POLYMULT 1.3 Fast Polynomial Multiplication

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1 Introduction

The POLYMULT library provides subroutines for the out-of-core Fast-Fourier-Transform (FFT) based polynomial multiplication using <code>OpenMP</code> for parallelization. The "out-of-core" here means that the resulting files and the intermediate computations get stored into the hard disk. The library is designed for multicore and multiprocessor environments, but can be used on regular computers as well.

The library is designed specifically for very large polynomials with non-negative integer coefficients, possibly exceeding 2^{37} in their degree. Further in this manual, we use the words "series" and "polynomial" interchangeably, as all the polynomials that are available in POLYMULT get initialized from specific infinite series.

The library also allows to invert and divide large polynomials to a certain degree. The inversion algorithm works correctly only with polynomials whose degree is of the form $2^n - 1$, $n \in \mathbb{N}$, and constant coefficient is 1. It is based on the Newton's algorithm, which computes the inverse of a non-zero polynomial f(x) with deg $f = 2^n - 1$ iteratively to degrees $3, 7, \ldots, 2^{n-1} - 1, 2^n - 1$ [GG03, Algorithm 9.3]. Since the coefficients of an inverse polynomial might grow very fast, the inversion of each polynomial is performed modulo some pre-determined prime.

The division of a polynomial f(x) by a non-zero polynomial g(x) is performed by computing $g^{-1}(x)$ first, and then multiplying f(x) by $g^{-1}(x)$.

The out-of-core FFT-based polynomial multiplication technique is due to Hart, Tornaría and Watkins [HTW10]. The detailed description of this technique can also be found in [Mos14, Section 4]. In simple terms, the problem of multiplication of two large polynomials gets reduced to several multiplications of smaller polynomials over finite fields. The multiplication of smaller polynomials (in our experiments, of degree less than 2^{25}) is performed using the subroutines implemented in the FLINT library.

POLYMULT is maintained by Anton S. Mosunov, University of Waterloo, and is an appendix to the Master's thesis [Mos14], written under the supervision of Michael J. Jacobson, Jr. It is highly recommended to read the thesis (Section 4 in particular) before utilizing the library, as certain notions, such as the bundling parameter or the bit size parameter, are not defined in this manual.

2 Changes since the previous version

Since the version 1.2, the following changes had been made:

- The configure file is now a part of POLYMULT. In contrast, the previous version contained only the Makefile and required the user to edit it manually;
- All the files are now supplied with headers, providing information on the GNU General Public License.

3 Dependencies in POLYMULT

POLYMULT depends on several libraries and specifications that need to be present on your system prior to the installation. These libraries are:

- 1. FLINT, flintlib.org. Fast library for number theory;
- 2. GMP, gmplib.org. The GNU multiple precision arithmetic library;
- 3. OpenMP, openmp.org. The OpenMP API specification for parallel programming. Since version 4.2, every GCC compiler contains the implementation of the OpenMP specification.

Before installing POLYMULT, make sure that each of those libraries is installed.

4 Building and using POLYMULT

The easiest way to use POLYMULT is to build each module separately using make. The make command creates an executable, while the make lib command creates a static library.

5 Reporting issues

The maintainers wish to be made aware of any bugs in the library or typos in this manual. Please send an email with your bug report to amosunov@uwaterloo.ca.

If possible please include details of your system, version of gcc, version of GMP and precise details of how to replicate the bug.

Note that POLYMULT needs to be linked against version 4.2.1 or later of GMP and must be compiled with gcc version 4.2 or later.

6 Files

The POLYMULT library consists of two parts:

- 1. The subroutines implemented in init.c allow to initialize specific polynomials. See Subsection 7 for the series currently available, and Subsection 10 for the generic description of the contents of this file;
- 2. The mult.c file contains the implementation of the out-of-core FFT-based multiplication technique of Hart, Tornaría and Watkins, with OpenMP used for parallelization. It also contains the invert routine for the out-of-core polynomial inversion, based on the Newton's algorithm. See Subsection 11 for more details;
- 3. The main.c contains the implementation of the command line program polymult, through which the multiplication is performed. See Subsection 13 for the instructions on how to run the program.

