

# Intoduction to Galois Theory

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# 1 Preliminaries

**Remark 1.1.** This section will briefly list the preliminary definitions in fields and groups. Subgroups are often denoted by “ $\leq$ ”:  $H \leq G$  means  $H$  is a subgroup of  $G$ .

**Definition 1.2.** Suppose  $G$  a group and  $g_1, g_2 \in G$ . Then  $[g_1, g_2] := g_1^{-1}g_2^{-1}g_1g_2$ .

**Definition 1.3** (Commutator Subgroups). Suppose  $H, K \leq G$ . Then  $[H, K] := \{[h, k] : h \in H, k \in K\}$  are called the commutator subgroup.

**Definition 1.4** (Normal Series and Subnormal Series). Suppose a sequence of subgroups of  $G$ :

$$G = G_1 \geq G_2 \geq \cdots \geq G_t \geq G_{t+1} = \{1\}$$

it is called a subnormal series if  $G_i \triangleleft G_{i-1}$  for each  $i$ , and normal if  $G_i \triangleleft G$  for each  $i$ .

**Definition 1.5.** Define  $G^{(k)}$  via induction:

$$G^{(0)} = G, \quad G^{(k)} = [G^{(k-1)}, G^{(k-1)}]$$

**Definition 1.6** (Solvability of Groups). If  $\exists k$  such that  $G^{(k)} = \{1\}$ , then  $G$  is called solvable.

**Proposition 1.7.** Suppose an exact sequence of groups  $0 \rightarrow A \rightarrow G \rightarrow B \rightarrow 0$ , then  $G$  is solvable iff  $A$  and  $B$  are solvable.

**Proof.** Assume  $A \triangleleft G$ ,  $B = G/A$ , let  $\pi : G \rightarrow B$  the canonical projection. If  $G$  is solvable, since  $A^{(k)} \subset G^{(k)}$ ,  $A$  is solvable. Obviously  $\pi(G^{(k)}) = B^{(k)}$ , therefore  $B$  is also solvable. Conversely, if  $\exists k_1, k_2$  such that  $A^{(k_1)} = B^{(k_2)} = \{1\}$ , then  $\pi(G^{(k_2)}) = 1$ , therefore  $G^{(k_2)} \subset A$ ,  $G^{(k_1+k_2)} = \{1\}$ .  $\square$

**Proposition 1.8.** Suppose  $G$  is finite. Then  $G$  is a solvable group iff there exists a subnormal series of  $G$ :

$$G = G_1 \geq G_2 \geq \cdots \geq G_s = \{1\}$$

such that  $G_i/G_{i+1}$  is an abelian group for each  $i$ .

**Proof.** One direction is trivial since  $G^{(i)}/G^{(i+1)}$  is abelian. Conversely,  $G_{s-1}$  is abelian therefore solvable. By the exact sequence

$$0 \rightarrow G_{s-1} \rightarrow G_{s-2} \rightarrow G_{s-2}/G_{s-1} \rightarrow 0$$

$G_{s-2}$  is solvable. By induction all  $G_i$  are solvable, therefore  $G$  is solvable.  $\square$

**Remark 1.9.**  $G_i/G_{i+1}$  can also be assumed cyclic. Since  $|G| < \infty$ ,  $|G'_i/G'_{i+1}| \leq \infty$ . Obviously  $\mathbb{Z}_{p_n^{k_n-1}} \leq \mathbb{Z}_{p_n^{k_n}}$ , therefore

$$G'_i/G'_{i+1} \cong \bigoplus_{i=1}^n \mathbb{Z}_{p_i^{k_i}} \geq \left( \bigoplus_{i=1}^{n-1} \mathbb{Z}_{p_i^{k_i}} \right) \oplus \mathbb{Z}_{p_n^{k_n-1}} = H$$

where  $H \triangleleft G'_i/G'_{i+1}$ , and  $[G'_i/G'_{i+1} : H] = p_k$ . Let  $\pi : G'_i \rightarrow G'_i/G'_{i+1}$  the canonical projection and  $H' = \pi^{-1}(H)$ , then

$$G'_i \triangleright H' \triangleright G'_{i+1}$$

with  $|G'_i/H'| = p_k$  and  $H'/G'_{i+1}$  an abelian group with smaller order. Therefore by keep adding  $H'$ , such desired series can be found.

**Proposition 1.10.**  $S_n$  is solvable iff  $n \leq 4$ .

**Proof.** Obviously  $S_1, S_2$  are solvable.  $S_3$  is solvable because

$$S_3 \triangleright A_3 \triangleright \{1\}$$

$S_4$  is solvable because

$$S_4 \triangleright A_4 \triangleright K_4 \triangleright \{1\}$$

when  $n \geq 5$ ,  $A_n$  is a non-abelian simple group, therefore unsolvable. Therefore  $S_n$  is unsolvable.  $\square$

**Remark 1.11.** Suppose  $F$  is a field. The following theorem is listed without proof since it is well-known.

**Proposition 1.12.** If  $F$  is a finite field, then  $F \setminus \{0\}$  is a cyclic group.

**Theorem 1.13.**  $F[x]$  is an Euclid ring, therefore  $F[x]$  is a PID.

**Proposition 1.14.** Give  $f(x) \in F[x]$  and  $a \in F$ ,  $(x-a) \mid f(x)$  iff  $f(a) = 0$ .

**Remark 1.15.** Such  $a$  in the above proposition is called a root of  $f(x) \in F$ .

## 2 Algebraic Extensions

**Definition 2.1** (Field Extensions). Give a set  $S$ ,

$$F[S] = \left\{ \sum_{i_1, \dots, i_n \geq 0}^{\infty} a_{i_1 \dots i_n} a_1^{i_1} \cdots a_n^{i_n}, i_1 \cdots i_n \in \mathbb{N}, a_1 \cdots a_n \in S, a_{i_1 \dots i_n} \in F \right\}$$

the fraction field of  $F[S]$  is denoted by  $F(S)$ .  $F(S)$  is the smallest field containing  $F \cup S$ .

