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MODULE *HPaxos*

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EXTENDS *Naturals, FiniteSets, Functions*

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CONSTANT *LastBallot*  
 ASSUME *LastBallot*  $\in$  *Nat*

*Ballot*  $\triangleq$  *Nat*

CONSTANT *Value*  
 ASSUME *ValueNotEmpty*  $\triangleq$  *Value*  $\neq$   $\{\}$

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CONSTANTS *SafeAcceptor*,  
*FakeAcceptor*,  
*ByzQuorum*,  
*Learner*

*Acceptor*  $\triangleq$  *SafeAcceptor*  $\cup$  *FakeAcceptor*

ASSUME *AcceptorAssumption*  $\triangleq$   
 $\wedge$  *SafeAcceptor*  $\cap$  *FakeAcceptor* =  $\{\}$   
 $\wedge$  *Acceptor*  $\cap$  *Learner* =  $\{\}$

ASSUME *BQAssumption*  $\triangleq$   
 $\wedge$  *SafeAcceptor*  $\in$  *ByzQuorum*  
 $\wedge$   $\forall Q \in$  *ByzQuorum* :  $Q \subseteq$  *Acceptor*

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**Learner graph**

CONSTANT *TrustLive*  
 ASSUME *TrustLiveAssumption*  $\triangleq$   
*TrustLive*  $\in$  SUBSET [*lr* : *Learner*, *q* : *ByzQuorum*]

CONSTANT *TrustSafe*  
 ASSUME *TrustSafeAssumption*  $\triangleq$   
*TrustSafe*  $\in$  SUBSET [*from* : *Learner*, *to* : *Learner*, *q* : *ByzQuorum*]

ASSUME *LearnerGraphAssumptionSymmetry*  $\triangleq$   
 $\forall E \in$  *TrustSafe* :  
 $[from \mapsto E.to, to \mapsto E.from, q \mapsto E.q] \in$  *TrustSafe*

ASSUME *LearnerGraphAssumptionTransitivity*  $\triangleq$   
 $\forall E1, E2 \in$  *TrustSafe* :  
 $E1.q = E2.q \wedge E1.to = E2.from \Rightarrow$   
 $[from \mapsto E1.from, to \mapsto E2.to, q \mapsto E1.q] \in$  *TrustSafe*

ASSUME *LearnerGraphAssumptionClosure*  $\triangleq$

$$\begin{aligned} & \forall E \in \text{TrustSafe} : \forall Q \in \text{ByzQuorum} : \\ & \quad E.q \subseteq Q \Rightarrow \\ & \quad [from \mapsto E.from, to \mapsto E.to, q \mapsto Q] \in \text{TrustSafe} \end{aligned}$$

ASSUME *LearnerGraphAssumptionValidity*  $\triangleq$   
 $\forall E \in \text{TrustSafe} : \forall Q1, Q2 \in \text{ByzQuorum} :$   
 $[lr \mapsto E.from, q \mapsto Q1] \in \text{TrustLive} \wedge$   
 $[lr \mapsto E.to, q \mapsto Q2] \in \text{TrustLive} \Rightarrow$   
 $\exists N \in E.q : N \in Q1 \wedge N \in Q2$

Entanglement relation

$$\text{Ent} \triangleq \{LL \in \text{Learner} \times \text{Learner} : \\ [from \mapsto LL[1], to \mapsto LL[2], q \mapsto \text{SafeAcceptor}] \in \text{TrustSafe}\}$$

Messages

CONSTANT *MaxRefCardinality*  
 ASSUME *MaxRefCardinalityAssumption*  $\triangleq$   
 $\wedge \quad \text{MaxRefCardinality} \in \text{Nat}$   
 $\wedge \quad \text{MaxRefCardinality} \geq 1$

