



# **Behaviour-based detection of Visual Interaction Obstacles with 1D and 2D Convolutional Neural Networks**

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I hereby declare that I am writing this work independently and have not used any sources or resources other than those specified.

Bremen, the 3. Mustermonat 1963



## **Zusammenfassung**

... deutsch ...

Der deutsche Abstract wird in jedem Fall benötigt.



## **Abstract**

... english ...

Der englische Abstract wird nur benötigt, wenn die Arbeit in englischer Sprache verfasst wird.





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# 1. Introduction

- Einleitung: Was es für verschiedene Obstacles gibt, was schon gemacht wurde, was ich mache, wieso keine eeg daten obwohl sie da sind (sind interaktion obstacle zu erkennen und nutzung zu verbessern ist nicht da wenn man eeg maske tragen muss)

- memory game kurz erklären

- libraries und so die ich benutz habe? pandas keras..

- **simulation:**

- simulatin damit man mehr daten hat

- generell simulator erklären und sinn von similarity matrix

- similaity matrix erstellung und gedanken (plus anpassungen an memory game, anpassungen am simulator damit similarity matrix benutzt werden kann)

- es gab invalid logs und hab code geschrieben der das überprüft damit man die rausnehmen kann. vor simulation (validLogsCollecot)

- similarity matrix mapper, waren vorher nicht gmapped und mapper macht das und ersetzt alte matrix durch die neue für glare effect

- erklären wie ich korrektheit der matrix überprüft habe

- erklären wie ich korrektheit der farbextraktion und unterschied berechnung überprüft habe (online rechner und anfangs matrix für no obst erstellt und mit alten verglichen aber gibg nicht weil struktur nicht zu erkennen war bei alter matrnx von vorherigen arbeiten)

- initially simulationergebnisse mit plots für qualität (plus erklärung)

- sagen was noch nicht optimal und, was am simulator dafür geändert wurde (2 sachen: random decay ab 10 zügen plus eine andere sache) mit begründung und wie die ergebnisse am ende aussahen (zwischenschritte eher niht glaube ich. nur kurz erwähnen)

- darüber reden wie es im realfall ist: wir benutzen nicht alle simualtionen sondern nur die besten, plots zeigen mi nur den besten (vor und nach änderung vielleicht, oder nur nach änderung (aber dann sieht man nicht das änderung sinnvoll war))

- paried t-test kram um signifikante unterschiede /keine unterschiede zu zeigen für verschiedene bedingenen (siehe mazens nachricht)

- **modelle:**

- feature engenierring: also was die komponenten sind und so, wie sie berechnet wurden

- für 1d cnn wuren die so übernommen

- erklärung komponenten von 1d cnn

- (villeicht auch mal nicht mit allen feaitres probieren, aber da hatte ich probleme mit dem cnn. man müsste glaube ich die struktur ändern)

- struktur 1 d cnn mit begründung

- 2d cnn komponenten erklärunen (snythetic image erklären und zeigen wie berechnet wurde und wie es aussieht)

- idee von 2d cnn für diesen fall

- 2d cnn struktur erklären und begründen



- **Training und analyse:**

- vielleicht gucken welche falsch erkannt werden und woran es liegt, also wenn die zum beispiel echt schlecht oder gut sind obwohl es nicht so sein sollte (rausnehmen und gucken wie ergebnisse sind, vielleicht nur bei bestem modell)
- in gleichen tabellen ergebnisse vor änderung am simulator und nach änderung betrachten und vergleichen
- training auf welchem rechner/n, was von: cpu oder gpu oder beides, hardware kurz erwähnen, vpn (fernzugriff)
- train test spl, leave one out k fold (+ begründung mit deep learning ..reliable results etc, randomness)
- kurz erklären wieso weniger züge besser sind bei dieser hci erkenntung
- (vielleicht kram zu adaptive learning rate ändern und gucken wie es so ist, ansonsten begründen wieso ich das nicht brauche)
- 20 steps und 40 steps für beide trainieren mit sd0x bis sd10x plus sd20x
- (entweder so dass 1d cnn mit 20 steps auch convergence erreicht oder danach nochmal anpassung von 20 steps 1d cnn damit es konvergiert)
- darüber schreiben dass letzten n züge nicht mehr so gut simuliert sind (zeigen) und deshalb 40 steps nicht so viel besser ist
- mit maximalen guten steps (glaube 16 züge oder so) trainieren und vergleichen
- statistical tests um signifikante unterschiede /keine unterschiede zu zeigen für verschiedene modelle etc (siehe mazens nachricht)

