

MATH 500  
Problem Set 10

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Exercise 1 (DF 12.1.6): Let  $R$  be an integral domain and  $M$  any non-principal ideal of  $R$  such that  $M \neq (0)$ , considered as an  $R$ -module. Show that (i)  $M$  is torsion free and (ii)  $\text{rank} M = 1$ , but (iii)  $M$  is not a free module.

Proof of (i). Let  $x$  be an element of  $M$ . Suppose  $rx = 0$ , where  $r \neq 0$ . Since  $R$  is an integral domain, this implies  $x = 0$  ( $M$  is an ideal of  $R$ , so  $x$  is an element of  $R$ ). Thus, if  $x \in M$  is torsion, then  $x = 0$ :  $M_{\text{tors}} = \{0\}$ . ■

Proof of (ii). Let  $x, y$  be any two elements of  $M$ . Then  $yx$  and  $xy$  are elements of  $M$ . Integral domains are commutative, so  $yx - xy = yx - xy = 0$ , so  $x$  and  $y$  are linearly dependent. Since  $x$  and  $y$  are arbitrary, this shows that  $\text{rank} M < 2$ . Since  $M \neq (0)$ ,  $\text{rank} M > 0$ , so  $\text{rank} M = 1$ . ■

Proof of (iii). Suppose, for the purpose of deriving a contradiction, that  $M$  were a free module. Since the basis of a free module is always linearly independent, and  $\text{rank} M = 1$ , the basis of  $M$  must be a single element  $x_0 \in M$ . That is, every element  $y$  of  $M$  is uniquely equal to an expression of the form  $rx_0$  for some  $r \in R$ .  $M$  is an ideal of  $R$ , so this implies  $M = (x_0)$ . Since  $M$  is not principal, we have a contradiction. Therefore  $M$  is not free. ■

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Exercise 2 (DF 12.1.7): Let  $R$  be any ring,  $A_1, \dots, A_m$  be  $R$ -modules, and  $B_k \subseteq A_k$  submodules. Show that there is an isomorphism  $(A_1 \oplus \dots \oplus A_m) / (B_1 \oplus \dots \oplus B_m) \cong (A_1/B_1) \oplus \dots \oplus (A_m/B_m)$  of modules.

Proof. Let  $M \stackrel{\text{def}}{=} (A_1 \oplus \dots \oplus A_m) / (B_1 \oplus \dots \oplus B_m)$  and  $N \stackrel{\text{def}}{=} (A_1/B_1) \oplus \dots \oplus (A_m/B_m)$ . Then  $N$  is the coproduct of the modules  $A_i/B_i$ . Therefore it suffices to show that  $M$  satisfies the universal property of this coproduct. Suppose we have a family of morphisms  $f_i : A_i/B_i \rightarrow X$  for  $i \in \{1, \dots, m\}$ , where  $X$  is some  $R$ -module. Let  $\pi_i : A_i \rightarrow A_i/B_i$  be the quotient morphism. Then  $f_i \circ \pi_i : A_i \rightarrow X$  is a morphism of  $R$ -modules. The universal property of  $A_1 \oplus \dots \oplus A_m$  tells us that there exists a unique morphism  $\hat{f} : A_1 \oplus \dots \oplus A_m \rightarrow X$  such that the following diagram

commutes for all  $i \in \{1, \dots, m\}$ .

$$\begin{array}{ccccc}
 A_i & \xrightarrow{\pi_i} & A_i/B_i & \xrightarrow{f_i} & X \\
 & \searrow \iota_i & & \nearrow \hat{f} & \\
 & & A_1 \oplus \dots \oplus A_m & & 
 \end{array} \quad (2.1)$$

where  $\iota_i : A_i \rightarrow A_1 \oplus \dots \oplus A_m$  is the canonical inclusion morphism. Furthermore  $\iota_i(B_i)$  is contained in the kernel of the map  $A_i \rightarrow A_1 \oplus \dots \oplus A_m \rightarrow M$  since  $\iota_i(B_i)$  is a submodule of  $B_1 \oplus \dots \oplus B_m$ . Therefore the universal property of the quotient gives us a unique map  $\rho_i : A_i/B_i \rightarrow M$  fitting into the following diagram.

$$\begin{array}{ccc}
 A_i & \xrightarrow{\pi_i} & A_i/B_i \\
 & \searrow \iota_i & \downarrow \rho_i \\
 & & A_1 \oplus \dots \oplus A_m \\
 & & \downarrow \\
 & & M
 \end{array} \quad \begin{array}{l} \text{---} \nearrow \exists! \rho_i \end{array} \quad (2.2)$$

