

CSC236 Week 9

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NEW TOPIC

Finite Automata & Regular Language

Finite Automata

- An important part of the theory of computation
- A simple and powerful model for computation
 - It describes a simple idealized machine (the theoretical computer)
- It has many applications
 - Digital circuit design (you'll use it in CSC258)
 - Compiler and interpreter (how computer understands your program)
 - Text searching/parsing, lexical analysis, pattern matching
 - Neural networks (models the working mechanism of the neurons)
 - etc.
- Yet another hallmark for a CS pro.

The application we focus on in CSC236

Regular Language & Regular Expressions

BRACE YOURSELF

Alphabet

String

Language

Regular language

Regular expression

Kleene star

...

LOTS OF TERMINOLOGIES ARE COMING

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Terminology: **Alphabet**

- Alphabet: a finite set of symbols
 - e.g., $\{0, 1\}$ # the alphabet for binary strings
 - e.g., $\{a, b, c, \dots, z\}$ # the alphabet for English words
 - etc
- We denote an alphabet using the Greek letter ...

Σ (“sigma”)

Has nothing to do with summation.

Terminology: **String**

- A string w over alphabet Σ is a finite sequence of **symbols from Σ**
- For example, the following are some strings over the alphabet $\{0, 1\}$
 - “0”, “1”, “0110”, “1110”
- Each English word is a string over the alphabet $\{a, b, \dots, z\}$
 - e.g., “brace”, “yourself”
- A special string: the **empty string** “” which we denote with **ϵ**
 - It is a string over **any** alphabet.

Terminology: **Length** of a String

- The **length** of string w is the number of characters in w
- It is written as $|w|$, for example
 - $|\text{brace}| = 5$
 - $|010111| = 6$
 - $|\varepsilon| = 0$
- ▶ Σ^n : set of all strings over alphabet Σ of length n
- ▶ Σ^* : set of all strings over alphabet Σ

Exercises

Consider alphabet $\Sigma = \{0, 1\}$

What is Σ^0 ?

- set of all binary strings with length 0
- A set with one string (the empty string) in it: **$\{\epsilon\}$**

► Σ^n : set of all strings over alphabet Σ of length n

Consider alphabet $\Sigma = \{0, 1\}$

What is Σ^1 ?

- set of all binary strings of length 1
- A set with two strings in it: **$\{0, 1\}$**

► Σ^n : set of all strings over alphabet Σ of length n

Consider alphabet $\Sigma = \{0, 1\}$

What is Σ^2 ?

- set of all binary strings of length 2
- A set with four strings in it: **$\{00, 01, 10, 11\}$**

► Σ^n : set of all strings over alphabet Σ of length n

Consider alphabet $\Sigma = \{0, 1\}$

What is Σ^{12} ?

- set of all binary strings of length 12
- **$\{000000000000, \dots, 111111111111\}$**
- A set with **4096** (2^{12}) strings in it:

► Σ^n : set of all strings over alphabet Σ of length n

Consider alphabet $\Sigma = \{0, 1\}$

What is Σ^* ?

- set of all binary strings
- of length from 0 to arbitrarily large
- A set with **an infinite number** of strings in it.

► Σ^* : set of all strings over alphabet Σ

Consider alphabet $\Sigma = \{0, 1\}$

What is $\Sigma^0 \cup \Sigma^1$?

- set of all binary strings of length 0 or 1
- A set with three strings in it: **$\{\epsilon, 0, 1\}$**

back to terminologies ...

Warm-Up

Consider the alphabet be $\Sigma = \{\mathbf{a}, \mathbf{b}, \mathbf{c}, \dots, \mathbf{z}\}$

then the set of all English words \mathbf{L} is basically Σ^*



\mathbf{L} is only a **subset** of Σ^* , i.e., $\mathbf{L} \subseteq \Sigma^*$

- Not all strings in Σ^* are in L , such as “sdfasdf”, “ttttt” and the empty string.

Terminology: **Language**

- A **language** L over alphabet Σ is a subset of Σ^*
 - i.e., $L \subseteq \Sigma^*$
 - The set of all English words is a language over $\Sigma = \{a, b, \dots, z\}$
- Languages can have finite or infinite size, e.g.,
 - The English language is finite

Let $\Sigma = \{a, b, c\}$

$L_1 = \{\epsilon, a, b, ccc\}$ **is finite**

$L_2 = \{w \in \{a, b, c\}^* \mid |w| \leq 3\}$ **is finite**

$L_3 = \{w \in \{a, b, c\}^* \mid w \text{ has the same number of } a\text{'s and } c\text{'s}\}$ **is infinite**

Operations on Languages

Recursive define set, whose properties can be proven by **structural induction!**

Given two languages $L, M \subseteq \Sigma^*$, **three operations** can be used to **generate new languages**.

