

# Week 8: Storage Management

## L8.1: Algorithms & Complexity Analysis

### Algorithms and Programs

#### Algorithm

- A sequence of unambiguous instructions for solving a problem, i.e. a sequence of steps that can be followed to produce a result
- An algorithm is a *recipe* for solving a problem.
- Example: *If you want to make a cake, you need a recipe. The recipe is the algorithm. The cake is the result.*
- An algorithm must terminate.

#### Program

- A computer program is an algorithm that has been coded into some programming language. It is a sequence of steps that can be followed to produce a result.
- A program may terminate or run forever (e.g. a web server)

### Analysis of Algorithms

#### Why ?

- **Predict performance** of an algorithm
- **Compare** two algorithms for the same problem
- **Understand theoretical basis** for solving problems

#### What to analyze ?

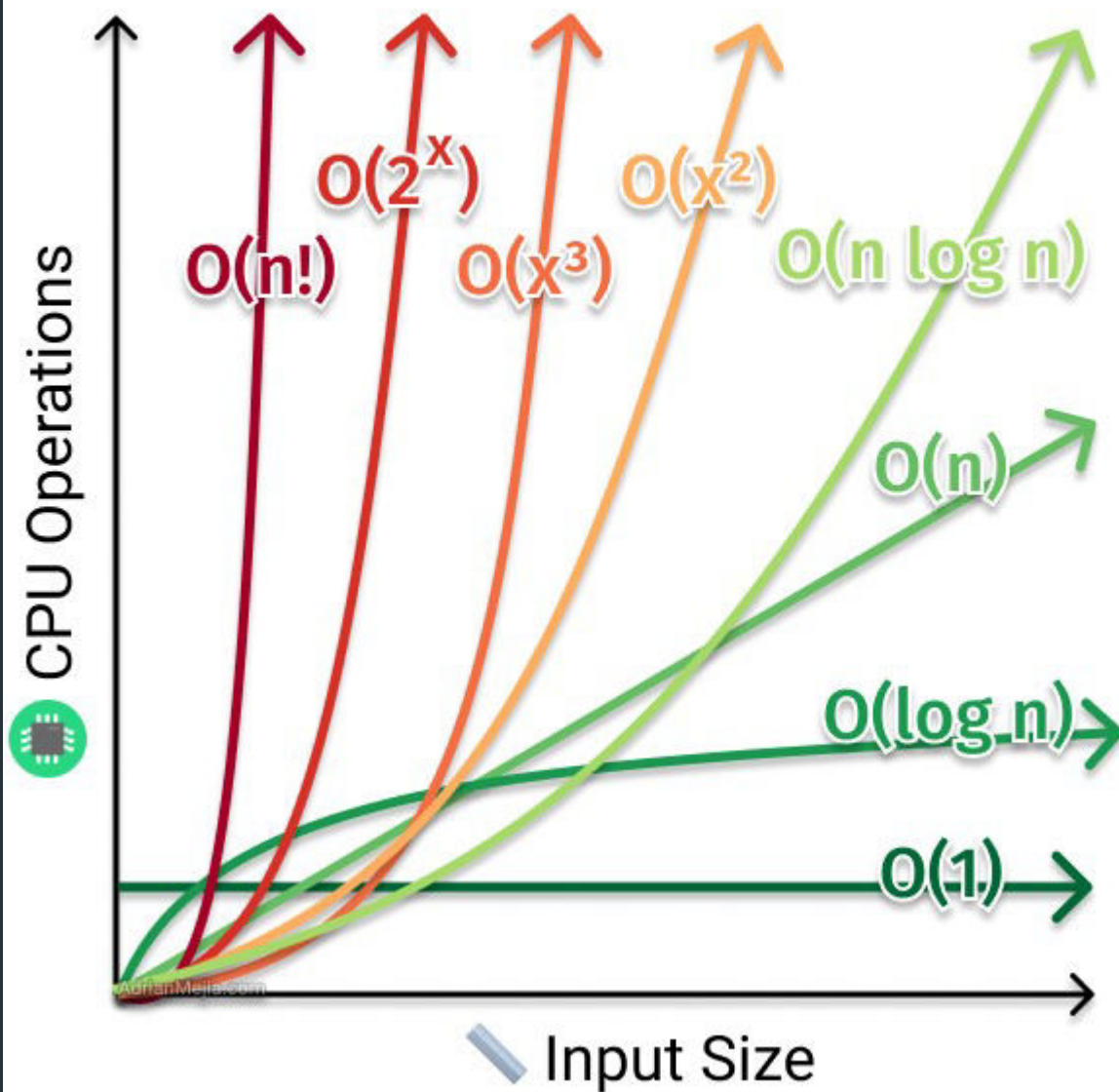
- **Time** - How long does it take for an algorithm to complete?
- **Space** - How much extra memory does it need?

