Invitation to comparison geometry

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Chapter 1

Isoperimetric inequality

For any plane figure F with perimeter ℓ, its area a satisfies the following inequality:

❶ $$4\pi{\cdot}a \leqslant \ell^2.$$

Moreover the equality holds iff F is congruent to a round disk.

This is the so-called *isoperimetric inequality* on the plane. Let us restate it without formulas, using the comparison language.

1.1. Isoperimetric inequality. *The area of a plane figure bounded by a closed curve of length ℓ can not exceed the area of a round disk with the same circumference ℓ. Moreover the equality holds only if the figure is congruent to the disk.*

The comparison reformulation has some advantages — it is more intuitive and it is also easier to generalize.

1.2. Exercise. *Come up with a formulation of the isoperimetric inequality on the unit sphere. Try to reformulate it as an algebraic inequality similar to ❶.*

Recall that a plane figure F is called *convex* if for every pair of points $x, y \in F$, the line segment $[x, y]$ that joins the pair of points also lies in F.

convex   not convex

The following exercise reduces the isoperimetric inequality to the case of convex figures:

1.3. Exercise. *Assume F is a plane figure bounded by a closed curve of length ℓ. Show that there is a convex figure $F' \supset F$ bounded by a closed curve of length at most ℓ.*

The following problem is named after Dido, the legendary founder and first queen of Carthage.

1.4. Dido's problem. *The figure of maximal area bounded by a straight line and a curve of given length with endpoints on that line is a half-disk.*

1.5. Exercise. *Show that Dido's problem follows from the isoperimetric inequality and the other way around.*

1.6. Exercise. *Use the isoperimetric inequality in the plane to show that among all the polygons with given sides, the convex polygons inscribed in circles have maximal area.*

1.7. Exercise. *Find the minimal length of a curve that divides the unit square in a given ratio α.*

1.1   Lawlor's proof

Here we present a sketch of the proof of Dido's problem based of the idea of Gary Lawlor in [1]. Before getting into the proof, try to solve the following exercise.

1.8. Exercise. *An old man walks along a trail around a convex meadows and pulls a brick tied to a rope of unit length (the rope is always strained). After walking around he noticed that the brick is at the same position as at the beginning. Show that the area between the trail and the path of the brick equals the area of the unit disk.*
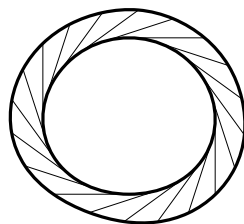
Sketch of the proof. Let F be a convex figure bounded by a line and a curve $\gamma(t)$ of length ℓ; we can assume that γ is a unit speed curve so the set of parameters is $[0, \ell]$.

Imagine that we are walking along the curve with a stick of length r so that the other end of the stick drags as we walk. Assume that initially at $t = 0$ the stick points in the direction of $\gamma(\ell)$ — the other end of γ.

Note that if r is small then most of the time we drag the stick behind. Therefore at the end of the walk the stick will have made more than half turn and will point to the same side of the figure.

Let R be the radius of the half-circle $\tilde{\gamma}(t)$ of length ℓ. Assume we walk along $\tilde{\gamma}$ with a stick of length R the same way as described above. Note that the other end does not move (it always lies in the center) and the direction of the stick changes with rate $\frac{1}{R}$. Note further that for γ this rate is at most $\frac{1}{R}$. Therefore after walking along γ, the stick of length R will rotate at most as much as if we walk along $\tilde{\gamma}$.

It follows that there is a positive value $r \leqslant \leqslant R$ such that after walking along γ with a stick of length r, it will rotate exactly half turn, so at the end it will point towards $\gamma(0)$.

Imagine that the stick of length r is covered with red paint and it paints the area below it. If we move with velocity v then the angular velocity (radians per second) of the stick is at most $\frac{v}{r}$ and the equality holds if we move perpendicularly to the stick. Therefore we paint at a rate of at most $\frac{1}{2}v \cdot r$.

If we do the same for the half-disk of radius R and a stick of length R with blue paint, then we paint the area of the disk without overlap with the rate $\frac{1}{2}v \cdot R$. Since $r \leqslant R$, the total red-painted area can not exceed the blue-painted area, that is, D.

It remains to show that all F is red-painted. Fix a point $p \in F$. Notice that at the beginning the point p lies on the left from the stick and at the end it lies on the right form it. Therefore there will be a moment t_0 when the side changes from left to right. At this time the point must be on the line containing the stick. Moreover, if it lies on the extension then the side changes from right to left. Therefore p has to lie under the stick; that is, p is painted.

$\square$

1.9. Exercise.   *Find the steps with cheating in the above proof and try to fix them.*

1.10. Exercise.   *Read and understand the original proof of Gary Lawlor in [1].*
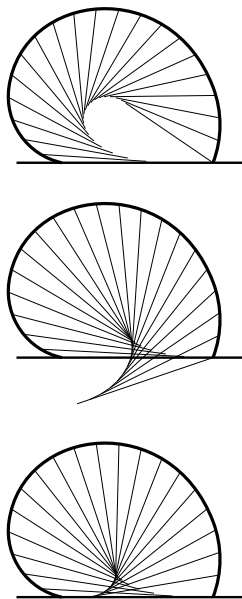
Chapter 2

Length

The material of this and the following chapters overlaps largely with [2, Chapter 5].

2.1 Length of curve

2.1. Definition. *Consider a* plane curve $\alpha\colon [a, b] \to \mathbb{R}^2$; *a continuous mapping from the real interval $[a, b]$ to the Euclidean plane $\mathbb{R}^2$.*

If $\alpha(a) = p$ and $\alpha(b) = q$, we say that α is a curve from p to q.

A curve $\alpha\colon [a, b] \to \mathbb{R}^2$ is called closed *if $\alpha(a) = \alpha(b)$.*

A curve α is called simple *if it is described by an injective map; that is $\alpha(t) = \alpha(t')$ if and only if $t = t'$. However, a closed curve $\alpha\colon [a, b] \to \mathbb{R}^2$ is called simple if it is injective everywhere except at the ends; that is, if $\alpha(t) = \alpha(t')$ for $t < t'$ then $t = a$ and $t' = b$.*

A closed curve is called convex *if it bounds a convex region.*

2.2. Advanced exercise. *Let $\alpha\colon [0, 1] \to \mathbb{R}^2$ from p to q. Assume $p \neq q$ Show that there is a simple curve $\beta\colon [0, 1] \to \mathbb{R}^2$ from p to q that runs in the image of α; that is for any $t \in [0, 1]$ there is $t' \in [0, 1]$ such that $\beta(t) = \alpha(t')$.*

Recall that a sequence

$$a = t_0 < t_1 < \cdots < t_k = b.$$

is called a *partition* of the interval $[a, b]$.

2.3. Definition. *Let $\alpha\colon [a, b] \to \mathbb{R}^2$ be a curve. The* length *of α is defined as*

$$\text{length } \alpha = \sup\{|\alpha(t_0) - \alpha(t_1)| + |\alpha(t_1) - \alpha(t_2)| + \ldots$$
$$\cdots + |\alpha(t_{k-1}) - \alpha(t_k)|\}.$$

where the exact upper bound is taken over all partitions

$$a = t_0 < t_1 < \cdots < t_k = b.$$

Note that $\text{length } \alpha \in [0, \infty]$; *the curve* α *is called* rectifiable *if its length is finite.*

Informally, one could say that the length of a curve is the exact upper bound of the lengths of polygonal lines *inscribed* in the curve.

2.4. Exercise.   *Assume* $\alpha\colon [a, b] \to \mathbb{R}^2$ *is a smooth curve, in particular the velocity vector* $\alpha'(t)$ *is defined and depends continuously on* t. *Show that*

$$\text{length } \alpha = \int\limits_a^b |\alpha'(t)| \cdot dt.$$

2.5. Exercise.   *Construct a nonrectifiable curve* $\alpha\colon [0, 1] \to \mathbb{R}^2$.

A closed simple plane curve is called *convex* if it bounds a convex figure.

2.6. Proposition.   *Assume a convex figure* A *bounded by a curve* α *lies inside a figure* B *bounded by a curve* β. *Then*

$$\text{length } \alpha \leqslant \text{length } \beta.$$

Note that it is sufficient to show that for any polygon P inscribed in α there is a polygon Q inscribed in β with $\text{perim } P \leqslant \text{perim } Q$, where $\text{perim } P$ denotes the perimeter of P.

Therefore it is sufficient to prove the following lemma.

2.7. Lemma.   *Let* P *and* Q *be polygons. Assume* P *is convex and* $Q \supset P$. *Then* $\text{perim } P \leqslant \text{perim } Q$.

Proof. Note that by the triangle inequality, the inequality

$$\text{perim } P \leqslant \text{perim } Q$$

holds if P can be obtained from Q by cutting it along a chord; that is, a line segment with ends on the boundary of Q that lies in Q.
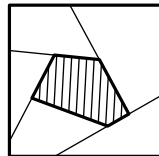
Note that there is an increasing sequence of polygons

$$P = P_0 \subset P_1 \subset \cdots \subset P_n = Q$$

such that P_{i-1} obtained from P_i by cutting along a chord. Therefore

$$\operatorname{perim} P = \operatorname{perim} P_0 \leqslant \operatorname{perim} P_1 \leqslant \ldots$$
$$\ldots \leqslant \operatorname{perim} P_n = \operatorname{perim} Q$$

and the lemma follows. □

2.8. Corollary. *Any convex closed curve is rectifiable.*

Proof. Any closed curve is bounded; that is, it lies in a sufficiently large square.

By Proposition 2.6, the length of the curve can not exceed the perimeter of the square, hence the result. □

2.2 Semicontinuity of length

Recall that the lower limit of a sequence of real numbers (x_n) is denoted by

$$\varliminf_{n\to\infty} x_n.$$

It is defined as the lowest partial limit; that is, the lowest possible limit of a subsequence of (x_n). The lower limit is defined for any sequence of real numbers and it lies in the exteded real line $[-\infty, \infty]$

2.9. Theorem. *Length is a lower semi-continuous with respect to pointwise convergence of curves.*

More precisely, assume that a sequence of curves $\alpha_n \colon [a, b] \to \mathbb{R}^2$ converges pointwise to a curve $\alpha_\infty \colon [a, b] \to \mathbb{R}^2$; that is, $\alpha_n(t) \to \alpha_\infty(t)$ for any fixed $t \in [a, b]$ as $n \to \infty$. Then

❶ $$\varliminf_{n\to\infty} \operatorname{length} \alpha_n \geqslant \operatorname{length} \alpha_\infty.$$

Note that the inequality ❶ might be strict. For example the diagonal α_∞ of the unit square

can be approximated by a sequence of stairs-like polygonal curves α_n with sides parallel to the sides of the square (α_6 is on the picture). In this case

$$\operatorname{length} \alpha_\infty = \sqrt{2} \quad \text{and} \quad \operatorname{length} \alpha_n = 2$$

for any n.

Proof. Fix $\varepsilon > 0$ and choose a partition $a = t_0 < t_1 < \cdots < t_k = b$ such that

$$\text{length}\,\alpha_\infty < |\alpha_\infty(t_0) - \alpha_\infty(t_1)| + \cdots + |\alpha_\infty(t_{k-1}) - \alpha_\infty(t_k)| + \varepsilon.$$

Set

$$\Sigma_n := |\alpha_n(t_0) - \alpha_n(t_1)| + \cdots + |\alpha_n(t_{k-1}) - \alpha_n(t_k)|.$$
$$\Sigma_\infty := |\alpha_\infty(t_0) - \alpha_\infty(t_1)| + \cdots + |\alpha_\infty(t_{k-1}) - \alpha_\infty(t_k)|.$$

Note that $\Sigma_n \to \Sigma_\infty$ as $n \to \infty$ and $\Sigma_n \leqslant \text{length}\,\alpha_n$ for each n. Hence

$$\varliminf_{n\to\infty} \text{length}\,\alpha_n \geqslant \text{length}\,\alpha_\infty - \varepsilon.$$

Since $\varepsilon > 0$ is arbitrary, we get ❶.   □

2.3   Axioms of length

Concatenation. Assume $\alpha\colon [a,b] \to \mathbb{R}^2$ and $\beta\colon [b,c] \to \mathbb{R}^2$ are two curves such that $\alpha(b) = \beta(b)$. Then one can combine these two curves into one $\gamma\colon [a,c] \to \mathbb{R}^2$ by the rule $\gamma(t) = \alpha(t)$ for $t \leqslant b$ and $\gamma(t) = \beta(t)$ for $t \geqslant b$. The obtained curve γ is called the *concatenation* of α and β and is denoted as $\gamma = \alpha * \beta$.

Note that

$$\text{length}(\alpha * \beta) = \text{length}\,\alpha + \text{length}\,\beta$$

for any two curves α and β such that the concatenation $\alpha * \beta$ is defined.

Reparametrization. Assume $\alpha\colon [a,b] \to \mathbb{R}^2$ is a curve and $\tau\colon [c,d] \to [a,b]$ is a continuous strictly monotonic onto map. Consider the curve $\alpha'\colon [c,d] \to \mathbb{R}^2$ defined by $\alpha' = \alpha \circ \tau$. The curve α' is called a *reparametrization* of α.

Note that

$$\text{length}\,\alpha' = \text{length}\,\alpha$$

whenever α' is a reparametrization of α.

2.10. Proposition. *Let ℓ be a functional that returns a value in $[0, \infty]$ for any curve $\alpha\colon [a,b] \to \mathbb{R}$.*

Assume it satisfies the following properties:

(i) *(Normalization) If $\alpha\colon [a,b] \to \mathbb{R}^2$ is a linear curve,[1] then*

$$\ell(\alpha) = |\alpha(a) - \alpha(b)|.$$

(ii) *(Additivity) If the concatenation $\alpha * \beta$ is defined, then*

$$\ell(\alpha * \beta) = \ell(\alpha) + \ell(\beta).$$

(iii) *(Motion invariance) The functional ℓ is invariant with respect to the motions of the plane; that is, if m is an isometry of the plane, then*

$$\ell(m \circ \alpha) = \ell(\alpha)$$

for any curve α.

(iv) *(Reparametrization invariance) If α' is a reparametrization of a curve α then*

$$\ell(\alpha') = \ell(\alpha).$$

(In fact linear reparametrizations will be sufficient.)

(v) *(Semi-continuity) If a sequence of curves $\alpha_n\colon [a,b] \to \mathbb{R}^2$ converges pointwise to a curve to a curve $\alpha_\infty\colon [a,b] \to \mathbb{R}^2$, then*

$$\lim_{n\to\infty} \ell(\alpha_n) \geqslant \ell(\alpha_\infty).$$

Then

❷ $$\ell(\alpha) = \operatorname{length} \alpha$$

for any plane curve α.

Proof. Note that from normalization and additivity, the identity

❸ $$\ell(\beta) = \operatorname{length} \beta$$

holds for any polygonal line β that is linear on each edge.

Note that the following two inequalities

❹ $$\ell(\alpha) \leqslant \operatorname{length} \alpha$$

❺ $$\ell(\alpha) \geqslant \operatorname{length} \alpha$$

imply ❷; we will prove them separately.

Fix a curve $\alpha\colon [a,b] \to \mathbb{R}^2$ and a partition $a = t_0 < t_1 < \ldots < t_k = b$. Consider the curve $\beta\colon [a,b] \to \mathbb{R}^2$ defined as the linear

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[1]That is $\alpha = w + v{\cdot}t$ for some vectors w and v.

segment from $\alpha(t_i)$ to $\alpha(t_{i+1})$ on each interval $t \in [t_i, t_j]$. By the definition of length,

$$\text{length}\,\beta \leqslant \text{length}\,\alpha.$$

Since the map $\alpha\colon [a,b] \to \mathbb{R}^2$ is continuous, one can find a sequence of partitions of $[a,b]$ such that the corresponding curves β_n converge to α pointwise. Applying the semi-continuity of ℓ, ❸ and the definition of length, we get that

$$\ell(\alpha) \leqslant \varliminf_{n\to\infty} \ell(\beta_n) =$$
$$= \varliminf_{n\to\infty} \text{length}\,\beta_n \leqslant$$
$$\leqslant \text{length}\,\alpha.$$

Hence ❹ follows.