**Remark 2.2.** Give an element  $\alpha \in F(S) \setminus F$ , if  $\alpha$  is a root of a polynomial  $f(x) \in F[x]$ , then  $\alpha$  is called an algebraic element.

**Proposition 2.3.** If  $S = S_1 \cup S_2$ , then  $F(S) = F(S_1)(S_2)$

**Proof.** Obviously  $F(S) \subset F(S_1)(S_2)$ . Conversely, since  $F(S_1) \subset F(S)$  and  $S_2 \subset F(S)$ ,  $F(S_1)(S_2) \subset F(S)$ .  $\square$

**Definition 2.4** (Algebraic Extensions).  $F(S)$  is a algebraic extension when  $S$  is a finite collection of algebraic elements over  $F$ .

**Remark 2.5.** Give  $\alpha$  an algebraic element.

**Proposition 2.6.**  $F(\alpha) = F[\alpha] \cong F[x] / \langle p(x) \rangle$

**Proof.** Let  $I = \{f(x) \in F[x] : f(\alpha) = 0\} = \langle p(x) \rangle$ . Obviously  $F[\alpha]$  is a integral domain, therefore  $p(x)$  is prime,  $I$  is maximal,  $F[\alpha]$  is a field.  $\square$

**Remark 2.7.** The irreducible polynomial  $p(x)$  above is unique by some unit in  $F$ . Let the coefficient of the highest degree term be 1, denote this polynomial  $\text{Irr}(\alpha, F)$ .

**Definition 2.8** (Degree).  $\deg(\alpha, F) = \deg(\text{Irr}(\alpha, F))$ .

**Proposition 2.9.** Suppose  $\deg(\alpha, F) = n$ , then  $F(\alpha) = \text{span}_F\{1, \alpha, \dots, \alpha^{n-1}\}$ .

**Proof.**  $\forall f(x) \in F[x]$ ,  $\exists q(x), r(x)$  such that

$$f(x) = q(x)\text{Irr}(\alpha, F) + r(x), \quad \deg r(x) < n$$

therefore  $f(\alpha) = r(\alpha)$ . Also,  $1, \alpha, \dots, \alpha^{n-1}$  are linearly independent.  $\square$

**Definition 2.10.** Suppose field  $K$ ,  $F \subset K$ . Then  $K$  is a vector space over  $F$ , denote the dimension of this vector space  $[K : F]$ .

**Proposition 2.11.**  $[F(\beta) : F] < \infty$  iff  $\beta$  is an algebraic element.

**Proof.** This follows immediately after writing down the basis of  $F(\beta)$  as a vector space over  $F$ .  $\square$

**Proposition 2.12.** Suppose field  $K$ ,  $E$  with  $F \subset E \subset K$ . Then

$$[K : F] = [K : E][E : F]$$

**Proof.** This could be easily seen by directly writing down the basis of  $K$  as a vector space over  $F$ .  $\square$

**Proposition 2.13.** Suppose  $K$  a field with  $[K : F] < \infty$ . Then  $\exists \alpha_1, \dots, \alpha_n$  algebraic elements in  $K$ , and  $K = F(\alpha_1, \dots, \alpha_n)$ .

**Proof.** Pick any element  $\alpha_1 \in K \setminus F$ . Then  $[K : F(\alpha_1)] \leq [K : F]$ . If the equality is taken, the proof is done. Otherwise repeat this process, which obviously must be done in finite steps.  $\square$

### 3 Splitting Fields

**Definition 3.1** (Splitting Fields). Given  $f(x) \in F[x]$ ,  $\deg f(x) = n$ . The splitting field  $K$  satisfies:

- (1)  $f(x) = c(x - \alpha_1) \cdots (x - \alpha_n)$ , where  $c, \alpha_1, \dots, \alpha_n \in K$
- (2)  $K = F(\alpha_1, \dots, \alpha_n)$

**Proposition 3.2.** Give  $f(x) \in F[x]$ , the splitting field of  $f(x)$  exists if  $\deg f(x) > 0$

**Proof.** The proof will be done by induction on  $\deg f(x)$ . When  $\deg f(x) = 1$ , the splitting field of  $f(x)$  is just  $F$ . Assume  $\deg f(x) = n + 1$ , suppose  $p(x)$  is an irreducible factor of  $f(x)$ . Then denote  $F(\alpha_1) \cong F[x]/\langle p(x) \rangle$ ,  $p(\alpha_1) = 0$  therefore  $f(\alpha_1) = 0$ . Then  $f(x) = (x - \alpha_1)f'(x)$ ,  $\deg f'(x) = n$ . Since the splitting field of  $f'(x)$  exists, the splitting field of  $f(x)$  can be found by just adding  $\alpha_1$ .  $\square$

**Proposition 3.3.** Denote the splitting field of  $f(x) \in F[x]$  with  $K$ . Then  $[K : F] \leq (\deg f(x))!$

**Proof.** This is obvious by the proof of Proposition 3.2.  $([F(\alpha_1) : F] \leq n)$   $\square$

**Proposition 3.4.** Suppose fields  $F \subset E \subset K$  and  $K$  is the splitting field of  $f(x) \in F[x]$ . Then  $K$  is also the splitting field of  $f(x) \in E[x]$ .

**Proof.** This is obvious since  $K = F(\alpha_1, \dots, \alpha_n) \subset E(\alpha_1, \dots, \alpha_n) \subset K$ .  $\square$

**Proposition 3.5.** Suppose  $\sigma : F \rightarrow \bar{F}$  a field homomorphism. Then:

- (1)  $\sigma$  can extend to an isomorphism  $\sigma : F[x] \rightarrow \bar{F}[x]$ , and  $\sigma(p(x))$  is irreducible iff  $p(x)$  is irreducible.
- (2) Suppose  $K, \bar{K}$  are the extensions of  $F, \bar{F}$  respectively,  $p(x) \in F[x]$  irreducible, and  $\alpha \in K$ ,  $\bar{\alpha} \in \bar{K}$  roots of  $p(x)$  and  $\sigma(p(x))$ . Then  $\sigma$  can extend to an isomorphism  $\bar{\sigma} : F(\alpha) \rightarrow \bar{F}(\bar{\alpha})$  with  $\bar{\sigma}(\alpha) = \bar{\alpha}$ .