7 Macros and supported series

The POLYMULT library contains several macro definitions. The most important macros are the names of various series which POLYMULT can initialize. They are defined in init.h along with the declaration of the initialization routines. As of version 1.1, the supported series and their macros are:

0. THETA3 — corresponds to the 3rd Jacobi theta series:

$$\theta_3(q) = 1 + 2\sum_{n=1}^{\infty} q^{n^2} = 1 + 2q + 2q^4 + 2q^9 + \dots$$

This series is used for the tabulation of all class numbers $h(\Delta)$ of imaginary quadratic fields with discriminant $\Delta \not\equiv 1 \pmod 8$; it is also used for the tabulation of class numbers with $\Delta \equiv 8,12 \pmod {16}$.

1. THETA3_SQUARED — corresponds to $[\theta_3(q)]^2$, which captures the number of representations of each number as a sum of 2 perfect squares:

$$[\theta_3(q)]^2 = \sum_{x,y \in \mathbb{Z}} q^{x^2 + y^2} = 1 + 4q + 4q^2 + 4q^4 + 8q^5 + 8q^8 + 4q^9 + 8q^{10} + \dots$$

2. NABLA — corresponds to $\nabla(q)$, the series indicating every triangular number:

$$\nabla(q) = \sum_{n=0}^{\infty} q^{\frac{n(n+1)}{2}} = 1 + q + q^3 + q^6 + q^{10} + \dots$$

This series is used for the tabulation of all class numbers $h(\Delta)$ of imaginary quadratic fields with discriminant $\Delta \equiv 5 \pmod{8}$.

3. NABLA_SQUARED — corresponds to $[\nabla(q)]^2$, which captures the number of representations of each number as a sum of 2 triangular numbers:

$$[\nabla(q)]^2 = 1 + 2q + q^2 + 2q^3 + 2q^4 + 3q^6 + 2q^7 + 2q^9 + 2q^{10} + \dots$$

4. DOUBLE_NABLA — corresponds to $\nabla(q^2)$:

$$\nabla(q^2) = 1 + q^2 + q^6 + \dots$$

This series is used for the tabulation of all class numbers $h(\Delta)$ of imaginary quadratic fields with discriminant $\Delta \equiv 8, 12 \pmod{16}$.

5. DOUBLE_NABLA_SQUARED — corresponds to $\left[\nabla(q^2)\right]^2$:

$$\left[\nabla(q^2)\right]^2 = 1 + 2q^2 + q^4 + 2q^6 + 2q^8 + \dots$$

6. THETA234 — corresponds to the product $\theta_2(q) \cdot \theta_3(q) \cdot \theta_4(q)$, where θ_2 and θ_4 denote the 2nd and the 4th Jacobi theta series, respectively:

$$\theta_2(q)\theta_3(q)\theta_4(q) = \sum_{n=0}^{\infty} (-1)^n (2n+1)q^{\frac{n(n+1)}{2}} = 1 - 3q + 5q^3 - 7q^6 + 9q^{10} - \dots$$

This series is used for the tabulation of all class numbers $h(\Delta)$ of imaginary quadratic fields with discriminant $\Delta \equiv 1 \pmod{8}$. In order to perform the tabulation, this series has to be inverted modulo some fixed prime p first. See the Humbert's formula in [Mos14, Section 7.1];

7. ALPHA — corresponds to the alpha series $\alpha(q)$, defined as follows:

$$\alpha(q) = \sum_{n=1}^{\infty} (-1)^{n+1} n^2 \frac{q^{\frac{n(n+1)}{2}} - 1}{1 + q^n}.$$

This series is used for the tabulation of all class numbers $h(\Delta)$ of imaginary quadratic fields with discriminant $\Delta \equiv 1 \pmod{8}$. It is computed modulo some fixed prime p.

