

Unit 1: Introduction to data

1. Data Collection + Observational studies & experiments

Sta 101 - Fall 2015

Duke University, Department of Statistical Science

1. Housekeeping

2. Main ideas

1. Use a sample to make inferences about the population
2. Ideally use a simple random sample, stratify to control for a variable, and cluster to make sampling easier
3. Sampling schemes can suffer from a variety of biases
4. Experiments use random assignment to treatment groups, observational studies do not
5. Four principles of experimental design: randomize, control, block, replicate
6. Random sampling helps generalizability, random assignment helps causality

3. Class survey [time permitting]

4. Summary

1. Housekeeping

2. Main ideas

1. Use a sample to make inferences about the population
2. Ideally use a simple random sample, stratify to control for a variable, and cluster to make sampling easier
3. Sampling schemes can suffer from a variety of biases
4. Experiments use random assignment to treatment groups, observational studies do not
5. Four principles of experimental design: randomize, control, block, replicate
6. Random sampling helps generalizability, random assignment helps causality

3. Class survey [time permitting]

4. Summary

1. Use a sample to make inferences about the population

- ▶ Ultimate goal: make inferences about populations

1. Use a sample to make inferences about the population

- ▶ Ultimate goal: make inferences about populations
- ▶ Caveat: populations are difficult or impossible to access

1. Use a sample to make inferences about the population

- ▶ Ultimate goal: make inferences about populations
- ▶ Caveat: populations are difficult or impossible to access
- ▶ Solution: use a sample from that population, and use *statistics* from that sample to make inferences about the unknown population *parameters*

1. Use a sample to make inferences about the population

- ▶ Ultimate goal: make inferences about populations
- ▶ Caveat: populations are difficult or impossible to access
- ▶ Solution: use a sample from that population, and use *statistics* from that sample to make inferences about the unknown population *parameters*
- ▶ The better (more *representative*) sample we have, the more reliable our estimates and more accurate our inferences will be

1. Use a sample to make inferences about the population

- ▶ Ultimate goal: make inferences about populations
- ▶ Caveat: populations are difficult or impossible to access
- ▶ Solution: use a sample from that population, and use *statistics* from that sample to make inferences about the unknown population *parameters*
- ▶ The better (more *representative*) sample we have, the more reliable our estimates and more accurate our inferences will be

1. Use a sample to make inferences about the population

- ▶ Ultimate goal: make inferences about populations
- ▶ Caveat: populations are difficult or impossible to access
- ▶ Solution: use a sample from that population, and use *statistics* from that sample to make inferences about the unknown population *parameters*
- ▶ The better (more *representative*) sample we have, the more reliable our estimates and more accurate our inferences will be

Suppose we want to know how many offspring female lemurs have, on average. It's not feasible to obtain offspring data from on all female lemurs, so we use data from the Duke Lemur Center. We use the sample mean from these data as an estimate for the unknown population mean. Can you see any limitations to using data from the Duke Lemur Center to make inferences about all lemurs?



- ▶ When you taste a spoonful of soup and decide the spoonful you tasted isn't salty enough, that's *exploratory analysis*
- ▶ If you generalize and conclude that your entire soup needs salt, that's an *inference*
- ▶ For your inference to be valid, the spoonful you tasted (the sample) needs to be *representative* of the entire pot (the population)

1. Housekeeping

2. Main ideas

1. Use a sample to make inferences about the population
2. Ideally use a simple random sample, stratify to control for a variable, and cluster to make sampling easier
3. Sampling schemes can suffer from a variety of biases
4. Experiments use random assignment to treatment groups, observational studies do not
5. Four principles of experimental design: randomize, control, block, replicate
6. Random sampling helps generalizability, random assignment helps causality

3. Class survey [time permitting]

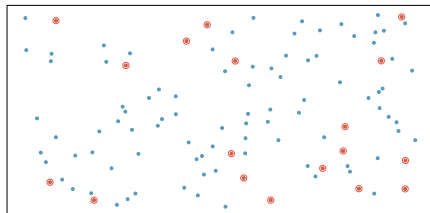
4. Summary

2. Ideally use a simple random sample, stratify to control for a variable, and cluster to make sampling easier

2. Ideally use a simple random sample, stratify to control for a variable, and cluster to make sampling easier

Simple random:

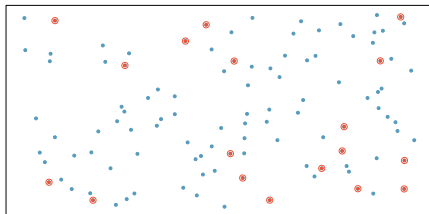
Drawing names from a hat



2. Ideally use a simple random sample, stratify to control for a variable, and cluster to make sampling easier

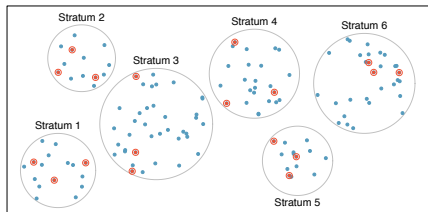
Simple random:

Drawing names from a hat



Stratified: homogenous strata

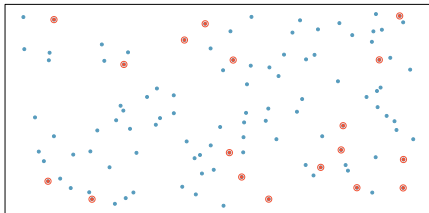
Stratify to control for SES



2. Ideally use a simple random sample, stratify to control for a variable, and cluster to make sampling easier

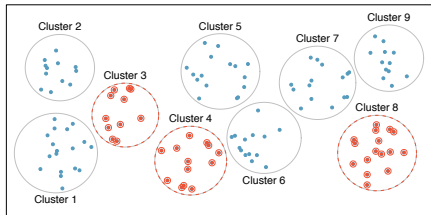
Simple random:

Drawing names from a hat



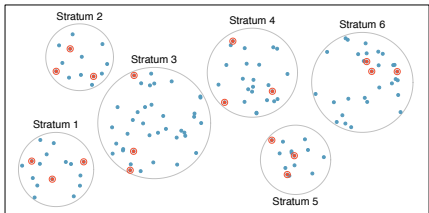
Cluster: heterogenous clusters

Sample all chosen clusters



Stratified: homogenous strata

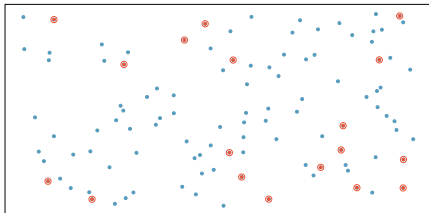
Stratify to control for SES



2. Ideally use a simple random sample, stratify to control for a variable, and cluster to make sampling easier

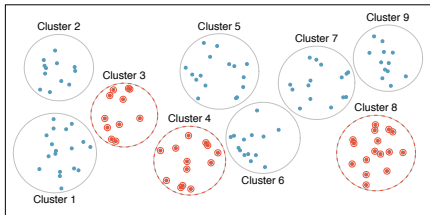
Simple random:

Drawing names from a hat



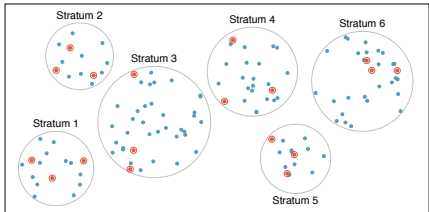
Cluster: heterogenous clusters

Sample all chosen clusters



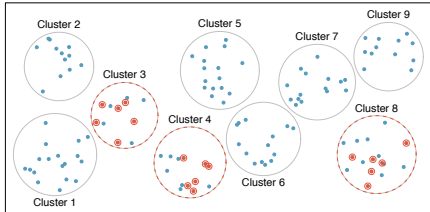
Stratified: homogenous strata

Stratify to control for SES



Multistage:

Random sample in chosen clusters



Clicker question

A city council has requested a household survey be conducted in a suburban area of their city. The area is broken into many distinct and unique neighborhoods, some including large homes, some with only apartments, and others a diverse mixture of housing structures. Which approach would likely be the least effective?

- (a) Simple random sampling
- (b) Stratified sampling, where each cluster is a neighborhood
- (c) Cluster sampling, where each cluster is a neighborhood

Clicker question

A city council has requested a household survey be conducted in a suburban area of their city. The area is broken into many distinct and unique neighborhoods, some including large homes, some with only apartments, and others a diverse mixture of housing structures. Which approach would likely be the least effective?

- (a) Simple random sampling
- (b) Stratified sampling, where each cluster is a neighborhood
- (c) *Cluster sampling, where each cluster is a neighborhood*

1. Housekeeping

2. Main ideas

1. Use a sample to make inferences about the population
2. Ideally use a simple random sample, stratify to control for a variable, and cluster to make sampling easier
3. Sampling schemes can suffer from a variety of biases
4. Experiments use random assignment to treatment groups, observational studies do not
5. Four principles of experimental design: randomize, control, block, replicate
6. Random sampling helps generalizability, random assignment helps causality

3. Class survey [time permitting]

4. Summary

3. Sampling schemes can suffer from a variety of biases

- ▶ *Non-response:* If only a small fraction of the randomly sampled people choose to respond to a survey, the sample may no longer be representative of the population

3. Sampling schemes can suffer from a variety of biases

- ▶ *Non-response*: If only a small fraction of the randomly sampled people choose to respond to a survey, the sample may no longer be representative of the population
- ▶ *Voluntary response*: Occurs when the sample consists of people who volunteer to respond because they have strong opinions on the issue since such a sample will also not be representative of the population

