

# Double Graph Based Reasoning for Document-level Relation Extraction

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# Task

- Relation extraction in document level

## Elias Brown

[1] *Elias Brown* (May 9, 1793– July 7, 1857) was a **U.S.** Representative from **Maryland**. [2] Born near **Baltimore, Maryland**, *Brown* attended the common schools. ... [7] He died near **Baltimore, Maryland**, and is interred in a private cemetery near **Eldersburg, Maryland**.

Subject: **Maryland**

Object: **U.S.**

relation: **country**

Subject: **Baltimore; Eldersburg**

Object: **Maryland**

relation: **located in the administrative territorial entity**

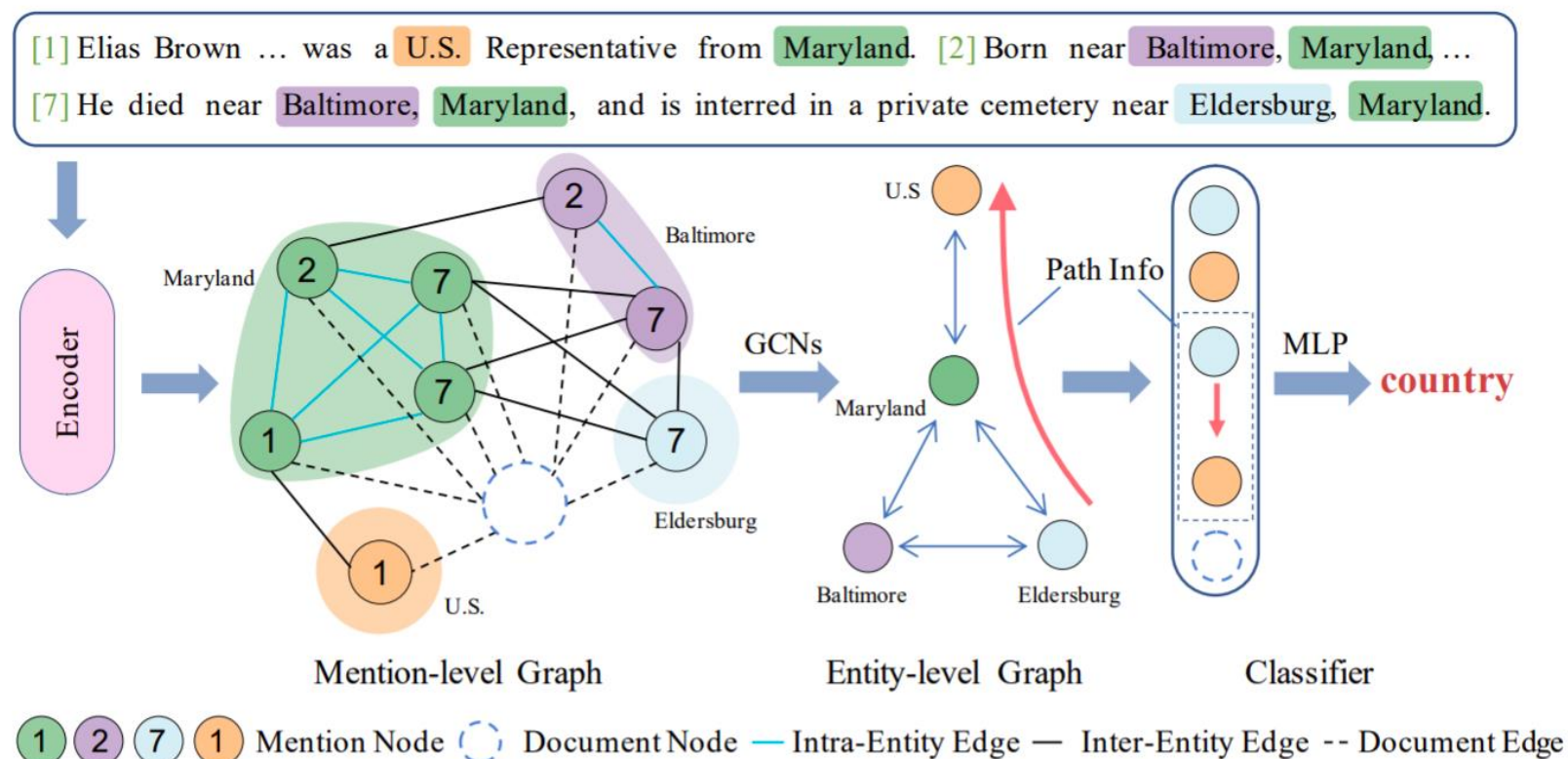
Subject: **Baltimore; Eldersburg**

Object: **U.S.**

relation: **country**

# Motivation

- Infer document structure
  - Improve mention representation
  - Perform two-hop reasoning on entity graph



