

# CSE331 Introduction to Algorithm

## Lecture 11: The Hiring Problem

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# Introduction

- This lecture is an introduction to *randomized* algorithms.
- We study efficient randomized algorithms for the *hiring problem*.
- Some of the proofs will differ from the textbook.
- Reference: Section 5.1 of the textbook [Introduction to Algorithms](#) by Cormen, Leiserson, Rivest and Stein. (Available online from the UNIST library website.)

# The Hiring Problem

- You want to hire a new assistant.
- An employment agency sends you a candidate to interview every day.
- If the candidate is better than your previous assistant, you replace the previous assistant with the new candidate.

## Algorithm

```
1: procedure HIREASSISTANT( $n$ )
2:    $best \leftarrow 0$                                 ▷ Least qualified dummy candidate
3:   for  $i \leftarrow 1, n$  do
4:     interview candidate  $i$ 
5:     if candidate  $i$  is better than candidate  $best$  then
6:        $best \leftarrow i$ 
7:       hire candidate  $i$ 
```

# The Hiring Problem

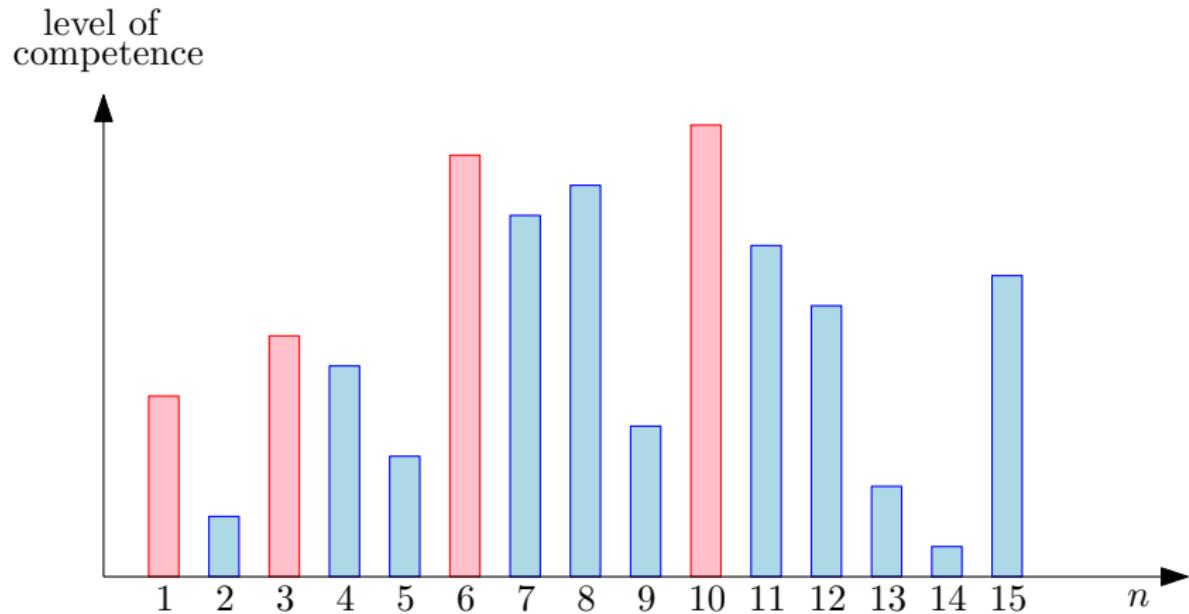
- Interviewing one candidate costs you  $c_i$ , hiring a new employee has a much higher cost  $c_h$ .
- So total cost is  $nc_i + mc_h$  where  $m$  is the number of times we hire.

## Problem

How can we minimize the total cost?

