# CMPT 379 Compilers

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#### Parsing - Roadmap

- Parser:
  - decision procedure: builds a parse tree
- Top-down vs. bottom-up
- LL(1) Deterministic Parsing
  - recursive-descent
  - table-driven
- LR(k) Deterministic Parsing
  - LR(0), SLR(1), LR(1), LALR(1)
- Parsing arbitrary CFGs Polynomial time parsing

#### Top-Down vs. Bottom Up

Grammar:  $S \rightarrow AB$ 

Input String: ccbca

 $A \rightarrow c \mid \epsilon$ 

 $B \rightarrow cbB \mid ca$ 

Top-Down/le	eftmost	Bottom-Up/rightmost				
$S \Rightarrow AB$	S→AB	ccbca ← Acbca	A→c			
⇒cB	A→c	← AcbB	B→ca			
⇒ ccbB	B→cbB	←AB	B→cbB			
⇒ccbca	B→ca	$\Leftarrow$ S	S→AB			

#### Bottom-up parsing overview

- Start from terminal symbols, search for a path to the start symbol
- Apply shift and reduce actions: postpone decisions
- LR parsing:
  - L: left to right parsing
  - R: rightmost derivation (in reverse or bottom-up)
- $LR(0) \rightarrow SLR(1) \rightarrow LR(1) \rightarrow LALR(1)$ 
  - o or 1 or k lookahead symbols

#### Actions in Shift-Reduce Parsing

- Shift
  - add terminal to parse stack, advance input
- Reduce
  - If  $\alpha$ w on stack, and  $A \rightarrow$  w, and there is a  $\beta \in T^*$  such that  $S \Rightarrow^*_{rm} \alpha A \beta \Rightarrow_{rm} \alpha w \beta$  then we can prune the handle w; we reduce  $\alpha$ w to  $\alpha A$  on the stack
  - $-\alpha w$  is a viable prefix
- Error
- Accept

#### Questions

- When to shift/reduce?
  - What are valid handles?
  - Ambiguity: Shift/reduce conflict
- If reducing, using which production?
  - Ambiguity: Reduce/reduce conflict

# Rightmost derivation for id + id \* id

$$E \rightarrow E + E$$

$$E \rightarrow E * E$$

$$E \rightarrow (E)$$

$$E \rightarrow -E$$

$$E \rightarrow id$$

$$E \Rightarrow E * E$$

$$\Rightarrow$$
 E \* id

$$\Rightarrow$$
 E + E \* id

$$\Rightarrow$$
 E + id \* id

$$\Rightarrow$$
 id + id \* id

reduce with 
$$E \rightarrow id$$

$$E \Rightarrow^*_{rm} E + E \setminus^* id$$

#### LR Parsing

- Table-based parser
  - Creates rightmost derivation (in reverse)
  - For "less massaged" grammars than LL(1)
- Data structures:
  - Stack of states/symbols {s}
  - Action table:  $action[s, a]; a \in T$
  - Goto table:  $goto[s, X]; X \subseteq N$

**Productions** 1  $T \rightarrow F$  $T \rightarrow T*F$ Action/Goto Table  $F \rightarrow id$ \* id F  $\mathbf{F} \rightarrow (\mathbf{T})$ **S5 S**8 **R**1 **R**1 **R**1 **R**1 **R**1 **S**3 Acc! 3 **S5 S**8 4 4 R2 R2 R2 R2 R2 5 **S5 S**8 6 6 **S**3 **S**7 **R**4 **R**4 **R**4 **R**4 **R**4 8 **R3 R3 R**3 **R**3 **R**3 20° 9 Trace "(id)\*id"

Stack	Input	Action
0	( id ) * id \$	Shift S5
0 5	id)*id\$	Shift S8
058	) * id \$	Reduce 3 F→id,
		pop 8, goto [5,F]=1
051	) * id \$	Reduce 1 $T \rightarrow F$ ,
		pop 1, goto [5,T]=6
056	) * id \$	Shift S7
0567	* id \$	Reduce 4 $F \rightarrow (T)$ ,
		pop 7 6 5, goto [0,F]=1
0 1	* id \$	Reduce $1 T \rightarrow F$
		pop 1, goto [0,T]=2

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]	Produ	ctions					*	(	)	id	\$	T	F
1	<b>T</b> →	F						<b>S</b> 5		<b>S</b> 8		2	1
2	<b>T</b> →	T*F	66/;	d)*id''		1	R1	R1	R1	R1	R1		
3	F→	id		u) iu		2	S3				A		
				Input	A	3		S5		S8			4
4	F→				CL	4	R2	R2	R2	R2	R2		
		$\begin{bmatrix} 0 \\ 0 \end{bmatrix}$		(id)*id\$		)		S5		<b>S</b> 8		6	1
		0 5		id ) * id \$	Sh	6	<b>S</b> 3		<b>S</b> 7				
		058		) * id \$	Re	7	R4	R4	R4	R4	R4		
					po	8	R3	R3	R3	R3	R3		
		051		) * id \$ Reduce $1 T \rightarrow F$ ,									
					pop 1, goto [5,T]=6								
							Shift S7						
						Reduce 4 $F \rightarrow (T)$ ,							
					pop 7 6 5, goto [0,F]=1								
		0 1	Reduce $1 T \rightarrow F$										
		5 —	pop 1, goto [0,T]=2										

# Trace "(id)\*id"

Input	Action
* id \$	Reduce 1 T→F,
	pop 1, goto [0,T]=2
* id \$	Shift S3
id \$	Shift S8
\$	Reduce 3 F→id,
	pop 8, goto [3,F]=4
\$	Reduce 2 T→T * F
	pop 4 3 2, goto [0,T]=2
\$	Accept
	* id \$     * id \$     id \$         id \$         s

