

# DEEP LEARNING BASED RECOMMENDER SYSTEMS

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## ABSTRACT

In this work, we experimentally study four recently proposed classes of deep learning based recommender systems, namely Neural Collaborative Filtering, Collaborative Memory Networks, Neural Graph Collaborative Filtering, and Variational Autoencoders, on the basis of collaborative filtering for implicit feedback. Our motivation came from [3] which states that there is a reproducibility crisis in the field of neural recommendation approaches. Hence, in this work we try to contribute to the resolution of this crisis by objectively evaluating the performance of the selected methods. In order to be able to compare the aforementioned approaches, we modified the authors' implementations so that they can work on the same train-test splits, and also use the same evaluation protocol and metrics. We tuned these models and evaluated their performance on three real world datasets from different application domains. Furthermore, we combined some of the architectures in an ensemble learning context, in an attempt to investigate if this can further boost the recommendation quality. We present extensive comparative results along with discussions.

## 1. Introduction

Recommender systems are information filtering techniques that aim to predict the level of preference of a user over a specific item. In the era of big data, such techniques have attracted the interest of the scientific community, as they provide a natural approach to improving the user experience on various services, through personalization. Classical recommender systems usually make use of either content-based or collaborative filtering approaches. Content-based filtering techniques utilize specific characteristics of an item in order to recommend additional items with similar properties, while collaborative filtering approaches utilize users' past behaviour i.e. preferences and interactions with items, as well as decisions of other users with similar interests. In most cases, collaborative filtering (CF) techniques yield improved predictions compared to the content-based approaches. There are two main categories of methods when it comes to CF; (i) the Nearest-Neighbor techniques, and (ii) the Matrix Factorization (aka Latent Factor) methods. As the Netflix Prize competition has demonstrated, Matrix Factorization methods are superior to classic Nearest-Neighbor techniques, as they allow the incorporation of additional information to the models, and can thus achieve improved

model capacity [8].

Recently, both academia and industry have been in a race to design deep learning based recommender systems in an attempt to overcome the obstacles of conventional models and to achieve higher recommendation quality. In fact, deep learning can effectively capture non-linear and non-trivial user-item relationships, and also enable codification of more complex abstractions as data representations in the higher levels [13]. Various deep neural network architectures have been proposed and shown to be effective for predicting user preferences. Neural Collaborative Filtering (NCF) generalizes the Matrix Factorization (MF) approach by replacing the inner product utilized in MF models by a multi-layer perceptron that can learn non-linear user-item interaction functions, and thus increases the expressiveness of the MF model [6]. Collaborative Memory Networks (CMN) unify the two classes of collaborative filtering models into a hybrid approach, combining the strengths of the global structure of the latent factor model, and the local neighborhood-based structure in a nonlinear fashion, by fusing a memory component and a neural attention mechanism as the neighborhood component [4]. Neural Graph Collaborative Filtering (NGCF) injects the collaborative signal into the embedding process by exploiting the user-item graph structure, so that it can

effectively model high-order connectivity in the user-item interaction graph, and thus achieves improved recommendation quality [12]. Other deep learning based recommendation methods include Autoencoders, [11], Variational Autoencoders (VAE), [9], and Restricted Boltzmann Machines (RBMs) [10]. However, as authors have stated in [3] there has been a reproducibility issue with regards to neural recommendation approaches.

In this work, we conduct an objective study of four recently proposed neural recommendation approaches, namely NCF [6], CMN [4], NGCF [12], and VAE [9], that can be used in the context of collaborative filtering for implicit feedback, in an attempt to contribute to the resolution of the reproducibility crisis [3]. It should be stated, that implicit feedback reflects users' preference through behaviours like watching videos, purchasing products, and clicking items [7]. As opposed to explicit feedback, i.e. ratings and reviews, implicit feedback can be tracked automatically and in vast amounts, but is more challenging to utilize, since only user-item interactions are collected instead of user preferences.

In Section 2, we briefly present the aforementioned approaches along with the main underlying concepts. In Section 3, we give extensive comparative results of the selected approaches on three datasets from different application domains, i.e MovieLens (movie recommendations) [5], Epinions (product recommendations) [2], and Jester (joke recommendations) [1]. In Section 4, we discuss the strengths and weaknesses of the selected methods based on the results, and finally, in Section 5, we summarize our work.

