CLGRP 1.3 Unconditional Class Group Tabulation

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October 30, 2016

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1 Introduction

The CLGRP library contains the implementation of various subroutines utilized for the tabulation of class groups of imaginary quadratic fields, as well as the unconditional verification of this tabulation. To parallelize the computations of the tabulation algorithm, the OpenMPI library is utilized.

CLGRP is maintained by Anton S. Mosunov, University of Waterloo, and is an appendix to the Master's thesis [Mos14], written under the supervision of Michael J. Jacobson, Jr. It is highly recommended to read the thesis (Section 4 in particular) before utilizing the library, as certain notions, such as the bundling parameter or the bit size parameter, are not defined in this manual.

The manual for CLGRP is based on the LaTeX template for the manual of FLINT 1.0: http://web.mit.edu/sage/export/tmp/flint-1.1/doc/flint-roadmap.tex.

2 Changes since the previous version

October 29th, 2016. Since the version 1.2, the following changes had been made:

- The configure file is now a part of CLGRP. In contrast, the previous version contained only the Makefile and required the user to edit it manually;
- All the files are now supplied with headers, providing information on the GNU General Public License.

3 Dependencies in CLGRP

CLGRP depends on several libraries and specifications that need to be present on your system prior to the installation. These libraries are:

- 1. GMP, gmplib.org. The GNU multiple precision arithmetic library;
- 2. OpenMPI, open-mpi.org. A high performance message passing library;
- 3. optarith, github.com/maxwellsayles. Optimized arithmetic operations for 32, 64, and 128bit integers. Includes optimized implementations of many different extended GCD algorithms;
- 4. PARI/GP, http://pari.math.u-bordeaux.fr/. Computer algebra system designed for fast computations in number theory. In CLGRP, it is used solely for debugging purposes;
- 5. qform, github.com/maxwellsayles. Ideal class group arithmetic in imaginary quadratic fields.

Before installing, make sure that each of those libraries is installed. The only exception can be made regarding the PARI/GP library utilized for debugging purposes. In this case, when utilizing the CLGRP library make sure you don't use -DWITH_PARI when compiling your program, as the code will not compile.

4 Building and using CLGRP

The easiest way to use CLGRP is to build it using make. The make and the make verify command create executables, while the make lib command creates a static library.

5 Reporting issues

The maintainers wish to be made aware of any bugs in the library or typos in this manual. Please send an email with your bug report to amosunov@uwaterloo.ca.

If possible please include details of your system, version of gcc, version of GMP and precise details of how to replicate the bug.

Note that CLGRP needs to be linked against version 4.2.1 or later of GMP and must be compiled with gcc version 4.2 or later.

6 Files

The CLGRP library contains the following files:

- 1. The functions.c file contains miscellaneous functions utilized by the class group computation and verification algorithms. It also contains basic implementations of an indexed hash table type htab_t, vector type vec_t and a matrix type mat_t. See Subsection 9 for more details;
- 2. The sieve.c file contains implementations of various prime sieves. See the Subsection 10 for more details;
- 3. The clgrp.c file contains the implementation of the Buchmann-Jacobson-Teske Algorithm, or BJT, applied to the computation of a class group of some imaginary quadratic field. It also contains the subroutine for the tabulation of class groups. See Subsection 11 for more details;
- 4. The verify.c file contains four subroutines utilized for the computation of the Eichler-Selberg trace formula, used for the unconditional verification of tabulated class groups. See Subsection 12 for more details:
- 5. The files entitled functions.h, sieve.h, clgrp.h and verify.h contain declarations of all the subroutines implemented in files listed previously;
- 6. The clgrp_main.c file contains the implementation of a command line program for the class group tabulation. This is the only file where the OpenMPI library is used for the parallelization. See Subsection 13 for more details;
- 7. The verify_main.c file contains the implementation of the command line program for unconditional verification of tabulated class groups. See Subsection 14 for more details.