				Ī								
roduc	etions						(	)	id	\$	T	F
$T \rightarrow 1$	F						S5		S8		2	1
2 T→T*F (((id)*id))						R1	R1	R1	R1	R1		
$\begin{array}{c c} \hline 3 & F \rightarrow id \end{array}$						<b>S</b> 3				A		
					3		S5		S8			4
<b>r</b> → (		-	Lagard	A 04:0	4	R2	R2	R2	R2	R2		
	Stack		Input	Actio	5		S5		S8		6	1
	0 1		* id \$	Reduc	6	<b>S</b> 3		S7				
				pop 1,	7	R4	R4	R4	R4	R4		
				Shift S	8	R3	R3	R3	R3	R3		
023			id \$	1 \$ Shift S8								
				Reduc	e 3	F→	id,					
					pop 8, goto [3,F]=4							
	0234											
			'									
	0 2		\$		ð°*	- L3	7 <b>- J</b>	_				
	$T \rightarrow T$ $T \rightarrow T$ $F \rightarrow T$	$F \rightarrow Id$ $F \rightarrow (T)$ Stack $0 1$ $0 2$ $0 2 3$ $0 2 3$ $0 2 3$	$T \rightarrow F$ $T \rightarrow T^*F$ $F \rightarrow id$ $F \rightarrow (T)$ Stack $0 \ 1$ $0 \ 2$ $0 \ 2 \ 3$ $0 \ 2 \ 3 \ 8$ $0 \ 2 \ 3 \ 4$	T→F T→T*F F→id F→(T)  Stack Input 01 * id \$ 02 * id \$ 02 3 6 0238 \$ 0234 \$	T→F T→T*F F→id F→(T)  Stack Input Action 01 * id \$ Reduction pop 1, 02 * id \$ Shift \$	T→F  T→T*F  F→id  F→id  Stack  Input  Actio  0  1  2  3  4  5  0  1  2  3  4  5  0  1  2  3  4  5  0  1  8  F→(T)  4  Shift S  8  0  1  2  3  4  Shift S  8  Pop 1,  7  8  Shift S  8  Reduce 3  Pop 8, got  Reduce 2  Pop 4 3 2,	T→F  T→T*F  ('(id)*id''  F→id  F→id  Stack  Input  Actio  Reduc  F→ (F)  Stack  Input  Actio  Note: Actio	T→F  T→T*F  F→id  F→(T)  Stack  Input  Actio  Reduc  F→(T)  Stack  Input  Actio  Signature  F→(T)  Stack  Input  Actio  Signature  F→(T)  Actio  Signature  F→(T)  Stack  Input  Actio  Signature  F→(T)  F→(T)  Signature  F→(T)  F→(T)  Signature  F→(T)  F	T→F T→T*F F→id F→(T)  Stack  Input  Actio 5  Stack  Input  Actio 5  Stack  O 1  * id \$  Reduc 6  S3  S7  pop 1, 7  R4  R4  R4  R4  R4  R4  Shift \$  0 2 3 4  Shift \$  Reduce 3 F→id, pop 8, goto [3,F]=4 Reduce 2 T→T * F pop 4 3 2, goto [0,T]=	T→F  T→T*F  F→id  Stack  Input  Actio  Note: The property of	T→F  T→T*F  ('(id)*id')*id')*  F→id  F→(T)  Stack  Input  Actio  Reduc  pop 1,  Reduc  pop 1,  Reduc 6 S3 S7  pop 1,  Shift S8  Reduce 3 F→id,  pop 8, goto [3,F]=4  Reduce 2 T→T * F  pop 4 3 2, goto [0,T]=2	T→F  T→T*F  ('(id)*id')  T→T*F  F→id  F→(T)  Stack  Input  Actio  * id \$ Reduc  pop 1,  T→R4  R4  R4  R4  R4  R4  R4  R4  R4  R4

#### Tracing LR: action[s, a]

- case **shift** u:
  - push state u
  - read new a
- case **reduce** r:
  - lookup production  $r: X \rightarrow Y_1...Y_k$ ;
  - pop k states, find state u
  - push **goto**[u, X]
- case accept: done
- no entry in action table: error

## Configuration set

- Each set is a parser state
- We use the notion of a dotted rule or item:

$$T \rightarrow T * \bullet F$$

 The dot is before F, so we predict all rules with F as the left-hand side

$$T \rightarrow T * \bullet F$$
 $F \rightarrow \bullet (T)$ 
 $F \rightarrow \bullet id$ 

This creates a configuration set (or item set)

#### Closure

#### Closure property:

- If  $T \rightarrow X_1 \dots X_i$   $X_{i+1} \dots X_n$  is in set, and  $X_{i+1}$  is a nonterminal, then  $X_{i+1} \rightarrow Y_1 \dots Y_m$  is in the set as well for all productions  $X_{i+1} \rightarrow Y_1 \dots Y_m$
- Compute as fixed point
- The closure property creates a configuration set (item set) from a dotted rule (item).

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#### Starting Configuration

- Augment Grammar with S'
- Add production S' → S
- Initial configuration set is

 $closure(S' \rightarrow \bullet S)$ 

## Example: $I = closure(S' \rightarrow \bullet T)$

$$S' \rightarrow \bullet T$$
 $T \rightarrow \bullet T * F$ 
 $T \rightarrow \bullet F$ 
 $F \rightarrow \bullet id$ 
 $F \rightarrow \bullet (T)$ 

$$S' \rightarrow T$$

$$T \rightarrow F \mid T * F$$

$$F \rightarrow id \mid (T)$$

#### Successor(I, X)

Informally: "move by symbol X"

- move dot to the right in all items where dot is before X
- remove all other items (viable prefixes only!)
- 3. compute closure

#### Successor Example

$$I = \{S' \rightarrow \bullet T, \\ T \rightarrow \bullet F, \\ T \rightarrow \bullet T * F, \\ F \rightarrow \bullet id, \\ F \rightarrow \bullet (T) \}$$

$$S' \rightarrow T$$
 $T \rightarrow F \mid T * F$ 
 $F \rightarrow id \mid (T)$ 

Compute Successor(I, "(")

$$\{ F \rightarrow ( \bullet T ), T \rightarrow \bullet F, T \rightarrow \bullet T * F,$$

