# Autonomous Population Estimation in an Explorable Environment using a Counting CNN and Reinforcement Learning

A. G. Musco, M. Hoai Stony Brook University Stony Brook, NY

amusco@cs.stonybrook.edu, minhhoai@cs.stonybrook.edu

#### **Abstract**

Estimating the population size of some identifiable target in an explorable environment has many real-world applications, including animal population surveys, disaster relief operations, and crowd control at sporting events. In this paper, we describe a method to train an autonomous drone to count members of a target population in an explorable enviroment using a Counting CNN and reinforcement learning though OpenAI Gym and Gazebo.

#### 1. Introduction

#### 1.1. Motivation

In many applications where a target population must be estimated in an open environment, it is desireable to have an autonomous agent intelligently explore without direct operator feedback. Such intelligent behavior could allow for a single operator to supervise a fleet of autonomous agents without the need to directly control any agent individually, which could lead to dramatic boosts in efficiency and decreased assessment time.

#### 1.2. Methodology

The work described in this paper is split into two parts: 1) training a CNN to count the targets in the current frame, and 2) using this trained CNN to maximize the targets observed by a drone in a simulated environment.

For part 1, we used Oñoro and López-Sastre's *counting CNN* model [3] to train a CNN to output an accurate *density map* from an input image and it's corresponding *dot image*. Instead of manually labelling training images, we utilize Gazebo to simulate a virtual environment in order to generate input images and their corresponding dot images automatically.

For part 2, we used the trained CNN to count targets viewed by a simulated drone within Gazebo in a reinforcment learning context. In each episode, the drone takes actions in order to maximize the count of targets in the current frame. This reward-seeking behavior allows an agent to automatically assess an environment for a target population without direct operator control.

It is worth mentioning that several components of this experiment make use of *Gazebo*, a simulation environment used to develop and test robotic components. The code associated with this paper includes several Gazebo plugins used to generate training data, as well as to provide the environment used for reinforcement learning.

## 2. Counting CNN

The Counting CNN is based off the work by Oñoro and López-Sastre in [3]. Using the architecture desribed in this paper, we implemented a 6-layer CNN to learn a mapping from  $64 \times 64 \times 3$  color images to  $16 \times 16 \times 1$  density maps using the *TensorFlow* Python library. Once the density map for a particular image has been predicted, the in-frame population count can be calculated by integrating the raw pixel values over the entire density map.

More formally, let  $C(\mathcal{I})$  denote the true count of the target population within the image  $\mathcal{I} \in \mathbb{R}^{64 \times 64 \times 3}$ . Suppose there exists a function  $f: \mathbb{R}^{64 \times 64 \times 3} \to \mathbb{R}^{16 \times 16 \times 1}$  such that each pixel in the resulting density map  $f(\mathcal{I})$  encodes the target population "mass" in the corresponding pooled  $4 \times 4$  pixel region of the input image, and that the sum of all such pixels is equal to  $C(\mathcal{I})$ :

$$C(\mathcal{I}) = \sum_{p \in f(\mathcal{I})} f(\mathcal{I})_p \tag{1}$$

We thus define the following surrogate objective to counting the target population: learning the function f mapping  $\mathcal{I}$  to  $\mathcal{D}$ . Once an estimate  $f^*$  has been learned, we can count the target population in a new image  $\mathcal{I}'$  by producing  $f^*(\mathcal{I}')$  and summing over the resulting map:

$$C(\mathcal{I}') = \sum_{p \in f(\mathcal{I}')} f(\mathcal{I}')_p \approx \sum_{p \in f^*(\mathcal{I}')} f^*(\mathcal{I}')_p \qquad (2)$$

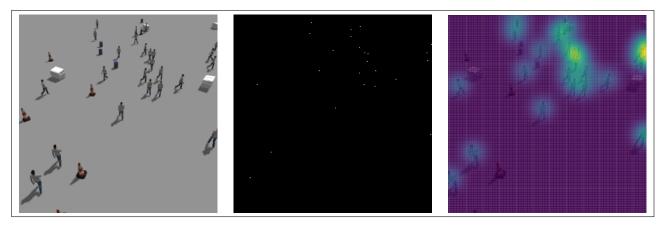


Figure 1. Image generated from Gazebo with its corresponding dot image and density map ( $\sigma^2=10$ )

This converts population counting into a regression problem which can be trained using a Convolutional Neural Network. To generate the density maps to train on, we first generate a binary dot image the same shape as the input image with a single "hot" pixel at the coordinates of each target class member in the frame. We then apply a Gaussian filter to distribute the "mass" more evenly throughout the image, which transforms the problem from binary detection into continuous regression. Note the important property that applying a Gaussian filter doesn't alter the total intensity- thus the sum of all pixels before applying the filter should be approximately equal to the sum after applying the filter. <sup>1</sup>

#### 2.1. Training

In the original paper by Oñoro and López-Sastre, the Counting CNN was trained on images that were manually annotated with a single dot for each member of the target class. This proves to be a time consuming and laborious task, which limits the amount of training data usable by the Counting CNN.