**Proof.** For (1), just let  $\sigma|_F = \sigma$ ,  $\sigma(x) = x$ . The rest is obvious. For (2), suppose  $\pi : F[x] \rightarrow F[x]/\langle p(x) \rangle$ ,  $\bar{\pi} : \bar{F}[x] \rightarrow \bar{F}[x]/\langle \sigma(p(x)) \rangle$ , and  $\nu, \nu'$  the canonical projection and isomorphism, this gives the isomorphism  $\bar{\sigma}' : x + \langle p(x) \rangle \mapsto x + \langle \sigma(p(x)) \rangle$ . Then the  $\bar{\sigma}$  can be easily found by traversing the following commutative diagram.

$$\begin{array}{ccccc} F[x] & \xrightarrow{\pi} & F[x]/\langle p(x) \rangle & \xleftarrow{\nu} & F(\alpha) \\ \downarrow \sigma & & \downarrow \bar{\sigma}' & & \downarrow \bar{\sigma} \\ \bar{F}[x] & \xrightarrow{\bar{\pi}} & \bar{F}[x]/\langle \sigma(p(x)) \rangle & \xleftarrow{\bar{\nu}} & \bar{F}(\bar{\alpha}) \end{array}$$

$\square$

**Remark 3.6.** The extension in (1) is unique.

**Proposition 3.7.** Give  $\sigma : F \rightarrow \bar{F}$  an field isomorphism. Extend it to  $\sigma : F[x] \rightarrow \bar{F}[x]$ . Denote the splitting field of  $f(x) \in F[x]$  and  $\sigma(f(x)) \in \bar{F}[x]$  with  $E$  and  $\bar{E}$  respectively. Then  $\sigma$  can be extend to an isomorphism  $\bar{\sigma} : E \rightarrow \bar{E}$ , and the number of different extensions  $n_\sigma \leq [E : F]$ , where the equality is taken iff every irreducible factor of  $f(x)$  in  $E$  has no repeated roots.

**Proof.** Show the first part by induction on  $\deg f(x)$ . When  $\deg f(x) = 1$ ,  $\sigma$  is just itself. Assume  $\deg f(x) = n + 1$ , suppose  $p(x)$  is a irreducible factor of  $f(x)$ . Then  $\exists \alpha_1 \in E$  and  $\bar{\alpha}_1 \in \bar{E}$ ,  $p(\alpha_1) = \sigma(p(\bar{\alpha}_1)) = 0$ . Therefore  $\sigma$  can be extend to  $\sigma_1 : F(\alpha_1) \rightarrow \bar{F}(\bar{\alpha}_1)$  with  $\sigma_1(\alpha_1) = \bar{\alpha}_1$ . Then  $\sigma$  can be extend to  $\sigma_1 : F(\alpha_1)[x] \rightarrow \bar{F}(\bar{\alpha}_1)[x]$ . Then write  $f(x) = (x - \alpha_1)f'(x)$ ,  $\sigma_1(f(x)) = (x - \bar{\alpha}_1)\sigma'(f'(x))$ . By previous proposition  $E$  and  $\bar{E}$  are the splitting field of  $f(x) \in F(\alpha_1)[x]$  and  $f'(x) \in \bar{F}(\bar{\alpha}_1)[x]$  respectively. Therefore  $\sigma_1$  can be extend to  $\sigma_1 : E \rightarrow \bar{E}$ .

For the second part, denote  $\bar{\sigma} : E \rightarrow \bar{E}$  the extension of  $\sigma : F \rightarrow \bar{F}$ . Suppose  $p(x)$  is an irreducible factor of  $f(x)$  and  $p(\alpha_1) = 0$  ( $\alpha_1 \in E$ ). Then  $\bar{\sigma}(\alpha_1)$  must be a root of  $\sigma(p(x))$  since  $\sigma(p(\bar{\sigma}(\alpha_1))) = \sigma\bar{\sigma}(p(\alpha_1)) = 0$ . Denote  $k_1$  the number of different choices of  $\bar{\sigma}(\alpha_1)$ ,  $k_1 \leq \deg p(x) = [F(\alpha_1) : F]$ , where the equality is taken iff  $p(x)$  has no repeated roots. Therefore there are only  $k_1$  extensions on  $F(\alpha_1)$ . Since  $E = F(\alpha_1, \dots, \alpha_n)$ , the number of different extensions are

$$n_\sigma = k_1 \cdots k_n \leq [F(\alpha_n) : F(\alpha_1, \dots, \alpha_{n-1})] \cdots [F(\alpha_1) : F] = [E : F]$$

where the condition of equality can be easily verified.  $\square$

**Remark 3.8.** This proposition implies that the splitting field is unique under isomorphism.

**Proposition 3.9.** Suppose fields  $F \subset E \subset K$  with  $E$  the splitting field of  $f(x) \in F[x]$ , then for any isomorphism  $\sigma : K \rightarrow K$  such that  $\sigma|_F = \text{id}$ ,  $\sigma(E) = E$ .

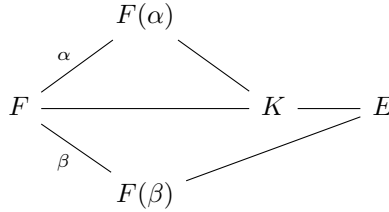
**Proof.** This is obvious by observing the image of  $\sigma$  on the roots of  $f(x)$ .  $\square$

## 4 Normal Extensions and Separable Extensions

**Definition 4.1** (Normal Extensions). An algebraic extension  $K$  is called a normal extension of  $F$  iff  $\forall p(x) \in F[x]$  such that  $p(x)$  is irreducible, if  $p(x)$  has one root in  $K$ , then  $p(x)$  splits over  $K$ .

**Proposition 4.2.** Give  $F \subset K$  fields,  $K$  is a normal extension of  $F$  iff  $K$  is a splitting field of some polynomial in  $F[x]$ .

