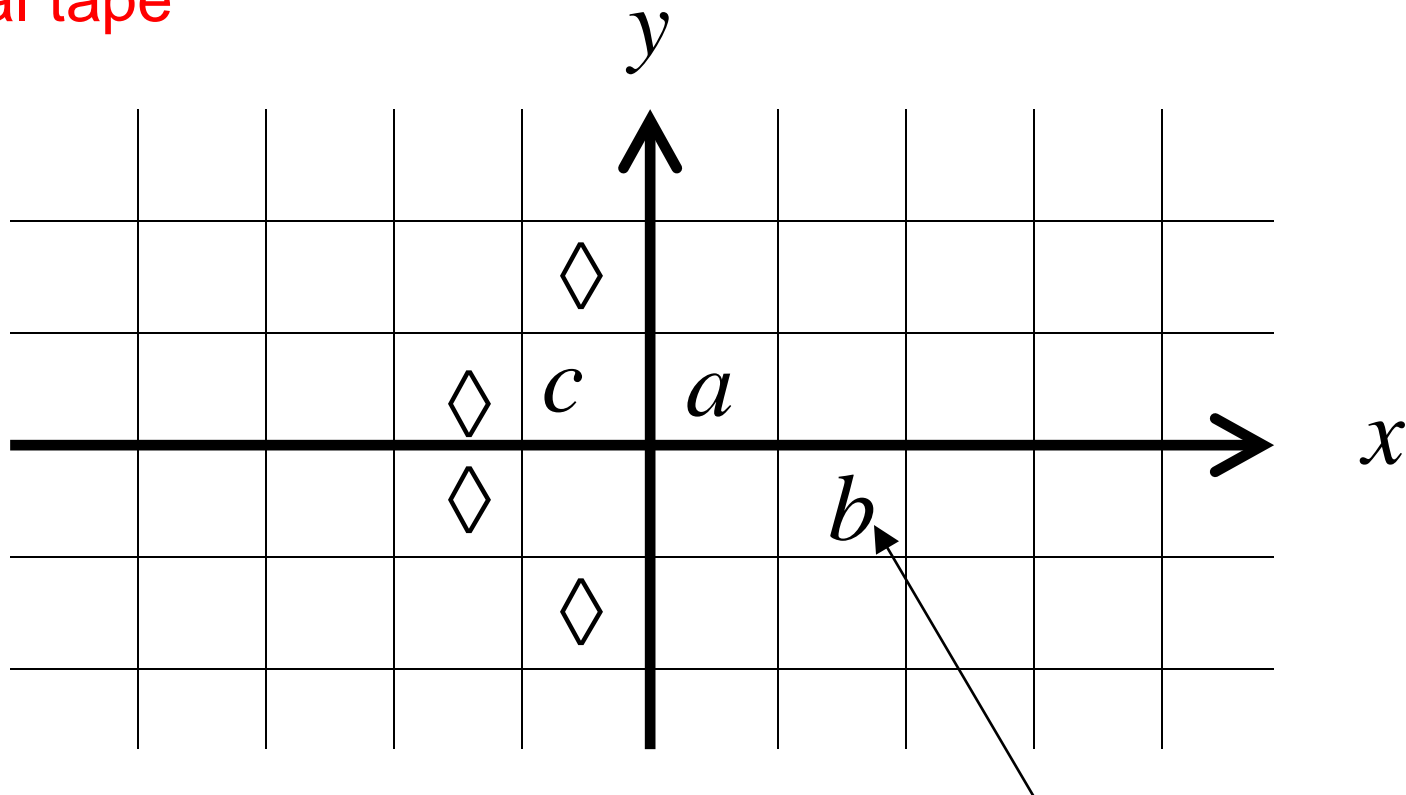
Copy b^n to tape 2 (n steps)

Leave a^n on tape 1 (n steps)

Compare tape 1 and tape 2 (n steps)

MultiDimensional Turing Machines

Two-dimensional tape



MOVES: L,R,U,D

HEAD

U: up D: down

Position: +2, -1

Multidimensional machines simulate
Standard machines:

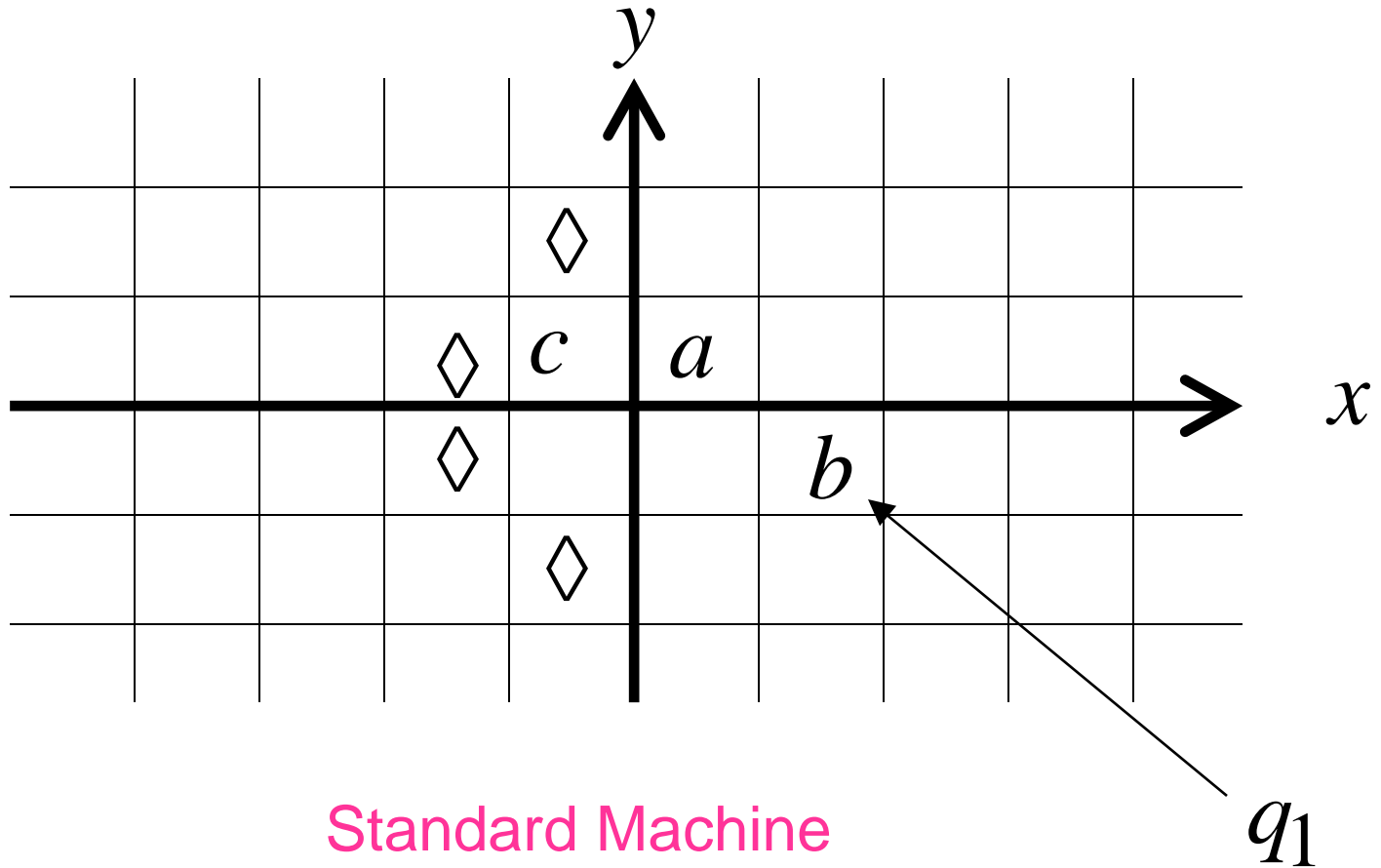
Use one dimension

Standard machines simulate Multidimensional machines:

Standard machine:

- Use a two track tape
- Store symbols in track 1
- Store coordinates in track 2

Two-dimensional machine



Standard Machine

a				b					c	
1	#	1	#	2	#	-	1	#	-	1

symbols

coordinates

q_1

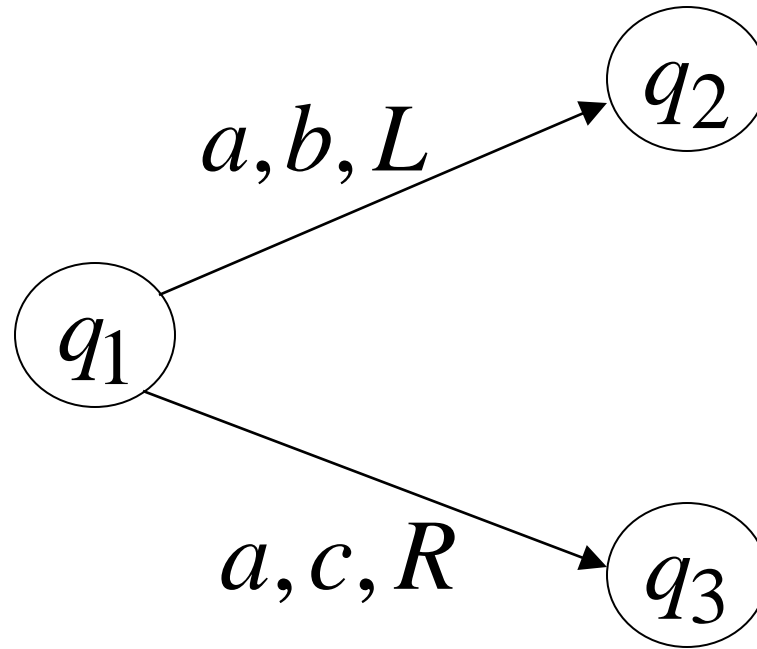
Standard machine:

Repeat for each transition

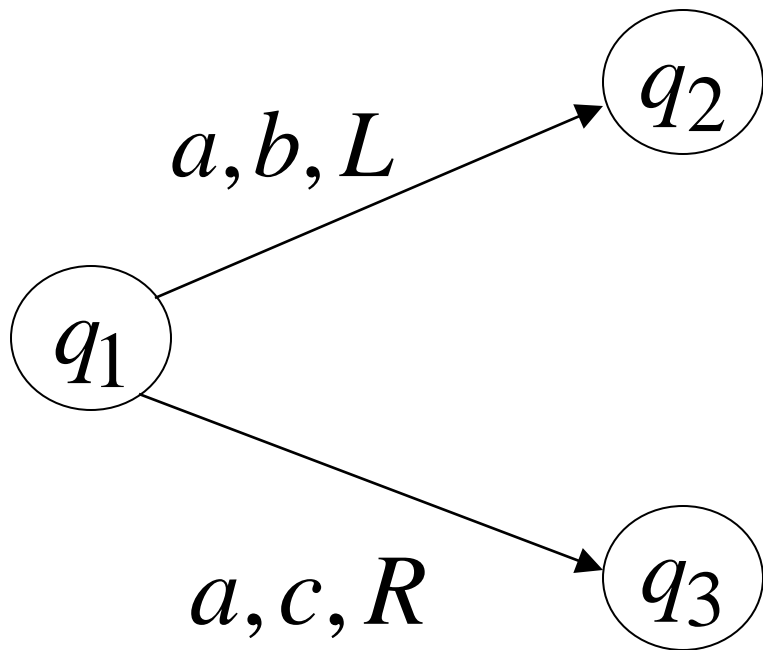
- Update current symbol
- Compute coordinates of next position
- Go to new position

Theorem: MultiDimensional Machines
have the same power
with Standard Turing Machines

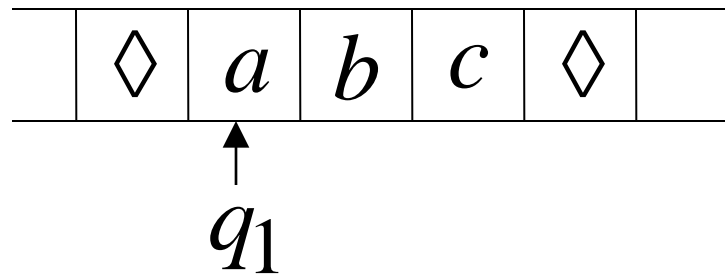
NonDeterministic Turing Machines



Non Deterministic Choice

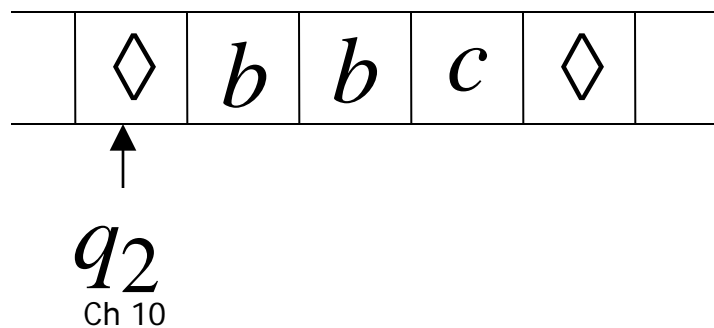


Time 0

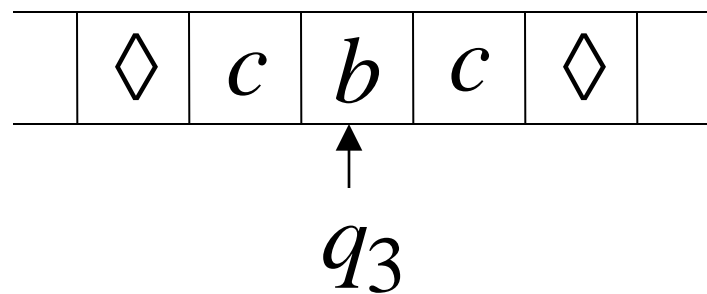


Time 1

Choice 1

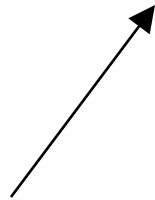


Choice 2



Input string w is accepted if
this is a possible computation

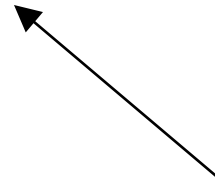
$$q_0 w \stackrel{*}{\vdash} x q_f y$$



Initial configuration



Final state



Final Configuration

Nondeterministic Machines simulate
Standard (deterministic) Machines:

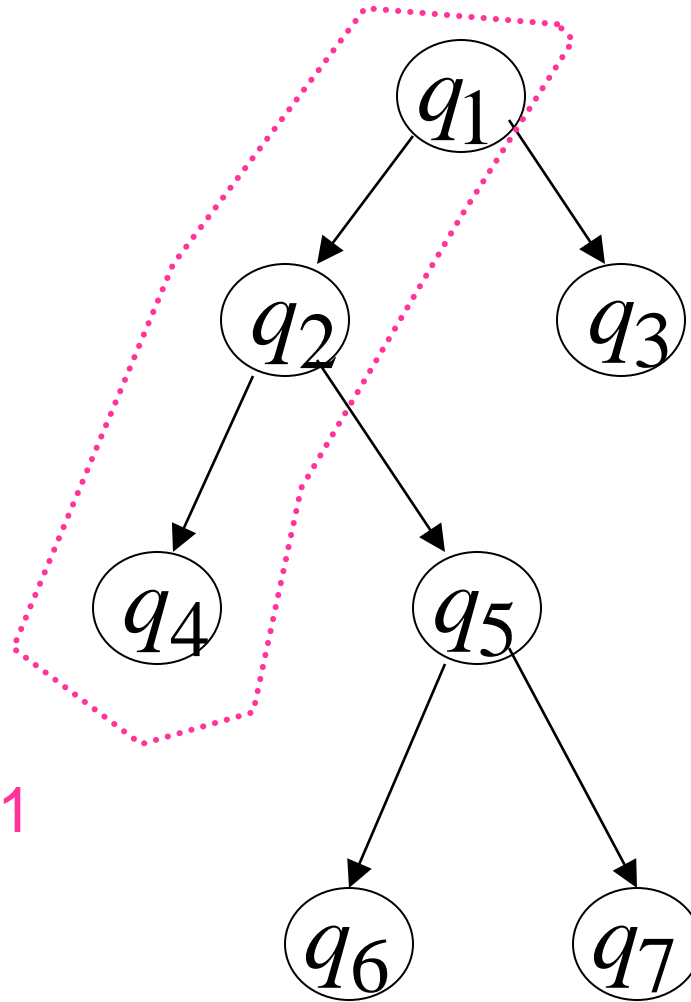
Every deterministic machine
is also a nondeterministic machine

Deterministic machines simulate
NonDeterministic machines:

Deterministic machine:

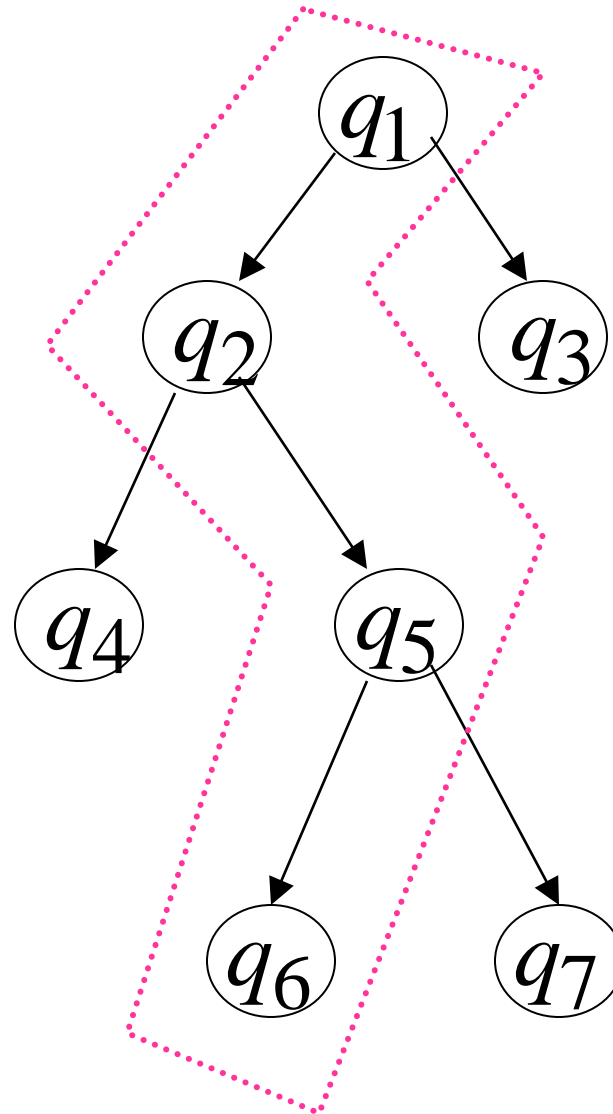
Keeps track of all possible computations

Non-Deterministic Choices



Computation 1

Non-Deterministic Choices



Computation 2

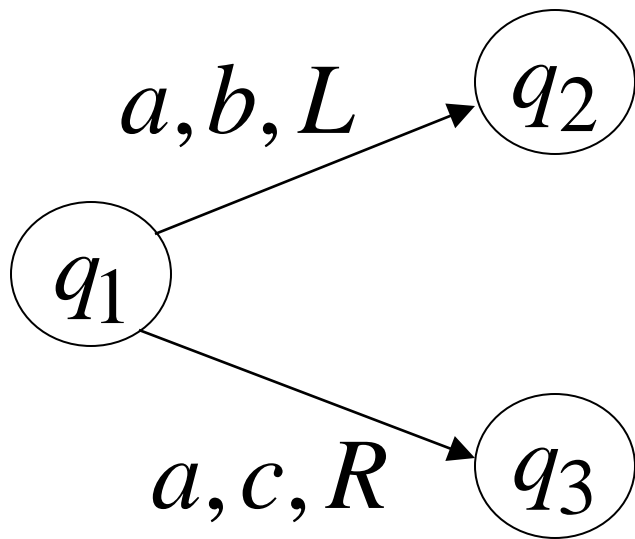
Ch 10

Simulation

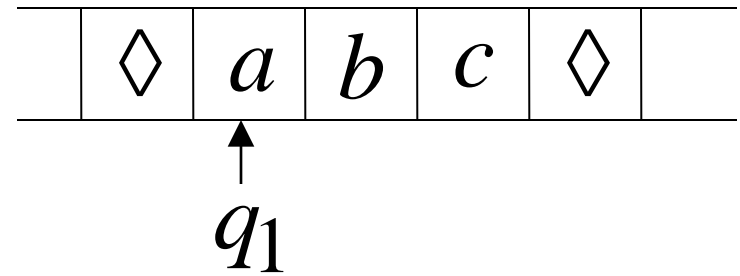
Deterministic machine:

- Keeps track of all possible computations
- Stores computations in a two-dimensional tape

NonDeterministic machine



Time 0



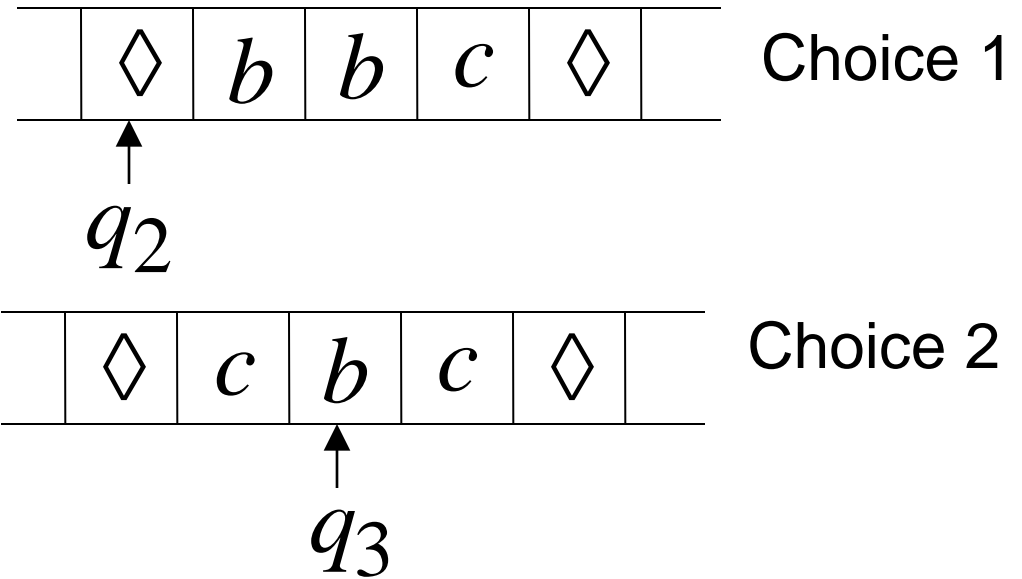
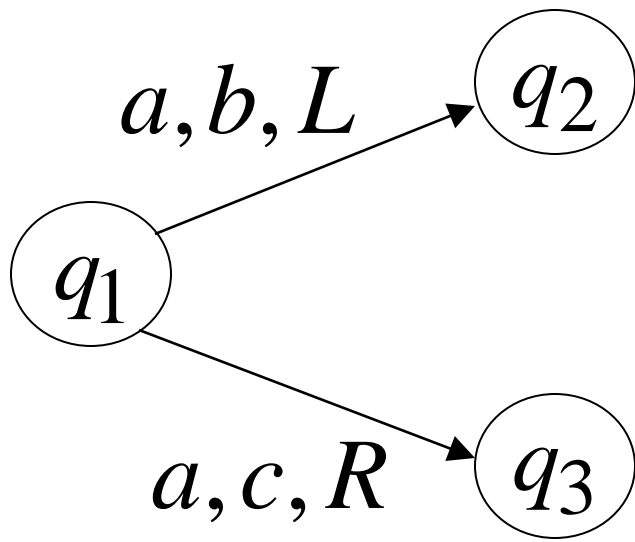
Deterministic machine

	#	#	#	#	#	#	
	#	a	b	c	#		
	#	q ₁			#		
	#	#	#	#	#		

Computation 1

NonDeterministic machine

Time 1



Deterministic machine

	#	#	#	#	#	#		
#		<i>b</i>	<i>b</i>	<i>c</i>	#			Computation 1
#	<i>q</i> ₂				#			
#		<i>c</i>	<i>b</i>	<i>c</i>	#			Computation 2
#			<i>q</i> ₃		#			

Repeat

- Execute a step in each computation:
- If there are two or more choices in current computation:
 1. Replicate configuration
 2. Change the state in the replication

Theorem: NonDeterministic Machines
have the same power with
Deterministic machines

Remark:

The simulation in the Deterministic machine takes time exponential time compared to the NonDeterministic machine

A Universal Turing Machine

A limitation of Turing Machines:

Turing Machines are “hardwired”



they execute
only one program

Real Computers are re-programmable

Solution: Universal Turing Machine

Attributes:

- Reprogrammable machine
- Simulates any other Turing Machine

Universal Turing Machine

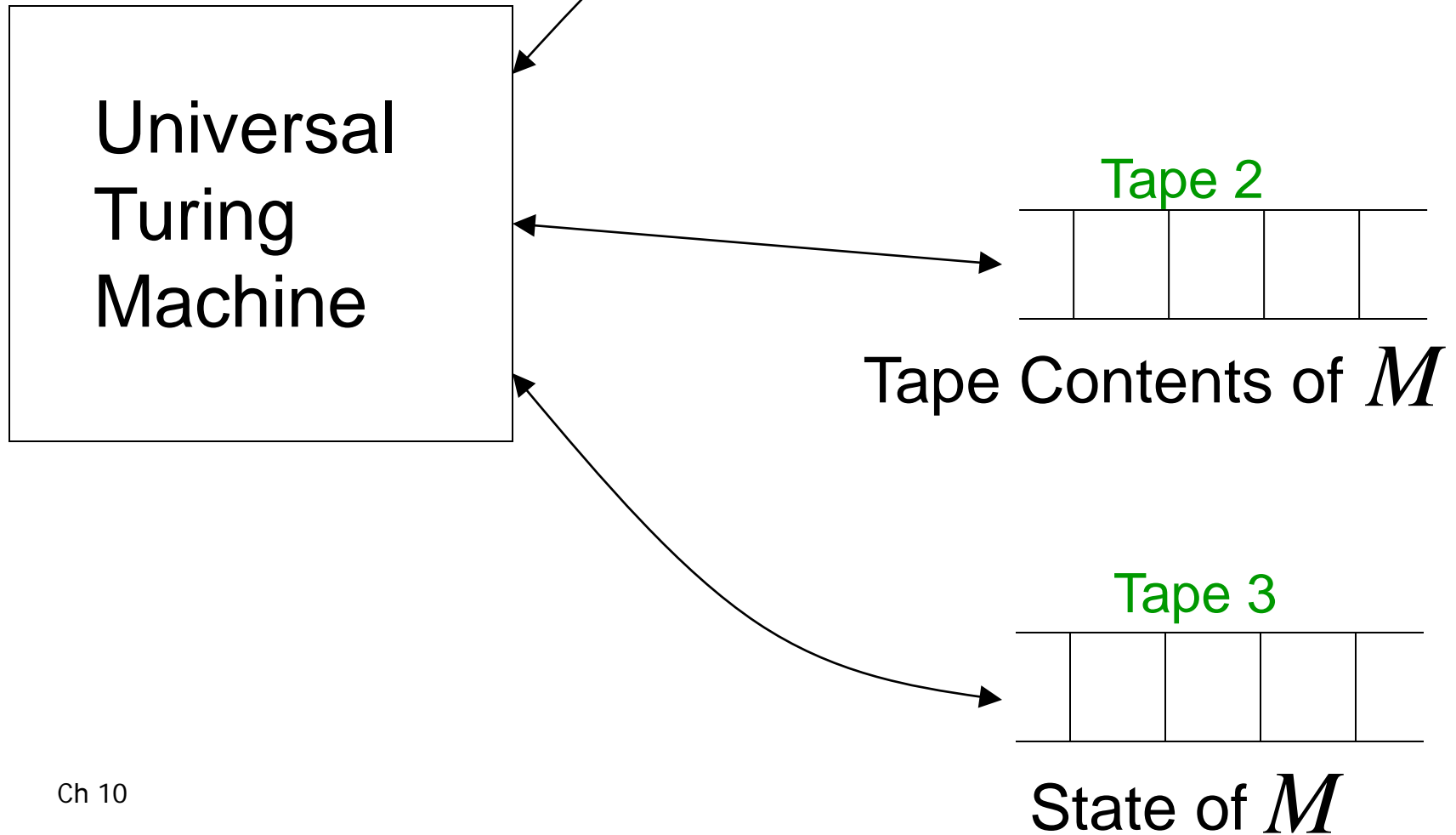
simulates any other Turing Machine M

Input of Universal Turing Machine:

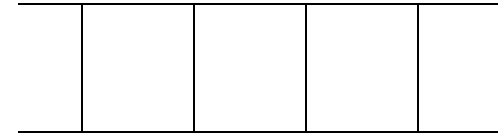
Description of transitions of M

Initial tape contents of M

Three tapes



Tape 1



Description of M

We describe Turing machine M
as a string of symbols:

We encode M as a string of symbols

Alphabet Encoding

Symbols:

a

b

c

d

...



Encoding:

1

11

111

1111

State Encoding

States:

q_1

q_2

q_3

q_4

...



Encoding:

1

11

111

1111

Head Move Encoding

Move:

L

R



Encoding:

1

11

Transition Encoding

Transition:

$$\delta(q_1, a) = (q_2, b, L)$$

Encoding:

1 0 1 0 1 1 0 1 1 0 1

separator

Machine Encoding

Transitions:

$$\delta(q_1, a) = (q_2, b, L)$$

$$\delta(q_2, b) = (q_3, c, R)$$

Encoding:

1 0 1 0 1 1 0 1 1 0 1 0 0 1 1 0 1 1 1 0 1 1 1 0 1 1

separator

Tape 1 contents of Universal Turing Machine:

encoding of the simulated machine M
as a binary string of 0's and 1's

A Turing Machine is described
with a binary string of 0's and 1's

Therefore:

The set of Turing machines forms a language:

each string of the language is
the binary encoding of a Turing Machine

Language of Turing Machines

$L = \{$ 010100101, (Turing Machine 1)
00100100101111, (Turing Machine 2)
111010011110010101,
.....
..... }

Countable Sets

Infinite sets are either:

Countable

or

Uncountable

Countable set:

Any finite set

or

Any *Countably infinite* set:

There is a one to one correspondence

between

elements of the set

and

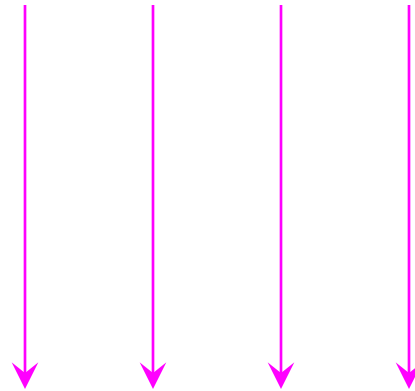
Natural numbers

Example: The set of even integers
is countable

Even integers: 0, 2, 4, 6, ...

Correspondence:

Positive integers: 1, 2, 3, 4, ...



$2n$ corresponds to $n + 1$

Example: The set of rational numbers
is countable

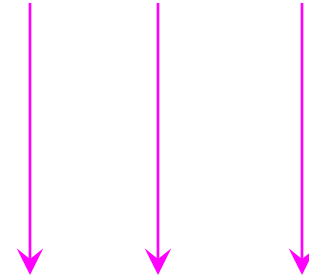
Rational numbers: $\frac{1}{2}, \frac{3}{4}, \frac{7}{8}, \dots$

Naïve Proof

Rational numbers: $\frac{1}{1}, \frac{1}{2}, \frac{1}{3}, \dots$

Correspondence:

Positive integers: 1, 2, 3, ...



Doesn't work:

we will never count
numbers with nominator 2:

$\frac{2}{1}, \frac{2}{2}, \frac{2}{3}, \dots$

Better Approach

$$\frac{1}{1} \qquad \frac{1}{2} \qquad \frac{1}{3} \qquad \frac{1}{4} \qquad \dots$$