$$F \rightarrow \bullet id, F \rightarrow \bullet (T) \}$$

#### Sets-of-Items Construction

```
Family of configuration sets

function items(G')

C = { closure({S' → • S}) };

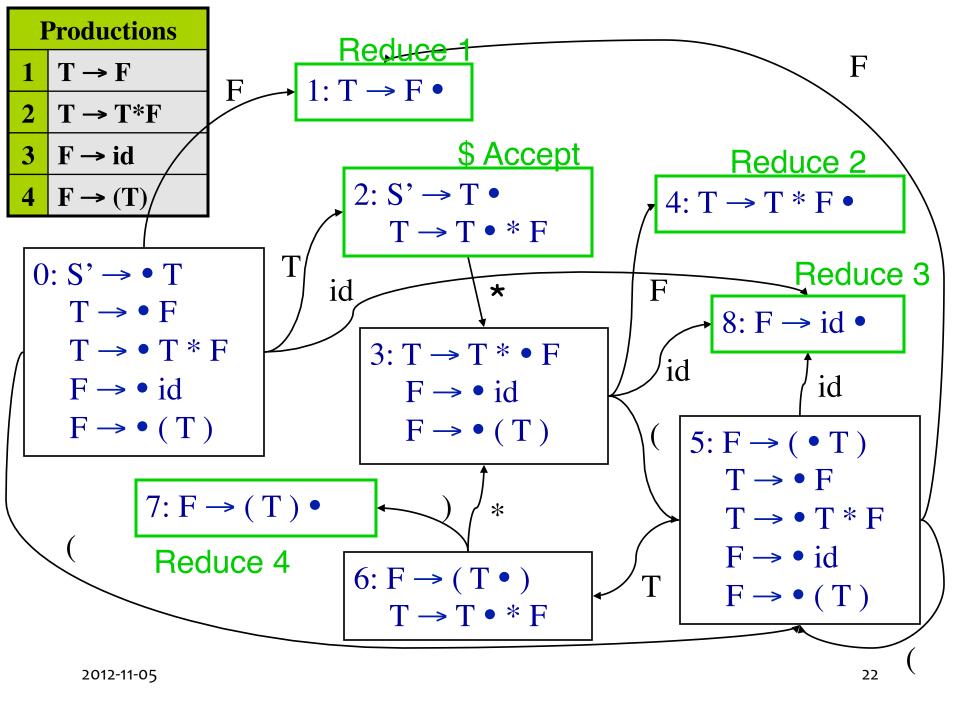
do foreach I ∈ C do

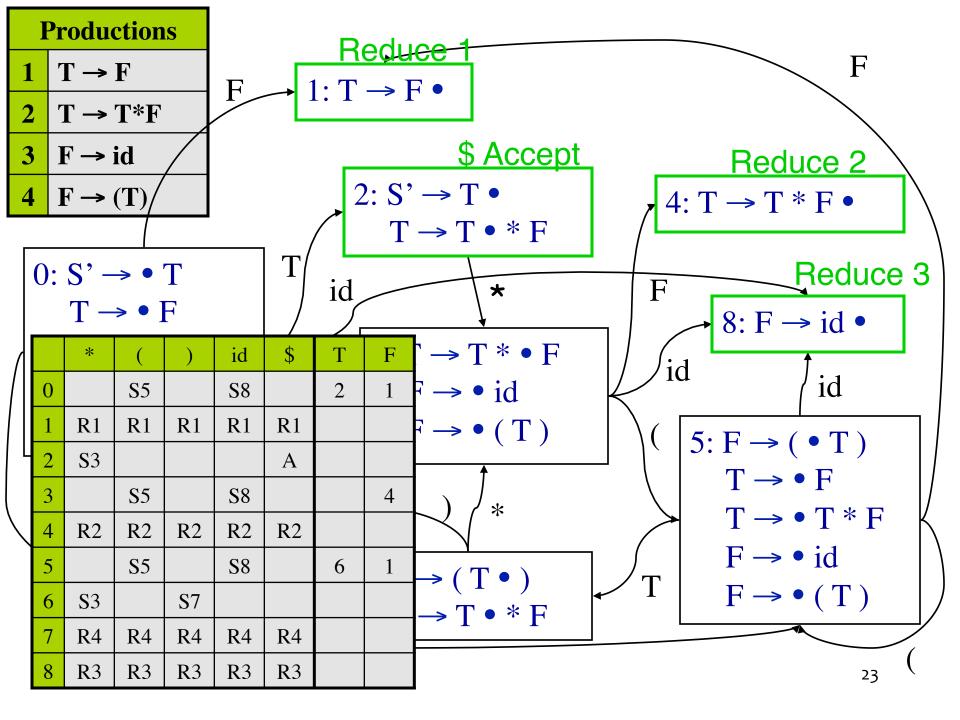
foreach X ∈ (N ∪ T) do

C = C ∪ { Successor(I, X) };

while C changes;
```

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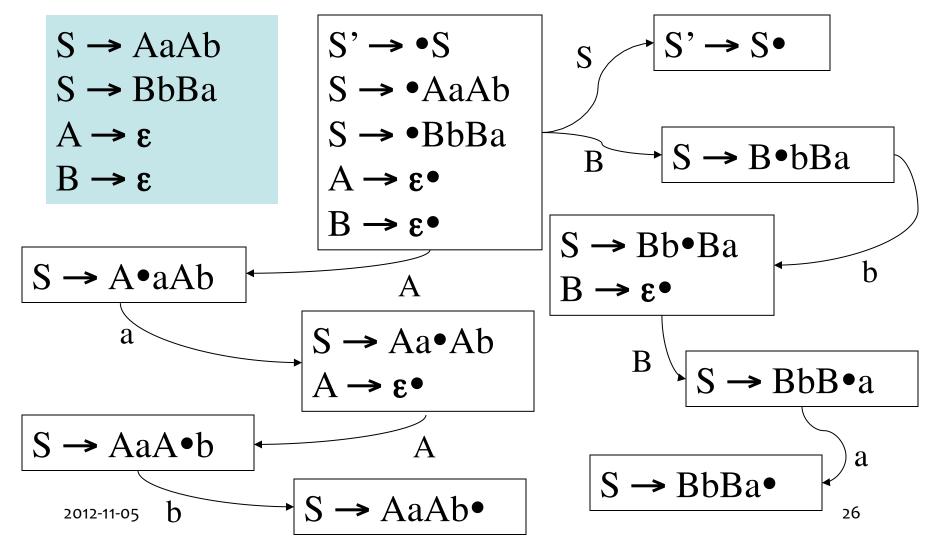
#### LR(o) Construction

- 1. Construct  $F = \{I_0, I_1, \dots I_n\}$
- 2. a) if  $\{A \rightarrow \alpha \bullet\} \in I_i$  and A != S'then action[i, \_] := reduce  $A \rightarrow \alpha$ 
  - b) if {S' → S•} ∈ I<sub>i</sub>
    then action[i,\$] := accept
  - c) if  $\{A \rightarrow \alpha \bullet a\beta\} \in I_i$  and Successor $(I_i,a) = I_j$ then action[i,a] := shift j
- 3. if Successor( $I_i$ ,A) =  $I_i$  then goto[i,A] := i

