## **Fully-Connected Neural Nets**

In the previous homework you implemented a fully-connected two-layer neural network on CIFAR-10. The implementation was simple but not very modular since the loss and gradient were computed in a single monolithic function. This is manageable for a simple two-layer network, but would become impractical as we move to bigger models. Ideally we want to build networks using a more modular design so that we can implement different layer types in isolation and then snap them together into models with different architectures.

In this exercise we will implement fully-connected networks using a more modular approach. For each layer we will implement a forward and a backward function. The forward function will receive inputs, weights, and other parameters and will return both an output and a cache object storing data needed for the backward pass, like this:

```
def layer_forward(x, w):
    """ Receive inputs x and weights w """
    # Do some computations ...
    z = # ... some intermediate value
    # Do some more computations ...
    out = # the output

cache = (x, w, z, out) # Values we need to compute gradients
    return out, cache
```

The backward pass will receive upstream derivatives and the cache object, and will return gradients with respect to the inputs and weights, like this:

```
def layer_backward(dout, cache):
    """

    Receive dout (derivative of loss with respect to outputs) and cache,
    and compute derivative with respect to inputs.
    """

# Unpack cache values
    x, w, z, out = cache

# Use values in cache to compute derivatives
    dx = # Derivative of loss with respect to x
    dw = # Derivative of loss with respect to w
return dx, dw
```

After implementing a bunch of layers this way, we will be able to easily combine them to build classifiers with different architectures.

In addition to implementing fully-connected networks of arbitrary depth, we will also explore different update rules for optimization, and introduce Dropout as a regularizer and Batch/Layer Normalization as a tool to more efficiently optimize deep networks.

```
In [3]: # As usual, a bit of setup
        from future import print function
        import time
        import numpy as np
        import matplotlib.pyplot as plt
        from cs682.classifiers.fc net import *
        from cs682.data_utils import get_CIFAR10_data
        from cs682.gradient check import eval numerical gradient, eval numerical
        gradient array
        from cs682.solver import Solver
        %matplotlib inline
        plt.rcParams['figure.figsize'] = (10.0, 8.0) # set default size of plots
        plt.rcParams['image.interpolation'] = 'nearest'
        plt.rcParams['image.cmap'] = 'gray'
        # for auto-reloading external modules
        # see http://stackoverflow.com/questions/1907993/autoreload-of-modules-i
        n-ipython
        %load_ext autoreload
        %autoreload 2
        def rel_error(x, y):
          """ returns relative error """
          return np.max(np.abs(x - y) / (np.maximum(1e-8, np.abs(x) + np.abs(y))
        ))))
In [4]: # Load the (preprocessed) CIFAR10 data.
        data = get CIFAR10 data()
        for k, v in list(data.items()):
          print(('%s: ' % k, v.shape))
        ('X_train: ', (49000, 3, 32, 32))
        ('y_train: ', (49000,))
        ('X_val: ', (1000, 3, 32, 32))
        ('y_val: ', (1000,))
        ('X_test: ', (1000, 3, 32, 32))
        ('y_test: ', (1000,))
```

# Affine layer: foward

Open the file cs682/layers.py and implement the affine forward function.

Once you are done you can test your implementaion by running the following:

```
In [36]: # Test the affine forward function
         num_inputs = 2
         input\_shape = (4, 5, 6)
         output dim = 3
         input_size = num_inputs * np.prod(input_shape)
         weight_size = output_dim * np.prod(input_shape)
         x = np.linspace(-0.1, 0.5, num=input_size).reshape(num_inputs, *input_sh
         ape)
         w = np.linspace(-0.2, 0.3, num=weight_size).reshape(np.prod(input_shape
         ), output_dim)
         b = np.linspace(-0.3, 0.1, num=output dim)
         out, _ = affine_forward(x, w, b)
         correct_out = np.array([[ 1.49834967, 1.70660132, 1.91485297],
                                 [ 3.25553199, 3.5141327, 3.77273342]])
         # Compare your output with ours. The error should be around e-9 or less.
         print('Testing affine_forward function:')
         print('difference: ', rel_error(out, correct_out))
```

Testing affine\_forward function: difference: 9.769847728806635e-10

## Affine layer: backward

Now implement the affine\_backward function and test your implementation using numeric gradient checking.

```
In [37]: # Test the affine backward function
         np.random.seed(231)
         x = np.random.randn(10, 2, 3)
         w = np.random.randn(6, 5)
         b = np.random.randn(5)
         dout = np.random.randn(10, 5)
         dx num = eval numerical gradient array(lambda x: affine forward(x, w, b)
         [0], x, dout)
         dw_num = eval_numerical_gradient_array(lambda w: affine_forward(x, w, b)
         [0], w, dout)
         db_num = eval_numerical_gradient_array(lambda b: affine forward(x, w, b)
         [0], b, dout)
         _, cache = affine_forward(x, w, b)
         dx, dw, db = affine_backward(dout, cache)
         # The error should be around e-10 or less
         print('Testing affine backward function:')
         print('dx error: ', rel_error(dx_num, dx))
         print('dw error: ', rel_error(dw_num, dw))
         print('db error: ', rel_error(db_num, db))
```

Testing affine\_backward function: dx error: 5.399100368651805e-11 dw error: 9.904211865398145e-11 db error: 2.4122867568119087e-11

#### **ReLU** activation: forward

Implement the forward pass for the ReLU activation function in the relu\_forward function and test your implementation using the following:

Testing relu\_forward function: difference: 4.999999798022158e-08

## **ReLU** activation: backward

Now implement the backward pass for the ReLU activation function in the relu\_backward function and test your implementation using numeric gradient checking:

```
In [5]: np.random.seed(231)
    x = np.random.randn(10, 10)
    dout = np.random.randn(*x.shape)

    dx_num = eval_numerical_gradient_array(lambda x: relu_forward(x)[0], x,
    dout)

    _, cache = relu_forward(x)
    dx = relu_backward(dout, cache)

# The error should be on the order of e-12
    print('Testing relu_backward function:')
    print('dx error: ', rel_error(dx_num, dx))
```

Testing relu\_backward function: dx error: 3.2756349136310288e-12

#### **Inline Question 1:**

We've only asked you to implement ReLU, but there are a number of different activation functions that one could use in neural networks, each with its pros and cons. In particular, an issue commonly seen with activation functions is getting zero (or close to zero) gradient flow during backpropagation. Which of the following activation functions have this problem? If you consider these functions in the one dimensional case, what types of input would lead to this behaviour?

- 1. Sigmoid
- 2. ReLU
- 3. Leaky ReLU

#### **Answer:**

Sigmoid and ReLU\ Sigmoid has close to zero gradient for very large positive as well as negative values. ReLU has zero gradient for all values<0.

## "Sandwich" layers

There are some common patterns of layers that are frequently used in neural nets. For example, affine layers are frequently followed by a ReLU nonlinearity. To make these common patterns easy, we define several convenience layers in the file cs682/layer utils.py.

For now take a look at the affine\_relu\_forward and affine\_relu\_backward functions, and run the following to numerically gradient check the backward pass:

```
In [40]: from cs682.layer_utils import affine relu forward, affine relu backward
         np.random.seed(231)
         x = np.random.randn(2, 3, 4)
         w = np.random.randn(12, 10)
         b = np.random.randn(10)
         dout = np.random.randn(2, 10)
         out, cache = affine_relu_forward(x, w, b)
         dx, dw, db = affine relu backward(dout, cache)
         dx num = eval numerical gradient array(lambda x: affine relu forward(x,
         w, b)[0], x, dout)
         dw num = eval numerical gradient array(lambda w: affine relu forward(x,
         w, b)[0], w, dout)
         db num = eval numerical gradient array(lambda b: affine relu forward(x,
         w, b)[0], b, dout)
         # Relative error should be around e-10 or less
         print('Testing affine relu forward and affine relu backward:')
         print('dx error: ', rel_error(dx_num, dx))
         print('dw error: ', rel_error(dw_num, dw))
         print('db error: ', rel_error(db_num, db))
```

 ${\tt Testing\ affine\_relu\_forward\ and\ affine\_relu\_backward:}$ 

dx error: 6.750562121603446e-11
dw error: 8.162015570444288e-11
db error: 7.826724021458994e-12

## **Loss layers: Softmax and SVM**

You implemented these loss functions in the last assignment, so we'll give them to you for free here. You should still make sure you understand how they work by looking at the implementations in cs682/layers.py.

You can make sure that the implementations are correct by running the following:

```
In [41]: | np.random.seed(231)
         num classes, num inputs = 10, 50
         x = 0.001 * np.random.randn(num inputs, num classes)
         y = np.random.randint(num_classes, size=num_inputs)
         dx_num = eval_numerical_gradient(lambda x: svm_loss(x, y)[0], x, verbose
         =False)
         loss, dx = svm_loss(x, y)
         # Test svm loss function. Loss should be around 9 and dx error should be
         around the order of e-9
         print('Testing svm_loss:')
         print('loss: ', loss)
         print('dx error: ', rel_error(dx_num, dx))
         dx_num = eval_numerical_gradient(lambda x: softmax_loss(x, y)[0], x, ver
         bose=False)
         loss, dx = softmax_loss(x, y)
         # Test softmax loss function. Loss should be close to 2.3 and dx error s
         hould be around e-8
         print('\nTesting softmax_loss:')
         print('loss: ', loss)
         print('dx error: ', rel_error(dx_num, dx))
         Testing svm_loss:
         loss: 8.999602749096233
```

Testing svm\_loss:
loss: 8.999602749096233
dx error: 1.4021566006651672e-09

Testing softmax\_loss:
loss: 2.302545844500738
dx error: 9.384673161989355e-09

# Two-layer network

In the previous assignment you implemented a two-layer neural network in a single monolithic class. Now that you have implemented modular versions of the necessary layers, you will reimplement the two layer network using these modular implementations.

Open the file cs682/classifiers/fc\_net.py and complete the implementation of the TwoLayerNet class. This class will serve as a model for the other networks you will implement in this assignment, so read through it to make sure you understand the API. You can run the cell below to test your implementation.

```
In [42]: | np.random.seed(231)
         N, D, H, C = 3, 5, 50, 7
         X = np.random.randn(N, D)
         y = np.random.randint(C, size=N)
         std = 1e-3
         model = TwoLayerNet(input_dim=D, hidden_dim=H, num_classes=C, weight_sca
         le=std)
         print('Testing initialization ... ')
         W1 std = abs(model.params['W1'].std() - std)
         b1 = model.params['b1']
         W2_std = abs(model.params['W2'].std() - std)
         b2 = model.params['b2']
         assert W1_std < std / 10, 'First layer weights do not seem right'</pre>
         assert np.all(b1 == 0), 'First layer biases do not seem right'
         assert W2_std < std / 10, 'Second layer weights do not seem right'
         assert np.all(b2 == 0), 'Second layer biases do not seem right'
         print('Testing test-time forward pass ...')
         model.params['W1'] = np.linspace(-0.7, 0.3, num=D*H).reshape(D, H)
         model.params['b1'] = np.linspace(-0.1, 0.9, num=H)
         model.params['W2'] = np.linspace(-0.3, 0.4, num=H*C).reshape(H, C)
         model.params['b2'] = np.linspace(-0.9, 0.1, num=C)
         X = np.linspace(-5.5, 4.5, num=N*D).reshape(D, N).T
         scores = model.loss(X)
         correct scores = np.asarray(
           [[11.53165108, 12.2917344, 13.05181771, 13.81190102, 14.57198434,
         15.33206765, 16.09215096],
            [12.05769098, 12.74614105, 13.43459113, 14.1230412,
                                                                      14.81149128,
         15.49994135, 16.188391431,
            [12.58373087, 13.20054771, 13.81736455, 14.43418138, 15.05099822,
         15.66781506, 16.2846319 ]])
         scores diff = np.abs(scores - correct scores).sum()
         assert scores diff < 1e-6, 'Problem with test-time forward pass'
         print('Testing training loss (no regularization)')
         y = np.asarray([0, 5, 1])
         loss, grads = model.loss(X, y)
         correct loss = 3.4702243556
         assert abs(loss - correct_loss) < 1e-10, 'Problem with training-time los</pre>
         s'
         model.reg = 1.0
         loss, grads = model.loss(X, y)
         correct loss = 26.5948426952
         assert abs(loss - correct loss) < 1e-10, 'Problem with regularization lo
         # Errors should be around e-7 or less
         for reg in [0.0, 0.7]:
           print('Running numeric gradient check with reg = ', reg)
           model.reg = reg
           loss, grads = model.loss(X, y)
           for name in sorted(grads):
```

```
f = lambda _: model.loss(X, y)[0]
   grad_num = eval_numerical_gradient(f, model.params[name], verbose=Fa
lse)
   print('%s relative error: %.2e' % (name, rel_error(grad_num, grads[name])))
```

```
Testing initialization ...

Testing test-time forward pass ...

Testing training loss (no regularization)

Running numeric gradient check with reg = 0.0

W1 relative error: 1.22e-08

W2 relative error: 3.48e-10

b1 relative error: 6.55e-09

b2 relative error: 4.33e-10

Running numeric gradient check with reg = 0.7

W1 relative error: 3.12e-07

W2 relative error: 7.98e-08

b1 relative error: 1.56e-08

b2 relative error: 7.76e-10
```

## Solver

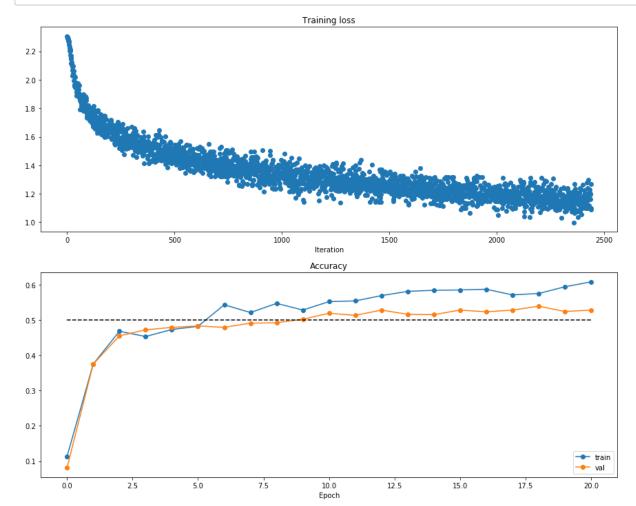
In the previous assignment, the logic for training models was coupled to the models themselves. Following a more modular design, for this assignment we have split the logic for training models into a separate class.

Open the file cs682/solver.py and read through it to familiarize yourself with the API. After doing so, use a Solver instance to train a TwoLayerNet that achieves at least 50% accuracy on the validation set.

```
In [43]: model = TwoLayerNet()
      solver = None
      ######
      # TODO: Use a Solver instance to train a TwoLayerNet that achieves at le
      ast #
      # 50% accuracy on the validation set.
      ######
      data = {'X_train':data['X_train'], 'y_train':data['y_train'], 'X_val':da
      ta['X_val'], 'y_val':data['y_val']}
      solver = Solver(model, data,
                update_rule = 'sgd',
                optim_config = {'learning_rate':1e-3},
                lr decay = 0.95,
                num_epochs = 20,
                batch size = 400,
                print every = 100)
      best_params = solver.train()
      ######
      #
                         END OF YOUR CODE
      ######
```

```
(Iteration 1 / 2440) loss: 2.304953
(Epoch 0 / 20) train acc: 0.113000; val acc: 0.081000
(Iteration 101 / 2440) loss: 1.769195
(Epoch 1 / 20) train acc: 0.375000; val acc: 0.375000
(Iteration 201 / 2440) loss: 1.669165
(Epoch 2 / 20) train acc: 0.468000; val_acc: 0.455000
(Iteration 301 / 2440) loss: 1.670013
(Epoch 3 / 20) train acc: 0.453000; val acc: 0.472000
(Iteration 401 / 2440) loss: 1.558850
(Epoch 4 / 20) train acc: 0.473000; val acc: 0.479000
(Iteration 501 / 2440) loss: 1.489412
(Iteration 601 / 2440) loss: 1.365843
(Epoch 5 / 20) train acc: 0.482000; val acc: 0.483000
(Iteration 701 / 2440) loss: 1.411090
(Epoch 6 / 20) train acc: 0.543000; val acc: 0.479000
(Iteration 801 / 2440) loss: 1.260651
(Epoch 7 / 20) train acc: 0.521000; val acc: 0.491000
(Iteration 901 / 2440) loss: 1.318146
(Epoch 8 / 20) train acc: 0.547000; val_acc: 0.492000
(Iteration 1001 / 2440) loss: 1.344013
(Epoch 9 / 20) train acc: 0.528000; val acc: 0.502000
(Iteration 1101 / 2440) loss: 1.342403
(Iteration 1201 / 2440) loss: 1.257451
(Epoch 10 / 20) train acc: 0.552000; val_acc: 0.519000
(Iteration 1301 / 2440) loss: 1.314607
(Epoch 11 / 20) train acc: 0.554000; val acc: 0.513000
(Iteration 1401 / 2440) loss: 1.260950
(Epoch 12 / 20) train acc: 0.569000; val acc: 0.528000
(Iteration 1501 / 2440) loss: 1.294013
(Epoch 13 / 20) train acc: 0.581000; val acc: 0.516000
(Iteration 1601 / 2440) loss: 1.206977
(Iteration 1701 / 2440) loss: 1.250007
(Epoch 14 / 20) train acc: 0.584000; val acc: 0.515000
(Iteration 1801 / 2440) loss: 1.285593
(Epoch 15 / 20) train acc: 0.585000; val acc: 0.528000
(Iteration 1901 / 2440) loss: 1.309243
(Epoch 16 / 20) train acc: 0.587000; val acc: 0.523000
(Iteration 2001 / 2440) loss: 1.150673
(Epoch 17 / 20) train acc: 0.571000; val acc: 0.528000
(Iteration 2101 / 2440) loss: 1.183055
(Epoch 18 / 20) train acc: 0.575000; val acc: 0.539000
(Iteration 2201 / 2440) loss: 1.144189
(Iteration 2301 / 2440) loss: 1.186106
(Epoch 19 / 20) train acc: 0.594000; val acc: 0.524000
(Iteration 2401 / 2440) loss: 1.162940
(Epoch 20 / 20) train acc: 0.608000; val acc: 0.528000
```

# In [44]: # Run this cell to visualize training loss and train / val accuracy plt.subplot(2, 1, 1) plt.title('Training loss') plt.plot(solver.loss\_history, 'o') plt.xlabel('Iteration') plt.subplot(2, 1, 2) plt.title('Accuracy') plt.plot(solver.train\_acc\_history, '-o', label='train') plt.plot(solver.val\_acc\_history, '-o', label='val') plt.plot([0.5] \* len(solver.val\_acc\_history), 'k--') plt.xlabel('Epoch') plt.legend(loc='lower right') plt.gcf().set\_size\_inches(15, 12) plt.show()



# Multilayer network

Next you will implement a fully-connected network with an arbitrary number of hidden layers.

Read through the FullyConnectedNet class in the file cs682/classifiers/fc\_net.py.

Implement the initialization, the forward pass, and the backward pass. For the moment don't worry about implementing dropout or batch/layer normalization; we will add those features soon.

## Initial loss and gradient check

As a sanity check, run the following to check the initial loss and to gradient check the network both with and without regularization. Do the initial losses seem reasonable?

For gradient checking, you should expect to see errors around 1e-7 or less.

```
In [45]: np.random.seed(231)
         N, D, H1, H2, C = 2, 15, 20, 30, 10
         X = np.random.randn(N, D)
         y = np.random.randint(C, size=(N,))
         for reg in [0, 3.14]:
           print('Running check with reg = ', reg)
           model = FullyConnectedNet([H1, H2], input dim=D, num classes=C,
                                     reg=reg, weight scale=5e-2, dtype=np.float64
         )
           loss, grads = model.loss(X, y)
           print('Initial loss: ', loss)
           # Most of the errors should be on the order of e-7 or smaller.
           # NOTE: It is fine however to see an error for W2 on the order of e-5
           # for the check when reg = 0.0
           for name in sorted(grads):
             f = lambda : model.loss(X, y)[0]
             grad num = eval numerical gradient(f, model.params[name], verbose=Fa
         lse, h=1e-5)
             print('%s relative error: %.2e' % (name, rel_error(grad_num, grads[n
         ame])))
         Running check with reg = 0
```

```
Running check with reg = 0
Initial loss: 2.3004790897684924
W1 relative error: 1.48e-07
W2 relative error: 2.21e-05
W3 relative error: 3.53e-07
b1 relative error: 5.38e-09
b2 relative error: 5.80e-11
Running check with reg = 3.14
Initial loss: 7.052114776533016
W1 relative error: 3.90e-09
W2 relative error: 6.87e-08
W3 relative error: 2.13e-08
b1 relative error: 1.48e-08
b2 relative error: 1.72e-09
b3 relative error: 1.57e-10
```

As another sanity check, make sure you can overfit a small dataset of 50 images. First we will try a three-layer network with 100 units in each hidden layer. In the following cell, tweak the learning rate and initialization scale to overfit and achieve 100% training accuracy within 20 epochs.

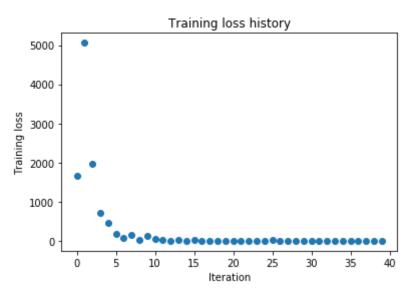
```
In [46]: # TODO: Use a three-layer Net to overfit 50 training examples by
         # tweaking just the learning rate and initialization scale.
         num_train = 50
         small_data = {
           'X_train': data['X_train'][:num_train],
           'y_train': data['y_train'][:num_train],
           'X val': data['X_val'],
           'y_val': data['y_val'],
         }
         # for trial in range(20):
               weight scale = 10**np.random.uniform(-10, 2)
               learning rate = 10**np.random.uniform(-10, 2)
               print(weight scale, learning rate)
         # Result of random search : weight scale=0.0620063159371343 learning rat
         e=0.0007450699140127562
         weight scale = 0.06
         learning_rate = 0.0007
         model = FullyConnectedNet([100, 100],
                       weight_scale=weight_scale, dtype=np.float64)
         solver = Solver(model, small_data,
                         print_every=10, num_epochs=20, batch_size=25,
                          update_rule='sgd',
                          optim_config={
                            'learning rate': learning rate,
                  )
         solver.train()
         # plt.plot(solver.loss history, 'o')
         # plt.title('Training loss history')
         # plt.xlabel('Iteration')
         # plt.ylabel('Training loss')
         # plt.show()
```

```
(Iteration 1 / 40) loss: 77.236010
(Epoch 0 / 20) train acc: 0.120000; val acc: 0.121000
(Epoch 1 / 20) train acc: 0.240000; val acc: 0.140000
(Epoch 2 / 20) train acc: 0.460000; val acc: 0.139000
(Epoch 3 / 20) train acc: 0.680000; val acc: 0.157000
(Epoch 4 / 20) train acc: 0.740000; val_acc: 0.170000
(Epoch 5 / 20) train acc: 0.900000; val acc: 0.184000
(Iteration 11 / 40) loss: 0.012121
(Epoch 6 / 20) train acc: 0.880000; val acc: 0.184000
(Epoch 7 / 20) train acc: 0.920000; val acc: 0.181000
(Epoch 8 / 20) train acc: 0.960000; val acc: 0.176000
(Epoch 9 / 20) train acc: 0.960000; val_acc: 0.178000
(Epoch 10 / 20) train acc: 0.920000; val_acc: 0.167000
(Iteration 21 / 40) loss: 2.035583
(Epoch 11 / 20) train acc: 0.960000; val acc: 0.171000
(Epoch 12 / 20) train acc: 1.000000; val_acc: 0.166000
(Epoch 13 / 20) train acc: 1.000000; val acc: 0.165000
(Epoch 14 / 20) train acc: 1.000000; val_acc: 0.165000
(Epoch 15 / 20) train acc: 1.000000; val_acc: 0.165000
(Iteration 31 / 40) loss: 0.000103
(Epoch 16 / 20) train acc: 1.000000; val acc: 0.165000
(Epoch 17 / 20) train acc: 1.000000; val_acc: 0.166000
(Epoch 18 / 20) train acc: 1.000000; val acc: 0.166000
(Epoch 19 / 20) train acc: 1.000000; val_acc: 0.166000
(Epoch 20 / 20) train acc: 1.000000; val_acc: 0.166000
```

Now try to use a five-layer network with 100 units on each layer to overfit 50 training examples. Again you will have to adjust the learning rate and weight initialization, but you should be able to achieve 100% training accuracy within 20 epochs.

```
In [7]: # TODO: Use a five-layer Net to overfit 50 training examples by
        # tweaking just the learning rate and initialization scale.
        num_train = 50
        small_data = {
          'X_train': data['X_train'][:num_train],
          'y_train': data['y_train'][:num_train],
          'X val': data['X_val'],
          'y_val': data['y_val'],
        }
        # for trial in range(20):
              weight scale = 10**np.random.uniform(-5, 2)
              learning rate = 10**np.random.uniform(-5, 2)
              print("weight scale, learning rate)
        # Result of random search: 0.17227070584921386 0.00045953640384386875
        learning rate = 0.0004
        weight scale = 0.17
        model = FullyConnectedNet([100, 100, 100, 100],
                        weight scale=weight scale, dtype=np.float64)
        solver = Solver(model, small_data,
                        print_every=1000, num_epochs=20, batch_size=25,
                        update_rule='sgd',
                        optim_config={
                          'learning_rate': learning_rate,
        solver.train()
        plt.plot(solver.loss history, 'o')
        plt.title('Training loss history')
        plt.xlabel('Iteration')
        plt.ylabel('Training loss')
        plt.show()
```

```
(Iteration 1 / 40) loss: 1660.237200
(Epoch 0 / 20) train acc: 0.120000; val acc: 0.105000
(Epoch 1 / 20) train acc: 0.140000; val acc: 0.115000
(Epoch 2 / 20) train acc: 0.340000; val acc: 0.105000
(Epoch 3 / 20) train acc: 0.520000; val acc: 0.130000
(Epoch 4 / 20) train acc: 0.740000; val_acc: 0.146000
(Epoch 5 / 20) train acc: 0.820000; val acc: 0.156000
(Epoch 6 / 20) train acc: 0.860000; val acc: 0.147000
(Epoch 7 / 20) train acc: 0.900000; val acc: 0.152000
(Epoch 8 / 20) train acc: 0.960000; val acc: 0.152000
(Epoch 9 / 20) train acc: 0.960000; val acc: 0.151000
(Epoch 10 / 20) train acc: 0.980000; val_acc: 0.145000
(Epoch 11 / 20) train acc: 0.980000; val_acc: 0.145000
(Epoch 12 / 20) train acc: 0.980000; val acc: 0.145000
(Epoch 13 / 20) train acc: 0.940000; val acc: 0.155000
(Epoch 14 / 20) train acc: 0.960000; val_acc: 0.150000
(Epoch 15 / 20) train acc: 0.960000; val acc: 0.141000
(Epoch 16 / 20) train acc: 1.000000; val_acc: 0.142000
(Epoch 17 / 20) train acc: 1.000000; val_acc: 0.142000
(Epoch 18 / 20) train acc: 1.000000; val acc: 0.142000
(Epoch 19 / 20) train acc: 1.000000; val acc: 0.142000
(Epoch 20 / 20) train acc: 1.000000; val_acc: 0.142000
```



## **Inline Question 2:**

Did you notice anything about the comparative difficulty of training the three-layer net vs training the five layer net? In particular, based on your experience, which network seemed more sensitive to the initialization scale? Why do you think that is the case?