*RefCardinality*  $\triangleq \text{Nat}$

*RefCardinality*  $\triangleq 1 \dots \text{MaxRefCardinality}$

*FINSUBSET*(*S*, *R*)  $\triangleq \{ \text{Range}(seq) : seq \in [R \rightarrow S] \}$   
 $\text{FINSUBSET}(S, K) \triangleq \{ \text{Range}(seq) : seq \in [1 \dots K \rightarrow S] \}$   
 $\text{FINSUBSET}(S, R) \triangleq \text{UNION } \{ \{ \text{Range}(seq) : seq \in [1 \dots K \rightarrow S] \} : K \in R \}$

*MessageRec0*  $\triangleq$   
 $[type : \{ \text{"1a"} \}, bal : \text{Ballot}, ref : \{ \{ \} \}]$

*MessageRec1*(*M*, *n*)  $\triangleq$   
 $M \cup$   
 $[type : \{ \text{"1b"} \},$   
 $acc : \text{Acceptor},$   
 $ref : \text{FINSUBSET}(M, \text{RefCardinality})] \cup$   
 $[type : \{ \text{"2a"} \},$   
 $lrn : \text{Learner},$   
 $acc : \text{Acceptor},$   
 $ref : \text{FINSUBSET}(M, \text{RefCardinality})]$

*MessageRec*[*n*  $\in \text{Nat}$ ]  $\triangleq$   
 IF *n* = 0  
 THEN *MessageRec0*  
 ELSE *MessageRec1*(*MessageRec*[*n* − 1], *n*)

CONSTANT *MaxMessageDepth*

ASSUME  $MaxMessageDepth \in Nat$

$MessageDepthRange \triangleq Nat$

$Message \triangleq \text{UNION } \{MessageRec[n] : n \in MessageDepthRange\}$

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Non-message value

$None \triangleq \text{CHOOSE } v : v \notin Message$

$NoMessage \triangleq None$

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Transitive references

Bounded transitive references

$TranBound0 \triangleq [m \in Message \mapsto \{m\}]$

$TranBound1(tr, n) \triangleq$   
 $[m \in Message \mapsto \{m\} \cup \text{UNION } \{tr[r] : r \in m.ref\}]$

$TranBound[n \in Nat] \triangleq$   
IF  $n = 0$   
THEN  $TranBound0$   
ELSE  $TranBound1(TranBound[n - 1], n)$

Countable transitive references

$TranDepthRange \triangleq MessageDepthRange$

$Tran(m) \triangleq \text{UNION } \{TranBound[n][m] : n \in TranDepthRange\}$

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Algorithm specification

TODO comment

VARIABLES  $msgs,$   
 $known_msgs,$   
 $recent_msgs,$   
 $queued_msg,$   
 $2a\_lrn\_loop,$   
 $processed\_lrns,$   
 $decision,$   
 $BVal$

$Get1a(m) \triangleq$   
 $\{x \in Tran(m) :$   
 $\quad \wedge x.type = "1a"$   
 $\quad \wedge \forall y \in Tran(m) :$   
 $\quad \quad y.type = "1a" \Rightarrow y.bal \leq x.bal\}$

$$B(m, bal) \triangleq \exists x \in Get1a(m) : bal = x.bal$$

$$V(m, val) \triangleq \exists x \in Get1a(m) : val = BVal[x.bal]$$

$$\begin{aligned} & \text{Maximal ballot number of any messages known to acceptor } a \\ & MaxBal(a, mbal) \triangleq \\ & \quad \wedge \exists m \in known\_msgs[a] : B(m, mbal) \\ & \quad \wedge \forall x \in known\_msgs[a] : \\ & \quad \quad \forall b \in Ballot : B(x, b) \Rightarrow b \leq mbal \end{aligned}$$

$$\begin{aligned} & SameBallot(x, y) \triangleq \\ & \quad \forall b \in Ballot : B(x, b) \equiv B(y, b) \end{aligned}$$

The acceptor is *\_caught\_* in a message  $x$  if the transitive references of  $x$  include evidence such as two messages both signed by the acceptor, in which neither is featured in the other's transitive references.