# LR(o) Construction (cont'd)

- 4. All entries not defined are errors
- 5. Make sure  $I_0$  is the initial state
- Note: LR(o) always reduces if  $\{A \rightarrow \alpha \bullet\} \in I_i$ , no lookahead
- Shift and reduce items can't be in the same configuration set
  - Accepting state doesn't count as reduce item
- At most one reduce item per set

#### Set-of-items with Epsilon rules



## LR(o) conflicts:

```
S' \rightarrow T
T \rightarrow F
T \rightarrow T * F
T \rightarrow id
F \rightarrow id \mid (T)
F \rightarrow id = T;
```

```
11: F \rightarrow id \bullet
F \rightarrow id \bullet = T
Shift/reduce conflict
```

```
1: F → id •

T → id •

Reduce/Reduce conflict
```

Need more lookahead: SLR(1)

### LR(o) Grammars

- An LR(o) grammar is a CFG such that the LR(o) construction produces a table without conflicts (a deterministic pushdown automata)
- $S \Rightarrow^*_{rm} \alpha A\beta \Rightarrow_{rm} \alpha w\beta$  and  $A \rightarrow w$  then we can prune the handle w
  - pruning the handle means we can reduce  $\alpha w$  to  $\alpha A$  on the stack
- Every viable prefix  $\alpha w$  can recognized using the DFA built by the LR(o) construction

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#### LR(o) Grammars

- Once we have a viable prefix on the stack, we can prune the handle and then restart the DFA to obtain another viable prefix, and so on ...
- In LR(o) pruning the handle can be done without any look-ahead
  - this means that in the rightmost derivation,
  - $S \Rightarrow^*_{rm} \alpha A \beta \Rightarrow_{rm} \alpha w \beta$  we reduce using a unique rule  $A \rightarrow w$  without ambiguity, and without looking at  $\beta$
- No ambiguous context-free grammar can be LR(o)

#### LR(o) Grammars ⊂ Context-free Grammars

SLR(1): Simple LR(1) Parsing

```
0: S' \to \bullet T
T \to \bullet F
T \to \bullet T * F
T \to \bullet C (T)
F \to \bullet id
F \to \bullet id ++
F \to \bullet (T)
C \to \bullet id
```

```
S' \rightarrow T
T \rightarrow F \mid T * F \mid C (T)
F \rightarrow id \mid id ++ \mid (T)
C \rightarrow id
```

1: 
$$F \rightarrow id \bullet$$

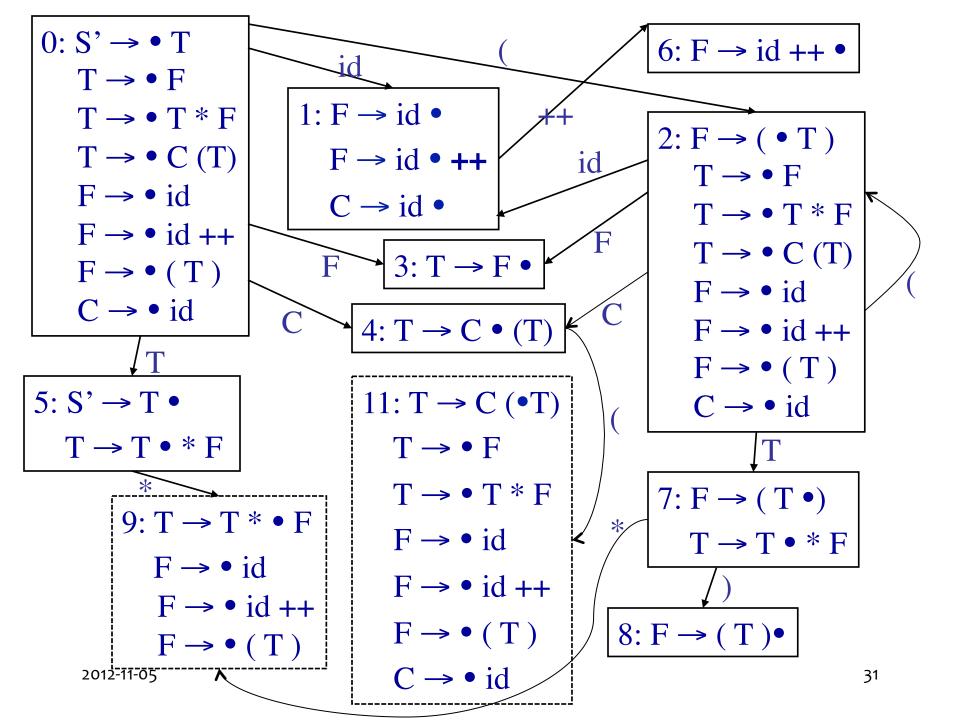
$$F \rightarrow id \bullet ++$$

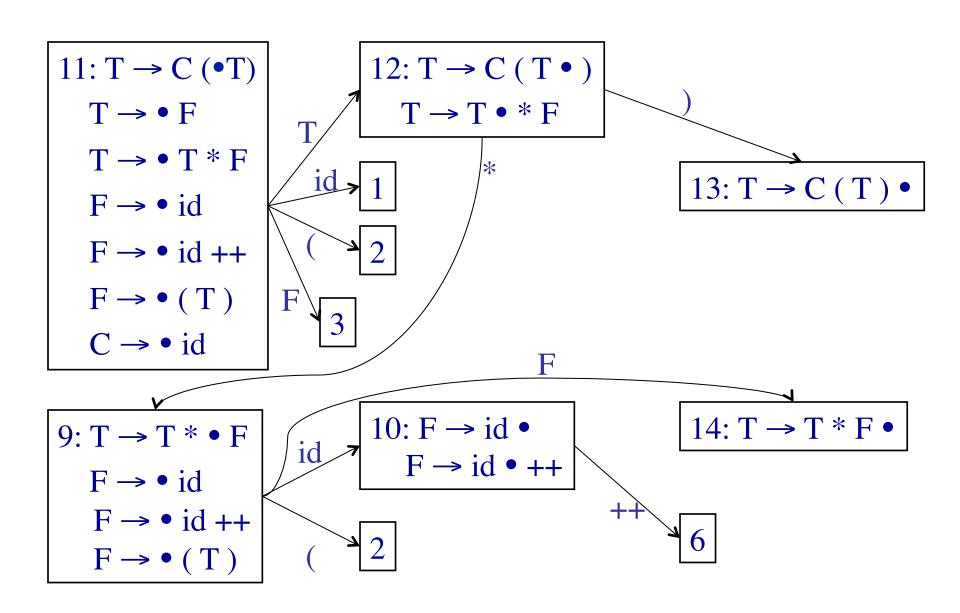
$$C \rightarrow id \bullet$$

Follow(F) = 
$$\{ *, ), $ \}$$
  
Follow(C) =  $\{ ( \}$ 

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action[1,\*]= action[1,)] = action[1,\$] = Reduce 
$$F \rightarrow id$$
  
action[1,(] = Reduce  $C \rightarrow id$   
action[1,++] = Shift





Productions							
1	$T \rightarrow F$						
2	T → T*F						
3	$T \rightarrow C(T)$						
4	$F \rightarrow id$						
5	F → id ++						
6	$F \rightarrow (T)$						
7	$C \rightarrow id$						