#### **Answer:**

The five layer net was more difficult to overfit and it was also much more sensitive to the initialization scale. Having a network with more layers makes it susceptible to gradients becoming to large (exploding gradients) or too small (vanishing gradients) which running backpropagation. This increases the difficulty as the network does not learn anything when this happens. With a deeper network, the impact of the initial values of the weights gets compounded and hence, the deeper network becomes more sensitive to the initial weight values.

# **Update rules**

So far we have used vanilla stochastic gradient descent (SGD) as our update rule. More sophisticated update rules can make it easier to train deep networks. We will implement a few of the most commonly used update rules and compare them to vanilla SGD.

## **SGD+Momentum**

Stochastic gradient descent with momentum is a widely used update rule that tends to make deep networks converge faster than vanilla stochastic gradient descent. See the Momentum Update section at <a href="https://compsci682-fa19.github.io/notes/neural-networks-3/#sgd">https://compsci682-fa19.github.io/notes/neural-networks-3/#sgd</a> (https://compsci682-fa19.github.io/notes/neural-networks-3/#sgd) for more information.

Open the file cs682/optim.py and read the documentation at the top of the file to make sure you understand the API. Implement the SGD+momentum update rule in the function sgd\_momentum and run the following to check your implementation. You should see errors less than e-8.

```
In [48]: from cs682.optim import sgd_momentum
         N, D = 4, 5
         w = np.linspace(-0.4, 0.6, num=N*D).reshape(N, D)
         dw = np.linspace(-0.6, 0.4, num=N*D).reshape(N, D)
         v = np.linspace(0.6, 0.9, num=N*D).reshape(N, D)
         config = {'learning rate': 1e-3, 'velocity': v}
         next_w, _ = sgd_momentum(w, dw, config=config)
         expected_next_w = np.asarray([
           [ 0.1406, 0.20738947, 0.27417895, 0.34096842, 0.40775789],
           [0.47454737, 0.54133684, 0.60812632, 0.67491579, 0.74170526],
           [ 0.80849474, 0.87528421, 0.94207368, 1.00886316, 1.07565263],
           [ 1.14244211, 1.20923158, 1.27602105, 1.34281053, 1.4096 ]])
         expected_velocity = np.asarray([
                     0.55475789, 0.56891579, 0.58307368, 0.59723158],
           [ 0.5406,
           [0.61138947, 0.62554737, 0.63970526, 0.65386316, 0.66802105],
           [0.68217895, 0.69633684, 0.71049474, 0.72465263, 0.73881053],
           [ 0.75296842, 0.76712632, 0.78128421, 0.79544211, 0.8096 ]])
         # Should see relative errors around e-8 or less
         print('next_w error: ', rel_error(next_w, expected_next_w))
         print('velocity error: ', rel_error(expected_velocity, config['velocity'
         ]))
```

next\_w error: 8.882347033505819e-09 velocity error: 4.269287743278663e-09

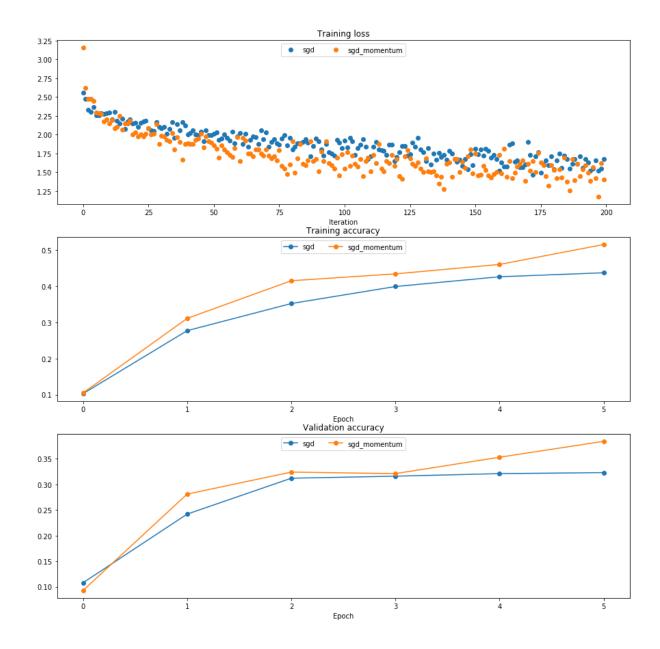
Once you have done so, run the following to train a six-layer network with both SGD and SGD+momentum. You should see the SGD+momentum update rule converge faster.

```
In [49]: | num_train = 4000
         small data = {
           'X_train': data['X_train'][:num_train],
           'y train': data['y train'][:num train],
           'X_val': data['X_val'],
           'y_val': data['y_val'],
         solvers = {}
         for update_rule in ['sgd', 'sgd_momentum']:
           print('running with ', update_rule)
           model = FullyConnectedNet([100, 100, 100, 100, 100], weight_scale=5e-2
         )
           solver = Solver(model, small_data,
                            num_epochs=5, batch_size=100,
                            update_rule=update_rule,
                            optim config={
                              'learning rate': 1e-2,
                            },
                            verbose=True)
           solvers[update_rule] = solver
           solver.train()
           print()
         plt.subplot(3, 1, 1)
         plt.title('Training loss')
         plt.xlabel('Iteration')
         plt.subplot(3, 1, 2)
         plt.title('Training accuracy')
         plt.xlabel('Epoch')
         plt.subplot(3, 1, 3)
         plt.title('Validation accuracy')
         plt.xlabel('Epoch')
         for update rule, solver in list(solvers.items()):
           plt.subplot(3, 1, 1)
           plt.plot(solver.loss_history, 'o', label=update_rule)
           plt.subplot(3, 1, 2)
           plt.plot(solver.train acc history, '-o', label=update rule)
           plt.subplot(3, 1, 3)
           plt.plot(solver.val_acc_history, '-o', label=update_rule)
         for i in [1, 2, 3]:
           plt.subplot(3, 1, i)
           plt.legend(loc='upper center', ncol=4)
         plt.gcf().set size inches(15, 15)
         plt.show()
```

```
running with sgd
(Iteration 1 / 200) loss: 2.559978
(Epoch 0 / 5) train acc: 0.103000; val acc: 0.108000
(Iteration 11 / 200) loss: 2.291086
(Iteration 21 / 200) loss: 2.153591
(Iteration 31 / 200) loss: 2.082693
(Epoch 1 / 5) train acc: 0.277000; val acc: 0.242000
(Iteration 41 / 200) loss: 2.004171
(Iteration 51 / 200) loss: 2.010409
(Iteration 61 / 200) loss: 2.023753
(Iteration 71 / 200) loss: 2.026621
(Epoch 2 / 5) train acc: 0.352000; val_acc: 0.312000
(Iteration 81 / 200) loss: 1.807163
(Iteration 91 / 200) loss: 1.914256
(Iteration 101 / 200) loss: 1.920494
(Iteration 111 / 200) loss: 1.708877
(Epoch 3 / 5) train acc: 0.399000; val acc: 0.316000
(Iteration 121 / 200) loss: 1.701111
(Iteration 131 / 200) loss: 1.769697
(Iteration 141 / 200) loss: 1.788899
(Iteration 151 / 200) loss: 1.816437
(Epoch 4 / 5) train acc: 0.426000; val_acc: 0.321000
(Iteration 161 / 200) loss: 1.633853
(Iteration 171 / 200) loss: 1.903011
(Iteration 181 / 200) loss: 1.540134
(Iteration 191 / 200) loss: 1.712615
(Epoch 5 / 5) train acc: 0.437000; val acc: 0.323000
running with sgd momentum
(Iteration 1 / 200) loss: 3.153777
(Epoch 0 / 5) train acc: 0.105000; val acc: 0.093000
(Iteration 11 / 200) loss: 2.145874
(Iteration 21 / 200) loss: 2.032562
(Iteration 31 / 200) loss: 1.985848
(Epoch 1 / 5) train acc: 0.311000; val acc: 0.281000
(Iteration 41 / 200) loss: 1.882354
(Iteration 51 / 200) loss: 1.855372
(Iteration 61 / 200) loss: 1.649133
(Iteration 71 / 200) loss: 1.806432
(Epoch 2 / 5) train acc: 0.415000; val acc: 0.324000
(Iteration 81 / 200) loss: 1.907840
(Iteration 91 / 200) loss: 1.510681
(Iteration 101 / 200) loss: 1.546872
(Iteration 111 / 200) loss: 1.512046
(Epoch 3 / 5) train acc: 0.434000; val acc: 0.321000
(Iteration 121 / 200) loss: 1.677301
(Iteration 131 / 200) loss: 1.504686
(Iteration 141 / 200) loss: 1.633253
(Iteration 151 / 200) loss: 1.745081
(Epoch 4 / 5) train acc: 0.460000; val acc: 0.353000
(Iteration 161 / 200) loss: 1.485411
(Iteration 171 / 200) loss: 1.610417
(Iteration 181 / 200) loss: 1.528331
(Iteration 191 / 200) loss: 1.447238
(Epoch 5 / 5) train acc: 0.515000; val acc: 0.384000
```

/Users/anshuman/anaconda3/envs/cs682/lib/python3.6/site-packages/ipyker nel launcher.py:39: MatplotlibDeprecationWarning: Adding an axes using the same arguments as a previous axes currently reuses the earlier inst ance. In a future version, a new instance will always be created and r eturned. Meanwhile, this warning can be suppressed, and the future beh avior ensured, by passing a unique label to each axes instance. /Users/anshuman/anaconda3/envs/cs682/lib/python3.6/site-packages/ipyker nel launcher.py:42: MatplotlibDeprecationWarning: Adding an axes using the same arguments as a previous axes currently reuses the earlier inst In a future version, a new instance will always be created and r eturned. Meanwhile, this warning can be suppressed, and the future beh avior ensured, by passing a unique label to each axes instance. /Users/anshuman/anaconda3/envs/cs682/lib/python3.6/site-packages/ipyker nel launcher.py:45: MatplotlibDeprecationWarning: Adding an axes using the same arguments as a previous axes currently reuses the earlier inst In a future version, a new instance will always be created and r eturned. Meanwhile, this warning can be suppressed, and the future beh avior ensured, by passing a unique label to each axes instance. /Users/anshuman/anaconda3/envs/cs682/lib/python3.6/site-packages/ipyker nel launcher.py:49: MatplotlibDeprecationWarning: Adding an axes using the same arguments as a previous axes currently reuses the earlier inst In a future version, a new instance will always be created and r eturned. Meanwhile, this warning can be suppressed, and the future beh

avior ensured, by passing a unique label to each axes instance.



## **RMSProp and Adam**

RMSProp [1] and Adam [2] are update rules that set per-parameter learning rates by using a running average of the second moments of gradients.

In the file cs682/optim.py, implement the RMSProp update rule in the rmsprop function and implement the Adam update rule in the adam function, and check your implementations using the tests below.

**NOTE:** Please implement the *complete* Adam update rule (with the bias correction mechanism), not the first simplified version mentioned in the course notes.

- [1] Tijmen Tieleman and Geoffrey Hinton. "Lecture 6.5-rmsprop: Divide the gradient by a running average of its recent magnitude." COURSERA: Neural Networks for Machine Learning 4 (2012).
- [2] Diederik Kingma and Jimmy Ba, "Adam: A Method for Stochastic Optimization", ICLR 2015.

```
In [50]: # Test RMSProp implementation
        from cs682.optim import rmsprop
        N, D = 4, 5
        w = np.linspace(-0.4, 0.6, num=N*D).reshape(N, D)
        dw = np.linspace(-0.6, 0.4, num=N*D).reshape(N, D)
        cache = np.linspace(0.6, 0.9, num=N*D).reshape(N, D)
        config = {'learning_rate': 1e-2, 'cache': cache}
        next_w, _ = rmsprop(w, dw, config=config)
        expected_next_w = np.asarray([
          [-0.39223849, -0.34037513, -0.28849239, -0.23659121, -0.18467247],
          [-0.132737, -0.08078555, -0.02881884, 0.02316247, 0.07515774],
          [0.12716641, 0.17918792, 0.23122175, 0.28326742, 0.33532447],
          [0.38739248, 0.43947102, 0.49155973, 0.54365823, 0.59576619]])
        expected_cache = np.asarray([
          [ 0.67329252, 0.68859723, 0.70395734, 0.71937285, 0.73484377],
          [0.75037008, 0.7659518, 0.78158892, 0.79728144, 0.81302936],
          [ 0.82883269, 0.84469141, 0.86060554, 0.87657507, 0.8926 ]])
        # You should see relative errors around e-7 or less
        print('next_w error: ', rel_error(expected_next_w, next_w))
        print('cache error: ', rel_error(expected_cache, config['cache']))
```

next\_w error: 9.524687511038133e-08
cache error: 2.6477955807156126e-09

```
In [51]: # Test Adam implementation
        from cs682.optim import adam
        N, D = 4, 5
        w = np.linspace(-0.4, 0.6, num=N*D).reshape(N, D)
        dw = np.linspace(-0.6, 0.4, num=N*D).reshape(N, D)
        m = np.linspace(0.6, 0.9, num=N*D).reshape(N, D)
        v = np.linspace(0.7, 0.5, num=N*D).reshape(N, D)
        config = {'learning_rate': 1e-2, 'm': m, 'v': v, 't': 5}
        next_w, _ = adam(w, dw, config=config)
        expected next w = np.asarray([
          [-0.40094747, -0.34836187, -0.29577703, -0.24319299, -0.19060977],
          [-0.1380274, -0.08544591, -0.03286534, 0.01971428, 0.0722929],
          [0.1248705, 0.17744702, 0.23002243, 0.28259667, 0.33516969],
          [0.38774145, 0.44031188, 0.49288093, 0.54544852, 0.59801459]])
        expected_v = np.asarray([
          [0.64683452, 0.63628604, 0.6257431, 0.61520571, 0.60467385,],
          [0.59414753, 0.58362676, 0.57311152, 0.56260183, 0.55209767,],
          [0.54159906, 0.53110598, 0.52061845, 0.51013645, 0.49966, ]])
        expected_m = np.asarray([
                    0.49947368, 0.51894737, 0.53842105, 0.55789474],
          [ 0.48,
          [0.57736842, 0.59684211, 0.61631579, 0.63578947, 0.65526316],
          [0.67473684, 0.69421053, 0.71368421, 0.73315789, 0.75263158],
          [ 0.77210526, 0.79157895, 0.81105263, 0.83052632, 0.85
                                                                      ]])
        # You should see relative errors around e-7 or less
        print('next w error: ', rel error(expected next w, next w))
        print('v error: ', rel_error(expected_v, config['v']))
        print('m error: ', rel error(expected m, config['m']))
        next w error: 1.1395691798535431e-07
        v error: 4.208314038113071e-09
```

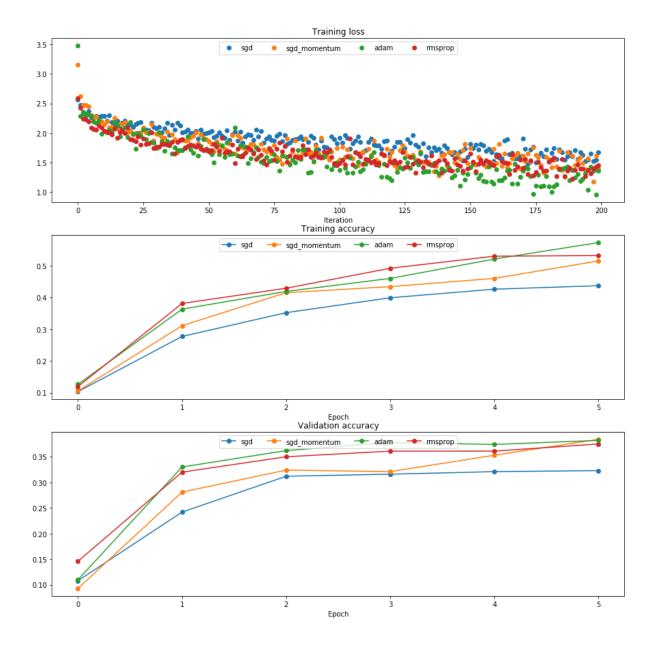
Once you have debugged your RMSProp and Adam implementations, run the following to train a pair of deep networks using these new update rules:

m error: 4.214963193114416e-09

```
In [52]: learning_rates = {'rmsprop': 1e-4, 'adam': 1e-3}
         for update_rule in ['adam', 'rmsprop']:
           print('running with ', update_rule)
           model = FullyConnectedNet([100, 100, 100, 100, 100], weight_scale=5e-2
           solver = Solver(model, small_data,
                           num epochs=5, batch size=100,
                           update_rule=update_rule,
                           optim_config={
                              'learning rate': learning rates[update rule]
                           },
                           verbose=True)
           solvers[update rule] = solver
           solver.train()
           print()
         plt.subplot(3, 1, 1)
         plt.title('Training loss')
         plt.xlabel('Iteration')
         plt.subplot(3, 1, 2)
         plt.title('Training accuracy')
         plt.xlabel('Epoch')
         plt.subplot(3, 1, 3)
         plt.title('Validation accuracy')
         plt.xlabel('Epoch')
         for update rule, solver in list(solvers.items()):
           plt.subplot(3, 1, 1)
           plt.plot(solver.loss history, 'o', label=update rule)
           plt.subplot(3, 1, 2)
           plt.plot(solver.train_acc_history, '-o', label=update_rule)
           plt.subplot(3, 1, 3)
           plt.plot(solver.val_acc_history, '-o', label=update_rule)
         for i in [1, 2, 3]:
           plt.subplot(3, 1, i)
           plt.legend(loc='upper center', ncol=4)
         plt.gcf().set size inches(15, 15)
         plt.show()
```

```
running with adam
(Iteration 1 / 200) loss: 3.476928
(Epoch 0 / 5) train acc: 0.126000; val acc: 0.110000
(Iteration 11 / 200) loss: 2.027712
(Iteration 21 / 200) loss: 2.183358
(Iteration 31 / 200) loss: 1.744257
(Epoch 1 / 5) train acc: 0.363000; val acc: 0.330000
(Iteration 41 / 200) loss: 1.707951
(Iteration 51 / 200) loss: 1.703835
(Iteration 61 / 200) loss: 2.094758
(Iteration 71 / 200) loss: 1.505558
(Epoch 2 / 5) train acc: 0.419000; val_acc: 0.362000
(Iteration 81 / 200) loss: 1.594429
(Iteration 91 / 200) loss: 1.519017
(Iteration 101 / 200) loss: 1.368522
(Iteration 111 / 200) loss: 1.470400
(Epoch 3 / 5) train acc: 0.460000; val acc: 0.378000
(Iteration 121 / 200) loss: 1.199064
(Iteration 131 / 200) loss: 1.464705
(Iteration 141 / 200) loss: 1.359863
(Iteration 151 / 200) loss: 1.415069
(Epoch 4 / 5) train acc: 0.521000; val_acc: 0.374000
(Iteration 161 / 200) loss: 1.382818
(Iteration 171 / 200) loss: 1.359900
(Iteration 181 / 200) loss: 1.095947
(Iteration 191 / 200) loss: 1.243088
(Epoch 5 / 5) train acc: 0.573000; val acc: 0.382000
running with rmsprop
(Iteration 1 / 200) loss: 2.589166
(Epoch 0 / 5) train acc: 0.119000; val acc: 0.146000
(Iteration 11 / 200) loss: 2.032921
(Iteration 21 / 200) loss: 1.897278
(Iteration 31 / 200) loss: 1.770793
(Epoch 1 / 5) train acc: 0.381000; val acc: 0.320000
(Iteration 41 / 200) loss: 1.895732
(Iteration 51 / 200) loss: 1.681091
(Iteration 61 / 200) loss: 1.487204
(Iteration 71 / 200) loss: 1.629973
(Epoch 2 / 5) train acc: 0.429000; val_acc: 0.350000
(Iteration 81 / 200) loss: 1.506686
(Iteration 91 / 200) loss: 1.610742
(Iteration 101 / 200) loss: 1.486124
(Iteration 111 / 200) loss: 1.559454
(Epoch 3 / 5) train acc: 0.492000; val acc: 0.361000
(Iteration 121 / 200) loss: 1.497406
(Iteration 131 / 200) loss: 1.530736
(Iteration 141 / 200) loss: 1.550957
(Iteration 151 / 200) loss: 1.652046
(Epoch 4 / 5) train acc: 0.530000; val acc: 0.361000
(Iteration 161 / 200) loss: 1.599574
(Iteration 171 / 200) loss: 1.401073
(Iteration 181 / 200) loss: 1.509582
(Iteration 191 / 200) loss: 1.368611
(Epoch 5 / 5) train acc: 0.532000; val acc: 0.375000
```

/Users/anshuman/anaconda3/envs/cs682/lib/python3.6/site-packages/ipyker nel launcher.py:30: MatplotlibDeprecationWarning: Adding an axes using the same arguments as a previous axes currently reuses the earlier inst ance. In a future version, a new instance will always be created and r eturned. Meanwhile, this warning can be suppressed, and the future beh avior ensured, by passing a unique label to each axes instance. /Users/anshuman/anaconda3/envs/cs682/lib/python3.6/site-packages/ipyker nel launcher.py:33: MatplotlibDeprecationWarning: Adding an axes using the same arguments as a previous axes currently reuses the earlier inst In a future version, a new instance will always be created and r eturned. Meanwhile, this warning can be suppressed, and the future beh avior ensured, by passing a unique label to each axes instance. /Users/anshuman/anaconda3/envs/cs682/lib/python3.6/site-packages/ipyker nel launcher.py:36: MatplotlibDeprecationWarning: Adding an axes using the same arguments as a previous axes currently reuses the earlier inst In a future version, a new instance will always be created and r eturned. Meanwhile, this warning can be suppressed, and the future beh avior ensured, by passing a unique label to each axes instance. /Users/anshuman/anaconda3/envs/cs682/lib/python3.6/site-packages/ipyker nel launcher.py:40: MatplotlibDeprecationWarning: Adding an axes using the same arguments as a previous axes currently reuses the earlier inst In a future version, a new instance will always be created and r eturned. Meanwhile, this warning can be suppressed, and the future beh avior ensured, by passing a unique label to each axes instance.



## **Inline Question 3:**

AdaGrad, like Adam, is a per-parameter optimization method that uses the following update rule:

```
cache += dw**2
w += - learning_rate * dw / (np.sqrt(cache) + eps)
```

John notices that when he was training a network with AdaGrad that the updates became very small, and that his network was learning slowly. Using your knowledge of the AdaGrad update rule, why do you think the updates would become very small? Would Adam have the same issue?

#### **Answer:**

Adagrad accumulates the gradient and divides by it to compute the updates. This value can become too large after several iterations after which, the updates would become extremely small. Adam, however, takes a weighted average of the accumulated gradient (cache) and the current gradient (dw), hence it would not explode in as many iterations. Thus, Adam is more robust to this issue over several iterations of updates. Consider an example where the gradients are 10 at each step. With AdaGrad, the cache would become 1000 in 100 iterations whereas with Adam, the cache would remain 10. More formally, the Adam cache would never be greater than the max gradient. Thus, Adam does not suffer from this issue.

Alternatively, if the network suffers from exploding gradients (gradients becoming too large), then division by the accumulated value (in cache) could lead to a very small update (in very few iterations). The Adam update takes a weighted average, hence the updates would not be impacted by this.

# Train a good model!

Train the best fully-connected model that you can on CIFAR-10, storing your best model in the best\_model variable. We require you to get at least 50% accuracy on the validation set using a fully-connected net.