Another important macro is MINPOW, which is a positive integer. It is defined in mult.c, and represents the smallest power up to which the FLINT multiplication/inversion routines are used instead of the out-of-core FFT-based approach. For example, the default value of MINPOW is 25, which means that each product of polynomials not exceeding 2^{25} in their degrees will get computed using FLINT. In Newton's iterative algorithm for the polynomial inversion, the inversion up to $2^{\text{MINPOW}} - 1$ is performed using FLINT, while inversions up to $2^{\text{MINPOW}+1} - 1, 2^{\text{MINPOW}+2} - 1, \dots$ get computed out-of-core. The value of MINPOW solely depends on the amount of RAM available on your computer. The larger MINPOW, the better. To estimate the largest value for your machine, try running nmod_poly_inv or nmod_poly_mul routines of FLINT on polynomials of degrees $2^{20}, 2^{21}, \dots, 2^k$, where k is the power when one of those two routines crush. Set MINPOW = k-1.

During the compilation, the following two macros may be defined through the -D command:

- KEEP_FILES. In order to save some space on the hard disk, the out-of-core polynomial multiplication routines delete intermediate files automatically. In order to keep any intermediate computations saved to hard disk, please define the KEEP_FILES macro. This may be useful for debugging purposes, or when the crushes on a server occur and you don't want your computations to get corrupted or lost. In this case, make sure that the prefixes of various collections of files differ from each other so that they won't get overwritten;
- DEBUG. Many subroutines of POLYMULT have several sub steps. Such sub steps are: initializing from or saving to files, bundling polynomials or reducing polynomials modulo a prime, restoring the coefficients using the Chinese Remainder Theorem, etc. By default, the timing and the status of those sub steps do not get printed to the standard output. If you would like to see this information, for example in order to observe at which point of the execution your program crushes, please specify the DEBUG macro.

8 Setup

In order to prepare the POLYMULT library for compilation, please edit the Makefile. In particular, specify your compiler which supports OpenMP in CC, and your compilation macros (see Subsection 7) in SYMBS, with each macro preceded by -D. In INCS, it is especially important to specify the path to omp.h file (on Macintosh its location is not obvious). If needed, change the standard paths to header files and library files in INCS and LIBS, respectively. It is not recommended to edit the parameters specified in CFLAGS.

The Makefile defines the following three commands:

- The make command builds an executable polymult which allows to multiply two polynomials. See Subsection 13 on how to use it;
- The make lib command builds a static library libpolymult.a, which incorporates two object files init.o and mult.o, produced from init.c and mult.c, respectively. See Subsection 15 on how to link this library to your program;

• The make clean command removes all the files which have the extension .o. Use this command right after running make lib to remove all the object files.

9 File types

The out-of-core routines produce many binary files on your hard disk. They may contain coefficients of a single polynomial either over the ring of integers, or reduced modulo several primes. The files are saved in the form prefix0, prefix1, ..., prefixM, where prefix is the name of a particular collection of files and M is the last index. Note that in order for the program to work correctly, the total number of files M+1 must evenly divide the total number of coefficients stored in those files.

Let $n \in \mathbb{N}$, and consider a polynomial $f(x) = a_0 + a_1x + a_2x^2 + \ldots + a_{n-1}x^{n-1}$ with $a_0, a_1, \ldots, a_{n-1} \in \mathbb{N} \cup \{0\}$. Let p_1, p_2, \ldots, p_k be k distinct primes, and let m be a total number of files which evenly divides n. There are three types of collections of files that get produced:

• Type 1. These collections of files contain coefficients of f(x) that are evenly distributed between each of m files:

After the out-of-core multiplication and the restoration of coefficients, these collections of files contain the final result of the multiplication. It is also possible to convert the **Type 1** files into **Type 2** files in order to prepare the resulting polynomial for yet another out-of-core multiplication.

