

Special Article

Global Assessment of Palliative Care Need: Serious Health-Related Suffering Measurement Methodology



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Abstract

Context. Inequities and gaps in palliative care access are a serious impediment to health systems especially in low- and middle-income countries and the accurate measurement of need across health conditions is a critical step to understanding and addressing the issue. Serious Health-related Suffering (SHS) is a novel methodology to measure the palliative care need and was originally developed by The Lancet Commission on Global Access to Palliative Care and Pain Relief. In 2015, the first iteration – SHS 1.0 – was estimated at over 61 million people worldwide experiencing at least 6 billion days of SHS annually as a result of life-limiting and life-threatening conditions.

Objectives. In this paper, an updated methodology - SHS 2.0 - is presented building on the work of the Lancet Commission and detailing calculations, data requirements, limitations, and assumptions.

Methods and Results. The updates to the original methodology focus on measuring the number of people who die with (decedents) or live with (non-decedents) SHS in a given year to assess the number of people in need of palliative care across health conditions and populations. Detail on the methodology for measuring the number of days of SHS that was pioneered by the Lancet Commission, is also shared, as this second measure is essential for determining the health system responses that are necessary to address palliative care need and must be a priority for future methodological work on SHS.

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Conclusions. The methodology encompasses opportunities for applying SHS to future policy making assessment of future research priorities particularly in light of the dearth of data from low- and middle-income countries, and sharing of directions for future work to develop SHS 3.0. *J Pain Symptom Manage* 2024;68:e116–e137. © 2024 The Authors. Published by Elsevier Inc. on behalf of American Academy of Hospice and Palliative Medicine. This is an open access article under the CC BY-NC-ND license (<http://creativecommons.org/licenses/by-nc-nd/4.0/>).

Key Words

Serious health-related suffering, palliative care, suffering measurement, palliative care need

Acronyms

AIDS	Acquired immunodeficiency syndrome
ART	Anti-retroviral treatment
COPD	Chronic obstructive pulmonary disease
COVID-19	Coronavirus disease 2019
DALYs	Disability adjusted life years
DCP	Disease control priorities
DOME	Distributed opioid morphine equivalent
GBD	Global Burden of Disease
GHE	Global Health Estimates
GLOBOCAN	Global Cancer Observatory
HIV	Human immunodeficiency viruses
IAHPC	International Association for Hospice and Palliative Care
ICD	International Classification of Diseases
ICPCN	International Children's Palliative Care Network
IHME	Institute for Health Metrics and Evaluation
LMICs	Low- and middle-income countries
MDR-TB	Multidrug-resistant tuberculosis
PLHIV	People Living with HIV
QALYs	Quality-adjusted life years
SDG	Sustainable Development Goal
SHS	Serious health-related suffering
TB	Tuberculosis
UHC	Universal health coverage
UMIA	University of Miami Institute for Advanced Study of the Americas
WHO	World Health Organization
WHPCA	Worldwide Hospice Palliative Care Alliance
XDR-TB	Extensively drug-resistant tuberculosis

Background

Over 60 million people annually experience serious health-related suffering (SHS) that is amenable to palliative care. However, most reside in low-resource and rural areas with nonexistent or inadequate palliative care services and limited access to medicines and technologies that can reduce SHS,¹ emblematic of the tragedy and injustice of overall disparities in healthcare. Palliative care is a core component of universal health

coverage (UHC), making the lack of access to palliative care a serious impediment to Sustainable Development Goal (SDG) 3, namely, to “ensure healthy lives and promote well-being for all at all ages”^{2,3} and to achieving SDG 10 focused on reducing inequality within and among all countries.^{1,2}

Efforts to address this global health failing and to close the divide in access to palliative care have been thwarted by various factors.^{1,4} One is the dearth of methods and data to quantify global palliative care need and this was a major area of work of The Lancet Commission on Global Access to Palliative Care and Pain Relief (hereafter referred to as Lancet Commission or the Commission) in developing SHS. Although evidence is required to develop appropriate and targeted recommendations for closing gaps in access to palliative care, measurement of the burden of SHS has not kept pace with progress in measuring the burden of disease.^{1,5} A scientific focus on measurement of SHS^{6,7} is a necessary complement to existing measures of the burden of disease such as quality-adjusted life years (QALYs) and disability adjusted life years (DALYs). Further, measurement of SHS has value and purpose in its own right as a global health issue and as part of efforts to achieve the SDGs.

The Lancet Commission report presented a breakthrough by introducing the concept of serious health-related suffering (SHS) to quantify the global and country-specific need for palliative care and pain relief in terms of both the number of individuals who experience SHS (population in need of palliative care services), and the number of days of each type of SHS (as an input to develop more effective health system responses to address palliative care need) in a given year. Building on more limited efforts to measure population-based need for palliative care in previous publications,⁴ the Commission estimated the 2015 global burden of SHS at 61 million: 25.5 million people who died—45% of the 56.2 million deaths worldwide and an additional 35.5 million people who experienced an SHS-associated condition and did not die in that year, with at least 6 billion symptom days experienced by those people. The estimates were calculated by a systematic process documented briefly in the Lancet Commission report and in its entirety in a white paper.^{1,8}

The Lancet Commission Report has been cited by over 1000 research article publications as of this writing, and the data has been used by various international organizations and initiatives including the International Narcotic Control Board (INCB), the Worldwide Hospice Palliative Care Alliance (WHPCA), and the Disease Control Priorities (3rd edition), as well as various country champions of palliative care in their evidence generation, policy making and advocacy endeavors.^{9–11}

The Lancet Commission Secretariat was transformed into an interdisciplinary Research Hub on Global Access to Palliative Care and Pain Relief—jointly led by the University of Miami Institute for Advanced Study of the Americas and the International Association for Hospice and Palliative Care to promote evidence generation, dissemination, and translation to policy and practice to achieve universal access to palliative care. The research hub built on the original Commission methodology—SHS 1.0 to generate the next iteration—SHS 2.0.

In this paper, the SHS 2.0 methodology is summarized, exclusively dealing with measuring the number of people who die with (decedents) or live with (non-decedents) SHS. The assumptions, strengths, and weaknesses of both the original and the 2.0 iteration for measuring people with SHS are discussed. The methodology for measuring the number of days of SHS is also detailed. Pioneered by the Lancet Commission, measuring days with SHS is essential for determining the health system responses to palliative care need and although not undertaken as part of SHS 2.0, must be a priority for future methodological work on SHS. A guide to calculating the burden of SHS is provided, including specific instructions on measuring the number of people who die with (decedents) or live with (non-decedents) SHS and the number of symptom days they experience annually, as well as secondary indicators that may be constructed with the SHS database. The paper concludes with a discussion on the potential applications of SHS data for researchers, policymakers, and practitioners as well as directions for future work and priorities for developing SHS 3.0. It is linked to another methods paper on measuring distributed opioid morphine equivalent (DOME) and comparing DOME against the need for palliative care (SHS).

Defining and Measuring SHS

Serious health-related suffering, as defined by the Lancet Commission, is the “pain, suffering, and severe distress associated with life-threatening or life-limiting health conditions and with end of life”¹ that cannot be relieved without medical intervention and that is potentially amenable to relief through palliative care.

SHS is not bound by time or prognosis and includes complex, chronic or acute, life threatening, or life-limiting health conditions.¹²

The definition of palliative care adopted by the Lancet Commission is the one used by the World Health Organization (WHO) at the time: “an approach that improves the quality of life of patients and their families facing the problems associated with life-threatening illness through the prevention and relief of suffering by means of early identification and assessment and treatment of pain and other problems, physical, psychosocial, and spiritual.”^{1,13} SHS 2.0 adopts the consensus-based definition spearheaded by the IAHPC that was initiated as one of the recommendations of the Commission report and engaged a group of global stakeholders from low, middle, and high-income countries. Specifically, “palliative care is the active holistic care of individuals across all ages with serious health-related suffering due to severe illness and especially of those near the end of life. It aims to improve the quality of life of patients, their families, and their caregivers.”¹²

The SHS burden is presented both as the number of people experiencing SHS due to life-limiting or life-threatening conditions and as the number of symptom-days of SHS experienced. Individuals experiencing SHS are distinguished as either decedents or non-decedents and the conditions, multipliers, and estimates in each differ. Decedents are defined as individuals who died within the year of calculation and are thus captured in the mortality database. Non-decedents are individuals who did not die within the year of calculation and are thus captured in the prevalence database. Non-decedent categories of SHS include conditions (1) that may have been cured but from which SHS persists; (2) from which patients recover but that nonetheless caused SHS; (3) with survival with chronic severe disability and with SHS symptoms; and (4) have a slowly progressive course. Symptom-days are defined as the number of days decedents and non-decedents lived with any symptoms and are calculated for each symptom and aggregated to measure total palliative care need. The latter is key to analyzing the response to SHS, for example in DOME for specific symptoms such as pain or dyspnea.

