

## CMPT 379 Compilers

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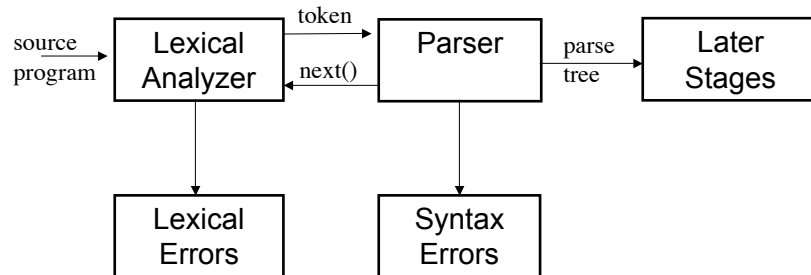
<http://www.cs.sfu.ca/~anoop>

## Context-free Grammars

- Set of rules by which valid sentences can be constructed.
- Example:
  - Sentence  $\rightarrow$  Noun Verb Object
  - Noun  $\rightarrow$  *trees* | *compilers*
  - Verb  $\rightarrow$  *are* | *grow*
  - Object  $\rightarrow$  *on* Noun | Adjective
  - Adjective  $\rightarrow$  *slowly* | *interesting*
- What strings can Sentence *derive*?
- Syntax only – no semantic checking

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## Parsing



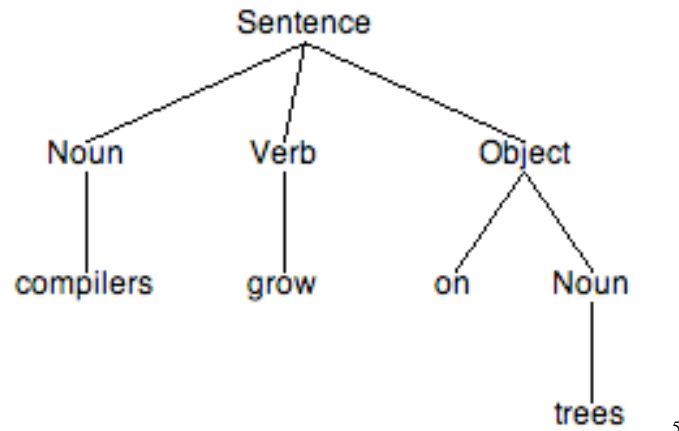
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## Derivations of a CFG

- *compilers grow on trees*
- *compilers grow on* **Noun**
- *compilers grow* **Object**
- *compilers* **Verb Object**
- **Noun Verb Object**
- **Sentence**

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## Derivations and parse trees



## CFG Notation

- A reference grammar is a concise description of a context-free grammar
- For example, a reference grammar can use regular expressions on the right hand sides of CFG rules
- Can even use ideas like comma-separated lists to simplify the reference language definition

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## Why use grammars for PL?

- Precise, yet easy-to-understand specification of language
- Construct parser automatically
  - Detect potential problems
- Structure and simplify remaining compiler phases
- Allow for evolution

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## Writing a CFG for a PL

- First write (or read) a reference grammar of what you want to be valid programs
- For now, we only worry about the structure, so the reference grammar might choose to over-generate in certain cases (e.g. `bool x = 20; ;`)
- Convert the reference grammar to a CFG
- Certain CFGs might be easier to work with than others (this is the **essence** of the study of CFGs and their parsing algorithms for compilers)

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## CFG Notation

- Normal CFG notation

$E \rightarrow E * E$

$E \rightarrow E + E$

- Backus Naur notation

$E ::= E * E \mid E + E$

(an or-list of right hand sides)

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## Arithmetic Expressions

- $E \rightarrow E + E$

- $E \rightarrow E * E$

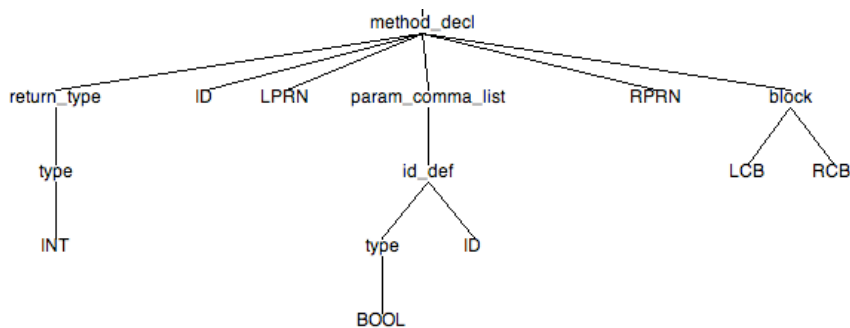
- $E \rightarrow ( E )$

- $E \rightarrow - E$

- $E \rightarrow \text{id}$

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## Parse Trees for programs



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## Leftmost derivations for **id + id \* id**