**Proof.** Let  $K = F(\alpha_1, \dots, \alpha_n)$  and  $f(x) = \text{Irr}(\alpha_1, F) \cdots \text{Irr}(\alpha_n, F)$ , since  $K$  is a normal extension  $f(x) = (x - \beta_1) \cdots (x - \beta_t) \in K$ . Therefore  $K$  is the splitting field of  $f(x)$ . Conversely, let  $K$  be the splitting field of  $f(x)$ . Then let  $p(x) \in F[x]$  and  $\exists \alpha \in K$ ,  $p(\alpha) = 0$ . Let  $E$  be the splitting field of  $p(x) \in K[x]$ , and  $g(x) = f(x)p(x)$ , then  $E$  is the splitting field of  $f(x), g(x) \in F[x]$ . Let  $\beta \in E$  a root of  $p(x)$ , Then  $\tau : F(\alpha) \rightarrow F(\beta), \alpha \mapsto \beta$  can be extend to an isomorphism of  $E$  with  $\tau|_F = \text{id}$ . Then  $\tau(K) = K$ , therefore  $\beta \in K$ , the proof is done by selecting  $\beta$ .



□

**Remark 4.3.** The normal extension of a normal extension of  $F$  is not necessarily normal over  $F$ .

**Definition 4.4** (Separable Polynomials).  $f(x) \in F[x]$  is separable iff every its irreducible factor has no repeated roots in its splitting field.

**Proposition 4.5.** If  $\text{ch } F = 0$ , then  $\forall f(x) \in F[x]$ ,  $f(x)$  is separable.

**Proof.** For each of its irreducible factor  $p(x)$ , consider its derivative  $p'(x)$ . It is easy to see  $(p'(x), p(x)) = 1$  when  $p(x)$  has no repeated roots. If  $F$  is of characteristic 0, then obviously  $(p'(x), p(x)) = 1$ . □

**Definition 4.6** (Separable Elements).  $\alpha$  is called separable over  $F$  iff  $\text{Irr}(\alpha, F)$  is separable.

**Proposition 4.7.** Every finite separable extension of  $F$  is a simple algebraic extension of  $F$ .

**Proof.** If  $F$  is a finite field, then its algebraic extension  $K$  is also a finite field. Since  $K \setminus \{0\}$  is a cyclic group,  $K = F(\alpha)$  where  $\alpha$  is the generator of the cyclic group.

If  $F$  is an infinite field, suppose  $F(\alpha_1, \dots, \alpha_n)$ . The proposition is obviously true when  $n = 1$ . Assume it is true for  $n - 1$  elements, then  $F(\alpha_1, \dots, \alpha_{n-1}) = F(\beta)$ . Therefore  $F(\alpha_1, \dots, \alpha_n) = F(\alpha_n, \beta)$ . Let  $E$  be the splitting field of  $\text{Irr}(\beta, F)\text{Irr}(\alpha, F)$ . Then in  $E[x]$ ,

$$\text{Irr}(\beta, F) = (x - \beta)(x - \beta_2) \cdots (x - \beta_s)$$

$$\text{Irr}(\alpha_n, F) = (x - \alpha_n)(x - \alpha_n^1) \cdots (x - \alpha_n^t)$$

since  $\alpha_n$  is separable,  $(\alpha_n, \alpha_n^1, \dots, \alpha_n^t)$  is pairwise different. Then

$$T = \left\{ \frac{\beta - \beta_k}{\alpha_n - \alpha_n^j} \right\}, \quad \text{where } \beta = \beta_1$$

obviously  $\beta$  is a finite set. Therefore take  $c \in F$  such that  $c \notin T$ , and let  $\theta = \beta - c\alpha_n$  and

$$f(x) = ((\theta - cx) - \beta) \cdots ((\theta - cx) - \beta_n)$$

Then  $f(\alpha_n) = 0$  and  $f(\alpha_n^j) \neq 0$ . Therefore

$$(f(x), \text{Irr}(\alpha_n, F)) = x - \alpha_n$$

since  $f(x), \text{Irr}(\alpha_n, F) \in F(\theta)[x]$ ,  $\alpha_n \in F(\theta)$ . Then  $\beta \in F(\theta)$ , therefore  $F(\theta) = F(\alpha_n, \beta)$ . □

**Remark 4.8.**  $f(x) \in F(\theta)$  since  $f(x) = g(\theta - cx)$ , where  $g(x) = \text{Irr}(\beta, F)$ . And  $\gcd_E(f, \text{Irr}(\alpha_n, F))$  is equal to  $\gcd_{F(\theta)}(f, \text{Irr}(\alpha_n, F))$  since it can be computed with the Euclidean algorithm.

**Remark 4.9.** It is obvious that the separable extension of a separable extension of  $\mathbb{Q}$  ( $\text{ch } \mathbb{Q} = 0$ ) is still separable. However, it is true for all fields. The proof is omitted since the equations of our interest are mostly over  $\mathbb{Q}$ .

## 5 Galois Groups

**Definition 5.1** (Galois Group). Suppose  $K$  is a finite extension over  $F$ . Then the set of all automorphism of  $K$  that is identity on  $F$  is a group, denoted by  $\text{Gal}(K/F)$ .

**Definition 5.2** (Invariant Subfield). Suppose  $G \leq \text{Aut}(K)$ . Then  $\text{Inv}G = \{a \in K : g(a) = a, \forall g \in G\}$ .

**Lemma 5.3.** Suppose  $\sigma_1, \dots, \sigma_n$  distinct automorphisms of  $K$ , denote their invariant subfield  $F := \{x \in K : \sigma_i(x) = x, \forall i \in \{1, \dots, n\}\}$ , then  $\sigma_1, \dots, \sigma_n$  are linearly independent as automorphism of  $K$  as vector space over  $F$ .