7 Macros

The MAX_RANK macro defined in clgrp.h denotes the maximum rank among all the class groups that get tabulated. The standard value is 10, so please adjust it if you know that there is a possibility that ranks of class groups you'll be considering may exceed this quantity;

The FAC_TOTAL macro defined in sieve.h is utilized by the tabulate_bjt routine, and determines how many discriminants get factored at the same time by the sieve;

The MAX_DIVISORS macro defined in verify.h is utilized during the verification and corresponds to the largest number of divisors that an integer can possess. By default, it corresponds to the integer 963761198400 with MAX_DIVISORS = 12000 divisors. No integer up to 2^{40} has more than 12000 prime divisors;

The WITH_INDICES macro defined in functions.h is utilized by certain sieves. When the flags parameter of a particular routine is set to WITH_INDICES, instead of determining the actual prime factors of an integer it determines only the *indices* of prime factors (say, if the 6th prime $p_6 = 13$ divides n, the function will record 6, not 13). This allows to simplify certain parts of the verification procedure.

The following macros can be defined during the compilation:

- KEEP_FILES. This macro is utilized solely by the tabulate_bjt routine, defined in clgrp.c. The prefixes of binary files with precomputed class numbers of imaginary quadratic fields can be provided to this function. This is done in order to speedup the tabulation, as the knowledge of a class number allows to discard certain p-subgroups of each class group from consideration. When the class groups get computed, these files get removed for the sake of cleaning up the space on the hard disk. When defined, the KEEP_FILES macro prevents the deletion of binary files. It is highly recommended to define this macro when compiling the program.
- DEBUG. When defined, this macro allows to trace the process of computation of the compute_group_bjt routine, defined in clgrp.c. It prints out a detailed information on every iteration of the BJT algorithm.
- WITH_PARI. When defined, this macro allows to verify whether the tabulation/verification of class groups was performed correctly.

8 Setup

Before installing the CLGRP library, make sure that all the libraries that CLGRP depends on are installed (see the full list in Section 3). Pay a particular attention to the optarith library! Before installing it, ensure that there are enough primes defined in files primes.c, primes.h, and their quadratic residues are precomputed in sqrtmodp_list.c, sqrtmodp_list.h. Several functions of the CLGRP library, namely next_prime in functions.c and next in clgrp.c, heavily rely on these precomputed values. The reason is that as the discriminant gets bigger, more prime ideals may need to be considered to generate the whole group. To derive how much primes you need you may utilize the conditional upper bound on the number of prime generators due to Bach [Bac90], which is $6\log^2 |\Delta|$. If you observed that there are not enough primes suitable for your needs, please compile the program gen_sqrtmodp.cc located in the folder code_gen. Run this program by providing to it the total number of primes you wish to generate as a parameter. It will generate two new files, sqrtmodp_list.c and sqrtmodp_list.h. Replace the old files with this name by the new ones, and then build the optarith library again with these new files.

In order to prepare the CLGRP library for compilation, please edit the Makefile. The Makefile defines the following four commands:

- The make command builds an executable clgrp which allows to tabulate class groups. See Subsection 13 on how to use it;
- The make verify command builds an executable verify which allows to verify tabulated data unconditionally. See Subsection 14 on how to use it;
- The make lib command builds a static library libclgrp.a, which incorporates four object files: clgrp.o, functions.o, sieve.o and verify.o, produced from clgrp.c, functions.c, sieve.c and verify.c, respectively. The file libclgrp.a should be placed in your library path. See Subsection 17 on how to link this library to your program;
- The make clean command removes all the files which have the extension .o. Use this command right after running make lib to remove all the object files.

9 Supplementary types and functions

The functions.c file contains implementation of three types, utilized by the class group tabulation program:

- htab_t: a generic indexed hash table with separate chaining. Utilizes the hash function $f_p: n \mapsto n \pmod{p}$, where p is some predefined prime. Basic functionality is implemented, including insertion/deletion of an element, and deletion of an element defined by a specific index;
- vec_t: a dynamic array with entries of type int;
- mat_t: a matrix with entries of type int. The only functionality provided is initializing, printing and clearing. The type is used by the smith_normal_form subroutine, which computes the Smith Normal Form (SNF) of a matrix. The SNF computation is an essential part of the BJT algorithm.

```
int next_prime(const int n)
```

Computes the prime which immediately follows n. Utilizes prime_list defined in primes.c of the optarith library.

```
long crt(const int a, const int m, const int b, const int n, const long min)
```

Computes the smallest number $x \ge \min$ such that $x \equiv a \pmod{m}$ and $x \equiv b \pmod{n}$. This is an extra function utilized by the mod_sieve routine defined in sieve.h.

```
char kronecker_symbol(long a, long p)
```

Computes the Kronecker symbol $\left(\frac{a}{p}\right)$.