Note that a curve $\alpha\colon [a,b] \to \mathbb{R}^2$ with a partition $a = t_0 < t_1 < \ldots < t_k = b$ can be considered as a concatenation

$$\alpha = \alpha_1 * \alpha_2 * \cdots * \alpha_k$$

where α_i is the restriction of α to $[t_{i-1}, t_i]$.

Observe that there is a sequence of motions m_i of the plane so that

$$m_i \circ \alpha(t_i) = m_{i+1} \circ \alpha(t_i)$$

for any i and the points

$$m_1 \circ \alpha(t_0), m_1 \circ \alpha(t_1), \ldots m_k \circ \alpha(t_k)$$

lie in that order on a single line. For the concatenation

$$\gamma = (m_1 \circ \alpha_1) * (m_2 \circ \alpha_2) * \cdots * (m_k \circ \alpha_k)$$

we have

$$\ell(\gamma) = \ell(\alpha).$$

Assume α is rectifiable. In this case we can find a sequence of partitions of $[a,b]$ such that reparametrizations of γ_n converge to a linear segment γ_∞'; denote these reparametrizations by γ_n'. Also, $\text{length}\,\gamma_\infty' = \text{length}\,\alpha$; indeed, since γ_∞' is linear,

$$\text{length}\,\gamma_\infty' = |\gamma_\infty'(a) - \gamma_\infty'(b)| =$$
$$= \lim_{n\to\infty} \Sigma_n =$$
$$= \text{length}\,\alpha.$$

where Σ_n is the sum in the definition of length for the n-th partition. Hence it is sufficient to choose a sequence of partitions such that $\Sigma_n \to$ $\to \operatorname{length} \alpha$.

Applying additivity, invariance of ℓ with respact to motions and reparametizations, we get that

$$\ell(\alpha) = \lim_{n \to \infty} \ell(\gamma_n) =$$
$$= \lim_{n \to \infty} \ell(\gamma'_n) \geqslant$$
$$\geqslant \ell(\gamma'_\infty) =$$
$$= \operatorname{length} \alpha.$$

Hence ❺ follows.

If α is not rectifiable, a similar constriction produce an approximation of an arbitrary long line segment. (We need to run zig-zag to reduce the distance $|\gamma'_\infty(a) - \gamma'_\infty(b)|$.) It follows that

$$\ell(\alpha) \geqslant |\gamma'_\infty(a) - \gamma'_\infty(b)|.$$

Since $|\gamma'_\infty(a) - \gamma'_\infty(b)|$ can take arbitrary large value, we get $\ell(\alpha) = \infty$. $\qquad \square$

2.11. Exercise. *Construct a functional ℓ that satisfies all the conditions in Proposition 2.10 except the semi-continuity.*

2.4 Crofton formula

Let α be a plane curve and u a unit vector. Denote by α_u the orthogonal projection of α to a line ℓ in the direction of u; that is, $\alpha_u(t) \in \ell$ and $\alpha(t) - \alpha_u(t) \perp \ell$ for any t.

2.12. Crofton formula. *The length of any plane curve α is proportional to the average of the lengths of its projections α_u for all unit vectors u. Moreover for any plane curve α we have*

$$\operatorname{length} \alpha = \tfrac{\pi}{2} \cdot \overline{\operatorname{length} \alpha_u},$$

where $\overline{\operatorname{length} \alpha_u}$ denotes the average value of $\operatorname{length} \alpha_u$.

Proof. First let us show that the formula

❻ $$\operatorname{length} \alpha = k \cdot \overline{\operatorname{length} \alpha_u},$$

holds for some fixed coefficient k. It will follow once we show that both sides of formula satisfy the length axioms in 2.10.

The normalization can be achieved by adjusting k.

The semi-continuity of the right hand side follows since length α_u is semi-continuous and therefore the average has to be semi-continuous.

It is straightforward to check the remaining properties.

It remains to find k. Let us apply the formula ❻ to the unit circle. The circle has length $2{\cdot}\pi$ and its projection to any line has length 4 — it is a segment of length 2 traveled back and forth. Evidently the average value is also 4, so

$$2{\cdot}\pi = k{\cdot}4,$$

hence $k = \frac{\pi}{2}$.   □

Reformulation via number of intersections. Given a unit vector u and a real number ρ, consider the line of vectors w on the plane satisfying the equation

$$\langle u, w \rangle = \rho,$$

where $\langle u, w \rangle$ denotes the scalar product. Any line on the plane admits exactly two such presentations with pairs (u, ρ) and $(-u, -\rho)$. A pair (u, ρ) describes uniquely an *oriented* line — that is a line with a chosen unit normal vector.

Fix a unit vector u_0 and denote by $u(\varphi)$ the result of rotating u_0 counterclockwise by the angle φ. Denote by $\ell(\varphi, \rho)$ the oriented line asociated to the pair $(u(\varphi), \rho)$. To describe any line, we need a pair $(\varphi, \rho) \in (-\pi, \pi] \times \mathbb{R}$.

For a curve α, set $n_\alpha(\varphi, \rho)$ to be the number of parameter values t such that $\alpha(t)$ lies on the line $\ell(\varphi, \rho)$. The value $n_\alpha(\varphi, \rho)$ is a non-negative integer or ∞. Note that if α is a simple curve, then $n_\alpha(\ell)$ is the number of intersections of α with ℓ.

2.13. Another Crofton formula.   *For any curve α,*

$$\text{length}\, \alpha = \tfrac{1}{4}{\cdot} \iint\limits_{(-\pi, \pi] \times \mathbb{R}} n_\alpha(\rho, \varphi){\cdot}d\rho{\cdot}d\varphi.$$

the integral is to be understood in the sense of Lebesgue.

By definition of average value,

$$\overline{\text{length}\, \alpha_u} = \frac{1}{2{\cdot}\pi}{\cdot} \int\limits_{-\pi}^{\pi} \text{length}\, \alpha_{u(\varphi)}{\cdot}d\varphi.$$

Therefore the proof of this reformulation of the Crofton follows from the following observation.

2.14. Observation. *If $u = u(\varphi)$, then*

$$\text{length } \alpha_u = \int_{\mathbb{R}} n_\alpha(\rho, \varphi) \cdot d\rho;$$

The proof is straightforward for those who understand Lebesgue integral.

Variations. The same argument can be used to derive other formulas of the same type. For example.

Recall that a big circle in a sphere is the intersection of the sphere with a plane passing thru its center. For example, the equator as well as the meridians are big circles.

2.15. Spherical Crofton formula. *The length of any curve α in the unit sphere is π times the average number of its crossings with big circles.*

More presciently, given a unit vector u, denote by $n_\alpha(u)$ the number of crossings of α and the equator with pole at u. Then

$$\text{length } \alpha = \pi \cdot \overline{n_\alpha(u)}.$$

Equivalently,
$$\text{length } \alpha = \overline{\text{length } \alpha_u},$$

where α_u denotes the curve obtianed by closest point projection of α to the equator with pole at u.

2.16. Exercise. *Come up with Crofton formulas for curves in the Euclidean space via projections to lines and to planes. Find the coefficients in those formulas.*

2.5 Applications

Alternative proof of Proposition 2.6. Note that

$$\text{length } \beta_u \geqslant \text{length } \alpha_u$$

for any unit vector u. Indeed α_u runs back and forth along a line segment and β_u has to run at least that much.

It follows that
$$\overline{\text{length } \beta_u} \geqslant \overline{\text{length } \alpha_u}.$$

It remains to apply the Crofton formula. $\qquad\qquad\square$

Recall that the diameter of a plane figure F is defined as the least upper bound on the distances between pairs of its points; that is,

$$\operatorname{diam} F = \sup \{ \, |x - y| \, : \, x, y \in F \, \}.$$

The equilateral triangle with side 1 gives an example of a convex figure of diameter 1 that cannot be covered by a round disc of diameter 1.

2.17. Exercise.   *Assume F is a convex figure of diameter 1 and D is the round disc of diameter 1. Show that*

$$\operatorname{perim} F \leqslant \operatorname{perim} D.$$

A convex figure F has constant width a if the orthogonal projection of F to any line has length a. There are many non-circular shapes of constant width. A nontrivial example is the Reuleaux triangle shown on the picture; it is the intersection of three round disks of the same radius, each having its center on the boundary of the other two. The following exercise is the so called Barbier's theorem.

2.18. Exercise.   *Show that figures with constant width a have the same perimeter (which equals $\pi{\cdot}a$ — the perimeter of the round disc of diameter a).*

2.19. Exercise.   *Let γ be a closed curve in the unit sphere of length shorter than $2{\cdot}\pi$. Show that γ lies in a hemisphere.*

2.20. Exercise.   *Let α be a closed curve of length π. Show that it lies between a pair of parallel lines at distance 1 from each other.*

2.21. Exercise.   *A spaceship flies around a nonrotating planet of unit radius and comes back to the original position; it was able to take a picture of every point on the surface of the planet.*
    Try to use the Crofton formulas to get a lower bound on the length of its trajectory (does not need to be exact, but should be larger than $2{\cdot}\pi$).
   *What do you think could be the shortest trajectory?*

The Hausdorff distance $d_H(F, G)$ between two closed bounded sets F and G in the plane is defined as the exact lower bound on $\varepsilon > 0$ such that the ε-neighborhood of F contains G and the ε-neighborhood of G contains F.

2.22. Exercise. *Assume F and G are two closed convex figures on the plane such that $d_H(F,G) < \varepsilon$. Show that*

$$|\operatorname{perim} F - \operatorname{perim} G| < 2{\cdot}\pi{\cdot}\varepsilon.$$

Given two sets A and B on the plane, the set C is called their *Minkowski sum* (briefly $C = A + B$) if C is formed by adding each vector in A to each vector in B; that is,

$$C = \{\, a + b : a \in A,\ b \in B \,\}.$$
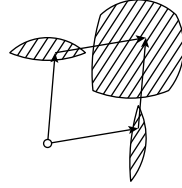
Note that if A and B are convex then so is $C = A + B$.

Indeed, A is convex if and only if for any pair of points $a_0, a_1 \in A$ and any $t \in [0,1]$, the point $a_t = (1 - t){\cdot}a_0 + t{\cdot}a_1$ belongs to A. Similarly, B is convex if and only if for any pair of points $b_0, b_1 \in B$ and any $t \in [0,1]$, the point $b_t = (1 - t){\cdot}b_0 + t{\cdot}b_1$ belongs to A.

Fix a pair of points $c_0, c_1 \in C$; by the definition of Minkowski sum, there are two pairs of points $a_0, a_1 \in A$ and $b_0, b_1 \in B$ such that $c_0 = a_0 + b_0$ and $c_1 = a_1 + b_1$. Then

$$
\begin{aligned}
c_t &= (1 - t){\cdot}c_0 + t{\cdot}c_1 = \\
&= (1 - t){\cdot}(a_0 + b_0) + t{\cdot}(a_1 + b_1) = \\
&= [(1 - t){\cdot}a_0 + t{\cdot}a_1] + [(1 - t){\cdot}b_0 + t{\cdot}b_1] = \\
&= a_t + b_t.
\end{aligned}
$$

That is, $c_t \in C$ for any $t \in [0,1]$, hence the result.

2.23. Exercise. *Show that*

$$\operatorname{perim}(A + B) = \operatorname{perim} A + \operatorname{perim} B$$

for any pair of convex figures in the plane.

2.24. Exercise. *Use Exercise 2.23 and Lemma 2.7 to give another solution of Exercise 2.22.*

2.25. Exercise. *Let γ be a curve that lies in a convex figure F in the plane.*

Let γ be a curve that lies inside a convex figure F on the plane. Assume that

$$2{\cdot} \operatorname{length} \gamma \geqslant n{\cdot} \operatorname{perim} F$$

for some integer n. Show that there is a line ℓ that intersects γ in at least n distinct points.

Chapter 3

Total curvature

3.1 Smooth regular curves

Here we introduce the so called *total curvature of a curve*. In general the term *curvature* is used for something that measures how much a geometric object deviates from being straight; total curvature is not an exception — as you will see, if the total curvature of a curve is zero, then the curve runs along a straight line.

Let $\alpha\colon [a, b] \to \mathbb{R}^3$ be a *smooth regular* curve — smooth means that the velocity vector $\alpha'(t)$ is defined and is continuous with respect to t, and regular means that $\alpha'(t) \neq 0$ for all t. If the curve α is closed then we assume in addition that $\alpha'(a) = \alpha'(b)$.

Denote by $\tau(t)$ the unit vector in the direction of $\alpha'(t)$; that is, $\tau(t) = \frac{\alpha'(t)}{|\alpha'(t)|}$. Then $\tau\colon [a, b] \to \mathbb{S}^2$ is an other curve which is called *tangent indicatrix* of α. The length of τ is called the *total curvature of α*; that is,

$$\operatorname{TotCurv} \alpha := \operatorname{length} \tau.$$

3.1. Exercise. *Show that*

$$\operatorname{TotCurv} \alpha \geqslant 2 \cdot \pi$$

for any smooth closed regular curve α.

Moreover, the equality holds if and only if α is a closed convex curve that lying in a plane.

The above exercise is the so called Fenchel's theorem.

3.2   General definition

The total curvature of a polygonal line is defined as the sum of its external angles.

More precisely, for a polygonal line $\beta = p_0 \ldots p_n$, the external angle at the vertex p_i is defined as $\alpha_i = \pi - \angle p_{i-1} p_i p_{i+1}$. The total curvature of the polygonal line $\beta = p_0 \ldots p_n$ is defined as the sum

$$\operatorname{TotCurv} \beta = \alpha_1 + \cdots + \alpha_{n-1};$$

it is defined if the polygonal line is *nondegenerate*; that is, $p_{i-1} \neq p_i$ for any i.

If the polygonal line $p_0 \ldots p_n$ is closed; that is $p_0 = p_{n+1}$ you add one more angle

$$\alpha_0 + \alpha_1 + \cdots + \alpha_{n-1},$$

where $\alpha_0 = \pi - \angle p_n p_0 p_1$.

One can define the tangent indicatrix of a polygonal line β as a spherical polygonal line (each edge is an arc of a big circle in the sphere) whose vertexes are the unit vectors $\xi_1, \ldots, \xi_n$ in the directions of $p_1 - p_0, p_2 - p_1, \ldots, p_n - p_{n-1}$ correspondingly; if the polygonal line is closed then we add one more vertex ξ_0 in the direction of $p_0 - p_n$ and two more edges $\xi_0 \xi_1$ and $\xi_n \xi_0$ so the indicatrix of a closed polygonal line is a closed spherical polygonal line.

Note that the total curvature of a polygonal line is the length of its tangent indicatrix.

3.2. Exercise. *Let a, b, c, d and x be distinct points in $\mathbb{R}^3$. Show that*

$$\operatorname{TotCurv} abcd \geqslant \operatorname{TotCurv} abxcd.$$

3.3. Exercise. *Use Exercise 3.2 to prove an analog of Fenchel's theorem (Exercise 3.1) for closed polygonal lines.*

We gave two definitions of total curvature: the first one is given in Section 3.1 via the tangent indicatrix — it works for smooth regular curves; the second, via external angles — it works for polygonal lines. The latter can be used to define total curvature of arbitrary curves.

Let $\alpha \colon [a, b] \to \mathbb{R}^3$ be a curve and $a = t_0 < \cdots < t_n = b$ a partition. Set $p_i = \alpha(t_i)$. Then the polygonal line $p_0 \ldots p_n$ is said to be inscribed in α.