#### How to analyze ?

- **Counting models**
  - Total running time = Sum of cost x frequency for all operations
- **Asymptotic analysis**
  - Cannot compare actual times, hence compare *Growth* or how time increases with input size
  - **Big-O notation** - upper bound on the growth of an algorithm
  - **Big-Omega notation** - lower bound on the growth of an algorithm
  - **Big-Theta notation** - both upper and lower bound on the growth of an algorithm



## Time Complexity



- *Generating functions*
- *Master Theorem*

## Where to Analyze ?

- **Worst case** - Maximum number of steps taken on any instance of size  $n$
- **Average case** - Average number of steps taken on any instance of size  $n$
- **Best case** - Minimum number of steps taken on any instance of size  $n$

## L8.2 & 8.3: Data Structures

### Data Structure

- A data structure specifies the way of organizing and storing in memory data that enables efficient access and modification of the data.
  - **Linear Data structures**
  - **Non-Linear Data structures**
- For applications related to data management, the key operations are:

- **Create**
- **Insert**
- **Delete**
- **Find / Search**
- **Close**

## Linear Data Structures

- A linear data structure is a data structure where data elements are arranged sequentially or linearly, i.e. each element (data) is connected to it's previous and next element.
- Examples:
  - **Arrays**
    - The data elements are stored at contiguous memory locations.
  - **Linked Lists**
    - The data elements are linked using pointers.
  - **Stacks**
    - It follows LIFO (Last In First Out) principle, i.e. the element inserted at the last is the first element to come out.
  - **Queues**
    - It follows FIFO (First In First Out) principle, i.e. the element inserted at the first is the first element to come out.

For more understanding of linear data structures, check [this](#)

You can learn about searching techniques in linear data structures [here](#)

	Array		Linked List	
	Unordered	Ordered	Unordered	Ordered
Access	$O(1)$	$O(1)$	$O(n)$	$O(n)$
Insert	$O(n)$	$O(n)$	$O(1)$	$O(1)$
Delete	$O(n)$	$O(n)$	$O(1)$	$O(1)$
Search	$O(n)$	$O(\log n)$	$O(n)$	$O(n)$

- Stacks and Queues are abstract data structures. They can be implemented using arrays or linked lists.
- So, the space complexity of linear data structures is  $O(n)$ .
- All of them having complexities that are identical for Worst case as well as Average case.

Non-linear data structures can be used to trade-off between extremes and achieve a balance good performance for all.

## Non-Linear Data Structures

- A non-linear data structure is a data structure in which data items are not arranged in a sequence and each

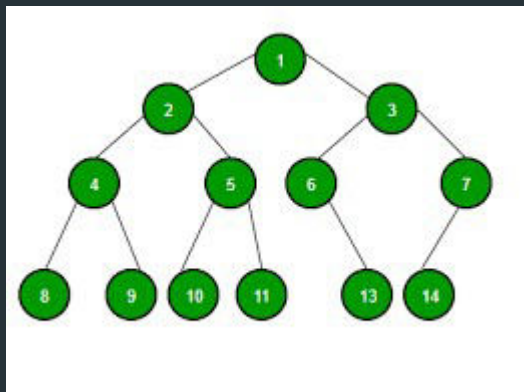
element may have multiple paths to connect to other elements.

- Unlike linear data structures, where an element is at most connected to 2 elements, non-linear data structures can be connected to any number of elements.
- Traversing is not possible in single run as elements are not arranged in sequential manner.
- Example:
  - **Graph**
    - A graph is a non-linear data structure consisting of nodes and edges.
    - The edges are lines or arcs that connect any two nodes in the graph.
    - They can be directed or undirected, and can be weighted or unweighted.
  - **Tree**
    - A tree is a non-linear data structure in which data elements are organized in hierarchical structure. The topmost node is called root of the tree.
    - The elements that are directly under an element are called its children.
    - The element directly above something is called its parent. Finally, elements with no children are called leaves.
    - They can be rooted or unrooted, binary or n-ary, balanced or unbalanced, etc.
  - **Hash Table**
    - In a hash table we store data in linked list which are accessed by a key which refers to the index of the array where the linked list is stored.
    - Each element in an array is a linked list.
  - and there are many more...

For more understanding of Graph, check [this](#)

## Tree

- Tree is a connected acyclic graph representing hierarchical structure.



