

# ALGEBRAIC GEOMETRY FALL FINAL

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The solution always assumes  $k$  is algebraically closed.

**Problem 1.** Let  $X$  and  $Y$  be smooth projective over  $k$ . Let  $p_X : X \times_k Y \rightarrow X$  and  $p_Y : X \times_k Y \rightarrow Y$  be the two projections. Is it always true that

$$\mathrm{Pic}(X \times_k Y) \simeq p_X^* \mathrm{Pic}(X) \times p_Y^* \mathrm{Pic}(Y)?$$

Prove or provide a counterexample.

*Proof.* We provide a counterexample. Let  $X$  and  $Y$  both be an elliptic curve  $C$  over  $k$  with a fixed point  $P$ , and rename  $p_X$  and  $p_Y$  as  $p_1, p_2$ , respectively. Let  $l = C \times P$  and  $m = P \times C$ , and denote  $\Delta \subset C \times_k C$  the diagonal. The self intersection of  $l$  and  $m$  are both zero since  $l, m$  are fibers of  $p_1, p_2$ , and two fibers of the same map are linearly equivalent, and two distinct fibers do not meet. To calculate  $\Delta^2$ , we use the fact that  $\Delta^2 = \deg_\Delta(\mathcal{L}(\Delta) \otimes \mathcal{O}_\Delta) = \deg_\Delta \mathcal{N}_{\Delta/C \times C} = \deg_C(\Omega_{C/k}^\vee) = 2 - 2g = 0$ . Also,  $l \cdot m = l \cdot \Delta = m \cdot \Delta = 1$  since they meet at one point, so  $l, m$  and  $\Delta$  are nontrivial elements of  $\mathrm{Num}(C \times C)$ .

Now we show  $l, m$  and  $\Delta$  are linearly independent in  $\mathrm{Num}(C \times C)$ . Let  $pl + qm + r\Delta = 0$  for integers  $p, q, r$ . Take the intersection with  $l$  to get  $q + r = 0$ . Again take intersection with  $m$  to get  $p + r = 0$ . Finally take intersection with  $\Delta$  to get  $p + q = 0$ , so this implies  $p = q = r = 0$ . Hence  $l, m, \Delta$  indeed are linearly independent, so the rank of  $\mathrm{Num}(C \times C)$  is at least 3. However, the group  $p_1^* \mathrm{Pic}(C) \oplus p_2^* \mathrm{Pic}(C)$  modulo numerical equivalence is generated by  $l$  and  $m$ , so is of rank 2. Hence the isomorphism given in the problem cannot exist for a product of elliptic curves.  $\square$

**Problem 2.** Give an example of a smooth projective  $X/k$  and a divisor  $D \in \mathrm{Div}(X)$  satisfying the condition.

- (a)  $D$  is effective but not basepoint-free.
- (b)  $D$  is basepoint-free but not ample on  $X$ .
- (c)  $D$  is ample on  $X$  but not very ample.

*Proof.* (a) Suppose  $X$  is an elliptic curve and  $D = P$  a point in  $X$ .  $D$  is obviously effective. By Riemann-Roch, we have  $\ell(D) - \ell(K - D) = 2 - g = 1$ . Also,  $\deg D = 1 > 0 = 2g - 2 = \deg K$ , which implies  $\deg(K - D) < 0$ . Therefore  $\ell(K - D) = 0$  by Hartshorne, Lemma IV.1.2. Hence  $\ell(D) = 1$ , so  $\dim |D| = \ell(D) - 1 = 0$ . Hence there is only one element in  $|D|$ , namely  $D$ , so the complete linear system has  $D$  as a basepoint.  $\square$

*Proof.* (b) Let  $X$  be a smooth projective curve of genus 0, and  $D = P - Q$  for two points  $P, Q$  in  $X$ . Then  $\deg D = 0 \geq 2g$ , so by Hartshorne, Corollary IV.3.2,  $|D|$  has no basepoints. However, by Hartshorne, Corollary IV.3.3,  $\deg D = 0$  implies  $D$  not ample.  $\square$