3. Sampling schemes can suffer from a variety of biases

- ▶ *Non-response*: If only a small fraction of the randomly sampled people choose to respond to a survey, the sample may no longer be representative of the population
- ▶ *Voluntary response*: Occurs when the sample consists of people who volunteer to respond because they have strong opinions on the issue since such a sample will also not be representative of the population
- ▶ *Convenience sample*: Individuals who are easily accessible are more likely to be included in the sample

Clicker question

A school district is considering whether it will no longer allow high school students to park at school after two recent accidents where students were severely injured. As a first step, they survey parents by mail, asking them whether or not the parents would object to this policy change. Of 6,000 surveys that go out, 1,200 are returned. Of these 1,200 surveys that were completed, 960 agreed with the policy change and 240 disagreed. Which of the following statements are true?

- I. Some of the mailings may have never reached the parents.
- II. Overall, the school district has strong support from parents to move forward with the policy approval.
- III. It is possible that majority of the parents of high school students disagree with the policy change.
- IV. The survey results are unlikely to be biased because all parents were mailed a survey.

(a) Only I (b) I and II (c) I and III (d) III and IV (e) Only IV

Clicker question

A school district is considering whether it will no longer allow high school students to park at school after two recent accidents where students were severely injured. As a first step, they survey parents by mail, asking them whether or not the parents would object to this policy change. Of 6,000 surveys that go out, 1,200 are returned. Of these 1,200 surveys that were completed, 960 agreed with the policy change and 240 disagreed. Which of the following statements are true?

- I. Some of the mailings may have never reached the parents.
- II. Overall, the school district has strong support from parents to move forward with the policy approval.
- III. It is possible that majority of the parents of high school students disagree with the policy change.
- IV. The survey results are unlikely to be biased because all parents were mailed a survey.

(a) Only I (b) I and II (c) *I and III* (d) III and IV (e) Only IV

1. Housekeeping

2. Main ideas

1. Use a sample to make inferences about the population
2. Ideally use a simple random sample, stratify to control for a variable, and cluster to make sampling easier
3. Sampling schemes can suffer from a variety of biases
4. Experiments use random assignment to treatment groups, observational studies do not
5. Four principles of experimental design: randomize, control, block, replicate
6. Random sampling helps generalizability, random assignment helps causality

3. Class survey [time permitting]

4. Summary

What type of study is this? What is the scope of inference (causality / generalizability)?

Facebook Tinkers With Users' Emotions in News Feed Experiment, Stirring Outcry

By VINDU GOEL JUNE 29, 2014

The New York Times

In [an academic paper](#) published in conjunction with two university researchers, the company reported that, for one week in January 2012, it had altered the number of positive and negative posts in the news feeds of 689,003 randomly selected users to see what effect the changes had on the tone of the posts the recipients then wrote.

The researchers found that moods were contagious. The people who saw more positive posts responded by writing more positive posts. Similarly, seeing more negative content prompted the viewers to be more negative in their own posts.

<http://www.nytimes.com/2014/06/30/technology/>

[facebook-tinkers-with-users-emotions-in-news-feed-experiment-stirring-outcry.html](#)

4. Experiments use random assignment to treatment groups, observational studies do not

A study that surveyed a random sample of otherwise healthy adults found that people are more likely to get muscle cramps when they're stressed. The study also noted that people drink more coffee and sleep less when they're stressed. What type of study is this?

What is the conclusion of the study?

Can this study be used to conclude a causal relationship between increased stress and muscle cramps?

4. Experiments use random assignment to treatment groups, observational studies do not

A study that surveyed a random sample of otherwise healthy adults found that people are more likely to get muscle cramps when they're stressed. The study also noted that people drink more coffee and sleep less when they're stressed. What type of study is this?

Observational

What is the conclusion of the study?

Can this study be used to conclude a causal relationship between increased stress and muscle cramps?

4. Experiments use random assignment to treatment groups, observational studies do not

A study that surveyed a random sample of otherwise healthy adults found that people are more likely to get muscle cramps when they're stressed. The study also noted that people drink more coffee and sleep less when they're stressed. What type of study is this?

Observational

What is the conclusion of the study?

*There is an **association** between increased stress & muscle cramps.*

Can this study be used to conclude a causal relationship between increased stress and muscle cramps?

4. Experiments use random assignment to treatment groups, observational studies do not

A study that surveyed a random sample of otherwise healthy adults found that people are more likely to get muscle cramps when they're stressed. The study also noted that people drink more coffee and sleep less when they're stressed. What type of study is this?

Observational

What is the conclusion of the study?

*There is an **association** between increased stress & muscle cramps.*

Can this study be used to conclude a causal relationship between increased stress and muscle cramps?

