

Graph Theory and Complex Networks: An Introduction

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Chapter 02: Foundations

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Foundations 2.1 Formalities

Graph: definition

Definition

A **graph** G is a tuple (V, E) of **vertices** V and a collection of **edges** E . Each edge $e \in E$ is said to connect two vertices $u, v \in V$, and is denoted as $e = \langle u, v \rangle$.

Notations: $V(G)$, $E(G)$.

Definition

The **complement** \bar{G} of a graph G , has the same vertex set as G , but $e \in E(\bar{G})$ *if and only if* $e \notin E(G)$.

Definition

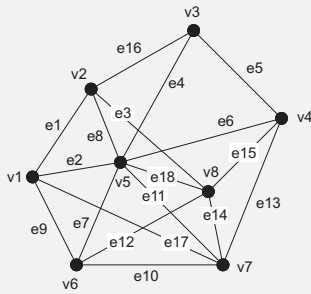
For any graph G and vertex $v \in V(G)$, the **neighbor set** $N(v)$ of v is the set of vertices (other than v) adjacent to v :

$$N(v) = \{w \in V(G) \mid v \neq w, \langle v, w \rangle \in E(G)\}$$

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Graph: Example


$$\begin{array}{ll} V(G) = \{v_1, \dots, v_8\} & \\ E(G) = \{e_1, \dots, e_{18}\} & \\ e_1 = \langle v_1, v_2 \rangle & e_{10} = \langle v_6, v_7 \rangle \\ e_2 = \langle v_1, v_5 \rangle & e_{11} = \langle v_5, v_7 \rangle \\ e_3 = \langle v_2, v_8 \rangle & e_{12} = \langle v_6, v_8 \rangle \\ e_4 = \langle v_3, v_5 \rangle & e_{13} = \langle v_4, v_7 \rangle \\ e_5 = \langle v_3, v_4 \rangle & e_{14} = \langle v_7, v_8 \rangle \\ e_6 = \langle v_4, v_5 \rangle & e_{15} = \langle v_4, v_8 \rangle \\ e_7 = \langle v_5, v_6 \rangle & e_{16} = \langle v_2, v_3 \rangle \\ e_8 = \langle v_2, v_5 \rangle & e_{17} = \langle v_1, v_7 \rangle \\ e_9 = \langle v_1, v_6 \rangle & e_{18} = \langle v_5, v_8 \rangle \end{array}$$

Question

What is the neighborset of v_6 ?

Vertex degree

Definition

The number of edges incident with a vertex v is called the **degree** of v , denoted as $\delta(v)$. **Loops**, i.e., edges joining a vertex with itself, are counted twice.

Theorem

For all graphs G , $\sum_{v \in V(G)} \delta(v)$ is $2 \cdot |E(G)|$.

Proof

When we count the edges of a graph G by enumerating the edges incident with each vertex of G , we are counting each edge exactly twice.

Degree sequence

Definition

An **(ordered) degree sequence** is an (ordered) list of the degrees of the vertices of a graph. A degree sequence is **graphic** if there is a (simple) graph with that sequence.

Theorem (Havel-Hakimi)

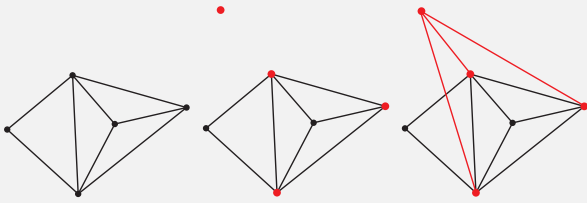
An ordered degree sequence $\mathbf{s} = [k, d_1, d_2, \dots, d_{n-1}]$ is graphic, *if and only if* $\mathbf{s}^* = [d_1 - 1, d_2 - 1, \dots, d_k - 1, d_{k+1}, \dots, d_{n-1}]$ is also graphic. (We assume $k \geq d_j \geq d_{j+1}$.)

Note

Length $\mathbf{s} = n$, but length $\mathbf{s}^* = n - 1$.