If you are careful it should be possible to get accuracies above 55%, but we don't require it for this part and won't assign extra credit for doing so. Later in the assignment we will ask you to train the best convolutional network that you can on CIFAR-10, and we would prefer that you spend your effort working on convolutional nets rather than fully-connected nets.

You might find it useful to complete the BatchNormalization.ipynb and Dropout.ipynb notebooks before completing this part, since those techniques can help you train powerful models.

```
In [131]: best model = None
         #######
         # TODO: Train the best FullyConnectedNet that you can on CIFAR-10. You m
         ight
         # find batch/layer normalization and dropout useful. Store your best mod
         el in #
         # the best model variable.
         #######
         numTrials = 25
         results = []
         bestHyperparams = None
         np.random.seed(123)
         learning_rates = 10**np.random.uniform(-2,-4, numTrials)
         regs = 10**np.random.uniform(0,-4, numTrials)
         # dropouts = np.random.uniform(0.6, 1, numTrials)
         weight scales = np.random.uniform(1e-2,5e-2, numTrials)
         for trial in range (numTrials):
             learning rate = learning rates[trial]
             reg = regs[trial]
             weight_scale = weight_scales[trial]
             dropout = 1#dropouts[trial]
             print ("Trial {}: lr={} reg={} weight_scale={} do={}".format(trial,
         learning rate, reg, weight scale, dropout))
             model = FullyConnectedNet([100, 400, 400, 400, 100],
                                     weight scale=weight scale,
                                     normalization='batchnorm',
                                     dtype=np.float64,
                                     dropout=dropout,
                                     reg=reg,
                                     seed=123)
             solver = Solver(model, data,
                            num epochs=20,
                            batch size=50,
                            update rule='adam',
                            optim config={'learning rate': learning rate},
                            verbose=False,
                            lr decay = 0.95,
                            print every = 100)
             solver.train()
             val acc = solver.best val acc
             print ("Best Train acc = {} Val acc = {}".format(max(solver.train ac
         c history), val acc))
               print ("Min loss =", min(solver.loss history))
               print(solver.val acc history)
             if bestHyperparams is None or bestHyperparams[4] < val acc:</pre>
                 bestHyperparams = (learning rate, reg, weight scale, dropout, va
         l acc)
                 best model = model
             results.append((learning rate, reg, weight scale, dropout, val acc))
```

#############	<i>`</i> ####################################
#######	
#	END OF YOUR CODE
#	
	<i>***</i>

```
Trial 0: lr=0.0004046333073420584 reg=0.051069822050525036 weight scale
=0.01482514663961295 do=1
Best Train acc = 0.522 Val acc = 0.519
Trial 1: lr=0.0026774497579933826 reg=0.035714566043029326 weight_scale
=0.04305363202027333 do=1
Best Train acc = 0.372 Val acc = 0.362
Trial 2: lr=0.0035180101884127587 reg=0.1221650779631915 weight scale=
0.0341224051364371 do=1
Best Train acc = 0.279 Val acc = 0.281
Trial 3: lr=0.0007895333180807005 reg=0.066856527296119 weight scale=0.
031802720258658594 do=1
Best Train acc = 0.47 Val acc = 0.446
Trial 4: lr=0.000363967043090007 reg=0.002992922730182325 weight scale=
0.023710553350972337 do=1
Best Train acc = 0.774 Val acc = 0.581
Trial 5: lr=0.0014249088365594447 reg=0.42813451438371275 weight_scale=
0.022164831561087363 do=1
Best Train acc = 0.262 Val acc = 0.274
Trial 6: lr=0.00010926261824221895 reg=0.018416000127050833 weight scal
e=0.026680888440988065 do=1
Best Train acc = 0.826 Val acc = 0.566
Trial 7: lr=0.00042691412362385033 reg=0.018903792722959698 weight_scal
e=0.03725203063171187 do=1
Best Train acc = 0.584 Val acc = 0.529
Trial 8: lr=0.0010917826715488564 reg=0.010598871074605358 weight_scale
=0.045018273671806996 do=1
Best Train acc = 0.529 Val acc = 0.492
Trial 9: lr=0.0016434820443355922 reg=0.019800622263578854 weight scale
=0.030416893499120447 do=1
Best Train acc = 0.465 Val acc = 0.445
Trial 10: lr=0.0020589413120966965 reg=0.05635793970800434 weight scale
=0.036772551318490894 do=1
Best Train acc = 0.385 Val acc = 0.388
Trial 11: lr=0.0003482575859315551 reg=0.01970583182808769 weight scale
=0.03343746210248852 do=1
Best Train acc = 0.62 Val acc = 0.546
Trial 12: lr=0.0013269529083173167 reg=0.0002669582505040336 weight sca
le=0.034996140083824 do=1
Best Train acc = 0.751 Val acc = 0.56
Trial 13: lr=0.007597036391571568 reg=0.00016724761263818577 weight sca
le=0.03698756203951299 do=1
Best Train acc = 0.534 Val acc = 0.51
Trial 14: lr=0.001599232066581871 reg=0.009832258690034188 weight scale
=0.043693697504810294 do=1
Best Train acc = 0.501 Val acc = 0.481
Trial 15: lr=0.0003342021107943263 reg=0.003192921143915423 weight scal
e=0.013327799533297552 do=1
Best Train acc = 0.77 Val acc = 0.567
Trial 16: lr=0.004315355105388501 reg=0.3447675793771386 weight scale=
0.04054731365773353 do=1
Best Train acc = 0.199 Val acc = 0.22
Trial 17: lr=0.004457552713588758 reg=0.05380939048839085 weight scale=
0.01974665498147496 do=1
Best Train acc = 0.281 Val acc = 0.295
Trial 18: lr=0.0008647633042996515 reg=0.021912662622563427 weight scal
e=0.017768918423150835 do=1
Best Train acc = 0.502 Val acc = 0.481
```

```
Trial 19: lr=0.0008636640168530538 reg=0.00034258107550713153 weight sc
ale=0.032898278299658926 do=1
Best Train acc = 0.793 Val acc = 0.567
Trial 20: lr=0.0005385153448283213 reg=0.09958147125344827 weight scale
=0.013828500664495485 do=1
Best Train acc = 0.463 Val acc = 0.462
Trial 21: lr=0.0002000490124667205 reg=0.011691303721755232 weight scal
e=0.04541307305100559 do=1
Best Train acc = 0.766 Val acc = 0.556
Trial 22: lr=0.00035570449248140194 reg=0.00011422491868421907 weight s
cale=0.03508995888205075 do=1
Best Train acc = 0.903 Val acc = 0.55
Trial 23: lr=0.0005997261399883596 reg=0.008357175510570808 weight scal
e=0.03893665432759819 do=1
Best Train acc = 0.613 Val acc = 0.554
Trial 24: lr=0.00035901552960287584 reg=0.003535264375693929 weight_sca
le=0.010645168267800674 do=1
Best Train acc = 0.728 Val acc = 0.557
Best hyperparams (0.000363967043090007, 0.002992922730182325, 0.0237105
53350972337, 1, 0.581)
```

# Test your model!

Run your best model on the validation and test sets. You should achieve above 50% accuracy on the validation set.

## **Batch Normalization**

One way to make deep networks easier to train is to use more sophisticated optimization procedures such as SGD+momentum, RMSProp, or Adam. Another strategy is to change the architecture of the network to make it easier to train. One idea along these lines is batch normalization which was proposed by [3] in 2015.

The idea is relatively straightforward. Machine learning methods tend to work better when their input data consists of uncorrelated features with zero mean and unit variance. When training a neural network, we can preprocess the data before feeding it to the network to explicitly decorrelate its features; this will ensure that the first layer of the network sees data that follows a nice distribution. However, even if we preprocess the input data, the activations at deeper layers of the network will likely no longer be decorrelated and will no longer have zero mean or unit variance since they are output from earlier layers in the network. Even worse, during the training process the distribution of features at each layer of the network will shift as the weights of each layer are updated.

The authors of [3] hypothesize that the shifting distribution of features inside deep neural networks may make training deep networks more difficult. To overcome this problem, [3] proposes to insert batch normalization layers into the network. At training time, a batch normalization layer uses a minibatch of data to estimate the mean and standard deviation of each feature. These estimated means and standard deviations are then used to center and normalize the features of the minibatch. A running average of these means and standard deviations is kept during training, and at test time these running averages are used to center and normalize features.

It is possible that this normalization strategy could reduce the representational power of the network, since it may sometimes be optimal for certain layers to have features that are not zero-mean or unit variance. To this end, the batch normalization layer includes learnable shift and scale parameters for each feature dimension.

[3] <u>Sergey Ioffe and Christian Szegedy, "Batch Normalization: Accelerating Deep Network Training by Reducing Internal Covariate Shift", ICML 2015. (https://arxiv.org/abs/1502.03167)</u>

```
In [188]: # As usual, a bit of setup
          import time
          import numpy as np
          import matplotlib.pyplot as plt
          from cs682.classifiers.fc_net import *
          from cs682.data_utils import get_CIFAR10_data
          from cs682.gradient_check import eval_numerical_gradient, eval_numerical
          gradient array
          from cs682.solver import Solver
          %matplotlib inline
          plt.rcParams['figure.figsize'] = (10.0, 8.0) # set default size of plots
          plt.rcParams['image.interpolation'] = 'nearest'
          plt.rcParams['image.cmap'] = 'gray'
          # for auto-reloading external modules
          # see http://stackoverflow.com/questions/1907993/autoreload-of-modules-i
          n-ipython
          %load ext autoreload
          %autoreload 2
          def rel_error(x, y):
              """ returns relative error """
              return np.max(np.abs(x - y) / (np.maximum(1e-8, np.abs(x) + np.abs(y))
          ))))
          def print mean std(x,axis=0):
              print(' means: ', x.mean(axis=axis))
              print(' stds: ', x.std(axis=axis))
              print()
          The autoreload extension is already loaded. To reload it, use:
            %reload ext autoreload
In [160]: # Load the (preprocessed) CIFAR10 data.
          data = get CIFAR10 data()
          for k, v in data.items():
```

```
print('%s: ' % k, v.shape)

X_train: (49000, 3, 32, 32)
y_train: (49000,)
X_val: (1000, 3, 32, 32)
y_val: (1000,)
X_test: (1000, 3, 32, 32)
y_test: (1000,)
```

## **Batch normalization: forward**

In the file cs682/layers.py, implement the batch normalization forward pass in the function batchnorm forward. Once you have done so, run the following to test your implementation.

Referencing the paper linked to above would be helpful!

```
In [161]: # Check the training-time forward pass by checking means and variances
          # of features both before and after batch normalization
          # Simulate the forward pass for a two-layer network
          np.random.seed(231)
          N, D1, D2, D3 = 200, 50, 60, 3
          X = np.random.randn(N, D1)
          W1 = np.random.randn(D1, D2)
          W2 = np.random.randn(D2, D3)
          a = np.maximum(0, X.dot(W1)).dot(W2)
          print('Before batch normalization:')
          print_mean_std(a,axis=0)
          gamma = np.ones((D3,))
          beta = np.zeros((D3,))
          # Means should be close to zero and stds close to one
          print('After batch normalization (gamma=1, beta=0)')
          a_norm, _ = batchnorm_forward(a, gamma, beta, {'mode': 'train'})
          print mean std(a norm,axis=0)
          gamma = np.asarray([1.0, 2.0, 3.0])
          beta = np.asarray([11.0, 12.0, 13.0])
          # Now means should be close to beta and stds close to gamma
          print('After batch normalization (gamma=', gamma, ', beta=', beta, ')')
          a_norm, _ = batchnorm_forward(a, gamma, beta, {'mode': 'train'})
          print mean std(a norm,axis=0)
          Before batch normalization:
            means: [ -2.3814598 -13.18038246 1.91780462]
                    [27.18502186 34.21455511 37.68611762]
            stds:
          After batch normalization (gamma=1, beta=0)
            means: [5.32907052e-17 7.04991621e-17 4.11476409e-17]
            stds: [0.9999999 1.
                                                     1
          After batch normalization (gamma= [1. 2. 3.], beta= [11. 12. 13.])
            means: [11. 12. 13.]
            stds: [0.99999999 1.99999999 2.99999999]
```

```
In [162]: # Check the test-time forward pass by running the training-time
          # forward pass many times to warm up the running averages, and then
          # checking the means and variances of activations after a test-time
          # forward pass.
          np.random.seed(231)
          N, D1, D2, D3 = 200, 50, 60, 3
          W1 = np.random.randn(D1, D2)
          W2 = np.random.randn(D2, D3)
          bn param = {'mode': 'train'}
          gamma = np.ones(D3)
          beta = np.zeros(D3)
          for t in range(50):
            X = np.random.randn(N, D1)
            a = np.maximum(0, X.dot(W1)).dot(W2)
            batchnorm forward(a, gamma, beta, bn param)
          bn param['mode'] = 'test'
          X = np.random.randn(N, D1)
          a = np.maximum(0, X.dot(W1)).dot(W2)
          a_norm, _ = batchnorm_forward(a, gamma, beta, bn_param)
          # Means should be close to zero and stds close to one, but will be
          # noisier than training-time forward passes.
          print('After batch normalization (test-time):')
          print mean std(a norm,axis=0)
```

## **Batch normalization: backward**

Now implement the backward pass for batch normalization in the function batchnorm backward.

means: [-0.03927354 -0.04349152 -0.10452688] stds: [1.01531428 1.01238373 0.97819988]

To derive the backward pass you should write out the computation graph for batch normalization and backprop through each of the intermediate nodes. Some intermediates may have multiple outgoing branches; make sure to sum gradients across these branches in the backward pass.

Once you have finished, run the following to numerically check your backward pass.

After batch normalization (test-time):

```
In [163]: # Gradient check batchnorm backward pass
          np.random.seed(231)
          N, D = 4, 5
          x = 5 * np.random.randn(N, D) + 12
          gamma = np.random.randn(D)
          beta = np.random.randn(D)
          dout = np.random.randn(N, D)
          bn_param = {'mode': 'train'}
          fx = lambda x: batchnorm forward(x, gamma, beta, bn param)[0]
          fg = lambda a: batchnorm_forward(x, a, beta, bn_param)[0]
          fb = lambda b: batchnorm_forward(x, gamma, b, bn_param)[0]
          dx num = eval_numerical_gradient_array(fx, x, dout)
          da_num = eval_numerical gradient_array(fg, gamma.copy(), dout)
          db_num = eval_numerical_gradient_array(fb, beta.copy(), dout)
          # print("Numerical dx", dx num)
          _, cache = batchnorm_forward(x, gamma, beta, bn_param)
          dx, dgamma, dbeta = batchnorm backward(dout, cache)
          # print("Dx", dx)
          #You should expect to see relative errors between 1e-13 and 1e-8
          print('dx error: ', rel_error(dx_num, dx))
          print('dgamma error: ', rel_error(da_num, dgamma))
          print('dbeta error: ', rel_error(db_num, dbeta))
```

dx error: 1.7029246970364838e-09 dgamma error: 7.420414216247087e-13 dbeta error: 2.8795057655839487e-12

### **Batch normalization: alternative backward**

In class we talked about two different implementations for the sigmoid backward pass. One strategy is to write out a computation graph composed of simple operations and backprop through all intermediate values. Another strategy is to work out the derivatives on paper. For example, you can derive a very simple formula for the sigmoid function's backward pass by simplifying gradients on paper.

Surprisingly, it turns out that you can do a similar simplification for the batch normalization backward pass too.

Given a set of inputs 
$$X = \begin{bmatrix} x_1 \\ x_2 \\ \dots \\ x_N \end{bmatrix}$$
, we first calculate the mean  $\mu = \frac{1}{N} \sum_{k=1}^N x_k$  and variance

$$v = \frac{1}{N} \sum_{k=1}^{N} (x_k - \mu)^2$$

With  $\mu$  and v calculated, we can calculate the standard deviation  $\sigma=\sqrt{v+\epsilon}$  and normalized data Y with  $y_i=\frac{x_i-\mu}{\sigma}$ .

The meat of our problem is to get  $\frac{\partial L}{\partial X}$  from the upstream gradient  $\frac{\partial L}{\partial Y}$ . It might be challenging to directly reason about the gradients over X and Y - try reasoning about it in terms of  $x_i$  and  $y_i$  first.

You will need to come up with the derivations for  $\frac{\partial L}{\partial x_i}$ , by relying on the Chain Rule to first calculate the intermediate  $\frac{\partial \mu}{\partial x_i}$ ,  $\frac{\partial v}{\partial x_i}$ ,  $\frac{\partial \sigma}{\partial x_i}$ , then assemble these pieces to calculate  $\frac{\partial y_i}{\partial x_i}$ . You should make sure each of the intermediary steps are all as simple as possible.

After doing so, implement the simplified batch normalization backward pass in the function batchnorm\_backward\_alt and compare the two implementations by running the following. Your two implementations should compute nearly identical results, but the alternative implementation should be a bit faster.

```
In [164]: | np.random.seed(231)
          N, D = 100, 500
          x = 5 * np.random.randn(N, D) + 12
          gamma = np.random.randn(D)
          beta = np.random.randn(D)
          dout = np.random.randn(N, D)
          bn param = {'mode': 'train'}
          out, cache = batchnorm_forward(x, gamma, beta, bn_param)
          t1 = time.time()
          dx1, dgamma1, dbeta1 = batchnorm_backward(dout, cache)
          t2 = time.time()
          dx2, dgamma2, dbeta2 = batchnorm backward alt(dout, cache)
          t3 = time.time()
          # print("dx1", dx1, "\ndx2", dx2)
          print('dx difference: ', rel_error(dx1, dx2))
          print('dgamma difference: ', rel error(dgammal, dgamma2))
          print('dbeta difference: ', rel_error(dbeta1, dbeta2))
          print('speedup: %.2fx' % ((t2 - t1) / (t3 - t2)))
          dx difference: 1.3951303266098162e-12
          dgamma difference: 0.0
          dbeta difference: 0.0
```

## **Fully Connected Nets with Batch Normalization**

speedup: 5.47x

Now that you have a working implementation for batch normalization, go back to your FullyConnectedNet in the file cs682/classifiers/fc net.py . Modify your implementation to add batch normalization.

Concretely, when the normalization flag is set to "batchnorm" in the constructor, you should insert a batch normalization layer before each ReLU nonlinearity. The outputs from the last layer of the network should not be normalized. Once you are done, run the following to gradient-check your implementation.

HINT: You might find it useful to define an additional helper layer similar to those in the file cs682/layer utils.py . If you decide to do so, do it in the file cs682/classifiers/fc net.py .

```
In [165]: np.random.seed(231)
          N, D, H1, H2, C = 2, 15, 20, 30, 10
          X = np.random.randn(N, D)
          y = np.random.randint(C, size=(N,))
          # You should expect losses between 1e-4~1e-10 for W,
          # losses between 1e-08~1e-10 for b,
          # and losses between 1e-08~1e-09 for beta and gammas.
          for reg in [0, 3.14]:
            print('Running check with reg = ', reg)
            model = FullyConnectedNet([H1, H2], input_dim=D, num_classes=C,
                                      reg=reg, weight scale=5e-2, dtype=np.float64
                                       normalization='batchnorm')
            loss, grads = model.loss(X, y)
            print('Initial loss: ', loss)
            for name in sorted(grads):
              f = lambda : model.loss(X, y)[0]
              grad num = eval_numerical_gradient(f, model.params[name], verbose=Fa
          lse, h=1e-5)
              print('%s relative error: %.2e' % (name, rel_error(grad_num, grads[n
          ame 1)))
            if reg == 0: print()
          Running check with reg = 0
          Initial loss: 2.2611955101340957
          W1 relative error: 1.10e-04
          W2 relative error: 2.85e-06
          W3 relative error: 3.92e-10
          b1 relative error: 8.88e-08
          b2 relative error: 2.00e-07
          b3 relative error: 4.78e-11
          betal relative error: 7.33e-09
          beta2 relative error: 1.89e-09
          gammal relative error: 7.57e-09
          gamma2 relative error: 1.96e-09
          Running check with reg = 3.14
          Initial loss: 6.996533220108303
          W1 relative error: 1.98e-06
          W2 relative error: 2.28e-06
          W3 relative error: 1.11e-08
          b1 relative error: 8.88e-08
          b2 relative error: 2.22e-08
          b3 relative error: 2.23e-10
          betal relative error: 6.65e-09
          beta2 relative error: 5.69e-09
          gammal relative error: 8.80e-09
          gamma2 relative error: 4.14e-09
```

# **Batchnorm for deep networks**

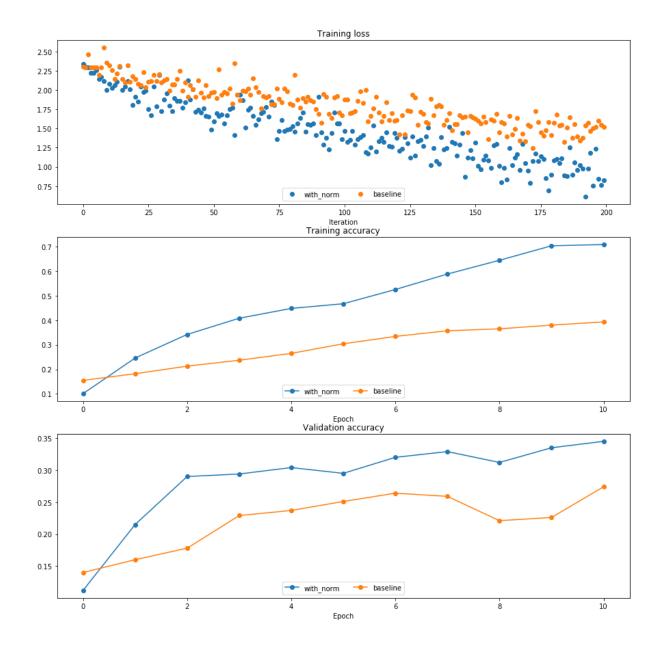
Run the following to train a six-layer network on a subset of 1000 training examples both with and without batch normalization.

```
In [166]: np.random.seed(231)
          # Try training a very deep net with batchnorm
          hidden_dims = [100, 100, 100, 100, 100]
          num_train = 1000
          small_data = {
            'X_train': data['X_train'][:num_train],
            'y_train': data['y_train'][:num_train],
            'X_val': data['X_val'],
            'y_val': data['y_val'],
          }
          weight_scale = 2e-2
          bn model = FullyConnectedNet(hidden dims, weight scale=weight scale, nor
          malization='batchnorm')
          model = FullyConnectedNet(hidden_dims, weight_scale=weight_scale, normal
          ization=None)
          bn_solver = Solver(bn_model, small_data,
                          num epochs=10, batch size=50,
                           update_rule='adam',
                           optim_config={
                             'learning_rate': 1e-3,
                          },
                           verbose=True,print_every=20)
          bn_solver.train()
          solver = Solver(model, small_data,
                           num epochs=10, batch size=50,
                           update rule='adam',
                           optim config={
                             'learning_rate': 1e-3,
                           verbose=True, print_every=20)
          solver.train()
```

```
(Iteration 1 / 200) loss: 2.340974
(Epoch 0 / 10) train acc: 0.101000; val acc: 0.112000
(Epoch 1 / 10) train acc: 0.246000; val acc: 0.215000
(Iteration 21 / 200) loss: 1.913055
(Epoch 2 / 10) train acc: 0.342000; val acc: 0.290000
(Iteration 41 / 200) loss: 2.128057
(Epoch 3 / 10) train acc: 0.408000; val acc: 0.294000
(Iteration 61 / 200) loss: 1.940800
(Epoch 4 / 10) train acc: 0.448000; val acc: 0.304000
(Iteration 81 / 200) loss: 1.532883
(Epoch 5 / 10) train acc: 0.467000; val acc: 0.295000
(Iteration 101 / 200) loss: 1.463726
(Epoch 6 / 10) train acc: 0.525000; val_acc: 0.320000
(Iteration 121 / 200) loss: 1.379170
(Epoch 7 / 10) train acc: 0.588000; val acc: 0.329000
(Iteration 141 / 200) loss: 1.518723
(Epoch 8 / 10) train acc: 0.644000; val acc: 0.312000
(Iteration 161 / 200) loss: 0.798684
(Epoch 9 / 10) train acc: 0.703000; val_acc: 0.335000
(Iteration 181 / 200) loss: 1.087987
(Epoch 10 / 10) train acc: 0.708000; val acc: 0.345000
(Iteration 1 / 200) loss: 2.302332
(Epoch 0 / 10) train acc: 0.155000; val acc: 0.140000
(Epoch 1 / 10) train acc: 0.182000; val_acc: 0.160000
(Iteration 21 / 200) loss: 2.143172
(Epoch 2 / 10) train acc: 0.213000; val acc: 0.178000
(Iteration 41 / 200) loss: 1.915774
(Epoch 3 / 10) train acc: 0.237000; val acc: 0.229000
(Iteration 61 / 200) loss: 1.865571
(Epoch 4 / 10) train acc: 0.265000; val acc: 0.237000
(Iteration 81 / 200) loss: 1.802503
(Epoch 5 / 10) train acc: 0.304000; val acc: 0.251000
(Iteration 101 / 200) loss: 1.876829
(Epoch 6 / 10) train acc: 0.334000; val acc: 0.264000
(Iteration 121 / 200) loss: 1.611363
(Epoch 7 / 10) train acc: 0.357000; val acc: 0.259000
(Iteration 141 / 200) loss: 1.696978
(Epoch 8 / 10) train acc: 0.365000; val acc: 0.221000
(Iteration 161 / 200) loss: 1.581994
(Epoch 9 / 10) train acc: 0.380000; val acc: 0.226000
(Iteration 181 / 200) loss: 1.573919
(Epoch 10 / 10) train acc: 0.393000; val acc: 0.274000
```

Run the following to visualize the results from two networks trained above. You should find that using batch normalization helps the network to converge much faster.

```
def plot_training_history(title, label, baseline, bn_solvers, plot_fn, b
In [167]:
          l_marker='.', bn_marker='.', labels=None):
              """utility function for plotting training history"""
              plt.title(title)
              plt.xlabel(label)
              bn_plots = [plot_fn(bn_solver) for bn_solver in bn_solvers]
              bl_plot = plot_fn(baseline)
              num bn = len(bn plots)
              for i in range(num_bn):
                  label='with_norm'
                  if labels is not None:
                      label += str(labels[i])
                  plt.plot(bn_plots[i], bn_marker, label=label)
              label='baseline'
              if labels is not None:
                  label += str(labels[0])
              plt.plot(bl_plot, bl_marker, label=label)
              plt.legend(loc='lower center', ncol=num bn+1)
          plt.subplot(3, 1, 1)
          plot_training_history('Training loss','Iteration', solver, [bn_solver],
                                 lambda x: x.loss_history, bl_marker='o', bn_marker
          ='o')
          plt.subplot(3, 1, 2)
          plot training history('Training accuracy', 'Epoch', solver, [bn solver],
                                lambda x: x.train acc history, bl marker='-o', bn
          marker='-o')
          plt.subplot(3, 1, 3)
          plot training history('Validation accuracy', 'Epoch', solver, [bn solver
          ], \
                                lambda x: x.val acc history, bl marker='-o', bn ma
          rker='-o')
          plt.gcf().set size inches(15, 15)
          plt.show()
```



# **Batch normalization and initialization**

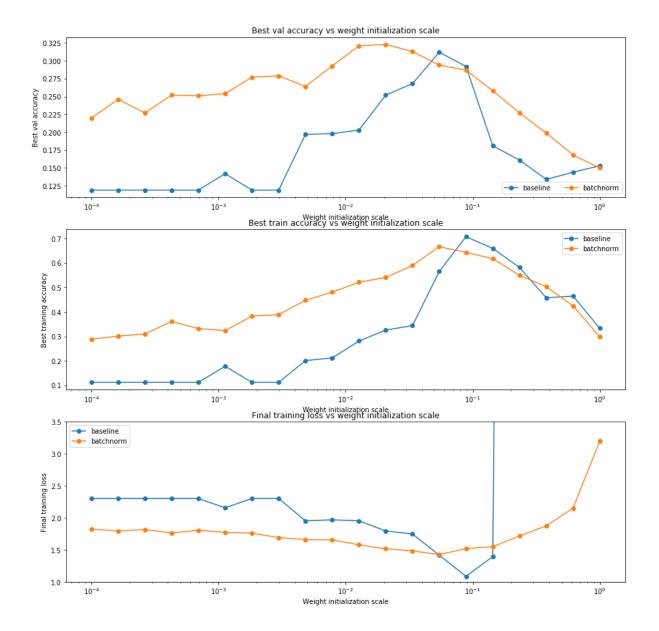
We will now run a small experiment to study the interaction of batch normalization and weight initialization.