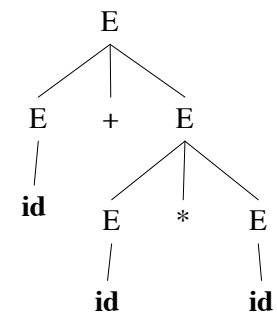
- $E \Rightarrow E + E$

$\Rightarrow \text{id} + E$

$\Rightarrow \text{id} + E * E$

$\Rightarrow \text{id} + \text{id} * E$

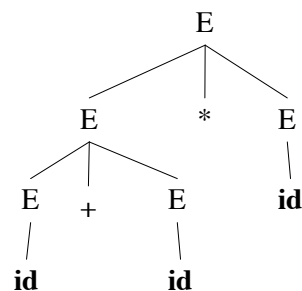
$\Rightarrow \text{id} + \text{id} * \text{id}$



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## Leftmost derivations for **id + id \* id**

- $E \Rightarrow E * E$
- $\Rightarrow E + E * E$
- $\Rightarrow id + E * E$
- $\Rightarrow id + id * E$
- $\Rightarrow id + id * id$



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## Ambiguity

- Alternatives
  - Massage grammar to make it unambiguous
  - Rely on “default” parser behavior
  - Augment parser
- Consider the original ambiguous grammar:
  - $E \rightarrow E + E$        $E \rightarrow E * E$
  - $E \rightarrow ( E )$        $E \rightarrow - E$
  - $E \rightarrow id$
- How can we change the grammar to get only one tree for the input **id + id \* id**

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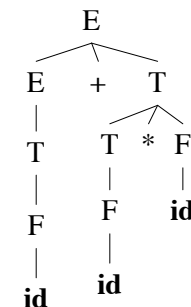
## Ambiguity

- Grammar is ambiguous if more than one parse tree is possible for some sentences
- Examples in English:
  - Two sisters reunited after 18 years in checkout counter
- Ambiguity is not acceptable in PL
  - Unfortunately, it’s undecidable to check whether a grammar is ambiguous

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## Ambiguity

- Original ambiguous grammar:
  - $E \rightarrow E + E$        $E \rightarrow E * E$
  - $E \rightarrow ( E )$        $E \rightarrow - E$
  - $E \rightarrow id$
- Unambiguous grammar:
  - $E \rightarrow E + T$        $T \rightarrow T * F$
  - $E \rightarrow T$        $T \rightarrow F$
  - $F \rightarrow ( E )$        $F \rightarrow - E$
  - $F \rightarrow id$
- Input: **id + id \* id**



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## Dangling else ambiguity

- Original Grammar (ambiguous)
  - Stmt  $\rightarrow$  if Expr then Stmt else Stmt
  - Stmt  $\rightarrow$  if Expr then Stmt
  - Stmt  $\rightarrow$  Other
- Unambiguous grammar
  - Stmt  $\rightarrow$  MatchedStmt
  - Stmt  $\rightarrow$  UnmatchedStmt
  - MatchedStmt  $\rightarrow$  if Expr then MatchedStmt else MatchedStmt
  - MatchedStmt  $\rightarrow$  Other
  - UnmatchedStmt  $\rightarrow$  if Expr then Stmt
  - UnmatchedStmt  $\rightarrow$  if Expr then MatchedStmt else UnmatchedStmt