# Encoder

- Encode words by word embedding, entity type and coreference embedding:

$$x_i = [E_w(w_i); E_t(t_i); E_c(c_i)]$$

- Encode the sequential order of the words:

$$[g_1, g_2, \dots, g_n] = \text{Encoder}([x_1, x_2, \dots, x_n])$$

# Mention-level Graph Aggregation Module

- Nodes:
  - Entity mentions
  - Document node
- Edges:
  - Intra-entity edge: All entity mentions are connected to each other
  - Inter-entity edge: Entity mentions of two entities that cooccur in a sentence are connected to each other
  - Document Edge: The document node is connected to all edges
- GCN is applied over the constructed graph

$$\mathbf{m}_u = [h_u^{(0)}; h_u^{(1)}; \dots; h_u^{(N)}]$$

# Entity-level Graph Inference Module

- Use entity mentions to represent the entity nodes:

$$\mathbf{e}_i = \frac{1}{N} \sum_n \mathbf{m}_n$$

- Represent edges from entities:

$$\mathbf{e}_{ij} = \sigma(W_q[\mathbf{e}_i; \mathbf{e}_j] + b_q)$$

- Path reasoning:

$$\mathbf{p}_{h,t}^i = [\mathbf{e}_{ho}; \mathbf{e}_{ot}; \mathbf{e}_{to}; \mathbf{e}_{oh}]$$

$$s_i = \sigma([\mathbf{e}_h; \mathbf{e}_t] \cdot W_l \cdot \mathbf{p}_{h,t}^i)$$

$$\alpha_i = \frac{e^{s_i}}{\sum_j e^{s_j}}$$

$$\mathbf{p}_{h,t} = \sum_i \alpha_i \mathbf{p}_{h,t}^i$$

# Classification

- Prediction based on the vector:

$$I_{h,t} = [\mathbf{e}_h; \mathbf{e}_t; |\mathbf{e}_h - \mathbf{e}_t|; \mathbf{e}_h \odot \mathbf{e}_t; \mathbf{m}_{doc}; \mathbf{p}_{h,t}]$$

- Prediction:

$$P(r|\mathbf{e}_h, \mathbf{e}_t) = \text{sigmoid}(W_b \sigma(W_a I_{h,t} + b_a) + b_b)$$

- Loss:

$$\begin{aligned} \mathcal{L} = & - \sum_{\mathcal{D} \in \mathcal{S}} \sum_{h \neq t} \sum_{r_i \in \mathcal{R}} \mathbb{I}(r_i = 1) \log P(r_i | \mathbf{e}_h, \mathbf{e}_t) \\ & + \mathbb{I}(r_i = 0) \log (1 - P(r_i | \mathbf{e}_h, \mathbf{e}_t)) \end{aligned}$$



# Results

Model	Dev				Test	
	Ign F1	Ign AUC	F1	AUC	Ign F1	F1
CNN* (Yao et al., 2019)	41.58	36.85	43.45	39.39	40.33	42.26
LSTM* (Yao et al., 2019)	48.44	46.62	50.68	49.48	47.71	50.07
BiLSTM* (Yao et al., 2019)	48.87	47.61	50.94	50.26	48.78	51.06
Context-Aware* (Yao et al., 2019)	48.94	47.22	51.09	50.17	48.40	50.70
HIN-GloVe* (Tang et al., 2020)	51.06	-	52.95	-	51.15	53.30
GAT <sup>‡</sup> (Velickovic et al., 2017)	45.17	-	51.44	-	47.36	49.51
GCNN <sup>‡</sup> (Sahu et al., 2019)	46.22	-	51.52	-	49.59	51.62
EoG <sup>‡</sup> (Christopoulou et al., 2019)	45.94	-	52.15	-	49.48	51.82
AGGCN <sup>‡</sup> (Guo et al., 2019)	46.29	-	52.47	-	48.89	51.45
LSR-GloVe* (Nan et al., 2020)	48.82	-	55.17	-	52.15	54.18
<b>GAIN-GloVe</b>	<b>53.05</b>	<b>52.57</b>	<b>55.29</b>	<b>55.44</b>	<b>52.66</b>	<b>55.08</b>
BERT-RE <sub>base</sub> * (Wang et al., 2019a)	-	-	54.16	-	-	53.20
RoBERTa-RE <sub>base</sub> <sup>†</sup>	53.85	48.27	56.05	51.35	53.52	55.77
BERT-Two-Step <sub>base</sub> * (Wang et al., 2019a)	-	-	54.42	-	-	53.92
HIN-BERT <sub>base</sub> * (Tang et al., 2020)	54.29	-	56.31	-	53.70	55.60
CorefBERT-RE <sub>base</sub> * (Ye et al., 2020)	55.32	-	57.51	-	54.54	56.96
LSR-BERT <sub>base</sub> * (Nan et al., 2020)	52.43	-	59.00	-	56.97	59.05
<b>GAIN-BERT<sub>base</sub></b>	<b>59.14</b>	<b>57.76</b>	<b>61.22</b>	<b>60.96</b>	<b>59.00</b>	<b>61.24</b>
BERT-RE <sub>large</sub> * (Ye et al., 2020)	56.67	-	58.83	-	56.47	58.69
CorefBERT-RE <sub>large</sub> * (Ye et al., 2020)	56.73	-	58.88	-	56.48	58.70
RoBERTa-RE <sub>large</sub> * (Ye et al., 2020)	57.14	-	59.22	-	57.51	59.62
CorefRoBERTa-RE <sub>large</sub> * (Ye et al., 2020)	57.84	-	59.93	-	57.68	59.91
<b>GAIN-BERT<sub>large</sub></b>	<b>60.87</b>	<b>61.79</b>	<b>63.09</b>	<b>64.75</b>	<b>60.31</b>	<b>62.76</b>



