

# Lectures in metric geometry

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# Disclaimer

Considerable part of the text is a compilation from [1, 2, 17, 20, 21] and its drafts.

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# Chapter 0

## Homework assignments

It is better to think about all the problems, but you do not have to solve *all* of them. If a problem is solved, you do not have to write its solutions, but try sketch it.

### 0.1 Due Tue Jan 21

Exercises: ~~1.3.1~~, 1.4.4, 1.7.2, ~~1.7.3~~, 1.7.8, 2.1.7.

### 0.2 Due Tue Jan 28

Exercises: 1.3.1, 1.7.3, 2.1.8, 3.4.4, 3.5.1, 3.5.2*a*.

### 0.3 Due Tue Feb 4

Exercises: 1.8.3, 3.5.2*b*, ~~3.6.3~~, ~~3.6.4~~, 4.4.1, 4.4.3.

### 0.4 Due Tue Feb 11

Finish exercises 1.8.3 , 3.5.2*b*, 3.6.3, 3.6.4.

Exercises: 4.3.3, 4.5.1, 5.2.2, 5.3.2.

### 0.5 Due Tue Feb 18

Exercises: 5.2.3, 6.1.2, 6.2.4, 6.2.6, 6.3.1, 6.3.4.

Write down a solution to at least one of the exercises.

## 0.6 Due Tue Feb 25

Exercises: TBD.

Prepare for the midterm; see Appendix B.

# Part I

## Pure metric geometry





# Chapter 1

## Definitions

### 1.1 Metric spaces

The distance between two points  $x$  and  $y$  in a metric space  $\mathcal{X}$  will be denoted by  $|x - y|$  or  $|x - y|_{\mathcal{X}}$ . The latter notation is used if we need to emphasize that the distance is taken in the space  $\mathcal{X}$ .

The function

$$\text{dist}_x: y \mapsto |x - y|$$

is called the *distance function* from  $x$ .

Given  $R \in [0, \infty]$  and  $x \in \mathcal{X}$ , the sets

$$B(x, R) = \{y \in \mathcal{X} \mid |x - y| < R\},$$

$$\overline{B}[x, R] = \{y \in \mathcal{X} \mid |x - y| \leq R\}$$

are called, respectively, the *open* and the *closed balls* of radius  $R$  with center  $x$ . Again, if we need to emphasize that these balls are taken in the metric space  $\mathcal{X}$ , we write

$$B(x, R)_{\mathcal{X}} \quad \text{and} \quad \overline{B}[x, R]_{\mathcal{X}}.$$

### 1.2 Variations of definition

Recall that a metric is a real-valued function  $(x, y) \mapsto |x - y|_{\mathcal{X}}$  that satisfies the following conditions for any three points  $x, y, z \in \mathcal{X}$ :

- (i)  $|x - y|_{\mathcal{X}} \geq 0$ ,
- (ii)  $|x - y|_{\mathcal{X}} = 0 \iff x = y$ ,
- (iii)  $|x - y|_{\mathcal{X}} = |y - x|_{\mathcal{X}}$ ,
- (iv)  $|x - y|_{\mathcal{X}} + |y - z|_{\mathcal{X}} \geq |x - z|_{\mathcal{X}}$ ,

**Pseudometrics.** A generalization of a metric in which the distance between two distinct points can be zero is called *pseudometric*. In other words, to define pseudometric, we need to remove condition (ii) from the list.

The following two observations show that nearly any question about pseudometric spaces can be reduced to a question about genuine metric spaces.

Assume  $\mathcal{X}$  is a pseudometric space. Set  $x \sim y$  if  $|x - y| = 0$ . Note that if  $x \sim x'$ , then  $|y - x| = |y - x'|$  for any  $y \in \mathcal{X}$ . Thus,  $|\ast - \ast|$  defines a metric on the quotient set  $\mathcal{X}/\sim$ . In this way we obtain a metric space  $\mathcal{X}'$ . The space  $\mathcal{X}'$  is called the *corresponding metric space* for the pseudometric space  $\mathcal{X}$ . Often we do not distinguish between  $\mathcal{X}'$  and  $\mathcal{X}$ .

**$\infty$ -metrics.** One may also consider metrics with values in  $\mathbb{R} \cup \{\infty\}$ ; we might call them  $\infty$ -metrics or simply metrics.

Again nearly any question about  $\infty$ -metric spaces can be reduced to a question about genuine metric spaces.

Indeed, set  $x \approx y$  if and only if  $|x - y| < \infty$ ; this is an other equivalence relation on  $\mathcal{X}$ . The equivalence class of a point  $x \in \mathcal{X}$  will be called the *metric component* of  $x$ ; it will be denoted as  $\mathcal{X}_x$ . One could think of  $\mathcal{X}_x$  as  $B(x, \infty)_{\mathcal{X}}$  — the open ball centered at  $x$  and radius  $\infty$  in  $\mathcal{X}$ .

It follows that any  $\infty$ -metric space is a *disjoint union* of genuine metric spaces — the metric components of the original  $\infty$ -metric space.

**1.2.1. Exercise.** Given two sets  $A$  and  $B$  on the plane, set

$$|A - B| = \mu(A \setminus B) + \mu(B \setminus A),$$

where  $\mu$  denotes the Lebesgue measure.

- a) Show that  $|\ast - \ast|$  is a pseudometric on the set of bounded measurable sets of the plane.
- b) Show that  $|\ast - \ast|$  is an  $\infty$ -metric on the set of all open sets of the plane.

## 1.3 Completeness

Recall that a metric space  $\mathcal{X}$  is called *complete* if every Cauchy sequence of points in  $\mathcal{X}$  converges in  $\mathcal{X}$ .

**1.3.1. Exercise.** Suppose that  $\rho$  is a positive continuous function on a complete metric space  $\mathcal{X}$ . Show that for any  $\varepsilon > 0$  there is a point  $x \in \mathcal{X}$  such that

$$\rho(x) < (1 + \varepsilon) \cdot \rho(y)$$

for any point  $y \in B(x, \rho(x))$ .

Most of the time we will assume that a metric space is complete. The following construction produces a complete metric space  $\bar{\mathcal{X}}$  for any given metric space  $\mathcal{X}$ . The space  $\bar{\mathcal{X}}$  is called *completion* of  $\mathcal{X}$ ; the original space  $\mathcal{X}$  forms a dense subset in  $\bar{\mathcal{X}}$ .

**Completion.** Given metric space  $\mathcal{X}$ , consider the set of all Cauchy sequences in  $\mathcal{X}$ . Note that for any two Cauchy sequences  $(x_n)$  and  $(y_n)$  the right hand side in **1** is defined; moreover it defines a pseudometric on the set  $\mathcal{C}$  of all Cauchy sequences

$$\mathbf{1} \quad |(x_n) - (y_n)|_{\mathcal{C}} := \lim_{n \rightarrow \infty} |x_n - y_n|_{\mathcal{X}}.$$

The corresponding metric space is called a completion of  $\mathcal{X}$ .

It is left as an exercise that completion of  $\mathcal{X}$  is complete.

Note that for each point  $x \in \mathcal{X}$  one can consider a constant sequence  $x_n = x$  which is Cauchy. It defines a natural map  $\mathcal{X} \rightarrow \bar{\mathcal{X}}$ . It is easy to check that this map is distance preserving. In particular we can (and will) consider  $\mathcal{X}$  as a subset of  $\bar{\mathcal{X}}$ .

## 1.4 Compactness

Let us recall few equivalent definitions of compact metric spaces.

**1.4.1. Definition.** A metric space  $\mathcal{K}$  is compact if and only if one of the following equivalent condition holds:

- a) Every open cover of  $\mathcal{K}$  has a finite subcover.
- b) For any open cover of  $\mathcal{K}$  there is  $\varepsilon > 0$  such that any  $\varepsilon$ -ball in  $\mathcal{K}$  lie in one element of the cover. (The value  $\varepsilon$  is called Lebesgue number of the covering.)
- c) Every sequence in  $\mathcal{K}$  has a convergent subsequence.
- d) The space  $\mathcal{K}$  is complete and totally bounded; that is, for any  $\varepsilon > 0$ , the space  $\mathcal{K}$  admits a finite cover by open  $\varepsilon$ -balls.

Alternatively totally bounded spaces can be defined the following way.

A metric space  $\mathcal{K}$  is *totally bounded* if for any  $\varepsilon > 0$  there is a finite  $\varepsilon$ -net; that is, a finite set of points  $x_1, \dots, x_n \in \mathcal{K}$  such that any other point  $x$  lies on the distance less than  $\varepsilon$  from one of  $x_i$ .

**1.4.2. Exercise.** Show that a space  $\mathcal{K}$  is totally bounded if and only if it contains a compact  $\varepsilon$ -net for any  $\varepsilon > 0$ .

Let  $\text{pack}_{\varepsilon} \mathcal{X}$  be exact upper bound on the number of points  $x_1, \dots, x_n \in \mathcal{X}$  such that  $|x_i - x_j| \geq \varepsilon$  for any  $i \neq j$ .

If  $n = \text{pack}_\varepsilon \mathcal{X} < \infty$ , then the collection of points  $x_1, \dots, x_n$  is called a *maximal  $\varepsilon$ -packing*. Note that  $n$  is the maximal number of open disjoint  $\frac{\varepsilon}{2}$ -balls in  $\mathcal{X}$ .

**1.4.3. Exercise.** Show that a complete space  $\mathcal{X}$  is compact if and only of  $\text{pack}_\varepsilon \mathcal{X} < \infty$  for any  $\varepsilon > 0$ .

Show that any maximal  $\varepsilon$ -packing is an  $\varepsilon$ -net.

**1.4.4. Exercise.** Let  $\mathcal{K}$  be a compact metric space and

$$f: \mathcal{K} \rightarrow \mathcal{K}$$

be a distance non-decreasing map. Prove that  $f$  is an isometry.

A metric space  $\mathcal{X}$  is called *proper* if all closed bounded sets in  $\mathcal{X}$  are compact. This condition is equivalent to each of the following statements:

- ◇ For some (and therefore any) point  $p \in \mathcal{X}$  and any  $R < \infty$ , the closed ball  $\overline{B}[p, R]_\mathcal{X}$  is compact.
- ◇ The function  $\text{dist}_p: \mathcal{X} \rightarrow \mathbb{R}$  is proper for some (and therefore any) point  $p \in \mathcal{X}$ ; that is, for any compact set  $K \subset \mathbb{R}$ , its inverse image

$$\text{dist}_p^{-1}(K) = \{x \in \mathcal{X} : |p - x|_\mathcal{X} \in K\}$$

is compact.

A metric space  $\mathcal{X}$  is called *locally compact* if any point in  $\mathcal{X}$  admits a compact neighborhood; in other words, for any point  $x \in \mathcal{X}$  a closed ball  $\overline{B}[x, r]$  is compact for some  $r > 0$ .

## 1.5 Geodesics

Let  $\mathcal{X}$  be a metric space and  $\mathbb{I}$  a real interval. A globally isometric map  $\gamma: \mathbb{I} \rightarrow \mathcal{X}$  is called a *geodesic*<sup>1</sup>; in other words,  $\gamma: \mathbb{I} \rightarrow \mathcal{X}$  is a geodesic if

$$|\gamma(s) - \gamma(t)|_\mathcal{X} = |s - t|$$

for any pair  $s, t \in \mathbb{I}$ .

We say that  $\gamma: \mathbb{I} \rightarrow \mathcal{X}$  is a geodesic from point  $p$  to point  $q$  if  $\mathbb{I} = [a, b]$  and  $p = \gamma(a)$ ,  $q = \gamma(b)$ . In this case the image of  $\gamma$  is denoted by  $[pq]$  and with an abuse of notations we also call it a *geodesic*. Given a geodesic  $[pq]$ , we can parametrize it by distance to  $p$ ; this parametrization will be denoted by  $\text{geod}_{[pq]}(t)$ .

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<sup>1</sup>Various authors call it differently: *shortest path*, *minimizing geodesic*.

We may write  $[pq]_{\mathcal{X}}$  to emphasize that the geodesic  $[pq]$  is in the space  $\mathcal{X}$ . We also use the following shortcut notation:

$$]pq[ = [pq] \setminus \{p, q\}, \quad ]pq] = [pq] \setminus \{p\}, \quad [pq[ = [pq] \setminus \{q\}.$$

In general, a geodesic from  $p$  to  $q$  need not exist and if it exists, it need not be unique. However, once we write  $[pq]$  we assume mean that we have made a choice of geodesic.

A metric space is called *geodesic* if any pair of its points can be joined by a geodesic.

A *geodesic path* is a geodesic with constant-speed parametrization by  $[0, 1]$ . Given a geodesic  $[pq]$ , we denote by  $\text{path}_{[pq]}$  the corresponding geodesic path; that is,

$$\text{path}_{[pq]}(t) := \text{geod}_{[pq]}(t \cdot |p - q|).$$

A curve  $\gamma: \mathbb{I} \rightarrow \mathcal{X}$  is called a *local geodesic* if for any  $t \in \mathbb{I}$  there is a neighborhood  $U$  of  $t$  in  $\mathbb{I}$  such that the restriction  $\gamma|_U$  is a geodesic. A constant-speed parametrization of a local geodesic by the unit interval  $[0, 1]$  is called a *local geodesic path*.

## 1.6 Length

A *curve* is defined as a continuous map from a real interval to a space. If the real interval is  $[0, 1]$ , then the curve is called a *path*.

**1.6.1. Definition.** Let  $\mathcal{X}$  be a metric space and  $\alpha: \mathbb{I} \rightarrow \mathcal{X}$  be a curve. We define the length of  $\alpha$  as

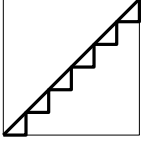
$$\text{length } \alpha := \sup_{t_0 \leq t_1 \leq \dots \leq t_n} \sum_i |\alpha(t_i) - \alpha(t_{i-1})|.$$

A curve  $\alpha$  is called *rectifiable* if  $\text{length } \alpha < \infty$ .

