

# **Documentation**

Version Alpha

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#### 1 Introduction

The importance of 16S rRNA gene amplicon profiles for understanding the influence of microbes in a variety of environments coupled with the steep reduction in sequencing costs led to a surge of microbial sequencing projects. The expanding crowd of scientists and clinicians wanting to make use of sequencing datasets can choose among a range of multipurpose software platforms, the use of which can be intimidating for non-expert users. Here we present the TIC-Pipeline, a mix of python, R, and bash tools that encode a series of well-documented choices for the downstream analysis of whole microbial studies, including the creation of ASVs, taxonomic assignments based on both known and novel taxonomic paths, a novel clustering method based on the taxonomic assignment and elementary graphing options. TIC-Pipeline is primarily a straightforward starting point for beginners, but can also be a framework for advanced users who can modify and expand the tool. As the community standards evolve, TIC-Pipeline will adapt to always represent the current state-of-the-art in microbial profiles analysis in the clear and comprehensive way allowed by the python language.

# 2 Organization

TIC-Pipeline is composed of 4 steps that can be run independently or as a set.

- 1. ASV-Creation
- 2. Taxonomy-Classification
- Taxonomy-Informed-Clustering
- Results\_Processing

Running them in the given order simplifies the process as the outputs of each step are often the inputs of the next. There is also an extra folder where the original data is recommended to be placed to keep the analysis of one study in a compact and organized structure. Inside the Original-Data folder are contained the template files that served as basis for the TIC-Pipeline presentation that can be used for training purposes. Before running any script, please make sure you have read and fully understood the corresponding section of the documentation for each step.

### 3 Quick Reference

#### 3.1 ASV-Creation

```
1 SAMPLES_PROCESS_STEP: Flag (YES/NO) enables the step.
2 USER_FASTQ_FOLDER: the full path of the FASTQ files.
3 TRIM_SCORE: the minimum quality score of the fastq read last position
      [3-20] [recommended 20]
4 MAXDIFF: This is the maximum mismatces during merging of reads
     allowed.
5 MINPCTID: Minimum \%identity of alignment. Default 90. Consider
     decreasing if you have long overlaps.
6 MINMERGELEN: 200 or 380 or 250 the minimun length allowed after
     pairing of reads.
7 MAXMERGELEN: 260 or 440 or 310 the maximun length allowed after
     pairing of reads.
8 FORWARD_TRIM: length of trimming at the forward side of a read
     [5-25][recommended 10].
9 REVERSE_TRIM: length of trimming at the reverse side of a read
     [5-25][recommended 10].
10 EXPECTED_ERROR_RATE: the maximum rate of expected errors allowed in
     the assemblied paired end reads 0.01 is 1\.
12 # -
14 ASV_CREATION_STEP: Flag (YES/NO) enables the step.
15 MIN_ZOTU_SIZE: The minimum size of a ZOTU to be counted (smaller more
      sensitive but slower)
```

## 3.2 Taxonomy-Classification

12 EXTRACTION\_REGION\_LIMIT: the minimum number of bases that should be present **in** the sina aligned region selected, so the sequence **is** outputed. This number **is** multiplied by 0.80 to be more generous so keep this **in** mind.

OUTPUT\_FASTA\_EXTRACTION: Provide the full path of the extracted region ASVs with taxonomic information FASTA **file**.

#### 3.3 Taxonomy-Informed-Clustering

- 1 TAXONOMIC\_CLUSTERING\_STEP: Flag (YES/NO) enables the step.
- 2 CLUSTERING\_DIRECTORY: Full path directory to save the results of the taxonomic split and taxonomic clustering.
- 3 INPUT\_FASTA\_CLUSTERING: Full path directory of the FASTA with taxonomic classifications which will be used as **input** of the step.
- 4 FAMILY\_IDENTITY: Percentage identity on the Family level. [0-GENERA\_IDENTITY].
- 5 GENERA\_IDENTITY: Percentage identity on the GENERA level. [ FAMILY\_IDENTITY-SPECIES\_IDENTITY].
- 6 SPECIES\_IDENTITY: Percentage identity on the GENERA level. [0-100].

#### 3.4 Results\_Processing

- 1 RESULTS\_CREATION\_STEP: Flag (YES/NO) enables the step.
- 2 OUTPUT\_FOLDER: Full path of the directory where all results will be saved.
- OUTPUT\_ASV\_FASTA\_WITH\_TAXONOMY: Name of the output FASTA **file** containing the ASVs along with their full taxonomic information.
- 4 OUTPUT\_ASV\_TABLE: Name of the output TAB file containing the ASVs along with their full taxonomic information and number of reads in each sample of the study.

