

# Homework 1

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## Question 1.2 (10 points)

A

Let  $\theta(t) = \pi \frac{t-a}{b-a}$ . Then:

$$lr(t) = \begin{cases} lr_{max} \frac{t}{a}, & \text{if } t < a \\ lr_{min} + (\cos \theta(t) + 1) \frac{lr_{max} - lr_{min}}{2}, & \text{if } a < t \leq b \end{cases}$$

B

Cosine annealing can lead to more stable training because of:

1. Warm up, which is known to stabilize transformer training.
2. Higher initial and lower final training rate ensures fast learning initially and more stable learning afterwards.

## Question 1.3 (9 points)

A

Validation loss for GPT-tiny is 7.048.

B

The loss had not saturated at 2000 steps.

C

See Figure 1.

## Question 1.4

A

Experiment procedure was random hyperparameter search with:

```
n_positions = seq_len
grad_accumulation_steps = 1
num_warmup_steps = num_training_steps/10
max_lr = 10 * min_lr
batch_size in {32, 64, 128, 256, 512}
```



Figure 1: GPT-tiny training loss

```
n_positions in {32, 64, 128, 256, 512}
n_head in {2, 4, 8}
n_embd in {64, 128, 256, 512}
n_layer in {2, 4, 6, 8}
n_training_steps determined by FLOP limit
min_lr in {1e-3, 5e-4, 1e-4, 5e-5, 1e-5}
```

Number of training steps were calculated based on allowed flops. We rejected model size less than 50 MB and more than 98 MB. The smaller models were rejected because it is known that larger models perform better. Models that lead to GPU OOM were naturally, rejected.

## B

Following trends are observed:

1. More heads are preferred (4 or 8 over 2).
2. More layers are preferred (4 or 6 over 2).
3. Large model dimension is preferred (256 over all others).
4. Large batch size is preferred (128 or 256 over 32 or 64).
5. Since the model is still pretty small, large learning rates are okay.
6. While we would like batch size and model size to be as large as possible, these are counter balanced by limits on model size and GPU memory.

At the top end of the spectrum, validation perplexity ranged between 225 and 275.

## C

First I tried the best model from the above. However, the model saturated too soon and I could get a validation loss of 4.4 which lead to perplexity about the cutoff.

```
output_dir: outputs/full_training
input_file: tokens.npz
tokenizer_encoding: gpt2
model_config:
  n_embd: 256
```

```
n_head: 8
n_positions: 32
n_layer: 4
device: auto
batch_size: 256
seq_len: 32
num_warmup_steps: 12590
num_training_steps: 125900
grad_accumulation_steps: 1
min_lr: 5e-4
max_lr: 5e-3
```

Thereafter, based on what I know about models and the patterns I saw from the random search, I decided to train a pretty much as large as possible model with a pretty big batch size (256 x 4 gradient accumulation steps). This lead to required performance. The model config is as follows:

```
output_dir: outputs/full_large
input_file: tokens.npz
tokenizer_encoding: gpt2
model_config:
  n_embd: 256
  n_head: 8
  n_positions: 64
  n_layer: 8
device: auto
batch_size: 256
seq_len: 64
num_warmup_steps: 1259
num_training_steps: 12590
grad_accumulation_steps: 4
min_lr: 0.0005
max_lr: 0.005
```

I got a validation set perplexity of 41.2.