Computes the divisors of D and saves them into result. The pfactors array contains either prime divisors of D or their indices, depending on whether the flags parameter is = 0 or WITH_INDICES (note that pfactors[0] contains the total number of prime factors). In the latter case, the primes array with precomputed list of primes is essential to find the actual i-th prime divisor by its index via primes[pfactors[i]].

10 Sieves

The class group tabulation procedure heavily relies on the integer factorization. There are two places where it occurs during the work of the tabulate_bjt routine defined in clgrp.c. First of all, as we are interested only in fundamental discriminants (i.e. those Δ that are not divisible by an odd square and satisfy the congruence $\Delta \equiv 1, 5, 8, 9, 12, 13 \pmod{16}$), it is important to factor each Δ to see whether it is fundamental or not. Further, if the class number $h(\Delta)$ is known, the factorization of $h(\Delta)$ can tell us which p-subgroups can be ignored during the class group structure computation. As the factorization of each individual discriminant takes a lot of time, the sieving procedure is utilized to factor all the discriminants and class numbers in bulk.

The verification procedure requires sieving when computing the right hand side of the Eichler-Selberg trace formula (see [Mos14, Section 5.3]).

Warning: before using the functions regular_sieve, segmented_sieve and mod_sieve, make sure that enough memory is allocated for the two-dimensional array factors by precomputing the maximum number of prime factors that your integers can have. This can be done by determining the number k such that $p_1 \cdot p_2 \cdot \ldots \cdot p_k \leq \texttt{blocksize} < p_1 \cdot p_2 \cdot \ldots \cdot p_k \cdot p_{k+1}$, where p_i denotes the i-th prime. Every n-th array factors [n] is an array of k+1 elements, as the 0-th entry contains the total number of prime factors. To find the j-th prime factor of an integer n, write factors [n] [j+1]. To determine the total number of prime factors less than max_prime, write factors [n] [0].

The following functions are implemented in sieve.c:

```
void prime_sieve(const int max_prime, int * primes)
```

Computes all primes less than max_prime using the sieve of Eratosthenes and saves them to primes.

This subroutine computes all prime factors less than max_prime of every integer less than blocksize. The precomputed primes are contained in primes. The result is saved into a two-dimensional array factors. When flags=WITH_INDICES, instead of prime factors the prime indices get stored.

This subroutine computes all prime factors less than max_prime of every integer between 1 and 1 + blocksize (exclusive). The precomputed primes are contained in primes. The result is saved into a two-dimensional array factors. When flags=WITH_INDICES, instead of prime factors the prime indices get stored.

This subroutine computes all prime factors of every integer congruent to a (mod m) between 1 and $1 + \text{blocksize} \cdot m$ (exclusive). The precomputed primes are contained in primes. The result is saved into a two-dimensional array factors.

11 Class group computation and tabulation

```
The following functions are implemented in clgrp.c:
```

```
void pari_verify(int * result, const long D)
```

This function gets declared whenever WITH_PARI macro is defined during compilation. Given the discriminant D (positive or negative) and the class group structure saved to result, it verifies the correctness of the data in result by computing the class group corresponding to D using PARI/GP. In case if the results do not match, the subroutine prints out the error message and terminates the program. This function is utilized by the tabulate_bjt function.

```
int next(group_pow_t * gp, form_t * R, const int init_pow, int prime_index)
```

An extra function utilized by the tabulate_bjt subroutine. The gp parameter defines the class group; in particular, it contains the discriminant Δ . The subroutine iterates through primes $p_1=2, p_2=3,\ldots$ starting from index prime_index until the index k is reached such that $b^2 \equiv \Delta \pmod{p_k}$ for some $b \in \mathbb{Z}$. As a result, the binary quadratic form $(p_k, b, (b^2 - \Delta)/4a)^{\text{init-pow}}$ gets saved into R, and k gets returned.

```
int h_upper_bound(const long D)
```

Computes the upper bound on the class number h(D) using Dirichlet's class number formula and Ramaré's bounds on $L(1, \chi_{\Delta})$ (see [Mos14, Section 4.3]).

```
int h_lower_bound(const long D)
```

Computes the *conditional* lower bound h^* of the class number h(D) satisfying $h^* \leq h(\Delta) \leq 2h^*$ using Bach's bound [Bac90]. Utilized by the tabulate_bjt subroutine.