Lastly, we show that  $B_1 \oplus \dots \oplus B_m$  is contained in the kernel of  $\hat{f}$ . Note that it suffices to show that  $\iota_i(B_i)$  is contained in the kernel of  $\hat{f}$ , because (by definition)  $B_1 \oplus \dots \oplus B_m = \iota_1(B_1) \oplus \dots \oplus \iota_m(B_m)$ . Since  $B_i$  is contained in  $\ker \pi_i \circ f_i$ , Diagram [2.1] shows that  $\iota_i(B_i)$  is contained in  $\ker \hat{f}$ , as desired. Therefore  $B_1 \oplus \dots \oplus B_m \leq \ker \hat{f}$ ; hence  $\hat{f}$  itself induces a unique morphism  $\bar{f} : M \rightarrow X$  making the following diagram commute.

$$\begin{array}{ccccc}
 A_i & \xrightarrow{\pi_i} & A_i/B_i & \xrightarrow{f_i} & X \\
 & \searrow \iota_i & & \nearrow \hat{f} & \\
 & & A_1 \oplus \dots \oplus A_m & & \\
 & & \downarrow & & \\
 & & M & & 
 \end{array} \quad \begin{array}{l} \text{---} \nearrow \exists! \bar{f} \end{array} \quad (2.3)$$

Using Diagram [2.2], we can replace the left side with  $\rho_i$ :

$$\begin{array}{ccc}
 A_i/B_i & \xrightarrow{f_i} & X \\
 \rho_i \downarrow & \nearrow \exists! \bar{f} & \\
 M & & 
 \end{array}$$

This is the universal property for the coproduct  $N$ . Therefore  $M \cong N$ . ■

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**Exercise 3 (DF 12.1.8):** Let  $R$  be a PID,  $B$  a torsion  $R$ -module, and  $p$  a prime element in  $R$ . Prove that if  $pb = 0$  for some  $b \in B, b \neq 0$ , then  $\text{Ann}(B) \subseteq (p)$ . (Recall that  $\text{Ann}(B) \stackrel{\text{def}}{=} \{r \in R \mid rB = 0\}$ ).

**Proof.**  $Rb$  is a submodule of  $B$ , so it is a module in its own right. Since  $pb = 0$ ,  $p \in \text{Ann}(Rb)$ ; hence  $\text{Ann}(Rb) \neq (0)$ . Since  $b \neq 0$ ,  $1 \cdot b \neq 0$ , so  $\text{Ann}(Rb)$  is not the unit ideal.



Therefore  $\text{Ann}(Rb)$  is a nontrivial ideal in the PID  $R$ , so  $\text{Ann}(Rb)$  is a principal ideal. Since  $p$  is prime,  $(p)$  is maximal among principal ideals, therefore  $\text{Ann}(Rb) = (p)$ . Any element  $r \in R$  which annihilates all of  $B$  will annihilate  $b$  in particular. Thus  $\text{Ann}(B) \subseteq \text{Ann}(Rb)$ ; hence  $\text{Ann}(B) \subseteq (p)$ . ■

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Exercise 4 : Let  $R$  be a ring with 1, not necessarily commutative. Show that if  $I, J \subseteq R$  are left ideals such that  $J = Ia$  for some  $a \in R^\times$ , then  $R/I \cong R/J$  as modules.

Proof. We will show that  $R/I$  satisfies the universal property of  $R/J$ . Let  $\phi : R \rightarrow N$  be a morphism of  $R$ -modules such that  $J \subseteq \ker \phi$ . We define a new morphism  $\bar{\phi} : R/I \rightarrow N$  of  $R$ -modules by setting:

$$\bar{\phi}(\bar{x}) \stackrel{\text{def}}{=} \phi(xa).$$

To show that this is well-defined, suppose  $y \in I$ , so there exists an element  $z \in J$  such that  $y = za^{-1}$ . Then  $ya = \phi(z) = 0$  since  $z \in \ker \phi$ ; hence  $\bar{\phi}$  is a well-defined (set)-function from  $R/I$  to  $N$ . We now show that  $\bar{\phi}$  is linear:

$$\bar{\phi}(r\bar{x} + s\bar{y}) = \phi((rx + sy)a) = \phi(rxa + sya) = r\phi(xa) + s\phi(ya) = r\bar{\phi}(\bar{x}) + s\bar{\phi}(\bar{y}).$$

Furthermore we can define an  $R$ -module morphism  $\pi' : R \rightarrow R/I$  by setting  $\pi'(x) \stackrel{\text{def}}{=} \overline{xa^{-1}}$ . Since  $J = Ia$ ,  $\ker \pi'$  contains  $J$  (in fact,  $J = Ia$  implies  $\ker \pi' = J$ ). Moreover,  $\bar{\phi}(\pi'(x)) = \phi(xa^{-1}a) = \phi(x)$ , so the following triangle commutes.

$$\begin{array}{ccc} R & \xrightarrow{\phi} & N \\ \pi' \downarrow & \searrow \bar{\phi} & \\ R/I & & \end{array}$$

All that is left to check is that  $\bar{\phi}$  is unique. Since  $\pi'$  is surjective, the requirement that  $\bar{\phi} \circ \pi' = \phi$  forces a unique definition for  $\bar{\phi}$ .

