

# Lecture 1

## Magic cloaks

This lecture is based on a lecture of Sergei Ivanov [5].

### A Visually identical manifolds

Given a Riemannian manifold  $M$  denote by  $SM$  its unit tangent bundle

$$SM = \{ v \in TM : |v| = 1 \}.$$

Recall that geodesic flow  $\varphi^t$  preserves the natural volume on  $SM$ .

Suppose  $M$  has nonempty boundary  $\partial M$ ; in other words,  $M$  is a closed region of a ambient Riemannian manifold bounded by a smooth hypersurface  $\partial M$ . Denote by  $S^+\partial M$  the set of unit vectors at points on  $\partial M$  that point in  $M$ ;  $S^+\partial M$  is a bundle over  $\partial M$  with fibers formed by closed half-spheres.

Consider a geodesic  $\gamma_v$  in the direction of a vector  $v \in S^+\partial M$ . Suppose that  $\gamma_v$  hits the boundary again; denote by  $\ell_v$  the first *hitting time*, so  $\gamma_v(\ell_v) \in \partial M$ . Note that in this case  $v = -\gamma'(\ell) \in S^+\partial M$ . Note that  $v \mapsto v$  describes a partially defined involution on  $SM$  which we will call *return map*.

Suppose that  $M$  and  $\bar{M}$  be two compact connected Riemannian manifolds with boundary such that a neighborhood of  $\partial M$  can be isometrically identified with a neighborhood of  $\partial \bar{M}$ , and moreover, the return maps in  $M$  and  $\bar{M}$  are identical. In this case we say that  $M$  and  $\bar{M}$  are *visually identical*.

Notice that a complement of a small ball in the standard sphere  $\mathbb{S}^n$  is visually identical to the complement of identical ball in the projective space  $\mathbb{RP}^n = \mathbb{S}^n / \mathbb{Z}_2$ .

Note that the hitting time functions for the constructed pair of manifolds are not identical. No examples of nonisometric visually identical manifolds with identical hitting time seems to be known.

**1.1. Exercise.** *Construct a pair of diffeomorphic visually identical Riemannian manifolds.*

*Hint:* Attach two examples as above by smooth tubes to you favorite Riemannian manifold.

**1.2. Theorem.** *Let  $M$  be a connected compact region of Euclidean space of dimension at least 2 cut by a smooth hypersurface. Then any visually identical manifold to  $M$  is isometric to  $M$ .*

**1.3. Corollary.** *Suppose a Riemannian metric  $g$  on  $\mathbb{R}^n$  coincides with Euclidean metric  $g_0$  outside of a compact set  $K$ . Suppose that that the complement  $\gamma \setminus K$  of any  $g$ -geodesic  $\gamma$  coincides with the complement of a line. Then  $(\mathbb{R}^n, g)$  is isometric to the Euclidean space.*

Note that one cannot claim that  $g = g_0$ . Indeed for any diffeomorphism  $\varphi: \mathbb{R}^n \rightarrow \mathbb{R}^n$  that is identical outside  $K$  the metric  $g = \varphi_*g$  satisfies the assumption in the corollary. Clearly one can choose  $\varphi$  so that  $\varphi_*g_0 \neq g_0$ .

## B Hitting time

Recall that hitting time function  $U \mapsto \ell(U)$  returns the time when the geodesic  $\gamma_U$  first hits the boundary.

**1.4. Lemma.** *Suppose that a manifold  $\bar{M}$  is visually identical to a compact region  $M$  in Euclidean space of dimension at least 2. Then  $M$  and  $\bar{M}$  have identical hitting time functions.*

*Proof.* Note that we can cut  $M$  from the Euclidean space  $E$  and glue  $\bar{M}$  back in along the isometry in the definition of visually identical manifolds. This way we obtain a complete Riemannian manifold  $\bar{E}$  that is Euclidean outside of a  $\bar{M}$ .