Computes the subgroup of order $h(D)/\text{init_pow}$ of a class group corresponding to the negative discriminant D. Here, h_star is the approximation of a class number h(D), satisfying h_star $\leq h(D) \leq 2h_s$ tar. The init_pow parameter must divide h(D). If the class number is unknown, set to 1. If the prime factorization $h(D) = p_1^{e_1} \cdot \ldots \cdot p_k^{e_k}$ is known, set init_pow = $\prod_{\substack{1 \leq i \leq k \\ e_i = 1}} p_i$. The resulting structure of a subgroup

gets saved into result. The hash tables R and Q are utilized by the BJT algorithm.

Tabulates all class groups with fundamental negative discriminants Δ , satisfying $|\Delta| \equiv a \pmod{m}$ and $index\cdot D_total\cdot m \leq |\Delta| < (index+1)\cdot D_total\cdot m$ (exclusive). The resulting text file cl[a]mod[m]. [index] get saved into a folder cl[a]mod[m] created by the program inside the folder folder; here, [a], [m] and [index] should be replaced by the values of a, m and index, respectively. For the format of the text file see Subsection 16. The set of primes in primes is used by the mod_sieve routine when factoring the discriminants. The two-dimensional array h_factors contains the list of precomputed prime divisors of all potential class numbers. The prime divisors of each discriminant get saved into the array D_factors. The binary file located in /folder/file[index], where [index] should be replaced by the value of index, contains all the class numbers for a given congruence class of $|\Delta|$. If the KEEP_FILES macro is undefined, this file gets deleted. If file=NULL, i.e. the class numbers are unknown, the algorithm utilizes the h_lower_bound routine and computes the class group conditionally.

12 Verification

The following subroutines are implemented in ${\tt verify.c:}$

Partially computes the left hand side of the Eichler-Selberg trace formula (multiple of 6, to balance out fractional $H(\Delta)$), corresponding to $|\Delta| \equiv a \pmod{m}$ for index \cdot blocksize $\leq |\Delta| \leq (\text{index} + 1) \cdot \text{blocksize}$, where $(a, m) \in \{(8, 16), (4, 16), (3, 8), (7, 8)\}$. If we set i = index and B = blocksize, then this subroutine computes

$$S_{a,m,i,B}(X) = 6 \sum_{\substack{|\Delta| \equiv 0 \pmod{8} \\ |\Delta| \equiv a \pmod{m} \\ iB \leq |\Delta| \leq (i+1)B}} H(\Delta) + 12 \sum_{\substack{|\Delta| \equiv a \pmod{m} \\ iB \leq |\Delta| \leq (i+1)B}} r(\Delta, X)H(\Delta)$$

where

$$r(\Delta,X) = \left\{ \begin{array}{ll} 0, & \text{if } |\Delta| \equiv 3 \pmod 8; \\ \left\lfloor \frac{Y+1}{2} \right\rfloor & \text{if } |\Delta| \equiv 7 \pmod 8; \\ \left\lfloor \frac{Y+1}{4} \right\rfloor & \text{if } |\Delta| \equiv 4 \pmod 8; \\ \left\lfloor \frac{Y}{4} \right\rfloor & \text{if } |\Delta| \equiv 0 \pmod 8 \end{array} \right.$$

and $Y = \lfloor \sqrt{8X + \Delta} \rfloor$. The result of summation gets saved into LHS. All Hurwitz class numbers for fundamental Δ are contained in a file /[folder]/[file].[index] of the format described in Subsection 15. In order to compute the Hurwitz class numbers for non-fundamental Δ , the computation of Kronecker symbols modulo various primes is required. For this purpose, the array of primes primes and the two-dimensional array factors are supplied.

Computes the left hand side of the Eichler-Selberg trace formula, multiplied by 6:

$$LHS = \sum_{i=0}^{\mathtt{files}-1} S_{8,16,i,B}(X) + S_{4,16,i,B}(X) + S_{3,8,i,B}(X) + S_{7,8,i,B}(X).$$

where $B = D_{\max}/\text{files}$. The result is saved into LHS. The files are located in folder. The tabulation upper bound is D_{\max} and the total number of files for each congruence class is files. The array primes contains precomputed primes required for the computation of Kronecker symbols.