**1.6.2. Theorem.** Length is a lower semi-continuous with respect to pointwise convergence of curves.

More precisely, assume that a sequence of curves  $\gamma_n: \mathbb{I} \rightarrow \mathcal{X}$  in a metric space  $\mathcal{X}$  converges pointwise to a curve  $\gamma_\infty: \mathbb{I} \rightarrow \mathcal{X}$ ; that is, for any fixed  $t \in \mathbb{I}$ ,  $\gamma_n(t) \rightarrow \gamma_\infty(t)$  as  $n \rightarrow \infty$ . Then

$$\textcircled{1} \quad \liminf_{n \rightarrow \infty} \text{length } \gamma_n \geq \text{length } \gamma_\infty.$$



Note that the inequality **❶** might be strict. For example the diagonal  $\gamma_\infty$  of the unit square can be approximated by a stairs-like polygonal curves  $\gamma_n$  with sides parallel to the sides of the square ( $\gamma_6$  is on the picture). In this case

$$\text{length } \gamma_\infty = \sqrt{2} \quad \text{and} \quad \text{length } \gamma_n = 2$$

for any  $n$ .

*Proof.* Fix a sequence  $t_0 < t_1 < \dots < t_k$  in  $\mathbb{I}$ . Set

$$\Sigma_n := |\gamma_n(t_0) - \gamma_n(t_1)| + \dots + |\gamma_n(t_{k-1}) - \gamma_n(t_k)|.$$

$$\Sigma_\infty := |\gamma_\infty(t_0) - \gamma_\infty(t_1)| + \dots + |\gamma_\infty(t_{k-1}) - \gamma_\infty(t_k)|.$$

Note that for each  $i$  we have

$$|\gamma_n(t_{i-1}) - \gamma_n(t_i)| \rightarrow |\gamma_\infty(t_{i-1}) - \gamma_\infty(t_i)|$$

and therefore

$$\Sigma_n \rightarrow \Sigma_\infty$$

as  $n \rightarrow \infty$ . Note that

$$\Sigma_n \leq \text{length } \gamma_n$$

for each  $n$ . Hence

$$\text{❷} \quad \liminf_{n \rightarrow \infty} \text{length } \gamma_n \geq \Sigma_\infty.$$

If  $\gamma_\infty$  is rectifiable, we can assume that

$$\text{length } \gamma_\infty < \Sigma_\infty + \varepsilon.$$

for any given  $\varepsilon > 0$ . By **❷** it follows that

$$\liminf_{n \rightarrow \infty} \text{length } \gamma_n > \text{length } \gamma_\infty - \varepsilon$$

for any  $\varepsilon > 0$ ; whence **❶** follows.

It remains to consider the case when  $\gamma_\infty$  is not rectifiable; that is,  $\text{length } \gamma_\infty = \infty$ . In this case we can choose a partition so that  $\Sigma_\infty > L$  for any real number  $L$ . By **❷** it follows that

$$\liminf_{n \rightarrow \infty} \text{length } \gamma_n > L$$

for any given  $L$ ; whence

$$\liminf_{n \rightarrow \infty} \text{length } \gamma_n = \infty$$

and **❶** follows. □

## 1.7 Length spaces

If for any  $\varepsilon > 0$  and any pair of points  $x$  and  $y$  in a metric space  $\mathcal{X}$ , there is a path  $\alpha$  connecting  $x$  to  $y$  such that

$$\text{length } \alpha < |x - y| + \varepsilon,$$

then  $\mathcal{X}$  is called a *length space* and the metric on  $\mathcal{X}$  is called a *length metric*.

Note that any geodesic space is a length space. As can be seen from the following example, the converse does not hold.

**1.7.1. Example.** Let  $\mathcal{X}$  be obtained by gluing a countable collection of disjoint intervals  $\{\mathbb{I}_n\}$  of length  $1 + \frac{1}{n}$ , where for each  $\mathbb{I}_n$  the left end is glued to  $p$  and the right end to  $q$ .

Observe that the space  $\mathcal{X}$  carries a natural complete length metric with respect to which  $|p - q| = 1$  but there is no geodesic connecting  $p$  to  $q$ .

**1.7.2. Exercise.** Give an example of a complete length space for which no pair of distinct points can be joined by a geodesic.

Directly from the definition, it follows that if a path  $\alpha: [0, 1] \rightarrow \mathcal{X}$  connects two points  $x$  and  $y$  (that is, if  $\alpha(0) = x$  and  $\alpha(1) = y$ ), then

$$\text{length } \alpha \geq |x - y|.$$

Set

$$\|x - y\| = \inf\{\text{length } \alpha\}$$

where the greatest lower bound is taken for all paths connecting  $x$  and  $y$ . It is straightforward to check that  $(x, y) \mapsto \|x - y\|$  is an  $\infty$ -metric; moreover  $(\mathcal{X}, \|\ast - \ast\|)$  is a length space. The metric  $\|\ast - \ast\|$  is called *induced length metric*.

**1.7.3. Exercise.** Suppose  $(\mathcal{X}, |\ast - \ast|)$  is a complete metric space. Show that  $(\mathcal{X}, \|\ast - \ast\|)$  is complete.

Let  $A$  be a subset of a metric space  $\mathcal{X}$ . Given two points  $x, y \in A$ , consider the value

$$|x - y|_A = \inf_{\alpha} \{\text{length } \alpha\},$$

where the greatest lower bound is taken for all paths  $\alpha$  from  $x$  to  $y$  in  $A$ .<sup>2</sup>

Let  $\mathcal{X}$  be a metric space and  $x, y \in \mathcal{X}$ .

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<sup>2</sup>This notation slightly conflicts with the previously defined notation for distance  $|x - y|_{\mathcal{X}}$  in a metric space  $\mathcal{X}$ . However, most of the time we will work with ambient length spaces where the meaning will be unambiguous.

(i) A point  $z \in \mathcal{X}$  is called a *midpoint* between  $x$  and  $y$  if

$$|x - z| = |y - z| = \frac{1}{2} \cdot |x - y|.$$

(ii) Assume  $\varepsilon \geq 0$ . A point  $z \in \mathcal{X}$  is called an  $\varepsilon$ -*midpoint* between  $x$  and  $y$  if

$$|x - z|, \quad |y - z| \leq \frac{1}{2} \cdot |x - y| + \varepsilon.$$

Note that a 0-midpoint is the same as a midpoint.

**1.7.4. Lemma.** *Let  $\mathcal{X}$  be a complete metric space.*

- a) Assume that for any pair of points  $x, y \in \mathcal{X}$  and any  $\varepsilon > 0$  there is an  $\varepsilon$ -midpoint  $z$ . Then  $\mathcal{X}$  is a length space.*
- b) Assume that for any pair of points  $x, y \in \mathcal{X}$ , there is a midpoint  $z$ . Then  $\mathcal{X}$  is a geodesic space.*

*Proof.* We first prove (a). Let  $x, y \in \mathcal{X}$  be a pair of points.

Set  $\varepsilon_n = \frac{\varepsilon}{4^n}$ ,  $\alpha(0) = x$  and  $\alpha(1) = y$ .

Let  $\alpha(\frac{1}{2})$  be an  $\varepsilon_1$ -midpoint between  $\alpha(0)$  and  $\alpha(1)$ . Further, let  $\alpha(\frac{1}{4})$  and  $\alpha(\frac{3}{4})$  be  $\varepsilon_2$ -midpoints between the pairs  $(\alpha(0), \alpha(\frac{1}{2}))$  and  $(\alpha(\frac{1}{2}), \alpha(1))$  respectively. Applying the above procedure recursively, on the  $n$ -th step we define  $\alpha(\frac{k}{2^n})$ , for every odd integer  $k$  such that  $0 < \frac{k}{2^n} < 1$ , as an  $\varepsilon_n$ -midpoint between the already defined  $\alpha(\frac{k-1}{2^n})$  and  $\alpha(\frac{k+1}{2^n})$ .

In this way we define  $\alpha(t)$  for  $t \in W$ , where  $W$  denotes the set of dyadic rationals in  $[0, 1]$ . Since  $\mathcal{X}$  is complete, the map  $\alpha$  can be extended continuously to  $[0, 1]$ . Moreover,

$$\begin{aligned} \text{length } \alpha &\leq |x - y| + \sum_{n=1}^{\infty} 2^{n-1} \cdot \varepsilon_n \leq \\ \text{①} \quad &\leq |x - y| + \frac{\varepsilon}{2}. \end{aligned}$$

Since  $\varepsilon > 0$  is arbitrary, we get (a).

To prove (b), one should repeat the same argument taking midpoints instead of  $\varepsilon_n$ -midpoints. In this case ① holds for  $\varepsilon_n = \varepsilon = 0$ .  $\square$

Since in a compact space a sequence of  $\frac{1}{n}$ -midpoints  $z_n$  contains a convergent subsequence, Lemma 1.7.4 immediately implies

**1.7.5. Proposition.** *A proper length space is geodesic.*

**1.7.6. Hopf–Rinow theorem.** *Any complete, locally compact length space is proper.*

It is instructive to solve the following exercise before reading the proof.



**1.7.7. Exercise.** Give an example of space which is locally compact but not proper.

*Proof.* Let  $\mathcal{X}$  be a locally compact length space. Given  $x \in \mathcal{X}$ , denote by  $\rho(x)$  the supremum of all  $R > 0$  such that the closed ball  $\overline{B}[x, R]$  is compact. Since  $\mathcal{X}$  is locally compact,

$$\textcircled{2} \quad \rho(x) > 0 \quad \text{for any } x \in \mathcal{X}.$$

It is sufficient to show that  $\rho(x) = \infty$  for some (and therefore any) point  $x \in \mathcal{X}$ .

Assume the contrary; that is,  $\rho(x) < \infty$ . We claim that

$$\textcircled{3} \quad B = \overline{B}[x, \rho(x)] \text{ is compact for any } x.$$

Indeed,  $\mathcal{X}$  is a length space; therefore for any  $\varepsilon > 0$ , the set  $\overline{B}[x, \rho(x) - \varepsilon]$  is a compact  $\varepsilon$ -net in  $B$ . Since  $B$  is closed and hence complete, it must be compact.  $\triangle$

Next we claim that

$$\textcircled{4} \quad |\rho(x) - \rho(y)| \leq |x - y|_{\mathcal{X}} \text{ for any } x, y \in \mathcal{X}; \text{ in particular } \rho: \mathcal{X} \rightarrow \mathbb{R} \text{ is a continuous function.}$$

Indeed, assume the contrary; that is,  $\rho(x) + |x - y| < \rho(y)$  for some  $x, y \in \mathcal{X}$ . Then  $\overline{B}[x, \rho(x) + \varepsilon]$  is a closed subset of  $\overline{B}[y, \rho(y)]$  for some  $\varepsilon > 0$ . Then compactness of  $\overline{B}[y, \rho(y)]$  implies compactness of  $\overline{B}[x, \rho(x) + \varepsilon]$ , a contradiction.  $\triangle$

Set  $\varepsilon = \min \{ \rho(y) : y \in B \}$ ; the minimum is defined since  $B$  is compact. From  $\textcircled{2}$ , we have  $\varepsilon > 0$ .

Choose a finite  $\frac{\varepsilon}{10}$ -net  $\{a_1, a_2, \dots, a_n\}$  in  $B$ . The union  $W$  of the closed balls  $\overline{B}[a_i, \varepsilon]$  is compact. Clearly  $\overline{B}[x, \rho(x) + \frac{\varepsilon}{10}] \subset W$ . Therefore  $\overline{B}[x, \rho(x) + \frac{\varepsilon}{10}]$  is compact, a contradiction.  $\square$

**1.7.8. Exercise.** Construct a geodesic space that is locally compact, but whose completion is neither geodesic nor locally compact.

## 1.8 Subsets in normed spaces

Recall that a function  $v \mapsto |v|$  on a vector space  $\mathcal{V}$  is called *norm* if it satisfies the following condition for any two vectors  $v, w \in \mathcal{V}$  and a scalar  $\alpha$ :

- $\diamond |v| \geq 0$ ;
- $\diamond |\alpha \cdot v| = |\alpha| \cdot |v|$ ;
- $\diamond |v| + |w| \geq |v + w|$ .

It is straightforward to check that for any normed space the function  $(v, w) \mapsto |v - w|$  defines a metric on it. Therefore any normed space is an example of metric space (which is in fact geodesic). The following lemma says in particular that any metric space is isometric to a subset of a normed space.

**1.8.1. Lemma.** *Suppose  $\mathcal{X}$  is a bounded separable space; that is,  $\text{diam } \mathcal{X}$  is finite and  $\mathcal{X}$  contains a countable, dense set  $\{w_n\}$ . Given  $x \in \mathcal{X}$ , set  $a_n(x) = |w_n - x|_{\mathcal{X}}$ . Then*

$$\iota: x \mapsto (a_1(x), a_2(x), \dots)$$

*defines a distance preserving embedding  $\iota: \mathcal{X} \hookrightarrow \ell^\infty$ .*

*Proof.* By the triangle inequality

$$|a_n(x) - a_n(y)| \leq |x - y|_{\mathcal{X}}.$$

Therefore  $\iota$  is short.

Again by triangle inequality we have

$$|a_n(x) - a_n(y)| \geq |x - y|_{\mathcal{X}} - 2 \cdot |w_n - x|_{\mathcal{X}}.$$

Since the set  $\{w_n\}$  is dense, we can choose  $w_n$  arbitrary close to  $x$ . Whence the value  $|a_n(x) - a_n(y)|$  can be chosen arbitrary close to  $|x - y|_{\mathcal{X}}$ . In other words

$$\sup_n \{ ||w_n - x|_{\mathcal{X}} - |w_n - y|_{\mathcal{X}} | \} \geq |x - y|_{\mathcal{X}};$$

hence  $\iota$  is distance non-decreasing. □

The following exercise generalizes the lemma to arbitrary separable spaces.

