TABLE III
CHARACTERIZATION OF 194 EXPERIMENTS WITH DNNs

Step	Aspect	Full	Partial	Missing
Hypotheses formulation	Research hypotheses	76%	0%	24%
Variables identification	Model hyperparameters	7%	86%	8%
	Model parameters	2%	0%	98%
	DL algorithm	26%	72 %	3%
	Training hyperparameters	19%	73%	8%
	Training data	70%	27%	4%
Operationalization	Factors and treatments	14%	81%	4%
	Response variables	76%	18%	6%
Design	Choice of design	0%	70%	30%
	Instrumentation	2%	97%	1%
Objects selection	Test set characteristics	59%	20%	22%
Analysis & interpretation	Descriptive statistics	10%	34%	56%
	Inferential statistics	12%	1%	87%
Validity evaluation	Validity threats	2%	79%	20%

TABLE IV
TYPES OF EXPERIMENTS FOUND

Category	Count	Percentage
Optimization	67	35%
Evaluation	90	46%
Generalization	25	13%
Optimization+Evaluation	10	5%
Evaluation+Generalization	2	1%

Characterization criteria

Step	Aspect	Fully addressed	Partially addressed	Missing
Hypotheses formulation	Research hypotheses	Present	-	Missing
Variables identification	Model hyperparameters	All described/linked to artefact	Some described/linked to artefact	All missing
	Model parameters	Described/linked to artefact	-	Missing
	DL algorithm	All described/linked to artefact	Some described/linked to artefact	All missing
	Training hyperparameters	All described/linked to artefact	Some described/linked to artefact	All missing
	Training data	All datasets linked to artefact	Some linked to artefact/others described	None linked and/or desribed
Operationalization	Factors and treatments	Both described	Factors described only	None described
	Response variables	Formula/units (e.g. percentage of test cases passed)	Metric (e.g. effectiveness, reliability)	Aspect measured (e.g. quality)
Design	Choice of design	All sources of randomness taken into consideration	Classical sources of randomness considered	No sources of randomness considered
	Instrumentation	All elements described (test set, measuring	Elements partially described or some of them not	All missing
		instruments, measurement procedure and	described	
		technological infrastructure)		
Objects selection	Datasets characteristics	Specific characteristics of the elements in the dataset	General description of the dataset (not elements) is	Only reference to dataset
		are provided	given	
Analysis & interpretation	Descriptive statistics	Centrality and dispersion for all variables	Centrality and/or dispersion for all/some variables	None
	Inferential statistics	All variables are analyzed	Some variables analyzed	No variables analyzed
Validity evaluation	conclusion/internal/construct/external	All categories covered	Some categories covered or	No validity threats
			listing of threats that cannot be mapped to	
			category	

Summary of characterization: All papers

					Literatura e Constitución de Constitución de Constitución de Constitución de Constitución de Constitución de C							no tore		• .	
		Hypotheses			bles identific			Operation			Design	Population		lysis	Validity
			Model	Model	DL	Training	Training	Factors and	Response	Choice of design	Instrumentation	Test	Descriptive	Inferential	evaluation
EXPERIMENTS:	194	Research	hyperparameters	parameters	aigoritnm	hyperparameters	data	treatments	variables	uesigii		dataset	statistics	statistics	
EXPERIMENTS.	194														
	COUNT M:	47	15	190	5	16	7	8	12	58	2	42	109	169	38
	COUNT PA:	0	166	0	139		52	158	34	136	189	38		1	153
	COUNT FA	147	13	4	50	36	135	28	148	0	3	114	19	24	3
	% M	24%	8%	98%	3%	8%	4%	4%	6%	30%	1%	22%	56%	87%	20%
	% PA	0%	86%	0%	72%	73%	27%	81%	18%	70%	97%	20%	34%	1%	79%
	% FA	76%	7%	2%	26%	19%	70%	14%	76%	0%	2%	59%	10%	12%	2%
	TOTAL	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%
OPTIMIZATION:	67														
OF THVIIZATION.	07														
	COUNT M:	27	5	65	2	5	1	7	12	28	2	18	49	63	9
	COUNT PA:	0	60		49	49	17	35		39				0	58
	COUNT FA	40	2	2	16	13	49	25	47	0	2	38	3	4	0
	% M	40%	7%	97%	3%		1%	10%	18%	42%	3%		73%	94%	13%
	% PA	0%	90%	0%	73%	73%	25%	52%	12%	58%	94%	16%	22%	0%	87%
	% FA	60%	3%	3%	24%	19%	73%	37%	70%	0%	3%	57%	4%	6%	0%
	TOTAL	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%
EVALUATION:	90														
LVALUATION.	30														
	COUNT M:	14	9	88	3	8	5	0	0	24	0	16	47	75	21
	COUNT PA:	0	70				26	90		66				1	68
	COUNT FA	76	11				59	0		0				14	1
	% M	16%	10%	98%	3%	9%	6%	0%	0%	27%	0%	18%	52%	83%	23%
	% PA	0%	78%	0%	66%	70%	29%	100%	19%	73%	99%	26%	36%	1%	76%
	% FA	84%	12%	2%	31%	21%	66%	0%	81%	0%	1%	57%	12%	16%	1%
	TOTAL	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%
GENERALIZATION:	25														
GENERALIZATION:	25														
	COUNT M:	4	1	25	0	3	0	1	0	4	0	1	9	20	6
	COUNT PA:	0	24		20			21		21				0	17
	COUNT FA	21	0		5		20		20	0				5	2
									20						
	% M	16%	4%	100%	0%	12%	0%	4%	0%	16%	0%	4%	36%	80%	24%
	% PA	0%	96%	0%	80%	72%	20%	84%	20%	84%	100%	12%	44%	0%	68%
	% FA	84%	0%	0%	20%	16%	80%	12%	80%	0%	0%	84%	20%	20%	8%
	TOTAL	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%
	•														