General Considerations in the Selection Processes

The selection of conditions, development of multipliers, and calculation of the number of people and days of SHS was informed by a literature search, individual and group expert discussions, and Delphi processes with online surveys for SHS 1.0 as described in the [Appendix](#) to the Commission report. Expert panel(s) of palliative care clinicians with experience providing clinical care in different parts of the world, especially in LMICs were engaged in the process.

To estimate symptoms and symptom duration (days of SHS), as part of the work of the Commission and SHS1.0, experts were asked to consider a typical patient with each of the conditions and based on their daily experience, to generate an estimate of the prevalence and duration of each symptom. During the expert consultation stage, including focus group discussions and semi-structured interviews, results from the literature review were presented. Experts were asked to provide responses and feedback based on their work experiences even when those experiences were contrary to the evidence presented to them. Either individually or in groups, all data and estimates were vetted, considering assumptions and limitations or gaps to ensure that all relevant aspects or scenarios are reasonably accounted for when possible. It is expected that these data will serve to provide content validity for estimation of the global burden of remediable suffering.¹⁴ See [Appendix Table 1](#) for a full list of the experts' consensus building practices undertaken by the Lancet Commission.

Finally, the Delphi method for consensus-building also was used to determine the duration (average number of days requiring palliative care) for which palliative care was needed for each of the conditions included in the database.¹⁵ Experts were purposively sampled and were considered to be "informed individuals"¹⁶ and "specialists"¹⁷ within the field of palliative care, in this case palliative care.¹⁸ Both rounds of the Delphi requested 18 palliative care experts living in LMICs to estimate the number of days of palliative care that would be required for a patient with each of the given conditions. The responses from the first round were pooled to identify a group average range and standard deviation for each condition. The second round of the Delphi presented respondents with the average range of days of palliative care with confidence intervals for each parameter. Experts were asked to respond again to the same questions based on knowledge of the group's prior responses. The response rate for round one was 83% and for round two was 27%. Results from each round are presented in [Table 2](#). See [Appendix Table 2](#) for the results from rounds 1 and 2 of the Delphi study. Due to limited resources, estimation for symptom-days is only available from the Lancet Commission (SHS 1.0) and was not updated for SHS 2.0.

Taking Children in Account in SHS 2.0

The initial SHS database from the Commission work did not differentiate the SHS burden experienced by adults and children. Hence for SHS 2.0 and in collaboration with and under the leadership of the International Children's Palliative Care Network (ICPCN) with the engagement of IAHPC and WHPCA, an additional expert panel was convened for SHS 2.0 comprised of 8 pediatric palliative care specialists from both high-income and low- and middle-income settings around

the world. Literature review and analysis,¹⁹ an online survey, two virtual meetings each lasting at least 90 minutes, and various internal discussions were conducted to differentiate the calculation of palliative care needs for children and adults in select conditions.

Time-Series Analysis

A major improvement for SHS 2.0 is the time-series analysis to incorporate the sensitivity of SHS to changes in disease trajectories, changes in pathogens, emergence of new diseases, and with the evolution of and advancements in medical technologies to address the burden of disease, each of which impacts the SHS burden. This gap was identified through the incorporation of time series mortality and prevalence data to analyze historical trends in the SHS burden. Data for 1990, 2000, 2010, and 2019 are presented in the updated calculations. Those years were selected to represent the earliest obtainable evidence, and data points every 10 years, and 2019 was selected as the most recent year since it was the most updated year of data at the time of the commencement of this analysis. The need to account for endogenous variables was particularly evident for people living with human immunodeficiency viruses (PLHIV), as well as patients living with tuberculosis, cancer, or cerebrovascular disease, and for children.

Switching From WHO's Global Health Estimates (GHE) to IHME's Global Burden of Diseases (GBD) Database

The Lancet Commission estimated the SHS burden in the most recent year of available data at the time (2015) and using WHO's global mortality database, Global Health Estimates (GHE). However, due to the lack of prevalence data in GHE, non-decedents were computed using fixed survivor-to-deaths ratios generated from global disease-specific reports. This assumed that all countries experience the global average survivor-to-deaths ratio for all conditions with non-decedents categories, not accounting for country-level variation in the epidemiological profile of survivors and limiting the applicability of country-specific analyses.

SHS 2.0 was improved in several dimensions by using the GBD database released by the Institute for Health Metrics and Evaluation (IHME). Firstly, the GBD includes country-specific data on mortality and prevalence. The prevalence of data strengthens the calculation of non-decedents with SHS. In addition, GBD data dates back to 1990, permitting the calculation of the burden of SHS over three decades. Further, the Lancet Commission report defined children as being 0–15 years of age as more disaggregated data was not available. For SHS 2.0, children are defined as 0–19-year-old to be consistent with other key publications on

Table 1
Twenty-One Conditions That Most Often Generate a Need for Palliative Care (Ranked by ICD-10 Codes) and Their Corresponding GBD Codes

ICD 10 Conditions That Most Often Generate a Need for PC	Short Names	GBD Sub-Conditions Used	GBD Codes
A96,98,99: Hemorrhagic fevers A15–19: TB	HF Tuberculosis	Other infectious disease TB-MDR/XDR TB (non-MDR)	408 946, 947 934
B20–24: HIV disease C00–97: Malignant neoplasms (except C91–95)	HIV Cancer	HIV/AIDS Malignant neoplasms (- Leukemia)	298 410 (-487)
C91–95: Leukemia D50–89, E00–89: Endocrine, metabolic, blood and immune disorders	Leukemia EMBID	Leukemia Diabetes mellitus Thalassaemias Sickle cell disorders	487 587 614 615
E40–46: Protein-energy malnutrition F00–04: Dementia G00–09: Inflammatory disease of the central neural system	Malnutrition Dementia Inflammatory disease of CNS	Protein-energy malnutrition Alzheimer's disease and other dementias Syphilis Measles Tetanus Meningitis Encephalitis Trypanosomiasis Rabies Parkinson's disease Epilepsy Multiple sclerosis Other neurological conditions	387 543 394 341 340 332 337 350 359 544 545 546 557
G20–26; G30–32; G35–37; G40–41; G80 –83 Extrapiramidal & mvt disorders; other degen dz of CNS; Demyelinating dz of CNS; Epilepsy; Cerebral palsy & other paralytic syndromes	Degen disease of CNS		
I60–69: Cerebrovascular diseases I05–09; I25; I42 & I50: Chronic rheumatic heart diseases; cardiomyopathy & heart failure	Cerebrovascular diseases NIHD (Non-ischemic heart diseases)	Stroke Rheumatic heart disease Hypertensive heart disease Cardiomyopathy, myocarditis and endocarditis Chagas disease Ischemic heart disease Other circulatory disease COPD Other respiratory dz except asthma	494 492 498 499, 503 346 493 507 509 520
I25: Chronic ischemic heart disease I70: Athrosclerosis J40–47; J60–70; J80–84; J95–99: Chronic lower respiratory dz; lung dz due to external agents; interstitial lung dz; other dz of resp system	IHD Athrosclerosis Lung diseases		
K70–77: Diseases of liver	Diseases of liver	Cirrhosis of liver Other digestive disease Schistosomiasis	521 541 351
M00–97: Musculoskeletal disorders N17–19: Renal failure P07; P10–15: Low birth weight & prematurity; Birth trauma Q00–99: Congenital malformations S00–99; T00–98; V01–Y98: Injury, poisoning, external causes	MSD Renal failure Low birth weight CM Injury	Musculoskeletal diseases Kidney diseases Preterm birth complications Birth asphyxia and birth trauma Congenital anomalies Injuries	626 589 381 382 641 687

children's palliative care need around the world using the GBD data break-down of age groups.¹⁹

Several other global databases have also been used for SHS 2.0 in order to compile better, country- and disease-specific mortality or prevalence data. Specifically, the UNAIDS database for ART coverage²⁰ and the International Agency for Research on Cancer (IARC)²¹ for data on cancer patients by years of diagnosis.

Selection of SHS-Associated Conditions

The first step in estimating the SHS burden was to identify the health conditions that most commonly

cause SHS from the ICD-10 classification list that require palliative care at the end-of-life due to life-threatening conditions or living with a life-limiting condition (SHS 1.0). The global SHS 2.0 database includes 21 conditions, and these are presented in Table 1 with their corresponding ICD-10 codes and GBD codes. All 21 groups of conditions include decedent categories, considering that at least a proportion of people dying from those conditions suffer from serious health-related suffering. In addition, non-decedent categories of SHS are included for some of the 21 conditions that may have been cured but from which SHS persists (drug-resistant tuberculosis, some hemorrhagic fevers such as Ebola, some malignancies, some inflammatory

Table 2
Core Assumptions for Estimating Decedents and Non-Decedents in Need of Palliative Care

Hemorrhagic Fever**Decedents**

- 100% of deaths from hemorrhagic fever, which is about 5% of other infectious diseases.)

Non-decedents

- Approximately the same number of patients who recover from the disease as those who die from it.^{22–26})

Tuberculosis (TB)**Decedents**

- 100% of patients who die from MDR-TB.^{27–29} MDR-TB deaths estimates were provided by the GBD database separately from the drug susceptible TB deaths, so we no longer need to estimate the deaths from MDR-TB using the proportion calculated from global reports as we did for SHS 1.0.