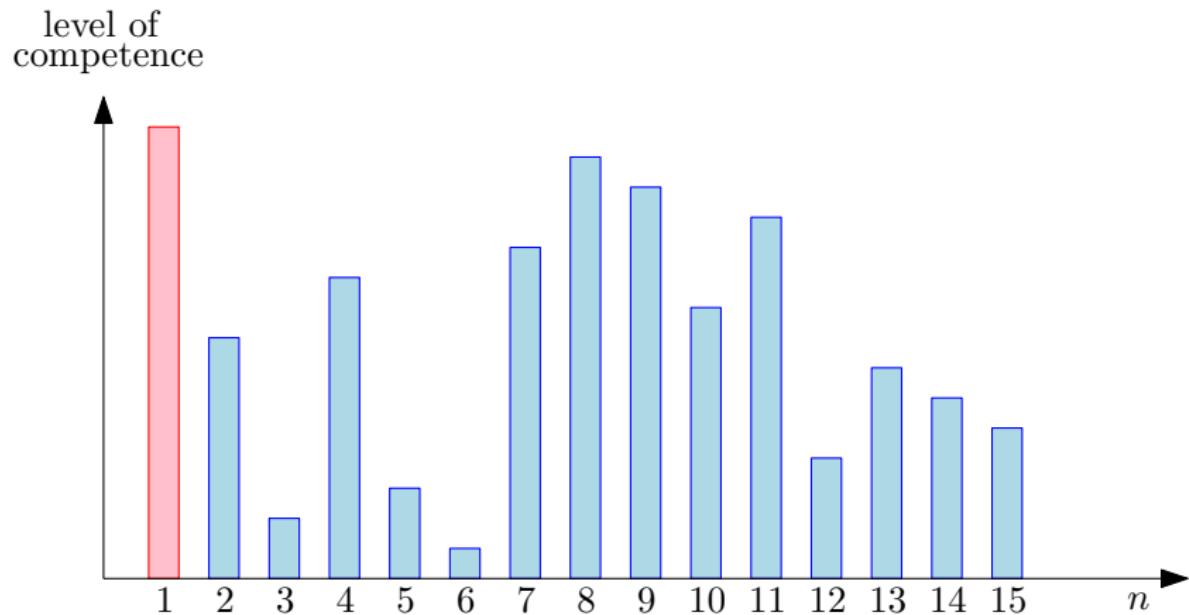
- As the term  $nc_i$  only depends on  $n$ , we focus on the hiring cost  $mc_h$ .
- In other words, we want to minimize  $m$ , the number of times we hire.

## Example 1



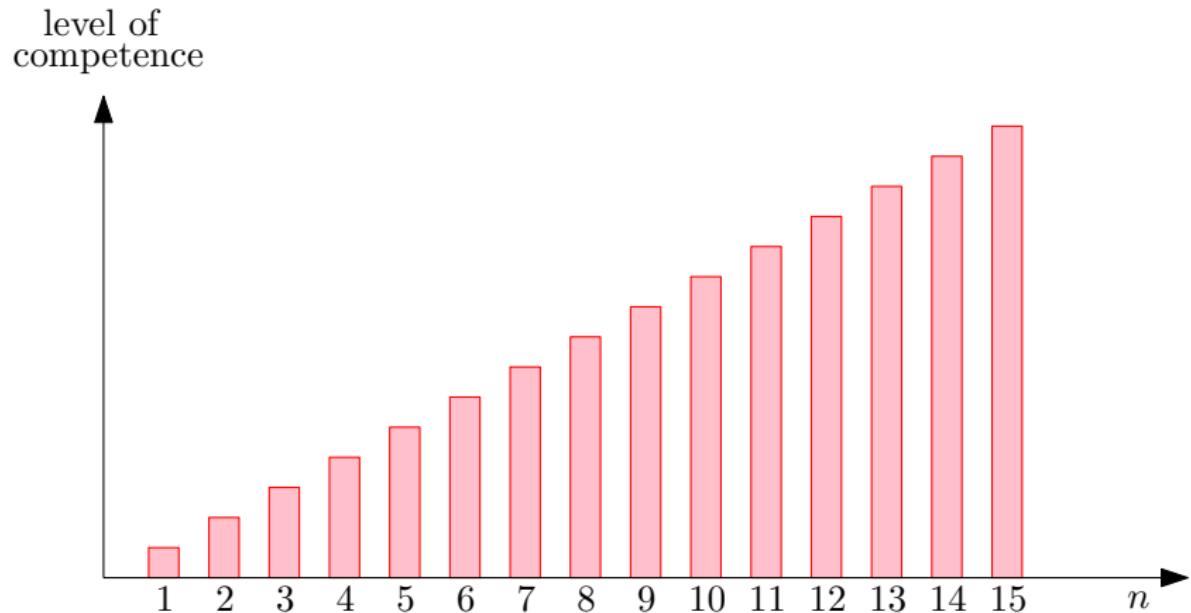
- If candidates are interviewed in this order, we hire 4 times:  $m = 4$ .