Characterization of ICSE papers

				Hypotheses		Varia	bles identific	ation		Operation	nalization		Design	Population	Ana	llysis		Art	ifact
					Model	Model	DL	Training	Training	Factors and	Response	Choice of	Instrumentation	Test	Descriptive	Inferential	Validity evaluation	A. ailabilia.	Badge
Venue	Paper #	Experiment	Туре	Research	hyperparameters	parameters	algorithm	hyperparameters	data	treatments	variables	design		dataset	statistics	statistics	evaluation	Availability	
		E1	Optimization	М	PA	М	PA	PA	FA	M	М	М	PA	PA	M	M	M		
ICSE'18	AP1		Evaluation	M	PA	M	PA	PA	FA	PA	FA	PA	PA	PA	M	M	M	Yes	No
ical io	71.2		Generalization	М	PA	М	PA	PA	FA	M	FA	M	PA	PA	PA	M	M	163	140
		E4	Generalization	М	PA	М	PA	PA	FA	PA	FA	PA	PA	PA	PA	FA	M		
ICSE'18	AP2	E1	Evaluation	M	PA	М	PA	PA	FA	PA	FA	M	PA	FA	PA	PA	PA	Yes	No
ICCEIAO	400	E1	Evaluation	FA	PA	М	PA	PA	FA	PA	FA	PA	PA	FA	M	M	PA	V	N -
ICSE'19	AP3		Evaluation	FA	PA	M	PA	PA	FA	PA	FA	PA	PA	FA	M	M	PA	Yes	No
-			Evaluation	FA M	PA PA	M M	PA PA	PA PA	FA FA	PA FA	FA PA	M M	PA PA	FA FA	M M	M M	PA PA		+
ICSE'19	AP4	E2	Optimization Evaluation	FA	PA PA	M	PA PA	PA PA	FA	PA PA	FA	M	PA PA	FA FA	M	M	PA PA	Yes	No
			Optimization+evaluation	FA	PA	M	PA	PA	PA	PA	FA	M	PA	M	M	M	M		
			Evaluation	FA	PA	M	PA	PA	PA	PA	FA	PA	PA	M	M	M	M		Available
ICSE'19	AP5		Evaluation	FA	PA	M	PA	PA	PA	PA	FA	PA	PA	M	M	M	M	Yes	Reusable
			Optimization	FA	PA	M	PA	PA	PA	M	FA	PA	PA	M	M	M	M		
			Optimization	FA	PA	M	PA	PA	FA	FA	FA	PA	PA	FA	PA	M	М		
			Generalization	FA	PA	М	PA	PA	FA	PA	FA	PA	PA	FA	FA	М	М		
ICSE'19	AP6	E3	Generalization	FA	PA	М	PA	PA	FA	PA	FA	PA	PA	FA	FA	M	М	No	No
			Generalization	FA	PA	М	PA	PA	FA	PA	FA	PA	PA	FA	FA	M	М		
		E5	Evaluation	FA	M	М	М	М	M	PA	PA	М	PA	М	M	M	М		
		E1	Optimization	М	PA	М	PA	PA	PA	FA	M	М	PA	М	M	M	PA		1
ICSE'19	AP7	E2	Evaluation	FA	PA	M	PA	PA	PA	PA	PA	PA	PA	M	M	M	PA	Yes	No
		E3	Generalization	FA	PA	M	PA	PA	PA	PA	FA	PA	PA	M	M	M	PA		
		E1	Evaluation	FA	PA	FA	PA	FA	FA	PA	FA	M	PA	PA	M	M	PA		
ICSE'19	AP8	E2	Evaluation	FA	PA	FA	PA	FA	FA	PA	FA	M	PA	PA	M	M	PA	Yes	Available
ICSL 19	Aro	E3	Optimization	FA	PA	FA	PA	FA	FA	PA	FA	M	PA	PA	M	M	PA	163	Available
		E4	Optimization	FA	PA	FA	PA	FA	FA	PA	FA	M	PA	PA	M	M	PA		
ICSE'19	AP9	E1	Evaluation	FA	PA	M	PA	PA	FA	PA	PA	M	PA	PA	M	M	PA	Yes	No
ICSE 15	7.1.7	E2	Optimization	FA	PA	M	PA	PA	FA	PA	PA	M	PA	PA	M	M	PA	163	.,,,
		E1	Optimization	М	PA	М	PA	PA	FA	PA	M	PA	PA	PA	M	M	PA		4
ICSE'19	AP10		Evaluation	FA	PA	М	PA	PA	FA	PA	FA	PA	PA	PA	FA	FA	PA	Yes	Available
1002 20			Evaluation	FA	PA	М	PA	PA	FA	PA	FA	PA	PA	PA	FA	M	PA		
		E4	Optimization	FA	PA	М	PA	PA	FA	FA	FA	PA	PA	PA	FA	M	PA		4
ICSE'20	AP11	E1	Evaluation	М	PA	М	PA	PA	FA	PA	PA	M	PA	FA	M	FA	M	Yes	No
		E2	Evaluation	M	PA	M	PA	PA	FA	PA	FA	M	PA	FA	FA	FA	M		
		E1	Evaluation	M	PA	M	PA	M	PA	PA	FA	PA	PA	M	M	M	PA		
ICSE'20	AP12		Generalization	M	PA	M M	PA PA	M M	PA PA	PA	FA FA	PA PA	PA	FA FA	M M	M	PA PA	Yes	No
		E3 E4	Generalization Optimization	M M	PA PA	M	PA PA	M	PA PA	PA PA	FA FA	PA PA	PA PA	FA FA	M	M M	PA PA		
		E1	Optimization	M	PA PA	M	PA PA	PA	FA	FA	FA	PA PA	PA	FA	PA	M	PA		+
			Evaluation	FA	PA	M	PA	PA	FA	PA	FA	PA	PA	FA	PA	M	PA		
ICSE'20	AP13		Evaluation	FA	PA	M	PA	PA	FA	PA	FA	PA	PA	FA	PA	M	PA	Yes	No
1002			Optimization	FA	PA	M	PA	PA	FA	PA	FA	PA	PA	FA	PA	M	PA		
			Optimization	FA	PA	M	PA	PA	FA	PA	FA	PA	PA	FA	PA	M	PA		
			Optimization	M	M	М	PA	PA	FA	FA	FA	PA	PA	М	М	М	PA		1
ICSE'20	AP14	E2	Evaluation	FA	M	М	PA	PA	FA	PA	FA	PA	PA	М	M	М	PA	Yes	No
		E3	Optimization	FA	M	M	PA	PA	FA	PA	FA	М	PA	М	M	M	PA		
		E1	Optimization+evaluation	FA	PA	М	PA	PA	FA	PA	FA	PA	PA	М	PA	M	PA		
ICSE'20	AP15	E2	Optimization	FA	PA	M	PA	PA	FA	PA	FA	PA	PA	M	PA	M	PA	Yes	Available
		E3	Optimization+evaluation	FA	PA	M	PA	PA	FA	PA	FA	PA	PA	M	PA	M	PA		4
	_	E1	Optimization	М	PA	М	PA	PA	FA	PA	FA	PA	PA	FA	M	M	PA		
			Evaluation	FA	PA	М	PA	PA	FA	PA	FA	PA	PA	FA	M	M	PA		
ICSE'20	AP16		Optimization	FA	PA	М	PA	PA	FA	PA	FA	PA	PA	FA	M	M	PA	Yes	No
			Optimization	FA	PA	М	PA	PA	FA	PA	FA	PA	PA	FA	M	M	PA		
			Evaluation	М	PA	М	PA	PA	FA	PA	FA	PA	PA	FA	PA	FA	PA		
I			Optimization	FA	PA	М	FA	PA	FA	FA	FA	M	PA	PA	M	M	PA		
ICSE'20	AP17		Evaluation	FA	PA	М	FA	PA	FA	PA	PA	PA	PA	PA	M	M	PA	Yes	No
		E3	Evaluation	FA	PA	М	FA	PA	FA	PA	FA	PA	PA	PA	M	M	PA		↓
ICSE'21	AP18	E1	Evaluation	FA	PA	М	FA	PA	FA	PA	FA	PA	PA	FA	PA	M	PA	Yes	No
ICSE'21	AP19	E1	Evaluation	FA	M	М	PA	М	M	PA	FA	PA	PA	FA	PA	M	PA	Yes	No