Lorem ipsum dolor sit amet, consectetur adipiscing elit. Ut purus elit, vestibulum ut, placerat ac, adipiscing vitae, felis. Curabitur dictum gravida mauris. Nam arcu libero, nonummy eget, consectetur id, vulputate a, magna. Donec vehicula augue eu neque. Pellentesque habitant morbi tristique senectus et netus et malesuada fames ac turpis egestas. Mauris ut leo. Cras viverra metus rhoncus sem. Nulla et lectus vestibulum urna fringilla ultrices. Phasellus eu tellus sit amet tortor gravida placerat. Integer sapien est, iaculis in, pretium quis, viverra ac, nunc. Praesent eget sem vel leo ultrices bibendum. Aenean faucibus. Morbi dolor nulla, malesuada eu, pulvinar at, mollis ac, nulla. Curabitur auctor semper nulla. Donec varius orci eget risus. Duis nibh mi, congue eu, accumsan eleifend, sagittis quis, diam. Duis eget orci sit amet orci dignissim rutrum.

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---

dies	ist	eine	Tabelle
mit		zwei	Zeilen

---

Table 1.1: Tabelle mit einer langen Unterschrift

Table 1.2: 2D CNN. 20 turns. config2

Simulated Games	Best Accuracy (Epoch)	Best Loss (Epoch)
0	0.7888 (14)	0.4822 (51)
1		
2		
3		
4		
5	0.8450 (17)	0.4768 (20)
6		
7		
8		
9		
10	0.8475 (20)	0.4796 (10)
20	0.8437 (6)	0.4763 (6)

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## 1.1 Anmerkungen

Zitationen [Rab89] sind keine Wörter sondern Referenzen und stellen somit keinen Teil des Satzes dar. In anderen Worten: Der Satz muss auch noch funktionieren, wenn die Zitation einfach entfernt wird.

Index-Einträge

Wir haben Tabellen 1.1 und Bilder 1.1.

- 1d cnn können sehr besser mit kurzen sequenzen umgehen als 2d. aber 2d sind bei mehr schritten deutlich besser. (erste einschätzung)

Table 1.3: 2D CNN. 20 turns. config1

Simulated Games	Best Accuracy (Epoch)	Best Loss (Epoch)
0	0.7963 (18)	0.4931 (98)
1		
2		
3		
4		
5	0.8437 (53)	0.4821 (31)
6		
7		
8		
9		
10		
20		

Figure 1.1: Bildunterschrift



## 2. Memory Game



## 3. Simulator

Neural networks greatly benefit from lots of data. As there are only 40 **TODO: richtige zahl herausfinden** overall games from participantzs available, more data can potentially improve the classification results. The cognitive systems lab already implemented a system that takes the original game logs and simulates user behaviour in order to create new logs. As a result it is possible to create any number of games out of a single original one, from which some may be better than others. However, in order to simulate glare effect games multiple additional steps and changes are neccesary. **TODO: vielleicht so ein worklow diagramm erstellen..erstellung verebsserung etc**

- vielleicht statt die beiden unter abschxnitte infach nur beides in einem

### 3.0.1 Concept

- generelle funktionsweise des simualtors

### 3.0.2 Similarity Matrix

- auch erklären was similarity matrix ist, struktur und wie die benutzt wird
- and colour representation cie etc delta e color differnece, vs rgb was das bringt
- no special similarity matrxi for noosbst needed. The differneces can simply be calculated. it is already used in the simulator. However a new similarity matrix needs to be created for simulating glare effect games. - für no obst wird identity similariity matrix verwendet: diagonale ist