• **Type 2**. These collections of files contain coefficients of $F(x) = A_0 + A_1 x + ... + A_{n/B-1} x^{n/B-1}$, the bundled polynomial produced from f(x) with some bundling parameter B which evenly divides n/m, and reduced modulo primes $p_1, p_2, ..., p_k$:

```
A_0 \pmod{p_1},
prefix0:
                                                 A_1 \pmod{p_1},
                                                                                  \dots A_{n/(mB)-1} \pmod{p_1},
                  A_0 \pmod{p_2},
                                                 A_1 \pmod{p_2},
                                                                                       A_{n/(mB)-1} \pmod{p_2},
                  A_0 \pmod{p_k},
                                                 A_1 \pmod{p_k},
                                                                                 \dots A_{n/(mB)-1} \pmod{p_k}
                                                A_{n/(mB)+1} \pmod{p_1},
prefix1:
                  A_{n/(mB)} \pmod{p_1},
                                                                                 ...
                                                                                       A_{2n/(mB)-1} \pmod{p_1},
                  A_{n/(mB)} \pmod{p_2},
                                                A_{n/(mB)+1} \pmod{p_2},
                                                                                        A_{2n/(mB)-1} \pmod{p_2},
                  A_{n/(mB)} \pmod{p_k},
                                                A_{n/(mB)+1} \pmod{p_k},
                                                                                       A_{2n/(mB)-1} \pmod{p_k}
prefix m-1: A_{(m-1)n/(mB)} \pmod{p_1}, A_{(m-1)n/(mB)+1} \pmod{p_1},
                                                                                 \dots A_{n/B-1} \pmod{p_1},
                  A_{(m-1)n/(mB)} \pmod{p_1}, \quad A_{(m-1)n/(mB)+1} \pmod{p_2},
                                                                                 \dots A_{n/B-1} \pmod{p_2},
                  A_{(m-1)n/(mB)} \pmod{p_k}, \quad A_{(m-1)n/(mB)+1} \pmod{p_k}, \quad \dots \quad A_{n/B-1} \pmod{p_k}
```

Before an out-of-core multiplication is performed, both polynomials get converted into collections of this type.

• **Type 3**. These collections of files contain coefficients of a polynomial that is a result of the outof-core multiplication of two bundled polynomials modulo p_1, p_2, \ldots, p_k . Though their structure is essentially the same as collections of **Type 2**, they do *not* contain coefficients of a bundled polynomial. Nevertheless, it is possible to restore the coefficients of a resulting polynomial distributed over files of **Type 1** from files of **Type 3**. This is done via the restoration algorithm described in Subsection 11.

10 Initialization

All initialization subroutines are defined in init.c. There are three kinds of initialization functions:

• The subroutines of the form

initialize a block of coefficients of a series called name, starting from a coefficient min and up to a coefficient min + size. The presence of a mod parameter indicates that the coefficients get initialized modulo a prime mod. This kind of subroutines correspond to those series which admit negative coefficients or the coefficients that do not fit into the int type. The names of the series currently supported by POLYMULT can be found in Subsection 7, and must be written in lower case, in contrast to an upper case in which their macros are defined.

• The subroutines of the form

```
void nmod_poly_name(nmod_poly_t poly, const ulong size)
```

initialize first size coefficients of a polynomial called name of type nmod_poly_t (defined in FLINT). They initialize a polynomial as a whole, rather than just a block of its coefficients. These subroutines utilized solely for the polynomial inversion algorithm.

• The subroutine

copies the coefficients of a polynomial poly with size coefficients into a **Type 1** collection of files binary files with a prefix resultname. Note that size must be evenly divisible by files in order for this subroutine to work correctly.

11 Out-of-core operations on polynomials

The following subroutines are defined in mult.c:

Initializes a set of total_primes distinct primes, which immediately follow the lowerbound. This subroutine utilizes the n_nextprime function of FLINT, and is used in multiply and divide subroutines described below.

This subroutine "prepares" a polynomial for the out-of-core multiplication by initializing a **Type 2** collection of files binary files with a prefix resultname. The files contain coefficients of a bundled polynomial, produced from the first limit coefficients of a series called type, and reduced modulo total_primes primes specified in primes. If the coefficients of a series admit negative numbers and hence need to be initialized modulo a prime, this prime can be specified by a mod parameter (set mod = 0 otherwise).

Note that the type parameter, instead of being a macro identifying a specific series, may be a prefix of a **Type 1** collection of files binary files (that is, a string of type char *). These files get deleted after the initialization of a polynomial, unless the flags parameter is set to NO_REMOVE macro. This macro allows to preserve the files with a prefix type even if the KEEP_FILES macro is undefined. The NO_REMOVE macro is utilized solely by the invert routine described below, and is not recommended for utilization.

The bundling parameter is bundle, and the bitsize parameter is bitsize (see [Mos14, Section 4.1]).