Characterization of ICSE papers

Artifact

No

No

				Hypotheses		Varia	ables identific	cation		Operatio	nalization		Design	Population	Ana	lysis		Art	tifa
				,,,	Model	Model	DL	Training	Training	Factors and		Choice of	Instrumentation	Test	Descriptive	Inferential	Validity		Т
Venue	Paper #	Experiment	Туре	Research	hyperparameters	parameters	algorithm	hyperparameters	data	treatments	variables	design		dataset	statistics	statistics	evaluation	Availability	
		E1	Optimization	М	PA	М	FA	FA	PA	FA	М	M	PA	М	М	М	PA		Τ
ICSE'21	AP20	E2	Evaluation	FA	PA	M	FA	FA	PA	PA	FA	PA	PA	М	PA	M	PA	Yes	
		E3	Optimization	FA	PA	М	FA	FA	PA	PA	FA	PA	PA	М	PA	M	PA		┸
ICSE'21	AP21	E1	Evaluation	FA	PA	М	PA	FA	PA	PA	FA	M	PA	М	М	M	M	Yes	┸
		E1	Optimization	M	PA	M	PA	FA	FA	FA	M	PA	PA	FA	M	М	PA		
1005104		E2	Evaluation	FA	PA	M	PA	PA	FA	PA	FA	PA	PA	FA	M	M	PA	.,	
ICSE'21	AP22	E3	Evaluation	FA	PA PA	M M	PA	PA PA	FA	PA	FA	PA	PA	FA	M	M M	PA PA	Yes	
		E4 E5	Generalization	FA		M	PA	PA PA	FA FA	PA PA	FA	PA PA	PA	FA	M	M	PA PA		
-		E1	Generalization Evaluation	FA FA	PA PA	M	PA PA	PA	FA	PA PA	FA FA	PA PA	PA PA	FA FA	M	M	PA PA		+
		E2	Evaluation	FA	PA	M	PA	PA	FA	PA	FA	PA	PA	FA	M	M	PA		
		E3	Optimization	FA	PA	M	PA	PA	FA	PA	FA	PA	PA	FA	M	M	PA		
ICSE'21	AP24	E4	Optimization	FA	PA	M	PA	PA	FA	PA	FA	PA	PA	FA	M	M	PA	Yes	
		E5	Optimization	FA	PA	М	PA	PA	FA	PA	FA	PA	PA	FA	М	М	PA		
		E6	Optimization	FA	PA	М	PA	PA	FA	PA	FA	PA	PA	FA	M	М	PA		
		E1	Optimization+evaluation	FA	PA	М	PA	PA	PA	PA	FA	PA	PA	М	PA	M	PA		Τ
ICSE'21	AP23	E2	Optimization+evaluation	FA	PA	M	PA	PA	PA	PA	FA	PA	PA	M	PA	M	PA	Yes	
1002 21	7,125	E3	Optimization	FA	PA	M	PA	PA	PA	PA	FA	PA	PA	M	PA	M	PA	163	
		E4	Evaluation	М	PA	M	PA	PA	PA	PA	PA	PA	PA	M	PA	М	PA		<u> </u>
	EXPERIMENTS:	78		1			T			1	T			T		1		-	
			COUNT M:	21		74	1 70	6	2	3	5	21	0	23	50	72	16		
			COUNT PA: COUNT FA	57		0 4	70 7	63 9	19 57	66 9	8 65	57 0	78 0	17 38	21 7	5	62 0	_	
			COUNT FA	57	U	4	/	9	5/	9	65	U	U	38	/	5	U	_	
			% M	27%	6%	95%	1%	8%	3%	4%	6%	27%	0%	29%	64%	92%	21%	1	
			% PA	0%	94%	0%	90%	81%	24%	85%	10%	73%	100%	22%	27%	1%	79%	-	
			% FA	73%	0%	5%	9%	12%	73%	12%	83%	0%	0%	49%	9%	6%	0%	-	
			TOTAL	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%		
	OPTIMIZATION:	29	COUNT M:	10	2	27	0	1	0	2	l 5	i l 9	0	8	21	29	3	7	
			COUNT PA:	0								20						i	
			COUNT FA	19	0	2	3	5	23	9	22	2 0	0	14	. 1	0	0	Ī	
																		=' 	
			% M	34%	7%	93%	0%		0%		17%								
			% PA	0%	93%	0%		79%	21%		7%			24%		0%			
			% FA	66%	0%	7%	10%	17%	79%	31%	76%	0%		48%		0%			
			TOTAL	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%		
	EVALUATION:	34		_													il 7	a	
			COUNT M: COUNT PA:	7	31	32 0	29	3 27			Ŭ			9		29	. 27		
			COUNT FA.	27		2	4								_	4	. 27		
																		1	
			% M	21%	9%	94%	3%	9%	6%	0%	0%	29%	0%	26%	68%	85%	21%		
			% PA	0%	91%	0%	85%	79%	21%		18%	71%		24%	24%	3%			
			% FA	79%	0%	6%	12%	12%	74%	0%	82%	0%	0%	50%		12%	0%		
			TOTAL	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%		
	GENERALIZATION:	: 10	COUNT M:	4				_	0	1	0				. 5	9	5	1	
			COUNT PA:	0			10		3	9	0	,	10		. 2		5	4	
			COUNT FA	6	0	0	0	0	7	0	10	0	0	7	3	1)]	
			0/ 84	400/	50/	40001	607	2001	601	400/		400	201	4001	F00/	0001	E004	1	
			% M % PA	40%	0% 100%	100%	0% 100%	20% 80%	0% 30%	10% 90%	0% 0%		100%	10% 20%	50%	90%			
			% PA % FA	60%	100%	0%		80%	70%		100%			70%		10%			
			TOTAL	100%	100%	100%	100%	100%	100%	100%				100%		10%			
			IOIAL	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	J	