	*	(	)	id	++	\$	T	F	C
0		S2		<b>S</b> 1			5	3	4
1	R4	R7	R4		S2	R4			
2		S2		<b>S</b> 1			7	3	4
3	R1		R1			R1			
4		S11							
5	<b>S</b> 9					A			
6	R5		R5			R5			
7	<b>S</b> 9		S8						
8	R6		R6			R6			
9		S2		S10				14	
10	R4		R4		<b>S</b> 6	R4			
11		S2		S1			12	3	
12	<b>S</b> 9		S13						
13	R3		R3			R3			
14	R2		R2			R2			

### SLR(1) Construction

1. Construct  $F = \{I_0, I_1, \dots I_n\}$ 2. a) if  $\{A \rightarrow \alpha^{\bullet}\} \in I_i$  and A := S'then action[i, b] := reduce A  $\rightarrow \alpha$ for all  $b \in Follow(A)$ b) if  $\{S' \rightarrow S \bullet \} \in I_i$ then action[i, \$] := accept c) if  $\{A \rightarrow \alpha \bullet a\beta\} \in I_i$  and Successor $(I_i, a) = I_i$ then action[i, a] := shift j 3. if Successor( $I_i$ , A) =  $I_i$  then goto[i, A] := i

## SLR(1) Construction (cont'd)

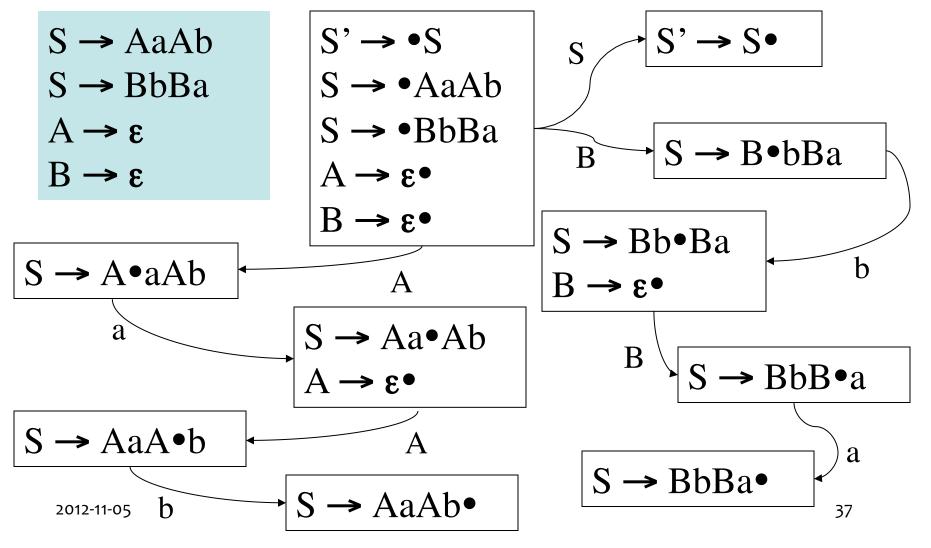
- 4. All entries not defined are errors
- 5. Make sure  $I_0$  is the initial state
- Note: SLR(1) only reduces
   {A → α•} if lookahead in Follow(A)
- Shift and reduce items or more than one reduce item can be in the same configuration set as long as lookaheads are disjoint

### SLR(1) Conditions

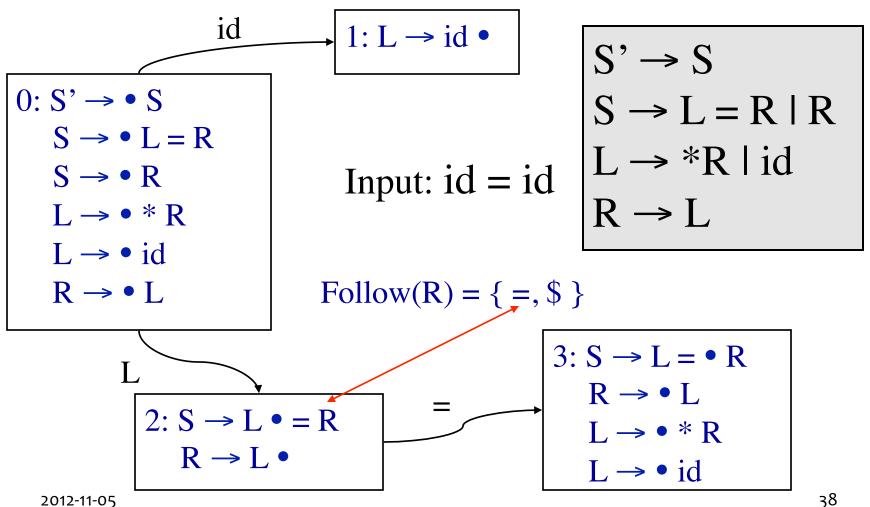
- A grammar is SLR(1) if for each configuration set:
  - For any item {A →  $\alpha$ •xβ: x ∈ T} there is no {B →  $\gamma$ •: x ∈ Follow(B)}
  - For any two items {A →  $\alpha$ •} and {B →  $\beta$ •} Follow(A) ∩ Follow(B) = Ø

LR(o) Grammars  $\subseteq$  SLR(1) Grammars

# Is this grammar SLR(1)?



#### SLR limitation: lack of context



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$$S' \rightarrow S$$
  
 $S \rightarrow L = R \mid R$   
 $L \rightarrow *R \mid id$   
 $R \rightarrow L$ 