**1.8.2. Exercise.** *Suppose  $\{w_n\}$  is a countable, dense set in a metric space  $\mathcal{X}$ . Choose  $x_0 \in \mathcal{X}$ ; given  $x \in \mathcal{X}$ , set*

$$a_n(x) = |w_n - x|_{\mathcal{X}} - |w_n - x_0|_{\mathcal{X}}.$$

*Show that  $\iota: x \mapsto (a_1(x), a_2(x), \dots)$  defines a distance preserving embedding  $\iota: \mathcal{X} \hookrightarrow \ell^\infty$ .*

**1.8.3. Exercise.** *Show that any compact metric space is isometric  $\mathcal{K}$  to a subspace of a compact geodesic space.*

The lemma above was proved by Maurice René Fréchet in the paper where he defined metric space [9]. Nearly identical construction was

rediscovered later by Kazimierz Kuratowski [15]. Namely he made the following claim:

**1.8.4. Lemma.** *Let  $\mathcal{X}$  be arbitrary metric space. Denote by  $\ell^\infty(\mathcal{X})$  the space of all bounded functions of  $\mathcal{X}$  equipped with sup-norm.*

*Then for any point  $x_0 \in \mathcal{X}$ , the map  $\iota: \mathcal{X} \rightarrow \ell^\infty(\mathcal{X})$  defied by*

$$\iota: x \mapsto (\text{dist}_x - \text{dist}_{x_0})$$

*is distance preserving.*

Note that this claim implies that *any metric space is isometric to a subset of a normed vector space.*



# Chapter 2

## Space of sets

### 2.1 Hausdorff convergence

Let  $\mathcal{X}$  be a metric space. Given a subset  $A \subset \mathcal{X}$ , consider the distance function to  $A$

$$\text{dist}_A : \mathcal{X} \rightarrow [0, \infty)$$

defined as

$$\text{dist}_A(x) := \inf_{a \in A} \{ |a - x|_{\mathcal{X}} \}.$$

**2.1.1. Definition.** Let  $A$  and  $B$  be two compact subsets of a metric space  $\mathcal{X}$ . Then the Hausdorff distance between  $A$  and  $B$  is defined as

$$|A - B|_{\mathcal{H}(\mathcal{X})} := \sup_{x \in \mathcal{X}} \{ |\text{dist}_A(x) - \text{dist}_B(x)| \}.$$

Suppose  $A$  and  $B$  be two compact subsets of a metric space  $\mathcal{X}$ . It is straightforward to check that  $|A - B|_{\mathcal{H}(\mathcal{X})} \leq R$  if and only if  $\text{dist}_A(b) \leq R$  for any  $b \in B$  and  $\text{dist}_B(a) \leq R$  for any  $a \in A$ . In other words,  $|A - B|_{\mathcal{H}(\mathcal{X})} < R$  if and only if  $B$  lies in a  $R$ -neighborhood of  $A$ , and  $A$  lies in a  $R$ -neighborhood of  $B$ .

Note that the set of all nonempty compact subsets of a metric space  $\mathcal{X}$  equipped with the Hausdorff metric forms a metric space. This new metric space will be denoted as  $\mathcal{H}(\mathcal{X})$ .

**2.1.2. Exercise.** Let  $\mathcal{X}$  be a metric space. Given a subset  $A \subset \mathcal{X}$  define its diameter as

$$\text{diam } A := \sup_{a, b \in A} |a - b|.$$

Show that

$$\text{diam}: \mathcal{H}(\mathcal{X}) \rightarrow \mathbb{R}$$

is a 2-Lipschitz function; that is,  $|\text{diam } A - \text{diam } B| \leq 2 \cdot |A - B|_{\mathcal{H}(\mathcal{X})}$ .

**2.1.3. Blaschke selection theorem.** Let  $\mathcal{X}$  be a metric space. Then the space  $\mathcal{H}(\mathcal{X})$  is compact if and only if  $\mathcal{X}$  is compact.

Note that the theorem implies that from any sequence of compact sets in  $\mathcal{X}$  one can select a subsequence converging in the sense of Hausdorff; by that reason it is called a selection theorem.

*Proof; “only if” part.* Note that the map  $\iota: \mathcal{X} \rightarrow \mathcal{H}(\mathcal{X})$ , defined as  $\iota: x \mapsto \{x\}$  (that is, point  $x$  mapped to the one-point subset  $\{x\}$  of  $\mathcal{X}$ ) is distance preserving. Therefore  $\mathcal{X}$  is isometric to the set  $\iota(\mathcal{X})$  in  $\mathcal{H}(\mathcal{X})$ .

Note that for a nonempty subset  $A \subset \mathcal{X}$ , we have  $\text{diam } A = 0$  if and only if  $A$  is a one-point set. Therefore, from Exercise 2.1.2, it follows that  $\iota(\mathcal{X})$  is closed in  $\mathcal{H}(\mathcal{X})$ .

Hence  $\iota(\mathcal{X})$  is compact, as it is a closed subset of a compact space. Since  $\mathcal{X}$  is isometric to  $\iota(\mathcal{X})$ , “only if” part follows.  $\square$

To prove “if” part we will need the following two lemmas.

**2.1.4. Lemma.** Let  $K_1 \supset K_2 \supset \dots$  be a sequence of nonempty compact sets in a metric space  $\mathcal{X}$  then  $K_\infty = \bigcap_n K_n$  is the Hausdorff limit of  $K_n$ ; that is,  $|K_\infty - K_n|_{\mathcal{H}(\mathcal{X})} \rightarrow 0$  as  $n \rightarrow \infty$ .

*Proof.* Note that  $K_\infty$  is compact; by finite intersection property,  $K_\infty$  is nonempty.

If the assertion were false, then there is  $\varepsilon > 0$  such that for each  $n$  one can choose  $x_n \in K_n$  such that  $\text{dist}_{K_\infty}(x_n) \geq \varepsilon$ . Note that  $x_n \in K_1$  for each  $n$ . Since  $K_1$  is compact, there is a *partial limit*<sup>1</sup>  $x_\infty$  of  $x_n$ . Clearly  $\text{dist}_{K_\infty}(x_\infty) \geq \varepsilon$ .

On the other hand, since  $K_n$  is closed and  $x_m \in K_n$  for  $m \geq n$ , we get  $x_\infty \in K_n$  for each  $n$ . It follows that  $x_\infty \in K_\infty$  and therefore  $\text{dist}_{K_\infty}(x_\infty) = 0$ , a contradiction.  $\square$

**2.1.5. Lemma.** If  $\mathcal{X}$  is a compact metric space, then  $\mathcal{H}(\mathcal{X})$  is complete.

*Proof.* Let  $(Q_n)$  be a Cauchy sequence in  $\mathcal{H}(\mathcal{X})$ . Passing to a subsequence of  $Q_n$  we may assume that

**1**  $|Q_n - Q_{n+1}|_{\mathcal{H}(\mathcal{X})} \leq \frac{1}{10^n}$

---

<sup>1</sup>Partial limit is a limit of a subsequence.

for each  $n$ .

Set

$$K_n = \left\{ x \in \mathcal{X} : \text{dist}_{Q_n}(x) \leq \frac{1}{10^n} \right\}$$

Since  $\mathcal{X}$  is compact so is each  $K_n$ .

Clearly,  $|Q_n - K_n|_{\mathcal{H}(\mathcal{X})} \leq \frac{1}{10^n}$  and from **1**, we get  $K_n \supset K_{n+1}$  for each  $n$ . Set

$$K_\infty = \bigcap_{n=1}^{\infty} K_n.$$

Applying Lemma 2.1.4, we get that  $|K_n - K_\infty|_{\mathcal{H}(\mathcal{X})} \rightarrow 0$  as  $n \rightarrow \infty$ . Since  $|Q_n - K_n|_{\mathcal{H}(\mathcal{X})} \leq \frac{1}{10^n}$ , we get  $|Q_n - K_\infty|_{\mathcal{H}(\mathcal{X})} \rightarrow 0$  as  $n \rightarrow \infty$  — hence the lemma.  $\square$

**2.1.6. Exercise.** Let  $\mathcal{X}$  be a complete metric space and  $K_n$  be a sequence of compact sets which converges in the sense of Hausdorff. Show that closure of the union  $\bigcup_{n=1}^{\infty} K_n$  is compact.

Use this to show that in Lemma 2.1.5 compactness of  $\mathcal{X}$  can be exchanged to completeness.

*Proof of “if” part in 2.1.3.* According to Lemma 2.1.5,  $\mathcal{H}(\mathcal{X})$  is complete. It remains to show that  $\mathcal{H}(\mathcal{X})$  is totally bounded (1.4.1d); that is, given  $\varepsilon > 0$  there is a finite  $\varepsilon$ -net in  $\mathcal{H}(\mathcal{X})$ .

Choose a finite  $\varepsilon$ -net  $A$  in  $\mathcal{X}$ . Denote by  $\mathcal{A}$  the set of all subsets of  $A$ . Note that  $\mathcal{A}$  is finite set in  $\mathcal{H}(\mathcal{X})$ . For each compact set  $K \subset \mathcal{X}$ , consider the subset  $K'$  of all points  $a \in A$  such that  $\text{dist}_K(a) \leq \varepsilon$ . Then  $K' \in \mathcal{A}$  and  $|K - K'|_{\mathcal{H}(\mathcal{X})} \leq \varepsilon$ . In other words  $\mathcal{A}$  is a finite  $\varepsilon$ -net in  $\mathcal{H}(\mathcal{X})$ .  $\square$

Hausdorff metric defines convergence of compact sets which is more important than metric itself.

**2.1.7. Exercise.** Let  $X$  and  $Y$  be two compact subsets in  $\mathbb{R}^2$ . Assume  $|X - Y|_{\mathcal{H}(\mathbb{R}^2)} < \varepsilon$ , is it true that  $|\partial X - \partial Y|_{\mathcal{H}(\mathbb{R}^2)} < \varepsilon$ , where  $\partial X$  denotes the boundary of  $X$ .

Does the converse holds? That is, assume  $X$  and  $Y$  be two compact subsets in  $\mathbb{R}^2$  and  $|\partial X - \partial Y|_{\mathcal{H}(\mathbb{R}^2)} < \varepsilon$ ; is it true that  $|X - Y|_{\mathcal{H}(\mathbb{R}^2)} < \varepsilon$ ?

**2.1.8. Exercise.** Let  $\mathcal{C}$  be a subspace of  $\mathcal{H}(\mathbb{R}^2)$  formed by all compact convex subsets in  $\mathbb{R}^2$ . Show that perimeter<sup>2</sup> and area are continuous

<sup>2</sup>If the set degenerates to a line segment of length  $\ell$ , then its perimeter is defined as  $2 \cdot \ell$ .

on  $\mathcal{C}$ . That is, if a sequence of convex compact plane sets  $X_n$  converges to  $X_\infty$  in the sense of Hausdorff, then

$$\text{perim } X_n \rightarrow \text{perim } X_\infty \quad \text{and} \quad \text{area } X_n \rightarrow \text{area } X_\infty$$

as  $n \rightarrow \infty$ .

The above exercise can be used in a proof of isoperimetrical inequality in the plane; it states that *among the plane figures bounded by closed curves of length at most  $\ell$  the round disc has maximal area*.

Indeed it is sufficient to consider only convex figures of given perimeter; if a figure is not convex pass to its convex hull and observe that it has larger area and smaller perimeter. Further the exercise guarantees existence of a figure  $D_\ell$  with perimeter  $\ell$  and maximal area. It remains to show that  $D_\ell$  is a round disc. The latter is easy to show, see for example Steiner's 4-joint method [4].

## 2.2 A variation

It seems that *Hausdorff convergence* was first introduced by Felix Hausdorff [12], and a couple of years later an equivalent definition was given by Wilhelm Blaschke [4].

The following refinement of the definition was introduced by Zdeněk Frolík in [10], and later rediscovered by Robert Wijsman in [24]. This refinement takes an intermediate place between the original Hausdorff convergence and *closed convergence*, also introduced by Hausdorff in [12]; so we still call it Hausdorff convergence.

**2.2.1. Definition.** Let  $(A_n)$  be a sequence of closed sets in a metric space  $\mathcal{X}$ . We say that  $(A_n)$  converges to a closed set  $A_\infty$  in the sense of Hausdorff if  $\text{dist}_{A_n}(x) \rightarrow \text{dist}_{A_\infty}(x)$  for any  $x \in \mathcal{X}$ .

For example, suppose  $\mathcal{X}$  is the Euclidean plane and  $A_n$  is the circle with radius  $n$  and center at  $(n, 0)$ . If we use the standard definition (2.1.1), then the sequence  $(A_n)$  diverges, but it converges to the  $y$ -axis in the sense of Definition 2.2.1.

The following exercise is analogous to the Blaschke selection theorem (2.1.3).

**2.2.2. Exercise.** Let  $\mathcal{X}$  be a proper metric space and  $(A_n)_{n=1}^\infty$  be a sequence of closed sets in  $\mathcal{X}$ . Assume that for some (and therefore any) point  $x \in \mathcal{X}$ , the sequence  $a_n = \text{dist}_{A_n}(x)$  is bounded. Show that the sequence  $(A_n)_{n=1}^\infty$  has a convergent subsequence in the sense of Definition 2.2.1.



# Chapter 3

## Space of spaces

### 3.1 Gromov–Hausdorff metric

The goal of this section is to cook up a metric space out of metric spaces. More precisely, we want to define the so called Gromov–Hausdorff metric on the set of *isometry classes* of compact metric spaces. (Being isometric is an equivalence relation, and an isometry class is an equivalence class with respect to this equivalence relation.)