**Proof.** Assume they are linearly dependent. Then  $\sigma_{s+1} = \sum_{i=1}^s a_i \sigma_i$  with  $\sigma_1, \dots, \sigma_s$  linearly independent, therefore the representation is unique. Suppose  $a \in K$  such that  $\sigma_{s+1}(a) \neq \sigma_1(a)$ , then

$$\sigma_{s+1}(ax) = \sum_{i=1}^s a_i \sigma_i(ax), \quad \sigma_{s+1}(x) = \sum_{i=1}^s \frac{\sigma_i(a)}{\sigma_{s+1}(a)} a_i \sigma_i(x)$$

contradiction. □

**Proposition 5.4.**  $[K : \text{Inv}(G)] = |G|$ .

**Proof.** Let  $G = \{\sigma_1 = \text{id}, \dots, \sigma_n\}$ . First take  $m$  elements from  $K$  denoted by  $u_1, \dots, u_m$ . If  $m > n$ , Suppose a matrix  $A$  with  $A_{ij} = \sigma_i(u_j)$ . The linear equation  $AX = 0$  must have nontrivial solutions since  $m > n$ . Let  $(1, \dots, b_m)$  be such solution that has most zeros. If  $b_i \in \text{Inv}G$  for each  $i$ , then  $u_1, \dots, u_m$  is linearly dependent since  $\sum_1^m \sigma_k(b_j u_j) = \sigma_k(\sum_1^m b_j u_j) = 0$  and  $\sigma_k$  are isomorphisms. On the other hand, if there exists  $b_i \notin G$ , assume  $i = 2$ . Then  $\exists \sigma \in G$  such that  $\sigma(b_2) \neq b_2$ . Thus

$$\sum_1^m \sigma_k(u_j) \sigma(b_j) = \sigma \left( \sum_1^m \sigma^{-1} \sigma_k(b_j u_j) \right) = 0$$

$(1, \sigma(b_2), \dots, \sigma(b_m))$  is also a nontrivial solution. Then  $(0, b_2 - \sigma(b_2), \dots, b_m - \sigma(b_m))$  has more zero elements, contradiction. Hence every  $m(m > n)$  elements in  $K$  are linearly dependent,  $[K : \text{Inv}G] \leq |G|$ .

On the other hand, if  $m < n$ , let  $(A)_{ij} = \sigma_j(u_i)$ , then  $AX = 0$  still has nontrivial solutions, denoted by  $(b_1, \dots, b_m)$ . Let  $a_1, \dots, a_m$  be  $m$  arbitrary elements of  $F$ , then

$$BX = 0, \quad (B)_{ij} = \sigma_j(a_i u_i)$$

therefore

$$\sum_{i=1}^n \sigma_i \left( \sum_{j=1}^m a_i u_i \right) = 0$$

hence  $\sigma_1, \dots, \sigma_n$  linearly dependent, which contradicts lemma 5.3. □

**Definition 5.5** (Galois Extension). Suppose  $K/F$  with  $\text{Inv}(\text{Gal}(K/F)) = F$ , then  $K$  is called a Galois extension of  $F$ .

**Proposition 5.6.** Suppose  $K$  is a finite extension of  $F$ . Then the following statements are equivalent:

- (1)  $K$  is the splitting field of a separable polynomial  $f(x) \in F[x]$ .
- (2)  $K$  is a Galois extension of  $F$  and  $[K : F] = |\text{Gal}(K/F)|$ .
- (3)  $K$  is a separable normal extension of  $F$ .

**Proof.** (1) $\Rightarrow$ (2). Since  $f(x)$  is a separable polynomial of  $F[x]$ , any irreducible factor  $p(x)$  of  $f(x)$  has  $\deg p(x)$  different roots in  $K$ . Therefore  $|\text{Gal}(K/F)| \leq [K : F]$  by proposition 3.7. Let  $E = \text{Inv}(\text{Gal}(K/F))$ , then obviously  $\text{Gal}(K/E) = \text{Gal}(K/F)$ . Since  $K$  is also the splitting field of  $f(x) \in E[x]$ ,  $[K : E] = |\text{Gal}(K/E)|$ . Therefore  $[K : E] = [K : F]$ ,  $E = F$ .

(2) $\Rightarrow$ (3).  $\forall \alpha \in K$ , denote  $G = \text{Gal}(K/F)$ . Let

$$\text{Irr}(\alpha, F) = x^r + b_1 x^{r-1} + \dots + b_r, \quad b_i \in F$$

then  $\forall \sigma \in G, \sigma(\alpha)$  is also a root of  $\text{Irr}(\alpha, F)$ . Therefore  $G$  must be a finite group. Suppose  $\{\sigma_1(\alpha), \dots, \sigma_s(\alpha)\} = \{\sigma(\alpha) : \sigma \in G\}$  where  $\sigma_1$  is the identity. Let

$$h(x) = \prod_{i=1}^s (x - \sigma_i(\alpha)) = x^s + p_1 x^{s-1} + \dots + p_s$$

it is easy to verify that  $\sigma(p_i) = p_i$  for any  $\sigma \in G$ . Therefore  $p_i \in F$ ,  $h(x) \in F[x]$ . Since  $s \leq r$ ,  $h(x) = \text{Irr}(\alpha, F)$ . Therefore  $\text{Irr}(\alpha, F)$  is separable,  $K$  is a separable extension.  $K$  is also a normal extension of  $F$  by the above construction, since any irreducible polynomial in  $F[x]$  that has one root in  $K$  can be seen as  $\text{Irr}(\alpha, F)$  for some  $\alpha \in K$ .

(3) $\Rightarrow$ (1) is trivial.  $\square$

**Theorem 5.7** (The Fundamental Theorem). Suppose  $K$  is a separable normal extension of  $F$ . Denote  $\Gamma$  the set of all subgroups of  $G = \text{Gal}(K/F)$ , and  $\Sigma$  the set of all fields between  $K$  and  $F$ , then the map

$$\text{Inv} : \Gamma \rightarrow \Sigma, H \mapsto \text{Inv}H$$

is a bijection, and

- (1)  $\text{Inv}^{-1} = \text{Gal} : E \mapsto \text{Gal}(K/E)$ ,
- (2) If  $H \in \Gamma$ , then  $|H| = [K : \text{Inv}H]$ ,  $[G : H] = [\text{Inv}H : F]$ .
- (3)  $\text{Inv}H$  is a normal extension of  $F$  with  $\text{Gal}((\text{Inv}H)/F) \cong G/H$  iff  $H \triangleleft G$ .