- **Root node:**
  - The topmost node in a tree is called the root node.
  - There is only one root node in a tree.
- **Parent node:**
  - A node that has sub-nodes connected to it is called a parent node.
  - A node can be a parent node to multiple nodes.
  - A node can be a parent node as well as a child node.
- **Child node:**

- A node that is connected to a parent node is called a child node.
- A node can be a child node to multiple nodes.
- A node can be a parent node as well as a child node.
- **Leaf node:**
  - A node that does not have any child node is called a leaf node.
  - A leaf node is also called an external node.
- **Internal node:**
  - A node that has at least one child node is called an internal node.
- **Subtree:**
  - A subtree is a tree that is part of a larger tree.
- **Path:**
  - A path is a sequence of nodes connected by edges of a tree.
- **Sibling:**
  - Nodes that have the same parent node are called siblings.
- **Arity:**
  - Number of children of a node is called its arity.
- **Levels:**
  - Root node has level 0, its children have level 1, and so on.
- **Height:**
  - Maximum level of any node in a tree is called its height.
- **Binary tree:**
  - A tree which arity 2, i.e. each node has at most 2 children.

A tree maybe:

- rooted or unrooted
- Binary or n-ary
- Balanced or unbalanced
- Disconnected (forest) or connected

Examples:

- Composite attributes
- Family Genealogy
- Search trees

### Facts:

1. A tree with  $n$  nodes has  $n - 1$  edges.
2. The maximum number of nodes at level  $l$  of a binary tree is  $2^l$ .
3. If  $h$  is the height of a binary tree with  $n$  nodes, then:
 
$$h + 1 \leq n \leq 2^{h+1} - 1$$
4. For a  $k$ -ary tree with  $n$  nodes:
 
$$\log_k(n) \leq h \leq n$$

# Hash Table

- A hash table is a data structure that maps keys to values for highly efficient lookup.
- It elements an associative array abstract data type, a structure that can map keys to values by using a hash function to compute an index into an array of buckets or slots, from which the desired value can be found.

A hash table may be using:

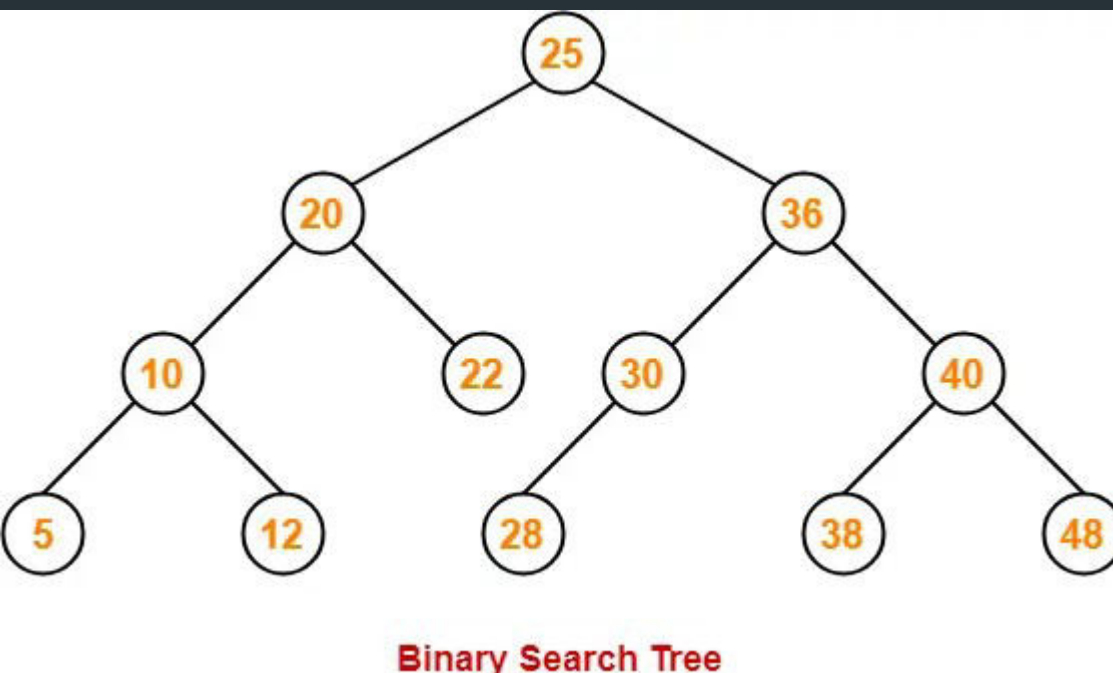
- Static or dynamic schemes
- open addressing
- 2-choice hashing

Examples:

- Associative arrays
- Database indexing
- Caches

## Binary Search and Binary Search Tree

- Binary search is efficient in search of a key in a sorted array, i.e.  $O(\log n)$ .
- As the binary search splits the array, we can conceptually consider the Middle element to be the root of a tree and the left and right sub-arrays to be the left and right sub-trees and progress recursively, we get a Binary Search Tree.
- So, A Binary Search Tree is a binary tree in which the root node is greater than all the nodes in the left sub-tree and less than all the nodes in the right sub-tree.