## Example 2



- Best case: we only hire the first applicant, and  $m = 1$ .

## Example 3



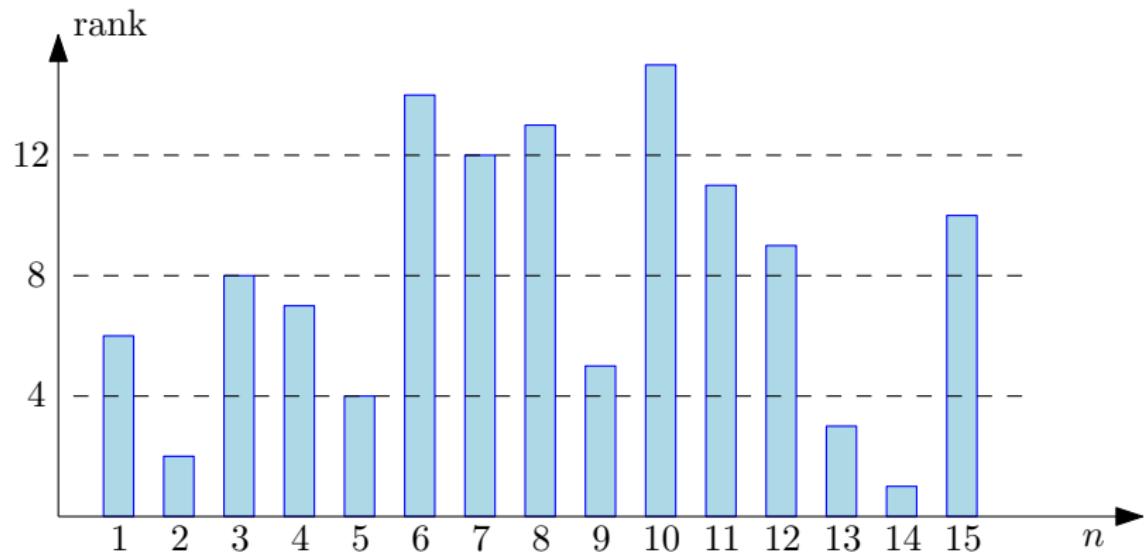
- Worst case:  $m = n$ .

## Assumption

- We made the implicit assumption that candidates can be ranked.
- So each candidate  $i$  has a *rank*  $r_i$ , and  $r_i > r_j$  means that  $r_i$  is better qualified.
- Without loss of generality, we may assume that  $(r_1, \dots, r_n)$  is a permutation of  $(1, \dots, n)$ .
- So we hire candidate  $i$  iff