Thus  $\pi' : R \rightarrow R/I$  satisfies the universal property of the quotient  $R/J$ . Since quotients are unique up to isomorphism,  $R/I \cong R/J$ . ■

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Exercise 5 : Let  $R \stackrel{\text{def}}{=}} M_{2 \times 2}(F)$  with  $F$  a field. Find examples of left ideals  $I, J \subseteq R$  such that  $R/I \cong R/J$  as modules but  $I \neq J$ .

Proof. Let  $I$  denote the collection of all matrices  $A \in R$  such that  $A \begin{pmatrix} 1 \\ 0 \end{pmatrix} = 0$ , and let  $J$  denote the collection of all matrices  $B \in R$  such that  $B \begin{pmatrix} 0 \\ 1 \end{pmatrix} = 0$ .

$I$  is a left-ideal: for any  $M_1, M_2 \in R$  and  $A_1, A_2 \in I$ ,

$$(M_1 A_1 - M_2 A_2) \begin{pmatrix} 1 \\ 0 \end{pmatrix} = M_1 \begin{pmatrix} 1 \\ 0 \end{pmatrix} - M_2 \begin{pmatrix} 1 \\ 0 \end{pmatrix} = 0.$$

A similar argument shows that  $J$  is also a left-ideal. Furthermore, the matrix

$$\sigma \stackrel{\text{def}}{=} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

is an element of  $R^\times$  (it is its own inverse). Since  $I\sigma = J$ , Exercise [4] implies  $R/I \cong R/J$ . However,  $I \neq J$ :  $\begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}$  is an element of  $I$  but not an element of  $J$ . ■

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Exercise 6 (DF 12.2.10): Find all similarity classes of  $6 \times 6$  matrices over  $\mathbb{Q}$  with minimal polynomial  $(x+2)^2(x-1)$ .

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It suffices to give all lists of invariant factors and write out some of their corresponding matrices.

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Proof. Let  $P \stackrel{\text{def}}{=} (x+2)^2(x-1)$ . Let  $V \stackrel{\text{def}}{=} \mathbb{Q}^6$  and  $T : V \rightarrow V$  be a matrix with minimal polynomial  $P$ . Then  $V_T$  decomposes into a sum

$$V_T \cong \mathbb{Q}[x]/(f_1) \oplus \dots \oplus \mathbb{Q}[x]/(f_k),$$

where  $f_1 \mid f_2 \mid \dots \mid f_k$  are all monic polynomials. Since the minimal polynomial is the largest invariant factor,  $f_k = P$ . Furthermore  $\dim_{\mathbb{F}} V_T = \deg f_1 + \dots + \deg f_k$ .  $\deg f_k = 3$ , so  $\deg f_1 + \dots + \deg f_{k-1} = 3$ , leaving the following possibilities:

- $k=4$  and  $\deg f_1 = \deg f_2 = \deg f_3 = 1$ ,
- $k=3$  and  $\deg f_1 = 1$  and  $\deg f_2 = 2$ ,
- $k=2$  and  $\deg f_1 = 3$ .

Since the invariant factors must divide  $P$ , this yields six classes.

1.  $f_1 = f_2 = P$ ;
2.  $f_1 = f_2 = f_3 = x-1$  and  $f_4 = P$ ;
3.  $f_1 = f_2 = f_3 = x+2$  and  $f_4 = P$ ;
4.  $f_1 = x+2$ ,  $f_2 = (x+2)^2$ , and  $f_3 = P$ ;
5.  $f_1 = x+2$ ,  $f_2 = (x+2)(x-1)$ , and  $f_3 = P$ ;
6.  $f_1 = x-1$  and  $f_2 = (x+2)(x-1)$ , and  $f_3 = P$ .