$$\begin{aligned} & CaughtMsg(x) \triangleq \\ & \quad \{m \in Tran(x) : \\ & \quad \quad \wedge m.type \neq "1a" \\ & \quad \quad \wedge \exists m1 \in Tran(x) : \\ & \quad \quad \quad \wedge m1.type \neq "1a" \\ & \quad \quad \quad \wedge m.acc = m1.acc \\ & \quad \quad \quad \wedge m \notin Tran(m1) \\ & \quad \quad \quad \wedge m1 \notin Tran(m)\} \end{aligned}$$

$$Caught(x) \triangleq \{m.acc : m \in CaughtMsg(x)\}$$

**Connected**

$$\begin{aligned} & ConByQuorum(a, b, x, S) \triangleq \quad a : Learner, b : Learner, x : 1b, S \in ByzQuorum \\ & \quad \wedge [from \mapsto a, to \mapsto b, q \mapsto S] \in TrustSafe \\ & \quad \wedge S \cap Caught(x) = \{\} \end{aligned}$$

$$\begin{aligned} & Con(a, x) \triangleq \quad a : Learner, x : 1b \\ & \quad \{b \in Learner : \\ & \quad \quad \exists S \in ByzQuorum : ConByQuorum(a, b, x, S)\} \end{aligned}$$

*2a*-message is *\_buried\_* if there exists a quorum of acceptors that have seen *2a*-messages with different values, the same learner, and higher ballot numbers.

$$\begin{aligned} & Buried(x, y) \triangleq \quad x : 2a, y : 1b \\ & \quad LET \quad Q \triangleq \{m \in Tran(y) : \\ & \quad \quad \exists z \in Tran(m) : \\ & \quad \quad \quad \wedge z.type = "2a" \\ & \quad \quad \quad \wedge z.lrn = x.lrn \\ & \quad \quad \quad \wedge \forall bx, bz \in Ballot : \\ & \quad \quad \quad \quad B(x, bx) \wedge B(z, bz) \Rightarrow bx < bz \\ & \quad \quad \quad \wedge \forall vx, vz \in Value : \end{aligned}$$

$$\text{IN } [lr \mapsto x.lrn, q \mapsto \{m.acc : m \in Q\}] \in \text{TrustLive}$$

Connected 2a messages

$$\begin{aligned} \text{Con2as}(l, x) &\triangleq l : \text{Learner}, x : 1b \\ &\{m \in \text{Tran}(x) : \\ &\quad \wedge m.type = \text{"2a"} \\ &\quad \wedge m.acc = x.acc \\ &\quad \wedge \neg \text{Buried}(m, x) \\ &\quad \wedge m.lrn \in \text{Con}(l, x)\} \end{aligned}$$

Fresh 1b messages

$$\begin{aligned} \text{Fresh}(l, x) &\triangleq l : \text{Learner}, x : 1b \\ &\forall m \in \text{Con2as}(l, x) : \forall v \in \text{Value} : V(x, v) \equiv V(m, v) \end{aligned}$$

Quorum of messages referenced by 2a

$$\begin{aligned} q(x) &\triangleq x : 2a \\ \text{LET } Q &\triangleq \{m \in \text{Tran}(x) : \\ &\quad \wedge m.type = \text{"1b"} \\ &\quad \wedge \text{Fresh}(x.lrn, m) \\ &\quad \wedge \forall b \in \text{Ballot} : B(m, b) \equiv B(x, b)\} \\ \text{IN } &\{m.acc : m \in Q\} \end{aligned}$$

$$\begin{aligned} \text{WellFormed}(m) &\triangleq \\ &\wedge m \in \text{Message} \\ &\wedge \exists b \in \text{Ballot} : B(m, b) \text{ } \text{TODO prove it} \\ &\wedge m.type = \text{"1b"} \Rightarrow \\ &\quad \forall y \in \text{Tran}(m) : \\ &\quad \quad m \neq y \wedge \text{SameBallot}(m, y) \Rightarrow y.type = \text{"1a"} \\ &\wedge m.type = \text{"2a"} \Rightarrow \\ &\quad \wedge [lr \mapsto m.lrn, q \mapsto q(m)] \in \text{TrustLive} \end{aligned}$$