Characterization of ESEC/FSE papers

		1	I	Hypot	theses		Var	riables identificat	ion		Operatio	nalization		Design	Population	Ana	llysis	1	Artif	act
				, po	l	Model	Model	Tables racinitate	Training	Training	Factors and	Response	Choice of	1	Test	Descriptive	Inferential	Validity		Badge
Venue	Paper #	Experiment	Туре	Research	Statistical	hyperparameters	parameters	DL algorithm	hyperparameters	data	treatments	variables	design	Instrumentation	dataset	statistics	statistics	evaluation	Availability	_
		E1	Optimization	M	M	PA	М	FA	PA	FA	М	PA	PA	PA	FA	M	M	М		
FSE'18	AP25	E2	Evaluation	М	M	PA	M	FA	PA	FA	PA	PA	PA	PA	FA	PA	М	М	Yes	No
		E3	Evaluation	М	M	PA	M	FA	PA	FA	PA	PA	PA	PA	FA	PA	M	М		
		E1 E2	Optimization Evaluation	M M	M M	PA PA	M M	FA FA	PA PA	PA PA	M PA	PA FA	M M	PA PA	PA PA	M M	M	M M		
FSE'18	AP26	E3	Evaluation	M	M	PA PA	M	FA FA	PA PA	PA PA	PA PA	FA	M	PA PA	PA PA	M	M	M	Yes	No
		E3	Evaluation	M	M	PA PA	M	FA	PA	PA PA	PA	PA PA	M	PA PA	PA PA	M	M	M		
FSE'18	AP27	E1	Evaluation	FA	M	PA	M	PA	PA	PA	PA	PA	M	PA	M	M	M	PA	No	No
		E1	Evaluation	FA	М	PA	M	PA	PA	PA	PA	FA	М	PA	PA	М	М	PA		
FSE'18	AP28	E2	Evaluation	FA	M	PA	М	PA	PA	PA	PA	FA	M	PA	PA	PA	М	PA	No	No
		E3	Evaluation	FA	М	PA	М	PA	PA	PA	PA	FA	M	PA	PA	М	М	PA		
FSE'19	AP29	E1	Evaluation	FA	M	PA	M	PA	PA	FA	PA	PA	PA	PA	PA	PA	М	PA	Yes	Yes
		E1	Optimization	М	М	PA	М	PA	М	PA	PA	М	M	PA	М	М	М	PA		
FSE'19	AP30	E2	Evaluation	FA	М	PA	M	PA	M	PA	PA	FA	PA	PA	M	FA	M	PA	No	No
		E3	Evaluation	FA	M	PA	M M	PA	M	M	PA	FA	PA	PA DA	M	PA	M	PA		
FSE'19	AP31	E1 E2	Evaluation Optimization	FA FA	M M	PA PA	M	FA FA	PA PA	PA PA	PA PA	FA FA	PA PA	PA PA	FA FA	M	M	PA PA	No	No
		E1	Evaluation	FA	M	PA	M	M	M	FA	PA	PA	PA	PA	FA	PA	FA	PA		
FSE'19	AP32	E2	Optimization	FA	M	PA	M	M	M	FA	PA	PA	PA	PA	FA	PA	FA	PA	Yes	No
		E3	Optimization	FA	М	PA	M	М	М	FA	PA	PA	PA	PA	FA	PA	FA	PA		
		E1	Evaluation	FA	M	PA	M	PA	PA	PA	PA	FA	PA	PA	PA	M	M	М		
FSE'20	AP33	E2	Optimization	FA	M	PA	M	PA	PA	PA	PA	FA	PA	PA	PA	M	M	M	Yes	No
		E3	Evaluation	FA	M	PA	M	PA	PA	M	PA	FA	M	PA	М	M	M	M		
FSE'20	AP34	E1	Optimization+evaluation	М	М	PA	М	PA	PA	М	PA	PA	PA	PA	PA	M	M	М	No	No
		E2	Evaluation	M	M	PA	M	PA	PA	M	PA	PA	M	PA	PA	M	M	M		
FSE'20	AP35	E1 E2	Optimization Optimization+evaluation	M M	M M	PA PA	M M	PA PA	PA PA	FA FA	M PA	M FA	M PA	PA PA	M FA	M PA	M FA	PA PA	Yes	No
		E1	Evaluation	FA	M	PA	M	PA	PA	FA	PA	FA	PA	FA	PA	PA	M	PA		
		E2	Optimization	FA	M	PA	M	PA	PA	FA	PA	FA	M	FA	PA	M	M	PA		