## 4. Collected Data



## 5. Data Preparation

generell diagramm with steps

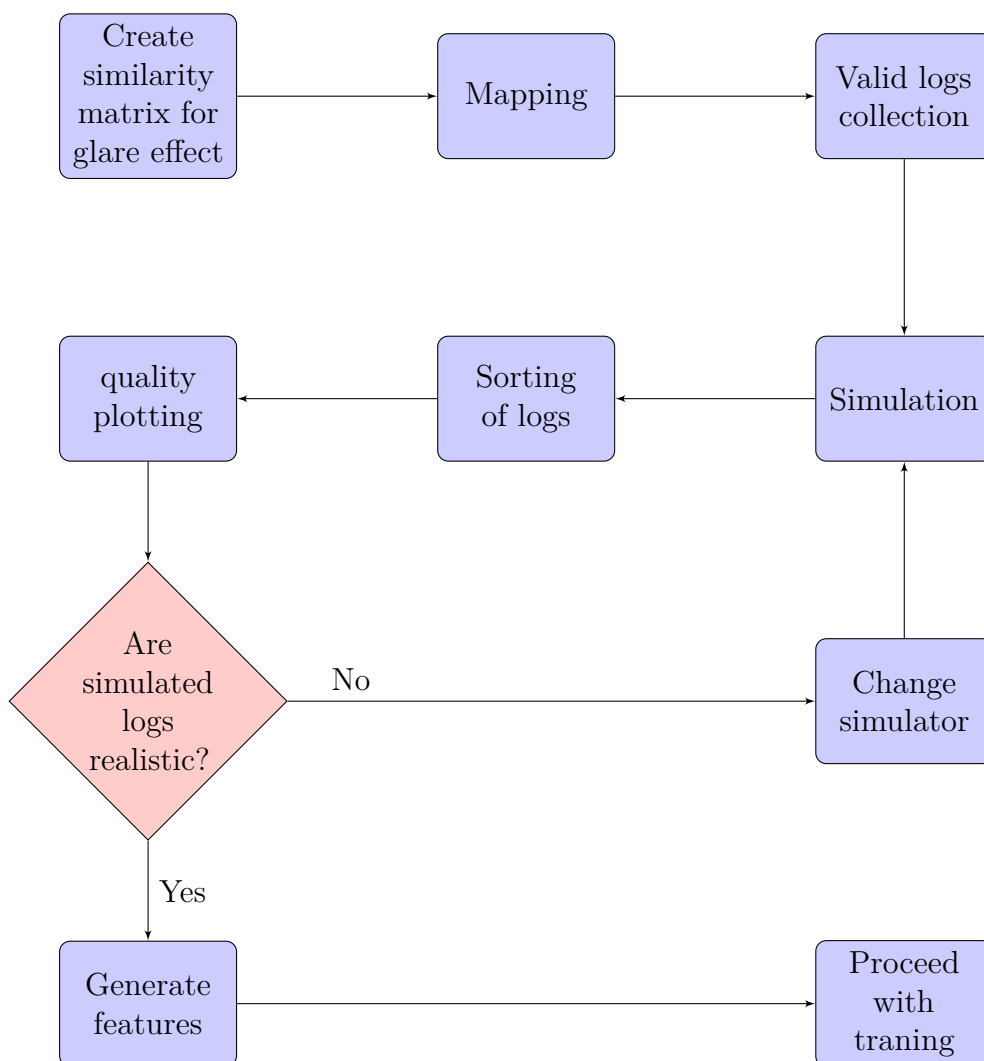


Figure 5.1: hi

It would have been possible if the simulations for glare effect are fundamentally wrong to go back to the beginning and change the approach of creating the similarity matrix or find a new approach. However, the simulations of glare effect were very good, which can be seen in **TODO: ref**, which is why the approach was kept and not changed. Further training was not done with all iterations. Only with first and last one for comparison.

## 5.1 Similarity matrix creation

### 5.1.1 Conceptualization

The aim is to create a similarity matrix that describes the differences between colours under the influence of the simulated sun light. The difficulty hereby is that the intensity of the light is not spread evenly across the whole field. Instead, as it would be if a real sun would shine onto the display, a certain area has the highest intensity and the intensity is lower the further away from that area. Additionally there are wide lines of higher intensity coming from the brightest area, that simulate sunbeams. This influence of the simulated sunlight can be seen in figure 5.2.



Figure 5.2: Screenshot of the game field with simulated sunlight. Sunbeams are especially visible on the right hand side. All cards are turned face up.