3.4. Definition. *The total curvature of a nonconstant curve α is the exact upper bound on the total curvatures of inscribed nondegenerate polygonal lines; if α is closed then we assume that the inscribed polygonal lines are closed as well.*

We need to assume that the curve is nonconstant, otherwise it does not admit inscribed polygonal lines that are not trivial.

3.5. Exercise. *Show that the total curvature is lower semi-continuous with respect to pointwise convergence of curves. That is, if a sequence of curves $\alpha_n \colon [a,b] \to \mathbb{R}^3$ converges pointwise to a curve $\alpha_\infty \colon [a,b] \to \to \mathbb{R}^3$, then*

$$\varliminf_{n\to\infty} \operatorname{TotCurv} \alpha_n \geqslant \operatorname{TotCurv} \alpha_\infty.$$

Hint: Modify the proof of semi-continuity of length (Theorem 2.9).
    The following definition tells that the two definitions agree.

3.6. Theorem. *For smooth regular curves the two definitions of total curvature agree; that is, for any regular curve, the length of its tangent indicatrix is equal to the exact upper bound on the total curvatures of inscribed nondegenerate polygonal lines.*

Note that from the theorem and Exercise 3.3, we get a generalization of Fenchel's theorem (Exercise 3.1) — it works for arbtrary closed curves, not necessary smooth and regular.

3.7. Lemma. *Let $\alpha \colon [a,b] \to \mathbb{R}^3$ be a smooth regular curve. Consider three unit vectors λ, μ and ν in the directions of $\alpha'(a)$, $\alpha(b) - \alpha(a)$ and $\alpha'(b)$ correspondingly. Then*

$$\operatorname{TotCurv} \alpha \geqslant \measuredangle(\lambda,\mu) + \measuredangle(\mu,\nu).$$

Proof. The tangent indicatrix τ runs from λ to ν in the unit sphere $\mathbb{S}^2$.
    Note that τ can not be separated from μ by an equator. Indeed the vector

$$\alpha(b) - \alpha(a) = \int\limits_a^b \alpha'(t)\cdot dt$$

points in the same direction as μ. Therefore if the indicatrix $\tau = \frac{\alpha'}{|\alpha'|}$ lies in a hemisphere then μ lies in the same hemisphere.
    Fix an equator ℓ in general position. If ℓ intersects the spherical polygonal line $\lambda\mu\nu$ at one point, then ℓ separates λ from ν and therefore it must intersect τ. If ℓ intersects the spherical polygonal line $\lambda\mu\nu$ at two points, then ℓ separates μ from λ and ν and therefore it must intersect τ at least twice — τ must cross ℓ and then come back. It follows that for almost all equators the number of intesections with

the spherical polygonal line $\lambda\mu\nu$ can not exceed the number of intersections with τ. By the spherical Crofton formula (2.15), τ is longer than the spherical polygonal line $\lambda\mu\nu$. But the polygonal line $\lambda\mu\nu$ has length $\angle(\lambda, \mu) + \angle(\mu, \nu)$, hence the result. □

Let us sketch an alternative proof of the lemma which is built on Fenchel's theorem.

Alternative proof of the lemma. Note that the curve α can be extended to a smooth regular closed curve $\hat{\alpha}$ by an arc β that starts from $\alpha(b)$ in the same direction as α. Then turns and joins the segment $[\alpha(b), \alpha(a)]$, runs along the segment until it is close to $\alpha(a)$ turns and smoothly joints α at $\alpha(a)$.

Note that the total curvature of β can be made arbitrarily close to $2\cdot\pi - \angle(\lambda, \mu) - \angle(\mu, \nu)$. Indeed, β needs a bit more than $\pi - \angle(\mu, \nu)$ to turn an join the segment $[\alpha(b), \alpha(a)]$ and bit more than $\pi - \angle(\lambda, \mu)$ to turn an join the segment α.

By Fenchel's theorem,

$$\text{TotCurv}\,\hat{\alpha} \geqslant 2\cdot\pi.$$

Evidently

$$\text{TotCurv}\,\hat{\alpha} = \text{TotCurv}\,\alpha + \text{TotCurv}\,\beta,$$

hence the lemma follows. □

Proof of 3.6. Let $\alpha\colon [a, b] \to \mathbb{R}^3$ be a smooth curve. Fix a partition $a = t_0 < \cdots < t_n = b$ and consider the corresponding inscribed polygonal line $\beta = w_0 \ldots w_n$. Let $\chi = \xi_1 \ldots \xi_n$ be its tangent indicatrix — this is a spherical polygonal line; we assume that $\chi(t_i) = \xi_i$ and it has constant speed on each arc.

Consider a sequence of finer and finer partitions, denote by β_n and χ_n the corresponding inscribed polygonal line and its tangent indicatrix; since α is smooth, the χ_n converge pointwise to τ — the thangent indicatrix of α. By semi-continuity of the length functional, we get

$$\text{TotCurv}\,\alpha = \text{length}\,\tau \leqslant$$
$$\leqslant \varliminf_{n\to\infty} \text{length}\,\chi_n =$$
$$= \varliminf_{n\to\infty} \text{TotCurv}\,\beta_n \leqslant$$
$$\leqslant \sup\{\text{TotCurv}\,\beta\},$$

where the last supremum is taken over all partitions and their corresponding inscribed polygonal lines β.

It remains to prove that

❶ $\operatorname{TotCurv} \alpha \geqslant \operatorname{TotCurv} \beta,$

for any polygonal line β inscribed in α. Let ζ_i be the unit vector in the direction of $\alpha'(t_i)$. Consider the spherical polygonal line $\gamma =$ $= \zeta_0 \xi_1 \zeta_1 \xi_2 \dots \xi_n \zeta_n$; recall that $\chi = \xi_0 \dots \xi_n$. By the triangle inequality,

$$\operatorname{length} \gamma \geqslant \operatorname{length} \chi = \operatorname{TotCurv} \beta.$$

By Lemma 3.7,

$$\operatorname{TotCurv} \alpha \geqslant \operatorname{length} \gamma,$$

hence **❶** follows.   □

3.3   Crofton again

Given a curve α in $\mathbb{R}^3$ and a unit vector u, denote by $\alpha_{u^\perp}$ and α_u the projection of α to the plane perpendicular to u and the line parallel to u correspondingly.

To prove the following proposition, apply the spherical Crofton formula to the tangent indicatrix of α.

3.8. Proposition. *Let α be a polygonal line in $\mathbb{R}^3$. Show that*

$$\operatorname{TotCurv} \alpha = \overline{\operatorname{TotCurv} \alpha_{u^\perp}} =$$
$$= \overline{\operatorname{TotCurv} \alpha_u}.$$

Note that since the curve α_u runs back and forth along one line, every time it changes direction contributes π to the total curvature of α_u. Therefore the total curvature of α_u is $n \cdot \pi$, where n is the number of changes of direction. Since n has to be even, $\operatorname{TotCurv} \alpha_u$ may take values $2 \cdot \pi$, $4 \cdot \pi$, $6 \cdot \pi$ and so on.

3.9. Exercise. *Use the proposition and the observation above to give yet another proof of Fenchel's theorem (Exercise 3.1).*

3.4   DNA inequality

3.10. Theorem. *Let α be a closed curve that lies in a unit disc. Then*

$$\operatorname{TotCurv} \alpha \geqslant \operatorname{length} \alpha.$$

Note that if $\operatorname{length} \alpha \leqslant 2 \cdot \pi$, then Fenchel's theorem gives a better estimate, for longer curves this gives something new.

Proof. Assume α is a polygonal line.

Fix a unit vector u. Note that the curve α_u can run at most length 2 in one direction; therefore the number of turns has to be at least $\frac{1}{2} \cdot \operatorname{length} \alpha$. Since each turn of α_u contributes π to its total curvature, we get

$$\operatorname{TotCurv} \alpha_u \geqslant \tfrac{\pi}{2} \cdot \operatorname{length} \alpha_u.$$

The same inequality holds for the average values of left and right hand sides; that is,

$$\overline{\operatorname{TotCurv} \alpha_u} \geqslant \tfrac{\pi}{2} \cdot \overline{\operatorname{length} \alpha_u}.$$

Applying the Crofton's formula and Proposition 3.8 we get the result.

It remains to reduce the general case to polygonal lines. Given $\varepsilon > 0$, we choose an inscribed polygonal line β such that

$$\operatorname{length} \alpha < \operatorname{length} \beta + \varepsilon.$$

By the definition of total curvature (3.4) and from the first part of the proof

$$\begin{aligned} \operatorname{TotCurv} \alpha \geqslant \operatorname{TotCurv} \beta \geqslant{}& \\ \geqslant \operatorname{length} \beta >{}& \\ > \operatorname{length} \alpha - \varepsilon.& \end{aligned}$$

The statement follows since ε was arbitrary. $\qquad\square$

Alternative proof. The same argument as above shows that it is sufficient to consider a closed polygonal line $\beta = p_0 p_1 \ldots p_{n-1}$ in the unit disc. We assume that $p_n = p_0$, $p_{n+1} = p_1$ and so on. Denote by α_i the external angle at p_i.

Denote by o the center of the disc. Consider a sequence of triangles

$$\triangle q_0 q_1 s_0 \cong \triangle p_0 p_1 o, \ \ \triangle q_1 q_2 s_1 \cong \triangle p_1 p_2 o, \ldots$$

such that the points $q_0, q_1 \ldots$ lie on one line in that order and all the s_i's lie on one side from this line.
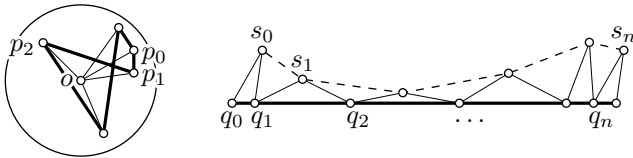
Note that

$$|s_n - s_0| = \operatorname{length} \beta.$$

Therefore
$$|s_0 - s_1| + \cdots + |s_{n-1} - s_n| \geqslant \text{length}\,\beta.$$

Note that
$$|q_i - s_{i-1}| = |q_i - s_i| = |p_i - o| \leqslant 1$$

and
$$\measuredangle s_{i-1}q_i s_i \leqslant \alpha_i$$

for each i. Therefore
$$|s_{i-1} - s_i| < \alpha_i$$

for each i.

It follows that
$$
\begin{aligned}
\text{TotCurv}\,\beta = \alpha_1 + \cdots + \alpha_n \geqslant& \\
\geqslant |s_0 - s_1| + \ldots |s_{n-1} - s_n| \geqslant& \\
\geqslant \text{length}\,\beta.&
\end{aligned}
$$

Hence the result. $\hspace{6cm}\square$

With minor modifications both proofs given above work in the 3-dimensional case (an in higher dimensions). The following more general result was proved by Jeffrey Lagarias and Thomas Richardson in [3], an other proof is given by Alexander Nazarov and Fedor Petrov in [4].

3.11. Theorem.   *Let α be a closed curve that lies in a convex plane figure bounded by curve γ Then average curvature of α is not less than average curvature of γ. Since $\text{TotCurv}\,\gamma = 2 \cdot \pi$, it can be written as*
$$\frac{\text{TotCurv}\,\alpha}{\text{length}\,\alpha} \geqslant \frac{2 \cdot \pi}{\text{length}\,\gamma}.$$

3.5   Curves of finite total curvature

3.12. Exercise.   *Assume that a curve $\alpha \colon [a, b] \to \mathbb{R}^3$ has finite total curvature. Show that α is rectifiable.*

We say that a curve $\alpha \colon [a, b] \to \mathbb{R}^3$ *does not stop* if α is not constant on any subinterval of $[a, b]$.

3.13. Exercise.   *Assume that the curve α does not stop and its total curvature is less than π. Show that α is simple; that is, it has no self-intersections.*

3.14. Exercise-definition.   *Assume that a curve $\alpha\colon [a, b] \to \mathbb{R}^3$ does not stop and has finite total curvature. Show that the direction of exit and entrance is defined for any point.*

That is for any $t_0 \in [a, b)$ the unit vector

$$v(\varepsilon) = \frac{\alpha(t_0 + \varepsilon) - \alpha(t_0)}{|\alpha(t_0 + \varepsilon) - \alpha(t_0)|}$$

converges as $\varepsilon \to 0^+$; its limit is called the direction of exit and it will be denoted by $\alpha^+(t_0)$

Analogously, for any $t_0 \in (a, b]$ the unit vector

$$w(\varepsilon) = \frac{\alpha(t_0 - \varepsilon) - \alpha(t_0)}{|\alpha(t_0 - \varepsilon) - \alpha(t_0)|}$$

converges as $\varepsilon \to 0^+$; its limit is called the direction of entrance and it will be denoted by $\alpha^-(t_0)$.

3.15. Exercise.   *Assume that a curve $\alpha\colon [a, b] \to \mathbb{R}^3$ does not stop and has finite total curvature. Show that*

$$\alpha^+(t) = -\alpha^-(t)$$

at all $t \in [a, b]$ except possibly on a countable subset.

3.16. Exercise.   *Assume a sequence of curves $\alpha_n\colon [a, b] \to \mathbb{R}^3$ converges to a curve $\alpha_\infty\colon [a, b] \to \mathbb{R}^3$ and*

$$\varliminf_{n \to \infty} \operatorname{length}\alpha_n > \operatorname{length}\alpha_\infty.$$

Show that
$$\operatorname{TotCurv}\alpha_n \to \infty \quad as \quad n \to \infty.$$

3.6   Total signed curvature

Let us define the *total signed curvature* of a polygonal line in the plane as the sum of the signed external angles; the external angle has positive sign if the line turns left and negative sign if the line turns right; the signed external angle is undefined if a pair of adjacent edges overlap; that is if at one vertex the polygonal line turns in the exact opposite direction. In particular the total signed curvature is defined for any simple polygonal line in the plane.

3.17. Exercise. *Assume that the total signed curvature of a closed polygonal line in the plane is defined. Show that it is a multiple of $2{\cdot}\pi$.*

3.18. Exercise. *Show that the total signed curvature of any closed simple polygonal line in the plane is $\pm2{\cdot}\pi$.*

Chapter 4

Fáry–Milnor theorem

4.1 Tame knots

It is tricky to make a formal definition that captures the intuitive meaning of *knot*. An attempt to define knots as simple closed curves leads to pathological examples as the one show on the diagram — these are the so called *wild knots*. If one adds that the curve has to
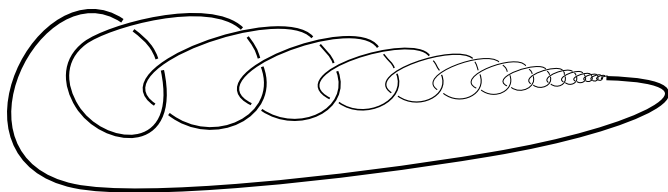
be smooth and regular, then these examples disappear, but it is still tricky to give right definition of *deformation* — the following diagram shows that it can not be defined as a continuous family of closed simple
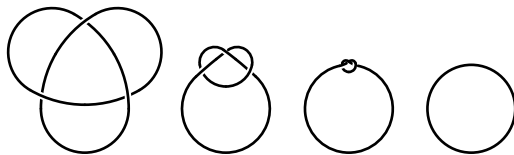
smooth regular curves. Observe that all curves on the diagram are smooth and regular for all times including the last moment.

We define a *knot* (more precicely *tame knot*) as a simple closed polygonal line in the Euclidean space $\mathbb{R}^3$.

The notation $\triangle abc$ is used for the triangle abc; that is, a polygonal line with three edges and vertexes a, b and c. Let us denote by $\blacktriangle abc$ the convex hull of the points a, b and c; $\blacktriangle abc$ is the solid triangle with the vertexes a, b and c. The points a, b and c are assumed to be distinct, but they might lie on one line; that is, for us a degenerate triangle is a legitimate triangle.