Suppose that a smooth hypersurface  $\Sigma$  in  $E$  surrounds  $M$ . Then  $\Sigma$  cuts from  $E$  and  $\bar{E}$  visually identical manifolds. Moreover if  $\bar{M}$  is not isometric to  $M$ , then the obtained pair of visually identical manifolds are not isometric as well.

It follows that we can assume that  $M$  is a ball. (This is true for the proof of the lemma and theorem as well.)

In this case any geodesic  $\bar{\gamma}$  in  $\bar{E}$  visits  $\bar{M}$  at most once. In other words, if  $\bar{\gamma}$  enters  $\bar{M}$ , then the complement  $\bar{\gamma} \setminus \bar{M}$  has two connected components which are parts of a line  $\gamma$  in  $E$ .

Choose a unit-speed geodesic  $\bar{\gamma}$  that visits  $\bar{M}$ . Let include it in a smooth one-parameter family of unit-speed geodesics  $\bar{\gamma}_\tau$  for  $\tau \in [0, 1]$  so that  $\bar{\gamma}_0$  does not visit  $\bar{M}$  and  $\bar{\gamma}_1 = \bar{\gamma}$ .

We can assume that the vector field  $\bar{\mathbf{I}} = \frac{\partial}{\partial \tau} \bar{\gamma}_\tau(t)$  is orthogonal to  $\bar{\mathbf{T}} = \frac{\partial}{\partial t} \bar{\gamma}_\tau(t)$  at every point  $\bar{\gamma}_\tau(t_0)$  for some fixed  $t_0$ .

Observe that in this case  $\langle \bar{\mathbf{I}}, \bar{\mathbf{T}} \rangle = 0$  at all points  $\bar{\gamma}_\tau(t)$ . Indeed

$$\begin{aligned} \frac{\partial}{\partial t} \langle \bar{\mathbf{I}}, \bar{\mathbf{T}} \rangle &= \bar{\mathbf{T}} \langle \bar{\mathbf{I}}, \bar{\mathbf{T}} \rangle = \\ &= \langle \nabla_{\bar{\mathbf{T}}} \bar{\mathbf{I}}, \bar{\mathbf{T}} \rangle + \langle \bar{\mathbf{I}}, \nabla_{\bar{\mathbf{T}}} \bar{\mathbf{T}} \rangle = \\ &= \langle \nabla_{\bar{\mathbf{T}}} \bar{\mathbf{I}}, \bar{\mathbf{T}} \rangle = \\ &= \frac{1}{2} \cdot \bar{\mathbf{I}} \langle \bar{\mathbf{T}}, \bar{\mathbf{T}} \rangle = \\ &= \frac{\partial}{\partial \tau} |\bar{\gamma}'_\tau(t)|^2 = \\ &= 0. \end{aligned}$$

That is  $\langle \bar{\mathbf{I}}, \bar{\mathbf{T}} \rangle$  does not depend on  $t$ . Since  $\langle \bar{\mathbf{I}}, \bar{\mathbf{T}} \rangle = 0$  at all points  $\bar{\gamma}_\tau(t_0)$ , the same holds for all points  $\bar{\gamma}_\tau(t)$ .

Consider a family of geodesics  $\gamma_\tau$  in  $E$  that coincide with  $\bar{\gamma}_\tau$  (as sets) outside of  $M$ . The same argument shows that  $\langle \mathbf{I}, \mathbf{T} \rangle = 0$  at all points  $\gamma_\tau(t)$ . It follows that  $\bar{\mathbf{I}} = \mathbf{I}$  outside of  $\bar{M}$  and  $M$  correspondingly. Therefore  $\gamma_\tau(t) = \bar{\gamma}(t)$  for any  $t$  and  $\tau$ , provided that  $\bar{\gamma}(t) \notin \bar{M}$ . Whence the  $\gamma$  spends exactly the same time in  $M$  as  $\bar{\gamma}$  spends in  $\bar{M}$  and the lemma follows.  $\square$

*Comment.* The vector fields  $\mathbf{I}$  as in the proof restricted to  $\gamma_\tau$  are called *Jacobi fields* along  $\gamma_\tau$ ; we will see them again.