S'

R

\$

id

$$Follow(R) = \{ =, \$ \}$$

2: 
$$S \rightarrow L \bullet = R$$
  
 $R \rightarrow L \bullet$ 

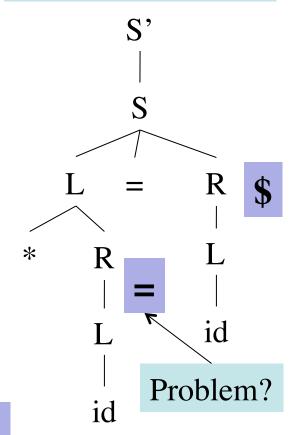
R

id

\$

S'

Find all lookaheads for reduce  $R \rightarrow L$  •



No!  $R \rightarrow L \bullet$  reduce and  $S \rightarrow L \bullet = R$  do not co-occur due to the  $L \rightarrow *R$  rule

id

#### Solution: Canonical LR(1)

- Extend definition of configuration
  - Remember lookahead
- New closure method
- Extend definition of Successor

# LR(1) Configurations

• [A  $\rightarrow \alpha$ • $\beta$ , a] for a  $\in$  T is valid for a viable prefix  $\delta \alpha$  if there is a rightmost derivation S  $\Rightarrow$ \*  $\delta A \eta \Rightarrow$ \*  $\delta \alpha \beta \eta$  and  $(\eta = a \gamma)$  or  $(\eta = \epsilon)$ 

- Notation: [A  $\rightarrow \alpha$ • $\beta$ , a/b/c]
  - if [A → α•β, a], [A → α•β, b], [A → α•β, c] are valid configurations

## LR(1) Configurations

$$S \rightarrow B B$$
  
  $B \rightarrow a B \mid b$ 

$$S \Rightarrow BB \Rightarrow BaB \Rightarrow Bab$$
  
 $\Rightarrow aBab \Rightarrow aaBab \Rightarrow aaaBab$ 

- $S \Rightarrow^*_{rm} aaBab \Rightarrow_{rm} aaaBab$
- Item [B → a B, a] is valid for viable prefix
- $S \Rightarrow^*_{rm} BaB \Rightarrow_{rm} BaaB$
- Also, item [B → a B, \$] is valid for viable prefix Baa

 $S \Rightarrow BB \Rightarrow BaB \Rightarrow BaaB$ 

### LR(1) Closure

#### Closure property:

- If  $[A \rightarrow \alpha \bullet B\beta, a]$  is in set, then  $[B \rightarrow \bullet \gamma, b]$  is in set if  $b \in First(\beta a)$
- Compute as fixed point
- Only include contextually valid lookaheads to guide reducing to B

#### Starting Configuration

- Augment Grammar with S' just like for LR(o), SLR(1)
- Initial configuration set is

$$I = closure([S' \rightarrow \bullet S, \$])$$

## Example: closure( $[S' \rightarrow \bullet S, \$]$ )

$$[S' \rightarrow \bullet S, \$]$$

$$[S \rightarrow \bullet L = R, \$]$$

$$[S \rightarrow \bullet R, \$]$$

$$[L \rightarrow \bullet * R, =]$$

$$[L \rightarrow \bullet id, =]$$

$$[R \rightarrow \bullet L, \$]$$

$$[L \rightarrow \bullet * R, \$]$$

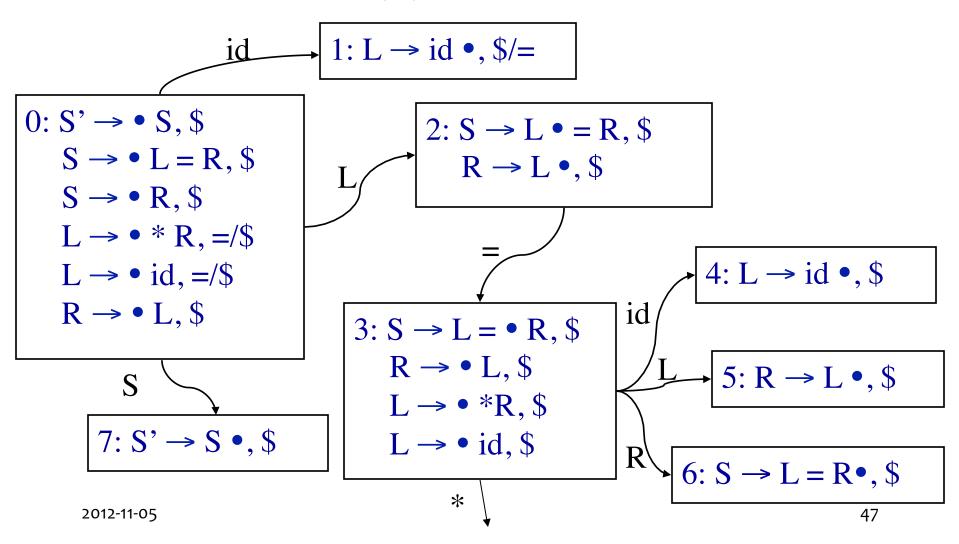
$$[L \rightarrow \bullet id, \$]$$

$$S' \rightarrow S$$
  
 $S \rightarrow L = R \mid R$   
 $L \rightarrow *R \mid id$   
 $R \rightarrow L$ 

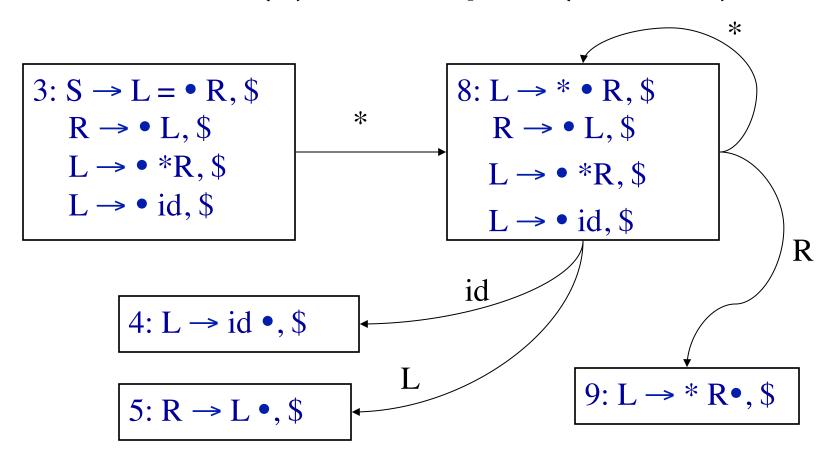
## LR(1) Successor(C, X)