- **Union**, $L \cup M$: all strings from L together with all strings from M .

$$L \cup M = \{x \in \Sigma^* \mid x \in L \text{ or } x \in M\}$$

- **Concatenation**, LM : concatenate each string in L with each string in M .

$$LM = \{xy \in \Sigma^* \mid x \in L, y \in M\}$$

- **Kleene Star**, L^* : all strings that can be formed by concatenating zero or more strings from L .

$$L^* = \{\epsilon\} \cup \{x \in \Sigma^* \mid \exists w_1, w_2, \dots, w_n \in L \text{ such that } x = w_1 w_2 \dots w_n, \text{ for some } n\}$$

Exercises

Kleene star example

Let language $L = \{0, 1\}$, then what is L^* ?

Answer: the set of all binary strings, including the empty string.

$$L^* = \{\epsilon\} \cup \{x \in \Sigma^* \mid \exists w_1, w_2, \dots, w_n \in L \text{ such that } x = w_1 w_2 \dots w_n, \text{ for some } n\}$$

Consider languages **L** = {**a**, **aa**} and **M** = {**a**, **cc**}

What is **L** \cup **M**?

L \cup **M** = {**a**, **aa**, **cc**}

$$L \cup M = \{x \in \Sigma^* \mid x \in L \text{ or } x \in M\}$$

Consider languages **L** = {**a**, **aa**} and **M** = {**a**, **cc**}

What is **LM**?

LM = {**a.a**, **a.cc**, **aa.a**, **aa.cc**}

(the **dot** is for visualizing concatenation, it does NOT count as a character)

$$LM = \{xy \in \Sigma^* \mid x \in L, y \in M\}$$

Consider languages **$L = \{a, aa\}$** and **$M = \{a, cc\}$**

What is **L^*** ?

$L^* = \{\epsilon, a, aa, aaa, aaaa, aaaaa, \dots\}$

better description:

$L^* = \{w \mid w \text{ consists of 0 or more } a\text{'s}\}$

$$L^* = \{\epsilon\} \cup \{x \in \Sigma^* \mid \exists w_1, w_2, \dots, w_n \in L \text{ such that } x = w_1 w_2 \dots w_n, \text{ for some } n\}$$

Consider languages $L = \{a, aa\}$ and $M = \{a, cc\}$

What is M^* ?

$M^* = \{\epsilon, a, aa, cc, acc, cca, aaa, aacc, ccaa, \text{ccc}, cccc, \dots\}$

$M^* = \{w \mid w \text{ consists of 0 or more } a\text{'s and } c\text{'s, and all } c\text{'s are in pairs} \}$

$M^* = \{w \mid w \text{ consists of 0 or more } a\text{'s and } cc\text{'s}\}$

$$L^* = \{\epsilon\} \cup \{x \in \Sigma^* \mid \exists w_1, w_2, \dots, w_n \in L \text{ such that } x = w_1 w_2 \dots w_n, \text{ for some } n\}$$

Consider languages **$L = \{a, aa\}$** and **$M = \{a, cc\}$**

What is **$(L \cup M)^*$** ?

$(L \cup M)^* = \{a, aa, cc\}^*$

$(L \cup M)^* = \{w \mid w \text{ consists of 0 or more } a\text{'s and } c\text{'s, and all } c\text{'s are in pairs } \}$

It is the same set as M^*

A medieval battle scene. In the foreground, a knight in dark, quilted armor is seen from behind, holding a long spear. In the background, a large group of soldiers on white horses is charging towards the viewer. The scene is set in a muddy field with a hazy, overcast sky.

more terminologies ...

Terminology: **Regular Languages**

Regular languages are a **subset** of all languages. (Not all languages are regular)

The **set of regular languages** over alphabet Σ is **recursively** defined as follows

- \emptyset , the empty set, is a regular language
- $\{\epsilon\}$, the language consisting of only the empty string, is a regular language
- For any symbol $a \in \Sigma$, $\{a\}$ is a regular language.
- If L , M are regular languages, then so are $L \cup M$, LM , and L^* .

Quick Exercise

- \emptyset , the empty set, is a regular language
- $\{\epsilon\}$, the language consisting of only the empty string, is a regular language
- For any symbol $a \in \Sigma$, $\{a\}$ is a regular language.
- If L, M are regular languages, then so are $L \cup M$, LM , L^* and M^* .

Prove that language $L = \{a, aa\}$ is a regular language.

Proof:

$\{a\}$ is regular by definition

So $\{aa\} = \{a\}\{a\}$ is regular (concatenation rule)

So $\{a, aa\} = \{a\} \cup \{aa\}$ is regular (union rule)



one last terminology ...

Terminology: **Regular Expressions**

A **regular expression** (regex) is a **string representation** of a regular language.

A regex “**matches**” a set of strings (the represented regular language).

It also has a recursive definition:

For a regex r , $\mathcal{L}(r)$ is the language matched by r

- ▶ \emptyset is a regex, with $\mathcal{L}(\emptyset) = \emptyset$ (matches no string)
- ▶ ϵ is a regex, with $\mathcal{L}(\epsilon) = \{\epsilon\}$ (matches only the empty string)
- ▶ For all symbols $a \in \Sigma$, a is a regex with $\mathcal{L}(a) = \{a\}$

these are the bases, and there is more ...