$$\text{vars} \triangleq \langle \text{msgs}, \text{known\_msgs}, \text{recent\_msgs}, \text{queued\_msg}, \\ 2a\_lrn\_loop, \text{processed\_lrns}, \text{decision}, BVal \rangle$$

$$\begin{aligned} \text{Init} &\triangleq \\ &\wedge \text{msgs} = \{\} \\ &\wedge \text{known\_msgs} = [x \in \text{Acceptor} \cup \text{Learner} \mapsto \{\}] \\ &\wedge \text{recent\_msgs} = [a \in \text{Acceptor} \cup \text{Learner} \mapsto \{\}] \\ &\wedge \text{queued\_msg} = [a \in \text{Acceptor} \mapsto \text{NoMessage}] \\ &\wedge 2a\_lrn\_loop = [a \in \text{Acceptor} \mapsto \text{FALSE}] \\ &\wedge \text{processed\_lrns} = [a \in \text{Acceptor} \mapsto \{\}] \\ &\wedge \text{decision} = [lb \in \text{Learner} \times \text{Ballot} \mapsto \{\}] \\ &\wedge BVal \in [\text{Ballot} \rightarrow \text{Value}] \end{aligned}$$

$$\text{Send}(m) \triangleq \text{msgs}' = \text{msgs} \cup \{m\}$$

$Proper(a, m) \triangleq \forall r \in m.ref : r \in known\_msgs[a]$

$Recv(a, m) \triangleq$   
 $\wedge m \notin known\_msgs[a]$  ignore known messages  
 $\wedge WellFormed(m)$   
 $\wedge Proper(a, m)$

$Store(a, m) \triangleq$   
 $\wedge known\_msgs' = [known\_msgs \text{ EXCEPT } ![a] = known\_msgs[a] \cup \{m\}]$

$Send1a(b) \triangleq$   
 $\wedge Send([type \mapsto "1a", bal \mapsto b, ref \mapsto \{\}])$   
 $\wedge UNCHANGED \langle known\_msgs, recent\_msgs, queued\_msg,$   
 $2a\_lrn\_loop, processed\_lrns, decision \rangle$   
 $\wedge UNCHANGED BVal$

$Known2a(l, b, v) \triangleq$   
 $\{x \in known\_msgs[l] :$   
 $\wedge x.type = "2a"$   
 $\wedge x.lrn = l$   
 $\wedge B(x, b)$   
 $\wedge V(x, v)\}$

The following is invariant for *queued\_msg* variable values.  
 For any safe acceptor A, if *queued\_msg*[A]  $\neq NoMessage$  then  
*queued\_msg*[A] is a well-formed message of type "1b" sent by A,  
 having the direct references all known to A.

$Process1a(a, m) \triangleq$   
 $LET new1b \triangleq [type \mapsto "1b", acc \mapsto a, ref \mapsto recent\_msgs[a] \cup \{m\}]IN$   
 $\wedge m.type = "1a"$   
 $\wedge Recv(a, m)$   
 $\wedge Store(a, m)$   
 $\wedge WellFormed(new1b) \Rightarrow$   
 $\wedge Send(new1b)$   
 $\wedge recent\_msgs' = [recent\_msgs \text{ EXCEPT } ![a] = \{\}]$   
 $\wedge queued\_msg' = [queued\_msg \text{ EXCEPT } ![a] = new1b]$   
 $\wedge (\neg WellFormed(new1b)) \Rightarrow$   
 $\wedge recent\_msgs' = [recent\_msgs \text{ EXCEPT } ![a] = recent\_msgs[a] \cup \{m\}]$   
 $\wedge UNCHANGED \langle msgs, queued\_msg \rangle$   
 $\wedge UNCHANGED \langle 2a\_lrn\_loop, processed\_lrns, decision \rangle$   
 $\wedge UNCHANGED BVal$