As a result simply extracting the rgb values for one pixel of each card in one game and calculating the differences has two major problems: Firstly, the differences are highly influenced by the position of the specific cards. For instance might light green and yellow only be separable for the human eyes if not directly in the area of the brightest light. Secondly, extracting single pixels for each card in an image could lead to color values that do not represent the overall observed colour due to varying

brightnesses on different points of a card. This behaviour is undesired, as the final similarity matrix is supposed to represent the differences of the observed colours of the cards. **TODO: vielleicht quelle suchen die sagt das menschen farbenmion bereichen mitteln oder so** In order to solve these problems a more complex approach than simply extracting single pixels out of a game was needed.

The problem of the positional influence can be solved by using more than one game for calculating the color differences and taking the mean differences. **TODO: besser beschrieben wie gesamtes idee, delta e color difference** The idea is that by creating using so many games that all or most combinations of positions and colours are included and calculating the mean of all comparisons for , the result will not be influenced by the position of the cards. However, first needs to be determined which number of games satisfies this condition. Each game consists of 14 cards, with each two cards having the same colours. When determining the difference between two colours there are two different cases:

- 1. The colours are not the same: In each game there are exactly 4 combinations of positions for those two colours, because there are two cards for each colour (comparing for instance green1 and yellow1, green1 and yellow2, green2 and yellow1 and green2 and yellow2).
- 2. The colours are the same: In each game there is only one combination of positions for those colours (comparing green1 and green2).

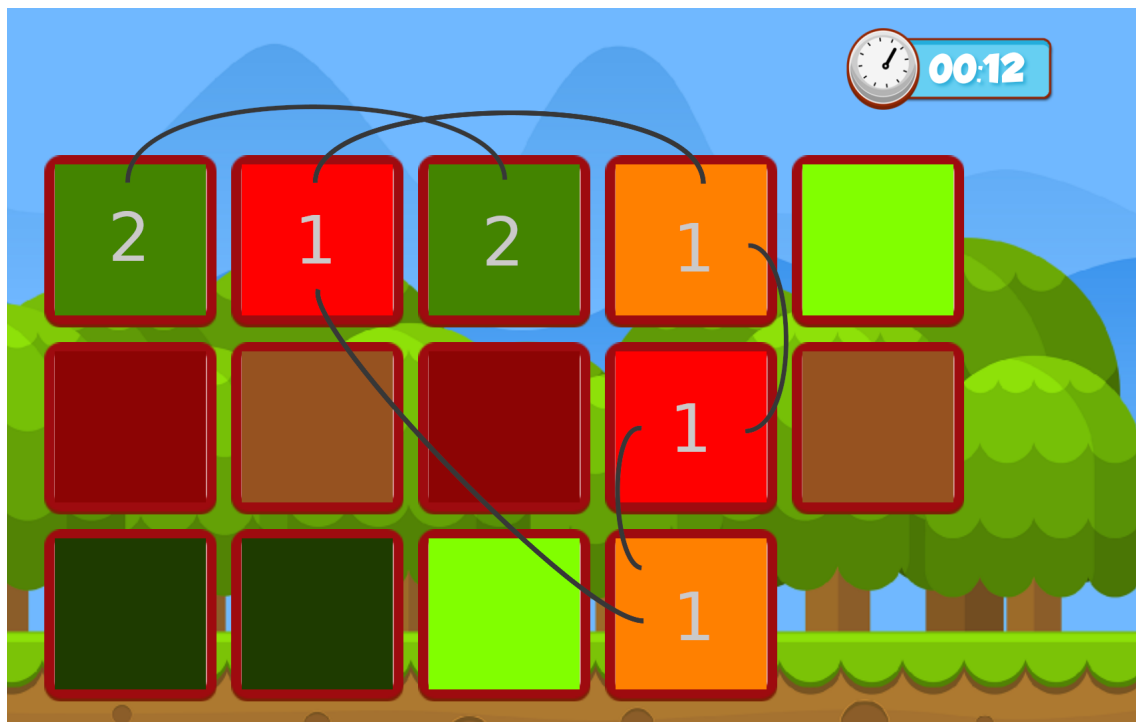


Figure 5.3: Exemplary showing the number of different comparisons for case 1 and 2. The numbers on the cards represent the case and the edges indicate unique comparisons. All cards are turned face up. A game without any obstacles is used only for the purpose of clarifying the different comparisons. All screenshots used for the actual calculation are taken from glare effect games.