### Searching in a Binary Search Tree

- Searching in a Binary Search Tree takes  $O(h)$ , where  $h$  is the height of the tree.
- **Worst Case:** If the BST is skewed, then time complexity is  $O(n)$ , where  $n$  is the number of nodes.
- **Best Case:** If the BST is balanced, then time complexity is  $O(\log n)$ , height ( $h$ ) becomes  $\log n$ .



# Complexities of Various Data Structures

	Data Structure	Time Complexity								Space Complexity
		Average				Worst				Worst
		Access	Search	Insertion	Deletion	Access	Search	Insertion	Deletion	
Linear Data Structures	<u>Array</u>	$O(1)$	$O(n)$	$O(n)$	$O(n)$	$O(1)$	$O(n)$	$O(n)$	$O(n)$	$O(n)$
	<u>Stack</u>	$O(n)$	$O(n)$	$O(1)$	$O(1)$	$O(n)$	$O(n)$	$O(1)$	$O(1)$	$O(n)$
	<u>Queue</u>	$O(n)$	$O(n)$	$O(1)$	$O(1)$	$O(n)$	$O(n)$	$O(1)$	$O(1)$	$O(n)$
	<u>Singly-Linked List</u>	$O(n)$	$O(n)$	$O(1)$	$O(1)$	$O(n)$	$O(n)$	$O(1)$	$O(1)$	$O(n)$
	<u>Doubly-Linked List</u>	$O(n)$	$O(n)$	$O(1)$	$O(1)$	$O(n)$	$O(n)$	$O(1)$	$O(1)$	$O(n)$
Non-Linear Data Structures	<u>Skip List</u>	$O(\log(n))$	$O(\log(n))$	$O(\log(n))$	$O(\log(n))$	$O(n)$	$O(n)$	$O(n)$	$O(n)$	$O(n \log(n))$
	<u>Hash Table</u>	N/A	$O(1)$	$O(1)$	$O(1)$	N/A	$O(n)$	$O(n)$	$O(n)$	$O(n)$
	<u>Binary Search Tree</u>	$O(\log(n))$	$O(\log(n))$	$O(\log(n))$	$O(\log(n))$	$O(n)$	$O(n)$	$O(n)$	$O(n)$	$O(n)$
	<u>Cartesian Tree</u>	N/A	$O(\log(n))$	$O(\log(n))$	$O(\log(n))$	N/A	$O(n)$	$O(n)$	$O(n)$	$O(n)$
	<u>B-Tree</u>	$O(\log(n))$	$O(\log(n))$	$O(\log(n))$	$O(\log(n))$	$O(\log(n))$	$O(\log(n))$	$O(\log(n))$	$O(\log(n))$	$O(n)$
	<u>Red-Black Tree</u>	$O(\log(n))$	$O(\log(n))$	$O(\log(n))$	$O(\log(n))$	$O(\log(n))$	$O(\log(n))$	$O(\log(n))$	$O(\log(n))$	$O(n)$
	<u>Splay Tree</u>	N/A	$O(\log(n))$	$O(\log(n))$	$O(\log(n))$	N/A	$O(\log(n))$	$O(\log(n))$	$O(\log(n))$	$O(n)$
	<u>AVL Tree</u>	$O(\log(n))$	$O(\log(n))$	$O(\log(n))$	$O(\log(n))$	$O(\log(n))$	$O(\log(n))$	$O(\log(n))$	$O(\log(n))$	$O(n)$
	<u>KD Tree</u>	$O(\log(n))$	$O(\log(n))$	$O(\log(n))$	$O(\log(n))$	$O(n)$	$O(n)$	$O(n)$	$O(n)$	$O(n)$

## L8.4: Physical Storage

### Physical Storage

#### Classification of Physical storage media

- **Speed** - How fast can data be accessed?
- **Cost** - How much does it cost?
- **Reliability** - Data loss on power failure or system crash, physical failure.
- **Volatile storage** - Data is lost when power is turned off.
- **Non-volatile storage** - Data is retained when power is turned off.
- **Cache** - Small, very fast, volatile and most costly memory managed by hardware.
- **Main memory** - Larger, fast, volatile memory managed by hardware.