FSE'20	AP36	E3	Optimization	FA	M	PA	M	PA	PA	FA	FA	М	M	FA	PA	M	M	PA	Yes	Yes
		E4	Generalization	FA	M	PA	М	PA	PA	FA	PA	FA	PA	PA	PA	М	М	PA		
		E1	Optimization	M	M	М	M	FA	М	FA	М	М	M	PA	FA	M	М	М		
FSE'20	AP37	E2	Optimization	FA	M	M	M	FA	PA	FA	PA	PA	PA	PA	FA	PA	M	М	Yes	No
		E3	Evaluation	FA	M	M	М	FA	M	FA	PA	PA	M	PA	FA	M	M	М		
		E4	Generalization	FA	M	M	M	FA	M	FA	FA	PA	M	PA	FA	M	M	M		——
		E1 E2	Optimization	M	M	M	M	PA PA	PA PA	PA	M	M	M	M PA	M PA	M	M	M		
		E3	Evaluation Evaluation	FA FA	M M	M M	M M	PA PA	PA PA	PA PA	PA PA	FA FA	PA PA	PA PA	PA PA	PA PA	M M	M M		
FSE'20	AP38	E4	Evaluation	FA	M	M	M	PA	PA	PA	PA	FA	PA	PA	PA	PA	M	M	Yes	No
		E5	Evaluation	FA	M	M	M	PA	PA	PA	PA	FA	PA	PA	PA	PA	M	M		
		E6	Evaluation	М	M	M	M	M	M	PA	PA	FA	M	PA	PA	М	M	M		
		E1	Evaluation	FA	М	PA	M	FA	FA	FA	PA	FA	PA	PA	FA	M	M	PA		
FSE'21	AP42	E2	Optimization	FA	М	PA	М	FA	FA	FA	PA	FA	PA	PA	FA	M	M	PA	Yes	No
		E3	Optimization	FA	M	PA PA	M	FA	FA PA	FA	PA	FA	PA DA	PA PA	FA	M	M	PA		
		E1 E2	Evaluation Generalization	FA FA	M M	PA PA	M M	PA PA	PA PA	PA PA	PA FA	FA FA	PA M	PA PA	FA FA	M M	M M	PA PA		
FSE'21	AP43	E3	Optimization	FA	M	PA	M	PA	PA	PA	PA	FA	M	PA	FA	M	M	PA	Yes	No
		E4	Optimization	FA	М	PA	M	PA	PA	PA	FA	FA	М	PA	FA	М	M	PA		
		E1	Evaluation	FA	М	PA	М	FA	PA	PA	PA	FA	PA	PA	М	M	М	PA		
FSE'21	AP40	E2	Evaluation	FA	M	PA DA	M	FA	PA	PA	PA	FA	PA	PA	M	M	M	PA	V	Ver
F5E'21	AP40	E3 E4	Optimization Evaluation+Generalization	FA FA	M M	PA PA	M M	FA FA	PA PA	PA PA	PA PA	FA FA	PA PA	PA PA	M M	M M	M M	PA PA	Yes	Yes
		E4 E5	Optimization	M	M	PA PA	M	FA FA	PA PA	PA PA	FA	FA FA	PA PA	PA PA	M	FA	M	PA PA		
		E1	Optimization	M	M	PA	M	PA	PA	M	FA	M	M	M	M	M	M	PA		
		E2	Evaluation	FA	M	PA	M	PA	PA	FA	PA	FA	PA	PA	М	М	M	PA		
		E3	Evaluation+Generalization	FA	M	PA	М	PA	PA	FA	PA	FA	M	PA	М	M	M	PA		
FSE'21	AP41	E4	Optimization	FA	M	PA DA	M	PA	PA	FA	PA	FA	PA	PA	M	M	M	PA	Yes	Yes
		E5 E6	Optimization Optimization	FA M	M M	PA PA	M M	PA PA	PA PA	FA FA	PA PA	FA FA	M M	PA PA	M M	M M	M M	PA PA		
		E6 E7	Optimization Optimization	M	M	PA PA	M	PA PA	PA PA	FA FA	FA	FA FA	PA	PA PA	M	M	M	PA PA		
FSE'21	AP44	E1	Evaluation	FA	M	FA	M	FA	FA	FA	PA	PA	PA	PA	FA	PA	M	PA	Yes	No
		E1	Evaluation	FA	М	PA	M	PA	FA	FA	PA	FA	PA	PA	FA	PA	FA	PA		
FSE'21	AP45	E2	Optimization	FA	М	PA	M	PA	FA	FA	FA	FA	PA	PA	FA	PA	M	PA	Yes	No
· 		E3	Generalization	FA	M	PA	M	PA	FA	FA	PA	FA	PA	PA	FA	PA	FA	PA		"
		E6	Generalization	FA	M	PA	M	PA	FA	FA	PA	FA	PA	PA	FA	PA	M	PA		