$s^* \Rightarrow s$: Example

Take $k = 3$ and consider graph with sequence $[4, 4, 3, 3, 2]$. Create graph with sequence $[3, 5, 5, 4, 3, 2] \equiv [5, 5, 4, 3, 3, 2]$:



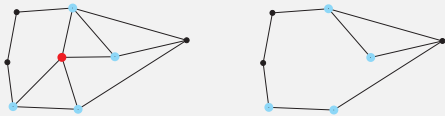
- 1 Starting condition
- 2 Add a vertex v with degree $\delta(v) = k$
- 3 Connect v to k vertices with highest degrees.

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 $s \Rightarrow s^*$: Example

Consider the following graph with sequence $[4, 4, 3, 3, 3, 3, 2, 2]$. Let $\delta(u) = 4$ (in red) and consider $V = \{v_1, v_2, v_3, v_4\}$ as next highest degrees (in blue), and $W = \{w_1, w_2, w_3\}$ the rest (in black).



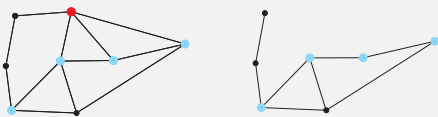
- 1 Starting condition
- 2 Remove u . Because u is connected only to vertices from V , we know that $s^* = [3, 2, 2, 2, 3, 3, 2, 2] = [3, 3, 2, 2, 2, 2, 2, 2]$

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 $s \Rightarrow s^*$: Example

Consider the following graph with sequence $[4, 4, 3, 3, 3, 3, 2, 2]$. Let $\delta(u) = 4$ (in red) and consider $V = \{v_1, v_2, v_3, v_4\}$ as next highest degrees (in blue), and $W = \{w_1, w_2, w_3\}$ the rest (in black).

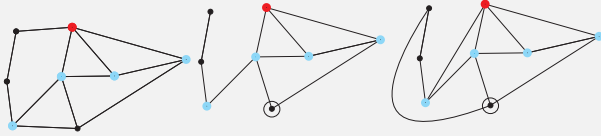


- 1 Starting condition
- 2 Remove u . Because u is **not** connected only to vertices from V , we have a problem: $s^* = [3, 3, 3, 3, 2, 2, 1]$.

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$s \Rightarrow s^*$: Example



- 1 Problem: u is linked to a w but not to a v_j , with $\delta(w) < \delta(v_j)$. But because $\delta(w) < \delta(v_j)$, there exists x adjacent to v_j but not to w .
- 2 Remove $\langle u, w \rangle$ and $\langle v_j, x \rangle$.
- 3 Add $\langle x, w \rangle$ and $\langle u, v_j \rangle$

Question

What should we do if u was linked to a w with $\delta(w) = \delta(v_j)$?

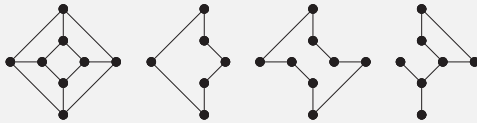
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Subgraphs

Definition

H is a **subgraph** of G if $V(H) \subseteq V(G)$ and $E(H) \subseteq E(G)$ such that for all $e \in E(H)$ with $e = \langle u, v \rangle : u, v \in V(H)$.



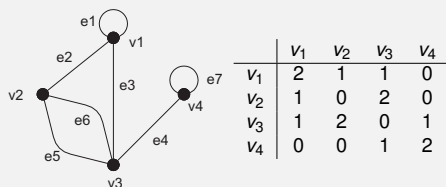
Definition

The **subgraph induced by** $V^* \subseteq V(G)$ has vertex set V^* and edge set $\{\langle v, w \rangle \in E(G) \mid v, w \in V^*\}$. Denoted as $H = G[V^*]$. The **subgraph induced by** $E^* \subseteq E(G)$ has vertex set $V(G)$ and edge set E^* . Denoted as $H = G[E^*]$.

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Adjacency matrix



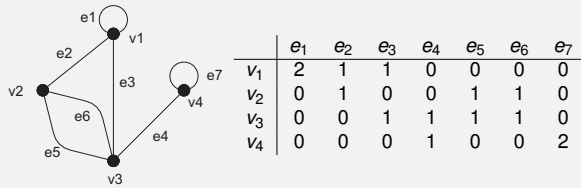
Observations

- Adjacency matrix is *symmetric*: $\mathbf{A}[i, j] = \mathbf{A}[j, i]$.
- G is simple $\Leftrightarrow \mathbf{A}[i, j] \leq 1$ and $\mathbf{A}[i, i] = 0$.
- $\forall v_i: \sum_{j=1}^n \mathbf{A}[i, j] = \delta(v_i)$.