First it is calculated how many screenshots are necessary for the first case. For two different coloured arbitrary but fixed cards there can be

$$C_1 = 14 \cdot 13 = 182$$

combinations of positions are possible. The reason that it is not  $14 \cdot 14$  is that 14 comparissons of cards with themself would be included. We formulate the condition that enough screenshots need to be taken so that a arbitrary but fixed combination of positions for two different colours is included with a probability of 95%.

As there are 4 such combinations of positions in each game the probanility  $P(A)$  to get a specific combination in a game is

$$P(A) = \frac{4}{182}$$

The counter probability  $P(\neg A)$  is

$$P(\neg A) = 1 - \frac{4}{182} = \frac{178}{182}$$

The number of necceary screenshots to include a specific combination with 95% probaility is calculated by solving

$$\begin{aligned} 1 - P(\neg A)^n &\geq 0.95 \\ 1 - \left(\frac{178}{182}\right)^n &\geq 0.95 \\ 1 &\geq 0.95 + \left(\frac{178}{182}\right)^n \\ \left(\frac{178}{182}\right)^n &\leq 0.05 \\ n &\geq \log_{\left(\frac{178}{182}\right)}(0.05) \\ n &\geq 134.8024 \end{aligned}$$

This means that about 135 Screenshots of games are needed in the first case. Now we perform the same calculation for the second case where there is only one combination of positions for the colours. However, there are less combinations of positions that are relevant than in the first case. For example for two green cards at index 0 and 1 the 182 comparissons would include a comparison of the first green card and the second green card as well as a comparisson of the second green card and the first green card. This goes for every two cards with the same colour, meaning there are only half as many different comparissons in the second case than in the first case. As a result the number of possible combinations in the second case is

$$C_2 = \frac{C_1}{2} = \frac{14 \cdot 13}{2} = 91.$$

Now we calculate how many screenshots must be taken to include a specific combination of positions for two equal colours with a probability of 95%.

As there is one such combinations of positions in each game the probability  $P(A)$  to get a specific combination in a game is

$$P(A) = \frac{1}{91}$$

The counter probability  $P(\neg A)$  is

$$P(\neg A) = 1 - \frac{1}{91} = \frac{90}{91}.$$

The number of necessary screenshots to include a specific combination with 95% probability is calculated by solving

$$\begin{aligned} 1 - P(\neg A)^n &\geq 0.95 \\ 1 - \left(\frac{90}{91}\right)^n &\geq 0.95 \\ 1 &\geq 0.95 + \left(\frac{90}{91}\right)^n \\ \left(\frac{90}{91}\right)^n &\leq 0.05 \\ n &\geq \log_{\left(\frac{90}{91}\right)}(0.05) \\ n &\geq 271.111 \end{aligned}$$

This means that about 272 screenshots of games are needed in the second case. As there are more screenshots needed for the second case, the first case is also included. This inclusion is caused by the fact that each screenshot includes comparisons for the first as well as the second case. To have a buffer the decision was made to take screenshots of 300 games. In theory these screenshots include any arbitrary but fixed combinations of positions and colours with a probability of over 95%.

To assure that these screenshots actually include most of the combinations and therefore eliminate the positional influence of cards, the coverage of combinations can be additionally calculated. Therefore the number of unique combinations included in the 300 screenshots must be divided by the overall number of possible combinations. The number of unique combinations can be counted during the process of creating the similarity matrix, but the number of possible combinations must separately be determined. The similarity matrix for glare effect has 28 entries, from which 7 are comparisons between same and 21 between different colours. With  $C_1$  and  $C_2$  being the possible combinations for two arbitrary but fixed coloured cards in the two cases mentioned above, the number of overall possible combinations  $C$  is

$$\begin{aligned} C &= 21 \cdot C_1 + 7 \cdot C_2 \\ &= 21 \cdot 182 + 7 \cdot 91 \\ &= 4459. \end{aligned}$$

Furthermore it was verified that certain combinations are not included significantly more often than others and in that sense have stronger influence on the result. This was not the case ...**TODO: code ergänzen und nachprüfen wie oft die combinationen vorkamen..histogramm?**.