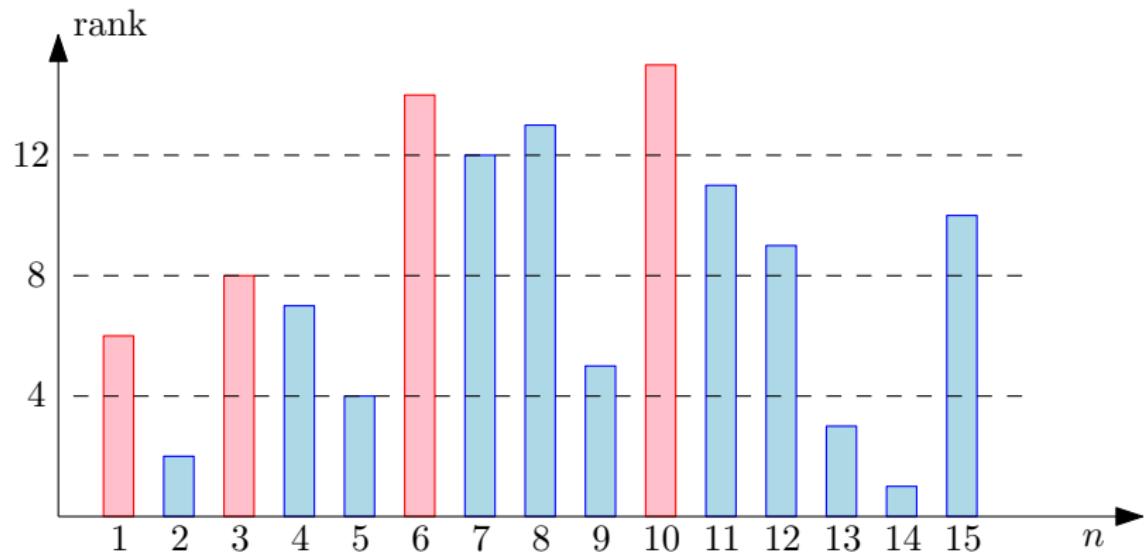
$$r_i = \max_{j=1, \dots, i} r_j.$$

## Example



- $(r_1, \dots, r_n) = (6, 2, 8, 7, 4, 14, 12, 13, 5, 15, 11, 9, 3, 1, 10)$

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# Analysis

- Before the interviews, we do not know the ranks.
- So we make the assumption that candidates arrive in a *random order* :  $(r_1, \dots, r_n)$  is a permutation of  $(1, \dots, n)$  chosen uniformly at random.
- Let  $X$  be the random variable whose value is the number of times we hire.
- Then the expected hiring cost in our process is

$$E[Xc_h] = E[X]c_h.$$

- So in order to analyze this algorithm, we will estimate  $E[X]$ .

# Analysis

- We define the random variable  $X_i$  as follows:

$$X_i = \begin{cases} 1 & \text{if candidate } i \text{ is hired,} \\ 0 & \text{otherwise.} \end{cases}$$

- Then

$$X = \sum_{i=1}^n X_i.$$

# Analysis

## Definition

The *indicator random variable*  $I_A$  associated with event  $A$  is the random variable that takes value 1 if  $A$  occurs, and 0 otherwise.

## Example

$X_i$  is the indicator random variable associated with the event *candidate  $i$  is hired*.

## Proposition

$E[I_A] = \Pr(I_A = 1)$  for any indicator random variable.

- Proof:

$$\begin{aligned} E[I_A] &= 0 \cdot \Pr(I_A = 0) + 1 \cdot \Pr(I_A = 1) \\ &= \Pr(I_A = 1) \end{aligned}$$

# Analysis

## Theorem (Linearity of Expectation)

Let  $Y_1, \dots, Y_n$  be  $n$  random variables. Let  $Y = \sum_{i=1}^n Y_i$ . Then

$$E[Y] = E\left[\sum_{i=1}^n Y_i\right] = \sum_{i=1}^n E[Y_i].$$

- Remark: It holds for any random variables, even if they are not independent. See discrete maths or probability course.