- 90% of drug-susceptible TB deaths. Regular TB deaths were calculated using total TB deaths minus MDR-TB deaths as described above.)

Non-decedents

- Given the natural history of TB as a condition of relatively short duration as compared to other SHS conditions, especially in the case of MDR-TB and XDR-TB, we estimated the number of MDR and XDR TB patients living with SHS in any given year to be the incidence number minus the deaths number. Subsequently, the non-decedents in need of palliative care for tuberculosis was estimated to be 100% of XDR-TB patients plus 50% of MDR-TB patients living with SHS: Total TB-nondec = 100% * XDR-TB (incidence-deaths) + 50% * MDR-TB (incidence-deaths))

HIV/AIDS**Decedents**

- 100% of people who die from HIV/AIDS.^{30–34})

Non-decedents not on treatment

- 50% of people living with HIV (PLHIV) (non-decedents in 2015) required some type of palliative care.^{35–38})

Non-decedents on treatment

- For PLHIV who are on ART, the percentage with SHS was estimated at approximately 15%, much lower than those without ART. GBD country-specific prevalence of HIV/AIDS was used to estimate PLHIV. Data on percentage of HIV patients on anti-retroviral therapy (ART) (ART coverage) was obtained from the World Bank Group based on UNAIDS estimates, and average levels of ART coverage for each income group were calculated using country-specific data available for each time point. Actual country income group classifications for each respective year were utilized to generate ART coverage averages by income group. We used the below assumption: Number of HIV patients living with SHS = (HIV prevalence * proportion of HIV patients on ART * 15%) + (HIV prevalence * [1 - proportion of HIV patients on ART] * 50%)
- Due to the timeline of the advent of antiretroviral (ARV) drugs and combination therapy, widespread introduction of ARVs after the surge of HIV prevalence, time delay in rollout of ARVs in low-income countries as compared to lower-middle, upper-middle, and high-income countries and data availability on ART coverage, ARV adjustment was only made for the years of 2000 (all income groups except low-income countries), 2010, and 2019. For 1990, based on unavailability of ART or ART coverage at 0%, the number of HIV patients with SHS equals total prevalence multiplied by 50%).

Malignant neoplasms (except leukemia)**Decedents**

- 90% of patients who die from malignant neoplasms (except leukemia).^{30,39–41})

Non-decedents

- According to International Agency for Research on Cancer (IARC), there were 32.6 million people older than 15 who were alive with a cancer diagnosis within the previous 5 years in 2012.⁴² Shi et al.,⁴³ report that 28% of people who survive one year with cancer have a “high-symptom burden.” We assumed that people with a high-symptom burden need palliative care. Zucca et al.,⁴⁴ report that few people who survive cancer for more than five years have symptoms that require palliative care unless they have a recurrence or another disease. Data on the percentage of the 32.6 million non-decedents who survive 1, 2, 3, 4, and 5 years, and on the need for palliative care at years 2, 3, 4, or 5 was unavailable. The International Agency for Research on Cancer (IARC) has data on survivorship from selected cancers in selected countries,⁴² but in the absence of global data, we estimated the number of non-decedents by year since their cancer diagnosis and the percentage of these non-decedents who need palliative by year since cancer diagnosis (Table 4). IHME prevalence data on malignant neoplasms includes all persons with a cancer diagnosis, regardless of their years, so we decided not to use their cancer prevalence data. The IARC has data on survivorship from selected cancers in selected countries within 5 years of diagnosis,⁴² but only for 1, 3, and 5 years of diagnosis. Thus, IARC data was used, and a linear distribution was assumed to impute for patients with 2 and 4 years of diagnosis, respectively. (Table 4. Multipliers for cancer survivors at 1, 2, 3, 4, and 5 years of diagnosis)
- Since cancer mortality data in GLOBOCAN is not available for the previous four years for each country, the following was assumed: Mort5years = Mort2018 * 5
- Mort2018 corresponds to cancer mortality in 2018 (GLOBOCAN database). Countries were grouped by income level, based on World Bank 2017 classifications. Five-year survival by income group was estimated, generating the following:
 - Low income = 0.30
 - Lower-middle income = 0.361
 - Upper-middle income = 0.459
 - High-income = 0.574
- Similarly, each income group was divided into quintiles according to its 5-year survival rate. Table 5 shows the assumptions for calculating the survival rate for each year that is not available from the GLOBOCAN database.
- Based on Table 5 below, it is assumed that survival in the low-income region in 1990 is similar to what survival in the first quintile of that region is today. In 2000, the same group of low-income countries had what the first two survival quintiles for low-income countries have today; that is, 0.24. Meanwhile, in 2010 the first three quintiles correspond to 0.26. For 2017, the current distribution reported by GLOBOCAN was used. (Table 5. Percentiles used to impute number cancer survivors at 1, 2, 3, 4, and 5 years of diagnosis in historical years))

Leukemia**Decedents**

- 90% of patients who die from leukemia; needs of people with leukemia are of shorter duration or lower intensity than those of people with solid tumors. An exception is some patients in HICs with chronic, difficult-to-control graft-versus-host disease. This globally unusual need was taken into consideration when estimating the duration of need among leukemia patients.)

(Continued)

Table 2
Continued

Hemorrhagic Fever

Non-decedents

- Non-decedent category for leukemia was added for SHS 2.0 and was calculated separately for children and adults. For children, we estimate that 85% of total survivors living in low-income and lower-middle income countries, 60% of total survivors living in upper-middle income countries, and 25% of total survivors living in high-income countries are living with SHS. Overall, that constitutes 65% of the global total survivors. The children's expert group placed particular emphasis on the burden of leukemia in low-income countries and the differentials across countries and this is reflected in the multipliers. This approach innovates on previous estimates and is an ongoing area of discussion for alignment with measuring SHS for other conditions. For adults, the calculation is the same as for other malignant neoplasms.)

Dementia

Decedents

- Approximately 80% of people who die from Alzheimer's disease or other dementias in the year they die.^{30,45–47})

Non-decedents

- Approximately 25% of these people had advanced or late dementia. Moens et al.³⁰ found that 40% of persons with advanced or late dementia had symptoms requiring palliative care (the need for psychological and social support for caregivers likely would yield a higher percentage of need for palliative care, but data on this need are lacking). We thus estimated that 10% (25% * 40%) of people living with dementia are experiencing SHS. The number of people living with dementia came from GBD's prevalence database.)

Inflammatory disease of central neural system

Decedents

- (70% of patients who die from syphilis) + (50% of patients who die from measles) + (100% of patients who die from tetanus) + (30% of patients who die from meningitis) + (30% of patients who die from encephalitis) + (100% of patients who die of trypanosomiasis) + (90% of patients who die from rabies).)

Non-decedents

- For every two patients who die from tetanus and require palliative care, there will be one patient who survives tetanus that requires palliative care.)

Extrapyramidal & movement disorders; other degenerative disease of CNS; demyelinating disease of CNS; Epilepsy; Cerebral palsy & other paralytic syndromes

Decedents

- (65% of patients who die from Parkinson's disease) + (50% of patients who die from epilepsy) + (100% of patients who die from multiple sclerosis) + (65% of patients who die from other neurological conditions).^{48–60})

Non-decedents

- **Parkinson's disease:** Advanced disease and the attendant distressing symptoms occur approximately nine years after symptoms first appear,⁶¹ and we estimate conservatively that 25% of patients survive long enough to have advanced disease and do not die in a given year. Based on the work of Moens et al.,³⁰ we estimate that 40% of these patients require palliative care. We thus estimated that 10% (25% * 40%) of people living with Parkinson's disease are experiencing SHS and thus need palliative care.
- **Multiple sclerosis (MS):** MS has a long prognosis and shortens life by only 0–6 years. Thus, we estimated that 5% of people with MS who do not die in a given year have end stage disease. Based on the work of Moens et al.,³⁰ we estimated that 34% of these patients—about 2% of total survivors require palliative care. The number of people living with multiple sclerosis was calculated by applying the ratio of global survivors: deaths.)

Cerebrovascular diseases

Decedents

- 65% of people die from stroke.^{62–70})

Non-decedents

- The mortality number for the next year was subtracted from the proportion of deaths expected to be within 1 year of diagnosis to approximate the number of cerebrovascular patients living with SHS. Mortality from three sub-categories of stroke, i.e., ischemic stroke, hemorrhagic stroke, and subarachnoid hemorrhage were summed, each subtracted from the proportion of deaths expected to be within the first year of diagnosis. Since actual data for the number of deaths for each year of patients diagnosed within the last year was not available, cohort survival data from literature review were used. In other words, we used the possibility that newly diagnosed patients would die within a year as the percentage among all deaths that would be from the newly diagnosed.
- As literature that covered all income groups across all historical years of interest was not available, missing years and income groups were imputed with the closest income group and/all year. The new method limited the estimation of SHS to only patients within the last 1–2 years of their life, since the majority of patients living with cerebrovascular disease can spend years living without SHS. While this method gives us a more realistic estimation of the suffering endured by cerebrovascular disease patients, there is scarce literature to inform an estimate of the percentage of total cerebrovascular disease patients who are within the last 1–2 years of their lives. Thus, a series of assumptions plus a limited compilation of data from our literature review were applied to construct the matrix of percentages of cerebrovascular disease patients living within the last 1–2 years of their lives by income group, for 1990, 2000, 2010 and 2017. These assumptions are limitations of this study given the varying strength of the underlying data. See Tables 4–6 for details. (Table 6: Estimation model used in calculation of cerebrovascular disease patients living with SHS—part 1); (Table 7: Estimation model used in calculation of cerebrovascular disease patients living with SHS—part 2); (Table 8: List of literature review used in calculating the 5-year survival by income group and by year).)