Nonetheless, this does not solve the second problem of extracting single pixels that can potentially be directly in the area of a sunbeam. This can be fixed, by extracting all pixels in a certain are of the card and averaging their rgb values.

### 5.1.2 Screenshot extraction

To play the memory game and take screenshots an emulator for the pixel 2 in android studio was used. In order to take the screenshots in the needed way and collect additionally necessary information some changes to the memory game were made. In the way the game is intended there are always only maximum two cards turned face up. However, for the screenshots all cards need to be turned around so that the colour differneces can be calculated. Therefore the memory game was adjusted so that all cards are turned face up once the player turns a card. Additionally it was changed so that cards stay face up once they get turned around for the first time. This makes it possible to take screenshots with the colours of all cards visible. Furthermore, for the creation of the similarity matrix it needs to be known what the original colours without the influnece of the simulated sun are. To collect the screenshots and the according colours of the cards in each game a semi-automatic approach was chosen. Once a game is started and a card is flipped, resulting in all cards being turned face up, the colours of all cards are saved in a list. Then a screenshot is manually taken and afterwards the game is exited to enter the main menu. From there on the process is repeated 300 times. As a result there are 300 hundred screenshots of games and a two dimensional list that includes the colours of the cards in the 300 games. The first dimension specifies the game and the second dimension the index of the card. The values of this list are stored in a text file before the game is completely closed. To assure that no mistakes were made when taking the screenshots, the number of collected screenshots is observed during the whole process and afterwards all screenshots are manually checked so that all cards are turned face up.

### 5.1.3 Implementation

This section will show and explain the implementation of the similarity matrix generation. Not every line of code will be included, but rather the most important parts. First of all the screenshots and the information about the original colours of the cards are loaded. Before the actual calculation of a similarity matrix for each image, the average rgb values of the cards on the field need to be determined.

```

1  def determine_glare_rgb_values(image):
2  '''
3  Calculates the rgb average rbg values for each card in an image.
4  :param image: The screenshot of the memory game with all cards
5  turned face up.
6  :return: The average rbg values in the specified 150 x 150 pixel
7  areas for each card.
8  '''
9  glare_rgb_values = []
10 for corner in card_corners:
11     x_border = corner[0] + 150
12     y_border = corner[1] + 150
13     card_values = []

```



```

14 for x in range(corner[0], x_border, 1):
15 for y in range(corner[1], y_border, 1):
16 coordinates = x, y
17 pixel_values = image.getpixel(coordinates)
18 card_values.append(pixel_values[:-1])
19 card_r = int(round(np.mean([color[0] for color in card_values])))
20 card_g = int(round(np.mean([color[1] for color in card_values])))
21 card_b = int(round(np.mean([color[2] for color in card_values])))
22 glare_rgb_values.append((card_r, card_g, card_b))
23 return glare_rgb_values

```

Listing 5.1: Add caption

The cards are each  $250 \cdot 250$  pixels big and the rgb values are extracted from squared  $150 \cdot 150$  pixel areas. The coordinates the pixels are extracted from are manually selected in gimp. As a result the areas are only correct for the used resolution of 1080p. Once the mean rgb values in those areas are calculated the similarity matrix can be created. Each of the 28 cells in the similarity matrix falls into one of the two cases explained in **TODO: ref**, meaning there are either 4 or only 1 unique combination for the calculation of each cell value. For every combination the lab colour distance is determined and the values for each cell are averaged. This completes the steps for a single image, resulting in a similarity matrix with unscaled values. Once a similarity matrix for every image is created, the average of all matrices is calculated. During the whole calculation of the single matrices it is being kept track of the highest occurring colour difference. The last step necessary to complete the final similarity matrix is to use the highest colour difference to scale all values down to be between 0 and 1.