The first cell will train 8-layer networks both with and without batch normalization using different scales for weight initialization. The second layer will plot training accuracy, validation set accuracy, and training loss as a function of the weight initialization scale.

```
In [169]: np.random.seed(231)
          # Try training a very deep net with batchnorm
          hidden_dims = [50, 50, 50, 50, 50, 50, 50]
          num_train = 1000
          small_data = {
            'X_train': data['X_train'][:num_train],
            'y_train': data['y_train'][:num_train],
            'X val': data['X val'],
            'y_val': data['y_val'],
          }
          bn_solvers_ws = {}
          solvers_ws = {}
          weight scales = np.logspace(-4, 0, num=20)
          for i, weight_scale in enumerate(weight_scales):
            print('Running weight scale %d / %d' % (i + 1, len(weight_scales)))
            bn model = FullyConnectedNet(hidden dims, weight scale=weight scale, n
          ormalization='batchnorm')
            model = FullyConnectedNet(hidden_dims, weight_scale=weight_scale, norm
          alization=None)
            bn_solver = Solver(bn_model, small_data,
                            num_epochs=10, batch_size=50,
                            update rule='adam',
                            optim_config={
                               'learning_rate': 1e-3,
                            verbose=False, print every=200)
            bn solver.train()
            bn solvers ws[weight scale] = bn solver
            solver = Solver(model, small data,
                            num epochs=10, batch size=50,
                            update rule='adam',
                            optim_config={
                               'learning rate': 1e-3,
                            verbose=False, print every=200)
            solver.train()
            solvers ws[weight scale] = solver
```

```
Running weight scale 1 / 20
Running weight scale 2 / 20
Running weight scale 3 / 20
Running weight scale 4 / 20
Running weight scale 5 / 20
Running weight scale 6 / 20
Running weight scale 7 / 20
Running weight scale 8 / 20
Running weight scale 9 / 20
Running weight scale 10 / 20
Running weight scale 11 / 20
Running weight scale 12 / 20
Running weight scale 13 / 20
Running weight scale 14 / 20
Running weight scale 15 / 20
Running weight scale 16 / 20
Running weight scale 17 / 20
Running weight scale 18 / 20
Running weight scale 19 / 20
Running weight scale 20 / 20
```

```
In [170]: # Plot results of weight scale experiment
          best train accs, bn best train accs = [], []
          best_val_accs, bn_best_val_accs = [], []
          final_train_loss, bn_final_train_loss = [], []
          for ws in weight scales:
            best train accs.append(max(solvers ws[ws].train acc history))
            bn best train_accs.append(max(bn_solvers_ws[ws].train_acc_history))
            best_val_accs.append(max(solvers_ws[ws].val_acc_history))
            bn best val accs.append(max(bn solvers ws[ws].val acc history))
            final train loss.append(np.mean(solvers ws[ws].loss history[-100:]))
            bn final train loss.append(np.mean(bn solvers ws[ws].loss history[-100
          : ] ) )
          plt.subplot(3, 1, 1)
          plt.title('Best val accuracy vs weight initialization scale')
          plt.xlabel('Weight initialization scale')
          plt.ylabel('Best val accuracy')
          plt.semilogx(weight_scales, best_val_accs, '-o', label='baseline')
          plt.semilogx(weight_scales, bn_best_val_accs, '-o', label='batchnorm')
          plt.legend(ncol=2, loc='lower right')
          plt.subplot(3, 1, 2)
          plt.title('Best train accuracy vs weight initialization scale')
          plt.xlabel('Weight initialization scale')
          plt.ylabel('Best training accuracy')
          plt.semilogx(weight scales, best train accs, '-o', label='baseline')
          plt.semilogx(weight scales, bn best train accs, '-o', label='batchnorm')
          plt.legend()
          plt.subplot(3, 1, 3)
          plt.title('Final training loss vs weight initialization scale')
          plt.xlabel('Weight initialization scale')
          plt.ylabel('Final training loss')
          plt.semilogx(weight scales, final train loss, '-o', label='baseline')
          plt.semilogx(weight_scales, bn_final_train_loss, '-o', label='batchnorm'
          )
          plt.legend()
          plt.gca().set_ylim(1.0, 3.5)
          plt.gcf().set size inches(15, 15)
          plt.show()
```



## **Inline Question 1:**

Describe the results of this experiment. How does the scale of weight initialization affect models with/without batch normalization differently, and why?

## **Answer:**

With batch normalization, the performance of the network is much less sensitive to the weight initialization. As we normalize the outputs periodically in the network (through the bacthnorm layer), the initial weights do not have a heavy bearing on the overall performance of the network. The network is able to learn appropriate values (by adjusting gamma dn beta) to overcome the restriction of this normalization while avoiding the impact of initialization.

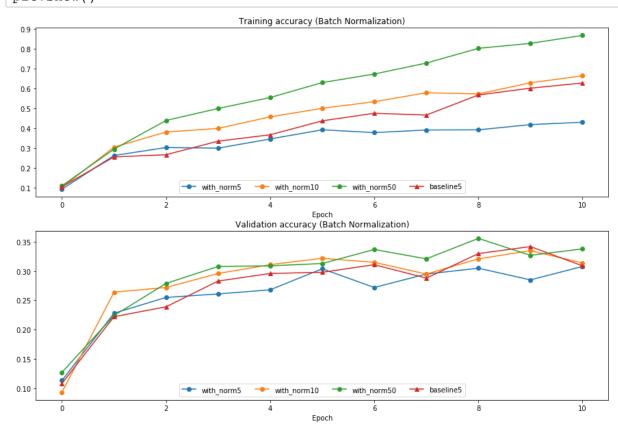
# Batch normalization and batch size

We will now run a small experiment to study the interaction of batch normalization and batch size.

The first cell will train 6-layer networks both with and without batch normalization using different batch sizes. The second layer will plot training accuracy and validation set accuracy over time.

```
In [171]: def run batchsize experiments(normalization mode):
              np.random.seed(231)
              # Try training a very deep net with batchnorm
              hidden_dims = [100, 100, 100, 100, 100]
              num_train = 1000
              small_data = {
                 'X_train': data['X_train'][:num_train],
                 'y train': data['y train'][:num train],
                 'X_val': data['X_val'],
                 'y_val': data['y_val'],
              n_epochs=10
              weight_scale = 2e-2
              batch sizes = [5, 10, 50]
              lr = 10**(-3.5)
              solver_bsize = batch_sizes[0]
              print('No normalization: batch size = ',solver_bsize)
              model = FullyConnectedNet(hidden_dims, weight_scale=weight_scale, no
          rmalization=None)
              solver = Solver(model, small_data,
                               num_epochs=n_epochs, batch_size=solver_bsize,
                               update_rule='adam',
                               optim_config={
                                 'learning rate': lr,
                               },
                               verbose=False)
              solver.train()
              bn solvers = []
              for i in range(len(batch sizes)):
                  b size=batch sizes[i]
                  print('Normalization: batch size = ',b size)
                  bn_model = FullyConnectedNet(hidden_dims, weight_scale=weight_sc
          ale, normalization=normalization_mode)
                  bn solver = Solver(bn model, small data,
                                   num_epochs=n_epochs, batch_size=b_size,
                                   update rule='adam',
                                   optim config={
                                     'learning_rate': lr,
                                   },
                                   verbose=False)
                  bn solver.train()
                  bn solvers.append(bn solver)
              return bn solvers, solver, batch sizes
          batch sizes = [5, 10, 50]
          bn solvers bsize, solver bsize, batch sizes = run batchsize experiments(
          'batchnorm')
```

```
No normalization: batch size = Normalization: batch size = 5
Normalization: batch size = 10
Normalization: batch size = 50
```



### **Inline Question 2:**

Describe the results of this experiment. What does this imply about the relationship between batch normalization and batch size? Why is this relationship observed?

#### **Answer:**

The training accuracy increases substantially with increase in batch size. Batch norm estimates the actual means and variance by computing it over just the samples in the batch. Hence, if the batch size is larger, the estimate would be closer to the actual mean and average of the training examples and hence the normalization would lead to a better training accuracy. At smaller batch sizes, the estimate would not be very accurate and the performance would be relatively low. The impact on the validation accuracy does not show any particular pattern as batchnorm estimates the statistics of the training set and not the validation set and hence may not have any deterministic impact on the validation accuracy.

# **Layer Normalization**

Batch normalization has proved to be effective in making networks easier to train, but the dependency on batch size makes it less useful in complex networks which have a cap on the input batch size due to hardware limitations.

Several alternatives to batch normalization have been proposed to mitigate this problem; one such technique is Layer Normalization [4]. Instead of normalizing over the batch, we normalize over the features. In other words, when using Layer Normalization, each feature vector corresponding to a single datapoint is normalized based on the sum of all terms within that feature vector.

[4] <u>Ba, Jimmy Lei, Jamie Ryan Kiros, and Geoffrey E. Hinton. "Layer Normalization." stat 1050 (2016): 21. (https://arxiv.org/pdf/1607.06450.pdf)</u>

#### **Inline Question 3:**

Which of these data preprocessing steps is analogous to batch normalization, and which is analogous to layer normalization?

- 1. Scaling each image in the dataset, so that the RGB channels for each row of pixels within an image sums up to 1.
- 2. Scaling each image in the dataset, so that the RGB channels for all pixels within an image sums up to 1.
- 3. Subtracting the mean image of the dataset from each image in the dataset.
- 4. Setting all RGB values to either 0 or 1 depending on a given threshold.

#### **Answer:**

Option 3 is analogous to Batch Normalization

Options 1, 2 are analogous to Layer Normalization

# **Layer Normalization: Implementation**

Now you'll implement layer normalization. This step should be relatively straightforward, as conceptually the implementation is almost identical to that of batch normalization. One significant difference though is that for layer normalization, we do not keep track of the moving moments, and the testing phase is identical to the training phase, where the mean and variance are directly calculated per datapoint.

Here's what you need to do:

• In cs682/layers.py, implement the forward pass for layer normalization in the function layernorm\_backward.

Run the cell below to check your results.

• In cs682/layers.py, implement the backward pass for layer normalization in the function layernorm backward.

Run the second cell below to check your results.

Modify cs682/classifiers/fc\_net.py to add layer normalization to the FullyConnectedNet.
 When the normalization flag is set to "layernorm" in the constructor, you should insert a layer normalization layer before each ReLU nonlinearity.

Run the third cell below to run the batch size experiment on layer normalization.

```
In [181]: # Check the training-time forward pass by checking means and variances
          # of features both before and after layer normalization
          # Simulate the forward pass for a two-layer network
          np.random.seed(231)
          N, D1, D2, D3 = 4, 50, 60, 3
          X = np.random.randn(N, D1)
          W1 = np.random.randn(D1, D2)
          W2 = np.random.randn(D2, D3)
          a = np.maximum(0, X.dot(W1)).dot(W2)
          print('Before layer normalization:')
          print_mean_std(a,axis=1)
          gamma = np.ones(D3)
          beta = np.zeros(D3)
          # Means should be close to zero and stds close to one
          print('After layer normalization (gamma=1, beta=0)')
          a_norm, _ = layernorm_forward(a, gamma, beta, {'mode': 'train'})
          print mean std(a norm,axis=1)
          gamma = np.asarray([3.0,3.0,3.0])
          beta = np.asarray([5.0,5.0,5.0])
          # Now means should be close to beta and stds close to gamma
          print('After layer normalization (gamma=', gamma, ', beta=', beta, ')')
          a_norm, _ = layernorm_forward(a, gamma, beta, {'mode': 'train'})
          print mean std(a norm,axis=1)
          Before layer normalization:
            means: [-59.06673243 -47.60782686 -43.31137368 -26.40991744]
                   [10.07429373 28.39478981 35.28360729 4.01831507]
          After layer normalization (gamma=1, beta=0)
            means: [-4.81096644e-16 0.00000000e+00 7.40148683e-17 -5.55111512e
          -16]
            stds: [0.99999995 0.99999999 1. 0.999999969]
          After layer normalization (gamma= [3. 3. 3.], beta= [5. 5. 5.])
            means: [5. 5. 5. 5.]
                   [2.99999985 2.99999998 2.99999999 2.999999907]
            stds:
```

```
In [182]: # Gradient check batchnorm backward pass
          np.random.seed(231)
          N, D = 4, 5
          x = 5 * np.random.randn(N, D) + 12
          gamma = np.random.randn(D)
          beta = np.random.randn(D)
          dout = np.random.randn(N, D)
          ln param = \{\}
          fx = lambda x: layernorm forward(x, gamma, beta, ln_param)[0]
          fg = lambda a: layernorm_forward(x, a, beta, ln_param)[0]
          fb = lambda b: layernorm_forward(x, gamma, b, ln_param)[0]
          dx num = eval numerical gradient array(fx, x, dout)
          da_num = eval_numerical_gradient_array(fg, gamma.copy(), dout)
          db_num = eval_numerical_gradient_array(fb, beta.copy(), dout)
          _, cache = layernorm_forward(x, gamma, beta, ln_param)
          dx, dgamma, dbeta = layernorm_backward(dout, cache)
          #You should expect to see relative errors between 1e-12 and 1e-8
          print('dx error: ', rel_error(dx_num, dx))
          print('dgamma error: ', rel_error(da_num, dgamma))
          print('dbeta error: ', rel_error(db_num, dbeta))
```

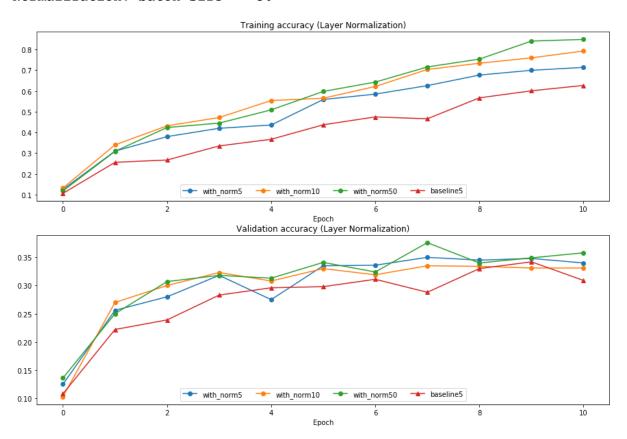
dgamma error: 4.519489546032799e-12 dbeta error: 2.276445013433725e-12

dx error: 1.4336158494902849e-09

# Layer Normalization and batch size

We will now run the previous batch size experiment with layer normalization instead of batch normalization. Compared to the previous experiment, you should see a markedly smaller influence of batch size on the training history!

No normalization: batch size = 5 Normalization: batch size = 5 Normalization: batch size = 10 Normalization: batch size = 50



## **Inline Question 4:**

When is layer normalization likely to not work well, and why?

- 1. Using it in a very deep network
- 2. Having a very small dimension of features
- 3. Having a high regularization term

### **Answer:**

Option 2 - Layer Normalization would likely not work well if the number of features is very low. This is because the calculated statistics would be noisy if the number of features is too low. This is analogous to the effect of small batch size on the performance of batchnorm.

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## **Dropout**

In [1]: # As usual, a bit of setup

from future import print function

Dropout [1] is a technique for regularizing neural networks by randomly setting some features to zero during the forward pass. In this exercise you will implement a dropout layer and modify your fully-connected network to optionally use dropout.

[1] Geoffrey E. Hinton et al, "Improving neural networks by preventing co-adaptation of feature detectors", arXiv 2012 (https://arxiv.org/abs/1207.0580)

```
import time
        import numpy as np
        import matplotlib.pyplot as plt
        from cs682.classifiers.fc net import *
        from cs682.data_utils import get_CIFAR10_data
        from cs682.gradient_check import eval_numerical_gradient, eval_numerical
        _gradient_array
        from cs682.solver import Solver
        %matplotlib inline
        plt.rcParams['figure.figsize'] = (10.0, 8.0) # set default size of plots
        plt.rcParams['image.interpolation'] = 'nearest'
        plt.rcParams['image.cmap'] = 'gray'
        # for auto-reloading external modules
        # see http://stackoverflow.com/questions/1907993/autoreload-of-modules-i
        n-ipython
        %load ext autoreload
        %autoreload 2
        def rel error(x, y):
          """ returns relative error """
          return np.max(np.abs(x - y) / (np.maximum(1e-8, np.abs(x) + np.abs(y))
        ))))
In [2]: # Load the (preprocessed) CIFAR10 data.
        data = get CIFAR10 data()
        for k, v in data.items():
          print('%s: ' % k, v.shape)
        X_train: (49000, 3, 32, 32)
        y train: (49000,)
        X val: (1000, 3, 32, 32)
        y_val: (1000,)
        X test: (1000, 3, 32, 32)
        y test: (1000,)
```

# **Dropout forward pass**

In the file cs682/layers.py, implement the forward pass for dropout. Since dropout behaves differently during training and testing, make sure to implement the operation for both modes.

Once you have done so, run the cell below to test your implementation.

```
In [5]: np.random.seed(231)
        x = np.random.randn(500, 500) + 10
        for p in [0.25, 0.4, 0.7]:
          out, _ = dropout_forward(x, {'mode': 'train', 'p': p})
          out_test, _ = dropout_forward(x, {'mode': 'test', 'p': p})
          print('Running tests with p = ', p)
          print('Mean of input: ', x.mean())
          print('Mean of train-time output: ', out.mean())
          print('Mean of test-time output: ', out_test.mean())
          print('Fraction of train-time output set to zero: ', (out == 0).mean
          print('Fraction of test-time output set to zero: ', (out_test == 0).me
        an())
          print()
        Running tests with p = 0.25
        Mean of input: 10.000207878477502
        Mean of train-time output: 10.014059116977283
        Mean of test-time output: 10.000207878477502
        Fraction of train-time output set to zero: 0.749784
        Fraction of test-time output set to zero: 0.0
        Running tests with p = 0.4
        Mean of input: 10.000207878477502
        Mean of train-time output: 9.977917658761159
        Mean of test-time output: 10.000207878477502
        Fraction of train-time output set to zero: 0.600796
        Fraction of test-time output set to zero: 0.0
        Running tests with p = 0.7
        Mean of input: 10.000207878477502
        Mean of train-time output: 9.987811912159426
        Mean of test-time output: 10.000207878477502
        Fraction of train-time output set to zero: 0.30074
```

## **Dropout backward pass**

In the file cs682/layers.py, implement the backward pass for dropout. After doing so, run the following cell to numerically gradient-check your implementation.

Fraction of test-time output set to zero: 0.0

```
In [7]: np.random.seed(231)
    x = np.random.randn(10, 10) + 10
    dout = np.random.randn(*x.shape)

dropout_param = {'mode': 'train', 'p': 0.2, 'seed': 123}
    out, cache = dropout_forward(x, dropout_param)
    dx = dropout_backward(dout, cache)
    dx_num = eval_numerical_gradient_array(lambda xx: dropout_forward(xx, dropout_param)[0], x, dout)

# Error should be around e-10 or less
    print('dx relative error: ', rel_error(dx, dx_num))
```

dx relative error: 1.8928938043362133e-11

#### **Inline Question 1:**

What happens if we do not divide the values being passed through inverse dropout by p in the dropout layer? Why does that happen?

#### **Answer:**

On average, the activations after dropout are reduced by a factor of p if we do not divide by p. Therefore, during test, when there is no dropout, the activation would be larger than during train time and the network would not perform well. Hence, to make it consistent, we divide by p during the forward pass at train time. Alternatively, we could also multiply by p during test time.