We define a *triangular isotopy of a knot* to be the generation of a new knot from the original one by means of the following two operations:

Assume $[pq]$ is an edge of the knot and x is a point such that the solid triangle $\blacktriangle pqx$ has no common points with the knot except for the edge $[pq]$. Then we can replace the edge $[pq]$ in the knot by the two adjacent edges $[px]$ and $[xq]$.

We can also perform the inverse operation. That is, if for two adjacent edges $[px]$ and $[xq]$ of a knot the triangle $\blacktriangle pqx$ has no common points with the knot except for the points on the edges $[px]$ and $[xq]$, then we can replace the two adjacent edges $[px]$ and $[xq]$ by the edge $[pq]$.

Polygons that arise from one another by a finite sequence of triangular isotopies are called *isotopic*.

A knot that is not isotopic to a triangle is called nontrivial.

The trefoil knot shown on the diagram gives a simple example of nontrivial knot. A proof that the trefoil knot is nontrivial can be found in any textbook on knot theory, we do not give it here. The most elementary and visual proof is based on the so called *tricolorability* of knot diagrams.
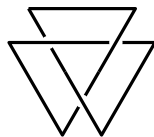
4.1. Exercise. *Let x and y be two points on the adjacent edges $[p_1p_2]$ and $[p_2p_3]$ of a knot $\beta = p_1p_2p_3\ldots p_n$. Assume that the solid triangle $\blacktriangle xp_2y$ intersects β only along $[xp_2] \cup [p_2y]$. Show that the knot $\beta' = p_1xyp_3\ldots p_n$ is isotopic to β.*

4.2   Fáry–Milnor theorem

We will give some proofs of the following theorem.

4.2. Theorem. *The total curvature of any nontrival knot is at least $4{\cdot}\pi$.*

The famous Fáry–Milnor theorem states that the inequality is strict; that is, the total curvature of any nontrival knot *exceeds* $4{\cdot}\pi$. It

is easy to construct a trefoil knot with total curvature arbitrary close to $4 \cdot \pi$; therefore this result is optimal.

The question was raised by Karol Borsuk [5] and answered independently by István Fáry and John Milnor [6, 7]; later other proofs were found.

4.3 Milnor's proof

In the proof we will use the following fact.

4.3. Proposition. *Assume that a height function $(x, y, z) \to z$ has only one local minimum and one local maximum on a closed simple polygonal line and all the vertexes of the polygonal line are at different height. Then the line is a trivial knot.*

The proof is a simple application of the definition of isotopy, given in the previous section.

Proof. Let $\beta = p_1 \ldots p_n$ be the closed simple polygonal line such that the height function $(x, y, z) \to z$ has one local minimum one local maximum. Note that on each of the two arcs of β from the min-vertex to the max-vertex the height function increases monotonically.

Consider the three vertexes with the largest height; they have to include the max-vertex and two more. Note that these three vertexes are consequent in the polygonal line; without loss of generality we can assume that they are p_{n-1}, p_n, p_1.

Note that the solid triangle $\blacktriangle p_{n-1} p_n p_1$ does not intersect any edge β except the two adjacent edges $[p_{n-1} p_n] \cup [p_n p_1]$. Indeed, if $\blacktriangle p_{n-1} p_n p_1$ intersects $[p_1 p_2]$, then, since p_2 lies below $\blacktriangle p_{n-1} p_n p_1$, $[p_1 p_2]$ must intersect $[p_{n-1} p_n]$ which is impossible since β is simple. The same way one can show that $\blacktriangle p_{n-1} p_n p_1$ can not intersect $[p_{n-2} p_{n-1}]$. The remaining edges lie below $\blacktriangle p_{n-1} p_n p_1$, hence they can not intersect this triangle.

Applying a triangular isotopy, to $\blacktriangle p_{n-1} p_n p_1$ we get a closed simple polygonal line $\beta' = p_1 \ldots p_{n-1}$ which is isotopic to β.

Since all the vertexes p_i have different height, the assumption of the proposition holds for β'.

Repeating this procedure $n - 3$ times we get a triangle. Hence β is a trivial knot. □
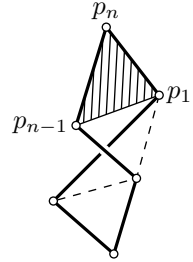
Milnor's proof of 4.2. Let α be a simple closed polygonal line. Assume

its total curvature is less that $4 \cdot \pi$. Then by Proposition 3.8,

$$\text{TotCurv}\, \alpha_u < 4 \cdot \pi$$

for some unit vector u. Moreover, we can assume that u points in a generic direction; that is, u is not perpendicular to any edge or diagonal of α.

The total curvature of α_u is π times the number of turns of α_u which has to be an even number. It follows that the number of turns of α_u is at most 2; it cannot be less than 2 for a generic direction, therefore it is exactly 2.

That is, if we rotate the space so that u points upward, then the height function has exactly one minimum and one maximum; by Proposition 4.3, α is a trivial knot — hence the result. □

4.4   Fáry's proof

Let us give a sketch of another proof, based on the original idea of István Fáry.

Fáry's proof of 4.2.   Consider a projection of the knot to a plane in general position. That is, we assume that the self-intersections of the projection are at most double and the projection of each edge is not degenerate. The obtained closed polygonal line $\beta = p_1 p_2 \ldots p_n$ divides the plane into domains, one of which is unbounded, denote it by U, and the others are bounded.

First note that all domains can be colored in a chessboard order; that is, they can be colored in black and white in such a way that domains with common borderline get different colors. If the unbounded domain is colored in white and every other domain is colored in black then one can untie the knot by flipping these domains one by one.

4.4. Exercise.   *Give a formal proof of the last statement; that is, show that if the only undbounded domain is white then β is isotopic to a triangle.*

Therefore among the bounded domains there is a white domain, denote it by D. The domain D cannot adjoin U, since they have the same color. Fix a point o in this domain.

For each i, set

$$\varphi_i = \pi - \angle p_{i-1}p_i p_{i+1},$$
$$\psi_i = \angle p_{i-1}op_i,$$
$$\theta_i = \angle op_i p_{i+1}.$$

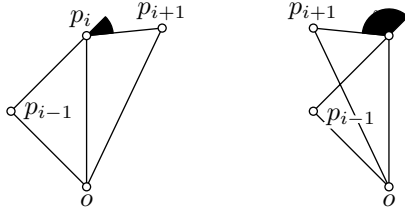Here indexes are taken modulo n; in particular, $p_n = p_0$.

Note that φ_i is the external angle at p_i; therefore

$$\text{TotCurv}\,\beta = \varphi_1 + \cdots + \varphi_n$$

Direct calculations show that

$$\varphi_i \geqslant \psi_i + \theta_{i-1} - \theta_i.$$

In the two pictures below, φ_i is the solid angle and the angles ψ_i, θ_{i-1} and θ_i are just as drawn. We have equality on the first picture and strict inequality on the second picture.

It follows that

$$\varphi_1 + \cdots + \varphi_n \geqslant \psi_1 + \cdots + \psi_n.$$

The last sum is the total angle at which β is seen from o counted with multiplicity. The boundary of D contributes at least $2{\cdot}\pi$ to this sum and the boundary of U contributes with other $2{\cdot}\pi$; since their boundaries do not overlap we get

$$\psi_1 + \cdots + \psi_n \geqslant 4{\cdot}\pi,$$

hence the result.

This is true for the projection of the knot to any plane in general position. The remaining planes contribute nothing to the average value. Therefore by Proposition 3.8, the total curvature of the original knot is at least $4{\cdot}\pi$. $\qquad\square$

4.5. Exercise. *Construct a closed smooth simple curve with total curvature arbitrarily close to $2{\cdot}\pi$ such that its projection to any plane has at least 10 self-intersections.*

4.5   Proof of Alexander and Bishop

Here we sketch a proof of the Fáry–Milnor theorem given by of Stephanie Alexander and Richard Bishop in [8].

The proof is elementary, but not simple (elementary does not mean simple, it means only that it does not use much theory). It is based on the following two facts that we are already familiar with:

⋄ If a closed polygonal line β' is inscribed in a closed polygonal line β then

$$\text{TotCurv}\,\beta' \leqslant \text{TotCurv}\,\beta.$$

⋄ The total curvature of a doubly covered bigon is $4{\cdot}\pi$; that is,

$$\text{TotCurv}\,\beta = 4{\cdot}\pi$$

if $\beta = pqpq$ for two distinct points p and q. Similarly if a quadrilateral is sufficiently close to a doubly covered bigon, then its total curvature is close to $4{\cdot}\pi$.

Proof. Let $\beta = p_1 \ldots p_n$ be a closed polygonal line that is not a trivial knot; that is, one can not get a triangle from β by applying a sequence of triangular isotopies defined in the previous section.

We proceed by induction on the number $n \geqslant 3$. In the base case $n = 3$ the polygonal line β is a triangle. Therefore, by definition, β is a trivial knot — nothing to show.

Consider the smallest n for which the statement fails; that is, there is a closed simple polygonal line $\beta = p_1 \ldots p_n$ that is not a trivial knot and such that

❶ $\text{TotCurv}\,\beta < 4{\cdot}\pi.$

We use the indexes modulo n; that is, $p_0 = p_n$, $p_1 = p_{n+1}$ and so on. Without loss of generality, we may assume that β is in general position; that is, no four vertexes of β lie on one plane.

Set $\beta_0 = \beta$. If the solid triangle $\blacktriangle p_0 p_1 p_2$ intersects β_0 only in the two adjacent edges, then applying the corresponding triangular isotopy, we get a knot β_0' with $n - 1$ edges that is inscribed in β_0. Therefore

$$\text{TotCurv}\,\beta_0 \geqslant \text{TotCurv}\,\beta_0'.$$

On the other hand, by the induction hypothesis

$$\text{TotCurv}\,\beta_0' \geqslant 4{\cdot}\pi,$$

which contradicts ❶.

Choose the first point w_1' on the edge $[p_1 p_2]$ so that the line segment $[p_0 w_1']$ intersects β_0. Denote a point of intersection by y_1.

Choose a point w_1 on $[p_1p_2]$ a bit before w_1' (below we explain how close). Denote by x_1 the point on $[p_0w_1]$ that minimizes the distance to y_1. This way we get a closed polygonal line $\beta_1 = w_1p_2\dots p_n$ with two marked points x_1 and y_1. Denote by m_1 the number of edges in the arc $x_1w_1\dots y_1$ of β_1.

By Exercise 4.1, β_1 is isotopic to β_0; in particular β_1 is a nontrivial knot.

Now let us repeat the procedure for the adjacent edges $[w_1p_2]$ and $[p_2p_3]$ of β_1. If the solid triangle $\blacktriangle w_1p_2p_3$ intersects β_1 only at these two adjacent edges, then we get a contradiction with the induction hypothesis the same way as before. Otherwise we get a new knot $\beta_2 = w_1w_2p_3\dots p_n$ with two marked points x_2 and y_2. Denote by m_2 the number of edges in the broken line $x_2w_2\dots y_2$.

Note that the points x_1, x_2, y_1, y_2 can not appear on β_2 in the same cyclic order; otherwise the broken line $x_1x_2y_1y_2$ can be made to be arbitrary close to a doubly covered bigon which again contradicts ❶.[1]

Therefore we can assume that the arc $x_2w_2\dots y_2$ lies inside the arc $x_1w_1\dots y_1$ in β_2 and therefore $m_1 > m_2$.

Continuing this procedure we get a sequence of polygonal lines $\beta_i =$ $= w_1\dots w_ip_{i+1}p_n$ with marked points x_i and y_i such that the number of edges m_i from x_i to y_i decreases as i increases. Clearly $m_i > 1$ for any i and $m_1 < n$. Therefore it requires less than n steps to get a contradiction with the induction hypothesis. □

4.6. Exercise. *Suppose that a closed curve α crosses a line at four points a, b, c and d. Assume that the points a, b, c and d appear on the line in that order and they appear on the curve α in the order a, c, b, d. Show that*

$$\text{TotCurv}\,\alpha \geqslant 4\cdot\pi.$$

A line crossing a knot at four points as in the exercise is called *alternating quadrisecants*. It turns out that any nontrivial knot admits

---

[1]More precisely, the choice of w_1 has to be made so that the distance $|x_1 - y_1|$ would be much less that all the distances between y_1 and any point $z \in \beta \cap \blacktriangle p_1p_2p_3$, so we have

$$\angle y_1zx_1 < \tfrac{\varepsilon}{10},$$

where $\varepsilon = 4\cdot\pi - \text{TotCurv}\,\beta$. In this case, since $y_2 \in \beta \cap \blacktriangle p_1p_2p_3$ and x_2 can be taken arbitrary close to y_2, we have

$$\text{TotCurv}\,x_1x_2y_1y_2 > 4\cdot\pi - \varepsilon = \text{TotCurv}\,\beta$$

which can not happen since $x_1x_2y_1y_2$ is inscribed in β.

an alternating quadrisecants [9]; it provides yet another proof of the
Fáry–Milnor theorem.

4.7. Advanced exercise.   *Show that given any real number Φ there
is a knot β such that any knot isotopic to β has total curvature at
least Φ.*

Hint: Use that there are knots with arbitrary large *bridge number*, see
for example [10] and the references therein.

AFTER THIS LINE READ AT YOUR OWN RISK!!!

Chapter 5

Osculating circlines

5.1 Acceleration of unit-speed curve

Any regular smooth curve can be parametrized by its length. The obtained curve α has unit speed; that is, $|\alpha'(t)| = 1$ for any t. A curve with such parametrization is called *unit-speed* curve or a curve with a *natural parametrization*.

It is straightforward to show any smooth regular curve remains smooth (and surely regular) if equipped with a natural parametrization; here smooth means that all derivatives $\alpha^{(n)}(t)$ are defined for any n and all values of t in the domain of definition of α.

The following proposition essentailly states that vector of acceleration is perpendicular to the velocity vector if the speed remains constant.

5.1. Proposition. *Assume $\alpha\colon [a, b] \to \mathbb{R}^2$ be a smooth unit-speed curve. Then*

$$\alpha'(t) \perp \alpha''(t)$$

for any t.

The scalar product (also known as dot product) of two vectors v and w will be denoted by $\langle v, w \rangle$. Recall that for derivative of scalar product the product rule holds; that is if $v = v(t)$ and $w = w(t)$ are smooth vector-valued functions of real argument t, then

$$\langle v, w \rangle' = \langle v', w \rangle + \langle v, w' \rangle.$$

Proof. Since $|\alpha'(t)| = 1$, we have

$$\langle \alpha'(t), \alpha'(t) \rangle = 1.$$

Taking derivative of both sides we get

$$2 \cdot \langle \alpha''(t), \alpha'(t) \rangle = 0,$$

hence the result. □

5.2   Signed curvature

Given a vector $v \in \mathbb{R}^2$ denote by $i \cdot v$ the vector obtained from v by the counterclockwise rotation by $\frac{\pi}{2}$. (The "multiplication" by i agrees with the miultiplication by imaginary unit if one use complex coordinates on the plane $z = x + i \cdot y$.)

Suppose $\alpha \colon [a, b] \to \mathbb{R}^2$ is a smooth unit-speed curve. Recall that curvature of α at t can be defined as $|\alpha''(t)|$.

The *signed curvature* $\kappa_\alpha(t)$ is uniquely defined by the identity

$$\alpha''(t) = \kappa_\alpha(t) \cdot i \cdot \alpha'(t).$$

Note that by Proposition 5.1 this equation has a solution. Since $|\alpha'(t)| = 1$ we have that $|\kappa_\alpha(t)| = |\alpha''(t)|$ for any t.

The signed curvature measures how fast the direction $\tau(t) = \alpha'(t)$ rotates; if is positive if it turns left and negative if it turns right; if the curve goes straight then its curvature vanish.

5.3   Osculating circline

It is straightforward to prove the following statement.