#### Flash Memory

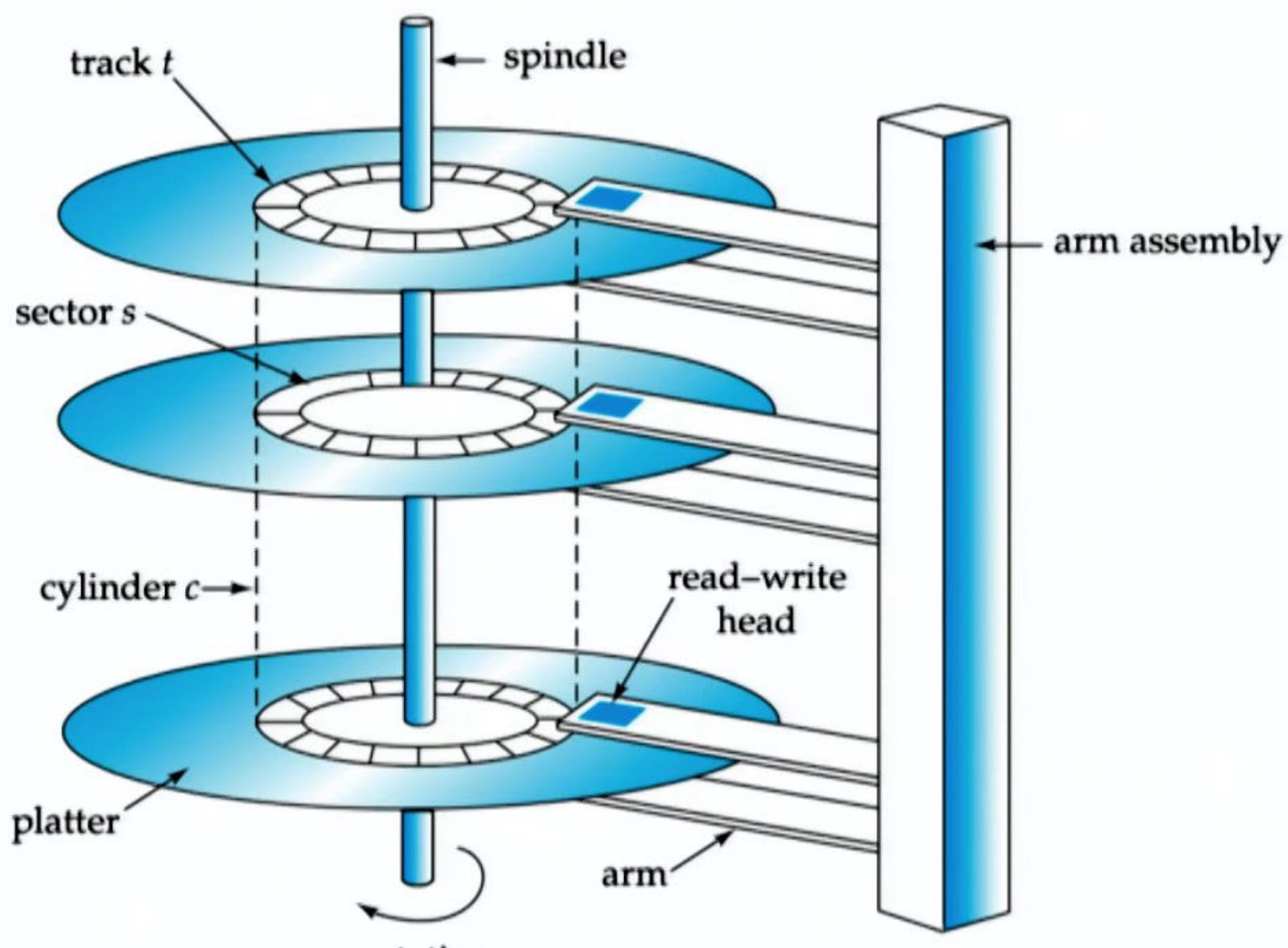
- Flash memory is a non-volatile storage technology that retains data even when power is removed.
- It's commonly used in portable devices, memory cards, and USB drives.
- *Advantages*: Fast read speeds, low power consumption, compact size, durability, and resistance to shocks.
- *Disadvantages*: Limited write endurance (limited number of write cycles per cell).
- Moderately priced, highly reliable for read operations, read speeds are fast, while write speeds are slower.

#### Magnetic Disk

- Data is stored on spinning disk and read/written magnetically.

- Primary medium for long-term storage.
- Data must be moved from disk to main memory for access and written back for storage, much slower than main memory.
- **Direct access:** Possible to read data on disk in any order.