The obtained metric space will be denoted as  $\mathcal{M}$ . Given two metric spaces  $\mathcal{X}$  and  $\mathcal{Y}$ , denote by  $[\mathcal{X}]$  and  $[\mathcal{Y}]$  their isometry classes; that is,  $\mathcal{X}' \in [\mathcal{X}]$  if and only if  $\mathcal{X}' \stackrel{iso}{=} \mathcal{X}$ . Pedantically, the Gromov–Hausdorff distance from  $[\mathcal{X}]$  to  $[\mathcal{Y}]$  should be denoted as  $||[\mathcal{X}] - [\mathcal{Y}]|_{\mathcal{M}}$ ; but we will often write it as  $|\mathcal{X} - \mathcal{Y}|_{\mathcal{M}}$  and say (not quite correctly) “ $|\mathcal{X} - \mathcal{Y}|_{\mathcal{M}}$  is the Gromov–Hausdorff distance from  $\mathcal{X}$  to  $\mathcal{Y}$ ”. In other words, from now on the term *metric space* might stands for *isometry class of this metric space*.

The metric on  $\mathcal{M}$  is maximal metric such that *the distance between subspaces in a metric space is not greater than the Hausdorff distance between them*. Here is a formal definition:

**3.1.1. Definition.** *Let  $\mathcal{X}$  and  $\mathcal{Y}$  be compact metric spaces. The Gromov–Hausdorff distance  $|\mathcal{X} - \mathcal{Y}|_{\mathcal{M}}$  between them is defined by the following relation.*

*Given  $r > 0$ , we have that  $|\mathcal{X} - \mathcal{Y}|_{\mathcal{M}} < r$  if and only if there exist a metric space  $\mathcal{Z}$  and subspaces  $\mathcal{X}'$  and  $\mathcal{Y}'$  in  $\mathcal{Z}$  that are isometric to  $\mathcal{X}$  and  $\mathcal{Y}$  respectively and such that  $|\mathcal{X}' - \mathcal{Y}'|_{\mathcal{H}(\mathcal{Z})} < r$ . (Here  $|\mathcal{X}' - \mathcal{Y}'|_{\mathcal{H}(\mathcal{Z})}$  denotes the Hausdorff distance between sets  $\mathcal{X}'$  and  $\mathcal{Y}'$  in  $\mathcal{Z}$ .)*

Bit later (see 3.4.1) we will show that *Hausdorff metric* is indeed a metric.

We say that a sequence of (isometry classes of) compact metric spaces  $\mathcal{X}_n$  *converges in the sense of Gromov–Hausdorff* to the (isometry classes of) compact metric space  $\mathcal{X}_\infty$  if  $|\mathcal{X}_n - \mathcal{X}_\infty|_{\mathcal{M}} \rightarrow 0$  as  $n \rightarrow \infty$ ; in this case we write  $\mathcal{X}_n \xrightarrow{\text{GH}} \mathcal{X}_\infty$ .

## 3.2 Reformulations

Let us discuss few alternative ways to define the Gromov–Hausdorff metric.

**Metrics on disjoint union.** Definition 3.1.1 deals with a huge class of metric spaces, namely, all metric spaces  $\mathcal{Z}$  that contain subspaces isometric to  $\mathcal{X}$  and  $\mathcal{Y}$ . It is possible to reduce this class to metrics on the disjoint unions of  $\mathcal{X}$  and  $\mathcal{Y}$ . More precisely,

**3.2.1. Proposition.** *The Gromov–Hausdorff distance between two compact metric spaces  $\mathcal{X}$  and  $\mathcal{Y}$  is the infimum of  $r > 0$  such that there exists a metric  $|\ast - \ast|_{\mathcal{W}}$  on the disjoint union  $\mathcal{W} = \mathcal{X} \sqcup \mathcal{Y}$  such that the restrictions of  $|\ast - \ast|_{\mathcal{W}}$  to  $\mathcal{X}$  and  $\mathcal{Y}$  coincide with  $|\ast - \ast|_{\mathcal{X}}$  and  $|\ast - \ast|_{\mathcal{Y}}$  and  $|\mathcal{X} - \mathcal{Y}|_{\mathcal{H}(\mathcal{W})} < r$ .*

*Proof.* Identify  $\mathcal{X} \sqcup \mathcal{Y}$  with  $\mathcal{X}' \cup \mathcal{Y}' \subset \mathcal{Z}$  (the notation is from Definition 3.1.1).

More formally, fix isometries  $f: \mathcal{X} \rightarrow \mathcal{X}'$  and  $g: \mathcal{Y} \rightarrow \mathcal{Y}'$ , then define the distance between  $x \in \mathcal{X}$  and  $y \in \mathcal{Y}$  by  $|x - y|_{\mathcal{W}} = |f(x) - g(y)|_{\mathcal{Z}} + \varepsilon$  for small enuf  $\varepsilon > 0$ .<sup>1</sup> This yields a metric on  $\mathcal{W} = \mathcal{X} \sqcup \mathcal{Y}$  for which  $|\mathcal{X} - \mathcal{Y}|_{\mathcal{H}(\mathcal{W})} < r$ .  $\square$

**Fixed ambient space.** The following proposition says that the space  $\mathcal{Z}$  in Definition 3.1.1 can be exchanged to a fixed space, namely  $\ell^\infty$  — the space of bounded infinite sequences with the metric defined by sup-norm.

**3.2.2. Proposition.** *Let  $\mathcal{X}$  and  $\mathcal{Y}$  be compact metric spaces. Then*

$$|\mathcal{X} - \mathcal{Y}|_{\mathcal{M}} = \inf\{|\mathcal{X}' - \mathcal{Y}'|_{\mathcal{H}(\ell^\infty)}\}$$

*where the infimum is taken over all pairs of sets  $\mathcal{X}'$  and  $\mathcal{Y}'$  in  $\ell^\infty$  which isometric to  $\mathcal{X}$  and  $\mathcal{Y}$  correspondingly.*

*Proof of 3.2.2.* By the definition, we have that

$$|\mathcal{X} - \mathcal{Y}|_{\mathcal{M}} \leq \inf\{|\mathcal{X}' - \mathcal{Y}'|_{\mathcal{H}(\ell^\infty)}\}.$$

---

<sup>1</sup>We add  $\varepsilon$  to ensure that  $d(x, y) > 0$  for any  $x \in \mathcal{X}$  and  $y \in \mathcal{Y}$ ; so  $|x - y|_{\mathcal{W}}$  is indeed a metric.

Let  $\mathcal{W}$  be an arbitrary metric space with the underlying set  $\mathcal{X} \sqcup \mathcal{Y}$ . Note  $\mathcal{W}$  is compact since it is union of two compact subsets  $\mathcal{X}, \mathcal{Y} \subset \mathcal{W}$ . In particular,  $\mathcal{W}$  is separable.

By Lemma 1.8.1, there is an distance preserving embedding  $\iota: \mathcal{W} \rightarrow \ell^\infty$ . It remains to apply Proposition 3.2.1.  $\square$

### 3.3 Almost isometries

**3.3.1. Definition.** Let  $\mathcal{X}$  and  $\mathcal{Y}$  be metric spaces and  $\varepsilon > 0$ . A map<sup>2</sup>  $f: \mathcal{X} \rightarrow \mathcal{Y}$  is called an  $\varepsilon$ -isometry if

$$|f(x) - f(x')|_{\mathcal{Y}} \leq |x - x'|_{\mathcal{X}} \pm \varepsilon$$

for any  $x, x' \in \mathcal{X}$  and if  $f(\mathcal{X})$  is an  $\varepsilon$ -net in  $\mathcal{Y}$ .

**3.3.2. Exercise.**

- a) Let  $f: \mathcal{X} \rightarrow \mathcal{Y}$  and  $g: \mathcal{Y} \rightarrow \mathcal{Z}$  be two  $\varepsilon$ -isometries. Show that  $g \circ f: \mathcal{X} \rightarrow \mathcal{Z}$  is a  $(3 \cdot \varepsilon)$ -isometry.
- b) Assume  $f: \mathcal{X} \rightarrow \mathcal{Y}$  is an  $\varepsilon$ -isometry. Show that there is a  $(3 \cdot \varepsilon)$ -isometry  $g: \mathcal{Y} \rightarrow \mathcal{X}$ .
- c) Assume  $|\mathcal{X} - \mathcal{Y}|_{\mathcal{M}} < \varepsilon$ , show that there is a  $(2 \cdot \varepsilon)$ -isometry  $f: \mathcal{X} \rightarrow \mathcal{Y}$ .

**3.3.3. Proposition.** Let  $\mathcal{X}$  and  $\mathcal{Y}$  be metric spaces and let  $f: \mathcal{X} \rightarrow \mathcal{Y}$  be an  $\varepsilon$ -isometry. Then

$$|\mathcal{X} - \mathcal{Y}|_{\mathcal{M}} \leq 2 \cdot \varepsilon.$$

*Proof.* Consider the set  $\mathcal{W} = \mathcal{X} \sqcup \mathcal{Y}$ . Note that the following defines a metric on  $\mathcal{W}$ :

◇ For any  $x, x' \in \mathcal{X}$

$$|x - x'|_{\mathcal{W}} = |x - x'|_{\mathcal{X}};$$

◇ For any  $y, y' \in \mathcal{Y}$ ,

$$|y - y'|_{\mathcal{W}} = |y - y'|_{\mathcal{Y}}$$

◇ For any  $x \in \mathcal{X}$  and  $y \in \mathcal{Y}$ ,

$$|x - y|_{\mathcal{W}} = \varepsilon + \inf_{x' \in \mathcal{X}} \{|x - x'|_{\mathcal{X}} + |f(x') - y|_{\mathcal{Y}}\}.$$

---

<sup>2</sup>possibly noncontinuous

Since  $f(\mathcal{X})$  is an  $\varepsilon$ -net in  $\mathcal{Y}$ , for any  $y \in \mathcal{Y}$  there is  $x \in \mathcal{X}$  such that  $|f(x) - y|_{\mathcal{Y}} \leq \varepsilon$ ; therefore  $|x - y|_{\mathcal{W}} \leq 2 \cdot \varepsilon$ . On the other hand for any  $x \in \mathcal{X}$ , we have  $|x - y|_{\mathcal{W}} \leq \varepsilon$  for  $y = f(x) \in \mathcal{Y}$ .

It follows that  $|\mathcal{X} - \mathcal{Y}|_{\mathcal{H}(\mathcal{W})} \leq 2 \cdot \varepsilon$ .  $\square$

The Gromov–Hausdorff metric defines Gromov–Hausdorff convergence and this is the only thing it is good for. In other words in all applications, we use only topology on  $\mathcal{M}$  and we do not care about particular value of Gromov–Hausdorff distance between spaces.

In order to determine that a given sequence of metric spaces  $(\mathcal{X}_n)$  converges in the Gromov–Hausdorff sense to  $\mathcal{X}_\infty$ , it is sufficient to estimate distances  $|\mathcal{X}_n - \mathcal{X}_\infty|_{\mathcal{M}}$  and check if  $|\mathcal{X}_n - \mathcal{X}_\infty|_{\mathcal{M}} \rightarrow 0$ . This problem turns to be simpler than finding Gromov–Hausdorff distance between a particular pair of spaces. The following proposition gives one way to do this.

**3.3.4. Proposition.** *A sequence of compact metric spaces  $(\mathcal{X}_n)$  converges to  $\mathcal{X}_\infty$  in the sense of Gromov–Hausdorff if and only if there is a sequence  $\varepsilon_n \rightarrow 0+$  and an  $\varepsilon_n$ -isometry  $f_n: \mathcal{X}_n \rightarrow \mathcal{X}_\infty$  for each  $n$ .*

*Proof.* Follows from Proposition 3.3.3 and Exercise 3.3.2c  $\square$

## 3.4 It is a metric

**3.4.1. Theorem.** *The set of isometry classes of compact metric spaces equipped with Gromov–Hausdorff metric forms a metric space (which is denoted by  $\mathcal{M}$ ).*

*Proof.* Let  $\mathcal{X}$ ,  $\mathcal{Y}$  and  $\mathcal{Z}$  be arbitrary compact metric spaces. We need to check the following:

- (i)  $|\mathcal{X} - \mathcal{Y}|_{\mathcal{M}} \geq 0$ ;
- (ii)  $|\mathcal{X} - \mathcal{Y}|_{\mathcal{M}} = 0$  if and only if  $\mathcal{X}$  is isometric to  $\mathcal{Y}$ ;
- (iii)  $|\mathcal{X} - \mathcal{Y}|_{\mathcal{M}} = |\mathcal{Y} - \mathcal{X}|_{\mathcal{M}}$ ;
- (iv)  $|\mathcal{X} - \mathcal{Y}|_{\mathcal{M}} + |\mathcal{Y} - \mathcal{Z}|_{\mathcal{M}} \geq |\mathcal{X} - \mathcal{Z}|_{\mathcal{M}}$ .

Note that (i), (iii) and “if”-part of (ii) follow directly from Definition 3.1.1.

(iv). Choose arbitrary  $a, b \in \mathbb{R}$  such that

$$a > |\mathcal{X} - \mathcal{Y}|_{\mathcal{M}} \quad \text{and} \quad b > |\mathcal{Y} - \mathcal{Z}|_{\mathcal{M}}.$$

Choose two metrics on  $\mathcal{U} = \mathcal{X} \sqcup \mathcal{Y}$  and  $\mathcal{V} = \mathcal{Y} \sqcup \mathcal{Z}$  so that  $|\mathcal{X} - \mathcal{Y}|_{\mathcal{H}(\mathcal{U})} < a$  and  $|\mathcal{Y} - \mathcal{Z}|_{\mathcal{H}(\mathcal{V})} < b$  and the inclusions  $\mathcal{X} \hookrightarrow \mathcal{U}$ ,  $\mathcal{Y} \hookrightarrow \mathcal{U}$ ,  $\mathcal{Y} \hookrightarrow \mathcal{V}$  and  $\mathcal{Z} \hookrightarrow \mathcal{V}$  are distance preserving.