**1.5. Exercise.** Suppose  $\bar{M}$  and  $\bar{E}$  be as in the proof. Show that there is a universal upper bound on time that a unit-speed geodesic spends in  $\bar{M}$ .

*Hint:* Show that the set of vectors  $\mathbf{u} \in S\bar{E}$  such that  $\gamma_{\mathbf{u}}([0, T]) \subset \bar{M}$  is open and closed; here  $T = 2 \cdot \text{diam } M$  and  $\gamma_{\mathbf{u}}$  is the geodesic defined by  $\gamma'_{\mathbf{u}}(0) = \mathbf{u}$ .

## C Volume equality

**1.6. Lemma.** Suppose that  $M$  and  $\bar{M}$  are visually identical. Then

$$\text{vol } M = \text{vol } \bar{M}.$$

*Proof.* Recall that  $\bar{s}: S\bar{M} \rightarrow \bar{M}$  denotes the unit tangent bundle over  $\bar{M}$  and  $\bar{\varphi}^t: S\bar{M} \rightarrow S\bar{M}$  denotes the geodesic flow.

Set  $\bar{\Omega} = \bar{s}^{-1}(\bar{M})$ . Since geodesic flow preserves the volume, we get

$$\begin{aligned} \text{vol } \mathbb{S}^{n-1} \cdot \text{vol}(\bar{M}, g) &= \text{vol } \bar{\Omega} = \\ &= \text{vol}[\bar{\varphi}^t(\bar{\Omega})]. \end{aligned}$$

By 1.5, we can choose  $t$  so that  $s(v) \notin \bar{M}$  for any  $v \in \varphi^t(\bar{\Omega})$ .

Repeat the same construction for  $M$ . By 1.4, the set  $\varphi^t(\Omega) = \bar{\varphi}^t(\bar{\Omega})$ . In particular,

$$\text{vol } \Omega = \text{vol}[\varphi^t(\Omega)] = \text{vol}[\bar{\varphi}^t(\bar{\Omega})] = \text{vol } \bar{\Omega}.$$

whence the result follows.  $\square$

## D Santalo formula

Santalo formula gives an expression for a volume of a Riemannian manifold with boundary in terms of hitting times of its geodesics. It provides a more direct proof of 1.6.

Suppose  $M$  is a Riemannian manifold with nonempty boundary  $\partial M$ . Recall that

- ◊  $SM$  denotes the unit tangent bundle of a Riemannian manifold  $M$ .
- ◊  $S^+\partial M$  denotes by the set of unit vectors at points on  $\partial M$  that point in  $M$ .
- ◊  $\ell: S^+\partial M \rightarrow [0, \infty]$  denoted the hitting time.

**1.7. Theorem.** *Let  $M$  be an  $n$ -dimensional Riemannian manifold with nonempty boundary. Suppose that any unit-speed geodesic in  $M$  hits its boundary in finite time. Then for any smooth function  $f: SM$  the following identity holds:*

$$\int_{w \in SM} f(w) = \int_{v \in S^+\partial M} \int_0^{\ell(v)} f[\gamma'_v(t)] \cdot dt.$$

In particular, by taking  $f \equiv 1$ , we get

$$\text{vol } \mathbb{S}^{n-1} \cdot \text{vol } M = \int_{v \in S^+\partial M} \ell(v).$$

**1.8. Exercise.** *Construct two Riemannian metrics  $g_0$  and  $g_1$  on the disc  $\mathbb{D}$  that coincide near the boundary and such that*

$$\text{area}(\mathbb{D}, g_0) > \text{area}(\mathbb{D}, g_1),$$

but

$$\ell_0(\xi) < \ell_1(\xi),$$

where  $\ell_i(\xi)$  denotes hitting time of  $g_i$ -geodesic in the direction  $\xi$ ; that is,  $\ell_i(\xi)$  is the length of  $g_i$ -geodesic that starts at a point  $p \in \partial\mathbb{D}$  in the direction  $\xi$ .