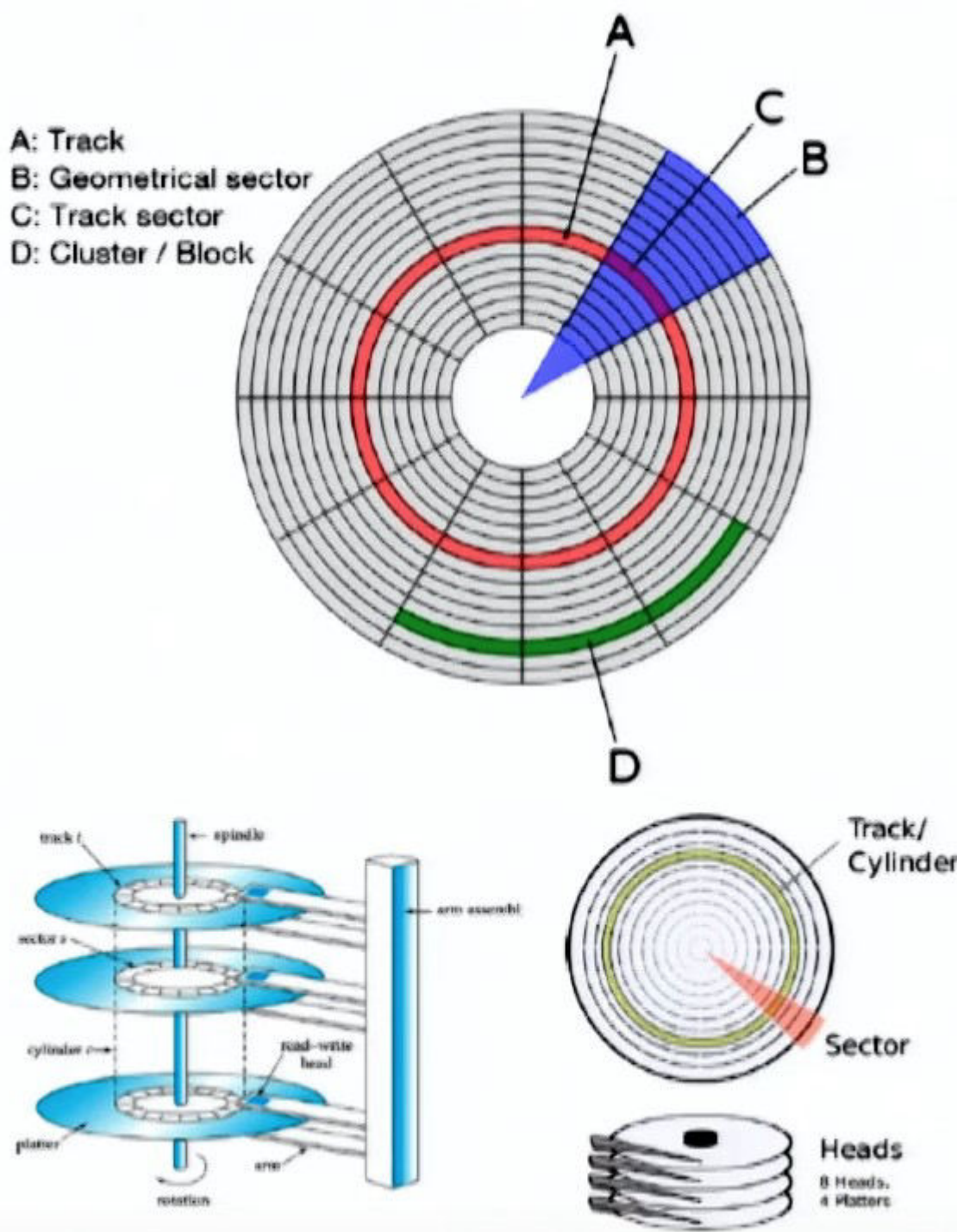
## Mechanism



- Read-write head
  - Positioned very close to the platter surface
  - Reads or write magnetically encoded information.
- Surface of platter divided into circular **tracks**
  - Over 50k-100K tracks per platter on typical hard disks
- Each track is divided into **sectors**
  - A sector is the smallest unit of data that can be read or written
  - Sector size is typically 512 bytes
- To read/write a sector
  - disk arm swings to position head on right track
  - platter spins: read/write as sector passed under head
- Head-disk assemblies



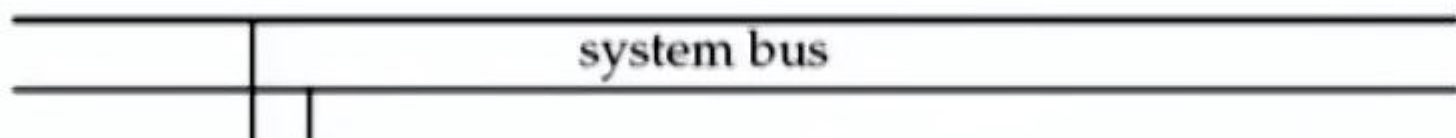
- multiple disk platters on a single spindle (1 to 5 usually)
- one head per platter, mounted on a common arm.