Characterization of ESEC/FSE papers

				Hypot	heses		Va	riables identificat	ion		Operation	nalization		Design	Population	Ana	llysis		Artif
						Model	Model		Training	Training	Factors and	Response	Choice of		Test	Descriptive		Validity	Availability
Venue	Paper #	Experiment		Research	Statistical	hyperparameters	parameters	DL algorithm	hyperparameters	data	treatments	variables	design	Instrumentation	dataset	statistics	statistics	evaluation	,
		E1 E2	Evaluation Evaluation	FA FA	M M	FA FA	M M	FA FA	FA FA	FA FA	PA PA	FA FA	PA PA	PA PA	FA FA	M PA	M M	PA PA	
		E3	Evaluation	FA	M	FA	M	FA	FA	FA	PA	FA	PA	PA	FA	PA	M	PA	
ECEI24	****	E4	Evaluation	FA	М	FA	M	FA	FA	FA	PA	FA	PA	PA	FA	PA	M	PA	
FSE'21	AP39	E5	Evaluation	FA	M	FA	М	FA	FA	FA	PA	FA	PA	PA	FA	PA	M	PA	Yes
		E6	Optimization	FA	M	FA	М	FA	FA	FA	FA	FA	M	PA	FA	M	M	PA	
		E7 E8	Evaluation	FA FA	M M	FA	M M	FA	FA FA	FA FA	PA PA	FA	M M	PA PA	FA FA	M	M	PA PA	
		E8	Evaluation	FA	IVI	FA	IVI	FA	FA	FA	PA	FA	IVI	PA	FA	PA	M	PA	
	EXPERIMENTS:	73	l.																
			COUNT M:	19	73	10	73	4	10	I	5 5	6	29	2	19	9 46	67	22	1
			COUNT PA:	0	0														
			COUNT FA	54	0	9	0	30	16	3	9 9	51		3	33	3 2	6	0	
			% M	26%	100%	14%	100%	5%	14%	79	% 7%	8%	40%	3%	26%	63%	92%	30%	1
			% PA	0%	0%		0%			409				93%	29%				
			% FA	74%	0%	12%	0%			539				4%	45%				
			TOTAL	100%	100%	100%	100%	100%	100%	1009	% 100%	100%	100%	100%	100%	100%	100%	100%	
	OPTIMIZATION:	26				T			T .	r		,	,	T .	1		1	· -	1
			COUNT M: COUNT PA:	10	26						1 5 9 14								
			COUNT FA	16	0		0				.6 7	15			12		. 2	. 0	
			% M	38%	100%	12%	100%	8%	15%	49	% 19%	23%	50%	8%	38%	81%	92%	23%	1
			% PA	0%	0%		0%			359				85%					
			% FA	62%	0%					629				8%					
			TOTAL	100%	100%	100%	100%	100%	100%	1009	% 100%	100%	100%	100%	100%	100%	100%	100%	
	EVALUATION:	38																	•
			COUNT M: COUNT PA:	7	38						3 C					7 19 5 18			
			COUNT FA	31	0	8	0				.7 0			1 1	. 16		2	0 24	
														1					1
			% M % PA	18% 0%	100%	16% 63%	100%			479				0% 97%					
			% FA	82%	0%		0%			459				3%					
			TOTAL	100%	100%	100%	100%	100%	100%	1009	% 100%	100%	100%	100%	100%	100%	100%		
	GENERALIZATION	: 5	i																
			COUNT M:	0	5		5				0 0	0	2	0		3		1	
			COUNT PA:	0	0		ō				1 3	1	3	5	1	1 2		4	
			COUNT FA	5	0	0	0	1	1 2	l	4 2	4		<u></u>	4	+ 0	ı <u>ı</u> 1	. 0	J
			% M	0%	100%	20%	100%			09									
			% PA	0%	0%		0%			209				100%	20%				
			% FA TOTAL	100% 100%	0% 100%	0% 100%	0% 100%		40% 100%	1009				100%	80% 100%			0% 100%	
			TOTAL	100%	100%	100%	100%	100%	100%	1007	/0 100%	100%	100%	100%	100%	100%	100%	100%	j