## 2. Models and Methods

### I) Neural Collaborative Filtering

**TODO:** Summary by Nik

### II) Collaborative Memory Network

**TODO:** Summary by Georg

### III) Neural Graph Collaborative Filtering

**TODO:** Summary by Anton

### IV) Variational Autoencoder

**TODO:** Summary by Phil

## 3. Results

### I) Experimental Setup

**TODO:** Here we should present the datasets, the data filtering and data splits, as well as the evaluation (i.e. sampling of  $k$  negatives and one positive) and the metrics we are using (i.e. Hit Ratio and NDCG). Also make a table with dataset characteristics. Also talk about the reformulation of the problems into the implicit feedback setting.

Dataset	#Users	#Items	#Interactions	Density
MovieLens	6,040	3,706	1,000,209	0.0447
Jester	24,938	100	616,912	0.2474
Epinions	27,453	37,274	99,321	0.0001

Table 1: Dataset statistics.

### II) Performance Comparison

**TODO:** Here we will present the tables and plots of the comparative results. Also state the methods' codenames. For example, Generalized Matrix Factorization with embedding size 8 has a codename GMF(8), Multi-layer Perceptron with two layers will be called MLP(2),

Neural Matrix Factorization with embedding size 8 and 2 layers will be called NeuMF(8,2) ... Something like that.

MovieLens	HR@10	NDCG@10
GMF(32)	0.7023	0.4202
MLP(4)	0.6616	0.3897
NeuMF(32,2)	0.7012	0.4233
CMN	0	0
NGCF	0	0
VAE	0	0

Table 2: Best performance achieved by each method (state configurations on codename) on MovieLens dataset.

Jester	HR@10	NDCG@10
GMF(16)	0.8411	0.7589
MLP(3)	0.8427	0.7569
NeuMF(8,2)	0.8404	0.7563
CMN	0	0
NGCF	0	0
VAE	0	0

Table 3: Best performance achieved by each method (state configurations on codename) on Jester dataset.

Epinions	HR@10	NDCG@10
GMF(64)	0.2348	0.1351
MLP(2)	0.3641	0.2135
NeuMF(32,2)	0.3750	0.2167
CMN	0	0
NGCF	0	0
VAE	0	0

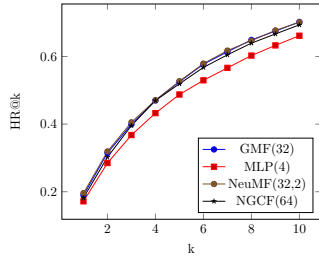
Table 4: Best performance achieved by each method (state configurations on codename) on Epinions dataset.

## 4. Discussion

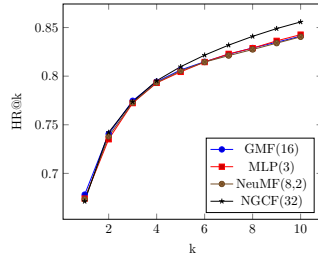
**TODO:** Here we will make comments on the results that were presented in the previous section, as well as on the advantages and limitations of the methods.

## 5. Summary

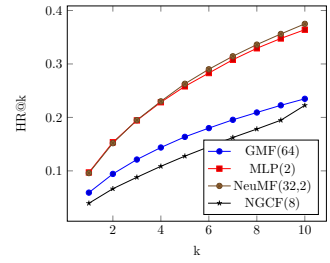
**TODO:** Here we will summarize our work. We conducted an objective experimental study of the methods in order to contribute to the resolution of the reproducibility crisis. What were our main conclusions? Furthermore, in order to be able to objectively compare the methods some modification/standardization of the authors' codes were needed. We need to briefly discuss these things.



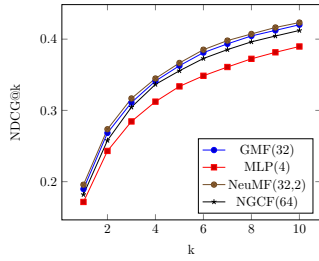
(a) *Movielens*



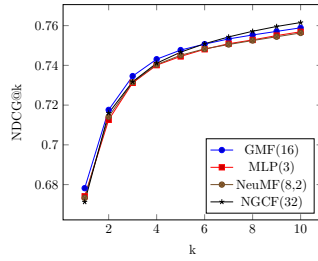
(b) *Jester*



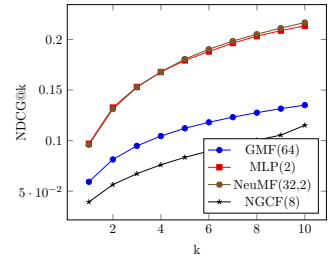
(c) *Epinions*



(d) *Movielens*



(e) *Jester*



(f) *Epinions*

Figure (1)

**Top row:** *Hit Ratio* versus  $k$ .

**Bottom row:** *NDCG* versus  $k$ .

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