Partially computes the right hand side of the Eichler-Selber trace formula multiplied by 6 (to balance out the fraction occurring in $1/6\chi(2n)$):

$$R_l = 6 \sum_{n=l}^{1+\text{blocksize}-1} \left(2 \left(\sum_{\substack{d \mid 2n \\ d \geq \sqrt{2n}}} d \right) - \chi(2n) \sqrt{2n} + \frac{1}{6} \chi(2n) \right).$$

The result is saved into sum. The array primes contains precomputed primes required for the computation of divisors of each even $21 \le n < 2(1 + blocksize - 1)$. The two-dimensional array factors is utilized to store prime factors of each n.

Computes the right hand side of the Eichler-Selberg trace formula, multiplied by 6:

$$6RHS = \sum_{l=0}^{\text{n.max/blocksize}-1} R_l.$$

The result is saved into RHS. The upper bound n_{max} should be evenly divisible by blocksize. The summation is performed block-by-block $(n_{max}/blocksize)$ blocks in total). The array primes contains precomputed primes, required for the computation of divisors of each even $n < 2n_{max}$.

13 Utilizing the executable for tabulation

To run the program, use the command mpirun and through -np specify how many processors you would like to use for parallelization. The executable accepts six parameters:

- [D_max]: the tabulation upper bound;
- [files]: total number of files where the data gets saved. Must divide D_max;
- [a]: the congruence class of $|\Delta|$ modulo m. Has to be either 4, 8 when m = 16 or 3, 7 when m = 8;
- [m]: the modulus, either 8 or 16. Must evenly divide D_max/files;
- [h_prefix]: the prefix of the binary files containing class numbers for a specific congruence class. Set to null if the class numbers are unknown;
- [folder]: the folder where the data gets saved.

The parameters should be supplied in the following order:

```
mpirun -np [procs] ./clgrp [D_max] [files] [a] [m] [h_prefix] [folder]
```

14 Utilizing the executable for verification

To produce the executable, please use the make verify command. The verify program accepts three command line parameters:

- [D_max]: the tabulation upper bound;
- [files]: total number of files to which the data gets saved. Must divide D_max;
- [folder]: the folder where the data gets saved.

The parameters should be supplied in the following order:

```
./verify [D_max] [files] [folder]
```

Note that in order for the program to work correctly, the folder folder should contain four folders cl8mod16, cl4mod16, cl3mod8 and cl7mod8, which in turn contain the result of tabulation for a specific congruence class, distributed over files files.

15 File format

All tabulated class groups get saved into a text file, compressed with gzip. The name of each file is of the form cl[a]mod[m].[index], where [a] is the congruence class of $|\Delta|$ modulo [m], [m] is the modulus, and [index] is the index of a file. For each file, it is important to determine the starting discriminant D_start. To do this, you need to know the value D_total, which satisfies index \cdot D_total $\leq |\Delta| < (index+1) \cdot D$ _total. Note that D_total = D_max/files, where D_max = $|\Delta_{max}|$ is the tabulation upper bound, and files is the total number of files among which the tabulated class groups get distributed (so $0 \leq index < files$). In the end, we have D_start = a + index \cdot D_total.

The output text file has the following format (description taken from [LMFDB]):

- There is one line per field;
- Fundamental discriminants for a given file are listed in order (in absolute value);
- If $\Delta_i = -d_i$ is the *i*-th discriminant of a file, line i+1 has the form

$$a$$
 b $c_1c_2\ldots c_t$

to signify that

- $-d_{i+1} = d_i + a \cdot m$ (m is the modulus for the file);
- $-h(-d_{i+1}) = b;$
- invariant factors for the class group are $[c_1, c_2, \ldots, c_t]$.

In particular, $b = \prod_{j=1}^{t} c_j$.