- Let  $I = [A \rightarrow \alpha \bullet B\beta, a]$  or  $[A \rightarrow \alpha \bullet b\beta, a]$
- Successor(I, B)
  - = closure([A  $\rightarrow \alpha$ B  $\bullet \beta$ , a])
- Successor(I, b)
  - = closure([A  $\rightarrow \alpha b \cdot \beta, a]$ )

## LR(1) Example

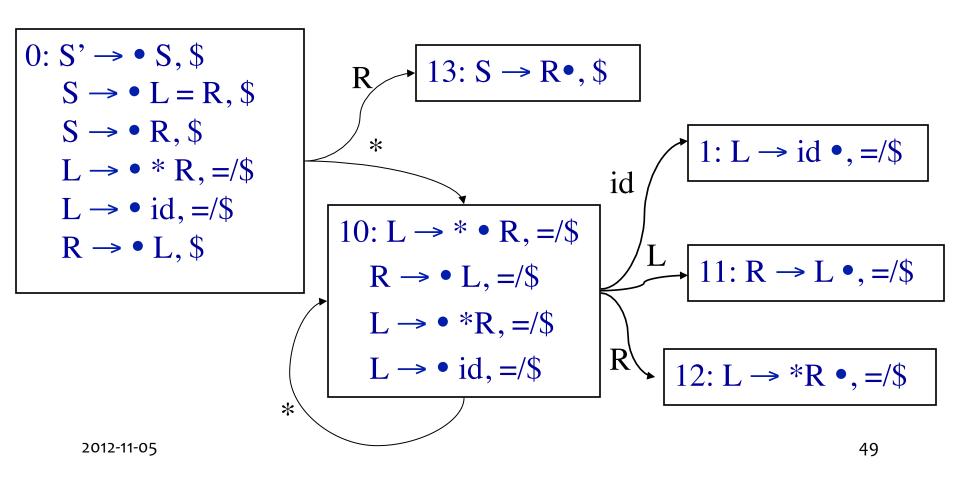


### LR(1) Example (contd)



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## LR(1) Example (contd)



Productions						
1	$S \rightarrow L = R$					
2	$S \rightarrow R$					
3	L → * R					
4	L → id					
5	$R \rightarrow L$					

	id	=	*	\$	S	L	R
0	<b>S</b> 1		<b>S10</b>		7	2	13
1		R4		R4			
2		<b>S</b> 3		R5			
3	S4		<b>S</b> 8			5	6
4				R4			
5				R5			
6				R1			
7				Acc			
8	S4					5	9
9				R3			
10	<b>S</b> 1		<b>S10</b>			11	12
11		R5		R5			
12		R3		R3			
13				R2			

#### LR(1) Construction

1. Construct  $F = \{I_0, I_1, \dots I_n\}$ 2. a) if  $[A \rightarrow \alpha^{\bullet}, a] \in I_i$  and A := S'then action[i, a] := reduce A  $\rightarrow \alpha$ b) if  $[S' \rightarrow S^{\bullet}, \$] \in I_i$ then action[i, \$] := accept c) if  $[A \rightarrow \alpha \bullet a\beta, b] \in I_i$  and Successor( $I_i$ , a)= $I_i$ then action[i, a] := shift i 3. if Successor( $I_i$ , A) =  $I_i$  then goto[i, A] := j

## LR(1) Construction (cont'd)

- 4. All entries not defined are errors
- 5. Make sure  $I_0$  is the initial state
- Note: LR(1) only reduces using A  $\rightarrow \alpha$  for [A  $\rightarrow \alpha$ •, a] if a follows
- LR(1) states remember context by virtue of lookahead
- Possibly many states!
  - LALR(1) combines some states

### LR(1) Conditions

- A grammar is LR(1) if for each configuration set (itemset) the following holds:
  - For any item [A → α•xβ, a] with x ∈ T there is no [B →  $\gamma$ •, x]
  - For any two complete items [A  $\rightarrow \gamma \bullet$ , a] and [B  $\rightarrow \beta \bullet$ , b] then a != b.
- Grammars:
  - $LR(0) \subset SLR(1) \subset LR(1) \subset LR(k)$
- Languages expressible by grammars:
  - $LR(0) \subset SLR(1) \subset LR(1) = LR(k)$

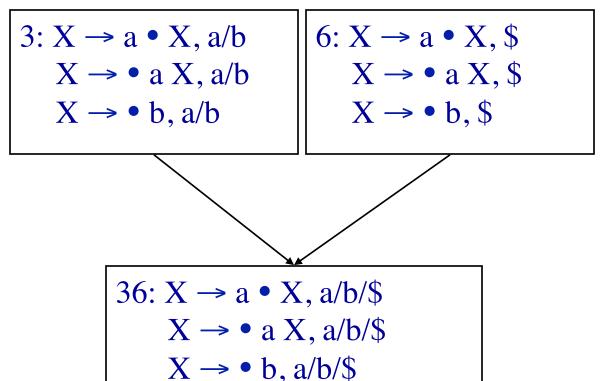
#### Canonical LR(1) Recap

- LR(1) uses left context, current handle and lookahead to decide when to reduce or shift
- Most powerful parser so far
- LALR(1) is practical simplification with fewer states

## Merging States in LALR(1)

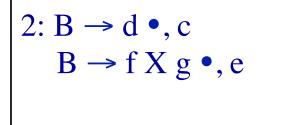
• 
$$S' \rightarrow S$$
  
 $S \rightarrow XX$   
 $X \rightarrow aX$   
 $X \rightarrow b$ 

- Same CoreSet
- Different lookaheads



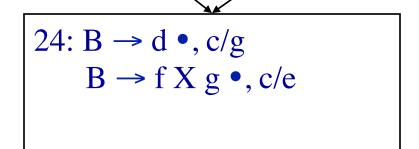
### R/R conflicts when merging

• 
$$B \rightarrow d$$
  
 $B \rightarrow f X g$   
 $X \rightarrow ...$ 



 $\begin{array}{c} 4: B \to d \bullet, g \\ B \to f X g \bullet, c \end{array}$ 

 If R/R conflicts are introduced, grammar is not LALR(1)!