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## Dangling else ambiguity

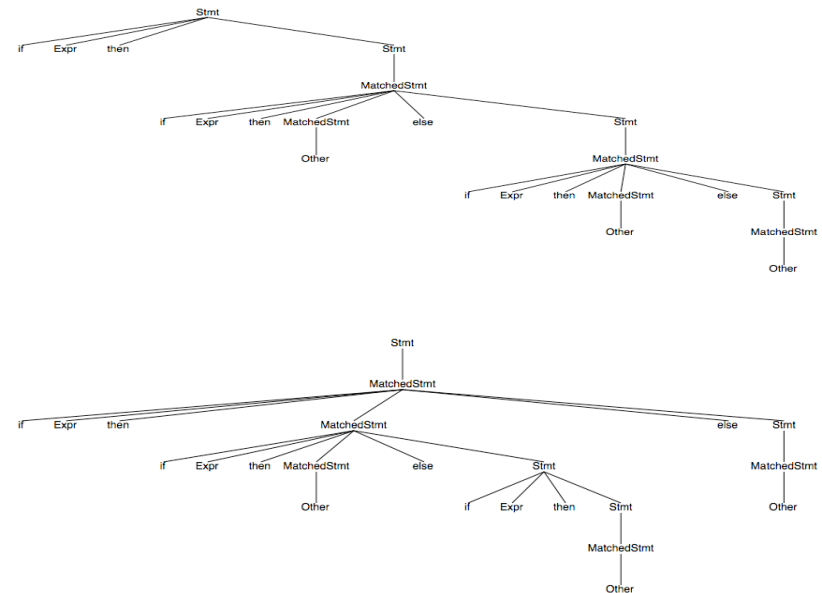
- Original Grammar (ambiguous)
  - Stmt  $\rightarrow$  if Expr then Stmt else Stmt
  - Stmt  $\rightarrow$  if Expr then Stmt
  - Stmt  $\rightarrow$  Other
- Modified Grammar (unambiguous?)
  - Stmt  $\rightarrow$  if Expr then Stmt
  - Stmt  $\rightarrow$  MatchedStmt
  - MatchedStmt  $\rightarrow$  if Expr then MatchedStmt else Stmt
  - MatchedStmt  $\rightarrow$  Other

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## Dangling else ambiguity

- Check unambiguous dangling-else grammar with the following inputs:
  - if Expr then if Expr then Other else Other
  - if Expr then if Expr then Other else Other else Other
  - if Expr then if Expr then Other else if Expr then Other else Other

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## Other Ambiguous Grammars

- Consider the grammar  

$$R \rightarrow R \text{ '}' R \mid R R \mid R \text{ '*' } \mid \text{'(' } R \text{ ')'} \mid a \mid b$$
- What does this grammar generate?
- What's the parse tree for  $alb^*a$
- Is this grammar ambiguous?

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## Left Factoring

- In general, for rules

$$A \rightarrow \alpha\beta_1 \mid \alpha\beta_2 \mid \dots \mid \alpha\beta_n \mid \gamma$$

- Left factoring is achieved by the following grammar transformation:

$$A \rightarrow \alpha A' \mid \gamma$$

$$A' \rightarrow \beta_1 \mid \beta_2 \mid \dots \mid \beta_n$$

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## Left Factoring

- Original Grammar (ambiguous)  

$$\text{Stmt} \rightarrow \text{if Expr then Stmt else Stmt}$$

$$\text{Stmt} \rightarrow \text{if Expr then Stmt}$$

$$\text{Stmt} \rightarrow \text{Other}$$
- Left-factored Grammar (still ambiguous):  

$$\text{Stmt} \rightarrow \text{if Expr then Stmt OptElse}$$

$$\text{Stmt} \rightarrow \text{Other}$$

$$\text{OptElse} \rightarrow \text{else Stmt} \mid \epsilon$$

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## Grammar Transformations

- G is converted to G' s.t.  $L(G') = L(G)$
- Left Factoring
- Removing cycles:  $A \Rightarrow^+ A$
- Removing  $\epsilon$ -rules of the form  $A \rightarrow \epsilon$
- Eliminating left recursion
- Conversion to normal forms:
  - Chomsky Normal Form,  $A \rightarrow BC$  and  $A \rightarrow a$
  - Greibach Normal Form,  $A \rightarrow a\beta$

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## Eliminating Left Recursion

- Simple case, for left-recursive pair of rules:

$$A \rightarrow A\alpha \mid \beta$$

- Replace with the following rules:

$$A \rightarrow \beta A'$$

$$A' \rightarrow \alpha A' \mid \epsilon$$

- Elimination of immediate left recursion

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## Eliminating Left Recursion

- Problem CFG:

$$S \rightarrow A a, S \rightarrow b$$

$$A \rightarrow A c, A \rightarrow S d, A \rightarrow \epsilon$$

- Expand possibly left-recursive rules:

$$S \rightarrow A a, S \rightarrow b$$

$$A \rightarrow A c, A \rightarrow A a d, A \rightarrow b d, A \rightarrow \epsilon$$

- Eliminate immediate left-recursion

$$S \rightarrow A a, S \rightarrow b$$

$$A \rightarrow b d A_1, A \rightarrow A_1, A_1 \rightarrow c A_1, A_1 \rightarrow a d A_1, A_1 \rightarrow \epsilon$$

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## Eliminating Left Recursion