Instead of manually labelling real-world images, we leveraged Gazebo's virtual environment in order to automatically generate training images and their corresponding dot image labels. This provides a simple way to generate data on-demand for *any* desired population class, without the need to manually annotate each image. The only requirement is a sufficiently detailed model of the target class in the form of a mesh and a texture which can be fed into the Gazebo virtual environment.

Figure 1 displays a typical training image along with its dot image. In this example a simple pedestrian is the target class to be counted, though the environment includes several other objects such as traffic cones and dumpsters in

order to provide some noise and prevent over-fitting. The figure also includes an example density map overlayed on top of the source image, which represets the approximate "mass-per-pixel" of the target class. This density map is generated from the dot image by convolving with a Gaussian filter with zero mean and a tunable variance  $\sigma^2$ .

#### 2.2. CNN Architecture

As mentioned above, the Counting CNN trained in this experiment consisted of 6 primary convolutional layers with 2 pooling layers after the first and second convolutions. The convolutional layers gradually expand the output to 1,000 feature maps, while simultaneously reducing the patch size from  $64\times64$  to  $16\times16$ . This provides the network enough flexibility to learn intricate representations of the target class, as well as representations of common non-class signals 2 to be ignored. The last two layers of the network collapse the feature maps into a single output channel. This output channel is the learned density map with which an estimated population count can be produced.

Batch normalization [2] was applied after each covolutional layer in order to reduce covariate shift. This was observed to occur due to the varying scales of input images<sup>3</sup>.

#### 2.3. Data Pipeline

Rather than passing large raw images through the Counting CNN, the network takes as input patches of size  $64 \times 64 \times 3$ , and produces an output density map of size  $16 \times 16 \times 1$ . This provides a more consistent and reliable prediction model, where images of any size can be broken up into a dense grid of patches that are predicted individually,

<sup>&</sup>lt;sup>1</sup>There are cases, such as along the image boundary, where the Gaussian "blob" may be truncated, resulting in a loss of mass. This loss is assumed to be small, however, and within acceptable bounds to be considered random noise.

<sup>&</sup>lt;sup>2</sup> e.g. objects that commonly appear in input images but are not countable as members of the target class.

<sup>&</sup>lt;sup>3</sup>Images from higher altitudes had a much different intensity distribution that images much closer to the scene, and resulted in vanishing gradients in the lower convolutional layers.

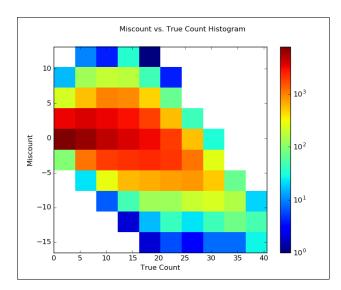


Figure 2. Histogram of miscount errors with respect to total inframe population count (*i.e. scale of the image*)

then reconstructed into a composite prediction for the entire image.

#### 2.4. Results

As is shown in Figure 2, the Counting CNN performed well for images with only a few pedestrians in-frame. As the number of pedestrians in-frame grows, there is a trend for the CNN to undercount (this can also be seen in the bottom row of Figure 3). We attribute this to the fact that the Counting CNN adapts to counting at a particular scale, and images at low angles tend to include pedestrians at a spectrum of scales. In other words, the Counting CNN is not capable of assigning a far pedestrian with the same weight as a near pedestrian, and therefore undercounts pedestrians in the background. This issue can be remedied by training multiple Counting CNNs at differing scales, and then combining the output of these networks in a fully-connected layer. This is implemented in the *Hydra CCNN* in [3], but was not implemented here to keep the network simple and fast.

Figure 3 showcases some sample prediction density maps along with their total predictions. As is implied in Figure 2, the Counting CNN performs well for images with less than 30 pedestrians at similar scale, but begins to undercount for pictures with many pedestrians varying scales. Note that in the samples provided the Counting CNN has learned to ignore many of the non-class signals (*traffic cones, barrels, dumpsters*) as well as account for mild occlusion of pedestrians.

# 3. Gym Gazebo

The OpenAI Gym [1] is a popular reinforcement learning framework for simple tasks and games, which paces an agent through several training "episodes" in a custom "environemnt". Each episode provides positive or negative feedback to the training agent depending on the actions it takes based on its observations. Zamora et.al. of Erle Robotics extended this framework in *Gym-Gazebo* [4], adding the ability to run this reinforcement learning cycle in Gazebo, and thus opening the framework to real-world robotics applications.