# **Fully-connected nets with Dropout**

In the file cs682/classifiers/fc\_net.py , modify your implementation to use dropout. Specifically, if the constructor of the net receives a value that is not 1 for the dropout parameter, then the net should add dropout immediately after every ReLU nonlinearity. After doing so, run the following to numerically gradient-check your implementation.

```
In [8]: | np.random.seed(231)
        N, D, H1, H2, C = 2, 15, 20, 30, 10
        X = np.random.randn(N, D)
        y = np.random.randint(C, size=(N,))
        for dropout in [1, 0.75, 0.5]:
          print('Running check with dropout = ', dropout)
          model = FullyConnectedNet([H1, H2], input dim=D, num classes=C,
                                    weight scale=5e-2, dtype=np.float64,
                                    dropout=dropout, seed=123)
          loss, grads = model.loss(X, y)
          print('Initial loss: ', loss)
          # Relative errors should be around e-6 or less; Note that it's fine
          # if for dropout=1 you have W2 error be on the order of e-5.
          for name in sorted(grads):
            f = lambda : model.loss(X, y)[0]
            grad num = eval_numerical_gradient(f, model.params[name], verbose=Fa
        lse, h=1e-5)
            print('%s relative error: %.2e' % (name, rel_error(grad_num, grads[n
        ame])))
          print()
        Running check with dropout = 1
        Initial loss: 2.3004790897684924
        W1 relative error: 1.48e-07
        W2 relative error: 2.21e-05
        W3 relative error: 3.53e-07
        b1 relative error: 5.38e-09
        b2 relative error: 2.09e-09
        b3 relative error: 5.80e-11
        Running check with dropout = 0.75
        Initial loss: 2.302371489704412
        W1 relative error: 1.90e-07
        W2 relative error: 4.76e-06
        W3 relative error: 2.60e-08
        b1 relative error: 4.73e-09
        b2 relative error: 1.82e-09
        b3 relative error: 1.70e-10
        Running check with dropout = 0.5
        Initial loss: 2.3042759220785896
        W1 relative error: 3.11e-07
        W2 relative error: 1.84e-08
        W3 relative error: 5.35e-08
        b1 relative error: 2.58e-08
        b2 relative error: 2.99e-09
        b3 relative error: 1.13e-10
```

# Regularization experiment

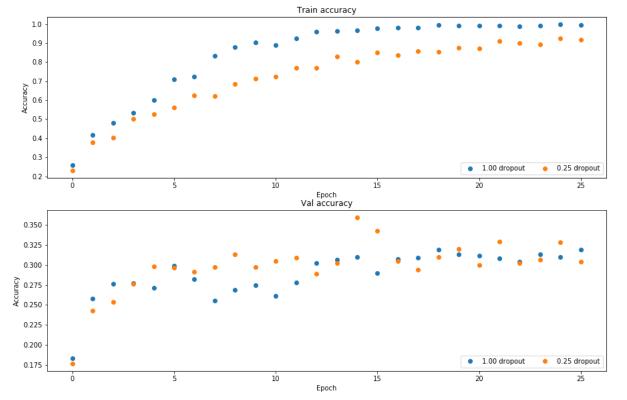
As an experiment, we will train a pair of two-layer networks on 500 training examples: one will use no dropout, and one will use a keep probability of 0.25. We will then visualize the training and validation accuracies of the two networks over time.

```
In [9]: # Train two identical nets, one with dropout and one without
        np.random.seed(231)
        num_train = 500
        small_data = {
          'X_train': data['X_train'][:num_train],
          'y_train': data['y_train'][:num_train],
          'X_val': data['X_val'],
          'y_val': data['y_val'],
        solvers = {}
        dropout_choices = [1, 0.25]
        for dropout in dropout_choices:
          model = FullyConnectedNet([500], dropout=dropout)
          print(dropout)
          solver = Solver(model, small_data,
                          num_epochs=25, batch_size=100,
                          update_rule='adam',
                          optim config={
                             'learning_rate': 5e-4,
                          },
                          verbose=True, print_every=100)
          solver.train()
          solvers[dropout] = solver
```

```
(Iteration 1 / 125) loss: 7.856643
(Epoch 0 / 25) train acc: 0.260000; val acc: 0.184000
(Epoch 1 / 25) train acc: 0.416000; val acc: 0.258000
(Epoch 2 / 25) train acc: 0.482000; val acc: 0.276000
(Epoch 3 / 25) train acc: 0.532000; val_acc: 0.277000
(Epoch 4 / 25) train acc: 0.600000; val acc: 0.271000
(Epoch 5 / 25) train acc: 0.708000; val acc: 0.299000
(Epoch 6 / 25) train acc: 0.722000; val acc: 0.282000
(Epoch 7 / 25) train acc: 0.832000; val acc: 0.255000
(Epoch 8 / 25) train acc: 0.878000; val acc: 0.269000
(Epoch 9 / 25) train acc: 0.902000; val_acc: 0.275000
(Epoch 10 / 25) train acc: 0.888000; val_acc: 0.261000
(Epoch 11 / 25) train acc: 0.926000; val_acc: 0.278000
(Epoch 12 / 25) train acc: 0.960000; val acc: 0.302000
(Epoch 13 / 25) train acc: 0.964000; val_acc: 0.306000
(Epoch 14 / 25) train acc: 0.966000; val acc: 0.310000
(Epoch 15 / 25) train acc: 0.978000; val_acc: 0.290000
(Epoch 16 / 25) train acc: 0.980000; val_acc: 0.307000
(Epoch 17 / 25) train acc: 0.982000; val acc: 0.309000
(Epoch 18 / 25) train acc: 0.994000; val acc: 0.319000
(Epoch 19 / 25) train acc: 0.990000; val_acc: 0.313000
(Epoch 20 / 25) train acc: 0.990000; val acc: 0.311000
(Iteration 101 / 125) loss: 0.000313
(Epoch 21 / 25) train acc: 0.992000; val_acc: 0.308000
(Epoch 22 / 25) train acc: 0.986000; val acc: 0.304000
(Epoch 23 / 25) train acc: 0.990000; val acc: 0.313000
(Epoch 24 / 25) train acc: 0.998000; val acc: 0.310000
(Epoch 25 / 25) train acc: 0.996000; val acc: 0.319000
0.25
(Iteration 1 / 125) loss: 17.318480
(Epoch 0 / 25) train acc: 0.230000; val acc: 0.177000
(Epoch 1 / 25) train acc: 0.378000; val acc: 0.243000
(Epoch 2 / 25) train acc: 0.402000; val acc: 0.254000
(Epoch 3 / 25) train acc: 0.502000; val acc: 0.276000
(Epoch 4 / 25) train acc: 0.528000; val acc: 0.298000
(Epoch 5 / 25) train acc: 0.562000; val acc: 0.296000
(Epoch 6 / 25) train acc: 0.626000; val acc: 0.291000
(Epoch 7 / 25) train acc: 0.622000; val acc: 0.297000
(Epoch 8 / 25) train acc: 0.686000; val acc: 0.313000
(Epoch 9 / 25) train acc: 0.712000; val acc: 0.297000
(Epoch 10 / 25) train acc: 0.724000; val acc: 0.305000
(Epoch 11 / 25) train acc: 0.768000; val acc: 0.309000
(Epoch 12 / 25) train acc: 0.768000; val acc: 0.289000
(Epoch 13 / 25) train acc: 0.830000; val acc: 0.302000
(Epoch 14 / 25) train acc: 0.802000; val acc: 0.359000
(Epoch 15 / 25) train acc: 0.852000; val acc: 0.342000
(Epoch 16 / 25) train acc: 0.838000; val acc: 0.305000
(Epoch 17 / 25) train acc: 0.856000; val acc: 0.294000
(Epoch 18 / 25) train acc: 0.854000; val acc: 0.310000
(Epoch 19 / 25) train acc: 0.874000; val acc: 0.320000
(Epoch 20 / 25) train acc: 0.872000; val acc: 0.300000
(Iteration 101 / 125) loss: 4.683146
(Epoch 21 / 25) train acc: 0.910000; val acc: 0.329000
(Epoch 22 / 25) train acc: 0.900000; val_acc: 0.302000
(Epoch 23 / 25) train acc: 0.894000; val acc: 0.306000
```

```
(Epoch 24 / 25) train acc: 0.924000; val_acc: 0.328000 (Epoch 25 / 25) train acc: 0.918000; val_acc: 0.304000
```

```
In [10]:
         # Plot train and validation accuracies of the two models
         train_accs = []
         val_accs = []
         for dropout in dropout_choices:
           solver = solvers[dropout]
           train accs.append(solver.train acc history[-1])
           val_accs.append(solver.val_acc_history[-1])
         plt.subplot(3, 1, 1)
         for dropout in dropout_choices:
           plt.plot(solvers[dropout].train_acc_history, 'o', label='%.2f dropout'
         % dropout)
         plt.title('Train accuracy')
         plt.xlabel('Epoch')
         plt.ylabel('Accuracy')
         plt.legend(ncol=2, loc='lower right')
         plt.subplot(3, 1, 2)
         for dropout in dropout choices:
           plt.plot(solvers[dropout].val_acc_history, 'o', label='%.2f dropout' %
         dropout)
         plt.title('Val accuracy')
         plt.xlabel('Epoch')
         plt.ylabel('Accuracy')
         plt.legend(ncol=2, loc='lower right')
         plt.gcf().set_size_inches(15, 15)
         plt.show()
```



### **Inline Question 2:**

Compare the validation and training accuracies with and without dropout -- what do your results suggest about dropout as a regularizer?

#### **Answer:**

With dropout, the difference between training and validation accuracies falls, hence dropout acts as a regularizer. Without dropout, the model overfits on the training data and there is a larger gap between training and validation accuracies.

## **Inline Question 3:**

Suppose we are training a deep fully-connected network for image classification, with dropout after hidden layers (parameterized by keep probability p). How should we modify p, if at all, if we decide to decrease the size of the hidden layers (that is, the number of nodes in each layer)?

#### **Answer:**

p should be increased. Reducing the number of hidden layers would decrease the capacity of the model and thus, the regularization should be reduced by increasing p by dropping lesser values.

## **Convolutional Networks**

So far we have worked with deep fully-connected networks, using them to explore different optimization strategies and network architectures. Fully-connected networks are a good testbed for experimentation because they are very computationally efficient, but in practice all state-of-the-art results use convolutional networks instead.

First you will implement several layer types that are used in convolutional networks. You will then use these layers to train a convolutional network on the CIFAR-10 dataset.

```
In [45]: # As usual, a bit of setup
         import numpy as np
         import matplotlib.pyplot as plt
         from cs682.classifiers.cnn import *
         from cs682.data_utils import get_CIFAR10_data
         from cs682.gradient check import eval numerical gradient array, eval num
         erical gradient
         from cs682.layers import *
         from cs682.fast layers import *
         from cs682.solver import Solver
         %matplotlib inline
         plt.rcParams['figure.figsize'] = (10.0, 8.0) # set default size of plots
         plt.rcParams['image.interpolation'] = 'nearest'
         plt.rcParams['image.cmap'] = 'gray'
         # for auto-reloading external modules
         # see http://stackoverflow.com/questions/1907993/autoreload-of-modules-i
         n-ipython
         %load ext autoreload
         %autoreload 2
         def rel error(x, y):
           """ returns relative error """
           return np.max(np.abs(x - y) / (np.maximum(1e-8, np.abs(x) + np.abs(y))
         ))))
```

The autoreload extension is already loaded. To reload it, use: %reload ext autoreload

```
In [2]: # Load the (preprocessed) CIFAR10 data.

data = get_CIFAR10_data()
    for k, v in data.items():
        print('%s: ' % k, v.shape)

X_train: (49000, 3, 32, 32)
    y_train: (49000,)
    X_val: (1000, 3, 32, 32)
    y_val: (1000,)
    X_test: (1000, 3, 32, 32)
    y_test: (1000,)
```

# **Convolution: Naive forward pass**

The core of a convolutional network is the convolution operation. In the file cs682/layers.py , implement the forward pass for the convolution layer in the function conv\_forward\_naive .

You don't have to worry too much about efficiency at this point; just write the code in whatever way you find most clear.

You can test your implementation by running the following:

```
In [3]: x_{shape} = (2, 3, 4, 4)
        w \text{ shape} = (3, 3, 4, 4)
        x = np.linspace(-0.1, 0.5, num=np.prod(x shape)).reshape(x shape)
        w = np.linspace(-0.2, 0.3, num=np.prod(w shape)).reshape(w shape)
        b = np.linspace(-0.1, 0.2, num=3)
        conv_param = {'stride': 2, 'pad': 1}
        out, _ = conv_forward_naive(x, w, b, conv_param)
        correct out = np.array([[[-0.08759809, -0.10987781],
                                   [-0.18387192, -0.2109216]
                                  [[ 0.21027089, 0.21661097],
                                   [ 0.22847626, 0.23004637]],
                                  [[0.50813986, 0.54309974],
                                   [0.64082444, 0.67101435]]
                                 [[-0.98053589, -1.03143541],
                                   [-1.19128892, -1.24695841]],
                                  [[0.69108355, 0.66880383],
                                   [ 0.59480972, 0.56776003]],
                                  [[ 2.36270298, 2.36904306],
                                   [ 2.38090835, 2.38247847]]])
        # Compare your output to ours; difference should be around e-8
        print('Testing conv forward naive')
        # print (correct out.shape, correct out[:,:,1,1])
        # print(out.shape, out[:,:,1,1])
        print('difference: ', rel_error(out, correct_out))
```

Testing conv\_forward\_naive difference: 2.212147649671884e-08

# Aside: Image processing via convolutions

As fun way to both check your implementation and gain a better understanding of the type of operation that convolutional layers can perform, we will set up an input containing two images and manually set up filters that perform common image processing operations (grayscale conversion and edge detection). The convolution forward pass will apply these operations to each of the input images. We can then visualize the results as a sanity check.

```
In [4]: from scipy.misc import imread, imresize
        kitten, puppy = imread('kitten.jpg'), imread('puppy.jpg')
        # kitten is wide, and puppy is already square
        d = kitten.shape[1] - kitten.shape[0]
        kitten_cropped = kitten[:, d//2:-d//2, :]
        img size = 200  # Make this smaller if it runs too slow
        x = np.zeros((2, 3, img_size, img_size))
        x[0, :, :, :] = imresize(puppy, (img_size, img_size)).transpose((2, 0, 1))
        ))
        x[1, :, :, :] = imresize(kitten_cropped, (img_size, img_size)).transpose
        ((2, 0, 1))
        # Set up a convolutional weights holding 2 filters, each 3x3
        w = np.zeros((2, 3, 3, 3))
        # The first filter converts the image to grayscale.
        # Set up the red, green, and blue channels of the filter.
        w[0, 0, :, :] = [[0, 0, 0], [0, 0.3, 0], [0, 0, 0]]
        w[0, 1, :, :] = [[0, 0, 0], [0, 0.6, 0], [0, 0, 0]]
        w[0, 2, :, :] = [[0, 0, 0], [0, 0.1, 0], [0, 0, 0]]
        # Second filter detects horizontal edges in the blue channel.
        W[1, 2, :, :] = [[1, 2, 1], [0, 0, 0], [-1, -2, -1]]
        # Vector of biases. We don't need any bias for the grayscale
        # filter, but for the edge detection filter we want to add 128
        # to each output so that nothing is negative.
        b = np.array([0, 128])
        # Compute the result of convolving each input in x with each filter in
        # offsetting by b, and storing the results in out.
        out, _ = conv_forward_naive(x, w, b, {'stride': 1, 'pad': 1})
        def imshow noax(img, normalize=True):
            """ Tiny helper to show images as uint8 and remove axis labels """
            if normalize:
                img max, img min = np.max(img), np.min(img)
                img = 255.0 * (img - img_min) / (img_max - img_min)
            plt.imshow(img.astype('uint8'))
            plt.gca().axis('off')
        # Show the original images and the results of the conv operation
        plt.subplot(2, 3, 1)
        imshow noax(puppy, normalize=False)
        plt.title('Original image')
        plt.subplot(2, 3, 2)
        imshow noax(out[0, 0])
        plt.title('Grayscale')
        plt.subplot(2, 3, 3)
        imshow noax(out[0, 1])
        plt.title('Edges')
        plt.subplot(2, 3, 4)
        imshow noax(kitten cropped, normalize=False)
```

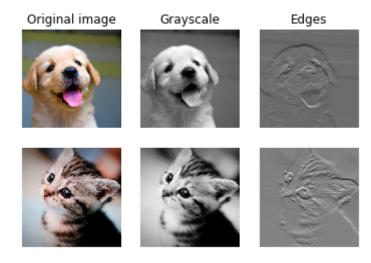
```
plt.subplot(2, 3, 5)
imshow_noax(out[1, 0])
plt.subplot(2, 3, 6)
imshow_noax(out[1, 1])
plt.show()
```

/Users/anshuman/anaconda3/envs/cs682/lib/python3.6/site-packages/ipyker nel\_launcher.py:3: DeprecationWarning: `imread` is deprecated!
 `imread` is deprecated in SciPy 1.0.0, and will be removed in 1.2.0.
 Use ``imageio.imread`` instead.

This is separate from the ipykernel package so we can avoid doing imports until

/Users/anshuman/anaconda3/envs/cs682/lib/python3.6/site-packages/ipyker nel\_launcher.py:10: DeprecationWarning: `imresize` is deprecated!
 `imresize` is deprecated in SciPy 1.0.0, and will be removed in 1.3.0.
 Use Pillow instead: ``numpy.array(Image.fromarray(arr).resize())``.
 # Remove the CWD from sys.path while we load stuff.

/Users/anshuman/anaconda3/envs/cs682/lib/python3.6/site-packages/ipyker nel\_launcher.py:11: DeprecationWarning: `imresize` is deprecated!
 `imresize` is deprecated in SciPy 1.0.0, and will be removed in 1.3.0.
 Use Pillow instead: ``numpy.array(Image.fromarray(arr).resize())``.
 # This is added back by InteractiveShellApp.init path()



# **Convolution: Naive backward pass**

Implement the backward pass for the convolution operation in the function <code>conv\_backward\_naive</code> in the file <code>cs682/layers.py</code> . Again, you don't need to worry too much about computational efficiency.

When you are done, run the following to check your backward pass with a numeric gradient check.

```
In [7]: np.random.seed(231)
        x = np.random.randn(4, 3, 5, 5)
        w = np.random.randn(2, 3, 3, 3)
        b = np.random.randn(2,)
        dout = np.random.randn(4, 2, 5, 5)
        conv_param = {'stride': 1, 'pad': 1}
        dx num = eval numerical gradient array(lambda x: conv forward naive(x, w
        , b, conv_param)[0], x, dout)
        dw_num = eval_numerical_gradient_array(lambda w: conv_forward_naive(x, w
        , b, conv_param)[0], w, dout)
        db_num = eval_numerical_gradient_array(lambda b: conv_forward_naive(x, w
        , b, conv_param)[0], b, dout)
        out, cache = conv_forward_naive(x, w, b, conv_param)
        dx, dw, db = conv_backward_naive(dout, cache)
        # Your errors should be around e-8 or less.
        print('Testing conv_backward_naive function')
        print('dx error: ', rel_error(dx, dx_num))
        print('dw error: ', rel_error(dw, dw_num))
        print('db error: ', rel_error(db, db_num))
```

Testing conv\_backward\_naive function dx error: 4.885873245262464e-09 dw error: 1.2171605452970947e-09 db error: 1.1987330644595476e-10

# **Max-Pooling: Naive forward**

Implement the forward pass for the max-pooling operation in the function <code>max\_pool\_forward\_naive</code> in the file <code>cs682/layers.py</code> . Again, don't worry too much about computational efficiency.

Check your implementation by running the following:

```
In [12]: x_shape = (2, 3, 4, 4)
        x = np.linspace(-0.3, 0.4, num=np.prod(x shape)).reshape(x shape)
        pool param = {'pool width': 2, 'pool height': 2, 'stride': 2}
        correct_out = np.array([[[[-0.26315789, -0.24842105],
                                [-0.20421053, -0.18947368]
                               [[-0.14526316, -0.13052632],
                                [-0.08631579, -0.07157895]],
                               [-0.02736842, -0.01263158],
                                [0.03157895, 0.04631579]]],
                               [[[0.09052632, 0.10526316],
                                [ 0.14947368, 0.16421053]],
                               [[0.20842105, 0.22315789],
                                [ 0.26736842, 0.28210526]],
                                [[ 0.32631579, 0.34105263],
                                [ 0.38526316, 0.4
                                                       1111)
        # Compare your output with ours. Difference should be on the order of e-
        8.
        print('Testing max_pool_forward_naive function:')
        print('difference: ', rel_error(out, correct_out))
```

Testing max\_pool\_forward\_naive function: difference: 4.1666665157267834e-08

## Max-Pooling: Naive backward

Implement the backward pass for the max-pooling operation in the function <code>max\_pool\_backward\_naive</code> in the file <code>cs682/layers.py</code> . You don't need to worry about computational efficiency.

Check your implementation with numeric gradient checking by running the following:

```
In [22]: np.random.seed(231)
    x = np.random.randn(3, 2, 8, 8)
    dout = np.random.randn(3, 2, 4, 4)
    pool_param = {'pool_height': 2, 'pool_width': 2, 'stride': 2}

dx_num = eval_numerical_gradient_array(lambda x: max_pool_forward_naive(x, pool_param)[0], x, dout)

out, cache = max_pool_forward_naive(x, pool_param)
    dx = max_pool_backward_naive(dout, cache)

# Your error should be on the order of e-12
    print('Testing max_pool_backward_naive function:')
    print('dx error: ', rel_error(dx, dx_num))
```

Testing max\_pool\_backward\_naive function: dx error: 3.27562514223145e-12

# **Fast layers**

Making convolution and pooling layers fast can be challenging. To spare you the pain, we've provided fast implementations of the forward and backward passes for convolution and pooling layers in the file cs682/fast\_layers.py.

The fast convolution implementation depends on a Cython extension; to compile it you need to run the following from the cs682 directory:

```
python setup.py build ext --inplace
```

The API for the fast versions of the convolution and pooling layers is exactly the same as the naive versions that you implemented above: the forward pass receives data, weights, and parameters and produces outputs and a cache object; the backward pass recieves upstream derivatives and the cache object and produces gradients with respect to the data and weights.

**NOTE:** The fast implementation for pooling will only perform optimally if the pooling regions are non-overlapping and tile the input. If these conditions are not met then the fast pooling implementation will not be much faster than the naive implementation.

You can compare the performance of the naive and fast versions of these layers by running the following:

```
In [23]: # Rel errors should be around e-9 or less
          from cs682.fast layers import conv forward fast, conv backward fast
          from time import time
         np.random.seed(231)
         x = np.random.randn(100, 3, 31, 31)
         w = np.random.randn(25, 3, 3, 3)
         b = np.random.randn(25,)
          dout = np.random.randn(100, 25, 16, 16)
         conv_param = {'stride': 2, 'pad': 1}
         t0 = time()
          out naive, cache naive = conv forward naive(x, w, b, conv param)
         t1 = time()
         out fast, cache fast = conv forward fast(x, w, b, conv param)
         t2 = time()
         print('Testing conv_forward_fast:')
         print('Naive: %fs' % (t1 - t0))
         print('Fast: %fs' % (t2 - t1))
         print('Speedup: %fx' % ((t1 - t0) / (t2 - t1)))
         print('Difference: ', rel_error(out_naive, out_fast))
         t0 = time()
         dx naive, dw naive, db naive = conv backward naive(dout, cache naive)
          t1 = time()
          dx fast, dw fast, db fast = conv backward fast(dout, cache fast)
         t2 = time()
         print('\nTesting conv_backward_fast:')
         print('Naive: %fs' % (t1 - t0))
         print('Fast: %fs' % (t2 - t1))
         print('Speedup: %fx' % ((t1 - t0) / (t2 - t1)))
         print('dx difference: ', rel_error(dx_naive, dx_fast))
print('dw difference: ', rel_error(dw_naive, dw_fast))
         print('db difference: ', rel_error(db_naive, db_fast))
         Testing conv forward fast:
         Naive: 0.095110s
         Fast: 0.033375s
         Speedup: 2.849734x
         Difference: 4.926407851494105e-11
```

Testing conv backward fast:

dx difference: 3.0189906199222895e-11
dw difference: 3.684308639594534e-13
db difference: 1.2340192272300884e-14

Naive: 0.106962s Fast: 0.014177s Speedup: 7.544708x

```
In [24]: # Relative errors should be close to 0.0
         from cs682.fast layers import max pool forward fast, max pool backward f
         ast
         np.random.seed(231)
         x = np.random.randn(100, 3, 32, 32)
         dout = np.random.randn(100, 3, 16, 16)
         pool param = {'pool_height': 2, 'pool_width': 2, 'stride': 2}
         t0 = time()
         out naive, cache naive = max pool forward naive(x, pool param)
         t1 = time()
         out fast, cache fast = max pool forward fast(x, pool param)
         t2 = time()
         print('Testing pool_forward_fast:')
         print('Naive: %fs' % (t1 - t0))
         print('fast: %fs' % (t2 - t1))
         print('speedup: %fx' % ((t1 - t0) / (t2 - t1)))
         print('difference: ', rel_error(out_naive, out_fast))
         t0 = time()
         dx naive = max pool backward naive(dout, cache naive)
         t1 = time()
         dx_fast = max_pool_backward_fast(dout, cache_fast)
         t2 = time()
         print('\nTesting pool backward fast:')
         print('Naive: %fs' % (t1 - t0))
         print('fast: %fs' % (t2 - t1))
         print('speedup: %fx' % ((t1 - t0) / (t2 - t1)))
         print('dx difference: ', rel_error(dx_naive, dx fast))
         Testing pool forward fast:
         Naive: 0.009937s
         fast: 0.004588s
         speedup: 2.165766x
         difference: 0.0
         Testing pool backward fast:
```

# Convolutional "sandwich" layers

Naive: 0.021426s fast: 0.011407s speedup: 1.878294x dx difference: 0.0

Previously we introduced the concept of "sandwich" layers that combine multiple operations into commonly used patterns. In the file cs682/layer\_utils.py you will find sandwich layers that implement a few commonly used patterns for convolutional networks.

```
In [25]: from cs682.layer_utils import conv_relu_pool_forward, conv_relu_pool_bac
         kward
         np.random.seed(231)
         x = np.random.randn(2, 3, 16, 16)
         w = np.random.randn(3, 3, 3, 3)
         b = np.random.randn(3,)
         dout = np.random.randn(2, 3, 8, 8)
         conv param = {'stride': 1, 'pad': 1}
         pool param = {'pool height': 2, 'pool width': 2, 'stride': 2}
         out, cache = conv relu pool forward(x, w, b, conv param, pool param)
         dx, dw, db = conv_relu_pool_backward(dout, cache)
         dx num = eval numerical gradient array(lambda x: conv relu pool forward(
         x, w, b, conv_param, pool_param)[0], x, dout)
         dw num = eval numerical gradient array(lambda w: conv relu pool forward(
         x, w, b, conv_param, pool_param)[0], w, dout)
         db num = eval numerical gradient array(lambda b: conv relu pool forward(
         x, w, b, conv_param, pool_param)[0], b, dout)
         # Relative errors should be around e-8 or less
         print('Testing conv_relu_pool')
         print('dx error: ', rel_error(dx_num, dx))
         print('dw error: ', rel_error(dw_num, dw))
         print('db error: ', rel_error(db_num, db))
```

Testing conv\_relu\_pool

dx error: 5.828178746516271e-09
dw error: 8.443628091870788e-09
db error: 3.57960501324485e-10

```
In [26]: from cs682.layer_utils import conv_relu_forward, conv_relu_backward
         np.random.seed(231)
         x = np.random.randn(2, 3, 8, 8)
         w = np.random.randn(3, 3, 3, 3)
         b = np.random.randn(3,)
         dout = np.random.randn(2, 3, 8, 8)
         conv_param = {'stride': 1, 'pad': 1}
         out, cache = conv_relu_forward(x, w, b, conv_param)
         dx, dw, db = conv_relu_backward(dout, cache)
         dx num = eval numerical gradient array(lambda x: conv relu forward(x, w,
         b, conv param)[0], x, dout)
         dw num = eval numerical gradient array(lambda w: conv relu forward(x, w,
         b, conv_param)[0], w, dout)
         db_num = eval_numerical_gradient_array(lambda b: conv_relu_forward(x, w,
         b, conv_param)[0], b, dout)
         # Relative errors should be around e-8 or less
         print('Testing conv relu:')
         print('dx error: ', rel_error(dx_num, dx))
         print('dw error: ', rel_error(dw_num, dw))
         print('db error: ', rel_error(db_num, db))
         Testing conv relu:
```

dx error: 3.5600610115232832e-09 dw error: 2.2497700915729298e-10 db error: 1.3087619975802167e-10

# **Three-layer ConvNet**

Now that you have implemented all the necessary layers, we can put them together into a simple convolutional network.