# Analysis

- Going back to our analysis:

$$\begin{aligned} E[X] &= E \left[ \sum_{i=1}^n X_i \right] \\ &= \sum_{i=1}^n E[X_i] && \text{by linearity of expectation} \\ &= \sum_{i=1}^n \Pr[X_i = 1] && \text{because } X_i \text{ is an indicator variable} \\ &= \sum_{i=1}^n \Pr[\text{candidate } i \text{ is hired}] \end{aligned}$$

# Analysis

- We still need to determine  $\Pr[\text{candidate } i \text{ is hired}]$ .
- It is the probability that  $r_i$  is the largest rank among  $r_1, r_2 \dots, r_i$ .
- As  $(r_1, \dots, r_n)$  is a permutation of  $(1, \dots, n)$  chosen uniformly at random, this probability is  $1/i$ .
- Therefore

$$E[X] = \sum_{i=1}^n \frac{1}{i}.$$

- This is the *harmonic series*, and it is well known that

$$\sum_{i=1}^n \frac{1}{i} = \ln(n) + O(1).$$

# Analysis

## Theorem

*If the candidates are presented in a random order, HIREASSISTANT has an average-case hiring cost  $\Theta(c_h \log n)$ .*

- This is much better than the worst case  $c_h n$ .
- Problem: If the candidates do not appear in random order, it does not hold. (For instance if they appear by decreasing rank.)

## How to Fix It?

- Suppose that the employment agency sends you in advance a list of  $n$  candidates, and every week you can choose which candidate you interview.
- You can first compute a random permutation of this list, and then interview in this order.
- Then the expected hiring cost becomes  $\Theta(c_h \log n)$  regardless of the order of the original list.

# Randomized Algorithm

## Pseudocode

```
1: procedure RANDOMIZEDHIREASSISTANT( $n$ )
2:   Randomly permute the list of candidates
3:    $best \leftarrow 0$ 
4:   for  $i \leftarrow 1, n$  do
5:     interview candidate  $i$ 
6:     if candidate  $i$  is better than candidate  $best$  then
7:        $best \leftarrow i$ 
8:     hire candidate  $i$ 
```

## Theorem

The expected hiring cost of RANDOMIZEDHIREASSISTANT is  $\Theta(c_h \log n)$ .

# Computing a Random Permutation

## Pseudocode

```
1: procedure PERMUTEBySORTING( $A[1 \dots n]$ )
2:    $P[1 \dots n] \leftarrow$  new array
3:   for  $i \leftarrow 1, n$  do
4:      $P[i] \leftarrow \text{random}(1, n^3)$        $\triangleright$  random number in  $\{1, 2, \dots, n^3\}$ 
5:   Sort  $A$  using  $P[i]$  as the key of  $A[i]$  for all  $i$ 
```

- Problem: if two keys are equal, it fails, i.e. the permutation is not chosen uniformly at random.
- The random number is chosen in  $\{1, \dots, n^3\}$  to ensure that it happens with probability  $\leq 1/n$ . (left as an exercise).
- In practice just generate a random floating-point number in  $[0, 1)$ .

# Computing a Random Permutation

- Remark: This method can be used with software such as Microsoft Excel or Open Office: generate a column of random numbers and sort according to it.

## Theorem

*If all keys are distinct, then PERMUTEBYSORTING produces a uniform random permutation.*

- Proof in textbook (Lemma 5.4 p. 125), not covered.
- Other problems:
  - ▶ this algorithm is not *in place* because it uses the auxiliary array  $P[1 \dots n]$ .
  - ▶ It runs in  $\Theta(n \log n)$  time if we sort using MERGE SORT.
- How to fix it?

# In-Place Computation of a Random Permutation

## Pseudocode

```
1: procedure RANDOMIZEINPLACE( $A[1 \dots n]$ )
2:   for  $i \leftarrow 1, n - 1$  do
3:     Exchange  $A[i]$  with  $A[\text{random}(i, n)]$ 
4:   
```

▷ random number in  $\{i, \dots, n\}$

## Theorem

RANDOMIZEINPLACE *computes a uniform random permutation.*

- Proof done in class, see textbook p. 126.

## Concluding Remarks

- In summary, for this problem, we can avoid worst-case behavior by computing a random permutation of the input.
- This approach applies to many other problems. For instance geometric problems. (See CSE520 Computational geometry.)
- Another example in next lecture (QUICKSORT).