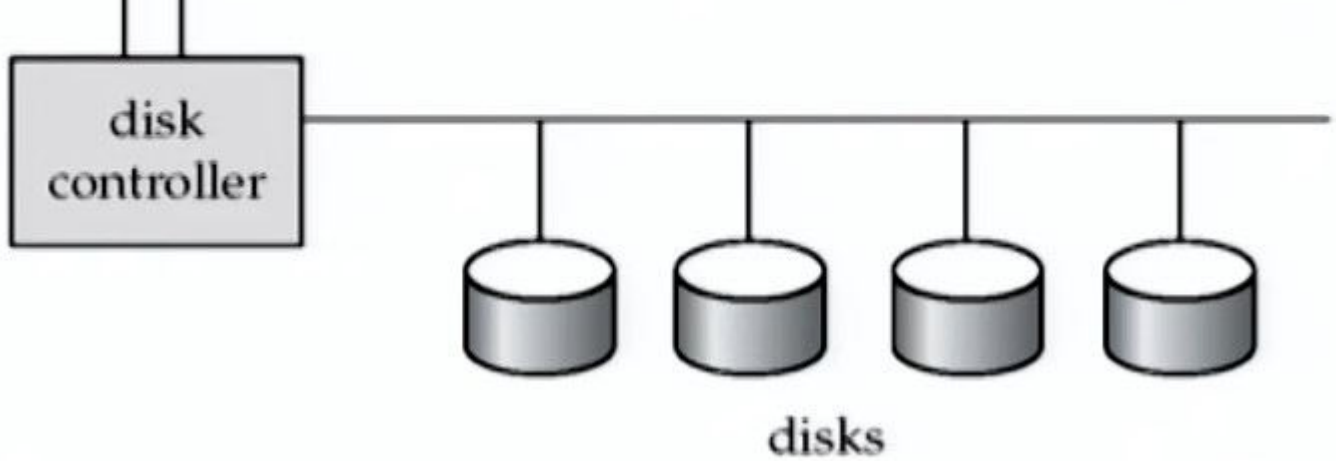


## Dis controller

- Interface between the computer system and the disk drive hardware
- Accepts high-level commands to read or write a sector
- Computes and attaches checksums to each sector to verify that correct read back
- Perform remapping of bad sectors

## Disk Subsystem





- **Disk Interface Standards Families:** ATA, SATA, SCSI, SAS
- **Storage Area Networks (SAN)** connects disks by a high-speed network to number of servers.
- **Network Attached Storage (NAS)** provides a file system interface using networked file system protocol

### Performance Measures

- **Access Time:** Time from a read or write request issue to start of data transfer.
- **Seek Time:** Time to move the disk arm to the desired track.
- **Rotational Latency:** Time for the desired sector to rotate under the disk head.
- **Data transfer Rate:** Rate at which data is transferred to or from the disk.
- **Mean Time to Failure (MTTF):** Average time the disk is expected to run continuously without any failure.

### Optical Storage

- Non-volatile, data is read optically from a spinning disk using a laser.
- CD-ROM (640 MB) and DVD (4.7 to 17 GB) are most popular forms.
- Write once, read many (WORM) optical disks are used for archival storage.
- Reads and write slower than magnetic disks, but cheaper and more durable.

### Tape Storage

- Non-volatile, used primarily for backup and for achival data.
- Sequential access, very slow, but very cheap and durable.

### Flash Drives

- Flash drives, or USB drives, are portable storage devices using flash memory. They connect via USB ports and come in various sizes.
- *Advantages:* Compact, lightweight, no moving parts, high data transfer rates, and plug-and-play convenience.
- *Disadvantages:* Limited write cycles, smaller capacities compared to traditional HDDs.
- Affordable, reliable for read operations, high read speeds, moderate write speeds.

### Flash Storage

- Flash storage refers to non-volatile memory technology used to store data electronically without the need

for power.