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Incidence matrix



Observations

- G is simple only if $\mathbf{M}[i, i] \leq 1$
- $\forall v_i: \sum_{j=1}^m \mathbf{M}[i, j] = \delta(v_i)$.
- $\forall e_j: \sum_{i=1}^n \mathbf{M}[i, j] = 2$.

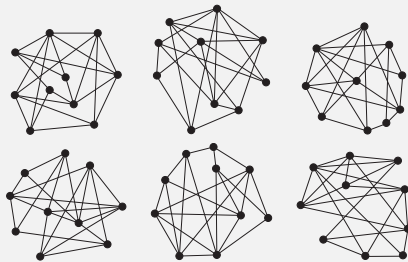
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Graph isomorphism

Definition

G_1 and G_2 are **isomorphic** if there exists a one-to-one mapping $\phi: V_1 \rightarrow V_2$ such that for each edge $e_1 \in E_1$ with $e_1 = \langle v, u \rangle$ there is a unique edge $e_2 \in E_2$ with $e_2 = \langle \phi(v), \phi(u) \rangle$.



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Connectivity: definitions

Definition

A **(v_0, v_k) -walk** is a sequence $[v_0, e_1, v_1, e_2, \dots, v_{k-1}, e_k, v_k]$ with $e_i = \langle v_{i-1}, v_i \rangle$. A **trail** is a walk with distinct edges; a **path** is a trail with distinct vertices. A **cycle** is a trail with distinct vertices except $v_0 = v_k$.

Definition

Vertices $u \neq v$ in G are **connected** if there is a (u, v) -path in G . G is **connected** if all pairs of distinct vertices are connected.

Definition

$H \subseteq G$ is a **component** of G if H is connected and not contained in a connected subgraph of G with more vertices or edges. The number of components of G is $\omega(G)$.

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Connectivity and robustness

Important

Connectivity indicates whether all nodes in a network can be reached from any other node.

Example

Communication networks, like the Internet, **require** to be connected, and have been designed to **stay connected**, even when under attack.

Definition

For a graph G let $V^* \subset V(G)$ and $E^* \subset E(G)$. If $\omega(G - V^*) > \omega(G)$ then V^* is called a **vertex cut**. If $\omega(G - E^*) > \omega(G)$ then E^* is called an **edge cut**.

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Minimal cuts

Note

For reasons of robustness, we're interested in finding the **minimal number** of vertices or edges to remove before a graph falls apart.

Notations

- $\kappa(G)$ is the size of a minimal vertex cut for G
- $\lambda(G)$ is the size of a minimal edge cut

Theorem

$$\kappa(G) \leq \lambda(G) \leq \min_{v \in V(G)} \{\delta(v)\}$$

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$$\kappa(G) \leq \lambda(G) \leq \min_{v \in V(G)} \{\delta(v)\}$$

$\lambda(G) \leq \min_{v \in V(G)} \{\delta(v)\}$ Let u have minimal degree \Rightarrow remove the edges incident with it and u becomes isolated.

$\kappa(G) \leq \lambda(G)$ Let $E^* = \{\langle u_1, v_1 \rangle, \langle u_2, v_2 \rangle, \dots, \langle u_k, v_k \rangle\}$ be an edge cut, with $k = \lambda(G) \Rightarrow G - E^*$ falls into **exactly two** components G_1 and G_2 (**why?**).

- Assume there exists $u \in V(G_1) \setminus \{u_1, \dots, u_k\}$. This means that $\{u_1, \dots, u_k\}$ is a vertex cut $\Rightarrow \kappa(G) \leq k$.

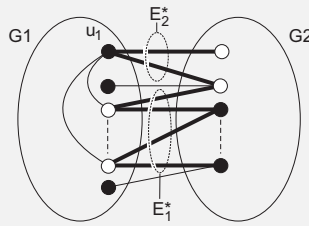
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$$\kappa(G) \leq \lambda(G) \leq \min_{v \in V(G)} \{\delta(v)\} \text{ (cnt'd)}$$

Otherwise, assume $V(G_1) = \{u_1, \dots, u_k\}$ and consider vertex u_1 .