Consider the metric on  $\mathcal{W} = \mathcal{X} \sqcup \mathcal{Z}$  so that inclusions  $\mathcal{X} \hookrightarrow \mathcal{W}$  and  $\mathcal{Z} \hookrightarrow \mathcal{W}$  are distance preserving and

$$|x - z|_{\mathcal{W}} = \inf_{y \in \mathcal{Y}} \{|x - y|_{\mathcal{U}} + |y - z|_{\mathcal{V}}\}.$$

Note that  $|\ast - \ast|_{\mathcal{W}}$  is indeed a metric and

$$|\mathcal{X} - \mathcal{Z}|_{\mathcal{H}(\mathcal{W})} < a + b.$$

Property (iv) follows since the last inequality holds for any  $a > |\mathcal{X} - \mathcal{Y}|_{\mathcal{M}}$  and  $b > |\mathcal{Y} - \mathcal{Z}|_{\mathcal{M}}$ .

*“Only if”-part of (ii).* According to Exercise 3.3.2c, for any sequence  $\varepsilon_n \rightarrow 0+$  there is a sequence of  $\varepsilon_n$ -isometries  $f_n: \mathcal{X} \rightarrow \mathcal{Y}$ .

Since  $\mathcal{X}$  is compact, we can choose a countable dense set  $S$  in  $\mathcal{X}$ . Use a diagonal procedure if necessary, to pass to a subsequence of  $(f_n)$  such that for every  $x \in S$  the sequence  $(f_n(x))$  converges in  $\mathcal{Y}$ . Consider the pointwise limit map  $f_\infty: S \rightarrow \mathcal{Y}$  defined by

$$f_\infty(x) = \lim_{n \rightarrow \infty} f_n(x)$$

for every  $x \in S$ . Since

$$|f_n(x) - f_n(x')|_{\mathcal{Y}} \leq |x - x'|_{\mathcal{X}} \pm \varepsilon_n,$$

we have

$$|f_\infty(x) - f_\infty(x')|_{\mathcal{Y}} = \lim_{n \rightarrow \infty} |f_n(x) - f_n(x')|_{\mathcal{Y}} = |x - x'|_{\mathcal{X}}$$

for all  $x, x' \in S$ ; that is,  $f_\infty: S \rightarrow \mathcal{Y}$  is a distance-preserving map. Therefore  $f_\infty$  can be extended to a distance-preserving map from all of  $\mathcal{X}$  to  $\mathcal{Y}$ . The later is done by setting

$$f_\infty(x) = \lim_{n \rightarrow \infty} f_\infty(x_n)$$

for some (and therefore any) sequence of points  $(x_n)$  in  $S$  which converges to  $x$  in  $\mathcal{X}$ . (Note that if  $x_n \rightarrow x$ , then  $(x_n)$  is Cauchy. Since  $f_\infty$  is distance preserving,  $y_n = f_\infty(x_n)$  is also a Cauchy sequence in  $\mathcal{Y}$ ; therefore it converges.)

This way we obtain a distance preserving map  $f_\infty: \mathcal{X} \rightarrow \mathcal{Y}$ . It remains to show that  $f_\infty$  is surjective; that is,  $f_\infty(\mathcal{X}) = \mathcal{Y}$ .

Note that in the same way we can obtain a distance preserving map  $g_\infty: \mathcal{Y} \rightarrow \mathcal{X}$ . If  $f_\infty$  is not surjective, then neither is  $f_\infty \circ g_\infty: \mathcal{Y} \rightarrow \mathcal{Y}$ . So  $f_\infty \circ g_\infty$  is a distance preserving map from a compact space to itself which is not an isometry. The later contradicts Exercise 1.4.4.  $\square$

**3.4.2. Exercise.** Let  $\mathcal{X}$  and  $\mathcal{Y}$  be two compact metric spaces. Prove that

$$|\text{diam } \mathcal{X} - \text{diam } \mathcal{Y}| \leq 2 \cdot |\mathcal{X} - \mathcal{Y}|_{\mathcal{M}}.$$

In other words,  $\text{diam}: \mathcal{M} \rightarrow \mathbb{R}$  is a 2-Lipschitz function.

**3.4.3. Exercise.** Show that  $\mathcal{M}$  is a length space.

Given two metric spaces  $\mathcal{X}$  and  $\mathcal{Y}$ , we will write  $\mathcal{X} \leq \mathcal{Y}$  if there is a noncontracting map  $f: \mathcal{X} \rightarrow \mathcal{Y}$ ; that is, if

$$|x - x'|_{\mathcal{X}} \leq |f(x) - f(x')|_{\mathcal{Y}}$$

for any  $x, x' \in \mathcal{X}$ .

Further, given  $\varepsilon > 0$ , we will write  $\mathcal{X} \leq \mathcal{Y} + \varepsilon$  if there is a map  $f: \mathcal{X} \rightarrow \mathcal{Y}$  such that

$$|x - x'|_{\mathcal{X}} \leq |f(x) - f(x')|_{\mathcal{Y}} + \varepsilon$$

for any  $x, x' \in \mathcal{X}$ .

**3.4.4. Exercise.** Show that

$$|\mathcal{X} - \mathcal{Y}|_{\mathcal{M}'} = \inf \{ \varepsilon > 0 : \mathcal{X} \leq \mathcal{Y} + \varepsilon \text{ and } \mathcal{Y} \leq \mathcal{X} + \varepsilon \}$$

defines a metric on the space of (isometry classes) of compact metric spaces.

Moreover  $|\ast - \ast|_{\mathcal{M}'}$  is equivalent to the Gromov–Haudorff metric; that is,

$$|\mathcal{X}_n - \mathcal{X}_\infty|_{\mathcal{M}} \rightarrow 0 \iff |\mathcal{X}_n - \mathcal{X}_\infty|_{\mathcal{M}'} \rightarrow 0$$

as  $n \rightarrow \infty$ .

## 3.5 Uniformly totally bonded families

Let  $\mathcal{Q}$  be a set of (isometry classes) of compact metric spaces. Suppose that there is a sequence  $\varepsilon_n \rightarrow 0$  such that for any positive integer  $n$  each space  $\mathcal{X}$  in  $\mathcal{Q}$  admits an  $\varepsilon_n$ -net with at most  $n$  points. Then we say that  $\mathcal{Q}$  is *uniformly totally bonded*.

Observe that in this case  $\text{diam } \mathcal{X} < \varepsilon_1$  for any  $\mathcal{X}$  in  $\mathcal{Q}$ ; that is diameters of spaces in  $\mathcal{Q}$  are bounded above.

Fix a real constant  $C$ . A measure  $\mu$  on a metric space  $\mathcal{X}$  is called *C-doubling* if

$$\mu[B(p, 2 \cdot r)] < C \cdot \mu[B(p, r)]$$

for any point  $p \in \mathcal{X}$  and any positive real  $r$ . A measure is called *doubling* if it is  $C$ -doubling for a some real constant  $C$ .

**3.5.1. Exercise.** Let  $\mathcal{Q}(C, D)$  be the set of all the compact metric spaces with diameter at most  $D$  that admit a  $C$ -doubling measure. Show that  $\mathcal{Q}(C, D)$  is totally bounded.

Recall that we write  $\mathcal{X} \leq \mathcal{Y}$  if there is a distance non-decreasing map  $\mathcal{X} \rightarrow \mathcal{Y}$ .

**3.5.2. Exercise.**

- a) Let  $\mathcal{Y}$  be a compact metric space. Show that the set of all spaces  $\mathcal{X}$  such that  $\mathcal{X} \leq \mathcal{Y}$  is uniformly totally bounded.
- b) Show that for any uniformly totally bounded set  $\mathcal{Q} \subset \mathcal{M}$  there is a compact space  $\mathcal{Y}$  such that  $\mathcal{X} \leq \mathcal{Y}$  for any  $\mathcal{X}$  in  $\mathcal{Q}$ .

## 3.6 Gromov's selection theorem

The following theorem is analogous to Blaschke selection theorems (2.1.3).

**3.6.1. Gromov selection theorem.** Let  $\mathcal{Q}$  be a closed and totally bounded subset of  $\mathcal{M}$ . Then  $\mathcal{Q}$  is compact.

**3.6.2. Lemma.**  $\mathcal{M}$  is complete.

*Proof.* Let  $(\mathcal{X}_n)$  be a Cauchy sequence in  $\mathcal{M}$ . Passing to a subsequence if necessary, we can assume that  $|\mathcal{X}_n - \mathcal{X}_{n+1}|_{\mathcal{M}} < \frac{1}{2^n}$  for each  $n$ . In particular, for each  $n$  one can equip  $\mathcal{W}_n = \mathcal{X}_n \sqcup \mathcal{X}_{n+1}$  with a metric such that inclusions  $\mathcal{X}_n \hookrightarrow \mathcal{W}_n$  and  $\mathcal{X}_{n+1} \hookrightarrow \mathcal{W}_n$  are distance preserving, and

$$|\mathcal{X}_n - \mathcal{X}_{n+1}|_{\mathcal{H}(\mathcal{W}_n)} < \frac{1}{2^n}$$

for each  $n$ .

Set  $\mathcal{W}$  to be the disjoint union of all  $\mathcal{X}_n$ . Let us equip  $\mathcal{W}$  with a metric defined the following way:

- ◇ for any fixed  $n$  and any two points  $x_n, x'_n \in \mathcal{X}_n$  set

$$|x_n - x'_n|_{\mathcal{W}} = |x_n - x'_n|_{\mathcal{X}_n}$$

- ◇ for any positive integers  $m > n$  and any two points  $x_n \in \mathcal{X}_n$  and  $x_m \in \mathcal{X}_m$  set

$$|x_n - x_m|_{\mathcal{W}} = \inf \left\{ \sum_{i=n}^{m-1} |x_i - x_{i+1}|_{\mathcal{W}_i} \right\},$$

where the infimum is taken for all sequences  $x_i \in \mathcal{X}_i$ .

Observe that  $|\ast - \ast|_{\mathcal{W}}$  is indeed a metric.

Let  $\bar{\mathcal{W}}$  be the completion of  $\mathcal{W}$ . Note that  $|\mathcal{X}_m - \mathcal{X}_n| < \frac{1}{2^{n-1}}$  if  $m > n$ . Therefore the union of  $\mathcal{X}_1 \cup \mathcal{X}_2 \cup \dots \cup \mathcal{X}_n$  forms a  $\frac{1}{2^{n-1}}$ -net in  $\bar{\mathcal{W}}$ . Since each  $\mathcal{X}_i$  is compact, we get that  $\bar{\mathcal{W}}$  admits a compact  $\varepsilon$ -net for any  $\varepsilon > 0$ . Whence  $\bar{\mathcal{W}}$  is compact.

According to Blaschke selection theorem (2.1.3), we can pass to a subsequence of  $(\mathcal{X}_n)$  that converges in  $\mathcal{H}(\bar{\mathcal{W}})$  and therefore in  $\mathcal{M}$ .  $\square$

*Proof of 3.6.1; “only if” part.* If there is no sequence  $\varepsilon_n \rightarrow 0$  as described in the problem, then for a fixed  $\delta > 0$  there is a sequence of spaces  $\mathcal{X}_n \in \mathcal{Q}$  such that

$$\text{pack}_{\delta} \mathcal{X}_n \rightarrow \infty \quad \text{as } n \rightarrow \infty.$$

Since  $\mathcal{Q}$  is compact, this sequence has a partial limit say  $\mathcal{X}_{\infty} \in \mathcal{Q}$ . Observe that  $\text{pack}_{\delta} \mathcal{X}_{\infty} = \infty$ . Therefore  $\mathcal{X}_{\infty}$  — a contradiction.

*“If” part.* Without loss of generality, we may assume that there is a sequence  $\varepsilon_n \rightarrow 0$  such that  $\mathcal{Q}$  is the set of all compact metric spaces  $\mathcal{X}$  such that  $\text{pack}_{\varepsilon_n} \mathcal{X} \leq n$ .

Note that  $\text{diam} \mathcal{X} \leq \varepsilon_1$  for any  $\mathcal{X} \in \mathcal{Q}$ . Given positive integer  $n$  consider set of all metric spaces  $\mathcal{W}_n$  with number of points at most  $n$  and diameter  $\leq \varepsilon_1$ . Note that  $\mathcal{W}_n$  is compact for each  $n$ .

Further a maximal  $\varepsilon_n$ -packing of any  $\mathcal{X} \in \mathcal{Q}$  forms a subspace from  $\mathcal{W}_n$ . Therefore  $\mathcal{W}_n \cap \mathcal{Q}$  is a compact  $\varepsilon_n$ -net in  $\mathcal{Q}$ . That is,  $\mathcal{Q}$  has compact  $\varepsilon$ -net for any  $\varepsilon > 0$ . The incc  $\mathcal{Q}$  is a closed set  $\square$

In the following exercises *converge* means *converge in the sense of Gromov–Hausdorff*.

### 3.6.3. Exercise.

- Show that a sequence of compact simply connected length spaces can not converge to a circle.
- Construct a sequence of compact simply connected length spaces that converges to a compact nonsimply connected space.

### 3.6.4. Exercise.

- Show that a sequence of length metrics on the 2-sphere can not converge to a the unit disc.
- Construct a sequence of length metrics on the 3-sphere that converges to a unit 3-ball.



### 3.7 Remarks

Suppose  $\mathcal{X}_n \xrightarrow{\text{GH}} \mathcal{X}_\infty$ , then there is a metric on the disjoint union

$$\mathbf{X} = \bigsqcup_{n \in \mathbb{N} \cup \{\infty\}} \mathcal{X}_n$$

such that the restriction of metric on each  $\mathcal{X}_n$  and  $\mathcal{X}_\infty$  coincides with its original metric and  $\mathcal{X}_n \xrightarrow{\text{H}} \mathcal{X}_\infty$  as subsets in  $\mathbf{X}$ .