Performs an out-of-core multiplication of two bundled polynomials reduced modulo total_primes primes, specified in primes. Both polynomials are specified via **Type 2** collection of files binary files, and their prefixes are name1 and name2. The result is saved into **Type 3** collection of files binary files with a prefix resultname. Both (non-bundled) polynomials have a degree limit -1, and the limit parameter has to be evenly divisible by files. The bundling parameter is bundle. The resulting polynomial contains the information only on the first limit coefficients.

Performs an out-of-core squaring of a bundled polynomial reduced modulo total_primes primes, specified in primes. A polynomial with limit coefficients is specified via a **Type 2** collection of files binary files, and its prefix is name1. The limit parameter has to be evenly divisible by files. The result is saved into a **Type 3** collection of files binary files with a prefix resultname. The bundling parameter is bundle. Note that the squaring gets performed completely; that is, no truncation occurs, and the resulting files actually contain information on 2limit - 1 coefficients.

Restores limit coefficients from a **Type 3** collection of **files** binary files with a prefix name1, which contain the result of multiplication of two bundled polynomials reduced modulo **total_primes** primes, specified in **primes**. The result is saved into a **Type 1** collection of **files** binary files with a prefix **resultname**. The **limit** parameter has to be evenly divisible by **files**. The bundling parameter is bundle and the bitsize parameter is **bitsize**. If the restored coefficients need to be reduced modulo a prime, this prime can be specified in **mod** (set **mod** = 0 otherwise). The **flags** parameter admits two flags, namely WITH_INVERSE and WITH_SQUARING. Both of those flags are used by the **invert** routine to speedup the inversion, and not recommended for utilization.

Inverts the polynomial of type type to degree 2^{maxpow} via Newton's algorithm using out-of-core subroutines described above. The result is saved into **Type 1** collection of files binary files with a prefix resultname in a folder folder. Note that files must evenly divide 2^{maxpow} . If the inversion need to be performed modulo a prime, this prime can be specified in mod (set mod = 0 otherwise). The bundling parameter is bundle. The primes used for the out-of-core multiplication are specified in primes. Make sure that enough primes get provided, as the total number of primes used for the computation gets recomputed on every iteration.

Performs the out-of-core multiplication of two polynomials of types type1 and type2. The result is saved into **Type 1** collection of files binary files with a prefix resultname in a folder folder. The number of coefficients of both polynomials is limit, and the upper bound on the coefficients of the resulting polynomial is bound. The bundling parameter is bundle.

Performs the inversion of a polynomial of type type2, followed by an out-of-core multiplication by a polynomial of type type1. The result is saved into **Type 1** collection of files binary files with a prefix resultname in a folder folder. The number of coefficients of both polynomials is limit, and the upper bound on the coefficients of the resulting polynomial is bound. The bundling parameter is bundle.

12 Defining your own polynomials

If you would like to multiply polynomials that are not present in POLYMULT, feel free to define them by following the process described below. We let K be the total number of series defined (so the last series has index K-1).

1. Let name be the name of your series. In the first part of init.h, define the macro NAME as follows: #define NAME K

```
2. In the first part of init.h, change the definition of the macro IS_FILE as follows:
```

```
#define IS_FILE(X) (((ulong) X) > NAME)
```

3. In the first part of init.h, right after the declaration of your macro, define the subroutine init_block_name either in the form

```
void init_block_name(int * block, const ulong size, const ulong min)
or in the form
```

The latter case is utilized when your series admits negative values or coefficients that exceed the int type in bit size. In order for the program to work correctly, such a series needs to be considered modulo some fixed prime mod.

4. (Optional) If the first coefficient of your polynomial is one and you intend to invert it, in the second part of init.h define the subroutine

```
nmod_poly_name(nmod_poly_t poly, const ulong size)
```

- 5. Implement the subroutines init_block_name (and possibly nmod_poly_name) in init.c;
- 6. In the file mult.c, include your polynomial macro in the list occurring in init_files in one of the following two ways, depending on whether the mod parameter is required by the init_block_name routine:

7. (Optional) If the polynomial admits the inversion, include your polynomial macro in the list occurring in invert:

```
else if (type == NAME)
{
          nmod_poly_name(poly, limit);
}
```

8. In main.c, add the description of your polynomial in the list of printf statements:

```
printf(K: name\n);
```

9. Update the information in the documentation.

Alternatively, please send a request to amosunov@uwaterloo.ca to implement the series you have in mind, and it will appear in the next release. Make sure to include the description of your series (the most preferred description is the actual implementation), and before that verify that its block of coefficients can be initialized in a reasonable time.