- u_1 is adjacent to d_1 vertices $N_1(u_1)$ from $V(G_1)$ and d_2 vertices $N_2(u_1)$ from $V(G_2)$.
- Each $u_i \in N_1(u_1)$ is adjacent to a vertex from $V(G_2)$.
- Let $E_1^* = \{\langle u, v \rangle \in E^* \mid u \in N_1(u_1), v \in V(G_2)\}$
 $E_2^* = \{\langle u_1, v \rangle \in E^* \mid v \in N_2(u_1)\}$
- $d_1 + d_2 \leq |E_1^*| + d_2 \leq |E_1^*| + |E_2^*| \leq |E^*| = \lambda(G)$.
- $N_1(u_1) \cup N_2(u_1)$ is a vertex cut with $d_1 + d_2$ vertices.



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What does it take to be connected?

Definition

If $\kappa(G) \geq k$ for some k , then G is called k -connected.

Note

G is k -connected $\Rightarrow \forall v : \delta(v) \geq k$

Issue

Can we construct a k -connected graph $H_{k,n}$ with n vertices and a minimal number of edges?

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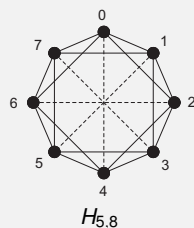
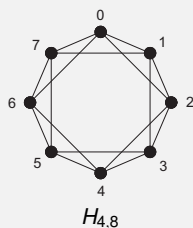
Harary graphs

k is even: Organize vertices $V = \{0, 1, \dots, n-1\}$ into a "circle."

Connect vertex i to its $k/2$ left-hand (clockwise) neighbors and to its $k/2$ right-hand (counter clockwise) neighbors.

k is odd, n is even: Construct $H_{k-1,n}$ and add edges

$\langle 0, \frac{n}{2} \rangle, \langle 1, 1 + \frac{n}{2} \rangle, \dots, \langle \frac{n-2}{2}, n-1 \rangle$.

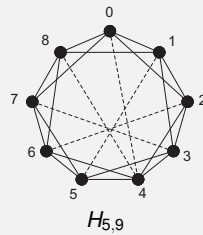
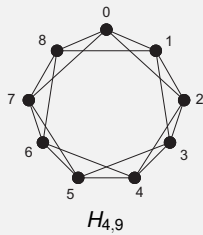


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Harary graphs

k is odd, n is odd: Construct $H_{k-1,n}$ and add edges
 $\langle 0, \frac{n-1}{2} \rangle, \langle 1, 1 + \frac{n-1}{2} \rangle, \dots, \langle \frac{n-1}{2}, n-1 \rangle$.



Menger's theorem

Definition

Let $\mathcal{P}(u, v)$ be a collection of paths between vertices u and v .

Vertex independent: $\forall P, Q \in \mathcal{P}(u, v) : V(P) \cap V(Q) = \{u, v\}$.

Edge independent: $\forall P, Q \in \mathcal{P}(u, v) : E(P) \cap E(Q) = \emptyset$.

Theorem (Menger)

Let G be a graph with two nonadjacent vertices u and v . The minimum number of vertices in a vertex cut that disconnects u and v is equal to the maximum number of pairwise vertex-independent paths between u and v . The minimum number of edges in an edge cut that disconnects u and v , is equal to the maximum number of pairwise edge-independent paths between u and v .

Menger's theorem

Mathematical language

Menger's theorem should be read carefully: it mentions **pairwise independent paths**. In this case, the adjective **pairwise** is used to make clear that we should always consider pairs of paths when considering independence. And indeed, this makes sense when you would consider trying to count the number of **independent paths**: being an independent path can only be relative to another path.

To complete the story, also note that the theorem is all about counting the number of (u, v) -paths, and not the number of **pairs** of such paths. In other words, **pairwise** is an adjective to **independent**, and not to **paths**.

Corollaries

Corollary

- G is k -connected iff any two distinct vertices are connected by at least k vertex-independent paths.
- G is k -edge connected iff any two distinct vertices are connected by at least k edge-independent paths.