**Proof.** Suppose  $H \in \Gamma$ ,  $\text{Inv}H = E$ , then  $F \subset E \subset K$ . Thus  $H \subset \text{Gal}(K/E) \subset \text{Gal}(K/F)$ . Since  $K$  is a separable normal extension of  $F$ , by the last proposition,  $K$  is the splitting field of  $f(x) \in F[x]$ . Therefore  $K$  is the splitting field of  $f(x) \in E[x]$ , hence  $K$  is also a separable normal extension of  $E$ . Thus  $|H| \leq |\text{Gal}(K/E)| = [K : E]$ , and proposition 5.4 gives  $|H| = [K : E]$ . Therefore  $H = \text{Gal}(K/E)$ ,  $\text{Gal} \circ \text{Inv} = \text{id}_\Gamma$ .

Conversely, suppose  $E \in \Sigma$ , then  $F \subset E \subset K$ . By the same argument  $K$  is a separable normal extension on  $E$ . Thus  $\text{Gal}(K/E) \in \Gamma$  and  $E = \text{Inv}(\text{Gal}(K/E))$ . Therefore  $\text{Inv} \circ \text{Gal} = \text{id}_\Sigma$ .

For (2), in (1) it is proved that for any  $H \in \Gamma$ ,  $|H| = [K : \text{Inv}H]$ . Then

$$[G : H] = |G|/|H| = [K : F]/[K : \text{Inv}H] = [\text{Inv}H : F]$$

For (3), suppose  $H \in \Gamma$  and  $a \in G$ . Then  $aHa^{-1} \in \Gamma$ . Since  $\text{Inv}(aHa^{-1}) = \{k \in K : aha^{-1}(k) = k\} = \{k \in K : h(a^{-1}(k)) = a^{-1}(k)\} = a(\text{Inv}H)$ , when  $H \triangleleft G$ ,  $a(\text{Inv}H) = \text{Inv}H$ . Let  $\bar{a}$  be the restriction of  $a$  to  $\text{Inv}H$ , then  $\bar{a} \in \text{Gal}(\text{Inv}H/F)$ . Therefore  $\pi : a \mapsto \bar{a}$  gives a homomorphism between  $G$  and  $\text{Gal}(\text{Inv}H/F)$ . Thus

$$F \subset \text{Inv}(\text{Gal}(\text{Inv}H/F)) \subset \text{Inv}\pi(G) = F \quad (*)$$

Therefore  $\text{Inv}H$  is a Galois extension of  $F$ , thus  $K/F$  is normal. Since  $\ker \pi = H$ , by (2),

$$|\pi(G)| = [G : H] = [\text{Inv}H : F] = |\text{Gal}(\text{Inv}H/F)|$$

hence  $\pi(G) = \text{Gal}(\text{Inv}H/F) \cong G/H$ . Conversely, suppose  $F \subset E \subset K$  with  $E$  a normal extension, then  $\forall g \in G$ ,  $g(E) = E$ . Thus

$$g(E) = g(\text{Inv}(\text{Gal}(G/E))) = \text{Inv}(g(\text{Gal}(G/E))g^{-1}) = \text{Inv}(\text{Gal}(K/E)) = E$$

since  $\text{Inv}$  is injective,  $\text{Gal}(K/E) \triangleleft G$ .  $\square$

**Remark 5.8.** Comments on (\*): (1) it is not obvious that  $\pi(G) = \text{Gal}(\text{Inv}H/F)$ ; (2)  $\text{Inv}\pi(G) = F$  because  $\pi$  is the restriction map. If  $\text{Inv}\pi(G)$  is larger than  $F$ , then  $\text{Inv}G$  will be larger than  $F$  as well.

## 6 Galois Groups of Polynomials

**Proposition 6.1.** Suppose  $f(x) \in F[x]$  is a monic polynomial with no repeated roots,  $K$  is the splitting field of  $F[x]$ ,  $f(x) = \prod_1^m (x - \alpha_i)$ . Then

- (1)  $\text{Gal}(K/F)$  is isomorphic to a subgroup  $G$  of  $S_{\alpha_1, \dots, \alpha_m}$ .
- (2)  $f(x) \in F[x]$  is irreducible iff  $G$  is transitive.

**Proof.** (1) Let  $X = \{\alpha_1, \dots, \alpha_m\}$ . Suppose  $\sigma \in \text{Gal}(K/F)$ , then  $f(\sigma(\alpha_i)) = 0$ , therefore  $\sigma(X) \subset X$ . Obviously  $\sigma(\alpha_i) \neq \sigma(\alpha_j)$  when  $i \neq j$ . Thus  $\sigma|_X \in S_X$ . Since  $K = F(\alpha_1, \dots, \alpha_m)$ ,  $\sigma = \tau \in G$  iff  $\sigma(\alpha_i) = \tau(\alpha_i)$  for each  $i$ . Thus  $G$  is a subgroup of  $S_X$ .

(2) Suppose  $G$  is transitive on  $X$ , then  $\forall \alpha_i, \alpha_j \in X$ ,  $\exists \sigma \in G$ , such that  $\sigma(\alpha_i) = \alpha_j$ . Therefore  $\text{Irr}(\alpha_i, F) = \text{Irr}(\alpha_j, F)$ . Therefore in  $K[x]$ ,  $f(x) = \prod_1^m (x - \alpha_i) | \text{Irr}(\alpha_i, F)$ . Therefore  $f(x) = \text{Irr}(\alpha_i, F)$  is irreducible.

Conversely, if  $f(x)$  is irreducible, then  $\text{Irr}(\alpha_i, F) = \text{Irr}(\alpha_j, F)$  for each  $i, j$ . Then by proposition 3.7, there is an extension  $\sigma'$  such that  $\sigma'(\alpha_i) = \alpha_j$ .  $\square$

**Definition 6.2** (Galois Groups of Polynomials). Given  $f(x) \in F[x]$ , denote its splitting field  $K$ . The galois group of this polynomial  $G(f, F) := \text{Gal}(K/F)$ .