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*Proof.* (c) Let  $X$  be an elliptic curve. Let  $D = P + Q$  for points  $P, Q$  in  $X$ . Then  $\deg D = 2 > 0$ , so  $D$  is ample by Hartshorne, Corollary IV.3.3. However, by Riemann-Roch, we have  $\ell(D) - \ell(K - D) = 3 - g = 2$  where  $\ell(K - D) = 0$  by the same proof as in (a). Also  $|D|$  has no basepoints since  $\deg D = 2 \geq 2 = 2g$ . Therefore  $|D|$  defines a morphism of  $X$  into  $\mathbb{P}_k^{\ell(D)-1} = \mathbb{P}_k^1$ . This obviously cannot be a closed immersion, so  $D$  cannot be very ample.  $\square$

**Problem 3.** Let  $X \subset \mathbb{P}_k^n$  be an irreducible smooth projective hypersurface of degree  $d$ . Show that the canonical sheaf of  $X$  is trivial if and only if  $d = n + 1$ .

*Proof.* By Hartshorne, Proposition II.8.20, we have that  $\omega_X \simeq \omega_{\mathbb{P}_k^n} \otimes \mathcal{L} \otimes \mathcal{O}_X$  where  $\mathcal{L}$  is the associated invertible sheaf of  $X$  in  $\mathbb{P}_k^n$ . Since  $\omega_{\mathbb{P}_k^n} \simeq \mathcal{O}_{\mathbb{P}_k^n}(-n-1)$ , and  $\mathcal{L} \simeq \mathcal{O}_X(d)$ , we have  $\omega_{\mathbb{P}_k^n} \otimes \mathcal{L} \otimes \mathcal{O}_X \simeq \mathcal{O}_X(-n-1) \otimes \mathcal{O}_X(d) \simeq \mathcal{O}_X(d-n-1)$ . Hence  $\omega_X$  is trivial if and only if  $d = n + 1$ .  $\square$

**Problem 4.** Let  $X/k$  be a smooth projective surface.

- (a) Let  $H$  be an ample divisor. For any  $0 \neq D \in \text{Div}(X)$ , show that if  $D \cdot H = 0$  then  $\ell(D) = 0$ .
- (b) Let  $C$  be a smooth irreducible projective curve in  $X$ . Show that if  $C \cdot C < 0$  then  $C$  is rigid in the sense that  $|C| = 0$ .

*Proof.* (a) We show the contrapositive. Suppose  $\ell(D) > 0$ . Then  $|D| \neq \emptyset$  so  $D$  is linearly equivalent to an effective divisor. Since  $H$  is ample, by Nakai-Moishezon we have  $D \cdot H > 0$ .  $\square$

*Proof.* (b) Again, we show the contrapositive. Suppose  $|C| \neq \emptyset$ . This means that there is some effective  $C' \sim C$  where  $C' \neq C$ . Therefore  $C \cdot C = C \cdot C'$ , and since  $C \neq C'$  where  $C$  is irreducible,  $C'$  does not have any irreducible component in common with  $C$ . This implies the intersection number is nonnegative, so we must have  $C \cdot C' \geq 0$ . Hence  $C \cdot C \geq 0$ .  $\square$

**Problem 5.** Let  $X/k$  be a smooth projective quartic surface in  $\mathbb{P}_k^3$ .

- (a) Compute the numbers  $\dim_k H^q(X, \Omega_X^p)$  for  $0 \leq p, q \leq 2$ .
- (b) Show that  $\chi(\mathcal{L}(D)) = \frac{1}{2}D^2 + 2$  for each  $D \in \text{Div}(X)$ .
- (c) Show that the projection map  $\text{Pic}(X) \rightarrow \text{Num}(X)$  is an isomorphism.
- (d) Show that for each  $d \geq 1$ , there are at most finitely many smooth rational projective curves of degree  $d$  on  $X$ .