Chronic rheumatic heart disease; cardiomyopathy & heart failure

Decedents

- (65% of patients who die from rheumatic heart disease) + (70% of patients who die from hypertensive heart disease) + (40% of patients who die from cardiomyopathy, myocarditis and endocarditis) + (30% of patients who die from Chagas disease).^{30,71–76})

Chronic ischemic heart disease

Decedents

- 5% of patients who die from ischemic heart disease.⁷⁷)

Chronic lower respiratory disease; lung disease due to external agents; interstitial lung disease; other disease of respiratory system

Decedents

- (80% of patients who die from COPD) + (50% of patients who die from other respiratory diseases except asthma).^{30,78–80})

(Continued)

Table 2
Continued

Hemorrhagic Fever

Diseases of liver

Decedents

- (95% of patients who die from cirrhosis of liver) + (28% of patients who die from other digestive diseases).^{81–85})

Non-decedents

- There is little literature describing the suffering of the general liver patients population. We found a recent publication of patients with end-stage liver disease but the inclusion criteria included decompensated liver diseases,⁸⁶ while the vast majority of patients living with liver disease are mild or well compensated. In another study, D'Amico et al observed that patients with decompensated cirrhosis (or end stage liver disease ESLD), this is those who have complications and who cannot access to a liver transplant, have a median survival of 2 years.⁸⁷ We thus estimated that for adults, if patients with end-stage disease may have SHS for two years before deaths, so the non-decedents number equal that of the decedents. For children, the early onset of liver diseases can cause more damage to the growing organ and thus generate more suffering. So we estimated that the number of pediatric patients living with liver diseases that cause serious health-related suffering is about 3 time that of the deaths every year.)

Renal failure

Decedents

- 45% of patients who die from kidney disease.^{30,88–90})

Non-decedents

- We couldn't find any literature on the suffering of a "typical" or "average" patients living with chronic kidney diseases. We thus took a similar approach as other conditions: we assumed an average of 3 years from onset of SHS to deaths for a "typical" or "average" patient. Thus, the number of non-decedents were calculated as twice the number of decedents with SHS. For children, the early onset of kidney diseases can cause more damage to the growing organ and thus generate more suffering. So we estimated that the number of pediatric patients living with chronic kidney diseases that cause serious health-related suffering is about 3 time that of the deaths every year.)

Low birth weight & prematurity; birth trauma

Decedents

- (75% of patients who die from preterm birth complications) + (40% of patients who die from birth asphyxia and birth trauma).^{91–95})

Non-decedents

- The non-decedent category for low birth weight and birth trauma was only added for children. We estimate that about 1% of children under 5 low birth weight survivors, 20% of children under 5 birth trauma survivors, and 10% of 5–19-year-old birth trauma survivors experience SHS.)

Congenital malformations/anomalies

Decedents

- 60% of patients die from congenital anomalies.^{91,95–97})

Non-decedents

- As data was not found on the prevalence or longevity of patients with severe congenital malformations, an annual estimate of at least the same number of patients who die of congenital malformations was used for those who do not die, which equals 60% of total deaths.)

Injury, poisoning, external causes

Decedents

- 30% of patients die from injuries (intentional and unintentional).^{98,99} Many patients die so quickly that there is no time to institute palliative care or pain control.)

Non-decedents

- Each year, at least twice the number of patients who die of injuries do not die yet need palliative care or pain control.)

Atherosclerosis

Decedents

- 35% of patients who die from other circulatory diseases require palliative care.^{100,101})

Musculoskeletal disorders

Decedents

- 70% of patients who die from musculoskeletal diseases require palliative care.¹⁰²)

Non-decedents

- Each year, at least twice the number of patients who die of musculoskeletal disorders do not die yet need palliative care. This category did not include patients with mild pain or with symptoms that do not significantly disrupt social or occupational functioning.)

Malnutrition

Decedents

- 100% of deaths from protein-energy malnutrition.^{103,104})

Endocrine, metabolic, blood, and immune disorders

- **Diabetes mellitus:** Although diabetes mellitus in adults is not included due to the high overlap with conditions of other key organs that were already included in the estimate, the expert panel on children's palliative care needs decided to include this condition due to the fact that most of the deaths from diabetes in children are from type-1 diabetes, a congenital condition that can cause SHS without any complication of other key organs. 67% of deaths from diabetes and 10% of survivors with diabetes in children require palliative care. Diabetes mellitus in adults was not included.

- **Thalassemia:** 100% of deaths from thalassemia in children require palliative care. For non-decedents, the expert panel acknowledged that the proportion of patients in need is highly related to the access to treatment, quality of treatment, ability to do transplant and/or regular transfusion. Also, major thalassemia presents different suffering patterns from minor thalassemia. Finally, the panel decided to differentiate the suffering pattern by age groups: for children under 5, 70% and for children 5–19, 10%.

- **Sickle cell disorders:** For children, 100% of deaths and 70% of survivors experience SHS. For adults, previous scholars have found that between 30% and 50% patients living with sickle cell disorders experience pain in most of the days surveyed.¹⁰⁵ Considering other physical and psychological sufferings, 50% of all adult patients living with sickle cell disorders were estimated to experience SHS.)

diseases of the central nervous system); from which patients recover but that caused SHS (serious injuries, renal failures, preterm birth complications, and birth trauma); with survival with chronic severe disability and with SHS symptoms (cerebrovascular disease, leukemia, congenital malformations, injury, birth trauma, human immunodeficiency viruses/acquired immunodeficiency syndrome (HIV/AIDS), some musculoskeletal disorders, liver diseases); and, have a slowly progressive course (malignancies, dementia, Parkinson's disease, multiple sclerosis, type-1 diabetes, thalassemia, and sickle cell disorders).

In the original Lancet Commission report, the non-decedents category for 11 conditions were considered. In SHS 2.0, non-decedents categories for four more conditions were added and differentiating factors were used that are important to estimating suffering patterns. [Table 2](#) provides a detailed description of how decedents and non-decedents in need of palliative care are estimated for each condition as well as key literature and extra databases used to calculate the decedents and non-decedents with SHS. Conditions are ranked using the alphabetical order of their ICD-10 codes.

As the result of the exercise to estimate palliative care needs for children, there was consensus that the following conditions be added due to their substantive contribution to SHS among children for both decedents and non-decedents: (1) diabetes mellitus, (2) sickle cell disorders, (3) thalassemia, and the following conditions for non-decedents categories of: (1) leukemia, (2) liver diseases, (3) chronic kidney diseases, (4) neonatal preterm birth and birth trauma. Hence, while SHS 1.0 included 20 conditions, SHS 2.0 includes 21 groups of conditions with the addition of endocrine, metabolic, blood, and immune disorders which include diabetes mellitus, sickle cell disorders, and thalassemia for decedents and non-decedents.

The review of the case of diabetes in children prompted an overall review of the included conditions. For diabetes mellitus in adults, deaths from sequelae are attributed to the proximal cause and hence considered captured in other conditions included in the SHS database and specifically, cerebrovascular disease, cardiomyopathy and/or heart failure, chronic ischemic heart disease, renal failure, and atherosclerosis. Because deaths from diabetic ketoacidosis or hyperglycemic hyperosmotic non-ketotic syndrome typically result in death so rapidly that there is no time to institute quality palliative care services, these conditions are not included. In the pediatric population, diabetes mellitus is added due to the concerns over pain and suffering caused by type-1 diabetes even in the absence of organ complications.

Efforts to alleviate SHS experienced by a newborn, the assurance of the newborn's comfort and that of

distraught parents should accompany aggressive life-sustaining treatments if they are to reasonably provide more benefit than burden. Palliative care must also be available as an alternative to potentially harmful life-sustaining interventions when a newborn is moribund. Hence, in both SHS 1.0 and SHS 2.0, extremely premature and very low birth weight newborns whose survival is unlikely, and babies born with severe hypoxic-ischemic encephalopathy or congenital anomalies not compatible with life are included in the list of SHS conditions.

In both SHS 1.0 and SHS 2.0, leukemia is considered a separate condition than the rest of the malignancies due to its distinctive patients' demographics and suffering patterns.