### LALR(1)

- LALR(1) Condition:
  - Merging in this way does not introduce reduce/ reduce conflicts
  - Shift/reduce can't be introduced
- Merging brute force or step-by-step
- More compact than canonical LR, like SLR(1)
- More powerful than SLR(1)
  - Not always merge to full Follow Set

### S/R & ambiguous grammars

- Lx(k) Grammar vs. Language
  - Grammar is Lx(k) if it can be parsed by Lx(k) method
     according to criteria that is specific to the method.
  - A Lx(k) grammar may or may not exist for a language.
- Even if a given grammar is not LR(k), shift/ reduce parser can sometimes handle them by accounting for ambiguities
  - Example: 'dangling' else
    - Preferring shift to reduce means matching inner 'if'

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### Dangling 'else'

- 1.  $S \rightarrow if E then S$
- 2.  $S \rightarrow \text{if E then S else S}$
- Viable prefix "if E then if E then S"
  - Then read else
- Shift "else" (means go for 2)
- Reduce (reduce using production #1)
- NB: dangling else as written above is ambiguous
  - NB: Ambiguity can be resolved, but there's still no LR(k) grammar

#### Precedence & Associativity

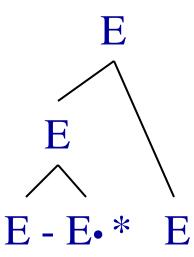
Consider

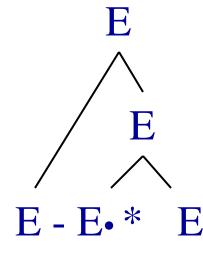
$$E \rightarrow E - E \mid E * E \mid id$$

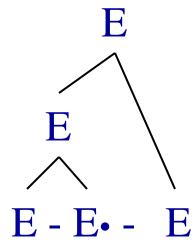
Reduce

Shift

Reduce







#### Precedence Relations

- Let  $A \rightarrow w$  be a rule in the grammar
- And b is a terminal
- In some state q of the LR(1) parser there is a shift-reduce conflict:
  - either reduce with A  $\rightarrow$  w or shift on b
- Write down a rule, either:

$$A \rightarrow w$$
,  $< b$  or  $A \rightarrow w$ ,  $> b$ 

#### Precedence Relations

- A → w, < b means rule has less precedence and so we shift if we see b in the lookahead
- A → w, > b means rule has higher precedence and so we reduce if we see b in the lookahead
- If there are multiple terminals with shiftreduce conflicts, then we list them all:

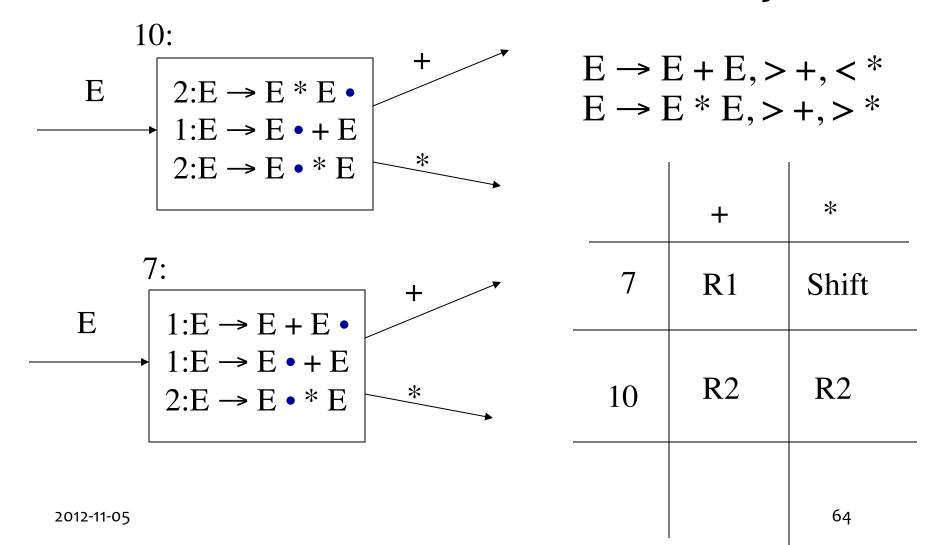
$$A \rightarrow w$$
,  $> b$ ,  $< c$ ,  $> d$ 

#### Precedence Relations

- Consider the grammar
   E → E + E | E \* E | (E) | a
- Assume left-association so that E+E+E is interpreted as (E+E)+E
- Assume multiplication has higher precedence than addition
- Then we can write precedence rules/relns:

$$E \rightarrow E + E, > +, < *$$
  
 $E \rightarrow E * E, > +, > *$ 

#### Precedence & Associativity



### Handling S/R & R/R Conflicts

- Have a conflict?
  - No? Done, grammar is compliant.
- Already using most powerful parser available?
  - No? Upgrade and goto 1
- Can the grammar be rearranged so that the conflict disappears?
  - While preserving the language!

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#### Conflicts revisited (cont'd)

- Can the grammar be rearranged so that the conflict disappears?
  - No?
    - Is the conflict S/R and does shift-to-reduce preference yield desired result?
      - Yes: Done. (Example: dangling else)
    - Else: Bad luck
  - Yes: Is it worth it?
    - Yes, resolve conflict.
    - No: live with default or specified conflict resolution (precedence, associativity)

#### Compiler (parser) compilers

- Rather than build a parser for a particular grammar (e.g. recursive descent), write down a grammar as a text file
- Run through a compiler compiler which produces a parser for that grammar
- The parser is a program that can be compiled and accepts input strings and produces user-defined output

#### Compiler (parser) compilers

- For LR parsing, all it needs to do is produce action/goto table
  - Yacc (yet another compiler compiler) was distributed with Unix, the most popular tool. Uses LALR(1).
  - Many variants of yacc exist for many languages
- As we will see later, translation of the parse tree into machine code (or anything else) can also be written down with the grammar
- Handling errors and interaction with the lexical analyzer have to be precisely defined

#### Parsing - Summary

- Top-down vs. bottom-up
- Lookahead: FIRST and FOLLOW sets
- LL(1) Parsing: O(n) time complexity
  - recursive-descent and table-driven predictive parsing
- LR(k) Parsing : O(n) time complexity
  - LR(0), SLR(1), LR(1), LALR(1)
- Resolving shift/reduce conflicts
  - using precedence, associativity

#### Set-of-items with Epsilon rules