$$\frac{2}{1} \qquad \frac{2}{2} \qquad \frac{2}{3} \qquad \dots$$

$$\frac{3}{1} \qquad \frac{3}{2} \qquad \dots$$

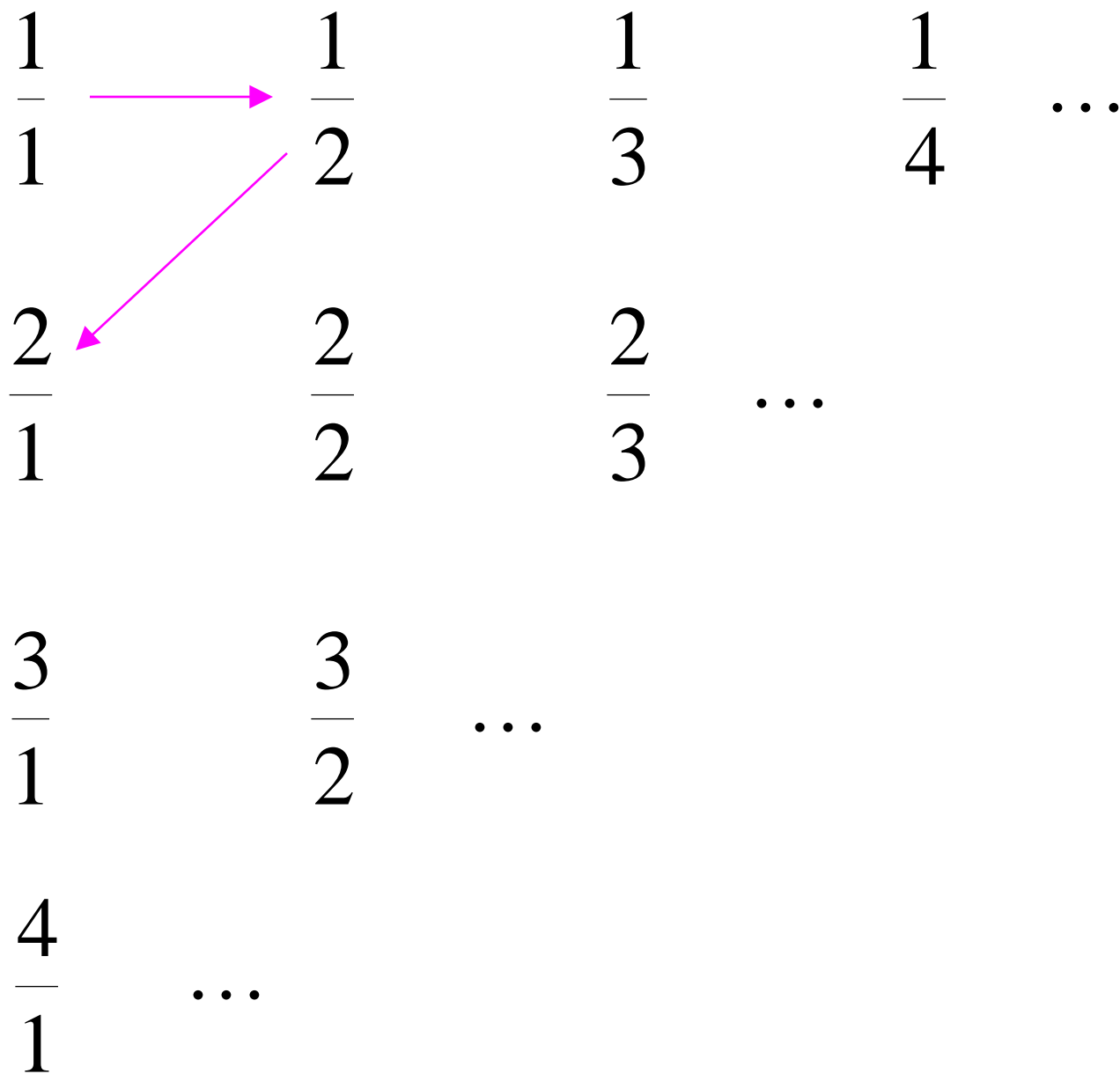
$$\frac{4}{1} \qquad \dots$$

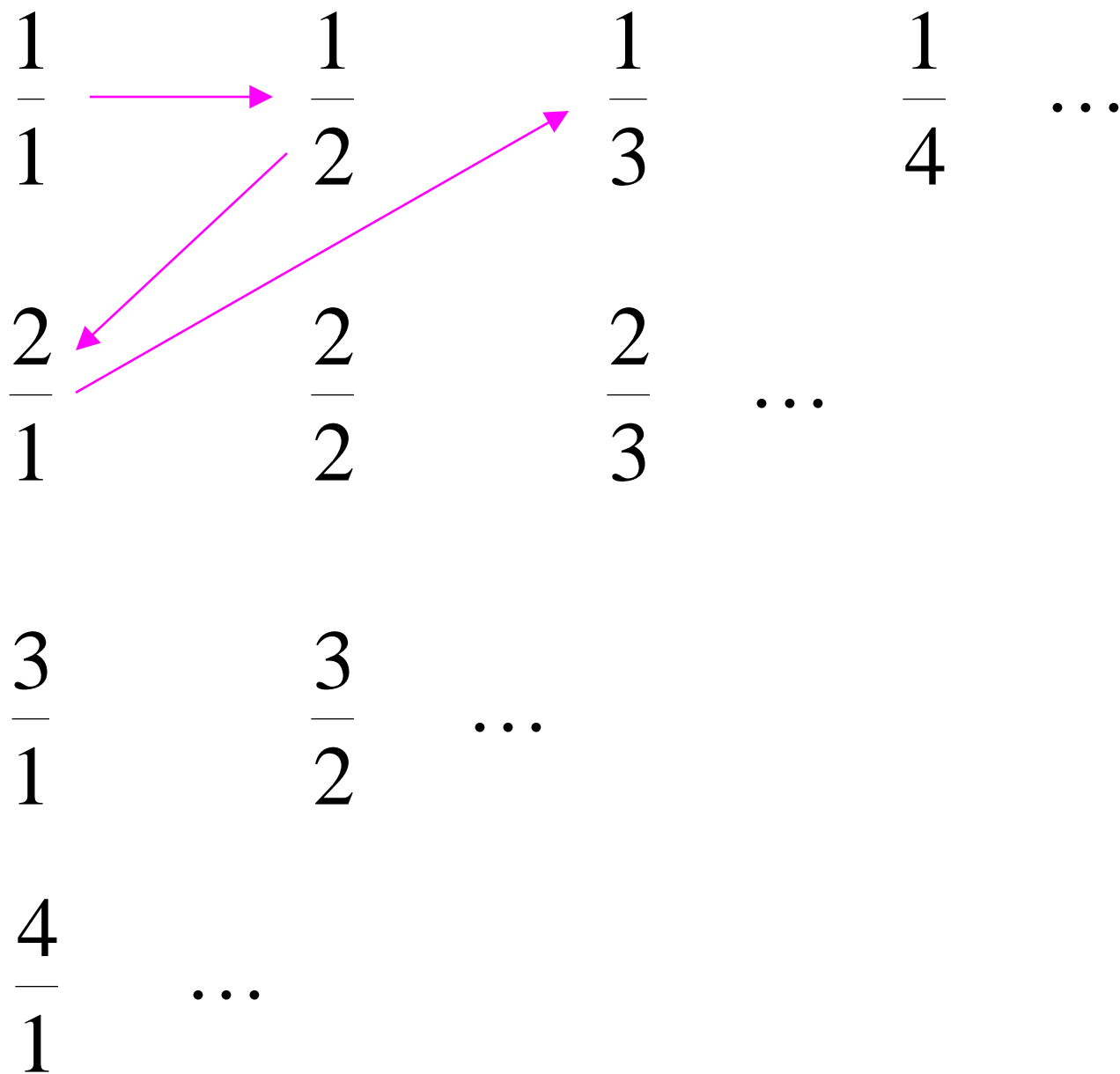
$$\frac{1}{1} \xrightarrow{\quad} \frac{1}{2} \qquad \frac{1}{3} \qquad \frac{1}{4} \qquad \dots$$