$Process1b(a, m) \triangleq$   
 $\wedge m.type = "1b"$   
 $\wedge Recv(a, m)$   
 $\wedge Store(a, m)$

$$\begin{aligned}
& \wedge \text{recent\_msgs}' = [\text{recent\_msgs} \text{ EXCEPT } ![a] = \text{recent\_msgs}[a] \cup \{m\}] \\
& \wedge (\forall mb, b \in \text{Ballot} : \text{MaxBal}(a, mb) \wedge B(m, b) \Rightarrow mb \leq b) \Rightarrow \\
& \quad \wedge 2a\_lrn\_loop' = [2a\_lrn\_loop \text{ EXCEPT } ![a] = \text{TRUE}] \\
& \quad \wedge \text{processed\_lrns}' = [\text{processed\_lrns} \text{ EXCEPT } ![a] = \{\}] \\
& \wedge (\neg(\forall mb, b \in \text{Ballot} : \text{MaxBal}(a, mb) \wedge B(m, b) \Rightarrow mb \leq b)) \Rightarrow \\
& \quad \text{UNCHANGED } \langle 2a\_lrn\_loop, \text{processed\_lrns} \rangle \\
& \wedge \text{queued\_msg}' = [\text{queued\_msg} \text{ EXCEPT } ![a] = \text{NoMessage}] \\
& \wedge \text{UNCHANGED } \langle \text{msgs}, \text{decision} \rangle \\
& \wedge \text{UNCHANGED } BVal
\end{aligned}$$

$$\begin{aligned}
& \text{Process1bLearnerLoopStep}(a, lrn) \triangleq \\
& \text{LET } new2a \triangleq [type \mapsto "2a", lrn \mapsto lrn, acc \mapsto a, ref \mapsto \text{recent\_msgs}[a]] \text{ IN} \\
& \wedge \text{processed\_lrns}' = \\
& \quad [\text{processed\_lrns} \text{ EXCEPT } ![a] = \text{processed\_lrns}[a] \cup \{lrn\}] \\
& \wedge \text{WellFormed}(new2a) \Rightarrow \\
& \quad \wedge \text{Send}(new2a) \\
& \quad \wedge \text{Store}(a, new2a) \\
& \quad \wedge \text{recent\_msgs}' = [\text{recent\_msgs} \text{ EXCEPT } ![a] = \{new2a\}] \\
& \wedge (\neg \text{WellFormed}(new2a)) \Rightarrow \\
& \quad \text{UNCHANGED } \langle \text{msgs}, \text{known\_msgs}, \text{recent\_msgs} \rangle \\
& \wedge \text{UNCHANGED } \langle \text{queued\_msg}, 2a\_lrn\_loop, \text{decision} \rangle \\
& \wedge \text{UNCHANGED } BVal
\end{aligned}$$

$$\begin{aligned}
& \text{Process1bLearnerLoopDone}(a) \triangleq \\
& \wedge \text{Learner} \setminus \text{processed\_lrns}[a] = \{\} \\
& \wedge 2a\_lrn\_loop' = [2a\_lrn\_loop \text{ EXCEPT } ![a] = \text{FALSE}] \\
& \wedge \text{UNCHANGED } \langle \text{msgs}, \text{known\_msgs}, \text{recent\_msgs}, \text{queued\_msg}, \\
& \quad \text{processed\_lrns}, \text{decision} \rangle \\
& \wedge \text{UNCHANGED } BVal
\end{aligned}$$

$$\begin{aligned}
& \text{Process1bLearnerLoop}(a) \triangleq \\
& \vee \exists lrn \in \text{Learner} \setminus \text{processed\_lrns}[a] : \\
& \quad \text{Process1bLearnerLoopStep}(a, lrn) \\
& \vee \text{Process1bLearnerLoopDone}(a)
\end{aligned}$$

$$\begin{aligned}
& \text{Process2a}(a, m) \triangleq \\
& \wedge m.type = "2a" \\
& \wedge \text{Recv}(a, m) \\
& \wedge \text{Store}(a, m) \\
& \wedge \text{recent\_msgs}' = [\text{recent\_msgs} \text{ EXCEPT } ![a] = \text{recent\_msgs}[a] \cup \{m\}] \\
& \wedge \text{UNCHANGED } \langle \text{msgs}, \text{queued\_msg}, 2a\_lrn\_loop, \text{processed\_lrns}, \text{decision} \rangle \\
& \wedge \text{UNCHANGED } BVal
\end{aligned}$$