Selection of Types or Symptoms of Suffering

Patients' suffering varies by type, severity, and duration and a clinically, economically, and strategically useful measure of SHS requires estimation of not only the number of patients who suffer, but also the type of suffering and duration of suffering. Therefore, overarching categories of suffering were identified in SHS 1.0 and then within those categories, the types or symptoms were associated with each condition.

Palliative care literature typically divides suffering into four categories—physical, psychological, social, and spiritual to encompass the full spectrum of human suffering. While the Lancet Commission accepted and adopted all four categories as SHS, the focus was on estimating the prevalence and duration of only physical and psychological categories of suffering and corresponding symptoms. The empirical evidence from published literature or expertise to produce reasonable estimates of the prevalence and duration of each type of social and spiritual suffering were not sufficient.

To estimate SHS as precisely as possible, the Commission's expert group identified the most common symptoms of physical and psychological suffering, and then estimated the prevalence and duration of each type of suffering associated with each condition or its treatment. Through literature review and evidence-informed expert consensus building exercises, physical and psychological types of suffering (symptoms), their frequencies and durations for each condition were identified as part of Commission work. See [Fig. 1](#) for details. Specifically, the types of physical suffering include moderate or severe pain, mild pain, weakness, fatigue, shortness of breath, nausea and vomiting, constipation, diarrhea, dry mouth, itching, wounds, and bleeding. The types of psychological suffering identified include anxiety and worry, depressed mood, delirium or confusion, and dementia with disorientation, agitation, or memory loss. [Table 3](#) summarizes the duration of each type of physical and

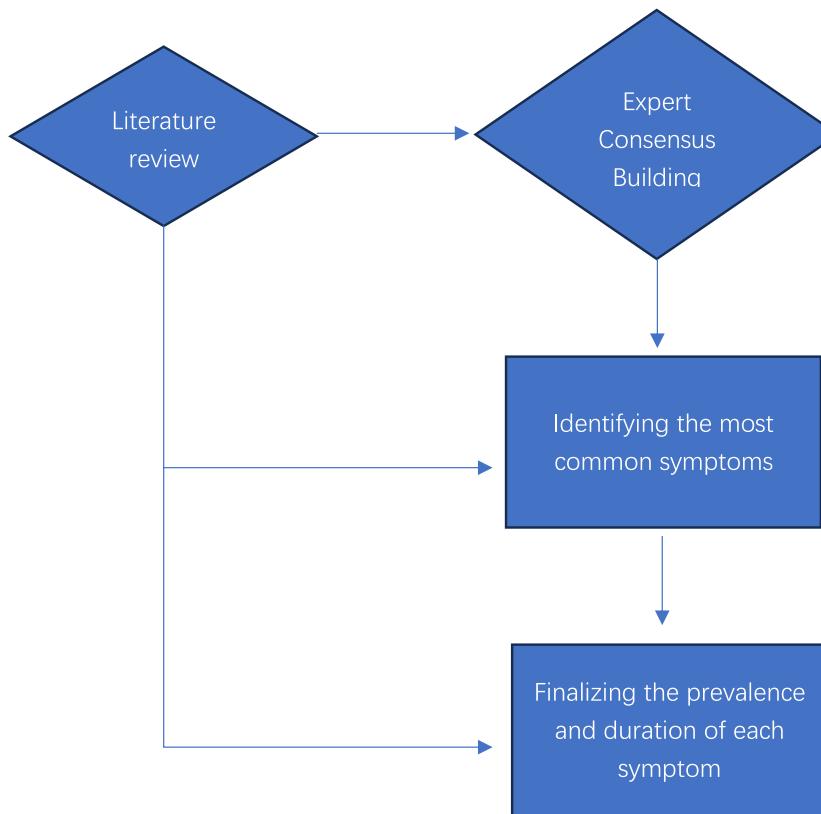


Fig. 1. Flowchart of the process to finalize the symptom burden in patients with SHS.

psychological suffering and [Appendix Table 3](#) lists the results from the literature review on prevalence of the most commonly reported type of physical suffering among patients with serious, complex, or life-limiting health problem.

Most published data on symptom prevalence comes from high or upper-middle income countries where both disease-modifying and palliative treatments are most accessible. Furthermore, most of the literature either focused on physical and psychological symptoms among a single group of patients (such as cancer), or a single symptom (such as pain) in patients with various conditions. Data, mostly from high income countries, indicates that well over 50% of people who die of or live with malignant neoplasms and AIDS experience pain, and that pain is also common among those who live with heart disease, chronic obstructive pulmonary disease (COPD), renal failure, neurologic disease and dementia.^{106,107} Dyspnea (shortness of breath) is especially common among people who live with COPD and heart failure and only slightly less common among those who live with malignant neoplasms and AIDS.³⁰ Depressed mood and anxiety are widespread among patients with a variety of advanced life-threatening illnesses including metastatic cancer and trauma.^{108,109} There are fewer studies among patients with most other serious, complex, or life-limiting health problems.

Of note, dementia appears both in the list of conditions (Alzheimer's disease and other primary dementias) and as a symptom of other conditions (HIV/AIDS, cerebrovascular disease, and other neurologic conditions). The term dementia is therefore used in two ways, and the distinction in use of each instance is required.

Identifying Multipliers for Each Condition

The next step in measuring SHS was to determine the proportion of people with each condition who experience SHS. These are called multipliers. Multipliers are mathematical factors that estimate number of people dying or living with SHS based on different data sources. They reflect different strategies applied in the estimation and are provided separately for decedents and non-decedents. For decedents, the multipliers are always a percentage between 0 and 100%, to be applied to total deaths. For non-decedents, the multipliers take one of the three different forms: (1) a percentage between 0 and 100% to be applied to total number of patients living with the disease; (2) a ratio that can go over 100% to be applied to total deaths; or (3) a ratio that can go over 100% to be applied to total decedents in need of palliative care. See Table 4 with more details.

Table 3
The Final Estimates of Prevalence and Duration of Each Type of Physical and Psychological Suffering by Condition