- Example:

$$E \rightarrow E + T, E \rightarrow T$$

- Without left recursion:

$$E \rightarrow T E_1, E_1 \rightarrow + T E_1, E_1 \rightarrow \epsilon$$

- Simple algorithm doesn't work for 2-step recursion:

$$S \rightarrow A a, S \rightarrow b$$

$$A \rightarrow A c, A \rightarrow S d, A \rightarrow \epsilon$$

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## Eliminating Left Recursion

- We cannot use the algorithm if the non-terminal also derives epsilon. Let's see why:

$$A \rightarrow A A a \mid b \mid \epsilon$$

- Using the standard lrec removal algorithm:

$$A \rightarrow b A_1 \mid A_1$$

$$A_1 \rightarrow A a A_1 \mid \epsilon$$

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## Eliminating Left Recursion

- First we eliminate the epsilon rule:  
 $A \rightarrow AAa \mid b \mid \varepsilon$
- Since A is the start symbol, create a new start symbol to generate the empty string:  
 $A_1 \rightarrow A \mid \varepsilon \quad A \rightarrow AAa \mid Aa \mid a \mid b$
- Now we can do the usual lrec algorithm:  
 $A_1 \rightarrow A \mid \varepsilon \quad A \rightarrow aA_2 \mid bA_2$   
 $A_2 \rightarrow AaA_2 \mid aA_2 \mid \varepsilon$

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## Non-CF Languages

$$L_1 = \{wcw \mid w \in (a|b)^*\}$$

$$L_2 = \{a^n b^m c^n d^m \mid n \geq 1, m \geq 1\}$$

$$L_3 = \{a^n b^n c^n \mid n \geq 0\}$$

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## Non-CF Languages

- The pumping lemma for CFLs [Bar-Hillel] is similar to the pumping lemma for RLs
- For a string  $wuxvy$  in a CFL for  $u, v \neq \varepsilon$  and the string is long enough then  $wu^n xv^n y$  is also in the CFL for  $n \geq 0$
- Not strong enough to work for every non-CF language (cf. Ogden's Lemma)

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## CF Languages

$$L_4 = \{wcw^R \mid w \in (a|b)^*\}$$

$$S \rightarrow aSa \mid bSb \mid c$$

$$L_5 = \{a^n b^m c^m d^n \mid n \geq 1, m \geq 1\}$$

$$S \rightarrow aSd \mid aAd$$

$$A \rightarrow bAc \mid bc$$

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## Context-free languages and Pushdown Automata

- Recall that for each regular language there was an equivalent finite-state automaton
- The FSA was used as a recognizer of the regular language
- For each context-free language there is also an automaton that recognizes it: called a **pushdown automaton (pda)**

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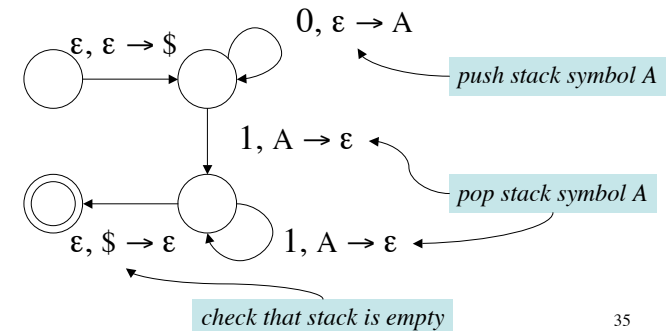
## Pushdown Automata

- PDA has

- an alphabet (terminals) and
- stack symbols (like non-terminals),
- a finite-state automaton, and
- stack

e.g. PDA for language  
 $L = \{ 0^n 1^n : n \geq 0 \}$

→ implies a push/pop of stack symbol(s)



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## Context-free languages and Pushdown Automata

- Similar to FSAs there are non-deterministic pda and deterministic pda
- Unlike in the case of FSAs we cannot always convert a npda to a dpda
- Our goal in compiler design will be to choose grammars carefully so that we can always provide a dpda for it
- Similar to the FSA case, a DFA construction provides us with the algorithm for lexical analysis,
- In this case the construction of a dpda will provide us with the algorithm for parsing (take in strings and provide the parse tree)
- We will study later how to convert a given CFG into a parser by first converting into a PDA

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## Summary

- CFGs can be used describe PL
- Derivations correspond to parse trees
- Parse trees represent structure of programs
- Ambiguous CFGs exist
- Some forms of ambiguity can be fixed by changing the grammar
- Grammars can be simplified by left-factoring
- Left recursion in a CFG can be eliminated
- CF languages can be recognized using Pushdown Automata

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