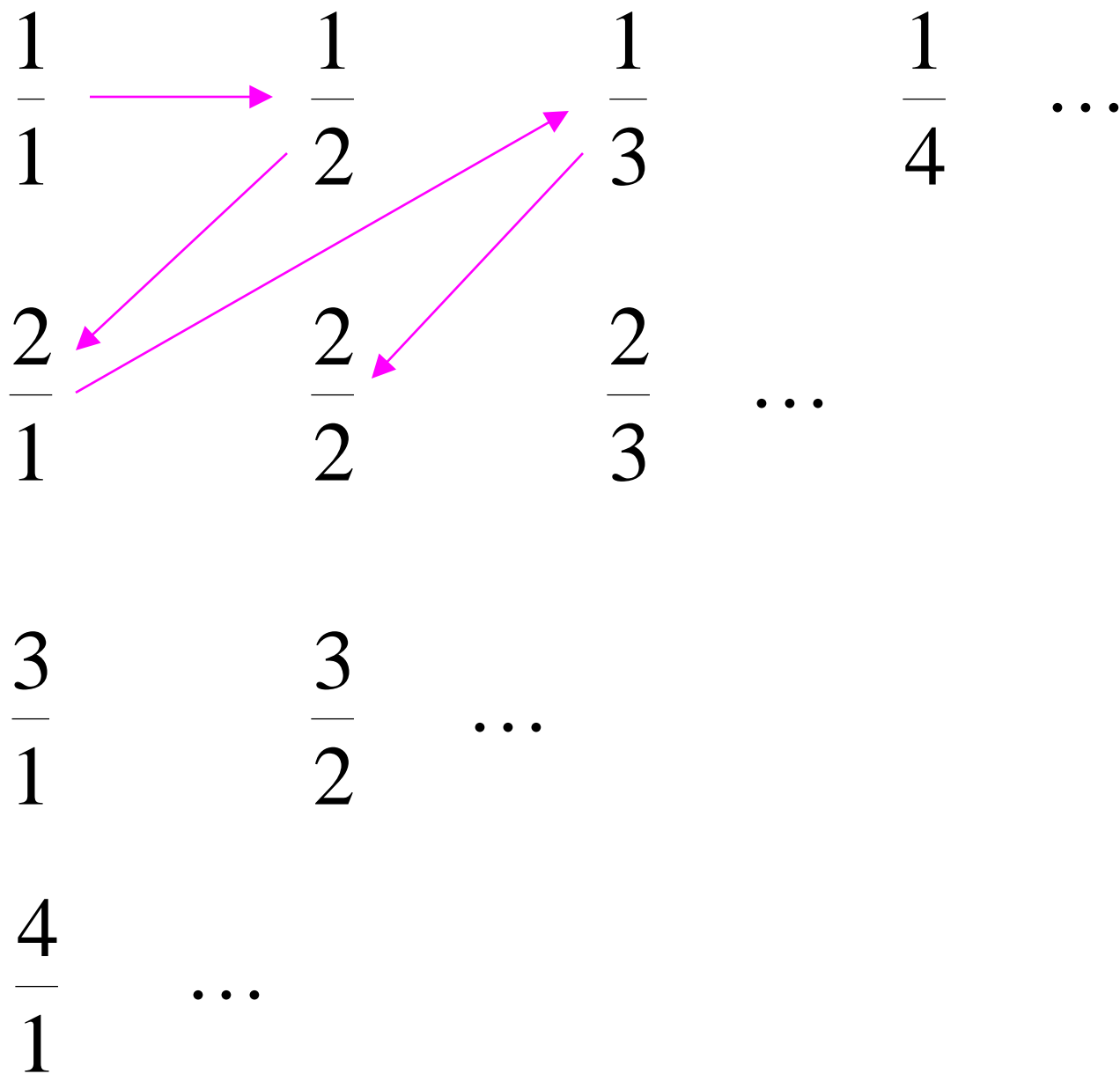
$$\frac{2}{1} \qquad \frac{2}{2} \qquad \frac{2}{3} \qquad \dots$$

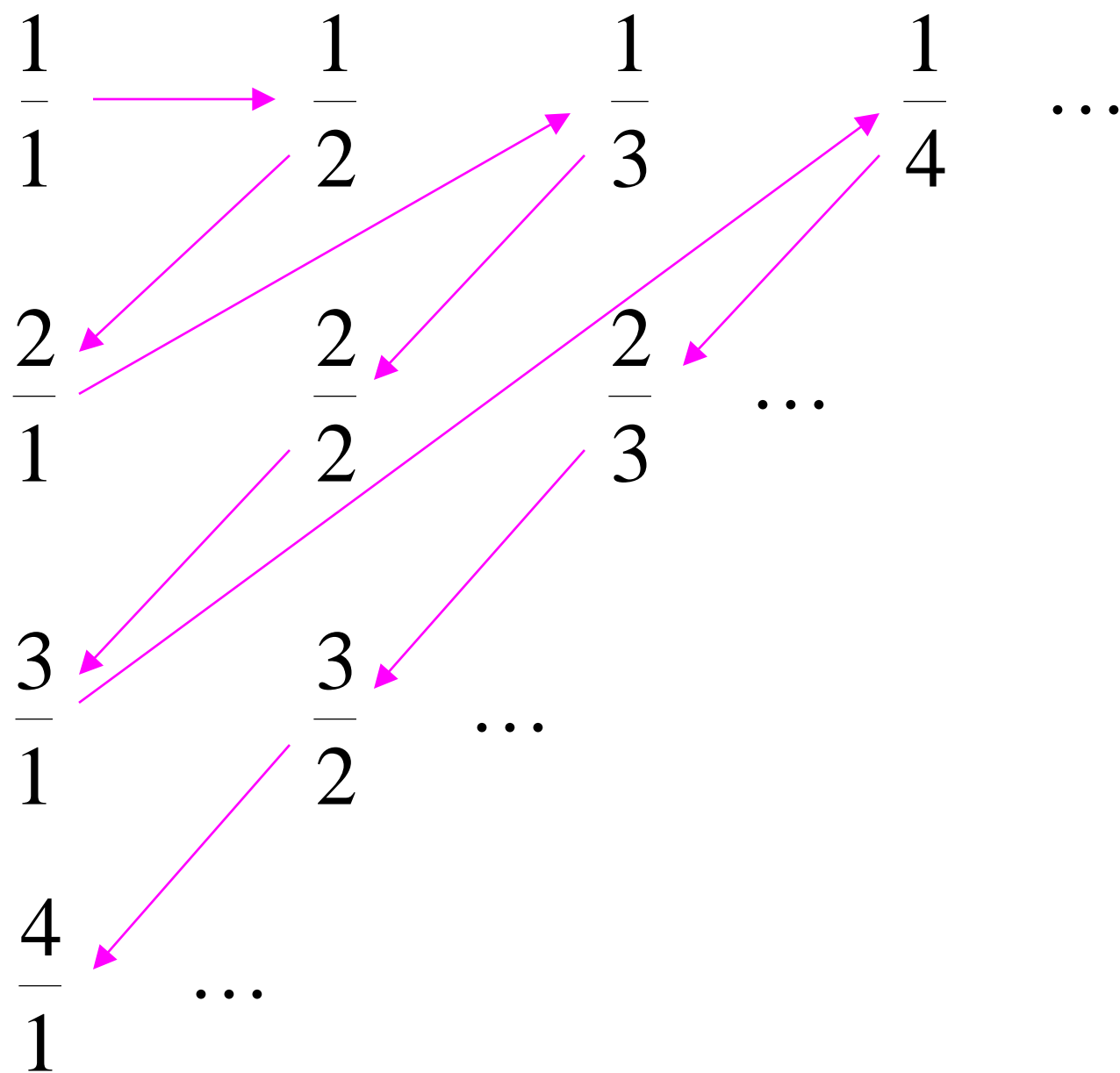
$$\frac{3}{1} \qquad \frac{3}{2} \qquad \dots$$

$$\frac{4}{1} \qquad \dots$$









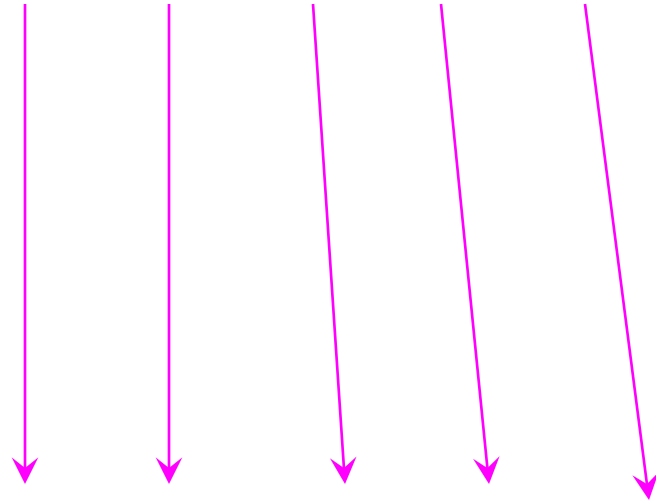
Rational Numbers:

$$\frac{1}{1}, \frac{1}{2}, \frac{2}{1}, \frac{1}{3}, \frac{2}{2}, \dots$$

Correspondence:

Positive Integers:

$$1, 2, 3, 4, 5, \dots$$



We proved:

the set of rational numbers is countable
by describing an enumeration procedure

Definition

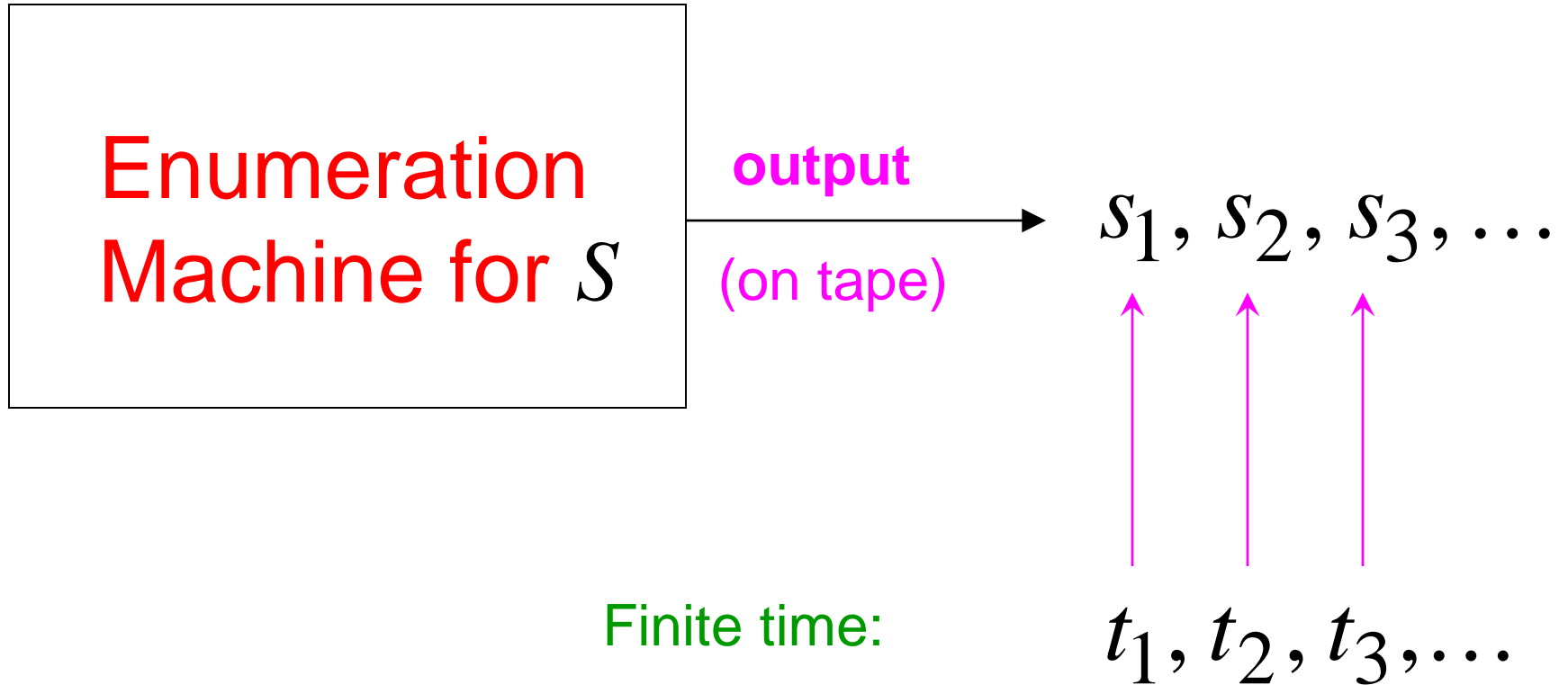
Let S be a set of strings

An **enumeration procedure** for S is a Turing Machine that generates all strings of S one by one

and

Each string is generated in finite time

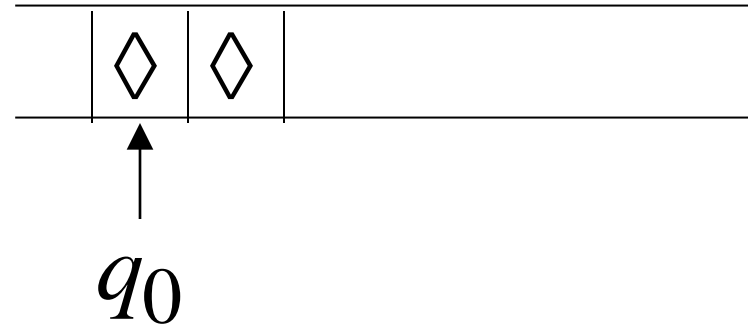
strings $s_1, s_2, s_3, \dots \in S$



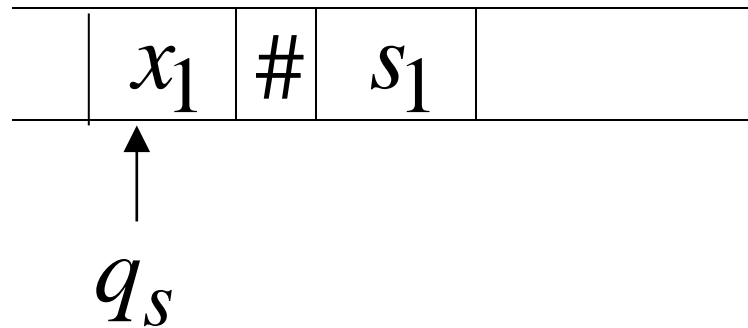
Enumeration Machine

Configuration

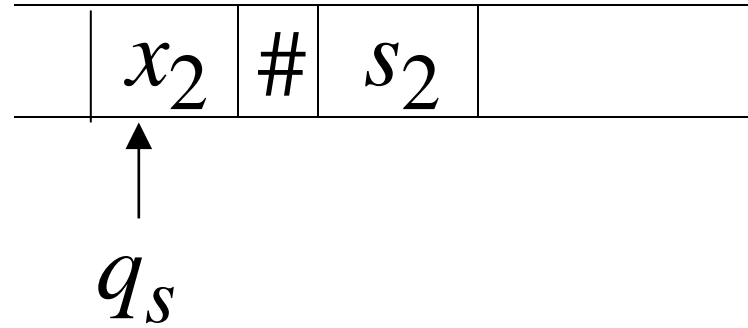
Time 0



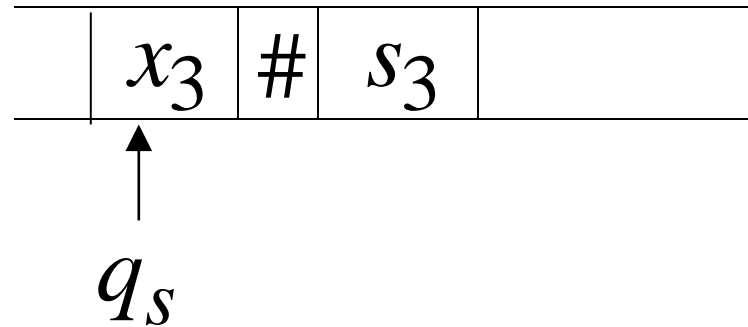
Time t_1



Time t_2



Time t_3



Observation:

If for a set there is an enumeration procedure, then the set is countable

Example:

The set of all strings $\{a, b, c\}^+$
is countable

Proof:

We will describe an enumeration procedure

Naive procedure:

Produce the strings in lexicographic order:

a

aa

aaa

aaaa

.....

Doesn't work:

strings starting with *b*
will never be produced

Better procedure:

Proper Order

1. Produce all strings of length 1
2. Produce all strings of length 2
3. Produce all strings of length 3
4. Produce all strings of length 4
-

Produce strings in
Proper Order:

a
b
c } length 1

aa
ab
ac
ba
bb
bc
ca
cb
cc } length 2

aaa
aab
aac
..... } length 3

Theorem 10.3:

The set of all Turing Machines
is countable

Proof: Any Turing Machine can be encoded
with a binary string of 0's and 1's

Find an enumeration procedure
for the set of Turing Machine strings

Enumeration Procedure:

Repeat

1. Generate the next binary string of 0's and 1's in proper order
2. Check if the string describes a Turing Machine
 - if **YES:** print string on output tape
 - if **NO:** ignore string

Uncountable Sets

Definition: A set is uncountable
if it is not countable

Theorem:

Let S be an infinite countable set

The powerset 2^S of S is uncountable

Proof:

Since S is countable, we can write

$$S = \{s_1, s_2, s_3, \dots\}$$



Elements of S

Elements of the powerset have the form:

$$\{s_1, s_3\}$$

$$\{s_5, s_7, s_9, s_{10}\}$$

.....

We encode each element of the power set with a binary string of 0's and 1's

Powerset element	Encoding				
	s_1	s_2	s_3	s_4	\dots
$\{s_1\}$	1	0	0	0	\dots
$\{s_2, s_3\}$	0	1	1	0	\dots
$\{s_1, s_3, s_4\}$	1	0	1	1	\dots

Let's assume (for contradiction)
that the powerset is countable.

Then: we can enumerate
the elements of the powerset

Powerset
element

Encoding

t_1	1	0	0	0	0	...
-------	---	---	---	---	---	-----

t_2	1	1	0	0	0	...
-------	---	---	---	---	---	-----

t_3	1	1	0	1	0	...
-------	---	---	---	---	---	-----

t_4	1	1	0	0	1	...
-------	---	---	---	---	---	-----

Take the powerset element
whose bits are the complements
in the diagonal

t_1 1 0 0 0 0 ...

t_2 1 1 0 0 0 ...

t_3 1 1 0 1 0 ...

t_4 1 1 0 0 1 ...