Disease Conditions	Pain Chronic Mild		Pain Chronic Moderate Severe		Dyspnea		Fatigue		Weakness		Nausea and/or vomiting		Diarrhea		Constipation		Dry Mouth		Pruritus		Bleeding		Wounds		Anxiety / worry		Depressed mood		Confusion / delirium		Dementia	
	%	Days	%	Days	%	Days	%	Days	%	Days	%	Days	%	Days	%	Days	%	Days	%	Days	%	Days	%	Days	%	Days	%	Days	%	Days	%	
1 Hemorrhagic fevers	60%	7	30%	5	46%	4	84%	7	84%	7	79%	7	77%	7	0%	0	20%	7	0%	0	35%	5	0%	0	80%	9	0%	0	9%	4	0%	0
2 M/XDR TB - decedents	75%	270	40%	270	70%	180	100%	360	100%	360	50%	270	20%	270	0%	0	0%	0	10%	180	15%	90	10%	180	42%	180	52%	180	12%	180	0%	0
2b M/XDR TB - nondecedents	60%	45	25%	30	40%	45	80%	90	80%	90	50%	270	20%	270	0%	0	0%	0	10%	180	5%	30	5%	45	42%	180	52%	180	12%	180	0%	0
2c Non-M/XDR TB - decedents	20%	14	10%	14	85%	21	100%	21	100%	21	10%	14	10%	14	0%	0	0%	0	5%	14	15%	21	10%	21	42%	180	43%	180	0%	0	0%	0
3 HIV	90%	160	45%	90	70%	30	100%	180	100%	180	30%	150	60%	180	0%	0	50%	30	30%	90	0%	0	35%	60	68%	180	49%	150	47%	14	25%	120
3b HIV - nondecedents	50%	160	15%	90	10%	30	25%	90	25%	90	10%	30	15%	45	0%	0	10%	30	20%	60	0%	0	5%	30	50%	150	30%	150	2%	7	2%	30
4 Malignant neoplasms (except Leukemia)	90%	150	80%	90	35%	90	90%	180	90%	180	20%	120	5%	90	35%	90	50%	30	5%	90	10%	90	20%	90	38%	150	47%	150	35%	14	0%	0
4b Malignant neoplasms (except Leukemia) - non-decedents	35%	150	20%	90	15%	90	50%	120	50%	120	15%	21	5%	21	20%	90	5%	60	2%	30	2%	30	5%	90	25%	150	18%	150	1%	5	0%	0
5 Leukemia	90%	90	35%	60	50%	60	100%	120	100%	120	20%	60	5%	60	25%	60	50%	30	15%	60	25%	60	5%	60	38%	90	47%	90	35%	14	0%	0
6 Dementia	30%	120	15%	60	40%	30	65%	150	90%	90	0%	0	0%	0	15%	60	30%	60	0%	0	0%	0	15%	60	40%	150	46%	150	100%	150	0%	0
6b Dementia - nondecedents	15%	60	5%	30	10%	20	35%	90	45%	45	0%	0	0%	0	10%	60	5%	30	0%	0	0%	0	2%	30	30%	120	25%	90	100%	120	0%	0
7 Inflammatory dz of CNS	35%	15	10%	15	15%	15	20%	30	40%	120	20%	15	0%	0	0%	0	0%	0	0%	0	0%	0	10%	60	0%	0	0%	0	33%	14	0%	0
8 Degen dz of CNS;	50%	120	25%	120	50%	30	80%	120	100%	150	5%	30	0%	0	5%	90	0%	0	0%	0	0%	0	25%	120	38%	150	34%	150	10%	7	19%	150
8b Parkinsons - non-decedents	33%	90	10%	60	10%	30	50%	90	75%	120	0%	0	0%	0	15%	90	0%	0	0%	0	0%	0	0%	0	25%	120	20%	120	24%	7	10%	90
8c Multiple sclerosis - nondecedents	50%	120	20%	90	10%	30	40%	90	65%	120	5%	20	0%	0	15%	60	0%	0	0%	0	0%	0	20%	120	7%	60	5%	7	0%	0		
9 Cerebrovascular diseases	50%	60	20%	30	35%	15	80%	90	90%	90	0%	0	0%	0	20%	90	50%	30	0%	0	0%	0	35%	60	15%	21	18%	21	29%	21	18%	150
9b Cerebrovascular diseases - nondecedents	33%	90	5%	90	5%	30	50%	120	80%	120	0%	0	0%	0	15%	120	2%	60	0%	0	0%	0	20%	120	5%	60	10%	60	15%	21	10%	120
10 Non-Ischemic Heart Diseases	65%	60	20%	30	80%	90	100%	90	90%	60	10%	60	0%	0	15%	60	20%	15	0%	0	0%	0	0%	0	25%	120	32%	150	31%	14	0%	0
11 Chronic ischemic heart disease	90%	120	75%	30	75%	30	80%	90	50%	30	20%	30	0%	0	0%	0	0%	0	0%	0	0%	0	0%	0	53%	120	60%	150	0%	0	0%	0
12 Lung Diseases	25%	120	10%	30	100%	120	95%	90	50%	30	0%	0	0%	0	0%	0	0%	0	0%	0	0%	0	0%	0	38%	120	47%	120	23%	14	0%	0
13 Diseases of liver	65%	90	30%	30	50%	60	80%	60	70%	30	35%	30	0%	0	0%	0	50%	15	20%	30	15%	90	0%	0	26%	30	25%	60	70%	21	0%	0
14 Renal failure	40%	30	5%	15	25%	15	90%	90	50%	30	20%	30	0%	0	15%	30	30%	15	10%	90	5%	30	0%	0	29%	90	31%	90	52%	14	0%	0
15 Low birth weight & prematurity; Birth trauma	50%	15	25%	15	50%	15	0%	0	0%	0	0%	0	0%	0	0%	0	0%	0	0%	0	0%	0	0%	0	0%	0	0%	0	0%	0	0%	0
16 Congenital malformations	50%	30	25%	30	20%	30	0%	0	0%	0	0%	0	0%	0	0%	0	0%	0	0%	0	0%	0	0%	0	0%	0	0%	0	0%	0	0%	0
16b Congenital malformations - nondecedents	25%	60	5%	60	5%	60	0%	0	0%	0	0%	0	0%	0	0%	0	0%	0	0%	0	0%	0	0%	0	0%	0	0%	0	0%	0	0%	0
17 Injury	80%	15	65%	15	10%	15	0%	0	0%	0	0%	0	0%	0	0%	0	0%	0	0%	0	0%	0	0%	0	39%	14	27%	14	0%	0	0%	0
18 Athrosclerosis	67%	120	50%	60	10%	30	20%	30	20%	30	0%	0	0%	0	0%	0	0%	0	0%	0	0%	0	70%	2	30%	60	35%	60	0%	0	0%	0
19 Musculoskeletal disorders	70%	360	30%	360	5%	90	30%	30	30%	60	0%	0	0%	0	20%	210	20%	10	0%	0	0%	0	15%	60	13%	180	24%	180	0%	0	0%	0
20 Malnutrition	20%	5	0%	0	50%	3	0%	0	0%	0	0%	0	33%	5	0%	0	0%	0	0%	0	0%	0	20%	10	0%	0	0%	0	0%	0	0%	0

Table 4
Multipliers of Cancer Survivors at 1, 2, 3, 4, and 5 Years of Diagnosis

Years of Diagnosis	Estimated Percentage of Non-Decedents in Need of Palliative Care
1	28%
2	20%
3	15%
4	10%
5	5%

To identify the proportion of people with each condition who experience SHS for the different conditions and sub-conditions and therefore identify appropriate multipliers to use for each, an extensive literature review was conducted for both decedents and non-decedents. Empirical evidence of symptom burden for some conditions was identified, but most studies were conducted in high-income settings. Evidence identified from the literature could not directly be used as multipliers since much of it was focused on patients in a certain stage of care whilst the SHS calculation requires multipliers for both people who die within that year—decedents and another for people who live with a condition—non-decedents. As a result, empirical evidence on percentage of patients with each condition experiencing SHS from the literature review were summarized and presented as the basis of discussion in various expert consensus building exercises. When estimating the SHS burden of non-decedents, experts were asked to consider the SHS burden of an “average” patient for each condition among all patients living with that condition who are not in their last year of life.

Because SHS 2.0 incorporates analysis across a number of years, it was possible to implement improvements to the multipliers for HIV and tuberculosis (TB). SHS stemming from HIV among non-decedents

was differentiated between individuals undergoing anti-retroviral treatment (ART) from those who are not, reflecting how the advent of ART and increased access to such treatment revolutionized care for PLWHIV and in turn, SHS associated with HIV. Furthermore, extensively drug-resistant TB (XDR-TB) is differentiated from multidrug-resistant TB (MDR-TB), because antimicrobial resistance and the rise of XDR TB pose major challenges to treatment of tuberculosis which is different from MDR-TB.

For cancer, SHS 2.0 also incorporates data across additional years for the estimation of multipliers. In SHS 2.0, unlike for the Commission report, five-year survival data were used to estimate non-decedent SHS for malignant neoplasms and leukemia. The GBD data reports only overall survival and does not further disaggregate by years since diagnosis. Hence, the GBD data were adjusted based on the prevalence and mortality data extracted from the Global Cancer Observatory (GLOBOCAN) 2018 (see Panel 1 and **Table 4**) that report cancer survivorship for 1, 3, and 5 years from diagnosis.

A country-specific linearly interpolated trend was applied to estimate prevalence for year 2 and 4 post diagnosis. The approximation of survival was estimated as the ratio between the total deaths and the prevalence in the same period. Last, to estimate non-decedent burden for 1990, 2000, and 2010 given that information on 5-year prevalence and survival is not available by year since diagnosis, the GLOBOCAN 2018 data are adjusted using country-income specific quintile distribution data on percentages of all cancer survivors being with each year of diagnosis (see **Table 5** for detail).

Cerebrovascular diseases constitute a major component of overall SHS, yet its non-decedent category was a limitation in SHS 1.0. For SHS 2.0, non-decedent

Table 5
Percentiles Used to Impute Number Cancer Survivors at 1, 2, 3, 4, and 5 Years of Diagnosis in Historical Years

	Years				Note
	1990	2000	2010	2017	
Low income	0.21	0.24	0.26	0.3	Quintile 1 Quintile 1–2 Quintile 1–3 Actual
Lower-middle income	0.25	0.28	0.3	0.361	Quintile 1 Quintile 1–2 Quintile 1–3 Actual
Upper-middle income	0.37	0.4	0.42	0.459	Quintile 1 Quintile 1–2 Quintile 1–3 Actual
High income	0.47	0.501	0.521	0.574	Quintile 1 Quintile 1–2 Quintile 1–3 Actual

Table 6
Estimation Model Used in Calculation of Cerebrovascular Disease Patients Living With SHS—Part 1

	1990	2000	2010	2017
Low income	Lower-middle 1991–2000	Lower-middle 1991–2000	Lower-middle 1991–2000	Lower-middle 1991–2000
Lower-middle	Lower-middle 1991–2000	Lower-middle 1991–2000	Lower-middle 1991–2000	Lower-middle 1991–2000
Upper-middle	Upper-middle 2001–2010	Upper-middle 2001–2010	Upper-middle 2001–2010	Upper-middle 2001–2010
High income	High income <1990 (worst-case mortality scenario in high-income countries from the literature)	High income 1991–2000	High income 2001–2010	High income 2001–2010

SHS was calculated for patients living in the year prior to their last year of life, assuming that most patients who live for extended periods with this condition do not experience SHS (as the condition is largely asymptomatic until it becomes serious enough to result in death). Still, data are scarce on the proportion of cerebrovascular disease patients in the final years of life and hence with SHS. An estimate of the proportion of patients who are diagnosed and die in the same year was developed based on a literature search focusing on differences by country income level and this was applied to two years of cerebrovascular disease mortality (see Tables 6, 7, and Appendix Table 4). Because data were not available on the number of deaths per year of patients diagnosed in the last year, a literature search was carried out on the survival of these patients in countries by income level. In other words, the calculation factored in the percentage of newly diagnosed patients that would die within one year as the percentage among all deaths that would occur due to newly diagnosed patients. As literature covering all income groups was not available in all years of interest, i.e., 1990, 2000, 2010 and 2019, missing years and income groups were imputed to the nearest income group and/or to all the year (Tables 8 and 9). The new method limited the estimation of SHS only to patients within the last 1–2 years of their life, since most patients living with cerebrovascular disease can spend years living without SHS. While this method gives us a

more realistic estimate of the suffering endured by cerebrovascular disease patients, there is little literature to report an estimate of the percentage of total cerebrovascular disease patients who are in the last 1–2 years of their life (Appendix Table 4). Therefore, we applied a series of assumptions plus a limited compilation of data from our literature review to construct the matrix of percentages of cerebrovascular disease patients living within the last 1–2 years of their life by income group, to 1990, 2000, 2010, and 2019. These assumptions are limitations of this study, given the varying strength of the underlying data.