# Ablation Study

Model	Dev				Test	
	Ign F1	Ign AUC	F1	AUC	Ign F1	F1
GAIN-GloVe	<b>53.05</b>	<b>52.57</b>	<b>55.29</b>	<b>55.44</b>	<b>52.66</b>	<b>55.08</b>
- <i>hMG</i>	50.97	48.84	53.10	51.73	50.76	53.06
- <i>Inference Module</i>	50.84	48.68	53.02	51.58	50.32	52.66
- <i>Document Node</i>	50.86	48.68	53.01	52.46	50.32	52.67
GAIN-BERT <sub>base</sub>	<b>59.14</b>	<b>57.76</b>	<b>61.22</b>	<b>60.96</b>	<b>59.00</b>	<b>61.24</b>
- <i>hMG</i>	57.12	51.54	59.17	54.61	57.31	59.56
- <i>Inference Module</i>	56.97	54.29	59.28	57.25	57.01	59.34
- <i>Document Node</i>	57.26	52.07	59.62	55.51	57.01	59.63

# Inter and Intra-relations

Model	Intra-F1	Inter-F1
CNN*	51.87	37.58
LSTM*	56.57	41.47
BiLSTM*	57.05	43.49
Context-Aware*	56.74	42.26
LSR-GloVe*	60.83	48.35
GAIN-GloVe	<b>61.67</b>	<b>48.77</b>
- <i>hMG</i>	59.72	46.49
BERT-RE* <sub>base</sub>	61.61	47.15
RoBERTa-RE <sub>base</sub>	65.65	50.09
BERT-Two-Step* <sub>base</sub>	61.80	47.28
LSR-BERT* <sub>base</sub>	65.26	52.05
GAIN-BERT <sub>base</sub>	<b>67.10</b>	<b>53.90</b>
- <i>hMG</i>	66.15	51.42

# Inference Relations

- Relations that need inference

$$e_h \xrightarrow{r_1} e_o \xrightarrow{r_2} e_t \quad e_h \xrightarrow{r_3} e_t$$

Model	Infer-F1	P	R
CNN	37.11	32.81	42.72
LSTM	39.03	33.16	47.44
BiLSTM	38.73	31.60	50.01
Context-Aware	39.73	<b>33.97</b>	47.85
GAIN-GloVe	<b>40.82</b>	32.76	<b>54.14</b>
- <i>Inference Module</i>	39.76	32.26	51.80
BERT-RE <sub>base</sub>	39.62	34.12	47.23
RoBERTa-RE <sub>base</sub>	41.78	37.97	46.45
GAIN-BERT <sub>base</sub>	<b>46.89</b>	<b>38.71</b>	<b>59.45</b>
- <i>Inference Module</i>	45.11	36.91	57.99

# Case Study

- [1] **The Eminem Show** is the fourth studio album by American rapper **Eminem**, released on **May 26, 2002** by Aftermath Entertainment, Shady Records, and Interscope Records.
- [2] **The Eminem Show** includes the commercially successful singles "**Without Me**", "Cleanin' Out My Closet", "Superman", and "Sing for the Moment"....

<b>BiLSTM</b>	<pre>graph LR; A[The Eminem Show] -- Performer --&gt; B[Eminem]; A -- Publication Date --&gt; C[May 26, 2002]; D[Without Me];</pre>	<b>BERT-RE</b>	<pre>graph LR; A[The Eminem Show] -- Performer --&gt; B[Eminem]; A -- Publication Date --&gt; C[May 26, 2002]; D[Without Me] -- Part of --&gt; A;</pre>
<b>GAIN-BERT</b>	<pre>graph LR; A[The Eminem Show] -- Performer --&gt; B[Eminem]; A -- Publication Date --&gt; C[May 26, 2002]; D[Without Me] -- Part of --&gt; A; D -- Publication Date --&gt; C; B -- Publication Date --&gt; C;</pre>	<b>Ground Truth</b>	<pre>graph LR; A[The Eminem Show] -- Performer --&gt; B[Eminem]; A -- Publication Date --&gt; C[May 26, 2002]; D[Without Me] -- Part of --&gt; A; D -- Publication Date --&gt; C; B -- Publication Date --&gt; C;</pre>

Thanks