

Category, space, type - Benjamin Antieau  
04. Subspaces and connectedness

**Suggested reading.** Read Section 23 from Munkres; review exercises 14.1 and 14.2.

Sets can be broken up into disjoint subsets at will. They do not have internal structure to preserve.

**Lemma 4.1.** *Let  $(X, \mathcal{U})$  be a topological space and let  $Y \subseteq X$  be a subset. Consider the collection  $\mathcal{V}$  of subsets of  $Y$  of the form  $U \cap Y$  where  $U \subseteq X$  is open. Then,  $(Y, \mathcal{V})$  is a topological space and the inclusion  $i: Y \hookrightarrow X$  is continuous.*

*Proof.* We have  $i^{-1}(U) = U \cap Y$ , so the second statement follows immediately once we have established the first. We have  $\emptyset \cap Y = \emptyset$  and  $X \cap Y = Y$ , so that  $\emptyset, Y \in \mathcal{V}$ . Given a collection  $\{V_i\}_{i \in I} \subseteq \mathcal{V}$ , for each  $i \in I$ , choose  $U_i$  open in  $X$  such that  $V_i = U_i \cap Y$ . Then,  $\cup_{i \in I} V_i = \cup_{i \in I} (U_i \cap Y) = (\cup_{i \in I} U_i) \cap Y$ , so that  $\cup_{i \in I} V_i \in \mathcal{V}$ . We also have  $\cap_{i \in I} V_i = \cap_{i \in I} (U_i \cap Y) = (\cap_{i \in I} U_i) \cap Y$ . If  $I$  is finite, then  $\cap_{i \in I} U_i$  is open, so  $\cap_{i \in I} V_i \in \mathcal{V}$ . This completes the proof.  $\square$

**Definition 4.2 (Subspace).** If  $X$  is a topological space a subspace is a subset  $Y \subseteq X$  equipped with the subspace topology defined in Lemma 4.1.

**Warning 4.3.** Suppose that  $Y$  is a subspace of a topological space  $X$ . Let  $A \subseteq Y$  be a subset. Whether  $A$  is open or not depends, in general, on whether we view it as a subset of  $Y$  or  $X$ . For example,  $Y$  is always open in itself, but might or might not be open in  $X$ .

**Exercise 4.4.** Let  $X$  be a topological space and let  $Y \subseteq X$  be a subspace. Show that the following conditions are equivalent:

- (i) a subset  $A \subseteq Y$  is open in  $Y$  if and only if it is open in  $X$ ;
- (ii)  $Y$  is open in  $X$ .

**Exercise 4.5.** Let  $X$  be a topological space and let  $Y \subseteq X$  be a subspace. Show that  $A \subseteq Y$  is closed in  $Y$  if and only there is a closed subset  $Z \subseteq X$  such that  $Z \cap Y = A$ .

**Example 4.6.** A subspace of a discrete topological space is discrete.

**Example 4.7.** A subspace of a topological space with the trivial topology has the trivial topology.

**Exercise 4.8.** Suppose that  $\mathbf{N}$  is the set of natural numbers with the cofinite topology of Example 1.14. Let  $F \subseteq \mathbf{N}$  is a finite subspace. Show that the topology on  $F$  is discrete.

Now, we come to our first major topological property, connectedness.

**Definition 4.9.** Let  $X$  be a topological space. A subset  $U \subseteq X$  is clopen if it is open and closed.

**Remark 4.10.** If  $X$  is a topological space, then  $\emptyset$  and  $X$  are clopen subsets of  $X$ .

**Example 4.11.** Let  $X^\delta$  be a discrete topological space. Then, if  $x \in X$ , the subset  $\{x\} \subseteq X$  is clopen.

**Definition 4.12 (Connectedness).** Say that a topological space  $X$  is connected if it has no nonempty proper clopen subsets.

Equivalently,  $X$  is connected if its only clopen subsets are  $\emptyset, X$ .

**Remark 4.13.** Connectedness is a topological property of topological spaces. If  $X$  and  $Y$  are homeomorphic topological spaces, then  $X$  is connected if and only if  $Y$  is connected.

**Example 4.14.** The empty set is connected. This disagrees with the convention of *The Stacks Project*.

**Example 4.15.** If  $X$  is a set, then  $X^{\text{triv}}$  is connected.

**Example 4.16.** The Sierpiński space  $T$  is connected.

**Example 4.17.** If  $X$  is a set with at least two elements, then  $X^\delta$  is not connected.

**Lemma 4.18.** *The topological space  $\mathbf{R}$  is connected. Similarly, every interval  $(a, b)$  or  $[a, b)$  or  $(a, b]$  or  $[a, b]$  for  $-\infty \leq a \leq b \leq \infty$  is connected.*

*Proof.* We give the proof for  $\mathbf{R}$ . Suppose that  $U \subseteq \mathbf{R}$  is a nonempty proper clopen subset. Let  $V = \mathbf{R} \setminus U$ . Then,  $V$  is also proper, nonempty, and clopen. Consider the function  $f: \mathbf{R} \rightarrow \{0, 1\}^\delta$  such that  $f(u) = 0$  for  $u \in U$  and  $f(v) = 1$  for  $v \in V$ . Then,  $f$  is

continuous. The inclusion  $i: \{0, 1\}^\delta \subseteq \mathbf{R}$  is continuous. It follows that  $i \circ f: \mathbf{R} \rightarrow \mathbf{R}$  is continuous. The intermediate value theorem (which depends on the fact that every nonempty bounded above subset of  $\mathbf{R}$  has a least upper bound) leads to a contradiction.  $\square$

**Exercise 4.19.** Let  $\mathbf{Q} \subseteq \mathbf{R}$  be the subspace of rational numbers. Determine whether or not  $\mathbf{Q}$  is connected.