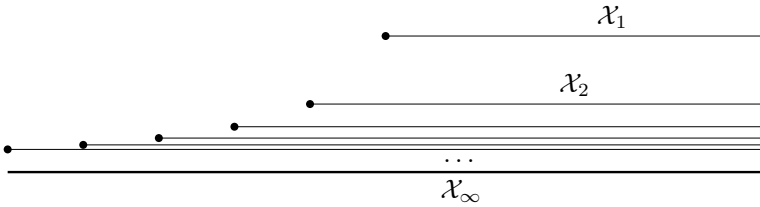
Indeed, since  $\mathcal{X}_n \xrightarrow{\text{GH}} \mathcal{X}_\infty$ , there is a metric on  $\mathcal{V}_n = \mathcal{X}_n \sqcup \mathcal{X}_\infty$  such that the restriction of metric on each  $\mathcal{X}_n$  and  $\mathcal{X}_\infty$  coincides with its original metric and  $|\mathcal{X}_n - \mathcal{X}_\infty|_{\mathcal{H}(\mathcal{V}_n)} < \varepsilon_n$  for some sequence  $\varepsilon_n \rightarrow 0$ . Arguing as in the proof of (iv) in Theorem 3.4.1 we define metric on  $\mathbf{X}$  by setting

$$\begin{aligned} |x_m - x_n|_{\mathbf{X}} &= \inf_{x_\infty} \{ |x_m - x_\infty|_{\mathcal{V}_m} + |x_n - x_\infty|_{\mathcal{V}_n} : \}, \\ |x_n - x_\infty|_{\mathbf{X}} &= |x_n - x_\infty|_{\mathcal{V}_n} \\ |x_n - x'_n|_{\mathbf{X}} &= |x_n - x'_n|_{\mathcal{X}_n} \end{aligned}$$

where  $x_n, x'_n \in \mathcal{X}_n$  for every  $n \in \mathbb{N} \cup \{\infty\}$ .

In other words, the metric on  $\mathbf{X}$  defines convergence  $\mathcal{X}_n \xrightarrow{\text{GH}} \mathcal{X}_\infty$ . This metric makes possible to talk about limits of sequences  $x_n \in \mathcal{X}_n$  as  $n \rightarrow \infty$ , as well as weak limit of a sequence of measures  $\mu_n$  on  $\mathcal{X}_n$  and so on. By that reason it might be useful to fix such metric on  $\mathbf{X}$ . This approach can be also used to define Gromov–Hausdorff convergence of noncompact spaces which will be discussed latter.

We may consider a metric on  $\mathbf{X}$  such that  $\mathcal{X}_n \xrightarrow{\text{H}} \mathcal{X}_\infty$  without assuming that all the spaces  $\mathcal{X}_n$  and  $\mathcal{X}_\infty$  are compact; in this case we need to use the variation of Hausdorff convergence described in Section 2.2. The limit spaces for this generalized convergence is not uniquely defined. For example if each space  $\mathcal{X}_n$  in the sequence is isometric to the half-line, then its limit might be isometric to the half-line or to whole line. The first convergence is evident and the second could be guessed from the diagram.



Often the isometry class of the limit can be fixed by marking a point  $p_n$  in each space  $\mathcal{X}_n$ , it is called *pointed Gromov–Hausdorff convergence*

— we say that  $(\mathcal{X}_n, p_n)$  converges to  $(\mathcal{X}_\infty, p_\infty)$  if there is a metric on  $\mathbf{X}$  such that  $\mathcal{X}_n \xrightarrow{\text{H}} \mathcal{X}_\infty$  and  $p_n \rightarrow p_\infty$ . For example the sequence  $(\mathcal{X}_n, p_n) = (\mathbb{R}_+, 0)$  converges to  $(\mathbb{R}_+, 0)$ , while  $(\mathcal{X}_n, p_n) = (\mathbb{R}_+, n)$  converges to  $(\mathbb{R}, 0)$ .

This convergence works nicely for proper metric spaces. The following theorem is an analog of Gromov's selection theorem for pointed Gromov–Haudorff convergence.

**3.7.1. Theorem.** *Let  $\mathcal{Q}$  be a set of isometry classes of pointed proper metric spaces  $(\mathcal{X}, p)$ . Assume that for any  $R > 0$ , the  $R$ -balls in the spaces centered at the marked points form a uniformly totally bounded family of spaces. Then  $\mathcal{Q}$  is precompact with respect to pointed Gromov–Haudorff convergence.*

# Chapter 4

## Ultralimits

Here we introduce ultralimits of sequences of points, metric spaces and functions. The ultralimits of metric spaces can be considered as a variation of Gromov–Hausdorff convergence. Our presentation is based on [14].

Our use of ultralimits is very limited; we use them only as a canonical way to pass to a convergent subsequence. (In principle, we could avoid selling our souls to the set-theoretical devil, but in this case we must say “pass to convergent subsequence” too many times.)

### 4.1 Ultrafilters

We will need the existence of a nonprincipal ultrafilter  $\omega$ , which we fix once and for all.

Recall that  $\mathbb{N}$  denotes the set of natural numbers,  $\mathbb{N} = \{1, 2, \dots\}$

**4.1.1. Definition.** *A finitely additive measure  $\omega$  on  $\mathbb{N}$  is called an ultrafilter if it satisfies*

*a)  $\omega(S) = 0$  or  $1$  for any subset  $S \subset \mathbb{N}$ .*

*An ultrafilter  $\omega$  is called nonprincipal if in addition*

*b)  $\omega(F) = 0$  for any finite subset  $F \subset \mathbb{N}$ .*

If  $\omega(S) = 0$  for some subset  $S \subset \mathbb{N}$ , we say that  $S$  is  $\omega$ -small. If  $\omega(S) = 1$ , we say that  $S$  contains  $\omega$ -almost all elements of  $\mathbb{N}$ .

**Classical definition.** More commonly, a nonprincipal ultrafilter is defined as a collection, say  $\mathfrak{F}$ , of sets in  $\mathbb{N}$  such that

1. if  $P \in \mathfrak{F}$  and  $Q \supset P$ , then  $Q \in \mathfrak{F}$ ,
2. if  $P, Q \in \mathfrak{F}$ , then  $P \cap Q \in \mathfrak{F}$ ,
3. for any subset  $P \subset \mathbb{N}$ , either  $P$  or its complement is an element of  $\mathfrak{F}$ .

4. if  $F \subset \mathbb{N}$  is finite, then  $F \notin \mathfrak{F}$ .

Setting  $P \in \mathfrak{F} \Leftrightarrow \omega(P) = 1$  makes these two definitions equivalent.

A nonempty collection of sets  $\mathfrak{F}$  that does not include the empty set and satisfies only conditions 1 and 2 is called a *filter*; if in addition  $\mathfrak{F}$  satisfies Condition 3 it is called an *ultrafilter*. From Zorn's lemma, it follows that every filter contains an ultrafilter. Thus there is an ultrafilter  $\mathfrak{F}$  contained in the filter of all complements of finite sets; clearly this  $\mathfrak{F}$  is nonprincipal.

**Stone-Čech compactification.** Given a set  $S \subset \mathbb{N}$ , consider subset  $\Omega_S$  of all ultrafilters  $\omega$  such that  $\omega(S) = 1$ . It is straightforward to check that the sets  $\Omega_S$  for all  $S \subset \mathbb{N}$  form a topology on the set of ultrafilters on  $\mathbb{N}$ . The obtained space is called *Stone-Čech compactification* of  $\mathbb{N}$ ; it is usually denoted as  $\beta\mathbb{N}$ .

There is a natural embedding  $\mathbb{N} \hookrightarrow \beta\mathbb{N}$  defined as  $n \mapsto \omega_n$ , where  $\omega_n$  is the principle ultrafilter such that  $\omega_n(S) = 1$  if and only if  $n \in S$ . Using the described embedding, we can (and will) consider  $\mathbb{N}$  as a subset of  $\beta\mathbb{N}$ .

The space  $\beta\mathbb{N}$  is the maximal compact Hausdorff space that contains  $\mathbb{N}$  as an everywhere dense subset. More precisely, for any compact Hausdorff space  $\mathcal{X}$  and a map  $f: \mathbb{N} \rightarrow \mathcal{X}$  there is unique continuous map  $\bar{f}: \beta\mathbb{N} \rightarrow \mathcal{X}$  such that the restriction  $\bar{f}|_{\mathbb{N}}$  coincides with  $f$ .

## 4.2 Ultralimits of points

Fix an ultrafilter  $\omega$ . Assume  $(x_n)$  is a sequence of points in a metric space  $\mathcal{X}$ . Let us define the  $\omega$ -*limit* of  $(x_n)$  as the point  $x_\omega$  such that for any  $\varepsilon > 0$ ,  $\omega$ -almost all elements of  $(x_n)$  lie in  $B(x_\omega, \varepsilon)$ ; that is,

$$\omega \{ n \in \mathbb{N} : |x_\omega - x_n| < \varepsilon \} = 1.$$

In this case, we will write

$$x_\omega = \lim_{n \rightarrow \omega} x_n \quad \text{or} \quad x_n \rightarrow x_\omega \text{ as } n \rightarrow \omega.$$

For example if  $\omega$  is the principle ultrafilter such that  $\omega(\{n\}) = 1$  for some  $n \in \mathbb{N}$ , then  $x_\omega = x_n$ .

Note that  $\omega$ -limits of a sequence and its subsequence may differ. For example, in general

$$\lim_{n \rightarrow \omega} x_n \neq \lim_{n \rightarrow \omega} x_{2 \cdot n}.$$

**4.2.1. Proposition.** *Let  $\omega$  be a nonprincipal ultrafilter. Assume  $(x_n)$  is a sequence of points in a metric space  $\mathcal{X}$  and  $x_n \rightarrow x_\omega$  as  $n \rightarrow \omega$ .*

Then  $x_\omega$  is a partial limit of the sequence  $(x_n)$ ; that is, there is a subsequence  $(x_n)_{n \in S}$  that converges to  $x_\omega$  in the usual sense.

**Remark.** A nonprinciple ultrafilter  $\omega$  is called *selective* if for any partition of  $\mathbb{N}$  into sets  $\{C_\alpha\}_{\alpha \in \mathcal{A}}$  such that  $\omega(C_\alpha) = 0$  for each  $\alpha$ , there is a set  $S \subset \mathbb{N}$  such that  $\omega(S) = 1$  and  $S \cap C_\alpha$  is a one-point set for each  $\alpha \in \mathcal{A}$ .

The existence of a selective ultrafilter follows from the continuum hypothesis; it was proved by Walter Rudin in [22].

For a selective ultrafilter  $\omega$ , there is a stronger version of Proposition 4.2.1; namely we can assume that the subsequence  $(x_n)_{n \in S}$  can be chosen so that  $\omega(S) = 1$ . (So, if needed, you may assume that the ultrafilter  $\omega$  is selective and use this stronger version of the proposition.)

*Proof.* Given  $\varepsilon > 0$ , set  $S_\varepsilon = \{n \in \mathbb{N} : |x_n - x_\omega| < \varepsilon\}$ .

Note that  $\omega(S_\varepsilon) = 1$  for any  $\varepsilon > 0$ . Since  $\omega$  is nonprinciple, the set  $S_\varepsilon$  is infinite. Therefore we can choose an increasing sequence  $(n_k)$  such that  $n_k \in S_{\frac{1}{k}}$  for each  $k \in \mathbb{N}$ . Clearly  $x_{n_k} \rightarrow x_\omega$  as  $k \rightarrow \infty$ .  $\square$

The following proposition is analogous to the statement that any sequence in a compact metric space has a convergent subsequence; it can be proved the same way.

**4.2.2. Proposition.** *Let  $\mathcal{X}$  be a compact metric space. Then any sequence of points  $(x_n)$  in  $\mathcal{X}$  has unique  $\omega$ -limit  $x_\omega$ .*

*In particular, a bounded sequence of real numbers has a unique  $\omega$ -limit.*

Alternatively, the sequence  $(x_n)$  can be regarded as a map  $\mathbb{N} \rightarrow \mathcal{X}$ . In this case the map  $\mathbb{N} \rightarrow \mathcal{X}$  can be extended to a continuous map from the Stone-Ćech compactification  $\beta\mathbb{N}$  of  $\mathbb{N}$ . Then the  $\omega$ -limit  $x_\omega$  can be regarded as the image of  $\omega$ .

The following lemma is an ultralimit analog of Cauchy convergence test.

**4.2.3. Lemma.** *Let  $(x_n)$  be a sequence of points in a complete space  $\mathcal{X}$ . Assume for each subsequence  $(y_n)$  of  $(x_n)$ , the  $\omega$ -limit*

$$y_\omega = \lim_{n \rightarrow \omega} y_n \in \mathcal{X}$$

*is defined and does not depend on the choice of subsequence, then the sequence  $(x_n)$  converges in the usual sense.*

*Proof.* Assume that  $(x_n)$  is a Cauchy sequence. Then for some  $\varepsilon > 0$ , there is a subsequence  $(y_n)$  of  $(x_n)$  such that  $|x_n - y_n| \geq \varepsilon$  for all  $n$ .

It follows that  $|x_\omega - y_\omega| \geq \varepsilon$ , a contradiction.  $\square$

### 4.3 Ultralimits of spaces

From now on,  $\omega$  denotes a nonprincipal ultrafilter on the set of natural numbers.

Let  $\mathcal{X}_n$  be a sequence of metric spaces. Consider all sequences of points  $x_n \in \mathcal{X}_n$ . On the set of all such sequences, define a pseudometric by

$$\bullet \quad |(x_n) - (y_n)| = \lim_{n \rightarrow \omega} |x_n - y_n|.$$

Note that the  $\omega$ -limit on the right hand side is always defined and takes a value in  $[0, \infty]$ .

