

CORPORATE PROFILE

- ❖ Name: **ASSOCIATION OF SECURITIES DEALING HOUSES OF NIGERIA (ASHON)**
- ❖ Corporate Office: **Medife House(3rd Floor), 58/60 Broad Street, Lagos Island, Lagos**
- ❖ Telephone: **01-4543168**
- ❖ E-mail: **info@ashonng.org**
- ❖ Type of Registration: **Incorporated Trustees**
- ❖ Registration Number: **CAC/IT/NO 18726**
- ❖ Membership:

The membership of the Association is open to all Financial Institutions licensed to operate as Stockbroking/Securities Dealing Houses in Nigeria by The Nigerian Stock Exchange or any registered Securities Exchange and registered by the Securities & Exchange Commission (SEC) and /or other appropriate regulatory bodies. Each member of the Association shall by instrument in writing signed on its behalf by duly authorized persons and delivered to the Association appoint one (1) person and alternate being officers of such member to be member's representative to partake in the affairs of the Association.
- ❖ Objectives:
 - To enable members approach or collaborate with the Government, the SEC, the NSE, CIS, NASD, FMDQ and other Trade Point, and Registered Securities Exchanges as a single body in order to facilitate the formulation of policies affecting the Capital Market and other related issues.
 - To proffer sound professional and ethical standards for practitioners and advice on compliance with the set standards in conjunction with the regulatory bodies established by statute.
 - To promote free interplay of market forces.
 - To enable members interact with one another on issues concerning the capital market in general and the Stockbroking Houses/Securities Dealing Firms in particular.
 - To promote and protect the interest of members of the Association in the exercise of their duties as Stockbroking Houses/Securities Dealing Firms.
 - To encourage professional development of Stockbroking House/Securities Dealing Firms through organizing lectures, seminars, workshops, retreats etc.
 - To provide avenue for free flow of information among members and to encourage research on Capital Market Matters/Operations and related areas.
 - To promote either on its own or in conjunction with other parties in any investment that will benefit the Association and the Capital Market.

- To relate with other professional bodies with a view to building a viable Nigerian Financial Markets.
 - To nominate for representation members in good standing on the Council of the NSE and any other relevant registered Exchanges in Nigeria.
- ❖ Vision:
- To become the professional trade group of high ethical standards in the Nigerian Capital Market.
- ❖ Mission:
- To engage in continuous collaboration with all stakeholders in the Nigerian Capital Market through regular and structured interactions in defining and redefining our strategic vision.
- ❖ Governing Council Members:
- | | | |
|---------------------------|---|------------------------------------|
| ▪ Onyenwechukwu P. Ezeagu | - | Chairman |
| ▪ Sam Onukwue | - | First Vice Chairman |
| ▪ Sehinde Adenagbe | - | Second Vice Chairman |
| ▪ Olubunmi Ajayi | - | Treasurer |
| ▪ Ifeyinwa Ejezie | - | PRO 1 |
| ▪ Oluwadare Adejumo | - | Bus Dev/Membership |
| ▪ Andrew Tsaku | - | PRO 2 |
| ▪ Emeka Madubuikie | - | Ex-Officio/Immediate Past Chairman |
- ❖ ASHON Committees:
- Market Development/Technical Committee
 - Finance and General Purpose Committee
 - Disciplinary Committee
 - Legal Committee
 - Rules Committee
 - Research Committee
 - Transition Committee
- ❖ ASHON Secretariat - Key Officials:
- | | | |
|-------------------|---|---|
| ▪ Athan. Ogbozor | - | Executive Secretary/CEO |
| ▪ Cornelius Okomi | - | Administrative/Operations Manager |
| ▪ XXX | - | Business Development/Membership Manager |
| ▪ XXX | - | Chief Finance Officer |
| ▪ Nicholas Ugwu | - | Administrative Officer |