**Proposition 6.3.** Suppose  $x_1, \dots, x_n$  transcendental elements,  $p_1, \dots, p_n$  elementary symmetric polynomials of  $x_1, \dots, x_n$ ,  $g(x) = \prod_{i=1}^n (x - x_i) \in F(p_1, \dots, p_n)[x]$ . Then  $G(g, F(p_1, \dots, p_n)) \cong S_n$ .

**Proof.** Let  $G = \text{Gal}(F(x_1, \dots, x_n)/F)$ . Then  $\forall \sigma \in S_n$ ,  $\sigma$  gives an automorphism on  $F[x_1, \dots, x_n]$  with  $\sigma|_F = \text{id}$ . Extend it to  $F(x_1, \dots, x_n)$  with

$$\sigma(p/q) = \sigma(p)/\sigma(q), \quad p, q \in F[x_1, \dots, x_n]$$

therefore  $\sigma \in G$ ,  $S_n \leq G$ . Since  $\sigma(p_i) = p_i$ ,  $F(p_1, \dots, p_n) \subset \text{Inv} S_n$ . By proposition 5.4, proposition 3.7 and the fact that  $F(x_1, \dots, x_n)$  is the splitting field of  $g(x) = \prod_i (x - x_i) \in F(p_1, \dots, p_n)$ ,

$$[F(x_1, \dots, x_n) : \text{Inv} S_n] = |S_n| = n! \leq [F(x_1, \dots, x_n), F(p_1, \dots, p_n)] \leq n!$$

therefore  $\text{Inv} S_n = F(p_1, \dots, p_n)$ .  $\square$

**Remark 6.4.** From now on, assume  $F$  is of characteristic 0.

**Definition 6.5** (Roots of Unity). The roots of  $x^n = 1$  are called n-th root of unity. If a n-th root of unity  $\theta$  satisfies

$$\theta^n = 1, \quad \theta^m \neq 1, \quad \forall m, 0 < m < n$$

then it is called a n-th primitive root of unity.

**Proposition 6.6.** Suppose  $\theta$  a n-th primitive root of unity. Then  $\theta^k$  is primitive iff  $(k, n) = 1$ .

**Proof.** Suppose  $K$  the splitting field of  $x^n - 1 \in F[x]$ . Since  $(nx^{n-1}, x^n - 1) = 1$ ,  $x^n - 1$  is separable, therefore has no repeated roots. Therefore the set of roots is  $\{1, \theta, \dots, \theta^{n-1}\} = \langle \theta \rangle$ . The rest is clear since it is a cyclic group.  $\square$

**Definition 6.7** (Euler Function).  $\varphi(n)$  denotes the number of coprimes that are less than  $n$ .

**Remark 6.8.** It is easy to see that  $\varphi(n)$  also denotes the number of n-th primitive roots of unity.

**Definition 6.9** (Cyclotomic Polynomial). n-th cyclotomic polynomial is defined as

$$\phi_n(x) = \prod_{i=1}^{\varphi(n)} (x - \theta_i)$$

**Remark 6.10.** The following proof is omitted since lack of time.

**Proposition 6.11.**  $\forall n \in \mathbb{N}$ ,

- (1)  $x^n - 1 = \prod_{d|n} \phi_d(x)$
- (2)  $\phi_n(x)$  is irreducible on  $\mathbb{Q}[x]$ .

**Proposition 6.12.**  $G(\phi_n, \mathbb{Q})$  is abelian.

**Proposition 6.13.** Suppose  $a \in \mathbb{Q}$ ,  $G(x^n - a, \mathbb{Q})$  is abelian.

**Proposition 6.14.** If  $F$  contains n-th roots of unity, and  $K$  a Galois extension such that  $\text{Gal}(K/F)$  is a cyclic group of degree  $n$ , then  $\exists \epsilon \in K$  such that  $K = F(\epsilon)$  and  $\epsilon^n = a \in F$ .



## 7 Solvability: Algebraic Equations

**Definition 7.1** (Radical Extensions). Suppose  $K/F$  has a sequence of intermediate fields

$$F \subset F_1 \subset \cdots \subset F_i \subset F_{i+1} \subset \cdots \subset F_m = K$$

where  $F_{i+1}$  is the splitting field of  $x^{n_i+1} - a_{i+1} \in F_i[x]$ , then  $K$  is called a radical extension of  $F$ .  $K$  is a simple radical extension of  $F$  when  $m = 1$ .

**Definition 7.2** (Solvability by Radicals). Suppose  $f(x) \in F[x]$ .  $f(x) = 0$  is solvable by radicals in  $F$  if there exists a radical extension  $K$  of  $F$  such that the splitting field of  $f(x) \in F[x]$  is contained in  $K$ .

**Remark 7.3.** The definition of solvability by radicals is consistent with its literal meaning:  $f(x)$  is solvable by radicals on  $F$  if and only if the roots of  $f(x) = 0$  can be expressed by finite operations of addition, subtraction, multiplication, division, and taking radicals over elements in  $F$ .

**Proposition 7.4.** If  $K$  is a radical extension of  $F$ , then there is a normal radical extension  $\bar{K}$  of  $F$  such that  $K \subset \bar{K}$ .

**Proof.** Since  $K$  is a radical extension of  $F$ , there is a sequence of simple radical extensions from  $F$  to  $K$ , index it by  $m$ . Attempt proof by induction on  $m$ . When  $m = 1$ , since it is a splitting field of some polynomial, it is normal. Assume the proposition is true for  $m - 1$ , then  $\exists \bar{E}$  such that  $E = F_{m-1} \subset \bar{E}$ , and  $\bar{E}$  is a normal radical extension. Since  $K = F_m$  is the splitting field of  $x^n - \beta \in E[x]$ ,  $K = E(\epsilon, \theta)$ , where  $\theta^n - \beta = 0$  and  $\epsilon$   $n$ -th primitive roots of unity. Suppose  $\text{Irr}(\beta, E) = \prod_{i=1}^r (x - \beta_i)$  with  $\beta = \beta_1$ , since  $\bar{E}$  is a normal extension,  $\beta_i \in \bar{E}$ . Let  $\alpha_i$  be the roots of  $x^n - \beta_i$ ,  $1 \leq i \leq r$ . Let  $\alpha_1 = \theta$ , then

$$K = E(\epsilon, \theta) \subset \bar{E}(\epsilon, \alpha_1, \dots, \alpha_r) = \bar{K}$$

it is easy to observe that  $\bar{K}$  is a radical extension of  $\bar{E}$ , therefore a radical extension of  $F$ . Since  $\prod_{i=1}^r (x - \beta_i) = \text{Irr}(\beta, F) \in F[x]$ ,

$$g(x) = \prod_{i=1}^r (x^n - \beta_i) \in F[x]$$

suppose  $\bar{E}$  is the splitting field of  $f(x) \in F[x]$ . Then  $\bar{K}$  is the splitting field of  $f(x)g(x)$  therefore a normal extension.  $\square$