Set  $\mathcal{X}_\omega$  to be the corresponding metric space; that is, the underlying set of  $\mathcal{X}_\omega$  is formed by classes of equivalence of sequences of points  $x_n \in \mathcal{X}_n$  defined by

$$(x_n) \sim (y_n) \Leftrightarrow \lim_{n \rightarrow \omega} |x_n - y_n| = 0$$

and the distance is defined by  $\bullet$ .

The space  $\mathcal{X}_\omega$  is called  $\omega$ -limit of  $\mathcal{X}_n$ . Typically  $\mathcal{X}_\omega$  will denote the  $\omega$ -limit of sequence  $\mathcal{X}_n$ ; we may also write

$$\mathcal{X}_n \rightarrow \mathcal{X}_\omega \text{ as } n \rightarrow \omega \text{ or } \mathcal{X}_\omega = \lim_{n \rightarrow \omega} \mathcal{X}_n.$$

Given a sequence  $x_n \in \mathcal{X}_n$ , we will denote by  $x_\omega$  its equivalence class which is a point in  $\mathcal{X}_\omega$ ; equivalently we will write

$$x_n \rightarrow x_\omega \text{ as } n \rightarrow \omega \text{ or } x_\omega = \lim_{n \rightarrow \omega} x_n.$$

**4.3.1. Observation.** *The  $\omega$ -limit of any sequence of metric spaces is complete.*

*Proof.* Let  $\mathcal{X}_n$  be a sequence of metric spaces and  $\mathcal{X}_n \rightarrow \mathcal{X}_\omega$  as  $n \rightarrow \omega$ .

Fix a Cauchy sequence  $x_m \in \mathcal{X}_\omega$ . Passing to a subsequence we can assume that  $|x_m - x_{m-1}|_{\mathcal{X}_\omega} < \frac{1}{2^m}$  for any  $m$ .

Let us choose double sequence  $x_{n,m} \in \mathcal{X}_n$  such that for any fixed  $m$  we have  $x_{n,m} \rightarrow x_m$  as  $n \rightarrow \omega$ . Note that  $|x_{n,m} - x_{n,m-1}| < \frac{1}{2^m}$  for  $\omega$ -almost all  $n$ . It follows that we can choose a nested sequence of sets

$$\mathbb{N} = S_1 \supset S_2 \supset \dots$$

such that

- ◊  $\omega(S_m) = 1$  for each  $m$ ,
- ◊  $k \geq m$  for any  $k \in S_m$ , and

◇ if  $n \in S_m$ , then

$$|x_{n,m} - x_{n,m-1}| < \frac{1}{2^m}$$

Consider the sequence  $y_n = x_{n,m(n)}$ , where  $m(n)$  is the largest value such that  $m(n) \in S_m$ . Denote by  $y \in \mathcal{X}_\omega$  its  $\omega$ -limit.

Observe that by construction  $x_n \rightarrow y$  as  $n \rightarrow \infty$ . Hence the statement follows.  $\square$

**4.3.2. Observation.** *The  $\omega$ -limit of any sequence of length spaces is geodesic.*

*Proof.* If  $\mathcal{X}_n$  is a sequence length spaces, then for any sequence of pairs  $x_n, y_n \in X_n$  there is a sequence of  $\frac{1}{n}$ -midpoints  $z_n$ .

Let  $x_n \rightarrow x_\omega$ ,  $y_n \rightarrow y_\omega$  and  $z_n \rightarrow z_\omega$  as  $n \rightarrow \omega$ . Note that  $z_\omega$  is a midpoint of  $x_\omega$  and  $y_\omega$  in  $\mathcal{X}^\omega$ .

By Observation 4.3.1,  $\mathcal{X}^\omega$  is complete. Applying Lemma 1.7.4 we get the statement.  $\square$

A geodesic space  $\mathcal{T}$  is called a *metric tree* if any pair of points in  $\mathcal{T}$  are connected by a unique geodesic, and the union of any two geodesics  $[xy]$ , and  $[yz]$  contain the geodesic  $[xz]_\mathcal{T}$ . In other words any triangle in  $\mathcal{T}$  is a tripod; that is for any three geodesics  $[xy]$ ,  $[yz]$ , and  $[zx]$  have a common point.

**4.3.3. Exercise.** *Show that an ultralimit of metric trees is a metric tree.*

## 4.4 Ultrapower

If all the metric spaces in the sequence are identical  $\mathcal{X}_n = \mathcal{X}$ , its  $\omega$ -limit  $\lim_{n \rightarrow \omega} \mathcal{X}_n$  is denoted by  $\mathcal{X}^\omega$  and called  $\omega$ -power of  $\mathcal{X}$ .

**4.4.1. Exercise.** *For any point  $x \in \mathcal{X}$ , consider the constant sequence  $x_n = x$  and set  $\iota(x) = \lim_{n \rightarrow \omega} x_n \in \mathcal{X}^\omega$ .*

- Show that  $\iota: \mathcal{X} \rightarrow \mathcal{X}^\omega$  is distance preserving embedding. (So we can and will consider  $\mathcal{X}$  as a subset of  $\mathcal{X}^\omega$ .)*
- Show that  $\iota$  is onto if and only if  $\mathcal{X}$  compact.*
- Show that if  $\mathcal{X}$  is proper, then  $\iota(\mathcal{X})$  forms a metric component of  $\mathcal{X}^\omega$ ; that is, a subset of  $\mathcal{X}^\omega$  that lie on finite distance from a given point.*

**4.4.2. Observation.** *Let  $\mathcal{X}$  be a complete metric space. Then  $\mathcal{X}^\omega$  is geodesic space if and only if  $\mathcal{X}$  is a length space.*

*Proof.* Assume  $\mathcal{X}^\omega$  is geodesic space. Then any pair of points  $x, y \in \mathcal{X}$  has a midpoint  $z_\omega \in \mathcal{X}^\omega$ . Fix a sequence of points  $z_n \in \mathcal{X}$  such that  $z_n \rightarrow z_\omega$  as  $n \rightarrow \omega$ .

Note that  $|x - z_n|_{\mathcal{X}} \rightarrow \frac{1}{2} \cdot |x - y|_{\mathcal{X}}$  and  $|y - z_n|_{\mathcal{X}} \rightarrow \frac{1}{2} \cdot |x - y|_{\mathcal{X}}$  as  $n \rightarrow \omega$ . In particular, for any  $\varepsilon > 0$ , the point  $z_n$  is an  $\varepsilon$ -midpoint of  $x$  and  $y$  for  $\omega$ -almost all  $n$ . It remains to apply Lemma 1.7.4.

The “if”-part follows from Observation 4.3.2.  $\square$

**4.4.3. Exercise.** Assume  $\mathcal{X}$  is a complete length space and  $p, q \in \mathcal{X}$  cannot be joined by a geodesic in  $\mathcal{X}$ . Then there are at least two distinct geodesics between  $p$  and  $q$  in the ultrapower  $\mathcal{X}^\omega$ .

**4.4.4. Exercise.** Construct a proper metric space  $\mathcal{X}$  such that  $\mathcal{X}^\omega$  is not proper; that is, there is a point  $p \in \mathcal{X}^\omega$  and  $R < \infty$  such that the closed ball  $\bar{B}[p, R]_{\mathcal{X}^\omega}$  is not compact.

## 4.5 Tangent and asymptotic spaces

Choose a space  $\mathcal{X}$  and a sequence of  $\lambda_n > 0$ . Consider the sequence of scalings  $\mathcal{X}_n = \lambda_n \cdot \mathcal{X} = (\mathcal{X}, \lambda_n \cdot |\cdot - \cdot|_{\mathcal{X}})$ .

Choose a point  $p \in \mathcal{X}$  and denote by  $p_n$  the corresponding point in  $\mathcal{X}_n$ . Consider the  $\omega$ -limit  $\mathcal{X}_\omega$  of  $\mathcal{X}_n$  (one may denote it by  $\lambda_\omega \cdot \mathcal{X}$ ); set  $p_\omega$  to be the  $\omega$ -limit of  $p_n$ .

If  $\lambda_n \rightarrow 0$  as  $n \rightarrow \omega$ , then the metric component of  $p_\omega$  in  $\mathcal{X}_\omega$  is called  $\omega$ -*tangent space* at  $p$  and denoted by  $T_p \mathcal{X}$ .

If  $\lambda_n \rightarrow \infty$  as  $n \rightarrow \omega$ , then the metric component of  $p_\omega$  in called  $\omega$ -*asymptotic space*<sup>1</sup> and denoted by  $\text{Asym } \mathcal{X}$ . Note that the space  $\text{Asym } \mathcal{X}$  and its point  $p_\omega$  does not depend on the choice of  $p \in \mathcal{X}$ .

**4.5.1. Exercise.** Let  $\mathcal{L}$  be the Lobachevsky plane;  $\mathcal{T} = \text{Asym } \mathcal{L}$ .

- Show that  $\mathcal{T}$  is a complete metric tree.
- Show that  $\mathcal{T}$  has continuum degree at any point; that is, for any point  $t \in \mathcal{T}$  the set of connected components of the complement  $\mathcal{T} \setminus \{t\}$  has cardinality continuum.
- Show that  $\mathcal{T}$  is homogeneous; that is given two points  $s, t \in \mathcal{T}$  there is an isometry of  $\mathcal{T}$  that maps  $s$  to  $t$ .
- Prove (a-c) if  $\mathcal{L}$  is Lobachevsky space and/or for the infinite 3-regular<sup>2</sup> tree with unit edge.

<sup>1</sup>Often it is called *asymptotic cone* despite that it is not a cone in general; this name is used since in good cases it has a cone structure.

<sup>2</sup>that is, degree of any vertex is 3.



As it shown in [8], the properties (a) and (b) describe the tree  $\mathcal{T}$  up to isometry. In particular, the asymptotic space of Lobachevsky plane does not depend on the choice of ultrafilter and the sequence  $\lambda_n \rightarrow \infty$ . In general, the tangent and asymptotic spaces depend on number of choices — we need to fix a sequence  $\lambda_n$  and an nonprinciple ultrafilter  $\omega$ .



# Chapter 5

## Urysohn space

We discuss a construction introduced by Pavel Urysohn [23]. It produces a separable metric space that includes a subspace isometric to any separable metric space; in addition it is homogenous in a very strong sense, see 5.3.3.<sup>1</sup> This construction answers a question of Maurice Fréchet. Note that Fréchet lemma (1.8.1 and 1.8.2) says that  $\ell^\infty$  includes an isometric copy of any separable metric space, but  $\ell^\infty$  is not separable and it is only 1-point homogeneous.

We follow presentation given by Mikhael Gromov [11].

### 5.1 Construction

Suppose a metric space  $\mathcal{X}$  is a subspace of a pseudometric space  $\mathcal{X}'$ . In this case we may say that  $\mathcal{X}'$  is an *extension* of  $\mathcal{X}$ . If  $\text{diam } \mathcal{X}' \leq d$ , then we say that  $\mathcal{X}'$  is a *d-extension*.

If the complement  $\mathcal{X}' \setminus \mathcal{X}$  contains a single point, say  $p$ , we say that  $\mathcal{X}'$  is a *one-point extension* of  $\mathcal{X}$ . In this case, to define metric on  $\mathcal{X}'$ , it is sufficient to specify the distance function from  $p$ ; that is, a function  $f: \mathcal{X} \rightarrow \mathbb{R}$  defined by

$$f(x) = |p - x|_{\mathcal{X}'}$$

The function  $f$  can not be taken arbitrary — the triangle inequality implies that

$$f(x) + f(y) \geq |x - y|_{\mathcal{X}} \geq |f(x) - f(y)|$$

---

<sup>1</sup>The idea of this construction was reused in graph theory; it produces the so called *Rado graph*, also known as *Erdős–Rényi graph* or *random graph*; it is discussed by Peter Cameron [7].

for any  $x, y \in \mathcal{X}$ . In particular  $f$  is a non-negative 1-Lipschitz function on  $\mathcal{X}$ . For a  $d$ -extension we need to assume in addition that  $\text{diam } \mathcal{X} \leq d$  and  $f(x) \leq d$  for any  $x \in \mathcal{X}$ .

Any function  $f$  of that type will be called *extension function* or *d-extension function* correspondingly.

**5.1.1. Definition.** A metric space  $\mathcal{U}$  is called *universal* if for any finite subspace  $\mathcal{F} \subset \mathcal{U}$  and any extension function  $f: \mathcal{F} \rightarrow \mathbb{R}$  there is a point  $p \in \mathcal{U}$  such that  $|p - x| = f(x)$  for any  $x \in \mathcal{F}$ .

If instead of extension functions we consider only  $d$ -extension functions and assume in addition that  $\text{diam } \mathcal{U} \leq d$ , then we arrive to a definition of  $d$ -universal space.

If in addition  $\mathcal{U}$  is separable and complete, then it is called Urysohn space or  $d$ -Urysohn space.

**5.1.2. Proposition.** Given a positive  $d$ , there is a separable  $d$ -universal metric space. Moreover, a separable universal space metric exists.

*Proof.* Let  $\mathcal{X}$  be a compact metric space such that  $\text{diam } \mathcal{X} \leq d$ . Denote by  $\mathcal{X}^d$  the space of all  $d$ -extension functions on  $\mathcal{X}$  equipped with the metric defined by the sup-norm. Note that the map  $\mathcal{X} \rightarrow \mathcal{X}^d$  defined by  $x \mapsto \text{dist}_x$  is a distance preserving embedding, so we can (and will) treat  $\mathcal{X}$  as a subspace of  $\mathcal{X}^d$ , or, equivalently,  $\mathcal{X}^d$  is an extension of  $\mathcal{X}$ .