$$\begin{aligned}
& \text{ProposerSendAction} \triangleq \\
& \quad \exists bal \in \text{Ballot} : \text{Send1a}(bal)
\end{aligned}$$

$$\text{AcceptorProcessAction} \triangleq$$

$$\begin{aligned}
& \exists a \in \text{SafeAcceptor} : \\
& \quad \vee \wedge 2a\_lrn\_loop[a] = \text{FALSE} \\
& \quad \quad \wedge \vee \wedge \text{queued\_msg}[a] \neq \text{NoMessage} \\
& \quad \quad \quad \wedge \text{Process1b}(a, \text{queued\_msg}[a]) \\
& \quad \quad \vee \wedge \text{queued\_msg}[a] = \text{NoMessage} \\
& \quad \quad \quad \wedge \exists m \in \text{msgs} : \\
& \quad \quad \quad \quad \wedge \vee \text{Process1a}(a, m) \\
& \quad \quad \quad \quad \quad \vee \text{Process1b}(a, m) \\
& \quad \vee \wedge 2a\_lrn\_loop[a] = \text{TRUE} \\
& \quad \quad \wedge \text{Process1bLearnerLoop}(a) \\
\\
& \text{FakeSend1b}(a) \triangleq \\
& \quad \wedge \exists \text{fin} \in \text{FINSUBSET}(\text{msgs}, \text{RefCardinality}) : \\
& \quad \quad \text{LET } \text{new1b} \triangleq [\text{type} \mapsto \text{"1b"}, \text{acc} \mapsto a, \text{ref} \mapsto \text{fin}] \text{IN} \\
& \quad \quad \quad \wedge \text{WellFormed}(\text{new1b}) \\
& \quad \quad \quad \wedge \text{Send}(\text{new1b}) \\
& \quad \wedge \text{UNCHANGED} \langle \text{known\_msgs}, \text{recent\_msgs}, \text{queued\_msg}, \\
& \quad \quad \quad 2a\_lrn\_loop, \text{processed\_lrns}, \text{decision} \rangle \\
& \quad \wedge \text{UNCHANGED } B\text{Val} \\
\\
& \text{FakeSend2a}(a) \triangleq \\
& \quad \wedge \exists \text{fin} \in \text{FINSUBSET}(\text{msgs}, \text{RefCardinality}) : \\
& \quad \quad \exists \text{lrn} \in \text{Learner} : \\
& \quad \quad \quad \text{LET } \text{new2a} \triangleq [\text{type} \mapsto \text{"2a"}, \text{lrn} \mapsto \text{lrn}, \text{acc} \mapsto a, \text{ref} \mapsto \text{fin}] \text{IN} \\
& \quad \quad \quad \quad \wedge \text{WellFormed}(\text{new2a}) \\
& \quad \quad \quad \quad \wedge \text{Send}(\text{new2a}) \\
& \quad \wedge \text{UNCHANGED} \langle \text{known\_msgs}, \text{recent\_msgs}, \text{queued\_msg}, \\
& \quad \quad \quad 2a\_lrn\_loop, \text{processed\_lrns}, \text{decision} \rangle \\
& \quad \wedge \text{UNCHANGED } B\text{Val} \\
\\
& \text{LearnerRecv}(l, m) \triangleq \\
& \quad \wedge \text{Recv}(l, m) \\
& \quad \wedge \text{Store}(l, m) \\
& \quad \wedge \text{UNCHANGED} \langle \text{msgs}, \text{recent\_msgs}, \text{queued\_msg}, \\
& \quad \quad \quad 2a\_lrn\_loop, \text{processed\_lrns}, \text{decision} \rangle \\
& \quad \wedge \text{UNCHANGED } B\text{Val} \\
\\
& \text{ChosenIn}(l, b, v) \triangleq \\
& \quad \exists S \in \text{SUBSET } \text{Known2a}(l, b, v) : \\
& \quad \quad [lr \mapsto l, q \mapsto \{m.\text{acc} : m \in S\}] \in \text{TrustLive} \\
\\
& \text{LearnerDecide}(l, b, v) \triangleq \\
& \quad \wedge \text{ChosenIn}(l, b, v) \\
& \quad \wedge \text{decision}' = [\text{decision} \text{ EXCEPT } ![\langle l, b \rangle] = \text{decision}[l, b] \cup \{v\}] \\
& \quad \wedge \text{UNCHANGED} \langle \text{msgs}, \text{known\_msgs}, \text{recent\_msgs}, \text{queued\_msg}, \\
& \quad \quad \quad 2a\_lrn\_loop, \text{processed\_lrns} \rangle
\end{aligned}$$