**Exercise 4.20.** The cofinite topology on  $\mathbf{N}$  is connected.

**Construction 4.21 (The coproduct topology).** Let  $X$  and  $Y$  be topological spaces. Let  $Z = X \sqcup Y$  be the disjoint union of  $X$  and  $Y$ . We define a topology on  $Z$  by saying that  $U \subseteq Z$  is open if and only if  $U \cap X$  and  $U \cap Y$  are open. In other words, the open subsets of  $Z$  are precisely those which can be written as  $V \sqcup W$  where  $V \subseteq X$  and  $W \subseteq Y$  are open. Note that the inclusions  $X \hookrightarrow X \sqcup Y$  and  $Y \hookrightarrow X \sqcup Y$  are continuous.

**Lemma 4.22.** Suppose that  $X$  is not connected. Then, there are disjoint nonempty clopen subspaces  $U, V \subseteq X$  such that the natural map  $U \sqcup V \rightarrow X$  is a homeomorphism.

*Proof.* As  $X$  is not connected, there is a nonempty proper clopen subset  $U \subseteq X$  which we view as a subspace. Let  $V = X \setminus U$ . Since  $U$  is a proper subset,  $V$  is nonempty. It is also clopen. As sets, we have  $X = U \sqcup V$ . The natural inclusion  $i: U \sqcup V \rightarrow X$  is continuous by definition of the coproduct topology. It is also a bijection. Let  $j: X \rightarrow U \sqcup V$  be the inverse function. Let  $W \subseteq U \sqcup V$  be an open subset. By definition, this means that  $W \cap U \subseteq U$  is open and  $W \cap V \subseteq V$  is open. Now,  $j^{-1}(W) = W = (W \cap U) \cup (W \cap V)$ . Since  $W \cap U$  is open in  $U$  and  $U$  is open in  $X$ , we have that  $W \cap U$  is open in  $X$ . Similarly,  $W \cap V$  is open in  $X$ . Thus, the union  $j^{-1}(W)$  is open in  $X$ . Thus,  $j$  is continuous and hence  $i$  is a homeomorphism.  $\square$

**Example 4.23. [Invariance of domain I]** The topological space  $\mathbf{R} \setminus \{0\}$  is not connected. Indeed,  $(0, \infty)$  and  $(-\infty, 0)$  are nonempty clopen disjoint subspaces whose union is  $\mathbf{R} \setminus \{0\}$ . On the other hand,  $\mathbf{R}^2 \setminus \{0\}$  is connected. Suppose note and that  $\mathbf{R}^2 \setminus \{0\} = U \sqcup V$  is a clopen decomposition with  $x \in U$  and  $y \in V$ . We can find  $\gamma: [0, 1] \rightarrow \mathbf{R}^2 \setminus \{0\}$  a continuous function such that  $\gamma(0) = x$  and  $\gamma(1) = y$ . Then,  $\gamma^{-1}(U)$  and  $\gamma^{-1}(V)$  are disjoint clopen subsets of  $[0, 1]$  containing  $x$  and  $y$ , respectively. In particular, they are nonempty. Thus,  $[0, 1]$

is disconnected, which contradicts Lemma 4.18. It follows that  $\mathbf{R}$  and  $\mathbf{R}^2$  are not homeomorphic. Indeed, if they were, then possibly after applying a suitable translation we could assume the existence of a homeomorphism  $f: \mathbf{R} \rightarrow \mathbf{R}^2$  such that  $f(0) = 0$ . Then,  $f$  would restrict to a homeomorphism  $f': (\mathbf{R} \setminus \{0\}) \rightarrow (\mathbf{R}^2 \setminus \{0\})$ , a contradiction.

**Definition 4.24 (Path-connectedness).** Let  $X$  be a topological space. A path in  $X$  is a continuous function  $\gamma: [0, 1] \rightarrow X$ . If  $x, y \in X$ , a path in  $X$  from  $x$  to  $y$  is a path  $\gamma$  such that  $\gamma(0) = x$  and  $\gamma(1) = y$ . We say that  $X$  is path-connected if for every  $x, y \in X$  there is a path from  $x$  to  $y$ .

**Example 4.25.** Show that the Sierpiński space  $T$  is path-connected.

**Exercise 4.26.** Let  $X$  be a topological space. For  $x, y \in X$ , write  $x \sim y$  if there is path in  $X$  from  $x$  to  $y$ . Prove that  $\sim$  is an equivalence relation on the set of points of  $X$ .

The argument in Example 4.23 implies the following lemma.

**Lemma 4.27.** *A path-connected topological space is connected.*

DEPARTMENT OF MATHEMATICS, NORTHWESTERN UNIVERSITY  
[antieau@northwestern.edu](mailto:antieau@northwestern.edu)