*Muscle cramps might also be due to increased caffeine consumption or sleeping less – these are potential **confounding** variables.*

1. Housekeeping

2. Main ideas

1. Use a sample to make inferences about the population
2. Ideally use a simple random sample, stratify to control for a variable, and cluster to make sampling easier
3. Sampling schemes can suffer from a variety of biases
4. Experiments use random assignment to treatment groups, observational studies do not
5. Four principles of experimental design: randomize, control, block, replicate
6. Random sampling helps generalizability, random assignment helps causality

3. Class survey [time permitting]

4. Summary

5. Four principles of experimental design: randomize, control, block, replicate

- ▶ We would like to design an experiment to investigate if increased stress causes muscle cramps:

5. Four principles of experimental design: randomize, control, block, replicate

- ▶ We would like to design an experiment to investigate if increased stress causes muscle cramps:
 - Treatment: increased stress
 - Control: no or baseline stress

5. Four principles of experimental design: randomize, control, block, replicate

- ▶ We would like to design an experiment to investigate if increased stress causes muscle cramps:
 - Treatment: increased stress
 - Control: no or baseline stress
- ▶ It is suspected that the effect of stress might be different on younger and older people: *block* for age.

5. Four principles of experimental design: randomize, control, block, replicate

- ▶ We would like to design an experiment to investigate if increased stress causes muscle cramps:
 - Treatment: increased stress
 - Control: no or baseline stress
- ▶ It is suspected that the effect of stress might be different on younger and older people: *block* for age.

Why is this important? Can you think of other variables to block for?

5. Four principles of experimental design: randomize, control, block, replicate

- ▶ We would like to design an experiment to investigate if increased stress causes muscle cramps:
 - Treatment: increased stress
 - Control: no or baseline stress
- ▶ It is suspected that the effect of stress might be different on younger and older people: *block* for age.

Why is this important? Can you think of other variables to block for?

Demo: <http://bl.ocks.org/avimoondra>

1. Housekeeping

2. Main ideas

1. Use a sample to make inferences about the population
2. Ideally use a simple random sample, stratify to control for a variable, and cluster to make sampling easier
3. Sampling schemes can suffer from a variety of biases
4. Experiments use random assignment to treatment groups, observational studies do not
5. Four principles of experimental design: randomize, control, block, replicate
6. Random sampling helps generalizability, random assignment helps causality

3. Class survey [time permitting]

4. Summary

6. Random sampling helps generalizability, random assignment helps causality

<i>ideal experiment</i>	Random assignment	No random assignment	<i>most observational studies</i>
Random sampling	Causal conclusion, generalized to the whole population.	No causal conclusion, correlation statement generalized to the whole population.	Generalizability
No random sampling	Causal conclusion, only for the sample.	No causal conclusion, correlation statement only for the sample.	No generalizability
<i>most experiments</i>	Causation	Correlation	<i>bad observational studies</i>

1. Housekeeping

2. Main ideas

1. Use a sample to make inferences about the population
2. Ideally use a simple random sample, stratify to control for a variable, and cluster to make sampling easier
3. Sampling schemes can suffer from a variety of biases
4. Experiments use random assignment to treatment groups, observational studies do not
5. Four principles of experimental design: randomize, control, block, replicate
6. Random sampling helps generalizability, random assignment helps causality

3. Class survey [time permitting]

4. Summary

Activity: Class survey

- ▶ One of your first tasks in this class is to help design a survey. This survey will be completed anonymously. It will (ideally) have information on variables you are interested in. When writing your question consider whether you would feel comfortable answering it on an anonymous survey.
- ▶ Work with 3-4 classmates to come up with a survey question, and add it to Google Doc linked below. Make sure that the wording of the question is clear, and (if categorical) the answer choices make sense.

http://bit.ly/sta101f15_ClassSurvey

- ▶ Before adding a question check to make sure that it hasn't already been added. If your question is already there, but you can suggest a clearer / better wording, add it as "alternative wording" underneath the original question.

1. Housekeeping

2. Main ideas

1. Use a sample to make inferences about the population
2. Ideally use a simple random sample, stratify to control for a variable, and cluster to make sampling easier
3. Sampling schemes can suffer from a variety of biases
4. Experiments use random assignment to treatment groups, observational studies do not
5. Four principles of experimental design: randomize, control, block, replicate
6. Random sampling helps generalizability, random assignment helps causality

3. Class survey [time permitting]

4. Summary

1. Use a sample to make inferences about the population
2. Ideally use a simple random sample, stratify to control for a variable, and cluster to make sampling easier
3. Sampling schemes can suffer from a variety of biases
4. Experiments use random assignment to treatment groups, observational studies do not
5. Four principles of experimental design: randomize, control, block, replicate
6. Random sampling helps generalizability, random assignment helps causality