$\wedge \text{UNCHANGED } BVal$

$LearnerAction \triangleq$   
 $\exists lrn \in Learner :$   
 $\vee \exists m \in msgs :$   
 $\quad LearnerRecv(lrn, m)$   
 $\vee \exists bal \in Ballot :$   
 $\quad \exists val \in Value :$   
 $\quad \quad LearnerDecide(lrn, bal, val)$

$FakeAcceptorAction \triangleq$   
 $\exists a \in FakeAcceptor :$   
 $\vee FakeSend1b(a)$   
 $\vee FakeSend2a(a)$

$Next \triangleq$   
 $\vee ProposerSendAction$   
 $\vee AcceptorProcessAction$   
 $\vee LearnerAction$   
 $\vee FakeAcceptorAction$

$Spec \triangleq Init \wedge \Box [Next]_{vars}$

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$Safety \triangleq$   
 $\forall L1, L2 \in Learner : \forall B1, B2 \in Ballot : \forall V1, V2 \in Value :$   
 $\langle L1, L2 \rangle \in Ent \wedge$   
 $V1 \in decision[L1, B1] \wedge V2 \in decision[L2, B2] \Rightarrow$   
 $V1 = V2$

**THEOREM**  $SafetyResult \triangleq Spec \Rightarrow \Box Safety$

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**Sanity check propositions**

$SanityCheck0 \triangleq$   
 $\forall L \in Learner : Cardinality(known\_msgs[L]) = 0$

$SanityCheck1 \triangleq$   
 $\forall L \in Learner : \forall m1, m2 \in known\_msgs[L] :$   
 $\forall b1, b2 \in Ballot :$   
 $B(m1, b1) \wedge B(m2, b2) \Rightarrow b1 = b2$

$2aNotSent \triangleq$   
 $\forall M \in msgs : M.type \neq "2a"$

$2aNotSentBySafeAcceptor \triangleq$   
 $\forall M \in msgs : M.type = "2a" \Rightarrow M.acc \notin SafeAcceptor$

$1bNotSentBySafeAcceptor \triangleq$   
 $\forall M \in msgs : M.type = "1b" \Rightarrow M.acc \notin SafeAcceptor$

$NoDecision \triangleq$   
 $\forall L \in Learner : \forall BB \in Ballot : \forall VV \in Value :$   
 $VV \notin decision[L, BB]$

$UniqueDecision \triangleq$   
 $\forall L1, L2 \in Learner : \forall B1, B2 \in Ballot : \forall V1, V2 \in Value :$   
 $V1 \in decision[L1, B1] \wedge V2 \in decision[L2, B2] \Rightarrow$   
 $V1 = V2$