New element: 0011...

(binary complement of diagonal)

The new element must be some t_i
of the powerset

However, that's impossible:

from definition of t_i

the i^{th} bit of t_i must be
the complement of itself

Contradiction!!!

Since we have a contradiction:

The powerset 2^S of S is uncountable

An Application: Languages

Example Alphabet : $\{a, b\}$

The set of all Strings:

$$S = \{a, b\}^* = \{\lambda, a, b, aa, ab, ba, bb, aaa, aab, \dots\}$$

infinite and countable

Example Alphabet : $\{a, b\}$

The set of all Strings:

$$S = \{a, b\}^* = \{\lambda, a, b, aa, ab, ba, bb, aaa, aab, \dots\}$$

infinite and countable

A language is a subset of S :

$$L = \{aa, ab, aab\}$$

Example Alphabet : $\{a, b\}$

The set of all Strings:

$$S = \{a, b\}^* = \{\lambda, a, b, aa, ab, ba, bb, aaa, aab, \dots\}$$

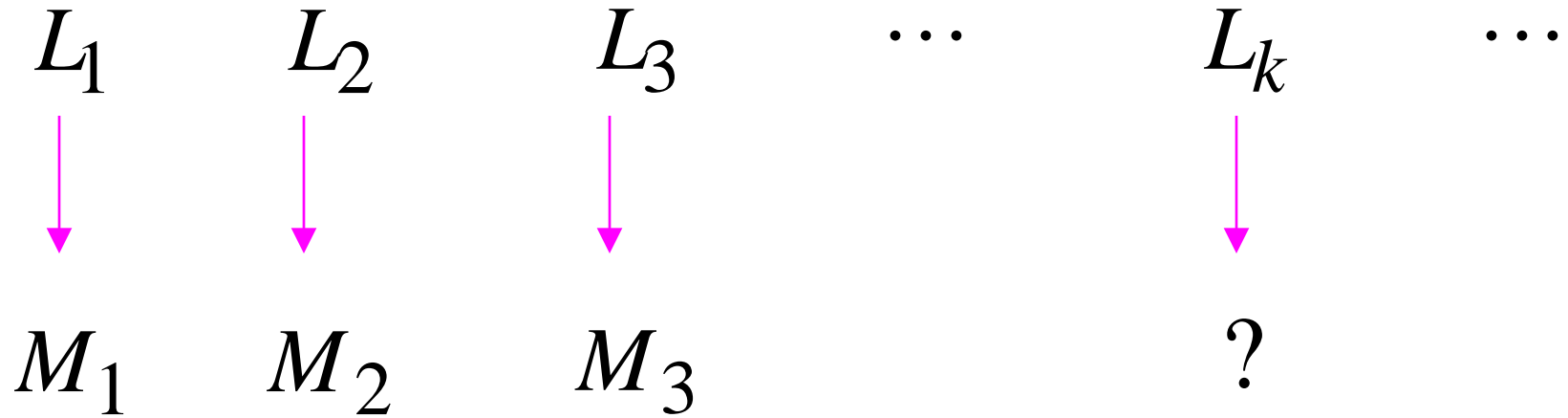
infinite and countable

The powerset of S contains all languages:

$$2^S = \{ \underbrace{\{\lambda\}}_{L_1}, \underbrace{\{a\}}_{L_2}, \underbrace{\{a, b\}}_{L_3}, \underbrace{\{aa, ab, aab\}}_{L_4}, \dots \}$$

uncountable

Languages: **uncountable**



Turing machines: **countable**

There are more languages
than Turing Machines

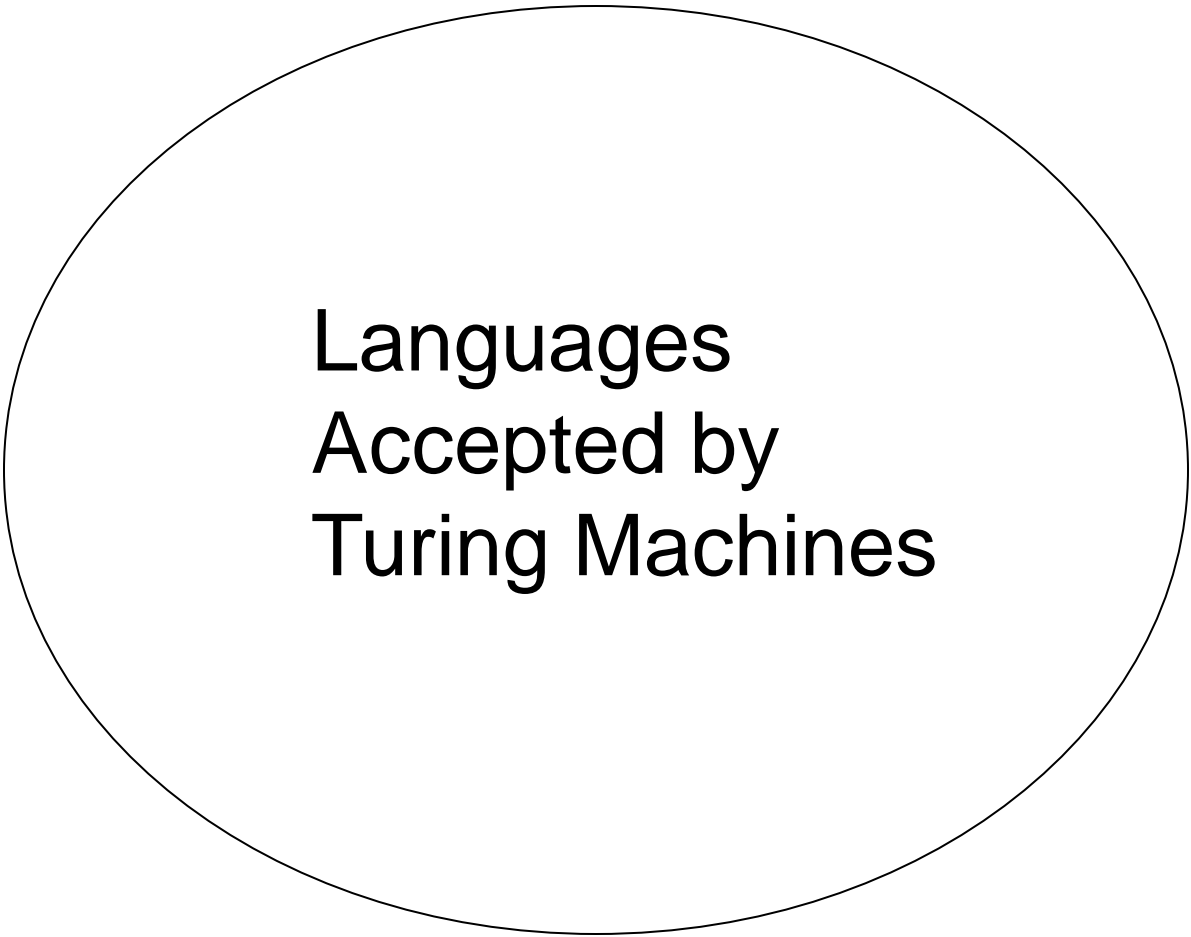
Conclusion:

There are some languages not accepted
by Turing Machines

(These languages cannot be described
by algorithms)

Languages not accepted by Turing Machines

L_k



Languages
Accepted by
Turing Machines

Linear Bounded Automata

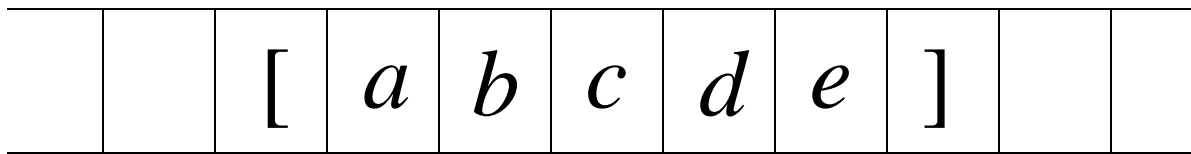
LBA's

Linear Bounded Automata (LBAs)
are the same as Turing Machines
with one difference:

The input string tape space
is the only tape space allowed to use

Linear Bounded Automaton (LBA)

Input string



Working space
in tape

Left-end
marker

Right-end
marker

All computation is done between end markers

Example languages accepted by LBAs:

$$L = \{a^n b^n c^n\}$$

$$L = \{a^{n!}\}$$

LBA's have more power than NPDA's

LBA's have also less power
than Turing Machines