Characterization of TSE papers

				Hypotheses		Varia	bles identific	cation		Operation	nalization		Design	Population	Ana	lysis	Madda.	Arti	ifact
					Model	Model	DL	Training	Training	Factors and	Response	Choice of		Test	Descriptive	Inferential	Validity evaluation	Availability	Badge
Venue	Paper #	Experiment	Туре	Research	hyperparameters	parameters	algorithm	hyperparameters	data	treatments	variables	design	Instrumentation	dataset	statistics	statistics	evaluation	Availability	<u> </u>
		E1	Optimization	М	PA	M	FA	FA	FA	FA	FA	M	PA	FA	M	М	PA		,
		E2	Evaluation	FA	PA	M	FA	FA	FA	PA	FA	PA	PA	FA	FA	FA	PA		, ,
TSE'19	AP46	E3	Optimization	FA	PA	M	FA	FA	FA	PA	FA	PA	PA	FA	FA	FA	PA	Yes	No
135 19	AF40	E4	Generalization	FA	PA	M	FA	FA	FA	PA	FA	PA	PA	FA	FA	FA	PA	res	I NO
		E5	Generalization	FA	PA	M	FA	FA	FA	PA	FA	PA	PA	FA	FA	FA	PA		, ,
		E6	Evaluation	FA	PA	M	FA	FA	FA	PA	FA	PA	PA	FA	FA	FA	PA		<u> </u>
		E1	Optimization	М	PA	M	PA	PA	FA	FA	FA	M	PA	FA	M	М	PA		1
TSE'20	AP47	E2	Evaluation	FA	PA	M	PA	PA	FA	PA	FA	PA	PA	FA	PA	M	PA	Yes	No
131 20	Ar47	E3	Evaluation	FA	PA	M	PA	PA	FA	PA	FA	PA	PA	FA	PA	M	PA	163	140
		E4	Generalization	FA	PA	M	PA	PA	FA	PA	FA	PA	PA	FA	PA	М	PA		<u> </u>
		E1	Optimization	M	PA	M	PA	PA	FA	FA	FA	M	PA	FA	M	M	PA		, ,
		E2	Optimization	M	PA	M	PA	PA	FA	FA	FA	M	PA	FA	M	M	PA		, ,
TSE'20	AP48	E3	Evaluation	FA	PA	M	PA	PA	FA	PA	FA	PA	PA	FA	FA	FA	PA	Yes	No
131 20	Arto	E4	Evaluation	FA	PA	M	PA	PA	FA	PA	FA	PA	PA	FA	FA	FA	PA	163	140
		E5	Evaluation	FA	PA	M	PA	PA	FA	PA	FA	PA	PA	FA	FA	FA	PA		, ,
		E6	Evaluation	FA	PA	M	PA	PA	FA	PA	FA	PA	PA	FA	FA	FA	PA		<u> </u>
		E1	Optimization	M	FA	M	FA	PA	FA	FA	FA	M	PA	FA	M	M	PA		, ,
TSE'20	AP49	E2	Evaluation	FA	FA	M	FA	PA	FA	PA	FA	PA	PA	FA	M	M	PA	Yes	No
132 20	71.75	E3	Evaluation	FA	FA	M	FA	PA	FA	PA	FA	PA	PA	FA	M	M	PA	163	""
		E4	Evaluation	FA	FA	M	FA	PA	FA	PA	FA	PA	PA	FA	FA	FA	PA		
		E1	Evaluation	FA	PA	M	PA	FA	FA	PA	FA	PA	PA	FA	PA	M	PA		, ,
		E2	Evaluation	FA	PA	M	PA	FA	FA	PA	PA	PA	PA	FA	PA	М	PA		1 '
TSE'21	AP50	E3	Optimization	FA	PA	M	PA	FA	FA	FA	PA	PA	PA	FA	PA	M	PA	Yes	No
		E4	Evaluation	FA	PA	M	PA	FA	FA	PA	FA	M	PA	FA	M	M	PA		, ,
		E5	Optimization	M	PA DA	M	PA	FA	FA	FA	FA	M	PA	FA	PA	M	PA		├ ──
TSE'21	AP51	E1 E2	Optimization Evaluation	M FA	PA PA	M M	PA PA	PA PA	FA FA	FA PA	M FA	PA PA	PA PA	FA FA	M M	M M	PA PA	Yes	No
131 21	ArJI	E3	Optimization	FA	PA	M	PA	PA	FA	PA	FA	PA	PA	FA	M	M	PA	163	140
		E1	Evaluation+Optimization	FA	PA	M	PA	PA	FA	PA	PA	PA	PA	FA	PA	M	PA		
		E2	Evaluation+Optimization	FA	PA	M	PA	PA	FA	PA	PA	PA	PA	FA	PA	M	PA		, ,
		E3	Evaluation+Optimization	FA	PA	M	PA	PA	FA	PA	PA	PA	PA	FA	PA	M	PA		1 '
TSE'21	AP52	E4	Generalization	FA	PA	М	PA	PA	FA	PA	PA	PA	PA	FA	PA	FA	PA	Yes	No
		E5	Generalization	FA	PA	M	PA	PA	FA	PA	PA	PA	PA	FA	PA	М	PA		, ,
		E6	Generalization	FA	PA	M	PA	PA	FA	PA	PA	PA	PA	FA	PA	М	PA		<u> </u>
		E1	Evaluation	FA	PA	M	FA	PA	FA	PA	FA	PA	PA	FA	PA	М	FA		1
TSE'21	AP55	E2	Generalization	FA	PA	M	FA	PA	FA	PA	FA	PA	PA	FA	PA	M	FA	Yes	No
		E3	Generalization	FA	PA	M	FA	PA	FA	PA	FA	PA	PA	FA	PA	M	FA		Ļ'
TSE'21	AP54	E1	Evaluation	FA	PA	M	PA	PA	FA	PA	PA	PA	PA	FA	M	M	PA	Yes	No
	-	E2	Generalization	FA	PA	M	PA	PA	FA	PA	PA	M	PA	FA	M	M	PA		
		E1	Evaluation	FA	PA	M	PA	PA	PA	PA	FA	PA	PA	FA	PA	FA	PA		, '
TSE'21	AP53	E2 E3	Optimization	FA FA	PA PA	M M	PA PA	PA PA	PA PA	PA FA	FA FA	PA PA	PA PA	FA FA	PA PA	FA M	PA PA	No	No
		E4	Generalization Optimization	FA	PA PA	M	PA PA	PA PA	PA PA	FA FA	FA FA	PA PA	PA PA	FA FA	PA PA	M	PA PA		, '
		E4	Optimization	FA	PA	IVI	PA	PA	PA	FA	FA	PA	PA	FA	PA	IVI	PA		