Any matrix is similar to its rational canonical form, so these six classes correspond bijectively to the collection of similarity classes of  $M_{6 \times 6}(\mathbb{Q})$ . The invariant factors determine the rational canonical form of the representative of each similarity class. In the order enumerated above, the following six matrices represent the six similarity classes.

$$\begin{pmatrix} 0 & 0 & 4 \\ 1 & 0 & 0 \\ 0 & 1 & -3 \end{pmatrix}, \begin{pmatrix} 1 & & & \\ & 1 & & \\ & & 1 & \\ & & & 0 & 0 & 4 \\ & & & 1 & 0 & 0 \\ & & & 0 & 1 & -3 \end{pmatrix}, \begin{pmatrix} -2 & & & \\ & -2 & & \\ & & -2 & \\ & & & 0 & 0 & 4 \\ & & & 1 & 0 & 0 \\ & & & 0 & 1 & -3 \end{pmatrix}, \\
 \begin{pmatrix} -2 & & & \\ & 0 & -4 & \\ & 1 & -4 & \\ & & & 0 & 0 & 4 \\ & & & 1 & 0 & 0 \\ & & & 0 & 1 & -3 \end{pmatrix}, \begin{pmatrix} -2 & & & \\ & 0 & 2 & \\ & 1 & -1 & \\ & & & 0 & 0 & 4 \\ & & & 1 & 0 & 0 \\ & & & 0 & 1 & -3 \end{pmatrix}, \begin{pmatrix} 1 & & & \\ & 0 & 2 & \\ & 1 & -1 & \\ & & & 0 & 0 & 4 \\ & & & 1 & 0 & 0 \\ & & & 0 & 1 & -3 \end{pmatrix}.$$

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Exercise 7 (DF 12.3.5): Compute the Jordan canonical form (over  $\mathbb{C}$ ) of the matrix

$$A \stackrel{\text{def}}{=} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & -2 \\ 0 & 1 & 3 \end{pmatrix}.$$

Solution. The characteristic polynomial  $\det(\lambda I - A)$  is  $\lambda^2 - 3\lambda + 2 = (\lambda - 2)(\lambda - 1)$ , so the eigenvalues of  $A$  are 2 and 1. We can directly solve the system  $A - 2I = 0$  and  $A - I = 0$  to find an eigenbasis

$$\begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ -2 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \\ -1 \end{pmatrix}.$$

Therefore these three vectors form a basis of  $V_A$ ; hence the Jordan canonical form of  $A$  is

$$J(A) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 2 \end{pmatrix}.$$

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Exercise 8 : Let  $R \stackrel{\text{def}}{=} \mathbb{Z}[i]$ . Classify all finitely generated  $R$ -modules  $M$  with the property that  $5M = 0$ .

Proof.  $R$  is a PID, so due to the elementary divisor composition, any finitely generated  $R$ -module  $M$  is isomorphic to a direct sum of the form:

$$M \cong R/(p_1^{k_1}) \oplus \dots \oplus R/(p_m^{k_m}),$$

where each  $p_i$  is a prime element of  $R$ . This decomposition is unique in the sense that  $m$  is unique and the  $p_i^{k_i}$  are unique up to reordering and multiplication by units. Therefore it suffices to classify  $R$ -modules  $M'$  of the form  $R/(p^k)$ , where  $p$  is a prime element of  $R$  such that  $5M' = 0$ . Since  $R$  is a PID,  $\text{Ann}(M') = (r_0)$  for some  $r_0 \in R$ . Since  $(p^k)$  is an ideal,  $(p^k)$  is contained in  $\text{Ann}(M')$ , so  $r_0$  divides  $p^k$ . That is,  $r_0$  is a power of  $p$ . On the other hand  $5M' = 0$ , so  $5 \in \text{Ann}(M') = (r_0)$ . This shows that  $r_0$  is a nontrivial power of  $p$ . Furthermore,  $5 = (2+i)(2-i)$ ; both of these factors are prime (they are irreducible in a PID). So we have  $(2+i)(2-i) = p^d a$ , where  $0 < d \leq k$  and  $a \in R$ . Thus  $d=1$  and  $p=2+i$  or  $p=2-i$ . In other words,  $M' \cong R/(2+i)$  or  $M' \cong R/(2-i)$ .

Returning to the direct sum  $M$ , this tells us that  $M$  is a direct sum of copies of  $M_1 \stackrel{\text{def}}{=} R/(2+i)$  and  $M_2 \stackrel{\text{def}}{=} R/(2-i)$ . This proves the following classification.

Proposition 8.1. For any finitely generated  $\mathbb{Z}[i]$ -module  $M$  such that  $5M = 0$ , there exist  $d_1, d_2 \in \mathbb{Z}_{\geq 0}$  such that

$$M \cong M_1^{d_1} \oplus M_2^{d_2}.$$