5.2. Proposition. *Given a point p, a unit vector u and a real number κ there is unique smooth unit-speed curve $\gamma \colon \mathbb{R} \to \mathbb{R}^2$ that starts at p in the direction of u and has constant signed curvature κ.*

Moreover if $\kappa = 0$, then γ runs along a line $\gamma = p + t \cdot u$ and if $\kappa \neq 0$, then γ runs around a circle of radius $\frac{1}{|\kappa|}$ with center at $p + \frac{i}{\kappa} \cdot u$.

Further we will use term *circline* for *circle or line*.

5.3. Definition.   *Let α be a smooth unit-speed plane curve; denote by $\kappa_\alpha(t)$ the signed curvature of α at t.*

A unit-speed plane curve γ of constant signed curvature $\kappa_\alpha(t_0)$ that starts at $\alpha(t_0)$ and runs in the direction $\alpha'(t_0)$ is called osculating circline *of α at t_0.*
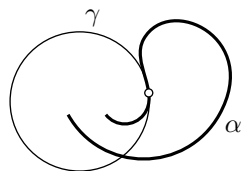
The center and radius of the osculating circle at a given point are called *center of curvature* and *radius of curvature* of the curve at that point.

5.4 Spiral theorem

The following theorem states that if you drive on the plane and turn
the steering wheel to the right all the time, then you will not be able
to come back to the same place. This theorem was proved by Peter
Tait [see 11] and later rediscovered by Adolf Kneser [see 12].

5.4. Theorem. *Assume α is a smooth regular plane curve with strictly
monotonic curvature. Then α is simple.*

The same statement holds for signed curvature; the proof requires
only minor modifications.

5.5. Exercise.   *Show that a 3-dimensional analog of the theorem
does not hold. That is, there are self-intersecting smooth regular space
curves with strictly monotonic curvature.*

The proof of theorem is based on the following lemma.

5.6. Lemma.   *Assume that α is a smooth regular plane curve with
strictly decreasing positive signed curvature. Then the osculating cir-
cles of α are nested; that is, if γ_t denoted the osculating circle of α at
t, then γ_{t_0} lies in the open disc bounded by γ_{t_1} for any $t_0 < t_1$.*

The osculating circles of the curve α give a peculiar foliation of an
annulus by circles; it has the following property: if a smooth function
is constant on each osculating circle it must be constant in the annulus
[see 2, Lecture 10]. Also note that the cure α is tangent to the circle
of the foliation at each of its point, however it does not run along a
circle.

Proof. Let $z(t)$ be curvature center and

$$r(t) = \tfrac{1}{\kappa_\alpha(t)}$$

is radius of curvature of α at t. Note that

$$z(t) = \alpha(t) + r(t) \cdot i \cdot \alpha'(t).$$

Therefore

$$
\begin{aligned}
z'(t) &= \alpha'(t) + r'(t) \cdot i \cdot \alpha'(t) + r(t) \cdot i \cdot \alpha''(t) = \\
&= \alpha'(t) + r'(t) \cdot i \cdot \alpha'(t) + r(t) \cdot i \cdot \kappa_\alpha(t) \cdot i \cdot \alpha'(t) = \\
&= \alpha'(t) + r'(t) \cdot i \cdot \alpha'(t) - \alpha'(t) = \\
&= r'(t) \cdot i \cdot \alpha'(t).
\end{aligned}
$$

Since $\kappa_\alpha(t)$ is decreasing, $r(t)$ is increasing; therefore $r' \geqslant 0$. It follows
that $|z'(t)| = r'(t)$ and $z'(t) \perp \alpha'(t)$.

Note that the curve $z(t)$ does not have straight arcs; therefore

$$|z(t_1) - z(t_0)| < \int_{t_0}^{t_1} |z'(t)| \cdot dt =$$

$(*)$

$$= \int_{t_0}^{t_1} r'(t) \cdot dt =$$

$$= r(t_1) - r(t_0).$$

By $(*)$, the osculating circle at t_0 lies inside of the osculating circle at t_1 without touching it. $\square$

Proof of 5.4. Note that $\alpha(t) \in \gamma_t$ for any t. Applying the lemma we get $\alpha(t_1) \neq \alpha(t_0)$ if $t_1 \neq t_0$. Hence the result. $\square$

The lemma can be used to solve the following exercise.

5.7. Exercise. *Assume that α is a smooth regular plane curve with strictly monotonic curvature.*
   (a) Show that no line can be tangent to α at two distinct points.
   (b) Show that no circle can be tangent to α at three distinct points.

Note that part (a) does not hold for smooth regular plane curve with strictly monotonic *signed* curvature; an example is shown on the diagram.
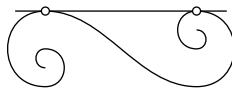
Chapter 6

Supporting circlines

6.1 Definitions

Suppose $\alpha\colon [a, b] \to \mathbb{R}^2$ be a smooth unit-speed plane curve and $t_0 \in {} \in (a, b)$.

A circline γ supports α at t_0 if $\alpha(t_0) \in \gamma$ and γ lies locally on one side of α. If $p = \gamma(t_0)$ for a single value t_0, then we can also say γ *supports α at p* without ambiguity.

More precisely assume that there is a spherical neighborhood $U \ni p$ such that for some interval $[a', b'] \ni t_0$ the arc $\bar{\alpha} = \alpha|_{[a', b']}$ has no self-intersection and runs from boundary to boundary of U. In this case α divides U into two sets L and R; L lies on the left and R lies on the right from $\bar{\alpha}$. If $\gamma \cap U$ contains only points of $\bar{\alpha}$ and R, we say that γ *supports α on the right*; if $\gamma \cap U$ contains only points of $\bar{\alpha}$ and L, we say that γ *supports α on the left*.

Note that a circle supports itself on the right and left at the same time.

Suppose a unit-speed circline γ supports a smooth unit-speed plane curve α at t_0. Without loss of generality we can assume that $\gamma(0) = {} = \alpha(t_0)$. Then $\gamma'(0) = \pm\alpha'(t_0)$. If not, then the curve α would cross γ transversely and therefore could not stay at the same side for values close to t_0. Therefore reverting the parametrization of γ if necessary we may (and further will) assume that

$$\gamma'(0) = \alpha'(t_0)$$

holds for any supporting circline γ to α at t_0.

6.2   Supporting test

The following proposition resembles the second derivative test.

6.1. Proposition. *Assume γ is a circle that that supports α at t_0 from rigth (correspondingly left). Then*

$$\kappa(t_0) \geqslant \kappa \quad (correspondingly \quad \kappa(t_0) \leqslant \kappa).$$

where κ is the signed curvature of γ and $\kappa(t_0)$ is the signed curvature of α at t_0.

A partial converse also holds. Namely suppose a unit-speed circline γ with signed curvature κ starts at $\alpha(t_0)$ in the direction $\alpha'(t_0)$. Then γ supports α at t_0 from the right (correspondingly left) if

$$\kappa(t_0) > \kappa \quad (correspondingly \quad \kappa(t_0) < \kappa).$$

Proof. We prove only case $\kappa > 0$. The 2 remaining cases $\kappa = 0$ and $\kappa < 0$ can be done essentially same way.

Since $\kappa \neq 0$, the curve γ is a circle (it can not be a line). According to Proposition 5.2, γ has radius $\frac{1}{\kappa}$ and it is centered at

$$z = \alpha(t_0) + \tfrac{i}{\kappa}\cdot\alpha'(t_0).$$

Consider the function

$$f(t) = |z - \alpha(t)|^2 - \tfrac{1}{\kappa^2}.$$

Note that $f(t) \leqslant 0$ (correspondingly $f(t) \geqslant 0$) if an only if $\alpha(t)$ lies on the closed left (correspondingly right) side from γ. It follow that

⋄ if γ supports α at t_0 from right, then

$$f'(t_0) = 0 \quad \text{and} \quad f''(t_0) \leqslant 0;$$

⋄ if γ supports α at t_0 from left, then

$$f'(t_0) = 0 \quad \text{and} \quad f''(t_0) \geqslant 0;$$

⋄ if

$$f'(t_0) = 0 \quad \text{and} \quad f''(t_0) < 0,$$

then γ supports α at t_0 from right;

⋄ if
$$f'(t_0) = 0 \quad \text{and} \quad f''(t_0) > 0,$$

then γ supports α at t_0 from left;

Direct calculations show that

$$
\begin{aligned}
f(t_0) &= 0; \\
f'(t_0) &= \langle z - \alpha(t), z - \alpha(t)\rangle'|_{t=t_0} = \\
&= -2 \cdot \langle \alpha'(t_0), z - \alpha(t_0)\rangle = \\
&= -2 \cdot \langle \alpha'(t_0), \tfrac{i}{\kappa} \cdot \alpha'(t_0)\rangle = \\
&= 0; \\
f''(t_0) &= \langle z - \alpha(t), z - \alpha(t)\rangle''|_{t=t_0} = \\
&= 2 \cdot (\langle \alpha'(t_0), \alpha'(t)\rangle - \langle \alpha''(t_0), z - \alpha(t)\rangle) = \\
&= 2 \cdot \left(1 - \kappa \cdot \frac{1}{\kappa(t_0)}\right)
\end{aligned}
$$

Hence the result. □

6.2. Exercise. *Assume α is a closed smooth unit-speed plane curve that runs in a unit disk. Show that there is a point on α with curvature at least 1.*

*Give two proofs, one based on DNA inequality 3.10 and the other based on Proposition 6.1.*

6.3 Lens lemma

6.3. Lemma. *Let α be a smooth regular simple curve that runs from x to y. Assume that α runs on right side (correspondingly left side) of the oriented line xy and only its end points x and y lie on the line. Then α has a point with positive (correspondingly negative) curvature.*

Note that the lemma fails for curves with self-intersections; the curve α on the diagram turns always right, so it has negative curvature everywhere and it lies on the right side of the line xy.

Proof. Choose points p and q on ℓ so that the points p, x, y, q appear in the same order on ℓ.

Consider the smallest disc segment with chord $[pq]$ that contains α. Note that its arc γ supports at a point $s = \alpha(t_0)$.
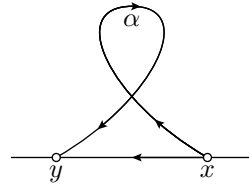
Note that the $\alpha'(t_0)$ is tangent to γ at s. Moreover $\alpha'(t_0)$ points in the direction of q; that is, if we go along γ in the direction of $\alpha'(t_0)$ then we have to start at p and end at q. If the direction is opposite, then the arc of α from s to y would be trapped in the curvelinear triangle xsp bounded by arcs of γ, α and the line segment $[px]$. But this is impossible since y does not belong to this triangle.

It follows that γ supports α at t_0 from right. By Proposition 6.1,

$$\kappa(t_0) \geqslant \kappa,$$

where $\kappa(t_0)$ is signed curvature of α at t_0 and κ is the curvature of γ. Evidently $\kappa > 0$, hence the result. □
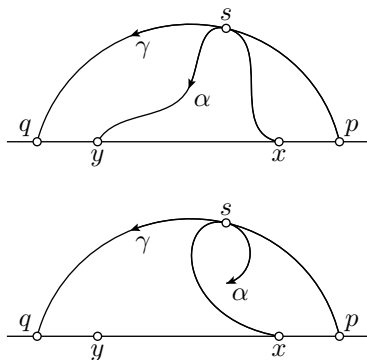
6.4   Convexity and inflection points

6.4. Exercise.   *Assume α is a closed regular simple plane curve with positive signed curvature. Show that α bounds a convex set.*[1]

6.5. Exercise.   *Assume α is a closed smooth regular plane curve with positive signed curvature. Show that it α is simple if and only if its total curvature is $2 \cdot \pi$.*

6.6. Exercise.   *Assume a smooth regular curve α has curvature at most 1 at any point (that is, $|\kappa_\alpha(t)| \leqslant 1$ for any t). Show that each of two unit circles tangent to γ at t_0 is supporting.*

Moreover there is $\varepsilon > 0$ ($\varepsilon = \frac{1}{2}$ will do) such that any arc of length $< \varepsilon$ of the curve α that starts at $p = \alpha(t_0)$ can not enter the unit circle tangent to α at p.

6.7. Exercise.   *Suppose α is a simple smooth regular curve in the plane with positive curvature. Assume α crosses a line ℓ at the points $p_1, p_2, \ldots p_n$ and these points appear on α in the same order.*
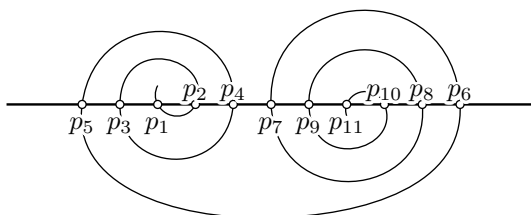   *(a) Show that p_2 can not lie between p_1 and p_3 on ℓ.*

---

[1]Hint: show that any tangent line to α is supporting.

(b) *Show that if p_3 lies between p_1 and p_2 on ℓ then these points uppear on ℓ in the following order:*

$$p_1, p_3, \ldots, p_4, p_2.$$

(c) *Try to describe all possible orders in the case if p_1 lies between p_2 and p_3 (see the diagram).*

Recall that $\operatorname{Conv} X$ denotes the convex hull of set X; that is, $\operatorname{Conv} X$ is the intersection of all convex sets containing X.

6.8. Exercise.   *Suppose α is a simple smooth regular curve in the plane with positive curvature. Then the boundary of $\operatorname{Conv} \alpha$ is formed by an arc of α and a line segmet connecting the ends of its arc.*

6.9. Exercise.   *Suppose α is a simple smooth regular curve in the plane. Show that α lies on one side from one of its tangent lines.*

If curvature of a curve α vanish at t_0, then we say that t_0 is inflection value of parameter, and $p = \alpha(t_0)$ is its inflection point; the later convention might be ambiguous only if α has self-intersection at p. In other words, t_0 is inflection value if osculating circline at at t_0 coincides with the tangent line.
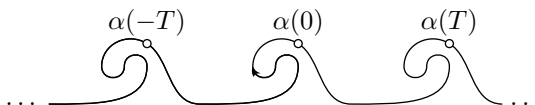
6.10. Exercise.   *Let $\alpha\colon \mathbb{R} \to \mathbb{R}^2$ be a smooth simple regular plane curve. Assume α is periodic in the following sense: there is $T > 0$ and a vector $v \in \mathbb{R}^2$ such that*

$$\alpha(t + T) = \alpha(t) + v.$$

Show that α has at least 2 inflection points in the interval $[0, T]$.

6.11. Theorem.   *Let α be a closed simple smooth regular plane curve. Assume α has exactly two inflection points which divide α in two arcs α_1 and α_2. Then*

$$\operatorname{Conv}\alpha_1 \supset \alpha_2 \quad or \quad \operatorname{Conv}\alpha_2 \supset \alpha_1.$$

Proof. Let us denote the inflection points by v and w and orient the arcs α_1 and α_2 from v to w; we can assume that both arcs α_1 and α_2 have positive curvature.

Set $F = \operatorname{Conv}\alpha_1$. By Exercise 6.8, F is bounded by an arc $\bar{\alpha}_1$ of α_1 from x to y and the line segment xy. We may assume that the line xy is horizontal, x lies on the left from y and so α_1 lies below the line.
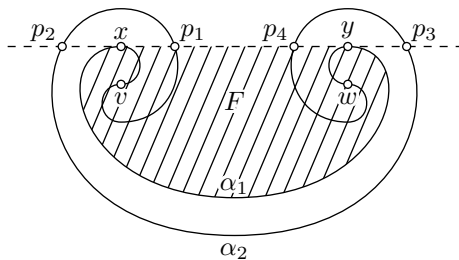
If $F \not\supset \alpha_2$, then α_2 runs outside of F, so it has to cross the line segment xy. Denote by $p_1, p_2, \dots$ the points of intersection of α_2 with the line xy as they appear on α_2.