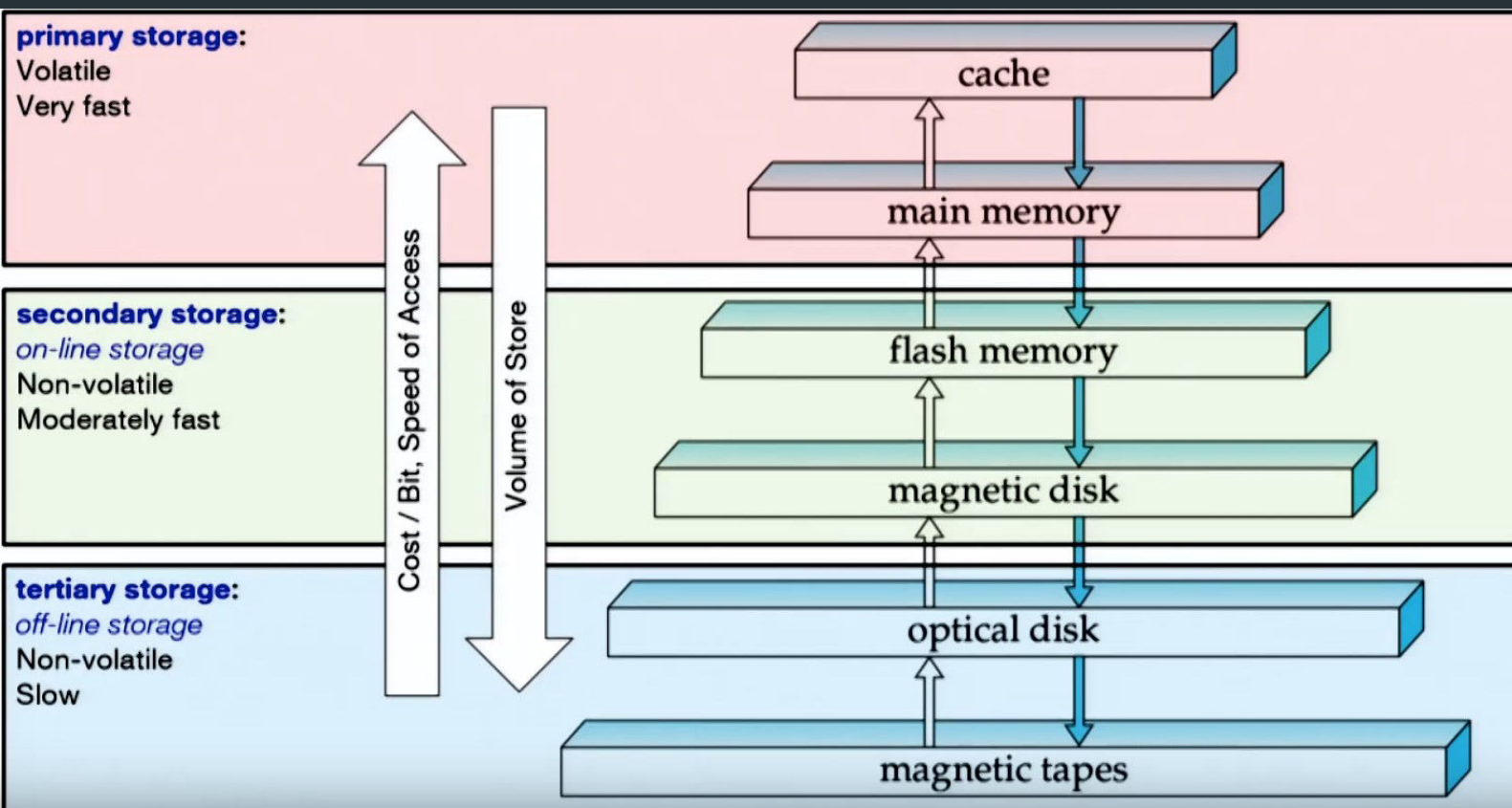
- **Advantages:** Offers high-speed data access, low power consumption, and resistance to physical shocks due to no moving parts.
- **Disadvantages:** Limited write endurance, higher cost compared to traditional HDDs for larger capacities.
- Can be more expensive than HDDs but cost-effective for performance gains, reliable for read operations, and provides faster data access than HDDs.

## SSD

- SSDs are storage devices that use flash memory to provide high-speed, non-volatile data storage.
- **Advantages:** Lightning-fast data access, low power usage, silent operation, and longer lifespan than HDDs.
- **Disadvantages:** Generally more expensive, write endurance concerns (though improving), and cost per gigabyte is higher.
- Higher initial cost but becoming more affordable, reliable for both read and write operations, significantly faster read/write speeds than HDDs.

## HDD

- HDDs use spinning disks to read/write data magnetically, offering long-term storage solutions.
- **Advantages:** Cost-effective for large storage capacities, improving technology for faster performance, and stability for long-term data storage.
- **Disadvantages:** Slower access times compared to SSDs, mechanical parts can be prone to damage, and consumes more power.
- Generally cheaper per gigabyte, reliable for read operations, slower read/write speeds compared to SSDs.



## Cloud Storage

- Cloud storage is a service model in which data is maintained, managed, backed up remotely and made available to users over a network (typically the Internet).
- Applications access cloud storage through traditional storage protocols or directly via an API.
- Example of cloud storage providers: Amazon S3, Google Cloud Storage, Microsoft Azure Storage, Dropbox, etc.

## L8.5: *File Structure*

- You can refer to this video [lecture](#) 