#### 4 Detailed Reference

#### 4.1 ASV-Creation

This is the first step of the TIC-Pipeline. It produces ASVs from your FASTQ files. The FASTQ files should be in the directory specified by the option USER\_FASTQ\_FOLDER. In the same directory, a 'mapping\_file.ssv' must also exist. This file is space-seperated and contains the information of which FASTQ files are paired, and what sample they should be assigned to. Please refer to the next table for a short example (the header line is only for comprehension, and should not be included):

Sample Name	Paired Flag	Forward File	Reverse File
SRR2127221	2	SRR2127221_1.fastq	SRR2127221_2.fastq
ERR8971239	1	ERR8971239_1.fastq	

The ASV-Creation step contains the quality and chimera filtering, trimming and error identification part of the TIC-Pipeline. We propose that you trim the bases on the FASTQ file that are of lower than 20 on the quality score (TRIM\_SCORE option). The options for the merging of reads are self-explanatory. You can specify the maximum mismatches during the merging of reads and also the percentage of identity of alignment (MAXDIFF and MINPC-TID respectively) After the merging of reads, you can control which reads are either too short to be included in the downstream analyses or too long with the options: MINMERGELEN and MAXMERGELEN. After the reads are produced, the pipeline continues by trimming the forward and reverse side of each read, this is done principally to remove primers. The EXPECTED\_ERROR\_RATE option sets the maximum rate of expected errors allowed in the assemblied paired end reads. All these stages are run by usearch v11. [2].

The paired end reads are checked for chimeras using the SortMeRNA tool [3] with the SILVA bacteria and archaea databases used as references.

For producing the ASVs from the non-chimeric reads you only have to specify the MIN\_ZOTU\_SIZE. Any ZOTU that contains less than this number is discarded. Please keep in mind, when specifying this option that smaller values than the default 4 makes the ASVs more sensitive but a lot slower

## 4.2 Taxonomy-Classification

This is the second step of the TIC-Pipeline. Every produced ASV is aligned and classified using SINA [5] and the SILVA ARB file as reference. Each ASV is aligned to 50.000 positions and is classified up to the GENUS taxonomic level

with the majority voting rule implemented in SINA, first find the 10 closest neighbors of the input sequence in the ARB file and then vote which is the lowest taxonomic rank that the majority of the neighbors' taxonomy agrees. This step also includes a sub-step that sums for each of the 50.000 position how many bases are aligned in that position. This vector is then plotted by the R script 'ggplot\_alignment\_vector.R' and creates a PDF output in the 'PDF\_REGION\_OUTPUT' option, that helps you identify which region should be extracted for the next step and how many bases should be at least aligned in that region so that the ASV is included in your clustering 1.

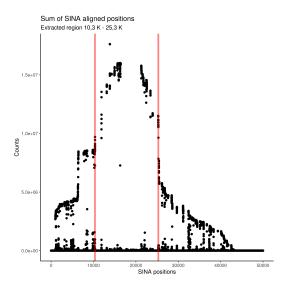


Figure 1: Sum of bases aligned in each position.

The first time you run this command, it will produce an index of the ARB file. This process is slow but it happens only this one time and is expected by the pipeline.

## 4.3 Taxonomically Informed Clustering

This is the third step of the TIC-Pipeline. Usearch when clustering does not take into account differences in the importance or mutation rates of bases. It simply calculates how similar are the sequence as a percentage and if it is greater than a specific threshold the sequences are pooled together or are split. By following the TIC-Pipeline Each ASV now has a designated taxonomy. To avoid the above caveat of usearch, we split the ASVs into seperate files bases on their last known taxonomy level. This counteracts some greediness of usearch by clustering smaller parts of the ASVs alone rather than as a whole. Additionally our complex taxonomically informed clustering, tries to

identify misses from the sina classification process. Each ASV that misses one or multiple taxonomic levels is searched against the sequences included in the taxonomic clade of the tree, and only if it does not match with any of those sequences, it creates new families, genera etc 2.

Since there is no specific percentage similarity cutoff for the differentiation of Phyla, Classes and Orders, TIC only produces new families, genera and species and if higher taxonomic levels are missing it pools them together in the UNKPHULUM, UNKCLASS and UNKORDER, respectively.

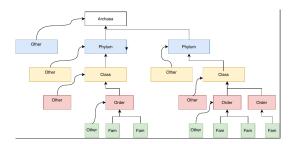


Figure 2: Sum of bases aligned in each position.

#### 4.4 Results Processing

This is the forth and final step of the TIC-Pipeline. In this step, we gather all outputs produced in the previous process and try to create simple graphical representations of your data. All outputs are placed in the new folder specified by OUTPUT\_FOLDER in the config\_options.txt The ASV sequences are assigned to the full taxonomies produced from TIC and written to the file specified by OUTPUT\_ASV\_FASTA\_WITH\_TAXONOMY. The OUTPUT\_ASV\_TABLE contains the number of reads of each sample in your study as well as their full taxonomy 3.

#ZOTU ID	SAMPLE_NAME_1	SAMPLE_NAME_2	Taxonomy
Zotu13	129	35	Bacteria; Proteobacteria; Gamma proteobacteria; Pseudomonadales; Halomonadaceae; Halomonas; SOTU165;
Zotu34	178	86	Bacteria;MBNT15;UNKCLASS;UNKORDER;FOTU209;GOTU537;SOTU1599;

Figure 3: Two lines from the ASV tab file.

A graphlan taxonomic tree [1] as well as a Krona [4] plot are created and written in the output folder. All red clades in the taxonomic tree represent novel families, orders etc. 4.

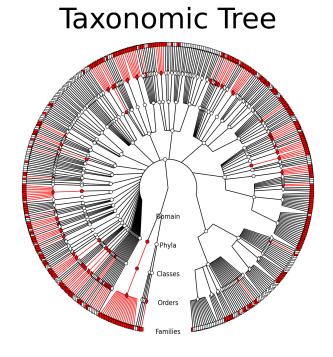


Figure 4: A taxonomic tree produced in the final step of the pipeline.

# **Bibliography**

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