**Theorem 7.5.** If  $f(x) \in F[x]$ , then  $f(x)$  is solvable by radicals if  $G(f, F)$  is solvable.

**Proof.** Suppose  $E$  the splitting field of  $f(x) \in F[x]$ . Since  $f(x) = 0$  solvable by radicals, there exists a radical extension  $K$  such that  $E \subset K$ . By proposition 7.4,  $K$  can be assumed normal. Suppose the number of intermediate fields between  $K$  and  $F$  is  $m$ .

When  $m = 1$ ,  $K$  is the splitting field of  $x^{n_1} - a_1 \in F[x]$ . Suppose  $n_1$ -th primitive root of unity  $\epsilon_1$  and  $\theta_1$  such that  $\theta_1^{n_1-1} = \beta$ . Then

$$F \subset F(\epsilon_1) \subset F(\epsilon_1, \theta_1) = K$$

by proposition 6.12 and proposition 6.13,  $\text{Gal}(F(\epsilon_1)/F)$  and  $\text{Gal}(F(\epsilon_1, \theta_1)/F(\epsilon_1))$  are abelian. By the fundamental theorem,

$$\text{Gal}(K/F)/\text{Gal}(K/F(\epsilon_1)) \cong \text{Gal}(F(\epsilon_1)/F)$$

therefore

$$0 \rightarrow \text{Gal}(K/F(\epsilon_1)) \rightarrow \text{Gal}(K/F) \rightarrow \text{Gal}(F(\epsilon_1)/F) \rightarrow 0$$

$\text{Gal}(K/F)$  is solvable. If  $m = k - 1$ , since  $K$  is a normal extension of  $F$ ,  $K$  is a normal extension of  $F_1$ . Assume  $\text{Gal}(K/F_1)$  is solvable. Then  $\text{Gal}(K/F)/\text{Gal}(K/F_1) \cong \text{Gal}(F_1/F)$ ,  $\text{Gal}(K/F)$  is solvable by induction on  $m$ .

Since  $F \subset E \subset K$ , and  $K, E$  are normal extensions of  $F$ , by the fundamental theorem,

$$\text{Gal}(K/F)/\text{Gal}(K/E) \cong \text{Gal}(E/F)$$

therefore  $\text{Gal}(E/F)$  is solvable.  $\square$

**Remark 7.6.**  $g(x) = \sum_{i=1}^n (x - x_i) = \sum_{i=1}^n p_i x^i$  ( $n \geq 4$ ) is unsolvable on  $F(p_1, \dots, p_n)$  since  $G(g, F(p_1, \dots, p_n))$  is isomorphic to  $S_n$ . Therefore for  $g(x) = x^5 + ax^4 + bx^3 + cx^2 + dx + e$ , the roots cannot be expressed with  $a, b, c, d, e$ .

**Theorem 7.7.** If  $G(f, F)$  is solvable, then  $f(x)$  can be solvable by radicals.

**Proof.** Suppose  $G_0 = G(f, F)$ ,  $E$  is the splitting field of  $f(x) \in F[x]$ . Need to show that there exists a radical extension  $K$  that contains  $E$ . Since  $G_0$  is solvable, there is a subnormal sequence

$$G_0 \triangleright G_1 \cdots \triangleright G_s = \{1\}$$

such that  $G_i/G_{i+1}$  is cyclic. Therefore by the fundamental theorem,

$$F_0 = F \subset F_1 \subset \cdots \subset F_s = E, \quad F_i = \text{Inv}G_i$$

attempt proof by induction on  $s$ . When  $s = 1$ ,  $G(f, F)$  is cyclic. Suppose its degree  $n$ ,  $\epsilon$  a  $n$ -th primitive root, then  $F \subset E \subset E(\epsilon) = K$ . Obviously  $K$  is a normal extension of  $F$ . Since  $F \subset F(\epsilon) \subset K$ ,  $\text{Gal}(K/F(\epsilon))$  is a subgroup of  $G_0$ , therefore still cyclic. By proposition 6.14,  $K$  is a simple radical extension of  $F(\epsilon)$ . Therefore  $K$  is a radical extension of  $F$  and  $E \subset K$ .

Assume it is true for  $s-1$ . Since  $G_1 \triangleleft G_0$ ,  $F_1$  is normal over  $F$  and  $\text{Gal}(F_1/F)$  is cyclic. Thus  $F_1$  is contained in a normal radical extension  $\overline{F}_1$ . Suppose it is the splitting field of  $g(x) \in F[x]$ ,  $\overline{E}$  the splitting field of  $g(x)f(x)$ . Then  $\text{Gal}(\overline{E}/\overline{F}_1) = H$  is a subgroup of  $\text{Gal}(E/F_1)$ . Therefore there is a subnormal series

$$H = H_0 \geq \cdots H_{s-1} = \{1\}, \quad H_i = G_{i+1} \cap H$$

where  $H_i/H_{i+1}$  is cyclic. Then there is a normal radical extension  $K$  of  $\overline{F}_1$ . Obviously  $K$  is also a normal radical extension of  $F$ .  $\square$

**Remark 7.8.** This showed that for  $f(x) \in F[x]$ , if  $\deg f(x) \leq 4$ , then  $f(x) = 0$  is solvable by radicals.