*Proof.* (a) Write  $h^{p,q} = \dim_k H^q(X, \Omega_X^p)$ . Since  $X$  is a smooth quartic in  $\mathbb{P}_k^3$ , by Problem 3, we have  $\omega_X = \Omega_X^2 \simeq \mathcal{O}_X$ . By Serre duality,  $\dim_k H^0(X, \Omega_X^0) = \dim_k H^2(X, \Omega_X^2)$  and since  $\Omega_X^0 \simeq \mathcal{O}_X \simeq \Omega_X^2$ , we have  $h^{0,0} = h^{0,2} = h^{2,0} = h^{2,2} = \dim_k H^0(X, \mathcal{O}_X) = 1$ . Next, consider the SES on  $\mathbb{P}_k^3$  given by

$$0 \rightarrow \mathcal{O}_{\mathbb{P}_k^3}(-4) \rightarrow \mathcal{O}_{\mathbb{P}_k^3} \rightarrow i_*\mathcal{O}_X \rightarrow 0$$

where  $i : X \hookrightarrow \mathbb{P}_k^3$ . Take the LES of cohomology to get

$$\cdots \rightarrow H^1(\mathbb{P}_k^3, \mathcal{O}_{\mathbb{P}_k^3}) \rightarrow H^1(\mathbb{P}_k^3, i_*\mathcal{O}_X) \rightarrow H^2(\mathbb{P}_k^3, \mathcal{O}_{\mathbb{P}_k^3}(-4)) \rightarrow \cdots$$

and note that  $H^1(\mathbb{P}_k^3, \mathcal{O}_{\mathbb{P}_k^3}) = H^2(\mathbb{P}_k^3, \mathcal{O}_{\mathbb{P}_k^3}(-4)) = 0$  by Hartshorne, Theorem III.5.1. Since  $0 = H^1(\mathbb{P}_k^3, i_*\mathcal{O}_X) \simeq H^1(X, \mathcal{O}_X)$ , we have  $h^{0,1} = h^{2,1} = 0$ . Also consider the following SES on  $X$

$$0 \rightarrow \mathcal{O}_X(-4) \rightarrow \Omega_{\mathbb{P}_k^3}^1 \otimes \mathcal{O}_X \rightarrow \Omega_X^1 \rightarrow 0$$

of Hartshorne, Theorem II.8.17 and again derive the LES

$$\cdots \rightarrow H^0(X, \Omega_{\mathbb{P}_k}^1 \otimes \mathcal{O}_X) \rightarrow H^0(X, \Omega_X^1) \rightarrow H^1(X, \mathcal{O}_X(-4)) \rightarrow \cdots$$

where  $H^1(X, \mathcal{O}_X(-4)) \simeq H^1(\mathbb{P}_k^3, \mathcal{O}_{\mathbb{P}_k^3}(-4)) = 0$  by Hartshorne, Theorem III.5.1. By the LES of cohomology of the SES of Hartshorne, Theorem II.8.13 given by

$$0 \rightarrow \Omega_{\mathbb{P}_k^3}^1 \rightarrow \mathcal{O}_{\mathbb{P}_k^3}(-1)^{\oplus 4} \rightarrow \mathcal{O}_{\mathbb{P}_k^3} \rightarrow 0,$$

since  $H^0(\mathbb{P}_k^3, \mathcal{O}_{\mathbb{P}_k^3}(-1)^{\oplus 4}) = H^0(\mathbb{P}_k^3, \mathcal{O}_{\mathbb{P}_k^3}(-1))^{\oplus 4} = 0$  we know that

$$0 = H^0(\mathbb{P}_k^3, \Omega_{\mathbb{P}_k^3}^1) \simeq H^0(X, \Omega_{\mathbb{P}_k^3}^1 \otimes \mathcal{O}_X).$$

Therefore  $H^0(X, \Omega_X^1) = 0$ , so  $h^{1,0} = h^{1,2} = 0$ . (Serre duality is used in obvious places.) Now we are left with  $h^{1,1} = \dim_k H^1(X, \Omega_X^1)$ . Note that  $\chi(\Omega_X^1) = \dim_k H^0(X, \Omega_X^1) - \dim_k H^1(X, \Omega_X^1) + \dim_k H^2(X, \Omega_X^1)$  by dimensional vanishing. Also we just calculated  $h^{1,0} = h^{1,2} = 0$ , so it turns out that  $h^{1,1} = -\chi(\Omega_X^1)$ .