Since α_2 has positive curvature, p_1 lies on left from p_0. If p_2 lies between x and p_1 then the curve α_2 is trapped in the region bounded by the arc xvp_2 of α and the line segment p_2x; therefore it can not reach w — a contradiction. Therefore p_2 lies on the extension of yx behind x.

Further p_3 lies on right from p_2. If p_3 lies between p_1 and x, then α_2 is trapped in the region bounded by the arc xvp_3 of α and the line segment p_3x — a contradiction again. Therefore p_3 lies on the extension of xy behind y and the arc p_2p3 of α surrounds F. Whence

$$\operatorname{Conv}\alpha_2 \supset \alpha_1. \qquad\qquad \square$$
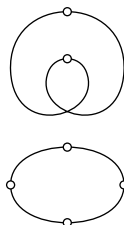
6.5   Four-vertex theorem

A vertex of a smooth regular curve is defined as a critical point of its curvature; in particular, any point of local minimum (or maximum) is a vertex.

6.12. Exercise. *Assume an osculating circle of curve α at t_0 supports α at t_0. Show that t_0 is a vertex of α.*

6.13. Four-vertex theorem. *Any smooth regular simple plane curve has at least four vertices.*

Evidently any closed curve have to have at least two vertexes — one minimum and one maximum. On the diagram the vertexes are marked; the first curve has one self-intersection and exactly two vertexes; he second curve has exactly four vertexes and no self-intersections.

The four-vertex theorem was first proved by Syamadas Mukhopadhyaya [13] for convex curves. By now it has number of different proofs and generalizations. We will present a proof given by Robert Osserman [14].

Proof. Fix a simple smooth regular closed plane curve α.

Suppose that $2 \cdot n$ points $p_1, s_1, \ldots, p_n, s_n$ appear on a closed curve α in the same cyclic order. Fix a real number κ. Assume that the curvature of α at p_i is at least κ and its curvature at s_i is at most κ. Then each of n arcs $p_n p_1, p_1 p_2, \ldots p_{n-1} p_n$ of α has a minimum point of curvature in its interior. Similarly each of n arcs $s_n s_1, s_1 s_2, \ldots s_{n-1} s_n$ of α has a maximum point of curvature.

In the case if some of these local minimum coincide with a local maximum, an arc around this point has constant curvature; in this case all these points are vertexes and we have infinite number of them. If they all different, then we have least $2 \cdot n$ vertexes.

Therefore it is sufficient to show that

❶ *there are at least 4 points p_1, s_1, p_2, s_2 with the described properties for some κ.*

Note that

❷ α *admits unique* circumscribed circle γ; that is, a circle of minimal radius that encloses α.

Denote by r the least lower bound of circles that enclose α. We can choose a sequence of circles γ_n enclosing α such that their radiuses $r_n \to r$. Note that all the centers of γ_i lie on bounded distance from α. Therefore passing to a subsequence we can assume that centers of γ_n converge to a point o. Note that the circle γ with center o and radius r encloses α; hence the existence of circumscribed circle follows.

If there are two distinct circumscribed circle, then α lies in the intersection of the discs bounded by these circles. But this intersection

is enclosed in a circle of smaller radius — a contradiction. Hence Claim ❷ follows.

❸   *Assume γ is the circumscribed circle of α. Then γ touches α at least 2 points which divide the γ in arcs no longer than semicircle.*

If it would not be the case then one could move γ slightly keeping its radius the same so that γ will not touch α at all. But in this case α could be enclosed by a circle of smaller radius — a contradiction.

Let us orient α and γ counterclockwise. Then at the common points the directions of α and γ coincide. Note that these points appear on α and γ in the same order; otherwise α could not be simple.

Denote by κ the signed curvature of γ, since it is oriented counterclockwise, $\kappa = \frac{1}{r} > 0$.

Fix two common points p_1 and p_2 of α and γ. By Proposition 6.1, the curvature of α at p_1 and p_2 is at least κ. Let $\bar\alpha$ be the arc of α from p_1 to p_2.

We can assume that the circle is centered at the origin and the points p_1 and p_2 lie on the same vertical line in the right halfplane of the coordinate plane.

Let o be the center of γ. Consider an other circle γ' with the same radius r and the center o' moved to the left along x-axis to the last moment it intersects $\bar\alpha$.

Denote by s_1 a common point of $\bar\alpha$ and γ'; we can assume that s_1 is not an end point of $\bar\alpha$. At the point s_1 the directions of $\bar\alpha$ and γ' coinside, otherwise α could not be simple — the same argument is used in the proof of Lemma 6.3. Therefore γ' supports α from left at s_1. By Proposition 6.1, the curvature of α at s_1 is at most κ.

By repeating the same argument for an other pair of points p_2, p_3,[2] we prove Claim ❶. Whence the theorem follows.   □
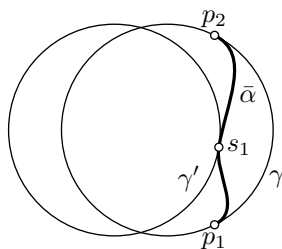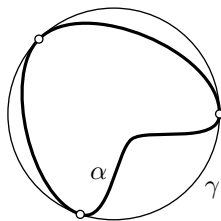
6.14. Exercise.   *Show that any smooth regular curve of constant width has at least 6 vertexes.*

---

[2]If p_1 and p_2 divide γ into two semicircles, then we can take $p_3 = p_1$.

6.6   Moon in a puddle

6.15. Exercise. *Let F be a convex plane figure bounded by a simple closed smooth regular curve α of curvature bounded by 1. Assume α is oriented counterclockwise, so the figure F lies on the left from α. Show there is a unit circle that is globally supporting α form the left at any given point.*

The following theorem was proved by Vladimir Ionin and Pestov German Pestov [15]. It gives a first nontrivial example of the so called "local to global theorems" — based on some local data (in this case curvature of curve) we conclude its global property (in this case existence of large disc surrounded by the curve). For convex curves, the result was known much earlier [16, §24].

6.16. Theorem. *Assume α is a simple closed smooth regular plane curve of curvature bounded by 1. Then it surrounds a unit disc.*

Proof. Denote by F the closed region surrounded by α.
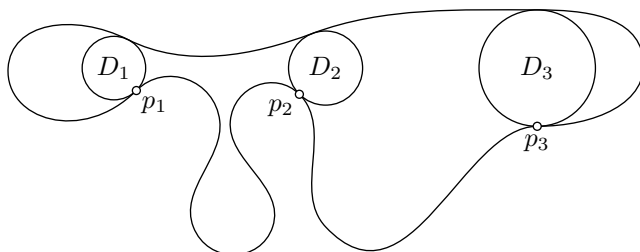
Fix $p_1 \in \alpha$. Consider the disc D_1 of maximal radius that is tangent to α at p_1 and lies completely in F.

If the radius of D_1 is at least 1, then the problem is solved. Otherwise note that p_1 is an isolated point of the intersection $D_p \cap \alpha$. Moreover according to Exercise 6.6, there is a fixed value $\varepsilon > 0$ such that any arc of α that starts at p_1 can not end in D_1.

Consider an arc α_1 of α that runs from p_1 to the first point in D_1. Denote by F_1 the set bounded by α_1 and a part of boundary of D_1 that containing D_1. From above length $\alpha_1 > \varepsilon$.

Let p_2 be the midpoint of α_1. Let D_2 be the disc of maximal radius that is tangent to α_1 at p_2 and lies completely in F_1. The disc D_2 is touching the boundary ∂F_1 at other points, dividing it in at least two arcs.

Note that D_2 can not touch the boundary of D_1, otherwise it would lie in D_1 which is impossible. Therefore at least one of these arcs, say

α_2, do not contain the common boundary F_1 and D_1. Note that

$$\text{length}\,\alpha_2 < \tfrac{1}{2} \cdot \text{length}\,\alpha_1.$$

Again, if the radius of D_2 is at least 1, then the theorem is proved. By Exercise 6.6, it happens if length $\alpha_2 < \varepsilon$. If the radius is smaller that 1, denote by F_2 be the set that is bounded by α_2 and a part of boundary of D_2 and contains D_2 and repeat the construction several times.

Since the length of arcs gets at least twice as small on each step, after several steps the obtained disc D_n will lie completely in F_{n-1} and therefore in F.   □

A straightforward modification of the proof above gives the following.

6.17. Theorem. *Suppose α is a closed simple smooth regular plane curve. Denote by F and G the two closed domains bounded by α, say F is bounded and G is unbounded. Then α has at least 2 osculating circlines that lie in F and 2 osculating circlines that lie in G.*

Note that Theorem 6.16 as well as the Four-vertex theorem follow from Theorem 6.17; the first implication is evident and the second follow from Exercise 6.12.

Chapter 7

Surfaces

7.1 Embedded surfaces

Recall that a function f of two variables x and y is called *smooth* if all its partial derivatives $\frac{\partial^{m+n}}{\partial x^m \partial y^n} f$ are defined and continuous in the domain of definition of f.

A subset Σ is called *smooth surface* (or more precisely *smooth regular embedded surface*) if it can be described locally as a graph of smooth function in appropriate coordinate systems.

More precisely, any point $p \in \Sigma$ admits a neighborhood U such that in some coordinate system (x, y, z), the intersection $W = U \cap \Sigma$ can be written as a graph $z = f(x, y)$ of a smooth function f defined in an open domain of (x, y)-plane.

Once we get a local representation of the surface by a graph, we can change it using the Proposition 7.1 below.

Examples. For simplest example of surface is the (x, y)-plane

$$\Pi = \left\{ (x, y, z) \in \mathbb{R}^3 \ : \ z = 0 \right\}.$$

The plane Π is a surface since it can be described as the graph of the function $f(x, y) = 0$.

All other planes are surfaces as well since one can choose a coordinate system so that it becomes (x, y)-plane. We can also present a plane as a graph of linear function $f(x, y) = a{\cdot}x + b{\cdot}y + c$ for some constants a, b and c if the plane is not perpendicular to the (x, y)-plane.

A more interesting example is the unit sphere

$$\mathbb{S}^2 = \left\{ (x, y, z) \in \mathbb{R}^3 \ : \ x^2 + y^2 + z^2 = 1 \right\}.$$

This set is not a graph of any function, but $\mathbb{S}^2$ can be covered by 6 graphs

$$z = f_\pm(x, y) = \pm\sqrt{1 - x^2 - y^2},$$
$$y = g_\pm(x, z) = \pm\sqrt{1 - x^2 - z^2},$$
$$x = h_\pm(y, z) = \pm\sqrt{1 - y^2 - z^2};$$

each function $f_\pm, g_\pm, h_\pm$ is defined in an open unit disc. Therefore the unit sphere is a smooth surface.

More conventions. If the surface Σ is compact, then it is called *closed surface* (the term *closed set* is not directly relevant).

If Σ is closed and noncompact, then it is called *open surface* (again the term *open set* is not relevant). For example, paraboloids

$$z = x^2 + y^2 \quad \text{or} \quad z = x^2 - y^2$$

are open surfaces, while open disc in a plane

$$\left\{ (x, y, z) \in \mathbb{R}^3 \ : \ x^2 + y^2 < 1, z = 0 \right\}$$

is a surface which is not an open surface since this set is not closed.

A closed subset in a surface that is bounded by one or more smooth curves is called *surface with boundary*; in this case the collection of curves is called *boundary line* of the surface. When we say *surface* we usually mean a surface without boundary; we may use term *surface with possibly nonempty boundary* if we need to talk about surfaces with and without boundary.

7.2   Tangent plane

Let $z = f(x, y)$ be a local graph realization of a surface. Assume $p = (x_p, y_p, z_p)$ lies on this graph, so $z_p = f(x_p, y_p)$. The plane passing thru p and spanned by two vectors $(\frac{\partial}{\partial x} f)(x_p, y_p)$ and $(\frac{\partial}{\partial y} f)(x_p, y_p)$ is called *tangent plane* of Σ at p. It can be interpreted as the best approximation of the surface Σ by a plane at p.

The tangent plane to Σ at p is usually denoted by T_p or $\mathrm{T}_p\Sigma$.

It is straightforward to check that tangent plane does not depend of the local presentation of Σ by a graph.

On local graph representations. The following proposition guarantees existence of a local graph representation near a given point.

7.1. Proposition. *Assume the tangent of a smooth surface Σ at point p is not perpendicular to the (x, y)-plane. Then a neighborhood*

*of p in Σ can be presented as a graph of smooth function $z = f(x, y)$
defined on an open set of the (x, y)-plane.*

A reader familiar with the inverse function theorem, can consider
this proposition as an exercise.

Special coordinate system. Fix a point p is a smooth surface Σ.
Consider a coordinate system (x, y, z) with origin at p such that (x, y)-
plane coincides with T_p.

According to Proposition 7.1, we can present Σ locally around p as
a graph as a graph of function f. Note that f satisfies the following
additional properties:

$$f(0, 0) = 0, \qquad (\tfrac{\partial}{\partial x} f)(0, 0) = 0, \qquad (\tfrac{\partial}{\partial y} f)(0, 0) = 0.$$

The first equality holds since $p = (0, 0, 0)$ lies on the graph and the
last two equalities mean that the tangent plane at p is horizontal.

This gives almost canonical coordinate system in a neighborhood
of p; it is unique up to rotation of the (x, y)-plane and switching the
sign of z-coordinate.

7.3   Curvatures

Hessian. Fix a point p on a smooth surface Σ and the associated
special coordinate system.

Consider the Hessian matrix

$$M_p = \begin{pmatrix} (\tfrac{\partial^2}{\partial x^2} f)(0, 0) & (\tfrac{\partial^2}{\partial x \partial y} f)(0, 0) \\ (\tfrac{\partial^2}{\partial y \partial x} f)(0, 0) & (\tfrac{\partial^2}{\partial y^2} f)(0, 0) \end{pmatrix}.$$

This is symmetric matrix, therefore by rotation of (x, y)-plane, we can
make it diagonal; that is we can assume that $(\tfrac{\partial^2}{\partial x \partial y} f)(0, 0) = 0$. Then
the diagonal elements are called *principle curvatures* of Σ at p; they
defied up to sign; They are denoted as $k_1(p)$ and $k_2(p)$. The principle
curvatures can be also defined as the eigenvalues of M_p.

The determinant of M_p is $k_1(p) \cdot k_2(p)$; it is called *Gauss curvature*
of Σ at p. The trace of M_p is $k_1(p) + k_2(p)$; it is called *mean curvature*
of Σ at p.

Form the discussion above, we get that Gauss curvature and up to
sign principle curvatures and mean curvature do not depend only on
Σ and p, but not on the choice of the coordinate system.

7.2. Exercise. *Assume Σ is a closed surface of with principle curva-
tures at most 1 and F is its orthogonal projection to the plane. Show
that no circle of curvature bigger than 1 can support F from left.*

7.3. Exercise.   *Show that any closed immersed surface has a point with positive Gauss curvature.*

7.4. Exercise.   *Assume a closed surface Σ bounds a convex body. Show that Σ is a sphere with nonnegative Gauss curvature.*

7.4   Immersed surfaces

Parametrizations. A surface can be described by a map from a known surface to the space. For example the ellipsoid

$$\Sigma_{a,b,c} = \left\{ (x, y, z) \in \mathbb{R}^3 \: : \: \tfrac{x^2}{a^2} + \tfrac{y^2}{b^2} + \tfrac{z^2}{c^2} = 1 \right\}$$

for some positive numbers a, b, c can be defined as the image of a map $s \colon \mathbb{S}^2 \to \mathbb{R}^3$ that is the restriction of the map $(x, y, z) \mapsto (a{\cdot}x, b{\cdot}y, c{\cdot}z)$ to the unit sphere $\mathbb{S}^2$. The map s has to be smooth and regular as defined below.

Assume $\mathbb{S}^2$ is written locally as a graph $z = f(x, y)$ in some coordinate system.