13 Utilizing the executable

The polymult executable admits 9 parameters. If the total number of parameters differs from 9, the following helping prompt comes out:

```
Format: ./polymult [multiply/divide] [poly1] [poly2] [limit] [files] [bundle] [bound] [resultname] [folder]
```

The parameters provided are:

- [multiply/divide]: write either multiply or divide as your first parameter to specify which action would you like to perform;
- [poly1]: type of the first polynomial (an integer from 0 to 7, see the prompt above);
- [poly2]: type of the second polynomial (an integer from 0 to 7, see the prompt above);
- [limit]: degree to which polynomials get multiplied or divided;
- [files]: number of files in a single collection to which the coefficients of a resulting polynomial, as well as intermediate computations, will be saved;
- [bundle]: bundling parameter;
- [bound]: upper bound on the coefficients of a resulting polynomial;
- [resultname]: prefix of files where the result get saved;
- [folder]: folder.

See Subsection 14 for some examples on how to run the program.

14 Examples

Example 1. The following command tabulates all Hurwitz class numbers $H(\Delta)$ of imaginary quadratic fields to $|\Delta| < 2^{40}$, where $|\Delta| \equiv 8 \pmod{16}$. In particular, it multiplies $\theta_3(q)$ by $\nabla^2(q^2)$ to degree $2^{36} = 68719476736$ out-of-core, with the bundling parameter $2^{11} = 2048$ and the upper bound on the coefficients of $\theta_3(q)\nabla^2(q^2)$ given by 2316050. The coefficients of $\theta_3(q)\nabla^2(q^2)$ get saved into $2^{12} = 4096$ files: /home/h8mod16.0, /home/h8mod16.1, ..., /home/h8mod16.4095.

./polymult multiply 0 5 68719476736 4096 2048 2316050 h8mod16. /home

Example 2. The following command tabulates all Hurwitz class numbers $H(\Delta)$ (multiplied by 2) of imaginary quadratic fields to $|\Delta| < 2^{40}$, where $|\Delta| \equiv 4 \pmod{16}$. In particular, it multiplies $\theta_3^2(q)$ by $\nabla(q^2)$ to degree $2^{36} = 68719476736$ out-of-core, with the bundling parameter $2^{11} = 2048$ and the upper bound on the coefficients of $\theta_3^2(q)\nabla(q^2)$ given by 10189617. The coefficients of $\theta_3^2(q)\nabla(q^2)$ get saved into $2^{12} = 4096$ files: /home/h4mod16.0, /home/h4mod16.1, ..., /home/h4mod16.4095.

./polymult multiply 1 4 68719476736 4096 2048 10189617 h4mod16. /home

Example 3. The following command tabulates all Hurwitz class numbers $H(\Delta)$ (multiplied by 3) of imaginary quadratic fields to $|\Delta| < 2^{40}$, where $|\Delta| \equiv 3 \pmod{8}$. In particular, it multiplies $\nabla(q)$ by $\nabla^2(q)$ to degree $2^{37} = 137438953472$ out-of-core, with the bundling parameter $2^{12} = 4096$ and the upper bound on the coefficients of $\nabla^3(q)$ given by 29180730. The coefficients of $\nabla^3(q)$ get saved into $2^{12} = 4096$ files: /home/h3mod8.0, /home/h3mod8.1, ..., /home/h3mod8.4095.

./polymult multiply 2 3 137438953472 4096 4096 29180730 h3mod8. /home

15 Utilizing the library

In order to utilize the library, place the files init.h and mult.h into your include path, and copy the library libpolymult.a into your library path. By writing

#include <mult.h>

among other inclusions in your file you will gain access to all the subroutines defined in mult.h. Same applies to init.h.

When compiling, link the library to your program by writing -lpolymult. Don't forget to link all the other libraries that POLYMULT depends on (see Subsection 3 for the complete list).

References

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