From now on for the sake of brevity, I will denote  $P = \mathbb{P}_k^3$ . I follow the proof techniques of the midterm exam, problem 6. Consider the SES

$$0 \rightarrow \mathcal{O}_X(-4) \rightarrow \Omega_P^1 \otimes \mathcal{O}_X \rightarrow \Omega_X^1 \rightarrow 0.$$

It follows that  $\chi(\Omega_P^1 \otimes \mathcal{O}_X) = \chi(\mathcal{O}_X(-4)) + \chi(\Omega_X^1)$ . Thus we must calculate both  $\chi(\Omega_P^1 \otimes \mathcal{O}_X)$  and  $\chi(\mathcal{O}_X(-4))$ . First we calculate  $\chi(\Omega_P^1 \otimes \mathcal{O}_X)$ . To do this, we tensor the SES

$$0 \rightarrow \mathcal{O}_P(-4) \rightarrow \mathcal{O}_P \rightarrow \mathcal{O}_X \rightarrow 0$$

with  $\Omega_P^1$  to get

$$0 \rightarrow \Omega_P^1(-4) \rightarrow \Omega_P^1 \rightarrow \Omega_P^1 \otimes \mathcal{O}_X \rightarrow 0.$$

Take the Euler characteristics to get

$$\chi(\Omega_P^1) = \chi(\Omega_P^1 \otimes \mathcal{O}_X) + \chi(\Omega_P^1(-4))$$

where we know that  $\chi(\Omega_P^1) = -1$  from the SES mentioned above of Hartshorne, Theorem II.8.13. Now twist that SES by  $\mathcal{O}(-4)$  to get

$$0 \rightarrow \Omega_P^1(-4) \rightarrow \mathcal{O}_P(-5)^{\oplus 4} \rightarrow \mathcal{O}_P(-4) \rightarrow 0$$

so  $\chi(\mathcal{O}_P(-5)^{\oplus 4}) = \chi(\Omega_P^1(-4)) + \chi(\mathcal{O}_P(-4))$ . By the formulas I proved in the midterm exam,  $\chi(\mathcal{O}_P(-5)) = -4$ , and  $\chi(\mathcal{O}_P(-4)) = -1$ . Hence  $\chi(\Omega_P^1(-4)) = 1 - 4 \times 4 = -15$ . Thus  $-1 = \chi(\Omega_P^1 \otimes \mathcal{O}_X) - 15$ , so  $\chi(\Omega_P^1 \otimes \mathcal{O}_X) = 14$ .

Now we calculate  $\chi(\mathcal{O}_X(-4))$ . By twisting

$$0 \rightarrow \mathcal{O}_P(-4) \rightarrow \mathcal{O}_P \rightarrow \mathcal{O}_X \rightarrow 0$$

we get

$$0 \rightarrow \mathcal{O}_P(-8) \rightarrow \mathcal{O}_P(-4) \rightarrow \mathcal{O}_X(-4) \rightarrow 0$$

so  $\chi(\mathcal{O}_X(-4)) = \chi(\mathcal{O}_P(-4)) - \chi(\mathcal{O}_P(-8))$ . Again by the formulas,  $\chi(\mathcal{O}_P(-4)) = -1$  and  $\chi(\mathcal{O}_P(-8)) = -\binom{7}{4} = -35$ . Thus  $\chi(\mathcal{O}_X(-4)) = -1 + 35 = 34$ . Therefore,  $\chi(\Omega_P^1 \otimes \mathcal{O}_X) = \chi(\mathcal{O}_X(-4)) + \chi(\Omega_X^1)$ , so  $\chi(\Omega_X^1) = 14 - 34 = -20$ . This implies  $h^{1,1} = 20$ .  $\square$

