Multi-Task Deep Learning Model for Improved Histopathology Prediction from In-Vivo Microscopy Images

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Cervical cancer remains a leading cause of death among women in low-to-middle income countries [4]. Breakthroughs in high-resource settings have improved diagnosis and treatment of cervical cancer, but these developments have not permeated into resource-constrained settings due to the high costs and the scarcity of trained healthcare professionals, colposcopists and pathologists [13].

Therefore, there is a need for low-cost devices that can be operated by nonspecialist healthcare

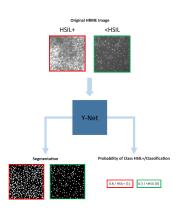
providers to diagnose cervical cancer and its precursors at the point-of-care.

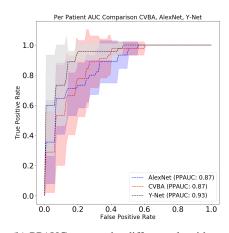
The High-Resolution Microendoscope (HRME) is a low-cost, in-vivo microscope that has been used for early detection of cervical cancer and precancer [10, 5]. Physicians apply proflavine 8 dye on visually suspicious cervical lesions and use the HRME's optical probe to image nuclear morphology (Figure 1a). A computer-vision-based algorithm (CVBA) uses thresholding, watershed, 10 and morphological operations to generate a nuclear binary mask. From this mask, abnormal nuclei 11 are selected based on hand-crafted features such as nuclear eccentricity, nuclear size, and nuclear-to-12 cytoplasm ratio [11]. The number of "abnormal" nuclei per unit area is calculated for each patient 13 image and serves as a diagnostic metric (HRME-score). The CVBA has shown results with sensitivity 15 and specificity comparable to colposcopy when predicting pathology, but it depends on hand-crafted 16 features that may not provide the best representation of the between-class distinctions in the dataset.

Convolutional Neural Networks (CNNs) have shown state-of-the-art performance by learning features 17 for pathology prediction from stained slides and for label-free cytology analysis [1, 3]. These tasks 18 involve data visually similar to that generated by the HRME, which suggests that the HRME system 19 could also benefit from a deep learning approach. However, when working with medical imaging 20 21 devices in a developmental phase such as the HRME, datasets are small, imbalanced, and noisy. Networks that excel in ImageNet [2] classification, such as AlexNet [8] and ResNet [6], perform on-par with the CVBA. AlexNet, the best performing model among the ResNet and AlexNet models 23 tested, had a per patient area under the receiver operating characteristics curve (PPAUC) of 0.87 equal 24 to that of the CVBA (Figure 1b). To improve on this performance, the HRME dataset requires network 25 architectures that can compensate for data limitations by leveraging domain-specific knowledge in 26 the form of auxiliary tasks for multi-task learning. 27

In this work, we present an exploration into how CNNs can be used to predict histopathology from 28 HRME data. Experiments focus on demonstrating how using the Y-Net [9] architecture to learn the 29 auxiliary task of nuclear segmentation along with histopathology classification improves prediction 30 performance beyond that of standard CNN architectures such as AlexNet or ResNet. 31

Inspired by the CVBA's processing method, a network architecture was selected to mimic this 32 system. Y-Net is a CNN architecture that performs simultaneous classification and segmentation. 33 The architecture is based on U-Net [12] with an encoding path and a decoding path, joined by skip 34 connections, that perform segmentation. However, Y-Net adds an additional branch emerging from the last encoding block in the segmentation branch to perform classification as shown in Figure 1a. This network is trained in two stages, first, the segmentation architecture is trained. Afterward, the diagnostic branch is added to the architecture and the network is trained for both classification and





- (a) Network architecture and sample images.
- (b) PPAUC among the different algorithms with cross-validation standard deviation.

Figure 1: Y-Net architecture and performance on HRME histopathology prediction.

segmentation tasks. It is hypothesized that by leveraging features learned during the segmentation
 phase, the network's diagnostic performance will improve.

Implementing a Y-Net model for HRME-based pathology prediction required a segmentation mask target. Since it is difficult and resource-consuming to procure a reference segmentation for nuclear pixels, another method is proposed. In a self-supervised learning manner, Y-Net's segmentation branch was trained to generate the nuclear binary mask created by the CVBA. A high-level diagram of the network's architecture is shown in Figure 1a.

46 The HRME dataset was collected at Barretos Cancer Hospital in a rural area of Brazil [7]. 152 women underwent HRME in-vivo microscopy and cervical biopsies. After quality control, 124 patients 47 (511 sites) were selected to form the dataset. Histopathology found 41 patients had inflammation, 48 38 had Cervical Intraepithelial Neoplasia (CIN) grade one (CIN1), 19 had CIN grade two (CIN2), 49 22 had CIN grade three (CIN3), and four had cancer. To act as ground truth, histopathology labels 50 were binarized based on the treatment guidelines of the hospital such that sites and patients with 51 inflammation or CIN1 pathology were referred to as less than high grade squamous intraepithelial 52 lesion (<HSIL), while sites and patients with impressions of CIN2, CIN3, and cancer were regarded 53 as greater than high grade squamous intraepithelial lesion (HSIL+). 54

Since the dataset is of limited size, k-fold cross-validation was performed where k is five. The 55 dataset was randomly divided into five folds stratified by patient class labels. For each fold, the 56 network is trained on four-fifths of the data and tested on one-fifth of the data. Only images with 57 correlated site biopsy were used to train the network. Site biopsy pathology served as ground truth 58 for training. When validating, all patient images are considered regardless of the existence of site 59 60 biopsies. Patient-wise prediction is determined by selecting the worst image-wise diagnosis from a patient's set of HRME images. For the CVBA, patient prediction is the maximum HRME-score 61 that the patient received. For the CNNs, patient prediction is the maximum HSIL+ class probability 62 63 the patient received. The mean per patient receiver operating characteristics curves, with standard deviations for the cross-validation folds, are shown in Figure 1b for the best Y-Net model, the best 64 AlexNet model, and the CVBA. PPAUC is used to compare Y-Net, AlexNet, and CVBA pathology 65 prediction performance.

The highest PPAUC achieved by an AlexNet or ResNet model, including models pretrained and not pretrained on ImageNet, was 0.87 by an AlexNet model. This was equal to the CVBA mean PPAUC of 0.87. However, the Y-Net approach yielded a PPAUC of 0.93. This not only outperforms all previous CNN method used for the HRME dataset, but also surpasses the CVBA's performance. The CVBA uses an HRME-score threshold of 120, which corresponds to a sensitivity of 0.91 and a specificity of 0.67 for this dataset. At that same sensitivity of 0.91, the Y-Net model would provide a specificity of 0.81.

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