Corollary

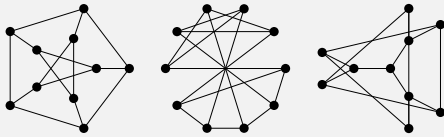
Each edge of a 2-edge-connected graph lies on a cycle.

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Drawing graphs

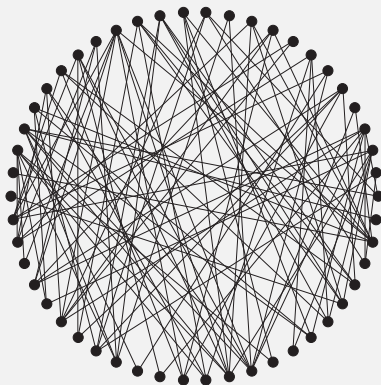
Observation

It is important to see how you draw a graph, that is, to consider its **graph embedding**.



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Circular embedding



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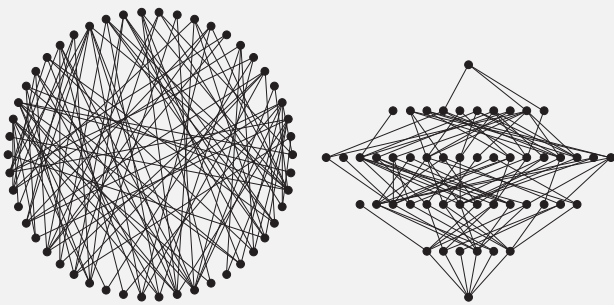
Ranked embedding

Definition

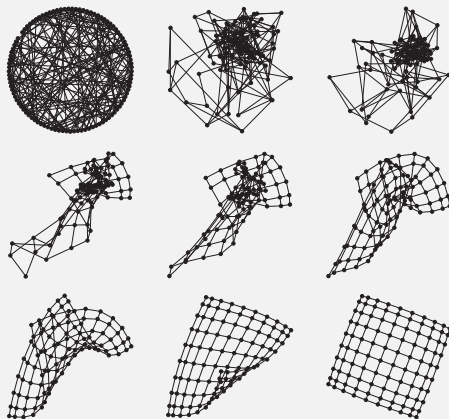
G is **bipartite** if $V(G) = V_1 \cup V_2$ and $V_1 \cap V_2 = \emptyset$ such that $E(G) \subseteq \{\langle u_1, u_2 \rangle \mid u_1 \in V_1, u_2 \in V_2\}$.

- 1 Consider bipartite graph G and vertex $v \in V(G)$
- 2 Let $N_0^*(v) = \{v\}$
- 3 Let $N_k^*(v) = N_{k-1}^*(v) \cup \{x \in N(y) \mid y \in N_{k-1}^*(v)\}, k \geq 1$
- 4 $N_k(v) = N_k^*(v) - N_{k-1}^*(v)$
- 5 Draw vertices from $N_k(v)$ on the same vertical line, and vertices from $N_{k-1}(v)$ below (or above) those of $N_k(v)$.

Ranked embedding



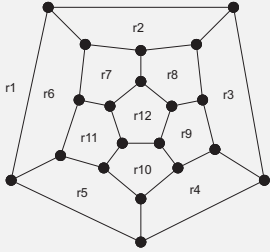
Spring embedding



Planar graphs

Definition

A graph is **planar** if there exists an embedding in the 2D plane such that no two edges cross. A **plane graph** is a drawing of a planar graph such that no two edges intersect.



Theorem (Euler's formula)

For a plane graph with n vertices, m edges, and r regions: $n - m + r = 2$.

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Planar graphs: properties

Theorem

For any connected simple planar graph with $n \geq 3$ vertices and m edges: $m \leq 3n - 6$

- Consider region f in a plane graph of G
- \forall interior regions: $B(f)$ denotes number of edges enclosing f .
Note: $B(f) \geq 3$.
- $n \geq 3 \Rightarrow$ exterior region bounded by at least 3 edges.
- r regions $\Rightarrow \sum B(f) \geq 3r$
- $\sum B(f)$ counts edges once or twice $\Rightarrow \sum B(f) \leq 2m$
- $3r \leq \sum B(f) \leq 2m \Rightarrow m = n + r - 2 \leq n + \frac{2}{3}m - 2 \Rightarrow m \leq 3n - 6$

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