Table 7 presents the multipliers used to calculate SHS for all 21 conditions, separating decedents and non-decedents.

Data Limitations and Future Iterations

The measurement of the global burden of SHS presented in the Lancet Commission report set a precedent and the update to SHS 2.0 is an important move forward in measuring the number of people in need of palliative care. However, there are important limitations and there remains work to refine the estimation strategy and hence the estimates.

Data Limitations

First, although a literature review was conducted by condition and symptoms, due to a dearth of reliable empirical data on the types, prevalence, and duration of suffering caused by each SHS associated health condition, both SHS 1.0 and 2.0 rely heavily on expert opinion. Moreover, research on palliative care has so far concentrated on Europe and the United States accounting for over 90% of all publications on palliative care but only 15% of the global population. The fact that 85% of the global population produced only 6.5% research publications points to the glaring lack of information on the elements of suffering for the majority of people in the world.¹¹⁰

Further, the expert groups are relatively small, reflecting limitations in available funding to develop the field of SHS. This makes it especially difficult to develop either disease, region, or country income-specific estimates. The reliance on identifying an “average”

Table 7
Estimation Model Used in Calculation of Cerebrovascular Disease Patients Living With SHS—Part 2

		1990	2000	2010	2017
Low income	Ischemic	41%	41%	41%	41%
	Hemorrhagic	62%	62%	62%	62%
	Subcranial	62%	62%	62%	62%
Lower-middle	Ischemic	41%	41%	41%	41%
	Hemorrhagic	62%	62%	62%	62%
	Subcranial	62%	62%	62%	62%
Upper-middle	Ischemic	41%	41%	28%	28%
	Hemorrhagic	62%	62%	49%	49%
	Subcranial	62%	62%	48%	48%
High income	Ischemic	31%	31%	11%	11%
	Hemorrhagic	62%	59%	49%	49%
	Subcranial	58%	50%	48%	48%

Table 8
Multipliers Used to Calculate SHS Burden for 21 Conditions

Conditions That Most Often Generate a Need for PC	GBD Sub-Conditions Used	Multiplier	Decedents			Non-Decedents		
			Non-Decedents Needing PC Relative to Decedents Needling PC_Updated	Non-Decedents Needing PC Relative to Total Non- Decedents	Non-Decedents Needing PC Relative to Total Mortality			
1 Hemorrhagic fevers	Other infectious disease	5%	100%	n.a	n.a			
2 TB/deaths from M/ XDR TB	TB-MDR	100%	n.a	50%–100%	n.a			
2b TB/deaths from TB that was NOT MDR	TB (non-MDR)	90%	n.a	n.a	n.a			
3 HIV	HIV/AIDs	100%	n.a	15%–50% ^a	n.a			
4 Malignant neoplasms (except leukemia)	Malignant neoplasms (-Leukemia)	90%	n.a	5%–28% ^b	n.a			
5 Leukemia	Leukemia	90%	n.a	65% for 0–19, and 5%–28% for 20+	n.a			
6 Dementia	Alzheimer's disease and other dementias	80%	n.a	10%	n.a			
7 Inflammatory dz of CNS	Syphilis	70%	n.a	n.a	n.a			
	Measles	50%	n.a	n.a	n.a			
	Tetanus	100%	50%	n.a	n.a			
	Meningitis	30%	n.a	n.a	n.a			
	Encephalitis	30%	n.a	n.a	n.a			
	Trypanosomiasis	100%	n.a	n.a	n.a			
	Rabies	90%	n.a	n.a	n.a			
8 Degen dz of CNS	Parkinson's disease	65%	n.a	10%	n.a			
	Epilepsy	50%	n.a	n.a	n.a			
	Multiple sclerosis	100%	n.a	2%	n.a			
	Other neurological conditions	65%	n.a	n.a	n.a			
9 CVD	Stroke	65%	n.a	lit review ^c	n.a			
10 NIHD	Rheumatic heart disease	65%	n.a	n.a	n.a			
	Hypertensive heart disease	70%	n.a	n.a	n.a			
	Cardiomyopathy, myocarditis, and endocarditis	40%	n.a	n.a	n.a			
11 IHD	Chagas disease	30%	n.a	n.a	n.a			
	Ischemic heart disease	5%	n.a	n.a	n.a			
12 Lung dz	COPD	80%	n.a	n.a	n.a			
	Other respiratory dz except asthma	50%	n.a	n.a	n.a			
13 Diseases of liver	Cirrhosis of liver	95%	100% for 20+	n.a	300% for 0–19			
	Other digestive disease	30%	100% for 20+	n.a	n.a			
14 Renal failure	Schistosomiasis	70%	100% for 20+	n.a	n.a			
15 Low birth weight	Kidney diseases	45%	200% for 20+	n.a	300% for 0–19			
	Preterm birth complications	75%	n.a	1% for under 5	n.a			
	Birth asphyxia and birth trauma	40%	n.a	20% for under 5 and 10% for 5–19	n.a			
16 Congenital malformations	Congenital anomalies	60%	100%	n.a	n.a			
17 Injury	Injuries	30%	200%	n.a	n.a			
18 Athrosclerosis	Other circulatory disease	35%	n.a	n.a	n.a			
19 MSD	Musculoskeletal diseases	70%	200%	n.a	n.a			
20 Malnutrition	Protein-energy malnutrition	100%	n.a	n.a	n.a			
21 EMBID	Diabetes mellitus	67% for 0–19	n.a	10% for 0–19	n.a			
	Thalassaemias	100% for 0–19	n.a		n.a			

(Continued)

Table 8
Continued

Conditions That Most Often Generate a Need for PC	GBD Sub-Conditions Used	Multiplier	Decedents			Non-Decedents		
			Non-Decedents Needing PC Relative to Decedents Needing PC_Updated	Non-Decedents Needing PC Relative to Total Non- Decedents	Non-Decedents Needing PC Relative to Total Mortality			
Sickle cell disorders		100%	n.a	70% for under 5 and 10% for 5–19	70% for 0–19 and 50% for 20+	n.a		

n.a: not all condition groups have non-decedent categories, and for those who do, only one of the three approaches was taken to calculate the non-decedents. We have noted "n.a" for "not applicable" in places where multipliers are not applicable.

^aHIV non-decedents = HIV prevalence on ART * 15% + HIV prevalence not on ART * 50%.

^bbased on the year of cancer diagnosis.

^csee tables 6 and 7 for details.

Table 9
Indicator-Specific Descriptions, Assumptions, and Limitations

Indicator 1: Total symptom-days by condition

- **Description:** The sum of the symptom-days from each symptom by condition.
- **Assumptions and limitations:** No weighting of tolerability of symptoms. Assumption that coinciding symptoms make the suffering worse and thus that the symptom-days from each coinciding symptom should be added together. This assumption generates an overestimation of the total number of days of a patient's suffering.

Indicator 2: AT LEAST symptom-days by condition

- **Description:** The symptom-days of the one symptom of longest duration. This would be the LEAST or minimal number of symptom-days experienced by the patient.
- **Assumption and limitation:** Assumes that any other symptoms began and ended during period of the symptom of longest duration. In most cases, this will be an underestimate of the total number of days of a patient's suffering.

Indicator 3: AT LEAST non-pain symptom-days by condition

- **Description:** The symptom-days of the one non-pain symptom of longest duration. This would be the LEAST or minimal number of non-pain symptom-days experienced by the patient.
- **Assumption and limitation:** Assumes that any other non-pain symptoms began and ended during period of the non-pain symptom of longest duration. In many cases, this will be an underestimate of the total number of days of a patient's suffering from non-pain symptoms.

Indicator 4: Total pain-days by condition

- **Description:** The sum of mild pain-days and moderate to severe pain-days.
- **Assumption and limitation:** The mild pain days do not overlap the moderate to severe pain-days. Thus, this indicator shows total days in pain. However, it does not include any other symptoms.

Indicator 5: Pain plus At LEAST non-pain symptom-days by condition

- **Description:** This indicator was generated by adding the total pain-days and the AT LEAST non-pain symptom-days (indicator 3).
- **Assumption and limitation:** This is one possible indicator of the burden of suffering for a patient.