Through the original colours loaded in the beginning, the coverage of combinations in the 300 games can be calculated.

```

1 def determine_coverage(original_colors):
2     '''
3     For calculating how many of the combinations are includes
4     in the calculation.
5     The calculations are based on having 14 cards on the field.
6     :param original_colors: A list containing tuples with the card name,
7     the mapping number for the card, and the index for each card on the
8     screenshot for all screenshots.
9     :return: The coverage of combinations of positions
10    for all colour combinations.
11    '''
12
13    [...]
14
15    combinations = []
16    for colors in original_colors:
17        for i in range(len(colors)):
18            original_color_1 = colors[i]
19            for l in range(len(colors)):
20                original_color_2 = colors[l]
21                if original_color_1[2] != original_color_2[2] and (original_color_2,
22                    original_color_1) not in combinations and (original_color_1,
23                    original_color_2) not in combinations:
24                    combinations.append((original_color_1, original_color_2))
25    return len(combinations) / number_of_total_combinations

```

Listing 5.2: Add caption

5.1.4 Manual testing

To verify the correctness of the color extraction and the calculation of the similarity matrix, the main functionalities are manually tested. The colour extraction was tested by extracting colours of a screenshot with the skript and compoaring the rgb values to the actual values in the images using gimp. Furthermore the calculation of the delta e score was tested, by comaring results of the script with those of an online calculator.

5.1.5 Final Matrix

In figure 5.4 the final similarity matrix can be seen, dsiplying how colour differneces are observed under the influence of the simulated sunlight, averaged over 300 games. As mentioned before, the lower the delta e score the more similar the cards appear to the human eye.