Characterization of TSE papers

				Hypotheses		Varia	bles identific	ation		Operation	nalization		Design	Population	Ana	lysis	37-11-114	Arti	fact
					Model	Model	DL	Training	Training	Factors and	Response	Choice of		Test	Descriptive	Inferential	Validity evaluation	Availability	Badg
ue	Paper #	Experiment	Туре	Research	hyperparameters	parameters	algorithm	hyperparameters	data	treatments	variables	design	Instrumentation	dataset	statistics	statistics	evaluation	Availability	
	EXPERIMENTS:	43																	
		İ	COUNT M:	7	0	43	0	0	0	0	1	8	0	(13	30	C	ī	
			COUNT PA:	0	39	0	30	32	4	33	10	35	43	(20				
			COUNT FA	36	4	0	13	11	39	10	32	0	0	43	10	13	3		
		i	0/ 84	4.50/	00/	1000/	00/	00/	00/	00/	20/	100/	00/	00/	200/	700/	00/	1	
			% M % PA	16% 0%	0% 91%	100% 0%	0% 70%	0% 74%	0% 9%	0% 77%	2% 23%	19% 81%	0% 100%	0% 0%	30% 47%	70% 0%	0% 93%		
			% FA	84%	9%	0%	30%	26%	91%	23%	74%	0%	0%	100%	23%	30%	7%	_	
			TOTAL	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%		
		ļ																-	
	OPTIMIZATION:	12																	
		i	COUNT M:	7	0	12	0	0	0	0	1	6	1 0		1 7	10		ה	
			COUNT PA:	0	11		9	8	2		•	6				0		_1	
			COUNT FA	5	1	0	3	4	10							2		-	
		'				-												_	
			% M	58%	0%	100%	0%	0%	0%		8%	50%	0%			83%	0%		
			% PA	0%	92%	0%	75%	67%	17%		8%	50%	100%	0%		0%	100%		
			% FA	42%	8%		25%	33%	83%		83%	0%		100%		17%	0%		
			TOTAL	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%		
	EVALUATION:	18																	
	LVALUATION.	10																	
			COUNT M:	0	0	18	0	0	0	0	0	1	0	(5	10	C	Ī	
			COUNT PA:	0	15	0	12	13	1		2	17	18	(6	0	17		
			COUNT FA	18	3	0	6	5	17	0	16	0	0	18	3 7	8	1		
		I	0/ 84	00/	00/	1000/	00/	20/	20/	00/	00/	C0/	20/	1 000	200/	FC0/	000	1	
			% M % PA	0% 0%	0% 83%	100% 0%	0% 67%	0% 72%	0% 6%		0% 11%	6% 94%	0% 100%	0%		56% 0%	0% 94%		
			% FA	100%	17%		33%	28%	94%		89%	0%	0%			44%	6%		
			TOTAL	100%	100%	100%	100%	100%	100%		100%	100%	100%	100%		100%	100%		
			TOTAL	100%	100/0	10070	10070	100/0	10070	10070	10070	10070	100/0	1007	100/0	100/0	100/0	1	
	GENERALIZATION:	10																	
		•																=	
			COUNT M:	0	0			0	0			1	0			7	(1	
			COUNT PA:	0			6	8	1			9				0	8		
			COUNT FA	10	0	0	4	2	9	1	6	0	0	10) 2	3	2	<u> </u>	
		1	% M	0%	0%	100%	0%	0%	0%	0%	0%	10%	0%	0%	10%	70%	0%	1	
			% PA	0%	100%	0%	60%	80%	10%		40%	90%	100%	0%		0%			
			% FA	100%	0%	0%	40%	20%	90%	10%	60%	0%	0%	100%		30%	20%		
			TOTAL	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%		100%	100%		

Characterization of DNN experiments per type

			Fully Ad	ldressed			Partially A	Addressed			Mis	sing	
		Optimization	Evaluation	Generalization	All	Optimization	Evaluation	Generalization	All	Optimization	Evaluation	Generalization	All
Hypotheses	Research	60%	84%	84%	76%	0%	0%	0%	0%	40%	16%	16%	24%
Variables	Model hyperparameters	3%	12%	0%	7%	90%	78%	96%	86%	7%	10%	4%	8%
identification	Model parameters	3%	2%	0%	2%	0%	0%	0%	0%	97%	98%	100%	98%
	DL algorithm	24%	31%	20%	26%	73%	66%	80%	72%	3%	3%	0%	3%
	Training hyperparameters	19%	21%	16%	19%	73%	70%	72%	73%	7%	9%	12%	8%
	Training data	73%	66%	80%	70%	25%	29%	20%	27%	1%	6%	0%	4%
Operationalization	Factors and treatments	37%	0%	12%	14%	52%	100%	84%	81%	10%	0%	4%	4%
	Response variables	70%	81%	80%	76%	12%	19%	20%	18%	18%	0%	0%	6%
Design	Choice of design	0%	0%	0%	0%	58%	73%	84%	70%	42%	27%	16%	30%
	Instrumentation	3%	1%	0%	2%	94%	99%	100%	97%	3%	0%	0%	1%
Objects selection	Test sets characteristics	57%	57%	84%	59%	16%	26%	12%	20%	27%	18%	4%	22%
Analysis &	Descriptive statistics	4%	12%	20%	10%	22%	36%	44%	34%	73%	52%	36%	56%
interpretation	Inferential statistics	6%	16%	20%	12%	0%	1%	0%	1%	94%	83%	80%	87%
Validity evaluation	Validity threats	0%	1%	8%	2%	87%	76%	68%	79%	13%	23%	24%	20%