<p>Liveness</p> <p>WORK IN PROGRESS</p> <p>For any learner <math>L</math>, ballot <math>b</math> and quorum <math>Q</math> of safe acceptors trusted by <math>L</math> such that</p> <ol style="list-style-type: none"> <li>0. No phase 1a messages (a) have been or (b) ever will be sent for any ballot number greater than <math>b</math>.</li> <li>1. No 2a messages were sent in any round</li> <li>2. The ballot <math>b</math> leader eventually sends a 1a message for ballot <math>b</math>.</li> <li>3. Each acceptor in <math>Q</math> eventually receives the 1a message of ballot <math>b</math> and responds to it by sending a 1b message.</li> <li>4. (a) Each acceptor in <math>Q</math> eventually receives a 1b message of ballot <math>b</math> from themselves and every other acceptor of <math>Q</math>, and              (b) sends 2a containing the quorum of 1b messages to every learner which live-trusts the quorum <math>Q</math>. In particular, the 2a messages are sent to the learner <math>L</math>.</li> <li>5. The learner <math>L</math> receives all 2a messages of ballot <math>b</math> addressed to it.</li> <li>6. Learner <math>L</math> eventually executes its decision action for ballot <math>b</math> if it has a chance to do so.</li> </ol> <p>then some value is eventually chosen by the learner <math>L</math>.</p>
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THEOREM  $Liveness \triangleq$   
 $Spec \Rightarrow$   
 $\forall L \in Learner : \forall b \in Ballot, Q \in ByzQuorum :$   
 $Q \subseteq SafeAcceptor \wedge$   
 $[lr \mapsto L, q \mapsto Q] \in TrustLive \Rightarrow$   
 $($   
 $($   
 $(0a)$   
 $\wedge \forall m \in msgs : m.type = "1a" \Rightarrow m.bal < b$   
 $(0b)$   
 $\wedge \forall c \in Ballot : c > b \Rightarrow \Box[\neg Send1a(c)]_{vars}$   
 $(2)$   
 $\wedge WF_{vars}(Send1a(b))$   
 $(3)$

$$\begin{aligned}
& \wedge \forall m \in Message : \\
& \quad B(m, b) \Rightarrow \\
& \quad \forall a \in Q : WF_{vars}(Process1a(a, m)) \\
& \quad (4a) \\
& \wedge \forall m \in Message : \\
& \quad B(m, b) \Rightarrow \\
& \quad \forall a \in Q : WF_{vars}(Process1b(a, m)) \\
& \quad (4b) \\
& \wedge \forall a \in Q : WF_{vars}(Process1bLearnerLoop(a)) \\
& \quad (5) \\
& \wedge \forall m \in Message : \\
& \quad B(m, b) \Rightarrow WF_{vars}(LearnerRecv(L, m)) \\
& \quad (6) \\
& \wedge WF_{vars}(\exists v \in Value : LearnerDecide(L, b, v)) \\
& ) \\
& \leadsto \\
& (\exists BB \in Ballot : decision[L, BB] \neq \{\}) \\
& )
\end{aligned}$$

CONSTANTS  $bb, LL, QQ$

$$\begin{aligned}
CSpec & \triangleq \\
& \wedge Init \\
& \wedge \square[Next \wedge \forall c \in Ballot : c > bb \Rightarrow \neg Send1a(c)]_{vars} \\
& \wedge WF_{vars}(Send1a(bb)) \\
& \wedge \forall m \in Message : \\
& \quad B(m, bb) \Rightarrow \\
& \quad \forall a \in QQ : WF_{vars}(Process1a(a, m)) \\
& \wedge \forall m \in Message : \\
& \quad B(m, bb) \Rightarrow \\
& \quad \forall a \in QQ : WF_{vars}(Process1b(a, m)) \\
& \wedge \forall a \in QQ : WF_{vars}(Process1bLearnerLoop(a)) \\
& \wedge \forall m \in Message : \\
& \quad B(m, bb) \Rightarrow WF_{vars}(LearnerRecv(LL, m)) \\
& \wedge WF_{vars}(\exists v \in Value : LearnerDecide(LL, bb, v))
\end{aligned}$$

$$\begin{aligned}
CLiveness & \triangleq \\
& ( \wedge QQ \subseteq SafeAcceptor \\
& \quad \wedge [lr \mapsto LL, q \mapsto QQ] \in TrustLive) \\
& \Rightarrow \\
& ((\forall m \in msgs : m.type = "1a" \Rightarrow m.bal < bb) \\
& \quad \leadsto \\
& \quad \exists BB \in Ballot : decision[LL, BB] \neq \{\})
\end{aligned}$$

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\ \* Modification History

\\* Last modified *Mon Nov 07 13:40:50 CET 2022* by *karbyshev*  
\\* Created *Mon Jul 25 14:24:03 CEST 2022* by *karbyshev*