Open the file cs682/classifiers/cnn.py and complete the implementation of the ThreeLayerConvNet class. Remember you can use the fast/sandwich layers (already imported for you) in your implementation. Run the following cells to help you debug:

### Sanity check loss

After you build a new network, one of the first things you should do is sanity check the loss. When we use the softmax loss, we expect the loss for random weights (and no regularization) to be about log(C) for C classes. When we add regularization this should go up.

```
In [46]: model = ThreeLayerConvNet()

N = 50
X = np.random.randn(N, 3, 32, 32)
y = np.random.randint(10, size=N)

loss, grads = model.loss(X, y)
print('Initial loss (no regularization): ', loss)

model.reg = 0.5
loss, grads = model.loss(X, y)
print('Initial loss (with regularization): ', loss)
```

Initial loss (no regularization): 2.3025850635890874
Initial loss (with regularization): 2.508600062137286

#### Gradient check

After the loss looks reasonable, use numeric gradient checking to make sure that your backward pass is correct. When you use numeric gradient checking you should use a small amount of artifical data and a small number of neurons at each layer. Note: correct implementations may still have relative errors up to the order of e-2.

```
In [47]: num inputs = 2
         input dim = (3, 16, 16)
         req = 0.0
         num classes = 10
         np.random.seed(231)
         X = np.random.randn(num inputs, *input dim)
         y = np.random.randint(num classes, size=num inputs)
         model = ThreeLayerConvNet(num filters=3, filter size=3,
                                    input dim=input dim, hidden dim=7,
                                    dtype=np.float64)
         loss, grads = model.loss(X, y)
         # Errors should be small, but correct implementations may have
         # relative errors up to the order of e-2
         for param name in sorted(grads):
             f = lambda : model.loss(X, y)[0]
             param grad num = eval numerical gradient(f, model.params[param name
         1, verbose=False, h=1e-6)
             e = rel error(param grad num, grads[param name])
             print('%s max relative error: %e' % (param_name, rel error(param gra
         d num, grads[param name])))
         W1 max relative error: 1.380104e-04
```

W1 max relative error: 1.380104e-04 W2 max relative error: 1.822723e-02 W3 max relative error: 3.064049e-04 b1 max relative error: 3.477652e-05 b2 max relative error: 2.516375e-03 b3 max relative error: 7.945660e-10

## Overfit small data

A nice trick is to train your model with just a few training samples. You should be able to overfit small datasets, which will result in very high training accuracy and comparatively low validation accuracy.

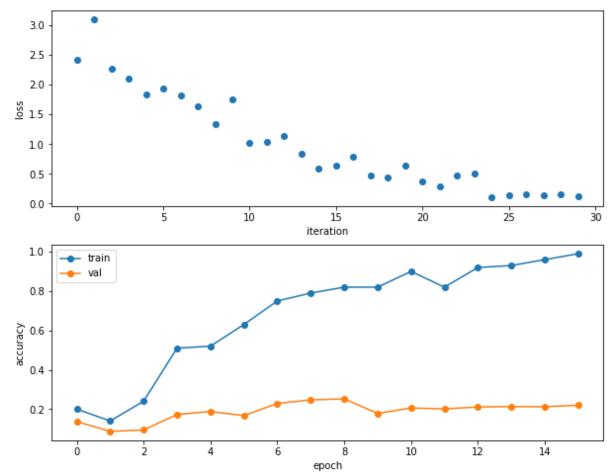
```
In [48]: np.random.seed(231)
         num_train = 100
         small_data = {
           'X_train': data['X_train'][:num_train],
           'y_train': data['y_train'][:num_train],
           'X_val': data['X_val'],
           'y_val': data['y_val'],
         model = ThreeLayerConvNet(weight_scale=1e-2)
         solver = Solver(model, small_data,
                          num_epochs=15, batch_size=50,
                          update_rule='adam',
                          optim_config={
                            'learning_rate': 1e-3,
                          },
                          verbose=True, print_every=1)
         solver.train()
```

```
(Iteration 1 / 30) loss: 2.414060
(Epoch 0 / 15) train acc: 0.200000; val acc: 0.137000
(Iteration 2 / 30) loss: 3.102925
(Epoch 1 / 15) train acc: 0.140000; val acc: 0.087000
(Iteration 3 / 30) loss: 2.270330
(Iteration 4 / 30) loss: 2.096705
(Epoch 2 / 15) train acc: 0.240000; val acc: 0.094000
(Iteration 5 / 30) loss: 1.838880
(Iteration 6 / 30) loss: 1.934188
(Epoch 3 / 15) train acc: 0.510000; val acc: 0.173000
(Iteration 7 / 30) loss: 1.827912
(Iteration 8 / 30) loss: 1.639574
(Epoch 4 / 15) train acc: 0.520000; val acc: 0.188000
(Iteration 9 / 30) loss: 1.330082
(Iteration 10 / 30) loss: 1.756115
(Epoch 5 / 15) train acc: 0.630000; val_acc: 0.167000
(Iteration 11 / 30) loss: 1.024162
(Iteration 12 / 30) loss: 1.041826
(Epoch 6 / 15) train acc: 0.750000; val_acc: 0.229000
(Iteration 13 / 30) loss: 1.142777
(Iteration 14 / 30) loss: 0.835706
(Epoch 7 / 15) train acc: 0.790000; val_acc: 0.247000
(Iteration 15 / 30) loss: 0.587786
(Iteration 16 / 30) loss: 0.645509
(Epoch 8 / 15) train acc: 0.820000; val acc: 0.252000
(Iteration 17 / 30) loss: 0.786844
(Iteration 18 / 30) loss: 0.467054
(Epoch 9 / 15) train acc: 0.820000; val acc: 0.178000
(Iteration 19 / 30) loss: 0.429880
(Iteration 20 / 30) loss: 0.635498
(Epoch 10 / 15) train acc: 0.900000; val acc: 0.206000
(Iteration 21 / 30) loss: 0.365807
(Iteration 22 / 30) loss: 0.284220
(Epoch 11 / 15) train acc: 0.820000; val acc: 0.201000
(Iteration 23 / 30) loss: 0.469343
(Iteration 24 / 30) loss: 0.509369
(Epoch 12 / 15) train acc: 0.920000; val acc: 0.211000
(Iteration 25 / 30) loss: 0.111638
(Iteration 26 / 30) loss: 0.145388
(Epoch 13 / 15) train acc: 0.930000; val acc: 0.213000
(Iteration 27 / 30) loss: 0.155575
(Iteration 28 / 30) loss: 0.143398
(Epoch 14 / 15) train acc: 0.960000; val acc: 0.212000
(Iteration 29 / 30) loss: 0.158160
(Iteration 30 / 30) loss: 0.118934
(Epoch 15 / 15) train acc: 0.990000; val acc: 0.220000
```

Plotting the loss, training accuracy, and validation accuracy should show clear overfitting:

```
In [49]: plt.subplot(2, 1, 1)
    plt.plot(solver.loss_history, 'o')
    plt.xlabel('iteration')
    plt.ylabel('loss')

    plt.subplot(2, 1, 2)
    plt.plot(solver.train_acc_history, '-o')
    plt.plot(solver.val_acc_history, '-o')
    plt.legend(['train', 'val'], loc='upper left')
    plt.xlabel('epoch')
    plt.ylabel('accuracy')
    plt.show()
```



## **Train the net**

By training the three-layer convolutional network for one epoch, you should achieve greater than 40% accuracy on the training set:

```
(Iteration 1 / 980) loss: 2.304740
(Epoch 0 / 1) train acc: 0.103000; val acc: 0.107000
(Iteration 21 / 980) loss: 2.098229
(Iteration 41 / 980) loss: 1.949788
(Iteration 61 / 980) loss: 1.888398
(Iteration 81 / 980) loss: 1.877093
(Iteration 101 / 980) loss: 1.851877
(Iteration 121 / 980) loss: 1.859353
(Iteration 141 / 980) loss: 1.800181
(Iteration 161 / 980) loss: 2.143292
(Iteration 181 / 980) loss: 1.830573
(Iteration 201 / 980) loss: 2.037280
(Iteration 221 / 980) loss: 2.020304
(Iteration 241 / 980) loss: 1.823728
(Iteration 261 / 980) loss: 1.692679
(Iteration 281 / 980) loss: 1.882594
(Iteration 301 / 980) loss: 1.798261
(Iteration 321 / 980) loss: 1.851960
(Iteration 341 / 980) loss: 1.716323
(Iteration 361 / 980) loss: 1.897655
(Iteration 381 / 980) loss: 1.319744
(Iteration 401 / 980) loss: 1.738790
(Iteration 421 / 980) loss: 1.488866
(Iteration 441 / 980) loss: 1.718409
(Iteration 461 / 980) loss: 1.744440
(Iteration 481 / 980) loss: 1.605460
(Iteration 501 / 980) loss: 1.494847
(Iteration 521 / 980) loss: 1.835179
(Iteration 541 / 980) loss: 1.483923
(Iteration 561 / 980) loss: 1.676871
(Iteration 581 / 980) loss: 1.438325
(Iteration 601 / 980) loss: 1.443469
(Iteration 621 / 980) loss: 1.529369
(Iteration 641 / 980) loss: 1.763475
(Iteration 661 / 980) loss: 1.790329
(Iteration 681 / 980) loss: 1.693343
(Iteration 701 / 980) loss: 1.637078
(Iteration 721 / 980) loss: 1.644564
(Iteration 741 / 980) loss: 1.708919
(Iteration 761 / 980) loss: 1.494252
(Iteration 781 / 980) loss: 1.901751
(Iteration 801 / 980) loss: 1.898991
(Iteration 821 / 980) loss: 1.489988
(Iteration 841 / 980) loss: 1.377615
(Iteration 861 / 980) loss: 1.763751
(Iteration 881 / 980) loss: 1.540284
(Iteration 901 / 980) loss: 1.525582
(Iteration 921 / 980) loss: 1.674166
(Iteration 941 / 980) loss: 1.714316
(Iteration 961 / 980) loss: 1.534668
(Epoch 1 / 1) train acc: 0.504000; val acc: 0.499000
```

#### Visualize Filters

You can visualize the first-layer convolutional filters from the trained network by running the following:

```
In [51]: from cs682.vis_utils import visualize_grid

    grid = visualize_grid(model.params['W1'].transpose(0, 2, 3, 1))
    plt.imshow(grid.astype('uint8'))
    plt.axis('off')
    plt.gcf().set_size_inches(5, 5)
    plt.show()
```



# **Spatial Batch Normalization**

We already saw that batch normalization is a very useful technique for training deep fully-connected networks. As proposed in the original paper [3], batch normalization can also be used for convolutional networks, but we need to tweak it a bit; the modification will be called "spatial batch normalization."

Normally batch-normalization accepts inputs of shape (N, D) and produces outputs of shape (N, D), where we normalize across the minibatch dimension N. For data coming from convolutional layers, batch normalization needs to accept inputs of shape (N, C, H, W) and produce outputs of shape (N, C, H, W) where the N dimension gives the minibatch size and the (H, W) dimensions give the spatial size of the feature map.

If the feature map was produced using convolutions, then we expect the statistics of each feature channel to be relatively consistent both between different images and different locations within the same image. Therefore spatial batch normalization computes a mean and variance for each of the  $\,^{\circ}$ C feature channels by computing statistics over both the minibatch dimension  $\,^{\circ}$ N and the spatial dimensions  $\,^{\circ}$ H and  $\,^{\circ}$ W.

[3] <u>Sergey Ioffe and Christian Szegedy, "Batch Normalization: Accelerating Deep Network Training by Reducing Internal Covariate Shift", ICML 2015. (https://arxiv.org/abs/1502.03167)</u>

### **Spatial batch normalization: forward**

In the file cs682/layers.py, implement the forward pass for spatial batch normalization in the function spatial batchnorm forward. Check your implementation by running the following:

```
In [68]: np.random.seed(231)
         # Check the training-time forward pass by checking means and variances
         # of features both before and after spatial batch normalization
         N, C, H, W = 2, 3, 4, 5
         x = 4 * np.random.randn(N, C, H, W) + 10
         print('Before spatial batch normalization:')
         print(' Shape: ', x.shape)
         print(' Means: ', x.mean(axis=(0, 2, 3)))
         print(' Stds: ', x.std(axis=(0, 2, 3)))
         # Means should be close to zero and stds close to one
         gamma, beta = np.ones(C), np.zeros(C)
         bn_param = {'mode': 'train'}
         out, = spatial batchnorm forward(x, gamma, beta, bn param)
         print('After spatial batch normalization:')
         print(' Shape: ', out.shape)
         print(' Means: ', out.mean(axis=(0, 2, 3)))
         print(' Stds: ', out.std(axis=(0, 2, 3)))
         # Means should be close to beta and stds close to gamma
         gamma, beta = np.asarray([3, 4, 5]), np.asarray([6, 7, 8])
         out, _ = spatial_batchnorm_forward(x, gamma, beta, bn_param)
         print('After spatial batch normalization (nontrivial gamma, beta):')
         print(' Shape: ', out.shape)
         print(' Means: ', out.mean(axis=(0, 2, 3)))
         print(' Stds: ', out.std(axis=(0, 2, 3)))
         Before spatial batch normalization:
           Shape: (2, 3, 4, 5)
           Means: [9.33463814 8.90909116 9.11056338]
           Stds: [3.61447857 3.19347686 3.5168142 ]
         After spatial batch normalization:
           Shape: (2, 3, 4, 5)
           Means: [ 6.18949336e-16 5.99520433e-16 -1.22124533e-16]
           Stds: [0.99999962 0.99999951 0.9999996 ]
         After spatial batch normalization (nontrivial gamma, beta):
           Shape: (2, 3, 4, 5)
           Means: [6. 7. 8.]
           Stds: [2.99999885 3.99999804 4.99999798]
```

```
In [69]: | np.random.seed(231)
         # Check the test-time forward pass by running the training-time
         # forward pass many times to warm up the running averages, and then
         # checking the means and variances of activations after a test-time
         # forward pass.
         N, C, H, W = 10, 4, 11, 12
         bn param = {'mode': 'train'}
         gamma = np.ones(C)
         beta = np.zeros(C)
         for t in range (50):
           x = 2.3 * np.random.randn(N, C, H, W) + 13
           spatial batchnorm forward(x, gamma, beta, bn param)
         bn param['mode'] = 'test'
         x = 2.3 * np.random.randn(N, C, H, W) + 13
         a norm, = spatial batchnorm forward(x, gamma, beta, bn param)
         # Means should be close to zero and stds close to one, but will be
         # noisier than training-time forward passes.
         print('After spatial batch normalization (test-time):')
         print(' means: ', a_norm.mean(axis=(0, 2, 3)))
         print(' stds: ', a_norm.std(axis=(0, 2, 3)))
         After spatial batch normalization (test-time):
           means: [-0.08034406 0.07562881 0.05716371 0.04378383]
           stds: [0.96718744 1.0299714 1.02887624 1.00585577]
```

## Spatial batch normalization: backward

In the file cs682/layers.py , implement the backward pass for spatial batch normalization in the function spatial\_batchnorm\_backward . Run the following to check your implementation using a numeric gradient check:

```
In [70]: np.random.seed(231)
         N, C, H, W = 2, 3, 4, 5
         x = 5 * np.random.randn(N, C, H, W) + 12
         gamma = np.random.randn(C)
         beta = np.random.randn(C)
         dout = np.random.randn(N, C, H, W)
         bn param = {'mode': 'train'}
         fx = lambda x: spatial batchnorm forward(x, gamma, beta, bn param)[0]
         fg = lambda a: spatial_batchnorm_forward(x, gamma, beta, bn_param)[0]
         fb = lambda b: spatial_batchnorm_forward(x, gamma, beta, bn_param)[0]
         dx num = eval_numerical_gradient_array(fx, x, dout)
         da_num = eval_numerical_gradient_array(fg, gamma, dout)
         db_num = eval_numerical_gradient_array(fb, beta, dout)
         #You should expect errors of magnitudes between 1e-12~1e-06
         _, cache = spatial_batchnorm_forward(x, gamma, beta, bn_param)
         dx, dgamma, dbeta = spatial_batchnorm_backward(dout, cache)
         print('dx error: ', rel_error(dx_num, dx))
         print('dgamma error: ', rel_error(da_num, dgamma))
         print('dbeta error: ', rel_error(db_num, dbeta))
```

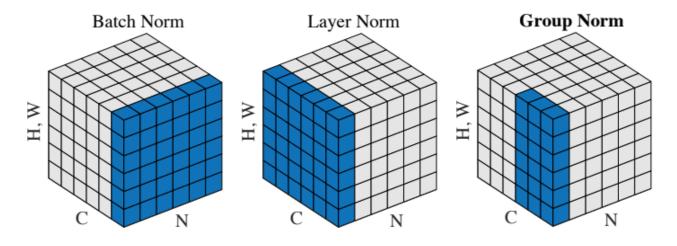
dx error: 2.7866481890178303e-07
dgamma error: 7.0974817113608705e-12
dbeta error: 3.275608725278405e-12

## **Group Normalization**

In the previous notebook, we mentioned that Layer Normalization is an alternative normalization technique that mitigates the batch size limitations of Batch Normalization. However, as the authors of [4] observed, Layer Normalization does not perform as well as Batch Normalization when used with Convolutional Layers:

With fully connected layers, all the hidden units in a layer tend to make similar contributions to the final prediction, and re-centering and rescaling the summed inputs to a layer works well. However, the assumption of similar contributions is no longer true for convolutional neural networks. The large number of the hidden units whose receptive fields lie near the boundary of the image are rarely turned on and thus have very different statistics from the rest of the hidden units within the same layer.

The authors of [5] propose an intermediary technique. In contrast to Layer Normalization, where you normalize over the entire feature per-datapoint, they suggest a consistent splitting of each per-datapoint feature into G groups, and a per-group per-datapoint normalization instead.



\*\*Visual comparison of the normalization techniques discussed so far (image edited from [5])\*\*

Even though an assumption of equal contribution is still being made within each group, the authors hypothesize that this is not as problematic, as innate grouping arises within features for visual recognition. One example they use to illustrate this is that many high-performance handcrafted features in traditional Computer Vision have terms that are explicitly grouped together. Take for example Histogram of Oriented Gradients [6]-- after computing histograms per spatially local block, each per-block histogram is normalized before being concatenated together to form the final feature vector.

You will now implement Group Normalization. Note that this normalization technique that you are to implement in the following cells was introduced and published to arXiv less than a month ago -- this truly is still an ongoing and excitingly active field of research!

[4] <u>Ba, Jimmy Lei, Jamie Ryan Kiros, and Geoffrey E. Hinton. "Layer Normalization." stat 1050 (2016): 21. (https://arxiv.org/pdf/1607.06450.pdf)</u>

[5] Wu, Yuxin, and Kaiming He. "Group Normalization." arXiv preprint arXiv:1803.08494 (2018). (https://arxiv.org/abs/1803.08494)

## **Group normalization: forward**

Shape: (2, 6, 4, 5)

In the file cs682/layers.py, implement the forward pass for group normalization in the function spatial\_groupnorm\_forward. Check your implementation by running the following:

```
In [90]: np.random.seed(231)
         # Check the training-time forward pass by checking means and variances
         # of features both before and after spatial batch normalization
         N, C, H, W = 2, 6, 4, 5
         G = 2
         x = 4 * np.random.randn(N, C, H, W) + 10
         x_g = x.reshape((N*G,-1))
         print('Before spatial group normalization:')
         print(' Shape: ', x.shape)
         print(' Means: ', x_g.mean(axis=1))
         print(' Stds: ', x g.std(axis=1))
         # Means should be close to zero and stds close to one
         gamma, beta = np.ones((1,C,1,1)), np.zeros((1,C,1,1))
         bn param = {'mode': 'train'}
         out, = spatial groupnorm forward(x, gamma, beta, G, bn param)
         out g = out.reshape((N*G, -1))
         print('After spatial group normalization:')
         print(' Shape: ', out.shape)
         print(' Means: ', out_g.mean(axis=1))
         print(' Stds: ', out_g.std(axis=1))
         Before spatial group normalization:
           Shape: (2, 6, 4, 5)
           Means: [9.72505327 8.51114185 8.9147544 9.43448077]
           Stds: [3.67070958 3.09892597 4.27043622 3.97521327]
         After spatial group normalization:
```

Means: [ 0.0353638 -0.0353638 0.03878463 -0.03878463]

Stds: [1.05136914 0.94452101 1.02890674 0.96868012]

### Spatial group normalization: backward

In the file cs682/layers.py , implement the backward pass for spatial batch normalization in the function spatial\_groupnorm\_backward . Run the following to check your implementation using a numeric gradient check:

```
In [89]: np.random.seed(231)
         N, C, H, W = 2, 6, 4, 5
         G = 2
         x = 5 * np.random.randn(N, C, H, W) + 12
         gamma = np.random.randn(1,C,1,1)
         beta = np.random.randn(1,C,1,1)
         dout = np.random.randn(N, C, H, W)
         gn_param = \{\}
         fx = lambda x: spatial_groupnorm forward(x, gamma, beta, G, gn_param)[0]
         fg = lambda a: spatial groupnorm forward(x, gamma, beta, G, gn param)[0]
         fb = lambda b: spatial groupnorm forward(x, gamma, beta, G, gn param)[0]
         dx num = eval_numerical_gradient_array(fx, x, dout)
         da_num = eval_numerical_gradient_array(fg, gamma, dout)
         db_num = eval_numerical_gradient_array(fb, beta, dout)
         _, cache = spatial_groupnorm_forward(x, gamma, beta, G, gn param)
         dx, dgamma, dbeta = spatial groupnorm backward(dout, cache)
         #You should expect errors of magnitudes between 1e-12~1e-07
         print('dx error: ', rel error(dx num, dx))
         print('dgamma error: ', rel_error(da_num, dgamma))
         # print(da num.shape, "\n", dgamma.shape)
         print('dbeta error: ', rel error(db num, dbeta))
         # print (db num.shape, "\n", dbeta.shape)
         dx error: 4.718196569920716e-08
```

dx error: 4./18196569920/16e-08 dgamma error: 4.594351017568041e-12 dbeta error: 4.934654120638732e-12

```
In [ ]:
```

# What's this PyTorch business?

You've written a lot of code in this assignment to provide a whole host of neural network functionality. Dropout, Batch Norm, and 2D convolutions are some of the workhorses of deep learning in computer vision. You've also worked hard to make your code efficient and vectorized.

For the last part of this assignment, though, we're going to leave behind your beautiful codebase and instead migrate to one of two popular deep learning frameworks: in this instance, PyTorch (or TensorFlow, if you switch over to that notebook).

#### What is PyTorch?

PyTorch is a system for executing dynamic computational graphs over Tensor objects that behave similarly as numpy ndarray. It comes with a powerful automatic differentiation engine that removes the need for manual back-propagation.

#### Why?

- Our code will now run on GPUs! Much faster training. When using a framework like PyTorch or TensorFlow
  you can harness the power of the GPU for your own custom neural network architectures without having to
  write CUDA code directly (which is beyond the scope of this class).
- We want you to be ready to use one of these frameworks for your project so you can experiment more efficiently than if you were writing every feature you want to use by hand.
- We want you to stand on the shoulders of giants! TensorFlow and PyTorch are both excellent frameworks that will make your lives a lot easier, and now that you understand their guts, you are free to use them:)
- We want you to be exposed to the sort of deep learning code you might run into in academia or industry.

### **PyTorch versions**

This notebook assumes that you are using **PyTorch version 0.4**. Prior to this version, Tensors had to be wrapped in Variable objects to be used in autograd; however Variables have now been deprecated. In addition 0.4 also separates a Tensor's datatype from its device, and uses numpy-style factories for constructing Tensors rather than directly invoking Tensor constructors.

### How will I learn PyTorch?

Justin Johnson has made an excellent tutorial (https://github.com/jcjohnson/pytorch-examples) for PyTorch.

You can also find the detailed <u>API doc (http://pytorch.org/docs/stable/index.html)</u> here. If you have other questions that are not addressed by the API docs, the <u>PyTorch forum (https://discuss.pytorch.org/)</u> is a much better place to ask than StackOverflow.

## **Table of Contents**

This assignment has 5 parts. You will learn PyTorch on different levels of abstractions, which will help you understand it better and prepare you for the final project.

- 1. Preparation: we will use CIFAR-10 dataset.
- 2. Barebones PyTorch: we will work directly with the lowest-level PyTorch Tensors.
- 3. PyTorch Module API: we will use nn.Module to define arbitrary neural network architecture.
- 4. PyTorch Sequential API: we will use nn.Sequential to define a linear feed-forward network very conveniently.
- 5. CIFAR-10 open-ended challenge: please implement your own network to get as high accuracy as possible on CIFAR-10. You can experiment with any layer, optimizer, hyperparameters or other advanced features.

Here is a table of comparison:

API	Flexibility	Convenience
Barebone	High	Low
nn.Module	High	Medium
nn.Sequential	Low	High

# Part I. Preparation

First, we load the CIFAR-10 dataset. This might take a couple minutes the first time you do it, but the files should stay cached after that.