*Proof.* (b) By Riemann-Roch, we have  $\chi(\mathcal{L}(D)) = \frac{1}{2}D \cdot (D - K) + \chi(\mathcal{O}_X)$ . We have shown that the canonical sheaf of  $X$  is trivial, hence  $K \sim 0$  which implies  $D \cdot K = 0$ . Also, from

$$0 \rightarrow \mathcal{O}_P(-4) \rightarrow \mathcal{O}_P \rightarrow \mathcal{O}_X \rightarrow 0$$

we have  $\chi(\mathcal{O}_X) = \chi(\mathcal{O}_P) - \chi(\mathcal{O}_P(-4)) = 1 - (-1)$ , again from the formulas. Therefore  $\chi(\mathcal{L}(D)) = \frac{1}{2}D^2 + 2$ .  $\square$

*Proof.* (c) Suppose that  $\mathcal{O}_X \not\cong \mathcal{L}(D) \in \text{Pic}^n X$ . Let  $H$  be an ample divisor on  $X$ , then since  $\mathcal{L}(D) \in \text{Pic}^n X$  we have  $D \cdot H = 0$ . By Problem 4(a), this implies  $\ell(D) = H^0(X, \mathcal{L}(D)) = 0$ . The same holds for  $-D$ , so by Serre duality, we have  $\dim_k H^2(X, \mathcal{L}(D)) = \dim_k H^0(X, \mathcal{L}(-D)) = 0$ . Therefore with dimensional vanishing we conclude that  $\chi(\mathcal{L}(D)) = -\dim_k H^1(X, \mathcal{L}(D)) \leq 0$ . By (b), this means that  $\frac{1}{2}D^2 + 2 \leq 0$ , so  $D^2 \leq -4$ , which is a contradiction to our assumption that  $D \cdot E = 0$  for all divisors  $E$ . Hence nontrivial  $\mathcal{L}(D)$  cannot be in  $\text{Pic}^n X$ , so the projection  $\text{Pic } X \rightarrow \text{Num } X$  is an isomorphism.  $\square$

*Proof.* (d) We use the fact that  $\text{Num } X$  is finitely generated abelian by Néron-Severi, without proof. On the other hand,  $\text{Num } X$  is torsion-free by construction, since if  $nD \sim 0$  for some  $\mathcal{L}(D) \in \text{Pic } X$ ,  $nD \cdot E = n(D \cdot E) = 0$  so  $D \cdot E = 0$  for all  $E \in \text{Div } X$ , hence  $\mathcal{L}(D)$  would be in  $\text{Pic}^n X$ . Thus  $\text{Num } X$  is free abelian of finite rank. By (c),  $\text{Num } X$  is isomorphic to  $\text{Pic } X$ , so the Picard group is also free abelian of finite rank.

Rational curves on  $X$  are curves of genus zero, so (if they exist) we may consider them as effective divisors  $D$  on  $X$ . Also by the adjunction formula, we have  $-2 = D \cdot (D + K)$  for  $K$  a canonical divisor on  $X$ , which is trivial. Therefore  $D^2 = -2 < 0$ , so if they exist, they are rigid by Problem 4(b). Hence distinct smooth rational curves on  $X$  cannot be linearly equivalent to each other, so an element of  $\text{Pic } X$  uniquely determines a rational curve (again, if such curve exists). Now since we know that  $\text{Pic } X$  is finitely generated, there are finitely many effective divisor classes of fixed degree  $d$ , and since each class determines at most one rational curve, there are finitely many rational curves of fixed degree  $d$  on  $X$  for each  $d$ .  $\square$

Thank you for your time and effort.