Indicator 6: Total days in need of palliative care by condition

- **Description:** An estimation of days requiring palliative care by condition by palliative care experts with experience treating patients in LMICs using a Delphi process.
- **Assumption and limitation:** Based only on the opinion of clinical palliative care experts from LMICs in each region.

patient limits the possibility of exploring regional, cultural or other differences, as well as the effect of providing differential levels of palliative care. The next step in the SHS work is to undertake disease-specific expert panels to refine estimates of people with SHS and especially symptoms and symptom days. This is the focus of research planned for SHS 3.0 and has been piloted for breast cancer and will soon commence on HIV.¹¹¹

Second, there are conditions which generate SHS but are not included in the analysis to-date due to limited scope. For example, chronic paranoid schizophrenia and other severe chronic psychiatric disorders generate severe suffering but are not included in the methods presented here. Another important example is people living in the context of humanitarian crisis,¹¹² including armed

conflict¹¹³ but also climate emergencies, communicable disease outbreaks or those under threat of political, sexual, or ethnic violence who suffer from various types of physical and psychological suffering.

Similarly, our work to date extends to 2019. Estimating the shorter-term SHS that was associated with the coronavirus disease 2019 (COVID-19) pandemic, and the longer-term sequelae for those who suffered the disease should be a key next step in the analysis. This should include the suffering associated with bereavement and the lack of access to palliative care support for caregivers, family members and the community during COVID-19 lockdowns. The wealth of data and publications on the pandemic will make this analysis more feasible.

Family caregivers who experience various kinds of physical, psychological, social, and spiritual suffering as a result of their care work are not included in the estimates. While methods to estimate the types, prevalence, or duration of physical, psychological, social, or spiritual suffering of the main family or informal caregiver have not been within the scope of SHS calculations to-date, this is an important area of future SHS methodological development. Family caregivers typically provide many hours of daily care to patients with serious, chronic, complex, or life-limiting health problems and in many health care settings, especially in LMICs, where they must remain with the patient when admitted to the hospital. Across the world, caregiving work at home and in the communities is predominantly provided by women, and often uncompensated or undercompensated.¹¹⁴ It has been shown that caregiving can itself represent a source of suffering.^{115,116} Family caregivers may have their own need for palliative care and support in managing bereavement.

Expert opinion provides important information, but a patient-centered approach needs to be included in future work on SHS. Confirmatory research on symptom prevalence and severity with patient and caregiver reported real-life data must complement future work. This limitation applies to the symptoms as well as many dimensions of suffering that are important for patients, caregivers, and practitioners. The expert panel identified 11 physical and 4 psychological symptoms, but this is far from an exhaustive list of all possible physical and psychological symptoms patients can experience. Social or spiritual suffering is also not estimated despite being a source of grave concern due to the impact on overall quality of life.^{117,118} In the context of paucity of resources, of poorly organized healthcare systems and of marginalization of large chunks of the population, the impact on the burden of suffering is likely to be considerable.

Further, the quantity of suffering is estimated only in terms of number of people who died from or lived with SHS (SHS 1.0 and SHS 2.0), or the number of symptom days they each experience (SHS 1.0). This approach neglects the intensity or tolerability of suffering experienced. In SHS 3.0, opportunities for understanding the scope and intensity of social and spiritual suffering for patients in need of palliative care will be explored. Gathering patient and caregiver reported data is the optimal solution to fill in these gaps and should be a priority for donors and foundations interested in improving access to palliative care and achieving the SDGs. To date, only a few pilot and exploratory surveys have been undertaken.^{119,120}

Another important area for future work is to determine to what extent suffering can be alleviated with existing practices and techniques at various resource levels. This also means that the multipliers percentage

of deaths or survivors in need of palliative care by condition are time-period specific and should change over time based on previously noted endogenous variables, including the change in disease trajectories and their suffering patterns, as health care technologies and systems evolve.

Last but not least, our work looks at one side of the issue: the demand side. It is equally important, if not more, to measure how much of the need for palliative care is fulfilled, by whom, in what quality, and where. Combined with analysis of the actual provision of palliative care, we will be able to identify gaps and provide more tailored policy recommendations.

Future Iterations

The methods described in this paper are pioneering in the field. However, our exploration has only expanded our vision of the bigger, unknown world, leaving more gaps to be filled with future research. Even the more detailed estimate of “symptom-days” as opposed to number of people has limitations as a measure of the burden of SHS experienced by patients in the absence of a method to weigh the tolerability or intensity of each symptom. Specifically, the number of days is calculated for each symptom using the available information on symptom prevalences and duration for each condition. Simple aggregation of days with each symptom may lead to overestimation from double counting, as many patients with advanced disease will suffer from more than one symptom at the same time. As such, the Commission report presented two aggregate indicators to evaluate the total symptom burden: (1) the “at least” SHS-days, which equals the symptom-days from the single most prevalent symptom, in most case, pain, of each condition, and (2) the total symptom days should be the sum total of all symptoms. The actual days of suffering experienced by people with SHS should be a number between these two bounds. Ongoing refinement of the calculation of the number of days of SHS experienced by the population in a given year is a core area for SHS 3.0. Moreover, and as described, it is important to note that the calculation of the number of days of SHS is derived from the calculation of the number of people with SHS, not the other way around. As a contribution to measurement of burden, several “summary indicators” or ways to characterize the suffering experienced by patients were developed. Table 9 presents these secondary indicators that were constructed for the Lancet Commission report. Another dimension that has not been measured to date is to match SHS to an estimate of palliative care need assessment such as the estimated number of required “palliative care visit-days”—the number of days in which a palliative care provider should see the patient, family or caregiver. Symptom days measures

only the days during which the symptom(s) persist(s), regardless of whether a visit by or with a palliative care provider is needed. Severe, refractory, or poorly tolerated symptoms may require daily visits while well-controlled symptoms may require a visit only every 2 to 4 weeks. Indeed, provision of effective palliative care can, and should, reduce the number of symptom days as well as the severity of the symptoms. In doing this, palliative care reduces the SHS burden. This remains an area for future discussion and analysis.

Discussion

This paper is designed to serve as a reference document for calculating SHS. Detailing the methodology is also intended to promote transparency in ongoing efforts to measure the burden of SHS and to promote wider discourse on the assessment of SHS burden that will inform future iterations of SHS measurement and data strengthening. Improving the science of the measurement of SHS will support policies that increase palliative care access and infrastructure as a component of UHC and improve population health.

The estimates generated from this methodology can be used independently or can serve as an input to the development of composite metrics that compare interventions in terms of suffering averted. Researchers can apply the methods presented using country-specific data (i.e., not GBD estimates, which are used here) to generate national and sub-national calculations of SHS.^{121,122} Researchers can also use our methods to project trends and examine the future scale of the burden of SHS overall or by condition.¹²³ The SHS burden data is also a necessary input to calculating the cost of an essential package of palliative care services, as introduced by the Lancet Commission.¹²¹

Data on SHS burden is critical to evaluating health status and as such, for the monitoring and evaluation of health systems performance to achieving universal access to palliative care.¹²⁴ The number of people with SHS (calculated without a threshold or cutoff in terms of days of SHS experienced) provides a specific insight on palliative care need—an estimated number of patients that need access to palliative care services. Policymakers and practitioners can be guided by the magnitude of SHS within their countries, the distribution of SHS across conditions, age ranges, and geographical locations, and the corresponding need for palliative care, so that they may examine it against the availability of palliative care service. SHS data are hence useful in assessing the need and efficacy of approaches to health system strengthening and UHC, health reforms or across health insurance schemes. Further, the evidence on need can further the argument for adoption of the packages of palliative care services, as was begun with

work on the essential package by the Lancet Commission with the Disease Control Priorities (DCP)-3.¹¹ The number of days of SHS is therefore also essential and particularly to measure how need must translate into a health system response such as through an essential package of palliative care services.

Acknowledging this and the previously presented limitations, this paper provides a starting point for further scientific inquiry and consensus-building. The methods described in this paper pave the way forward for future research that examines both the demand side—suffering patterns—and the supply side—ways to address them—for people worldwide. With the methodology to measure SHS, as established by this paper, what's needed next are better tools to measure the responses to relief, building on existing efforts such as DOME. The next step and complement to this paper is another on DOME that begins to identify access to one fact of palliative care, pain relief medicine, plus a paper looking specifically at SHS in children. Matching DOME and SHS provides an indicator of health system performance and progress over time in delivering palliative care and reducing the unmet burden of SHS.

Estimating the burden of SHS should be a continual endeavor to incorporate scientific, societal, economic, and health care system change into the quest to reduce suffering and improve population health. This must include monitoring advances, but also the challenges that pose a risk to human health and quality of life, including climate change, war, and humanitarian crises. The measurement of serious health-related suffering can serve as a basis for promoting people-centered health systems and analyzing progress toward SDG3 and for future iterations of global health goals and the quest for UHC. It also has the potential to change the focus of today's healthcare system from diseases alone to suffering. The tools shared in this paper and its contributions toward better conceptualization and measurement of the burden and alleviation of SHS should catalyze this work.

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Supplementary materials

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