In previous parts of the assignment we had to write our own code to download the CIFAR-10 dataset, preprocess it, and iterate through it in minibatches; PyTorch provides convenient tools to automate this process for us.

```
In [3]: import torch
import torch.nn as nn
import torch.optim as optim
from torch.utils.data import DataLoader
from torch.utils.data import sampler

import torchvision.datasets as dset
import torchvision.transforms as T

import numpy as np

import os
os.environ['KMP_DUPLICATE_LIB_OK']='True'
```

```
In [4]: NUM_TRAIN = 49000
        # The torchvision.transforms package provides tools for preprocessing da
        # and for performing data augmentation; here we set up a transform to
        # preprocess the data by subtracting the mean RGB value and dividing by
        # standard deviation of each RGB value; we've hardcoded the mean and st
        transform = T.Compose([
                        T.ToTensor(),
                        T.Normalize((0.4914, 0.4822, 0.4465), (0.2023, 0.1994,
        0.2010))
                    1)
        # We set up a Dataset object for each split (train / val / test); Datase
        # training examples one at a time, so we wrap each Dataset in a DataLoad
        er which
        # iterates through the Dataset and forms minibatches. We divide the CIFA
        R - 10
        # training set into train and val sets by passing a Sampler object to th
        # DataLoader telling how it should sample from the underlying Dataset.
        cifar10 train = dset.CIFAR10('./cs682/datasets', train=True, download=Tr
        ue,
                                     transform=transform)
        loader train = DataLoader(cifar10 train, batch size=64,
                                  sampler=sampler.SubsetRandomSampler(range(NUM))
        TRAIN)))
        cifar10 val = dset.CIFAR10('./cs682/datasets', train=True, download=True
                                   transform=transform)
        loader val = DataLoader(cifar10_val, batch_size=64,
                                sampler=sampler.SubsetRandomSampler(range(NUM TR
        AIN, 50000)))
        cifar10 test = dset.CIFAR10('./cs682/datasets', train=False, download=Tr
        ue,
                                    transform=transform)
        loader test = DataLoader(cifar10 test, batch size=64)
        Files already downloaded and verified
        Files already downloaded and verified
```

You have an option to **use GPU by setting the flag to True below**. It is not necessary to use GPU for this assignment. Note that if your computer does not have CUDA enabled, <code>torch.cuda.is\_available()</code> will return False and this notebook will fallback to CPU mode.

The global variables dtype and device will control the data types throughout this assignment.

Files already downloaded and verified

```
In [5]: USE_GPU = True

dtype = torch.float32 # we will be using float throughout this tutorial

if USE_GPU and torch.cuda.is_available():
    device = torch.device('cuda')

else:
    device = torch.device('cpu')

# Constant to control how frequently we print train loss
print_every = 100

print('using device:', device)
```

using device: cpu

# Part II. Barebones PyTorch

PyTorch ships with high-level APIs to help us define model architectures conveniently, which we will cover in Part II of this tutorial. In this section, we will start with the barebone PyTorch elements to understand the autograd engine better. After this exercise, you will come to appreciate the high-level model API more.

We will start with a simple fully-connected ReLU network with two hidden layers and no biases for CIFAR classification. This implementation computes the forward pass using operations on PyTorch Tensors, and uses PyTorch autograd to compute gradients. It is important that you understand every line, because you will write a harder version after the example.

When we create a PyTorch Tensor with  $requires\_grad=True$ , then operations involving that Tensor will not just compute values; they will also build up a computational graph in the background, allowing us to easily backpropagate through the graph to compute gradients of some Tensors with respect to a downstream loss. Concretely if x is a Tensor with  $x.requires\_grad == True$  then after backpropagation x.grad will be another Tensor holding the gradient of x with respect to the scalar loss at the end.

#### **PyTorch Tensors: Flatten Function**

A PyTorch Tensor is conceptionally similar to a numpy array: it is an n-dimensional grid of numbers, and like numpy PyTorch provides many functions to efficiently operate on Tensors. As a simple example, we provide a flatten function below which reshapes image data for use in a fully-connected neural network.

Recall that image data is typically stored in a Tensor of shape N x C x H x W, where:

- N is the number of datapoints
- · C is the number of channels
- H is the height of the intermediate feature map in pixels
- W is the height of the intermediate feature map in pixels

This is the right way to represent the data when we are doing something like a 2D convolution, that needs spatial understanding of where the intermediate features are relative to each other. When we use fully connected affine layers to process the image, however, we want each datapoint to be represented by a single vector -- it's no longer useful to segregate the different channels, rows, and columns of the data. So, we use a "flatten" operation to collapse the C x H x W values per representation into a single long vector. The flatten function below first reads in the N, C, H, and W values from a given batch of data, and then returns a "view" of that data. "View" is analogous to numpy's "reshape" method: it reshapes x's dimensions to be N x ??, where ?? is allowed to be anything (in this case, it will be C x H x W, but we don't need to specify that explicitly).

```
In [6]: def flatten(x):
            N = x.shape[0] # read in N, C, H, W
            return x.view(N, -1) # "flatten" the C * H * W values into a single
        vector per image
        def test flatten():
            x = torch.arange(12).view(2, 1, 3, 2)
            print('Before flattening: ', x)
            print('After flattening: ', flatten(x))
        test flatten()
        Before flattening: tensor([[[[ 0,
                  [ 2,
                       3],
                  [4, 5]]],
                [[[6, 7],
                  [8, 9],
                  [10, 11]]])
        After flattening: tensor([[0, 1, 2, 3, 4, 5],
                [ 6, 7, 8, 9, 10, 11]])
```

#### **Barebones PyTorch: Two-Layer Network**

Here we define a function <code>two\_layer\_fc</code> which performs the forward pass of a two-layer fully-connected ReLU network on a batch of image data. After defining the forward pass we check that it doesn't crash and that it produces outputs of the right shape by running zeros through the network.

You don't have to write any code here, but it's important that you read and understand the implementation.

```
In [7]: import torch.nn.functional as F # useful stateless functions
        def two_layer_fc(x, params):
            A fully-connected neural networks; the architecture is:
            NN is fully connected -> ReLU -> fully connected layer.
            Note that this function only defines the forward pass;
            PyTorch will take care of the backward pass for us.
            The input to the network will be a minibatch of data, of shape
            (N, d1, \ldots, dM) where d1 * \ldots * dM = D. The hidden layer will have
        H units,
            and the output layer will produce scores for C classes.
            Inputs:
            - x: A PyTorch Tensor of shape (N, d1, ..., dM) giving a minibatch o
              input data.
            - params: A list [w1, w2] of PyTorch Tensors giving weights for the
              w1 has shape (D, H) and w2 has shape (H, C).
            Returns:
            - scores: A PyTorch Tensor of shape (N, C) giving classification sco
              the input data x.
            # first we flatten the image
            x = flatten(x) # shape: [batch size, C x H x W]
            w1, w2 = params
            # Forward pass: compute predicted y using operations on Tensors. Sin
        ce w1 and
            # w2 have requires grad=True, operations involving these Tensors wil
            # PyTorch to build a computational graph, allowing automatic computa
        tion of
            # gradients. Since we are no longer implementing the backward pass b
        y hand we
            # don't need to keep references to intermediate values.
            # you can also use `.clamp(min=0)`, equivalent to F.relu()
            x = F.relu(x.mm(w1))
            x = x.mm(w2)
            return x
        def two layer fc test():
            hidden layer size = 42
            x = torch.zeros((64, 50), dtype=dtype) # minibatch size 64, feature
        dimension 50
            w1 = torch.zeros((50, hidden layer size), dtype=dtype)
            w2 = torch.zeros((hidden layer size, 10), dtype=dtype)
            scores = two_layer_fc(x, [w1, w2])
            print(scores.size()) # you should see [64, 10]
```

two layer fc test() torch.Size([64, 10])

#### **Barebones PyTorch: Three-Layer ConvNet**

Here you will complete the implementation of the function <code>three\_layer\_convnet</code>, which will perform the forward pass of a three-layer convolutional network. Like above, we can immediately test our implementation by passing zeros through the network. The network should have the following architecture:

- 1. A convolutional layer (with bias) with channel\_1 filters, each with shape KW1 x KH1, and zero-padding of two
- 2. ReLU nonlinearity
- 3. A convolutional layer (with bias) with channel\_2 filters, each with shape KW2 x KH2, and zero-padding of one
- 4. ReLU nonlinearity
- 5. Fully-connected layer with bias, producing scores for C classes.

**HINT**: For convolutions: <a href="http://pytorch.org/docs/stable/nn.html#torch.nn.functional.conv2d">http://pytorch.org/docs/stable/nn.html#torch.nn.functional.conv2d</a>; pay attention to the shapes of convolutional filters!

```
In [24]: def three layer_convnet(x, params):
           Performs the forward pass of a three-layer convolutional network wit
        h the
           architecture defined above.
           Inputs:
           - x: A PyTorch Tensor of shape (N, 3, H, W) giving a minibatch of im
        ages
           - params: A list of PyTorch Tensors giving the weights and biases fo
        r the
             network; should contain the following:
             - conv w1: PyTorch Tensor of shape (channel 1, 3, KH1, KW1) giving
        weights
              for the first convolutional layer
             - conv bl: PyTorch Tensor of shape (channel 1,) giving biases for
        the first
              convolutional layer
             - conv w2: PyTorch Tensor of shape (channel 2, channel 1, KH2, KW
              weights for the second convolutional layer
             - conv b2: PyTorch Tensor of shape (channel 2,) giving biases for
        the second
              convolutional layer
             - fc w: PyTorch Tensor giving weights for the fully-connected laye
        r. Can you
              figure out what the shape should be?
             - fc b: PyTorch Tensor giving biases for the fully-connected laye
        r. Can you
              figure out what the shape should be?
           - scores: PyTorch Tensor of shape (N, C) giving classification score
        s for x
           conv w1, conv b1, conv w2, conv b2, fc w, fc b = params
           scores = None
           ############
           # TODO: Implement the forward pass for the three-layer ConvNet.
           ############
           z1 = F.conv2d(x, conv w1, bias=conv b1, padding=2)
           z2 = F.relu(z1)
            print("z2", z2.shape)
           z3 = F.conv2d(z2, conv_w2, bias=conv_b2, padding=1)
           z4 = F.relu(z3)
           z4 = flatten(z4)
             print ("z4", z4.shape)
           scores = z4.mm(fc w) + fc b
           ############
           #
                                        END OF YOUR CODE
```

```
#########
return scores
```

After defining the forward pass of the ConvNet above, run the following cell to test your implementation.

When you run this function, scores should have shape (64, 10).

```
In [25]: def three layer convnet test():
             x = torch.zeros((64, 3, 32, 32), dtype=dtype) # minibatch size 64,
          image size [3, 32, 32]
             conv_w1 = torch.zeros((6, 3, 5, 5), dtype=dtype) # [out channel, in
         channel, kernel H, kernel W]
             conv b1 = torch.zeros((6,)) # out channel
             conv_w2 = torch.zeros((9, 6, 3, 3), dtype=dtype) # [out channel, in
         _channel, kernel_H, kernel W]
             conv b2 = torch.zeros((9,)) # out channel
             # you must calculate the shape of the tensor after two conv layers,
          before the fully-connected layer
             fc w = torch.zeros((9 * 32 * 32, 10))
             fc_b = torch.zeros(10)
             scores = three_layer_convnet(x, [conv_w1, conv_b1, conv_w2, conv_b2,
         fc_w, fc_b])
             print(scores.size()) # you should see [64, 10]
         three layer convnet test()
```

torch.Size([64, 10])

#### **Barebones PyTorch: Initialization**

Let's write a couple utility methods to initialize the weight matrices for our models.

- random weight(shape) initializes a weight tensor with the Kaiming normalization method.
- zero\_weight(shape) initializes a weight tensor with all zeros. Useful for instantiating bias parameters.

The random weight function uses the Kaiming normal initialization method, described in:

He et al, *Delving Deep into Rectifiers: Surpassing Human-Level Performance on ImageNet Classification*, ICCV 2015, https://arxiv.org/abs/1502.01852 (https://arxiv.org/abs/1502.01852)

```
In [26]: def random_weight(shape):
             Create random Tensors for weights; setting requires grad=True means
             want to compute gradients for these Tensors during the backward pas
         s.
             We use Kaiming normalization: sqrt(2 / fan in)
             if len(shape) == 2: # FC weight
                 fan_in = shape[0]
             else:
                 fan_in = np.prod(shape[1:]) # conv weight [out channel, in chann
         el, kH, kW]
             # randn is standard normal distribution generator.
             w = torch.randn(shape, device=device, dtype=dtype) * np.sqrt(2. / fa
         n_in)
             w.requires_grad = True
             return w
         def zero weight(shape):
             return torch.zeros(shape, device=device, dtype=dtype, requires grad=
         True)
         # create a weight of shape [3 x 5]
         # you should see the type `torch.cuda.FloatTensor` if you use GPU.
         # Otherwise it should be `torch.FloatTensor`
         random weight((3, 5))
Out[26]: tensor([[-0.8634, 1.2359, 0.0678, -1.0635, 1.6025],
                 [0.2004, -0.5486, -0.5235, 0.0680, 1.0950],
                 [-0.9561, 0.4455, 0.5152, 0.2006, 1.0745]], requires grad=T
         rue)
```

### Barebones PyTorch: Check Accuracy

When training the model we will use the following function to check the accuracy of our model on the training or validation sets.

When checking accuracy we don't need to compute any gradients; as a result we don't need PyTorch to build a computational graph for us when we compute scores. To prevent a graph from being built we scope our computation under a torch.no grad() context manager.

```
In [27]: def check accuracy part2(loader, model fn, params):
             Check the accuracy of a classification model.
             Inputs:
             - loader: A DataLoader for the data split we want to check
             - model fn: A function that performs the forward pass of the model,
               with the signature scores = model fn(x, params)
             - params: List of PyTorch Tensors giving parameters of the model
             Returns: Nothing, but prints the accuracy of the model
             split = 'val' if loader.dataset.train else 'test'
             print('Checking accuracy on the %s set' % split)
             num_correct, num_samples = 0, 0
             with torch.no_grad():
                 for x, y in loader:
                     x = x.to(device=device, dtype=dtype) # move to device, e.g.
         GPU
                     y = y.to(device=device, dtype=torch.int64)
                     scores = model_fn(x, params)
                     _, preds = scores.max(1)
                     num_correct += (preds == y).sum()
                     num samples += preds.size(0)
                 acc = float(num correct) / num samples
                 print('Got %d / %d correct (%.2f%%)' % (num correct, num samples
         , 100 * acc))
```

### **BareBones PyTorch: Training Loop**

We can now set up a basic training loop to train our network. We will train the model using stochastic gradient descent without momentum. We will use torch.functional.cross\_entropy to compute the loss; you can read about it here (http://pytorch.org/docs/stable/nn.html#cross-entropy).

The training loop takes as input the neural network function, a list of initialized parameters ([w1, w2] in our example), and learning rate.

```
In [28]: def train_part2(model_fn, params, learning_rate):
             Train a model on CIFAR-10.
             Inputs:
             - model fn: A Python function that performs the forward pass of the
          model.
               It should have the signature scores = model fn(x, params) where x
          is a
               PyTorch Tensor of image data, params is a list of PyTorch Tensors
          giving
               model weights, and scores is a PyTorch Tensor of shape (N, C) givi
         ng
               scores for the elements in x.
             - params: List of PyTorch Tensors giving weights for the model
             - learning rate: Python scalar giving the learning rate to use for S
         GD
             Returns: Nothing
             for t, (x, y) in enumerate(loader_train):
                 # Move the data to the proper device (GPU or CPU)
                 x = x.to(device=device, dtype=dtype)
                 y = y.to(device=device, dtype=torch.long)
                 # Forward pass: compute scores and loss
                 scores = model fn(x, params)
                 loss = F.cross_entropy(scores, y)
                 # Backward pass: PyTorch figures out which Tensors in the comput
         ational
                 # graph has requires grad=True and uses backpropagation to compu
         te the
                 # gradient of the loss with respect to these Tensors, and stores
         the
                 # gradients in the .grad attribute of each Tensor.
                 loss.backward()
                 # Update parameters. We don't want to backpropagate through the
                 # parameter updates, so we scope the updates under a torch.no gr
         ad()
                 # context manager to prevent a computational graph from being bu
         ilt.
                 with torch.no grad():
                     for w in params:
                         w -= learning rate * w.grad
                         # Manually zero the gradients after running the backward
         pass
                         w.grad.zero ()
                 if t % print every == 0:
                     print('Iteration %d, loss = %.4f' % (t, loss.item()))
                     check accuracy part2(loader val, model fn, params)
                     print()
```

### **BareBones PyTorch: Train a Two-Layer Network**

Now we are ready to run the training loop. We need to explicitly allocate tensors for the fully connected weights, w1 and w2.

Each minibatch of CIFAR has 64 examples, so the tensor shape is [64, 3, 32, 32].

After flattening, x shape should be [64, 3 \* 32 \* 32]. This will be the size of the first dimension of w1. The second dimension of w1 is the hidden layer size, which will also be the first dimension of w2.

Finally, the output of the network is a 10-dimensional vector that represents the probability distribution over 10 classes.

You don't need to tune any hyperparameters but you should see accuracies above 40% after training for one epoch.

```
In [29]: hidden_layer_size = 4000
learning_rate = 1e-2

w1 = random_weight((3 * 32 * 32, hidden_layer_size))
w2 = random_weight((hidden_layer_size, 10))

train_part2(two_layer_fc, [w1, w2], learning_rate)

Iteration 0, loss = 3.5956
Checking accuracy on the val set
Got 103 / 1000 correct (10.30%)

Iteration 100, loss = 2.4938
Checking accuracy on the val set
Got 290 / 1000 correct (29.00%)

Iteration 200, loss = 1.7095
Checking accuracy on the val set
Got 393 / 1000 correct (39.30%)
```

Iteration 300, loss = 2.1934
Checking accuracy on the val set
Got 370 / 1000 correct (37.00%)

Iteration 400, loss = 1.8438
Checking accuracy on the val set
Got 383 / 1000 correct (38.30%)

Iteration 500, loss = 1.7035 Checking accuracy on the val set Got 414 / 1000 correct (41.40%)

Iteration 600, loss = 1.8732
Checking accuracy on the val set
Got 437 / 1000 correct (43.70%)

Iteration 700, loss = 1.5101
Checking accuracy on the val set
Got 419 / 1000 correct (41.90%)

### **BareBones PyTorch: Training a ConvNet**

In the below you should use the functions defined above to train a three-layer convolutional network on CIFAR. The network should have the following architecture:

- 1. Convolutional layer (with bias) with 32 5x5 filters, with zero-padding of 2
- 2. ReLU
- 3. Convolutional layer (with bias) with 16 3x3 filters, with zero-padding of 1
- 4. ReLU
- 5. Fully-connected layer (with bias) to compute scores for 10 classes

You should initialize your weight matrices using the random\_weight function defined above, and you should initialize your bias vectors using the zero\_weight function above.

You don't need to tune any hyperparameters, but if everything works correctly you should achieve an accuracy above 42% after one epoch.

```
In [30]: learning rate = 3e-3
      channel 1 = 32
      channel_2 = 16
      conv w1 = None
      conv b1 = None
      conv w2 = None
      conv_b2 = None
      fc_w = None
      fc b = None
      #######
      # TODO: Initialize the parameters of a three-layer ConvNet.
      #######
      # 3 x 32 x 32
      conv w1 = random weight ((channel 1, 3, 5, 5))
      conv_b1 = zero_weight ((channel_1))
      # after 1st conv layer (pad=2) => channel 1 x 32 x 32
      conv_w2 = random_weight ((channel_2, channel_1, 3, 3))
      conv b2 = zero_weight ((channel_2))
      # after 2nd conv layer (pad=1) => channel 2 x 32 x 32
      fc_w = random_weight ((channel_2 * 32 * 32, 10))
      fc b = zero weight ((10))
      #######
                               END OF YOUR CODE
      #######
      params = [conv_w1, conv_b1, conv_w2, conv_b2, fc_w, fc_b]
      train part2(three layer convnet, params, learning rate)
```

Iteration 0, loss = 3.4746
Checking accuracy on the val set
Got 128 / 1000 correct (12.80%)

Iteration 100, loss = 2.1287
Checking accuracy on the val set
Got 357 / 1000 correct (35.70%)

Iteration 200, loss = 1.7476
Checking accuracy on the val set
Got 419 / 1000 correct (41.90%)

Iteration 300, loss = 1.4640
Checking accuracy on the val set
Got 410 / 1000 correct (41.00%)

Iteration 400, loss = 1.5675
Checking accuracy on the val set
Got 457 / 1000 correct (45.70%)

Iteration 500, loss = 1.6361
Checking accuracy on the val set
Got 458 / 1000 correct (45.80%)

Iteration 600, loss = 1.4654
Checking accuracy on the val set
Got 470 / 1000 correct (47.00%)

Iteration 700, loss = 1.6182
Checking accuracy on the val set
Got 478 / 1000 correct (47.80%)

### Part III. PyTorch Module API

Barebone PyTorch requires that we track all the parameter tensors by hand. This is fine for small networks with a few tensors, but it would be extremely inconvenient and error-prone to track tens or hundreds of tensors in larger networks.

PyTorch provides the nn.Module API for you to define arbitrary network architectures, while tracking every learnable parameters for you. In Part II, we implemented SGD ourselves. PyTorch also provides the torch.optim package that implements all the common optimizers, such as RMSProp, Adagrad, and Adam. It even supports approximate second-order methods like L-BFGS! You can refer to the doc (http://pytorch.org/docs/master/optim.html) for the exact specifications of each optimizer.

To use the Module API, follow the steps below:

2. In the constructor	init()	, define all the l	ayers you nee	d as class a	ttributes. Laye	r objects like
nn.Linear and	nn.Conv2d	are themselves	nn.Module	subclasses	and contain le	earnable
parameters, so tha	it vou don't ha	ve to instantiate	the raw tenso	ors vourself.	nn.Module	will track these

1. Subclass nn. Module. Give your network class an intuitive name like TwoLayerFC.

parameters, so that you don't have to instantiate the raw tensors yourself. nn.Module will track these internal parameters for you. Refer to the <u>doc (http://pytorch.org/docs/master/nn.html)</u> to learn more about the dozens of builtin layers. **Warning**: don't forget to call the super(). init () first!

3.	In the	forwar	d()	method,	define t	he <i>conn</i>	ectivity	y of your r	network.	You sh	nould us	se the a	attribute	s defined
	in	init	as fu	inction ca	alls that t	ake tens	sor as	input and	output t	the "tra	nsform	ed" ten	nsor. Do	not
	create	any new	laye	rs with le	arnable	paramet	ers in	forward	l()!All	of ther	n must	be dec	lared up	ofront in
	ir	nit												

After you define your Module subclass, you can instantiate it as an object and call it just like the NN forward function in part II.

### Module API: Two-Layer Network

Here is a concrete example of a 2-layer fully connected network:

```
In [31]: class TwoLayerFC(nn.Module):
             def __init__(self, input_size, hidden_size, num_classes):
                 super().__init__()
                 # assign layer objects to class attributes
                 self.fc1 = nn.Linear(input_size, hidden_size)
                 # nn.init package contains convenient initialization methods
                 # http://pytorch.org/docs/master/nn.html#torch-nn-init
                 nn.init.kaiming normal (self.fc1.weight)
                 self.fc2 = nn.Linear(hidden_size, num_classes)
                 nn.init.kaiming_normal_(self.fc2.weight)
             def forward(self, x):
                 # forward always defines connectivity
                 x = flatten(x)
                 scores = self.fc2(F.relu(self.fc1(x)))
                 return scores
         def test TwoLayerFC():
             input size = 50
             x = torch.zeros((64, input size), dtype=dtype) # minibatch size 64,
         feature dimension 50
             model = TwoLayerFC(input_size, 42, 10)
             scores = model(x)
             print(scores.size()) # you should see [64, 10]
         test TwoLayerFC()
```

torch.Size([64, 10])

### Module API: Three-Layer ConvNet

It's your turn to implement a 3-layer ConvNet followed by a fully connected layer. The network architecture should be the same as in Part II:

- 1. Convolutional layer with channel 1 5x5 filters with zero-padding of 2
- 2. ReLU
- 3. Convolutional layer with channel 2 3x3 filters with zero-padding of 1
- 4. ReLU
- 5. Fully-connected layer to num classes classes

You should initialize the weight matrices of the model using the Kaiming normal initialization method.

HINT: http://pytorch.org/docs/stable/nn.html#conv2d (http://pytorch.org/docs/stable/nn.html#conv2d)

After you implement the three-layer ConvNet, the test\_ThreeLayerConvNet function will run your implementation; it should print (64, 10) for the shape of the output scores.

```
In [34]: class ThreeLayerConvNet(nn.Module):
         def __init__(self, in_channel, channel_1, channel_2, num_classes):
            super(). init ()
            ########
            # TODO: Set up the layers you need for a three-layer ConvNet wit
      h the #
            # architecture defined above.
            ########
            self.conv1 = nn.Conv2d (in channel, channel_1, (5,5), padding=2)
            nn.init.kaiming normal (self.conv1.weight)
            self.conv2 = nn.Conv2d (channel 1, channel 2, (3,3), padding=1)
            nn.init.kaiming_normal_(self.conv2.weight)
            self.fc = nn.Linear (channel 2*32*32, num classes)
            ########
                               END OF YOUR CODE
            ########
         def forward(self, x):
            scores = None
            ########
            # TODO: Implement the forward function for a 3-layer ConvNet. yo
      11
            # should use the layers you defined in init and specify the
            # connectivity of those layers in forward()
            ########
            z1 = F.relu(self.conv1(x))
            z2 = F.relu(self.conv2(z1))
            scores = self.fc(flatten(z2))
            ########
                                 END OF YOUR CODE
            ########
            return scores
      def test ThreeLayerConvNet():
         x = torch.zeros((64, 3, 32, 32), dtype=dtype) # minibatch size 64,
       image size [3, 32, 32]
         model = ThreeLayerConvNet(in channel=3, channel 1=12, channel 2=8, n
      um classes=10)
         scores = model(x)
         print(scores.size()) # you should see [64, 10]
      test ThreeLayerConvNet()
```

#### **Module API: Check Accuracy**

Given the validation or test set, we can check the classification accuracy of a neural network.