Definition of regex, continued ...

- ▶ Let r , r_1 , and r_2 be regular expressions
 - ▶ $r_1 + r_2$ is a regex, with $\mathcal{L}(r_1 + r_2) = \mathcal{L}(r_1) \cup \mathcal{L}(r_2)$
 - ▶ $r_1 r_2$ is a regex, with $\mathcal{L}(r_1 r_2) = \mathcal{L}(r_1) \mathcal{L}(r_2)$
 - ▶ r^* is a regex, with $\mathcal{L}(r^*) = (\mathcal{L}(r))^*$

More Exercises

EX1: Find Language from Regular Expression

Describe the language represented by the following regular expression.

r: (01)+1(0+1)*

The * has the highest precedence.

It matches the string 01

Must start with 1,
followed by any string with 0's or 1's

L(r): set with 01, and all strings with 0's and 1's that start with 1

EX2: Develop Regular Expression from Language

Give a regular expression that represents the following language.

$$L = \{w \in \{a, b\}^* \mid |w| \leq 2\}$$

Naive way: enumerate all possible strings

- $\epsilon + a + aa + b + bb + ab + ba$

Then try to combine some of them

- $\epsilon + a(\epsilon + a) + b(\epsilon + b) + ab + ba$
- or, **$(\epsilon + a + b)(\epsilon + a + b)$**

Let's try to expand $(\epsilon + a + b)(\epsilon + a + b)$

$$\begin{aligned} &= \epsilon\epsilon + \epsilon a + \epsilon b + a\epsilon + aa + ab + b\epsilon + ba + bb \\ &= \epsilon + a + b + aa + ab + ba + bb \end{aligned}$$

$$\# \epsilon\epsilon = \epsilon$$

$$\# \epsilon a = a\epsilon = a$$

$$\# \epsilon b = b\epsilon = b$$

EX3

Give a regular expression that represents the following language

$$L = \{w \in \{0, 1\}^* \mid w \text{ does not have } 11 \text{ as a substring}\}$$

Naive way: enumerate all possible strings

- No can do! There are infinitely many strings in L.

What should match:

- ϵ , 0, 1, 00, 01, 10, 100, 101, 001, 01010010100

What should NOT match:

- 11, 111, 011, 110, 1111, 01110, 00000011

$$L = \{w \in \{0, 1\}^* \mid w \text{ does not have } 11 \text{ as a substring}\}$$

EX3

- **Observation 1:** if we have a 1, what must happen after that 1?
 - There must be a 0 or nothing.
- **Observation 2:** 0's can go anywhere and there can be as many of them as you want.

$$L = \{w \in \{0, 1\}^* \mid w \text{ does not have } 11 \text{ as a substring}\}$$

EX3

Attempt #1: **(10)***

- It matches ε , 10, 1010, 101010, ..., all in L.
- But it does NOT match everything needed.
- It's missing strings with multiple 0's in a row
 - Like, 100, 10010000

Try to fix this: **(100*)***

$$L = \{w \in \{0, 1\}^* \mid w \text{ does not have } 11 \text{ as a substring}\}$$

EX3

Attempt #2: **(100*)***

- Now I'm allowing multiple 0's in a row. Right?
- It's still missing some strings ...
 - 01, 001, 0001010, 000000101000
 - The strings that start with 0's.

Try to fix this: **0*(100*)***

$$L = \{w \in \{0,1\}^* \mid w \text{ does not have } 11 \text{ as a substring}\}$$

EX3

Attempt #3: $0^*(100^*)^*$

- Now I'm allowing the string to start with 0 or 1. It's good, right?
- It's still missing some strings ...
 - 1, 101, 0010101, 00100001...
 - the strings that end with 1's. How to fix it?

Attempt #4: $0^*(100^*)^*(\epsilon + 1)$

- **This one is correct!**

Regex **$0^*(100^*)^*(\epsilon + 1)$** represents the language

$$L = \{w \in \{0, 1\}^* \mid w \text{ does not have } 11 \text{ as a substring}\}$$

This regex is NOT unique. The following is also a valid regex for the above language.

$$(\epsilon + 1)(00^*1)^*0^*$$

Verify it yourself!

Home Exercise

$N = \{w : \{0,1\}^* \mid w \text{ represents a binary number divisible by } 2\}$

Find a regex for language N.

Takeaway

For a regex to correctly represent a language **L**, it must match **every** string in L, and **nothing** else.

The regex is wrong if **any** of the following happens

- There is a string in L, that the regex does not match.
- There is a string that is not in L, but is matched by the regex.

The general steps of coming up with a regex

- Observe and understand the pattern that need to be matched, educatedly attempt a regex
- Verify if the attempted regex is wrong (above two criteria), if wrong, know the reason, fix it.
- Repeat the above until you're convinced you have right answer.

Next week

DFA: model regular expression as a computation.