The map $s \colon \mathbb{S}^2 \to \mathbb{R}^3$ is smooth if the composition $s \circ f$ has all partial derivatives $\frac{\partial^{m+n}}{\partial x^m \partial y^n}(s \circ f)$ are defined and continuous in the domain of definition of f.

The map $s \colon \mathbb{S}^2 \to \mathbb{R}^3$ is regular if the vectors $\frac{\partial}{\partial x}(s \circ f)$ and $\frac{\partial}{\partial y}(s \circ f)$ are linearly independent at each point of the domain of f.

Evidently the parametric definition includes the embedded surfaces defined above — as a set of parameters we can take the surface itself and the identity map as s.

Immersed surfaces. The parametric definition allows the surfaces to have self-intersections and therefore more general. The surfaces with possible self-intersections are can called *immersed.*

In the described example $\mathbb{S}^2$ is the *domain of parameters* of the surface. We can say that the surface $\Sigma_{a,b,c}$ is a *sphere* since it has sphere as the domain of parameters.

We may use other domains of parameters, torus or sphere with two handles or for surfaces with boundary, disc, annulus, or Möbius band and so on. Sphere with n handles is also called *surface of genus n.*

The set of parameters can be more complicated, for example projective plane — sphere where opposite points are identified; such set of parameters can not be realized as an embedded surface in $\mathbb{R}^3$, but it can be embedded in a higher dimensional Euclidean space. Another example is Klein bottle — a nonoriented brother torus; it also can not be embedded in Euclidean space, but can be immersed with a self-intersection along a closed smooth curve.

7.5 Gauss map

Let Σ be a surface in the Euclidean space. Given a point $p \in \Sigma$ consider a unit vector $\nu(p)$ that is normal to the tangent plane T_p. The unit normal vector $\nu(p)$ is defined uniquely up to sign at each point $p \in \Sigma$. If the choice of the sign is made so that the map $\nu\colon p \mapsto \nu(p)$ is continuous, then the map ν is called *Gauss map*.

The Gauss map sends the surface Σ to the unit sphere $\mathbb{S}^2$. It can be always defined locally (that is in a neighborhood of a point); if it can be defined globally then the surface Σ is called *oriented*.

Möbius band gives an example of nonoriented surface with boundary. Klein bottle is an example of closed immerersed surface which is not oriented. Any closed embedded surface is oriented since one can choose the direction pointing outside of the region bounded by the surface.

Fix a point $p \in \Sigma$ and a smooth curve α in Σ that starts at p; that is $\alpha(0) = p$. Set $v = \alpha'(0)$ and $w = (\nu \circ \alpha)'(0)$. Note that v lie in T_p — the tangent plane at p.

Further $w \in \mathrm{T}_p$ as well. Indeed $\nu \circ \alpha$ is a curve that starts at $\nu(p)$; so $w = (\nu \circ \alpha)'(0)$ lies in the tangent plane $\mathrm{T}_{\nu(p)}\mathbb{S}^2$. But at $\nu(p)$, the sphere $\mathbb{S}^2$ has normal $\nu(p)$ and therefore its tangent plane $\mathrm{T}_{\nu(p)}\mathbb{S}^2$ is parallel T_p, so $\mathrm{T}_{\nu(p)}\mathbb{S}^2$ and T_p are identical as vector spaces.

Further note that since Gauss map is smooth, the vector w depends only on v; that is if we choose a different curve α such that $v = \alpha'(0)$ we will get the same value $w = (\nu \circ \alpha)'(0)$. The map $s_p\colon v \mapsto w$ is called shape operator; it maps the tangent plane T_p to itself.

It is straightforwardto check that the eigenvalues of s_p are the principle curvatures at p; it agrees with the definition given above if the normal vector ν is chosen to point down in the specital graph representation $z = f(x, y)$.

The Gauss map can be defined (globally) if and only if the surface is orientable, in which case its degree is half the Euler characteristic. The Gauss map can always be defined locally (i.e. on a small piece of the surface). The Jacobian determinant of the Gauss map is equal to Gaussian curvature, and the differential of the Gauss map is called the shape operator.

Chapter 8

Bounded principle curvatures

Note that there sets in $\mathbb{R}^3$ bounded by a closed surface Σ with principle curvatures at most 1 by absolute value that do not contain a ball of radius 1.

For example the region between two spheres with large close to each other radiuses. This region can be made arbitrary thin and the curvature of the boundary can be made arbitrary close to zero.
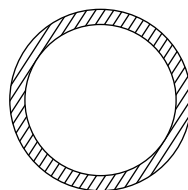
The same example works in the plane — a pair of circles with arbitrary small curvature can bound arbitrary thin region.

8.1. Advanced exercise. *Suppose a set $V \subset \mathbb{R}^3$ is bounded by a closed surface Σ with principle curvatures at most 1 by absolute value. Assume that V does not contain a ball of radius $\frac{1}{100}$. Show that Σ has two components of the same topological type; that is, both can be written in a parametric form with the same parameter domain.*

The same example would work for the curves if we allow boundary of the plane figure to be not connected. The following question might look like a right 3-dimensional analog of the moon in a puddle problem (6.16).

8.1 Lagunov's example

8.2. Question. *Assume a set $V \subset \mathbb{R}^3$ is bounded by a closed connected surface Σ of bounded curvature. Is it true that V contains a ball of radius 1?*

It turns out that the answer is still "no", the following example was constructed by Vladimir Lagunov [17].

Construction. Let us start with a body of revolution V_1 with the cross section shown on the diagram. The boundary curve of the cross section is made by 6 vertical line segments that smoothly jointed into 3 closed simple curves. The boundary of V_1 has 3 components, each of which is a sphere.

V_1 V_2 V_3
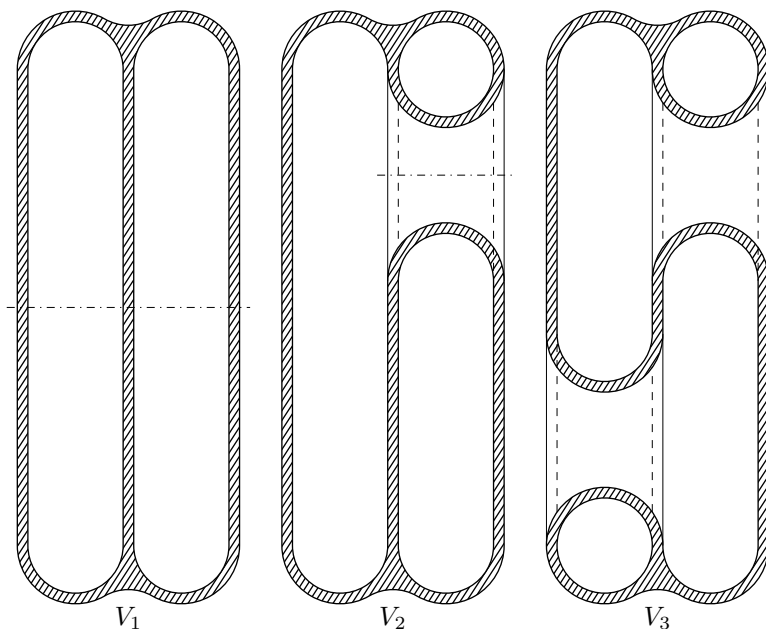
A simple computation shows that if the curvature of all curves is at most 1 then the boundary surface of V_1 has priniple curvatures at most 1 by absolute value.

At most of the places V_1 can be made arbitrary thin, the only thick place is where all tree spheres come together; it could be arranged that the radius of the maximal ball just a bit above

$$r_2 = \tfrac{2}{\sqrt{3}} - 1 < \tfrac{1}{6}.$$

This the radius of the smaller circle tangent to three unit circles that tangent to each other.

It remains to modify V_1 to make its boundary connected without getting larger balls inside.

Note that each sphere in the boundary contains two flat discs; they come into pairs close lying to each other. Let us drill thru two of such pairs and reconnect the holes by an other body of revolution which axis is shifted but stays parallel to the axis of V_1. Denote the obtained body by V_2; its cross section of the obtained body is shown on the diagram.

Then repeat the operation for the other two pairs. Denote the obtained body by V_3; its cross section of the obtained body is shown on the diagram.

It is easy to see that the boundary of V_3 is connected and assuming that the holes are large its boundary can be made so that its principle curvatures is still at most 1.   □

8.3. Claim.   *The surface of V_3 has genus 2.*

Proof. Note that the boundary of V_1 is three spheres.

When we drill a hole, we make one hole in two spheres and two holes in one shpere. We reconnect two spheres by a tube and obtan one sphere and connect two holes of one sphere by a tube we get a torus.

At the second operation we make a torus from the remaining sphere and connect it to the other torus by tube. This way we get a sphere with two handles; that is, it has genus 2.   □

8.4. Exercise.   *Assume V is a body of revolution in $\mathbb{R}^3$ and its boundary is a connected surface with principle curvatures at most 1 by absolute value. Show that V contains a unit ball.*

8.5. Exercise.   *Assume V is a convex body in $\mathbb{R}^3$ bounded by a surface with principle curvatures at most 1. Show that V contains a unit ball.*[1]

8.6. Exercise.   *Modify Lagunov's construction so that the boundary surface would be a sphere with 4 handles.*[2]

8.7. Advanced exercise.   *Show that the bound in the Lagunov's example is optimal. That is, if a body $V \subset \mathbb{R}^3$ is bounded by a connected*

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[1]Hint: Consider a maximal ball in V and apply Exercise 7.2 for a right choice of projection.

[2]Hint: Drill an extra hole or combine two examples together.

surface Σ *with principle curvatures at most 1, then* V *contains a ball of radius* r_2.

8.2   On embedded sphere

8.8. Advanced exercise.   *Note that the body* V *in the example of Lagunov is constructed by thikening a surface that has a singular curve at surface meets at angles* $120°$. *Show that this way one can not obtain a body bounded by a sphere.*

In fact one can show that if a body $V \subset \mathbb{R}^3$ is bounded by a sphere Σ with principle curvatures at most 1, then V contains a ball of radius $r_3 = \sqrt{\frac{3}{2}} - 1 > \frac{1}{5}$, which is the radius of smaller sphere that tangent to three unit sphere that are tangent to each other. Moreover this bound is optimal.

An example of such body can be obtained by thickening the so called Bing's house. It is certain surface which singularities are formed by three curves that meet at two points; four ends at each point. The remaining surface of Bing's is smooth and has bounded principle curvatures; we can assume that they are bounded by arbitrary small value.

At the singular curves curves threee pieces of surface have to come at angles $\frac{2}{3} \cdot \pi$ and at the sigular points 6 pieces of surface should come together forming 6 tringles with vertex in the center of regular tetrahedron and the bases at its 6 edges. Thickening of sufficiently large Bing's house of that type produces the optimal bound r_3 on the maximal ball that it contains.

The thickening of Bing's house shown on the picture can not give the optimal bound, but still it can produce an example of embedded sphere that does not surround a ball of radius 1.

This picture is very similar to the Lagunov's example described above — it can be obtained by filling the rings in the section of V_3 by a thickened discs.

This picture of a taken from posts of Ken Baker [18]; this post has many other beautiful pictures that help to visualize Bing's house.
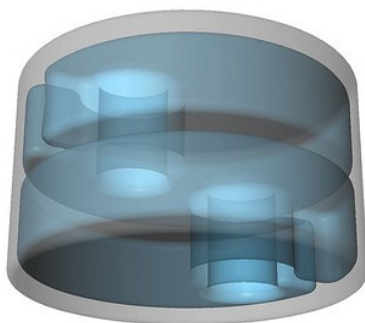
Chapter 9

Convex surfaces

9.1 Embedded surfaces

A set in X Euclidean space is called strictly convex if for any two points $x, y \in X$ any point z that lies between x and y lies in the interior of X. Clearly any open convex set is stricly convex; the cube (as well as any convex polyhedron) geves an example of convex set which is not strictly convex.

9.1. Exercise. *Let Σ be a surface with positive Gauss curvature. Show that for any point $p \in \Sigma$ and all sufficiently small $\varepsilon > 0$, the surface Σ divides the ball $B(p, \varepsilon)$ into two regions, one of which is strictly convex.*

The following theorem gives a global version of the exercise above.

9.2. Theorem. *Assume Σ is a closedor open smooth connected surface with positive Gauss curvature. Then Σ bounds a convex region R. Moreover, if Σ is closed then it is a sphere; that is, Σ admits a smooth regular parametrization by $\mathbb{S}^2$.*

Proof. By Exercise 9.1, one of the regions, say R, bounded by Σ is strictly convex locally; that is intersection of R with a sufficiently small ball centered at a given point is strictly convex.

Since Σ is connected, so it R. Moreover any two points x and y in the interior of R can be connected by a polygonal line β in the interior of R.

Arguing by contradiction, assume the line segment $[xy]$ does not lie in the in the interior of R. Let y_0 be the first point on β so that the line segment $[xy_0]$ touches Σ; assume it touch it at a point z.

By Exercise 9.1, $R \cap B(z, \varepsilon)$ is
strictly convex for all sufficiently small
$\varepsilon > 0$. On the other hand z lies between
two points common to the line segment
$[xy_0]$ and $R \cap B(z, \varepsilon)$ — a contradiction.

It remains to parameterize Σ by $\mathbb{S}^2$.

Fix a point p in the interior of R.
By strict convexity of R, for any point
$x \in \mathbb{S}^2$ there is unique point $x' \in \Sigma$ that lies on the halfline px;
moreover, the map $h \colon x \mapsto x'$ describes a bijection $\mathbb{S}^2 \to \Sigma$.

Applying inverse function theorem in a local coordinates of $\mathbb{S}^2$ and
Σ, we get that the map h is smooth and regular. Hence the result. $\quad\square$
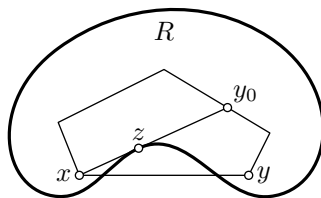
9.2   Immersed surfaces

9.3. Theorem. *Any closed connected immersed surface with positive
Gauss curvature is embedded.*

In other words such surface can not have self-
intersections. Note that an analogous statement does
not hold in the plane; on the diagram you see a closed
curve with a self-intersection and positive curvature at
all points. Exercise 6.5 gives a condition that guaran-
tees simplicity of locally convex plane curve; it will be
used in the following proof.
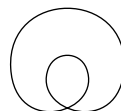
Before going into the proof, note that theorems 9.3 and 9.2 imply
the following:

9.4. Corollary. *Any closed connected immersed surface with positive
Gauss curvature is an embedded sphere that bounds a convex region.*

The following proof use a *Morse-type argument* for the height func-
tion; that is, we study how the part of the surface that lies below a
plane changes when we move the plane up. Little more careful anal-
ysis of this changes would imply the corollary above directly, without
using Theorem 9.2.

In the proof we abuse notation slightly; we say a *point of immersed
surface* instead of *point in the parameter domain of immersed surface*.
So each point of self-intersection is considered as two or more "distinct"
points of the surface.

Proof of the theorem. Let Σ be a closed surface with positive Gauss
curvature, possibly with self-intersections.

Fix a horizontal plane Π_h defined by the equation $z = h$ in an
(x, y, z)-coordinate system. Note that the intersection $W_h = \Sigma \cap \Pi_h$

is formed by a finite collection of closed curves and isolated points. (These curves and isolated points might intersect in the Euclidean space, but they are disjoint in the domain of parameters of Σ.)

Indeed, if $\mathrm{T}_p = \Pi_h$, then, since the principle curvatures are positive, p is a local minimum or local maximum of the height function. In both cases, p is an isolated point of W_h in Σ. If the tangent plane T_p is not Π_h, then it is not perpendicular to (x, z)-pane or (y, z)-plane. Therefore by Proposition 7.1, the surface can be written locally as a graph $x = f(y, z)$ or $y = f(x, z)$; in both cases p lies on the curve $x = f(y, h)$ or correspondingly $y = f(x, h)$.