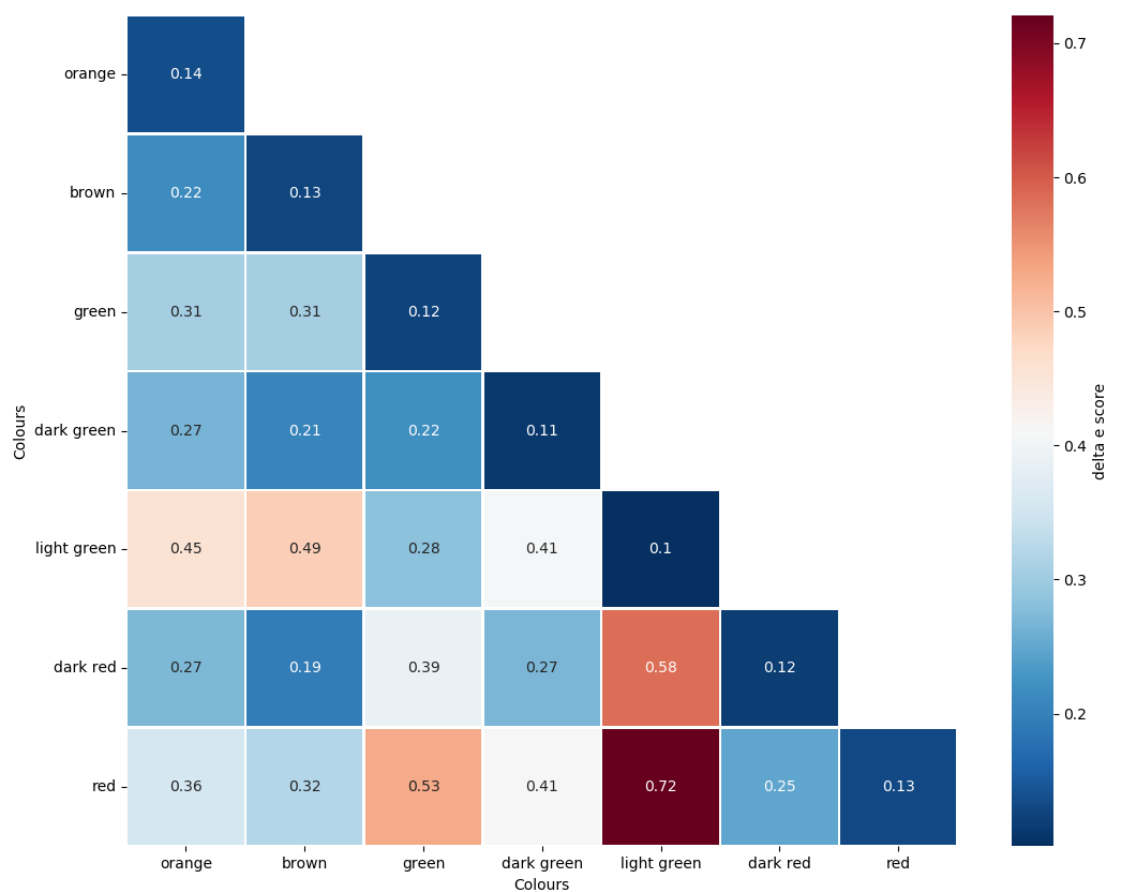


Figure 5.4: Add caption

The 300 games used for creating this matrix include 99.57% of all possible combinations. Before actually using the constructed simialry matrix in the simulator it is unknown if the chosen approach results in high quality simulations. If this is not the case it is possible to change the concept or try other approches.

## 5.2 Adaptation and correction of logs

- structure of logs and mapper that maps logs and replaces old matrix with new one
- methode für mapping bereits vorhanden, aber es mussten einige anpassungen gemacht werden und ergänzungen: neue matrix einfügen statt der alten, benutzte funktionen wurden nicht mehr unterstützt und mussten geändert werden, methode wurde in ein neues skript eingebaut welches das mapping für alle logs macht und das ergebnis in einer neuen datei speichert.
- was ich gemacht habe um zu überprüfen ob mapping noch korrekt ist: vergleichen von altem und neuen mapping

## 5.3 Removal of invalid logs

For the simulation to work, the game log that is used for the simulation must have had all cards turned around at least once. Initially, this was not the case. Therefore a script was written that collects all no obstacle and all glare effect logs, validates them and saves only the valid ones in new files. It need to be noted, that if at least one the two logs from a participant is invalid, both logs are removed. Otherwise the training data could include no obstacle games but no glare effect logs from a participant and vice versa, which should be avoided **TODO: mehr erklären wieso das nicht gut ist und vielleicht quelle finden**. A log is invalid if not all cards were turned at least once.

```

18 def validate_log(log):
18     '''
18     Validates logs.
18     :param log: The log to validate.
18     :return: If the log is valid.
18     '''
18     needed_entries = ['1.1,', '2.1,', '3.1,', '4.1,', '5.1,', '6.1,', '7.1,',
18                      '1.2,', '2.2,', '3.2,', '4.2,', '5.2,', '6.2,', '7.2,']
18     for needed_entry in needed_entries:
18         if needed_entry not in log:
18             return False
18     return True

```

Listing 5.3: Add caption

## 5.4 Simulation of user behaviour

Multiple additions were made to the simulator. In total four classes were added. Two are for generating configuration files for the simulation of game with and without the glare effect obstacle (NoObst\_ConfigGenerator\_dataCollection2020.java and GlareEffect\_ConfigGenerator\_dataCollection2020.java) and the other two for using those files to simulate new games based on the user behaviour in the original games (NoObstWinStrategyTrainingDataGenerator\_dataCollection2020.java and GlareEffectWinStrategyTrainingDataGenerator\_dataCollection2020.java). These classes utilize the functionalities already implemented in the simulator. **TODO: Code grob für jeweils eine zeigen**

## 5.5 Sorting logs by quality

- wichtig um beim training immer nur die besten n zu nehmen

## 5.6 Evaluation of simulation

- initial results
- problems
- changes
- final results
- im realfall benutzen wir nicht alle simulationen sondern nur die besten. die werden vorher nach güte sortiert und dann nur die besten 1-10 und 20 genommen. Bilder zeigen wie es da aussieht bei finalem.
- results show that the similarity matrix used creates very good simulations of glare effect games.
- t-stochastic test kram (siehe mazens nachricht)

## 5.7 Feature generation

- directory preparation
- feature creation

## 6. Models

For determining whether probands were blinded, one dimensional and two dimensional convolutional neural networks were utilized. One dimensional convolutional neural networks have become popular for time series classifications **TODO: ref**. Two dimensional convolutional neural networks are mostly used for image processing. However, a possibility is to create synthetic images from the data and use these images in a two dimensional convolutional network.



## 7. Training and Analysis





# Bibliography

- [Rab89] Lawrence R. Rabiner. A tutorial on hidden Markov models and selected applications in speech recognition. *Proceedings of the IEEE*, 77(2):257–286, 1989.