Why does this example not contradict Santalo's formula?

## E More exercises

Two Riemannian metrics  $g_0$  and  $g_1$  on  $M$  are called *conformally equivalent* if there is a function  $\lambda$  such that  $g_1 = \lambda^2 \cdot g_0$ . In this case the function  $\lambda$  is called *conformal factor*. Note that for any  $g_0$ -unit-speed curve  $\gamma: [a, b] \rightarrow M$  we have

$$\text{length}_{g_1} \gamma = \int_a^b \lambda \circ \gamma(t) \cdot dt$$

**1.9. Exercise.** Let  $g_0$  be the canonical metric on the projective space  $\mathbb{RP}^n$ ; that is,  $(\mathbb{RP}^n, g_0)$  is isometric to the quotient space of the unit sphere  $\mathbb{S}^n$  by central symmetry. Suppose that  $g_1$  is conformally equivalent to  $g_0$ . Denote by  $\ell_0$  and  $\ell_1$  the length of shortest noncontractible closed curves in  $(\mathbb{RP}^n, g_0)$  and  $(\mathbb{RP}^n, g_1)$  respectively (so  $\ell_0 = \pi$ ). Show that

$$\frac{\text{vol}(\mathbb{RP}^n, g_1)}{\ell_1^n} \geq \frac{\text{vol}(\mathbb{RP}^n, g_0)}{\ell_0^n}.$$

*Hint:* Use that geodesic flow preserves volume of the unit tangent bundle to rewrite the integral of conformal factor over  $(\mathbb{RP}^n, g_0)$  and interpret the result.

Let  $(M, g)$  be a Riemannian manifold. The Sasaki metric is a natural choice of Riemannian metric  $\hat{g}$  on the total space of the tangent bundle  $\tau: TM \rightarrow M$  defined the following way:

Identify the tangent space  $T_u[TM]$  for any  $u \in T_p M$  with the direct sum of vertical and horizontal subspaces  $T_p M \oplus T_p M$ . The projection of this splitting is defined by the differential  $d\tau: TTM \rightarrow TM$  and we assume that the velocity of a curve in  $TM$  formed by a parallel field along a curve in  $M$  is horizontal. Then  $T_u[TM]$  is equipped with the metric  $\hat{g}$  defined by

$$\hat{g}(X, Y) = g(X^V, Y^V) + g(X^H, Y^H),$$

where  $X^V$  and  $X^H \in T_p M$  denote the vertical and horizontal components of  $X \in T_u[TM]$ .

**1.10. Exercise.** *Let  $g$  be the canonical Riemannian metric on the sphere  $S^2$ . Consider the tangent bundle  $TS^2$  equipped with the induced Sasaki metric  $\hat{g}$ . Let  $S_R$  be the hypersurface in  $TS^2$  of vectors with norm  $R$ ; we assume that  $S_R$  is equipped with induced Riemannian metric.*

*Show that  $\text{vol } S_R \rightarrow \infty$  as  $R \rightarrow \infty$ , but  $\text{diam } S_R$  stays bounded for all  $R$ .*

## F Remarks

Theorem 1.2 proved by Mikhael Gromov [4]. It has a number of variations and generalizations. In particular an analog of this theorem holds in the following cases:

- ◊ For 2-dimensional Riemannian manifolds with unique geodesic between any two points [7].
- ◊ In hyperbolic space [1] and for regions in a round hemisphere [6].
- ◊ If  $M$  is a Riemannian manifold with unique geodesic between any two points, then the theorem holds for the product  $\mathbb{R} \times M$  [3].
- ◊ For any Riemannian manifold, provided that the metric tensor is modified to in a sufficiently small region [2].

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