For example, the first 10 lines of the file cl8mod16.0 (a = 8, m = 16, index = 0), downloaded from [LMFDB], can be translated as follows:

				- (4)	
			$ \Delta $	$h(\Delta)$	$Cl(\Delta)$
0	1	1	$8 = 8 + 0 \cdot 16 + 0 \cdot 2^{28}$	1	C_1
1	2	2	$24 = 8 + 1 \cdot 16$	2	C_2
1	2	2	$40 = 24 + 1 \cdot 16$	2	C_2
1	4	4	$56 = 40 + 1 \cdot 16$	4	C_4
2	2	2	$88 = 56 + 2 \cdot 16$	2	C_2
1	6	6	$104 = 88 + 1 \cdot 16$	6	C_6
1	4	2 2	$120 = 104 + 1 \cdot 16$	4	$C_2 \times C_2$
1	4	4	$136 = 120 + 1 \cdot 16$	4	C_4
1	6	6	$152 = 136 + 1 \cdot 16$	6	C_6
1	4	2 2	$168 = 152 + 1 \cdot 16$	4	$C_2 \times C_2$

In the first line of the table on the right, we have $D_{total} = D_{max}/files = 2^{40}/2^{12} = 2^{28}$.

16 Examples

Example 1. The following command tabulates all class groups with $|\Delta| \equiv 8 \pmod{16}$ and $|\Delta| < 2^{40} = 1099511627776$. The result gets saved into $2^{12} = 4096$ files /home/cl8mod16/cl8mod16.0, ..., /home/cl8mod16/cl8mod16.4095. The files /home/h8mod16/h8mod16.0, ..., /home/h8mod16/h8mod16.4095 contain $h(\Delta)$ for $|\Delta| \equiv 8 \pmod{16}$. There are 256 processors utilized by OpenMPI for parallelization.

mpirun -np 256 ./clgrp 1099511627776 4096 8 16 h8mod16/h8mod16. /home

Example 2. The following command tabulates all class groups with $|\Delta| \equiv 4 \pmod{16}$ and $|\Delta| < 2^{40} = 1099511627776$. The result gets saved into $2^{12} = 4096$ files /home/cl4mod16/cl4mod16.0, ..., /home/cl4mod16/cl4mod16.4095. The files /home/h4mod16/h4mod16.0, ..., /home/h4mod16/h4mod16.4095 contain multiples of 2 of $h(\Delta)$ for $|\Delta| \equiv 4 \pmod{16}$. There are 256 processors utilized by OpenMPI for parallelization.

mpirun -np 256 ./clgrp 1099511627776 4096 4 16 h4mod16/h4mod16./home

Example 3. The following command tabulates all class groups with $|\Delta| \equiv 3 \pmod{8}$ and $|\Delta| < 2^{40} = 1099511627776$. The result gets saved into $2^{12} = 4096$ files /home/cl3mod8/cl3mod8.0, ..., /home/cl3mod8/cl3mod8.409 The files /home/h3mod8/h3mod8.0, ..., /home/h3mod8/h3mod8.4095 contain multiples of 3 of $h(\Delta)$ for $|\Delta| \equiv 4 \pmod{16}$. There are 256 processors utilized by OpenMPI for parallelization.

mpirun -np 256 ./clgrp 1099511627776 4096 3 8 h3mod8/h3mod8. /home

Example 4. The following command conditionally tabulates all class groups with $|\Delta| \equiv 7 \pmod 8$ and $|\Delta| < 2^{40} = 1099511627776$. The result gets saved into $2^{12} = 4096$ files /home/c17mod8/c17mod8.0, ..., /home/c17mod8/c17mod8.4095. The null parameter indicates that the class numbers for this congruence class are unknown. There are 256 processors utilized by OpenMPI for parallelization.

mpirun -np 256 ./clgrp 1099511627776 4096 7 8 null /home

Example 5. The following command verifies the class group tabulation data up to $|\Delta| < 2^{40} = 1099511627776$. The data for each congruence class is distributed over $2^{12} = 4096$ files.

./verify 1099511627776 4096 /home

17 Utilizing the library

In order to utilize the library, place the files clgrp.h, functions.h, sieve.h and verify.h into your include path, and copy the library libclgrp.a into your library path. By writing

```
#include <clgrp.h>
```

among other inclusions in your file you will gain access to all the subroutines defined in clgrp.h. Same applies to other files mentioned above.

When compiling, link the library to your program by writing -lclgrp. Don't forget to link all the other libraries that CLGRP depends on (see Subsection 3 for the complete list).

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