This version is slightly different from the one in part II. You don't manually pass in the parameters anymore.

```
In [35]: def check_accuracy_part34(loader, model):
             if loader.dataset.train:
                 print('Checking accuracy on validation set')
             else:
                 print('Checking accuracy on test set')
             num correct = 0
             num samples = 0
             model.eval() # set model to evaluation mode
             with torch.no grad():
                 for x, y in loader:
                     x = x.to(device=device, dtype=dtype) # move to device, e.g.
         GPU
                     y = y.to(device=device, dtype=torch.long)
                     scores = model(x)
                     _, preds = scores.max(1)
                     num correct += (preds == y).sum()
                     num samples += preds.size(0)
                 acc = float(num correct) / num samples
                 print('Got %d / %d correct (%.2f)' % (num correct, num samples,
         100 * acc))
```

### **Module API: Training Loop**

We also use a slightly different training loop. Rather than updating the values of the weights ourselves, we use an Optimizer object from the torch.optim package, which abstract the notion of an optimization algorithm and provides implementations of most of the algorithms commonly used to optimize neural networks.

```
In [36]: def train_part34(model, optimizer, epochs=1):
             Train a model on CIFAR-10 using the PyTorch Module API.
             Inputs:
             - model: A PyTorch Module giving the model to train.
             - optimizer: An Optimizer object we will use to train the model
             - epochs: (Optional) A Python integer giving the number of epochs to
         train for
             Returns: Nothing, but prints model accuracies during training.
             model = model.to(device=device) # move the model parameters to CPU/
         GPU
             for e in range(epochs):
                 for t, (x, y) in enumerate(loader_train):
                     model.train() # put model to training mode
                     x = x.to(device=device, dtype=dtype) # move to device, e.g.
         GPU
                     y = y.to(device=device, dtype=torch.long)
                     scores = model(x)
                     loss = F.cross_entropy(scores, y)
                     # Zero out all of the gradients for the variables which the
          optimizer
                     # will update.
                     optimizer.zero grad()
                     # This is the backwards pass: compute the gradient of the lo
         ss with
                     # respect to each parameter of the model.
                     loss.backward()
                     # Actually update the parameters of the model using the grad
         ients
                     # computed by the backwards pass.
                     optimizer.step()
                     if t % print every == 0:
                         print('Iteration %d, loss = %.4f' % (t, loss.item()))
                         check accuracy part34(loader val, model)
                         print()
```

#### Module API: Train a Two-Layer Network

Now we are ready to run the training loop. In contrast to part II, we don't explicitly allocate parameter tensors anymore.

Simply pass the input size, hidden layer size, and number of classes (i.e. output size) to the constructor of TwoLayerFC.

You also need to define an optimizer that tracks all the learnable parameters inside TwoLayerFC.

You don't need to tune any hyperparameters, but you should see model accuracies above 40% after training for one epoch.

```
In [37]: hidden layer size = 4000
         learning rate = 1e-2
         model = TwoLayerFC(3 * 32 * 32, hidden layer size, 10)
         optimizer = optim.SGD(model.parameters(), lr=learning rate)
         train_part34(model, optimizer)
         Iteration 0, loss = 3.7192
         Checking accuracy on validation set
         Got 138 / 1000 correct (13.80)
         Iteration 100, loss = 2.3288
         Checking accuracy on validation set
         Got 333 / 1000 correct (33.30)
         Iteration 200, loss = 1.7268
         Checking accuracy on validation set
         Got 357 / 1000 correct (35.70)
         Iteration 300, loss = 2.5014
         Checking accuracy on validation set
         Got 399 / 1000 correct (39.90)
         Iteration 400, loss = 1.9137
         Checking accuracy on validation set
         Got 442 / 1000 correct (44.20)
         Iteration 500, loss = 1.9807
         Checking accuracy on validation set
         Got 408 / 1000 correct (40.80)
         Iteration 600, loss = 1.7959
         Checking accuracy on validation set
         Got 434 / 1000 correct (43.40)
         Iteration 700, loss = 1.8260
         Checking accuracy on validation set
         Got 441 / 1000 correct (44.10)
```

### Module API: Train a Three-Layer ConvNet

You should now use the Module API to train a three-layer ConvNet on CIFAR. This should look very similar to training the two-layer network! You don't need to tune any hyperparameters, but you should achieve above above 45% after training for one epoch.

You should train the model using stochastic gradient descent without momentum.

```
In [38]: | learning rate = 3e-3
      channel 1 = 32
      channel 2 = 16
      model = None
      optimizer = None
      #######
      # TODO: Instantiate your ThreeLayerConvNet model and a corresponding opt
       imizer #
      ########
      model = ThreeLayerConvNet(in channel=3, channel 1=20, channel 2=20, num
      classes=10)
      optimizer = optim.Adam(model.parameters(), lr=1e-3)
      #######
      #
                                END OF YOUR CODE
      #######
      train_part34(model, optimizer)
      Iteration 0, loss = 2.3925
      Checking accuracy on validation set
      Got 129 / 1000 correct (12.90)
      Iteration 100, loss = 1.6374
      Checking accuracy on validation set
      Got 430 / 1000 correct (43.00)
      Iteration 200, loss = 1.6120
      Checking accuracy on validation set
      Got 487 / 1000 correct (48.70)
      Iteration 300, loss = 1.2784
      Checking accuracy on validation set
      Got 514 / 1000 correct (51.40)
```

Iteration 400, loss = 1.4642

Iteration 500, loss = 1.3801

Iteration 600, loss = 1.1941

Iteration 700, loss = 1.2486

Got 542 / 1000 correct (54.20)

Got 547 / 1000 correct (54.70)

Got 570 / 1000 correct (57.00)

Got 597 / 1000 correct (59.70)

Checking accuracy on validation set

# Part IV. PyTorch Sequential API

Part III introduced the PyTorch Module API, which allows you to define arbitrary learnable layers and their connectivity.

For simple models like a stack of feed forward layers, you still need to go through 3 steps: subclass nn.Module, assign layers to class attributes in \_\_init\_\_, and call each layer one by one in forward(). Is there a more convenient way?

Fortunately, PyTorch provides a container Module called nn.Sequential, which merges the above steps into one. It is not as flexible as nn.Module, because you cannot specify more complex topology than a feed-forward stack, but it's good enough for many use cases.

### **Sequential API: Two-Layer Network**

Let's see how to rewrite our two-layer fully connected network example with nn.Sequential, and train it using the training loop defined above.

Again, you don't need to tune any hyperparameters here, but you should achieve above 40% accuracy after one epoch of training.

```
In [39]: # We need to wrap `flatten` function in a module in order to stack it
         # in nn.Sequential
         class Flatten(nn.Module):
             def forward(self, x):
                 return flatten(x)
         hidden_layer_size = 4000
         learning rate = 1e-2
         model = nn.Sequential(
             Flatten(),
             nn.Linear(3 * 32 * 32, hidden_layer_size),
             nn.ReLU(),
             nn.Linear(hidden layer size, 10),
         # you can use Nesterov momentum in optim.SGD
         optimizer = optim.SGD(model.parameters(), lr=learning rate,
                              momentum=0.9, nesterov=True)
         train_part34(model, optimizer)
         Iteration 0, loss = 2.3838
         Checking accuracy on validation set
         Got 182 / 1000 correct (18.20)
         Iteration 100, loss = 1.7808
         Checking accuracy on validation set
         Got 391 / 1000 correct (39.10)
         Iteration 200, loss = 1.7499
         Checking accuracy on validation set
         Got 367 / 1000 correct (36.70)
         Iteration 300, loss = 1.9528
         Checking accuracy on validation set
         Got 404 / 1000 correct (40.40)
         Iteration 400, loss = 1.8237
         Checking accuracy on validation set
```

Got 415 / 1000 correct (41.50)

Got 441 / 1000 correct (44.10)

Got 436 / 1000 correct (43.60)

Got 432 / 1000 correct (43.20)

Checking accuracy on validation set

Checking accuracy on validation set

Checking accuracy on validation set

Iteration 500, loss = 1.5825

Iteration 600, loss = 1.6609

Iteration 700, loss = 1.7796

### Sequential API: Three-Layer ConvNet

Here you should use nn.Sequential to define and train a three-layer ConvNet with the same architecture we used in Part III:

- 1. Convolutional layer (with bias) with 32 5x5 filters, with zero-padding of 2
- 2 Rel II
- 3. Convolutional layer (with bias) with 16 3x3 filters, with zero-padding of 1
- 4. ReLU
- 5. Fully-connected layer (with bias) to compute scores for 10 classes

You should initialize your weight matrices using the random\_weight function defined above, and you should initialize your bias vectors using the zero\_weight function above.

You should optimize your model using stochastic gradient descent with Nesterov momentum 0.9.

Again, you don't need to tune any hyperparameters but you should see accuracy above 55% after one epoch of training.

```
In [44]: channel_1 = 32
     channel 2 = 16
     learning_rate = 1e-2
     model = None
     optimizer = None
     #######
     # TODO: Rewrite the 2-layer ConvNet with bias from Part III with the
     # Sequential API.
     #
     #######
     model = nn.Sequential(
        nn.Conv2d(3, 20, (5,5),padding=2),
        nn.ReLU(),
        nn.Conv2d(20, 20, (3,3),padding=1),
        nn.ReLU(),
        Flatten(),
        nn.Linear(20*32*32, 10),
     )
     optimizer = optim.Adam(model.parameters(), lr=1e-3)
     #######
                           END OF YOUR CODE
     #######
     train part34(model, optimizer)
```

Iteration 0, loss = 2.3349
Checking accuracy on validation set
Got 129 / 1000 correct (12.90)

Iteration 100, loss = 1.9342
Checking accuracy on validation set
Got 473 / 1000 correct (47.30)

Iteration 200, loss = 1.5828
Checking accuracy on validation set
Got 487 / 1000 correct (48.70)

Iteration 300, loss = 1.4545
Checking accuracy on validation set
Got 532 / 1000 correct (53.20)

Iteration 400, loss = 1.2852
Checking accuracy on validation set
Got 556 / 1000 correct (55.60)

Iteration 500, loss = 1.5161
Checking accuracy on validation set
Got 554 / 1000 correct (55.40)

Iteration 600, loss = 1.0615
Checking accuracy on validation set
Got 566 / 1000 correct (56.60)

Iteration 700, loss = 1.3529
Checking accuracy on validation set
Got 586 / 1000 correct (58.60)

# Part V. CIFAR-10 open-ended challenge

In this section, you can experiment with whatever ConvNet architecture you'd like on CIFAR-10.

Now it's your job to experiment with architectures, hyperparameters, loss functions, and optimizers to train a model that achieves at least 70% accuracy on the CIFAR-10 validation set within 10 epochs. You can use the check\_accuracy and train functions from above. You can use either nn.Module or nn.Sequential API.

Describe what you did at the end of this notebook.

Here are the official API documentation for each component. One note: what we call in the class "spatial batch norm" is called "BatchNorm2D" in PyTorch.

- Layers in torch.nn package: <a href="http://pytorch.org/docs/stable/nn.html">http://pytorch.org/docs/stable/nn.html</a> (http://pytorch.org/docs/stable/nn.html)
- Activations: <a href="http://pytorch.org/docs/stable/nn.html#non-linear-activations">http://pytorch.org/docs/stable/nn.html#non-linear-activations</a>)
- Loss functions: <a href="http://pytorch.org/docs/stable/nn.html#loss-functions">http://pytorch.org/docs/stable/nn.html#loss-functions</a>)

  (<a href="http://pytorch.org/docs/stable/nn.html#loss-functions">http://pytorch.org/docs/stable/nn.html#loss-functions</a>)
- Optimizers: http://pytorch.org/docs/stable/optim.html (http://pytorch.org/docs/stable/optim.html)

### Things you might try:

- Filter size: Above we used 5x5; would smaller filters be more efficient?
- Number of filters: Above we used 32 filters. Do more or fewer do better?
- Pooling vs Strided Convolution: Do you use max pooling or just stride convolutions?
- **Batch normalization**: Try adding spatial batch normalization after convolution layers and vanilla batch normalization after affine layers. Do your networks train faster?
- **Network architecture**: The network above has two layers of trainable parameters. Can you do better with a deep network? Good architectures to try include:
  - [conv-relu-pool]xN -> [affine]xM -> [softmax or SVM]
  - [conv-relu-conv-relu-pool]xN -> [affine]xM -> [softmax or SVM]
  - [batchnorm-relu-conv]xN -> [affine]xM -> [softmax or SVM]
- Global Average Pooling: Instead of flattening and then having multiple affine layers, perform convolutions until your image gets small (7x7 or so) and then perform an average pooling operation to get to a 1x1 image picture (1, 1, Filter#), which is then reshaped into a (Filter#) vector. This is used in Google's Inception Network (https://arxiv.org/abs/1512.00567) (See Table 1 for their architecture).
- Regularization: Add I2 weight regularization, or perhaps use Dropout.

### **Tips for training**

For each network architecture that you try, you should tune the learning rate and other hyperparameters. When doing this there are a couple important things to keep in mind:

- If the parameters are working well, you should see improvement within a few hundred iterations
- Remember the coarse-to-fine approach for hyperparameter tuning: start by testing a large range of hyperparameters for just a few training iterations to find the combinations of parameters that are working at all.

- Once you have found some sets of parameters that seem to work, search more finely around these parameters. You may need to train for more epochs.
- You should use the validation set for hyperparameter search, and save your test set for evaluating your architecture on the best parameters as selected by the validation set.

#### Going above and beyond

If you are feeling adventurous there are many other features you can implement to try and improve your performance. You are **not required** to implement any of these, but don't miss the fun if you have time!

- Alternative optimizers: you can try Adam, Adagrad, RMSprop, etc.
- Alternative activation functions such as leaky ReLU, parametric ReLU, ELU, or MaxOut.
- Model ensembles
- Data augmentation
- · New Architectures
  - ResNets (https://arxiv.org/abs/1512.03385) where the input from the previous layer is added to the output.
  - <u>DenseNets (https://arxiv.org/abs/1608.06993)</u> where inputs into previous layers are concatenated together.
  - <u>This blog has an in-depth overview (https://chatbotslife.com/resnets-highwaynets-and-densenets-ohmy-9bb15918ee32)</u>

```
#######
       # TODO:
       # Experiment with any architectures, optimizers, and hyperparameters.
       # Achieve AT LEAST 70% accuracy on the *validation set* within 10 epoch
       #
       #
       # Note that you can use the check accuracy function to evaluate on eithe
       r
       # the test set or the validation set, by passing either loader test or
       # loader val as the second argument to check accuracy. You should not to
       uch
       # the test set until you have finished your architecture and hyperparam
       # tuning, and only run the test set once at the end to report a final va
       lue.
       #######
       model = None
       optimizer = None
       model = nn.Sequential(
          nn.Conv2d(3, 20, (5,5),padding=2),
          nn.BatchNorm2d(20),
          nn.ReLU(),
          nn.MaxPool2d((2,2)), # 32x32 \Rightarrow 16x16
          nn.Conv2d(20, 50, (5,5),padding=2),
          nn.BatchNorm2d(50),
          nn.ReLU(),
          nn.MaxPool2d((2,2)), # 16x16 \Rightarrow 8x8
          nn.Conv2d(50, 50, (3,3),padding=1),
          nn.BatchNorm2d(50),
          nn.ReLU(),
          Flatten(),
          nn.Linear(50*8*8, 1024),
          nn.Linear(1024,10)
       )
       optimizer = optim.Adam(model.parameters(), lr=1e-3)
       #######
       #
                                   END OF YOUR CODE
       #######
       # You should get at least 70% accuracy
       train part34(model, optimizer, epochs=10)
```

Iteration 0, loss = 2.3497
Checking accuracy on validation set
Got 109 / 1000 correct (10.90)

Iteration 100, loss = 1.6936 Checking accuracy on validation set Got 454 / 1000 correct (45.40)

Iteration 200, loss = 1.4230
Checking accuracy on validation set
Got 514 / 1000 correct (51.40)

Iteration 300, loss = 1.1730
Checking accuracy on validation set
Got 554 / 1000 correct (55.40)

Iteration 400, loss = 1.1308
Checking accuracy on validation set
Got 557 / 1000 correct (55.70)

Iteration 500, loss = 1.3258 Checking accuracy on validation set Got 570 / 1000 correct (57.00)

Iteration 600, loss = 1.4640 Checking accuracy on validation set Got 574 / 1000 correct (57.40)

Iteration 700, loss = 1.0723
Checking accuracy on validation set
Got 602 / 1000 correct (60.20)

Iteration 0, loss = 0.9848
Checking accuracy on validation set
Got 614 / 1000 correct (61.40)

Iteration 100, loss = 1.1298
Checking accuracy on validation set
Got 578 / 1000 correct (57.80)

Iteration 200, loss = 1.0836
Checking accuracy on validation set
Got 617 / 1000 correct (61.70)

Iteration 300, loss = 0.8377
Checking accuracy on validation set
Got 628 / 1000 correct (62.80)

Iteration 400, loss = 0.9715
Checking accuracy on validation set
Got 640 / 1000 correct (64.00)

Iteration 500, loss = 0.9843
Checking accuracy on validation set
Got 656 / 1000 correct (65.60)

Iteration 600, loss = 0.9773

Checking accuracy on validation set Got 622 / 1000 correct (62.20)

Iteration 700, loss = 0.6761
Checking accuracy on validation set
Got 629 / 1000 correct (62.90)

Iteration 0, loss = 0.8370
Checking accuracy on validation set
Got 636 / 1000 correct (63.60)

Iteration 100, loss = 0.9479
Checking accuracy on validation set
Got 671 / 1000 correct (67.10)

Iteration 200, loss = 0.8476 Checking accuracy on validation set Got 675 / 1000 correct (67.50)

Iteration 300, loss = 0.8792
Checking accuracy on validation set
Got 681 / 1000 correct (68.10)

Iteration 400, loss = 1.0242
Checking accuracy on validation set
Got 692 / 1000 correct (69.20)

Iteration 500, loss = 0.9149
Checking accuracy on validation set
Got 696 / 1000 correct (69.60)

Iteration 600, loss = 0.8583
Checking accuracy on validation set
Got 693 / 1000 correct (69.30)

Iteration 700, loss = 0.6781
Checking accuracy on validation set
Got 693 / 1000 correct (69.30)

Iteration 0, loss = 0.6042
Checking accuracy on validation set
Got 698 / 1000 correct (69.80)

Iteration 100, loss = 0.6293
Checking accuracy on validation set
Got 703 / 1000 correct (70.30)

Iteration 200, loss = 0.7063
Checking accuracy on validation set
Got 701 / 1000 correct (70.10)

Iteration 300, loss = 0.7640
Checking accuracy on validation set
Got 687 / 1000 correct (68.70)

Iteration 400, loss = 0.6097
Checking accuracy on validation set

Got 695 / 1000 correct (69.50)

Iteration 500, loss = 0.6942
Checking accuracy on validation set
Got 712 / 1000 correct (71.20)

Iteration 600, loss = 0.5115
Checking accuracy on validation set
Got 699 / 1000 correct (69.90)

Iteration 700, loss = 0.5922
Checking accuracy on validation set
Got 694 / 1000 correct (69.40)

Iteration 0, loss = 0.5485
Checking accuracy on validation set
Got 714 / 1000 correct (71.40)

Iteration 100, loss = 0.4997
Checking accuracy on validation set
Got 702 / 1000 correct (70.20)

Iteration 200, loss = 0.5262
Checking accuracy on validation set
Got 736 / 1000 correct (73.60)

Iteration 300, loss = 0.5172
Checking accuracy on validation set
Got 712 / 1000 correct (71.20)

Iteration 400, loss = 0.6746
Checking accuracy on validation set
Got 744 / 1000 correct (74.40)

Iteration 500, loss = 0.5279Checking accuracy on validation set Got 724 / 1000 correct (72.40)

Iteration 600, loss = 0.5852 Checking accuracy on validation set Got 739 / 1000 correct (73.90)

Iteration 700, loss = 0.6247
Checking accuracy on validation set
Got 741 / 1000 correct (74.10)

Iteration 0, loss = 0.7368
Checking accuracy on validation set
Got 732 / 1000 correct (73.20)

Iteration 100, loss = 0.4470
Checking accuracy on validation set
Got 735 / 1000 correct (73.50)

Iteration 200, loss = 0.6347 Checking accuracy on validation set Got 759 / 1000 correct (75.90) Iteration 300, loss = 0.8925
Checking accuracy on validation set
Got 724 / 1000 correct (72.40)

Iteration 400, loss = 0.7596
Checking accuracy on validation set
Got 731 / 1000 correct (73.10)

Iteration 500, loss = 0.5915
Checking accuracy on validation set
Got 733 / 1000 correct (73.30)

Iteration 600, loss = 0.4848
Checking accuracy on validation set
Got 710 / 1000 correct (71.00)

Iteration 700, loss = 0.5966
Checking accuracy on validation set
Got 712 / 1000 correct (71.20)

Iteration 0, loss = 0.4044
Checking accuracy on validation set
Got 755 / 1000 correct (75.50)

Iteration 100, loss = 0.5634
Checking accuracy on validation set
Got 729 / 1000 correct (72.90)

Iteration 200, loss = 0.6137 Checking accuracy on validation set Got 759 / 1000 correct (75.90)

Iteration 300, loss = 0.4423
Checking accuracy on validation set
Got 759 / 1000 correct (75.90)

Iteration 400, loss = 0.4145
Checking accuracy on validation set
Got 721 / 1000 correct (72.10)

Iteration 500, loss = 0.4775
Checking accuracy on validation set
Got 751 / 1000 correct (75.10)

Iteration 600, loss = 0.4411 Checking accuracy on validation set Got 748 / 1000 correct (74.80)

Iteration 700, loss = 0.4563Checking accuracy on validation set Got 731 / 1000 correct (73.10)

Iteration 0, loss = 0.2930
Checking accuracy on validation set
Got 766 / 1000 correct (76.60)

Iteration 100, loss = 0.5990
Checking accuracy on validation set
Got 729 / 1000 correct (72.90)

Iteration 200, loss = 0.4143
Checking accuracy on validation set
Got 718 / 1000 correct (71.80)

Iteration 300, loss = 0.2678
Checking accuracy on validation set
Got 754 / 1000 correct (75.40)

Iteration 400, loss = 0.4067
Checking accuracy on validation set
Got 723 / 1000 correct (72.30)

Iteration 500, loss = 0.4766 Checking accuracy on validation set Got 736 / 1000 correct (73.60)

Iteration 600, loss = 0.5136
Checking accuracy on validation set
Got 750 / 1000 correct (75.00)

Iteration 700, loss = 0.3405 Checking accuracy on validation set Got 754 / 1000 correct (75.40)

Iteration 0, loss = 0.3297
Checking accuracy on validation set
Got 751 / 1000 correct (75.10)

Iteration 100, loss = 0.5637
Checking accuracy on validation set
Got 753 / 1000 correct (75.30)

Iteration 200, loss = 0.3968
Checking accuracy on validation set
Got 754 / 1000 correct (75.40)

Iteration 300, loss = 0.2474
Checking accuracy on validation set
Got 747 / 1000 correct (74.70)

Iteration 400, loss = 0.5851
Checking accuracy on validation set
Got 760 / 1000 correct (76.00)

Iteration 500, loss = 0.6267
Checking accuracy on validation set
Got 750 / 1000 correct (75.00)

Iteration 600, loss = 0.5335
Checking accuracy on validation set
Got 758 / 1000 correct (75.80)

Iteration 700, loss = 0.4673

```
Checking accuracy on validation set
Got 754 / 1000 correct (75.40)
Iteration 0, loss = 0.2662
Checking accuracy on validation set
Got 754 / 1000 correct (75.40)
Iteration 100, loss = 0.5627
Checking accuracy on validation set
Got 743 / 1000 correct (74.30)
Iteration 200, loss = 0.5520
Checking accuracy on validation set
Got 753 / 1000 correct (75.30)
Iteration 300, loss = 0.3652
Checking accuracy on validation set
Got 757 / 1000 correct (75.70)
Iteration 400, loss = 0.3019
Checking accuracy on validation set
Got 771 / 1000 correct (77.10)
Iteration 500, loss = 0.2220
Checking accuracy on validation set
Got 730 / 1000 correct (73.00)
Iteration 600, loss = 0.4130
Checking accuracy on validation set
Got 756 / 1000 correct (75.60)
Iteration 700, loss = 0.3751
Checking accuracy on validation set
```

Got 738 / 1000 correct (73.80)

### Describe what you did

In the cell below you should write an explanation of what you did, any additional features that you implemented, and/or any graphs that you made in the process of training and evaluating your network.

#### Answer:

I started with a basic conv-batchnorm-relu-pool set followed by a fully connected layer. I was able to get close to 65% accuracy with it. I then incrementally added another conv-batchnorm-relu-pool set and then another fully connected layer after which the model was able to get above 75% accuracy on the validation set.

## Test set -- run this only once ¶

Now that we've gotten a result we're happy with, we test our final model on the test set (which you should store in best\_model). Think about how this compares to your validation set accuracy.

```
In [61]: best_model = model
    check_accuracy_part34(loader_test, best_model)

    Checking accuracy on test set
    Got 7340 / 10000 correct (73.40)
In [ ]:
```