Summarizing, the closed set $W_h \subset \Sigma$ locally looks like a curve or an isolated point. Since Σ is compact, so is W. Therefore W is a finite disjoint collection of isolated points and closed simple curves in Σ.

Assume α_{h_0} is a closed curves in W_{h_0}. Note that its neighborhood is swept by curves α_h in W_h for $h \approx h_0$. Indeed a neighbohood of α_{h_0} in Σ can be covered by a finite number of graphs of the type $x = f(y, z)$ (or $y = f(x, z)$) and the curves α_h can be described locally as a curve $t \mapsto (f(t, h), t, h)$ (or correspondingly $t \mapsto (t, f(t, h), h)$) for $h \approx h_0$.

As α_h is an intersection of locally convex surface with a plane, the curvature of α_h has fixed sign; so if we choose orientation of the curves properly, we can assume that they all have positive curvature.

The family α_h depends smoothly on h and the same holds for its tangent indicatrix. Therefore the total signed curvature K_h of α_h depends continuously on h. If $K_h = 2 \cdot \pi$ for some h, then $K_h = 2 \cdot \pi$ for every h. It follows since, the function $h \mapsto K_h$ is continuous and its value is a multiple of $2 \cdot \pi$. In this case, by Exercise 6.5, all curves α_h are simple and each bounds a convex region in the plane Π_h.

Summarizing, if one of the curves in the constructed family α_h is simple, then each curve in the family is simple and each α_h bounds a convex region in the plane Π_h.

Choose a point $p \in \Sigma$ that minimize the height function z. Without loss of generality we may assume that p is the origin and therefore the surface lies in the upper half-space.

Fix $h > 0$. The intersection of the set $z \leqslant h$ with the surface may contain several connected components; one of them contains p, denote this component by Σ_h.[1]

From above, Σ_h is a surface with possibly nonempty boundary. Indeed it might be bounded only by few closed curves in W_h; any isolated point of W_h either lie in Σ_h together with it neighborhood or do not lie in Σ_h.

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[1]These components might intersect in the space, but they are disjoint in the domain of parameters. Note also that from the corollary, it follows that there is only one component Σ_h, but we can not use it before the theorem is proved.

Note that for small values of h, the surface Σ_h is an embedded disc. Indeed, if $z = f(x, y)$ is a graph represetation of Σ around p, then Σ_h is a graph of f over

$$\Delta = \left\{ (x, y) \in \mathbb{R}^2 \ : \ f(x, y) \leqslant h \right\}.$$

Since Gauss curvature is positive, the function f is convex and therefore Δ is convex and bounded by a smooth curve; any such set can be parameterized by a disc.

Let $H > 0$ be the maximal value such that Σ_h has no self-intersections for any $h < H$. For a sequence $h_n \to H^-$, choose a point q_n on the boundary of Σ_h and pass to a partial limit q of q_n in Σ; that is, q is a limit of a subsequence of (q_n).

If the tangent plane at q is *not* horizontal, then there is a closed curve α_H in Σ that passes thru q and lies on the plane $z = H$. From above curve α_H, as well as all α_h with $h \approx H$ are closed embedded convex curves. Hence Σ_h has no self-intersections for some $h > H$ — a contradiction.

If the tangent plane at q is horizontal, then the surface Σ_H has no boundary. Since Σ is connected, $\Sigma_H = \Sigma$. Since Σ_h has no self-intersections for $h < H$, we get that Σ is an embedded surface. □
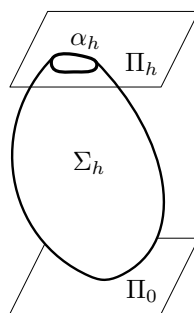
9.5. Exercise. *Show that any open immersed surface with positive Gauss curvature is embedded.*[2]

_____

[2]Hint: Modify the proof of the theorem.

Chapter 10

Geodesics

10.1 Closest point projection

10.1. Lemma. *Let K be a closed convex set in $\mathbb{R}^3$. Then for every point $p \in \mathbb{R}^3$ there is unique point $\bar{p} \in K$ that minimize the distance $|p - x|$ for all points $x \in K$.*

Moreover the map $p \mapsto \bar{p}$ is short; that is,

❶ $$|p - q| \geqslant |\bar{p} - \bar{q}|$$

for any pair of points $p, q \in \mathbb{R}^3$.

The map $p \mapsto \bar{p}$ is called *closest point projection*; note that if $p \in K$, then $\bar{p} = p$.

Proof. Fix a point p and set

$$\ell = \inf_{x \in K} \{|p - x|\}.$$

Choose a sequence $x_n \in K$ such that $|p - x_n| \to \ell$ as $n \to \infty$.

Without loss of generality, we can assume that all the points x_n lie in a ball or radius $\ell + 1$ centered at p. Therefore we can pass to a partial limit $\bar{p}$ of x_n; that is, $\bar{p}$ is a limit of a subsequence of x_n. Since K is closed $\bar{p} \in K$. By construction

$$|p - \bar{p}| = \ell = \lim_{n \to \infty} |p - x_n|.$$

Hence the existence follows.

Assume there are two distinct points $\bar{p}, \bar{p}' \in K$ that minimize the distance to p. Since K is convex, their midpoint $m = \frac{1}{2} \cdot (\bar{p} + \bar{p}')$ lies

in K. Note that $|p - \bar{p}| = |p - \bar{p}'| = \ell$; that is $\triangle p\bar{p}\bar{p}'$ is isosceles and therefore $\triangle p\bar{p}m$ is right with the right angle at m. Since leg of right triangle is shorter than its hypotenuse, we have $|p - m| < \ell$ — a contradiction.

It remains to prove inequality ❶.

We can assume that $\bar{p} \neq \bar{q}$, otherwise there is nothing to prove. Note that if $p \neq \bar{p}$ (that is, if $p \notin K$), then $\angle p\bar{p}\bar{q}$ is right or obtuse. Otherwise there would be a point x on the line segment $[\bar{q}, \bar{p}]$ that is closer to p than $\bar{p}$. Since K is convex, the line segment $[\bar{q}, \bar{p}]$ and therefore x lie in K. Hence $\bar{p}$ is not closest to p — a contradiction.

The same way we can show that $\angle q\bar{q}\bar{p}$ is right or obtuse if $q \neq \bar{q}$. In all cases it implies that the orthogonal projection of the line segment $[p, q]$ to the line $\bar{p}\bar{q}$ contains the line segment $[\bar{p}, \bar{q}]$. In particular

$$|p - q| \geqslant |\bar{p} - \bar{q}|.$$

$\square$

10.2 Geodesics

Let Σ be a surface. Assume a curve γ in Σ connects two points $p, q \in \Sigma$ and minimizes the lenght among all such curves. Then γ is called a *minimizing geodesic* from p to q.

10.2. Exercise. *Suppose Σ is a smooth closed surface that bounds a convex body K in $\mathbb{R}^3$ and Π is a plane that cuts from Σ a disk Δ. Assume that the reflection of Δ with respect to Π lies inside of Σ. Show that Δ is* convex *with respect to the intrinsic metric of Σ; that is, if both ends of a minimizing geodesic in Σ lie in Δ, then the whole geodesic lies in Δ.*

A curve $\gamma\colon [a, b] \to \Sigma$ is called *geodesic* if for some partition $a = t_0 < t_1 < \cdots < t_n = b$ of the interval the each arc $\gamma|_{[t_{i-1}, t_{i+1}]}$ is a minimizing geodesic.

10.3. Exercise. *There is a mountain of frictionless ice in the shape of a perfect cone with a circular base. A cowboy is at the bottom and he wants to climb the mountain. So, he throws up his lasso which slips neatly over the top of the cone, he pulls it tight and starts to climb. If the mountain is very steep, with a narrow angle θ at the top, there is no problem; the lasso grips tight and up he goes. On the other hand if the mountain is very flat, with a very shallow angle θ at the top, the lasso slips off as soon as the cowboy pulls on it.*

What is the critical angle θ_0 at which the cowboy can no longer climb the ice-mountain?

10.4. Liberman's lemma. *Assume γ is a geodesic on the graph $z = f(x,y)$ of a convex function f defined on an oped subset of the plane. Consider a reparametrization $(x(t), y(t), z(t))$ of γ such that the curve $t \mapsto (x(t), y(t))$ is a unit-speed curve. Then $z(t)$ is a convex function.*

If we draw a line parallel to the z-axis thru each point of γ, we get a surface which can be developed on the plane — that is, it can be parametrized by a strip in the plane between parallel lines so that the length of all curves in the strip survive after the mapping. If we assume that the strip is oriented vertically on the plane then the curve becomes a grap of a function and the theorem states that this function is convex.

Proof. Denote the graph by Σ. Choose a partition such that $\gamma|_{[t_{i-1}, t_{i+1}]}$ is minimizing. If the function z is convex on each interval $[t_{i-1}, t_{i+1}]$, then it is convex on whole interval. Therefore it is sufficient to prove the case if $\gamma \colon [a, b] \to$ is a minimizing geodesic.

Further, passing to a finer partition, we can assume that the projection of γ to the (x, y)-plane lies completely in a closed disc Δ in the domain of definition of f. In this case the curve lies in the boundary of closed convex set

$$K = \left\{ (x, y, z) \in \mathbb{R}^3 \ : \ (x, y) \in \Delta, \ z \geqslant f(x, y) \right\};$$

so we can apply the lemma on closest point projection.

If the function z is not convex, then there is an other function $\check{z} \leqslant z$ with shorter graph such that $\check{z}(a) = z(a)$, $\check{z}(a) = z(a)$. Consider the curve $\check{\gamma}(t) = (x(t), y(t), \check{z}(t))$; $\check{\gamma}$ lies under γ and therefore can on the boundary or outside of K. The closest point projection of $\check{\gamma}$ to K gives acurve connecting endpoints of γ, by construction it runs in Σ and by the lemma on closest point projection it is a shorter than γ — a contradiction. $\qquad\square$

10.5. Theorem. *Assume Σ is a graph $z = f(x, y)$ of a convex L-Lipschitz function f defined on an open set in the (x, y)-plane. Then the total curvature of any geodesic in Σ is at most $2 \cdot L$.*

Chapter 11

Saddle surfaces

A surface is called *saddle* if its Gauss curvature at each point is nonpositive; in other words principle curvatures at each point have opposite signs or one of them is zero.

Note that a closed surface can not be saddle. Indeed consider a smallest sphere that contains a closed surface Σ inside; It supports Σ at some point p and at this point the principle curvature must have the same sign. The following exercise can be solved using the same idea.

11.1. Exercise. *Show that a smooth surface Σ is saddle if and only if it has no hats; that is no disc Δ in Σ that boundary lies in a plane and the remaining points of the Δ lie on one side of the plane.*

A surface Σ is called *ruled* if thru every point of Σ there is a straight line that lies on Σ.

11.2. Exercise. *Show that any ruled surface is saddle.*

Appendix A

Semisolutions

Exercise 1.5. First let us show that Dido's problem follows from the isoperimetric inequality.

Assume F is a figure bounded by a straight line and a curve of length ℓ whose endpoints belong to that line. Let F' be the reflection of F in the line. Note that the union $G = F \cup F'$ is a figure bounded by a closed curve of length $2{\cdot}\ell$.

Applying the isoperimetric inequality, we get that the area of G can not exceed the area of round disc with the same circumference $2{\cdot}\ell$ and the equality holds only if the figure is congruent to the disc. Since F and F' are congruent, Dido's problem follows.

Now let us show that the isoperimetric inequality follows from the Dido's problem.

Assume G is a convex figure bounded by a closed curve of length $2{\cdot}\ell$. Cut G by a line that splits the perimeter in two equal parts — ℓ each. Denote by F and F' the two parts. Applying the Dido's problem for each part, we get that that are of each does not exceed the area of half-disc bounded by a half-circle. The two half-disc could be arranged into a round disc of circumference ℓ, hence the isoperimetric inequality follows.

Exercise 2.16. Let $\alpha\colon [a, b] \to \mathbb{R}^3$ be a curve. Given a unit vector u, denote by α_u the projection of α on a line in the direction of u; denote by $\alpha_{u^\perp}$ the of α on a plane perpendicular to u.

Two formulas
$$\operatorname{length}\alpha = k{\cdot}\overline{\operatorname{length}\alpha_u}$$
and
$$\operatorname{length}\alpha = k'{\cdot}\overline{\operatorname{length}\alpha_{u^\perp}}$$

can be proved the same way as the Crofton's formula in the plane.

It remains to find the coefficients k and k'. It is sufficient to calculate the average projection of unit segment to a line and to a plane. We need to find two integrals

$$k = \oint_{\mathbb{S}^2} |x| \cdot d\,\text{area}$$

and

$$k' = \oint_{\mathbb{S}^2} \sqrt{1 - x^2} \cdot d\,\text{area},$$

where $\mathbb{S}^2 = \{ (x, y, z) \in \mathbb{R}^3 : x^2 + y^2 + z^2 = 1 \}$ is the unit sphere in the Euclidean space and $\oint$ denotes the average value — since the area of unit sphere is $4 \cdot \pi$, we have

$$\oint_{\mathbb{S}^2} f(x, y, z) \cdot d\,\text{area} = \tfrac{1}{4 \cdot \pi} \cdot \int_{\mathbb{S}^2} f(x, y, z) \cdot d\,\text{area}$$

Note that in the cylindrical coordinates

$$(x, \varphi = \arctan \tfrac{y}{z}, \rho = \sqrt{y^2 + z^2}),$$

we have $d\,\text{area} = dx \cdot d\varphi$. Therefore

$$k = \oint_{[-1,1]} |x| \cdot dx = \tfrac{1}{2}$$

and

$$k' = \oint_{[-1,1]} \sqrt{1 - x^2} \cdot dx = \tfrac{\pi}{4}.$$

Comment. Note that $\frac{k'}{k} = \frac{\pi}{2}$ is the coefficient in the 2-dimensional Crofton formula. This is not a coincidence — think about it.

Exercise 3.1. Assume contrary, that is there is a closed smooth regular curve α such that $\text{TotCurv}\,\alpha < 2 \cdot \pi$.

The tangent indicatrix τ of α is a curve in a sphere; by the definition of total curvature, the length of τ is the total curvature of α; in particular

$$\text{length}\,\tau < 2 \cdot \pi.$$

By Exercise 2.19, τ lies in an open hemisphere. If u is the center of the hemisphere, then

$$\langle u, \tau(t) \rangle > 0 \quad \text{and therefore} \quad \langle u, \alpha'(t) \rangle > 0$$

for any t. Therefore the function $t \mapsto \langle u, \alpha(t) \rangle$ is strictly increasing. In particular, if α is defined on the time interval $[a, b]$, then

$$\langle u, \alpha(a) \rangle < \langle u, \alpha(a) \rangle.$$

But α is closed; that is $\alpha(a) = \alpha(b)$ — a contradiction.

Now let us prove the equality case. First note that it is sufficient to show that τ runs around an equator.

Assume τ is not an equator, from above we know that τ can not lie in an open hemisphere. Note that we can shorten τ by a small chord. The obtained curve τ' is shorter than $2 \cdot \pi$ and therefore lies in an open hemisphere. Applying this construction for shorter and shorter chord and passing to the limit we get that τ lies in closed hemisphere. Denote its center by u as before, then

$$\langle u, \tau(t) \rangle \geqslant 0 \quad \text{and therefore} \quad \langle u, \alpha'(t) \rangle \geqslant 0$$

for any t. Since α is closed we have that $\langle u, \alpha(t) \rangle$ is constant; that is, runs in a plane perpendicular to u and τ lies in an equator perpendicular to u.

So τ is a curve that runs along equator, has length $2 \cdot \pi$ and does not lie in a open hemisphere. Since τ is not an equator, it have to run along half-equator back and forth. In this case τ lies in an other closed hemisphere and has some points in its interior. The latter contradicts closeness of α the same way as above.

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