Using this Gym-Gazebo, we can train a drone to accurately count the target population in an environment using reinforcement learning. The simulated drone used in this experiment is the Erle-Copter by Erle Robotics, a hobbyist quadrotor equipped with an autopilot and on-board camera. Erle Robotics provides a Erle-Copter simulation with the Gym-Gazebo distribution, which allows for us to conveniently hook our custom learning formulation into the pre-existing framework.

## 3.1. Learning Objective

Using the pre-trained Counting CNN to provide an estimate of the in-frame population count, we can train the drone to seek a pose which maximizes the estimated inframe population. It is important to note that at this stage, it is assumed that the Counting CNN is a fixed structure in the observation pipeline, and not a trainable component. The Coutning CNN provides a noisy estimate of the in-frame population, which the agent can use to seek a higher reward. At this point, our objective is to learn the actions the drone should take to assume a pose which maximizes the observed count of the target population.

#### 3.2. Reinforcement Learning Formulation

Reinforcement learning is an iterative process which gradually produces an intelligent model through reward-seeking behavior. Here we outline the primary formulation for learning how to maximize the observed target population class by a drone in an explorable environment.

- 1. **Environment** Training takes place in a static virtual Gazebo environment, which consists of a target class population (*e.g. pedestrian models*) randomly distributed about the origin, along with randomly distributed accessory objects for noise.
- Agent The agent is a simulated Erle-Copter quadrotor drone, for which primary control is "black-boxed" through the on-board APM autopilot. The drone can takeoff, make aerial movements, and land using a simple command interface through ROS.

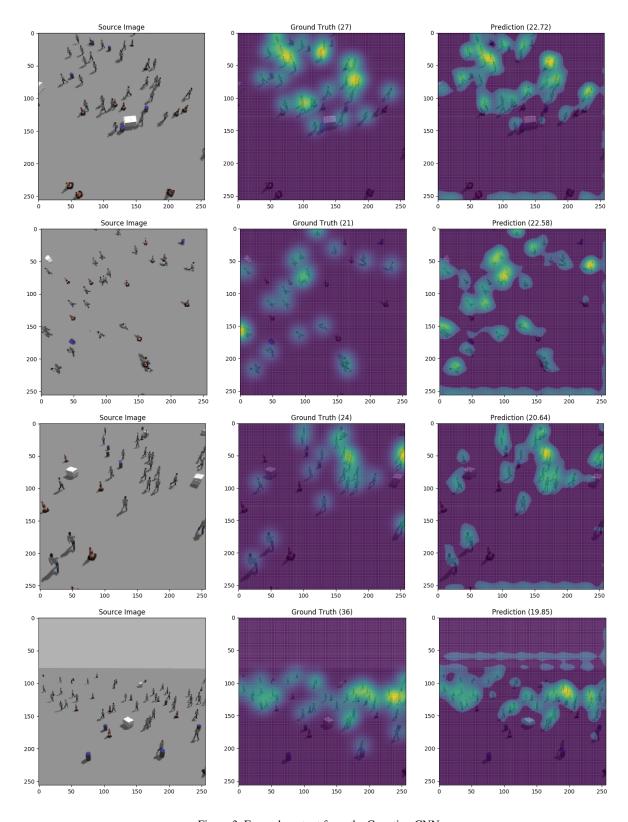


Figure 3. Example output from the Counting  $\ensuremath{\mathsf{CNN}}$ 

- 3. **Observation Model** The primary observation for the learning context is the estimated target popultation count in the current frame. This is provided to the agent by passing the current frame through the pretrained Counting CNN.
- 4. **Action Model** The drone can take 8 actions to maximize its reward: moving either way in any of the 3 cardinal directions, plus rotating in either direction about its central axis.
- Reward Model The reward for each action is directly proportional to the population estimate. The more of the target population that the drone can accurately observe, the higher the reward.

#### 4. Conclusions

The formulation described in this paper can be applied to any combination of target class, environment, and agent, depending on the problem at hand. Although this paper describes a single specific application (i.e. counting pedestrians with an aerial drone), it is a relatively simple exercise to adapt the pipeline to a different application, such as counting a particular species of coral using a submersible drone. The only requirements are a sufficiently detailed model of the target class, explorable environment, and agent control.

#### References

- [1] G. Brockman, V. Cheung, L. Pettersson, J. Schneider, J. Schulman, J. Tang, and W. Zaremba. Openai gym, 2016.
- [2] S. Ioffe and C. Szegedy. Batch normalization: Accelerating deep network training by reducing internal covariate shift. In F. R. Bach and D. M. Blei, editors, *ICML*, volume 37 of *JMLR Workshop and Conference Proceedings*, pages 448–456. JMLR.org, 2015.
- [3] D. Oñoro Rubio and R. J. López-Sastre. Towards perspective-free object counting with deep learning. In *ECCV*, 2016.
- [4] I. Zamora, N. G. Lopez, V. M. Vilches, and A. H. Cordero. Extending the openai gym for robotics: a toolkit for reinforcement learning using ros and gazebo, 2016.