Appendix

1 Single-Label Dataset

| Dataset | Train | Dev | Test | Classes | Classification Task |
|-----------|-------|------|------|---------|-------------------------|
| MR | 8.5k | 1.0k | 1.1k | 2 | review classification |
| SST-2 | 8.6k | 1.0k | 1.8k | 2 | sentiment analysis |
| Subj | 8.1k | 0.9k | 1.0k | 2 | opinion classification |
| TREC | 5.3k | 1.1k | 0.5k | 6 | question categorization |
| CR | 3.1k | 0.3k | 0.4k | 2 | review classification |
| AG's news | 108k | 12k | 7.6k | 4 | news categorization |

Table A.1: Characteristics of the datasets. We picked 10 percent of training data randomly as dev data to train the best model and evaluate the model with the test data

| | MR | SST2 | Subj | TREC | CR | AG's |
|----------------|-------|------|------|------|-------|------|
| LSTM | 75.9 | 80.6 | 89.3 | 86.8 | 78.4 | 86.1 |
| BiLSTM | 79.3 | 83.2 | 90.5 | 89.6 | 82.1 | 88.2 |
| Tree-LSTM | 80.7 | 85.7 | 91.3 | 91.8 | 83.2 | 90.1 |
| LR-LSTM | 81.5 | 87.5 | 89.9 | - | 82.5 | - |
| CNN-rand | 76.1 | 82.7 | 89.6 | 91.2 | 79.8 | 92.2 |
| CNN-static | 81.0 | 86.8 | 93.0 | 92.8 | 84.7 | 91.4 |
| CNN-non-static | 81.5 | 87.2 | 93.4 | 93.6 | 84.3 | 92.3 |
| CL-CNN | - | - | 88.4 | 85.7 | - | 92.3 |
| VD-CNN | - | - | 88.2 | 85.4 | - | 91.3 |
| Capsule-A | 81.3 | 86.4 | 93.3 | 91.8 | 83.8 | 92.1 |
| Capsule-B | 82.3* | 86.8 | 93.8 | 92.8 | 85.1* | 92.6 |

Table A.2: Comparisons of our capsule networks and baselines on six text classification benchmarks with significance test. Numbers with * mean that improvement from the model is statistically significant over the baseline methods (t-test, p-value < 0.05).

| | MR | SST2 | Subj | TREC | CR | AG's |
|----------------|------|------|------|------|------|------|
| SVM | 80.8 | 86.3 | 92.3 | 92.5 | 83.4 | 91.8 |
| LSTM | 75.9 | 80.6 | 89.3 | 86.8 | 78.4 | 86.1 |
| BiLSTM | 79.3 | 83.2 | 90.5 | 89.6 | 82.1 | 88.2 |
| Tree-LSTM | 80.7 | 85.7 | 91.3 | 91.8 | 83.2 | 90.1 |
| LR-LSTM | 81.5 | 87.5 | 89.9 | - | 82.5 | - |
| CNN-rand | 76.1 | 82.7 | 89.6 | 91.2 | 79.8 | 92.2 |
| CNN-static | 81.0 | 86.8 | 93.0 | 92.8 | 84.7 | 91.4 |
| CNN-non-static | 81.5 | 87.2 | 93.4 | 93.6 | 84.3 | 92.3 |
| CL-CNN | - | - | 88.4 | 85.7 | _ | 92.3 |
| VD-CNN | - | - | 88.2 | 85.4 | - | 91.3 |
| Bi-BloSAN [1] | 83.1 | 87.4 | 94.5 | 94.8 | 84.8 | 93.3 |
| Capsule-A | 81.3 | 86.4 | 93.3 | 91.8 | 83.8 | 92.1 |
| Capsule-B | 82.3 | 86.8 | 93.8 | 92.8 | 85.1 | 92.6 |

Table A.3: Comparisons of our capsule networks and baselines on six text classification benchmarks. (Adding SVM and Bi-BloSAN as baselines)

2 Multi-Label Experimenet

| Dataset | Train | Dev | Test | Category | Description |
|-------------------------------------|--------------|-----|------|----------|---|
| Reuters-Multi-label Reuters-Full | 5.8k 5.8k | | | 10 10 | Remove single-label samples in test data. Include single- and multi-label samples in test data. |

Table A.4: Characteristics of Reuters-21578 (10 categories) corpus

| | | Reuters-Mu | ulti-label | | Reuters-Full | | | |
|-----------------|-------------|-------------|-------------|-------------|--------------|-------------|-------------|-------------|
| | ER | Precision | Recall | F1 | ER | Precision | Recall | F1 |
| LSTM | 23.3 26.4 | 86.7 | 54.7 | 63.5 | 62.5 | 78.6 | 72.6 | 74.0 |
| BiLSTM | | 82.3 | 55.9 | 64.6 | 65.8 | 83.7 | 75.4 | 77.8 |
| CNN-rand | 22.5 | 88.6 | 56.4 | 67.1 | 63.4 | 78.7 | 71.5 | 73.6 |
| CNN-static | 27.1 | 91.1 | 59.1 | 69.7 | 63.3 | 78.5 | 71.2 | 73.3 |
| CNN-non-static | 27.4 | 92.0 | 59.7 | 70.4 | 64.1 | 80.6 | 72.7 | 75.0 |
| SVM | 26.3 | 90.7 | 57.6 | 68.2 | 63.2 | 81.2 | 73.3 | 75.1 |
| Pruned-Sets [2] | 29.6 | 93.1 | 62.2 | 72.5 | 66.1 | 84.2 | 76.5 | 78.2 |
| Bi-BloSAN [1] | 28.7 | 92.8 | 61.2 | 71.7 | 66.0 | 84.3 | 76.2 | 78.0 |
| Capsule-A | 57.2 | 88.2 | 80.1 | 82.0 | 66.0 | 83.9 | 80.5 | 80.2 |
| Capsule-B | 60.3 | 95.4 | 82.0 | 85.8 | 67.7 | 86.4 | 80.1 | 81.4 |

Table A.5: Comparisons of the capability for transferring from single-label to multi-label text classification on Reuters-Multi-label and Reuters-Full datasets. For fair comparison, we use margin-loss for our model and other baselines.

- 1. Shen T, Zhou T, Long G, et al. Bi-directional block self-attention for fast and memory-efficient sequence modeling[J]. arXiv preprint arXiv:1804.00857, 2018.
- Read J, Pfahringer B, Holmes G. Multi-label classification using ensembles of pruned sets, Data Mining, 2008. ICDM'08. Eighth IEEE International Conference on. IEEE, 2008: 995-1000. (Label Correlation Algorithm)