Let us iterate this construction. Start with a one-point space  $\mathcal{X}_0$  and consider a sequence of spaces  $(\mathcal{X}_n)$  defined by  $\mathcal{X}_{n+1} = \mathcal{X}_n^d$ . Note that the sequence is nested, that is  $\mathcal{X}_0 \subset \mathcal{X}_1 \subset \dots$  and the union

$$\mathcal{X}_\infty = \bigcup_n \mathcal{X}_n;$$

comes with metric such that  $|x - y|_{\mathcal{X}_\infty} = |x - y|_{\mathcal{X}_n}$  if  $x, y \in \mathcal{X}_n$ .

Note that if  $\mathcal{X}$  is compact, then so is  $\mathcal{X}^d$ . It follows that each space  $\mathcal{X}_n$  is compact. Since  $\mathcal{X}_\infty$  is a countable union of compact spaces, it is separable.

Any finite subspace  $\mathcal{F}$  of  $\mathcal{X}_\infty$  lies in some  $\mathcal{X}_n$  for  $n < \infty$ . By construction, there is a point  $p \in \mathcal{X}_{n+1}$  that meets the condition in Definition 5.1.1. That is,  $\mathcal{X}_\infty$  is  $d$ -universal.

A construction of a universal separable metric space is done along the same lines, but the sequence should be defined by  $\mathcal{X}_{n+1} = \mathcal{X}_n^{d_n}$  for some sequence  $d_n \rightarrow \infty$ ; also the point  $p$  should be taken from  $\mathcal{X}_{n+k}$  for sufficiently large  $k$ .  $\square$

**5.1.3. Proposition.** A completion of  $d$ -universal space is  $d$ -universal.

A completion of universal space universal.

*Proof.* Suppose  $\mathcal{V}$  be a  $d$ -universal space; denote by  $\mathcal{U}$  its completion; so  $\mathcal{V}$  is a dense subset in a complete space  $\mathcal{U}$ .

Observe that  $\mathcal{U}$  is *approximately  $d$ -universal*; that is, if  $\mathcal{F} \subset \mathcal{U}$  is a finite set,  $\varepsilon > 0$ , and  $f: \mathcal{F} \rightarrow \mathbb{R}$  is a  $d$ -extension function, then there exists  $p \in \mathcal{U}$  such that

$$|p - x| \leq f(x) \pm \varepsilon.$$

for any  $x \in \mathcal{F}$ .

Therefore there is a sequence of points  $p_n \in \mathcal{U}$  such that for any  $x \in \mathcal{F}$ ,

$$|p_n - x| \leq f(x) \pm \frac{1}{2^n}.$$

Moreover, we can assume that

$$\textbf{①} \quad |p_n - p_{n+1}| < \frac{1}{2^n}$$

for all large  $n$ . Indeed, consider the sets  $\mathcal{F}_n = \mathcal{F} \cup \{p_n\}$  and the functions  $f_n: \mathcal{F}_n \rightarrow \mathbb{R}$  defined by  $f_n(x) = f(x)$  for any  $x \in \mathcal{F}$ , and

$$f_n(p_n) = \max \{ ||p_n - x| - f(x)| : x \in \mathcal{F} \}.$$

Observe that  $f_n$  is a  $d$ -extension function for large  $n$  and  $f_n(p_n) < \frac{1}{2^n}$ . By applying approximate universal property recursively we get **①**.

By **①**,  $(p_n)$  is a Cauchy sequence and its limit meets the condition in the definition of universal space (5.1.1).  $\square$

Note that 5.1.2 and 5.1.3 imply the following:

**5.1.4. Theorem.** *Urysohn space, and  $d$ -Urysohn space for any  $d > 0$ , exist.*

## 5.2 Separable universality

**5.2.1. Proposition.** *Let  $\mathcal{U}$  be an Urysohn space. Then any separable metric space  $\mathcal{S}$  admits a distance preserving embedding  $\mathcal{S} \hookrightarrow \mathcal{U}$ .*

*Moreover, for any finite subspace  $\mathcal{F} \subset \mathcal{S}$ , any distance preserving embedding  $\mathcal{F} \hookrightarrow \mathcal{U}$  can be extended to an distance preserving embedding  $\mathcal{S} \hookrightarrow \mathcal{U}$ .*

*If  $\mathcal{U}$  is  $d$ -Urysohn, then the statements hold provided  $\text{diam } \mathcal{S} \leq d$ .*

*Proof.* We will prove the second statement, the first statement is its partial case for  $\mathcal{F} = \emptyset$ .

The required isometry will be denoted by  $x \mapsto x'$ .

Choose a dense sequence of points  $s_1, s_2, \dots \in \mathcal{S}$ . We may assume that  $\mathcal{F} = \{s_1, \dots, s_n\}$ , so  $s'_i \in \mathcal{U}$  are defined for  $i \leq n$ .

The sequence  $s'_i$  for  $i > n$  can be defined recursively using universality of  $\mathcal{U}$ . Namely suppose that  $s'_1, \dots, s'_{i-1}$  are already defined. Since  $\mathcal{U}$  is universal, there is a point  $s'_i \in \mathcal{U}$  such that

$$|s'_i - s'_j|_{\mathcal{U}} = |s_i - s_j|_{\mathcal{S}}$$

for any  $j < i$ .

We constructed a distance preserving map  $s_i \mapsto s'_i$ , it remains to extend it to a continuous map on whole  $\mathcal{S}$ .  $\square$

**5.2.2. Exercise.** Show that any two distinct points in an Urysohn space can be jointed by infinite number of geodesics.

**5.2.3. Exercise.** Modify the proofs of 5.1.3 and 5.2.1 to prove the following theorem.

**5.2.4. Theorem.** Let  $K$  be a compact set in a separable space  $\mathcal{S}$ . Then any distance-preserving map from  $K$  to an Urysohn space can be extended to a distance-preserving map on whole  $\mathcal{S}$ .

**5.2.5. Exercise.** Show that Urysohn space is simply connected.

## 5.3 Uniqueness and homogeneity

**5.3.1. Theorem.** Suppose  $\mathcal{F} \subset \mathcal{U}$  and  $\mathcal{F}' \subset \mathcal{U}'$  be finite isometric subspaces in a pair of  $(d-)$ Urysohn spaces  $\mathcal{U}$  and  $\mathcal{U}'$ . Then any isometry  $\mathcal{F} \rightarrow \mathcal{F}'$  can be extended to an isometry  $\mathcal{U} \rightarrow \mathcal{U}'$ .

In particular  $(d-)$ Urysohn space is unique up to isometry.

Note that 5.2.1 implies that there are distance-preserving maps  $\mathcal{U} \rightarrow \mathcal{U}'$  and  $\mathcal{U}' \rightarrow \mathcal{U}$ , but it does not solely imply existence of an isometry. The following construction use the same idea as in the proof of 5.2.1, but we need to apply it *back-and-forth* to ensure that the constructed distance-preserving map is onto.

*Proof.* The required isometry  $\mathcal{U} \leftrightarrow \mathcal{U}'$  will be denoted by  $u \leftrightarrow u'$ .

Choose dense sequences  $a_1, a_2, \dots \in \mathcal{U}$  and  $b'_1, b'_2, \dots \in \mathcal{U}$ . Let us define recursively  $a'_1, b_1, a'_2, b_2, \dots$  — on the odd step we define the images of  $a_1, a_2, \dots$  and on the even steps we define inverse images of  $b'_1, b'_2, \dots$ . The same argument as in the proof of 5.2.1 shows that we

can construct two sequences  $a'_1, a'_2, \dots \in \mathcal{U}'$  and  $b_1, b_2, \dots \in \mathcal{U}$  such that

$$\begin{aligned} |a_i - a_j|_{\mathcal{U}} &= |a'_i - a'_j|_{\mathcal{U}'} \\ |a_i - b_j|_{\mathcal{U}} &= |a'_i - b'_j|_{\mathcal{U}'} \\ |b_i - b_j|_{\mathcal{U}} &= |b'_i - b'_j|_{\mathcal{U}'} \end{aligned}$$

for all  $i$  and  $j$ .

Let us extend the constructed distance preserving bijection defined by  $a_i \leftrightarrow a'_i$  and  $b_i \leftrightarrow b'_i$  continuously to whole  $\mathcal{U}$ . Observe that the image of this bijection is dense in  $\mathcal{U}'$  therefore the constructed map  $\mathcal{U} \rightarrow \mathcal{U}'$  is a bijection.  $\square$

Further the Urysohn space will be denoted by  $\mathcal{U}$ , and the  $d$ -Urysohn space will be denoted by  $\mathcal{U}_d$ . Observe that 5.3.1 implies that the spaces  $\mathcal{U}$  and  $\mathcal{U}_d$  are finite-set homogeneous; that is,

- ◊ any distance preserving map from a finite subset to the whole space can be extended to an isometry.

It is unknown if there is a separable universal space that is finite-set homogeneous (this question appeared already in [23] and reappeared in [11, p. 83] with a missing key word). In fact I do not see an example of a 1-point homogeneous universal space.

**5.3.2. Exercise.** Let  $S$  be a sphere of radius  $\frac{d}{2}$  in  $\mathcal{U}_d$ ; that is,

$$S = \{ x \in \mathcal{U}_d : |p - x|_{\mathcal{U}_d} = \frac{d}{2} \}$$

for some point  $p \in \mathcal{U}_d$ . Show that  $S$  is isometric to  $\mathcal{U}_d$ .

Use it to show that  $\mathcal{U}_d$  is not countable-set homogeneous; that is, there is an distance preserving map from a countable subset of  $\mathcal{U}_d$  to  $\mathcal{U}_d$  that can not be extended to an isometry of  $\mathcal{U}_d$ .

In fact the Urysohn space is compact-set homogeneous; more precisely the following theorem holds. A proof can be obtained by modifying the proofs of 5.1.3 and 5.3.1 the same way as it is done in 5.2.3.

**5.3.3. Theorem.** Let  $K$  be a compact set in an  $(d)$ -Uryson space  $\mathcal{U}$ . Then any distance preserving map  $K \rightarrow \mathcal{U}$  can be extended to an isometry of  $\mathcal{U}$ .





# Chapter 6

## Injective spaces

In this chapter we discuss *injective spaces* also known as *hyperconvex spaces*. They are metric analog of convex sets in Euclidean space. The so called *injective envelop* is a minimal injective space that contains a given metric space as a subpace; it is a direct analog of convex hull of a set in a Euclidean space.

This type of spaces were introduced by Nachman Aronszajn and Prom Panitchpakdi [3] and injective envelop was introduced by John Isbell [13]; it was rediscovered number of times since then.

### 6.1 Admissible functions

Let  $\mathcal{X}$  be a metric space. A function  $r: \mathcal{X} \rightarrow \mathbb{R}$  is called *admissible* if the following inequality

$$\bullet \quad r(x) + r(y) \geq |x - y|$$

holds for any  $x, y \in \mathcal{X}$ .

#### 6.1.1. Observation.

- a) Any admissible is nonnegative.
- b) If  $\mathcal{X}$  is a geodesic space, then a function  $r: \mathcal{X} \rightarrow \mathbb{R}$  is admissible if and only if

$$\overline{B}[x, r(x)] \cap \overline{B}[y, r(y)] \neq \emptyset$$

for any  $x, y \in \mathcal{X}$ .

*Proof.* For (a), take  $x = y$  in  $\bullet$ . Part (b) follows from the triangle inequality and the definition of geodesic.  $\square$

A minimal admissible function will be called *extremal*. More precisely, an admissible function  $r: \mathcal{X} \rightarrow \mathbb{R}$  is extremal if for any other admissible function  $s: \mathcal{X} \rightarrow \mathbb{R}$  such that  $s \leq r$  we have  $s = r$ .

**6.1.2. Exercise.** Let  $r$  and  $s$  be two extremal functions of a metric space  $\mathcal{X}$ . Suppose that  $r \geq s - c$  for some constant  $c$ . Show that  $c \geq 0$  and  $r \leq s + c$ .

**6.1.3. Observation.**

- a) For any point  $p$  in a metric space  $\mathcal{X}$  the distance function  $r = \text{dist}_p$  is extremal.
- b) For any admissible function  $s$  there is an extremal function  $r$  such that  $r \leq s$ .

*Proof;* (a). By the triangle inequality, **1** holds. Further if  $s \leq r$  is another admissible function then  $s(p) = 0$  and **1** implies that  $s(x) \geq |p - x|$ . Whence  $s = r$ .

(b). Follows from Zorn's lemma. □

**6.1.4. Lemma.** Any extremal function  $r$  on  $\mathcal{X}$  is 1-Lipschitz; that is,

$$|r(x) - r(y)| \leq |x - y|$$

for any  $x, y \in \mathcal{X}$ .

In other words, any extremal function is an extension function; see definition on page 44.

*Proof.* Arguing by contradiction, assume that the inequality does not hold; so we can choose two points  $p, q \in \mathcal{X}$  such that

$$r(p) - r(q) > |p - q|.$$

Consider another function  $s$  such that  $s = r$  at all points except  $p$  and  $s(p) := r(q) + |p - q|$ , so

$$s(p) - r(q) = |p - q|$$

Observe that  $0 < s(p) < r(p)$ .

By triangle inequality,  $s$  remains to be admissible. Indeed, since  $r$  is admissible, for any  $x \neq p$  we have

$$\begin{aligned} s(p) + s(x) &= [s(p) - r(q)] + [r(x) + r(q)] \geq \\ &\geq |p - q| + |x - q| \geq \\ &\geq |p - x|. \end{aligned}$$

For  $p = x$  the inequality trivially holds and for the remaining pairs of points the inequality holds since it holds for  $r$ . □

## 6.2 Injective spaces

**6.2.1. Definition.** A metric space  $\mathcal{Y}$  is called *injective* if for any metric space  $\mathcal{X}$ , any its subspace  $\mathcal{A}$  any short map  $f: \mathcal{A} \rightarrow \mathcal{Y}$  can be extended to a short map  $F: \mathcal{X} \rightarrow \mathcal{Y}$ ; that is,  $f = F|_{\mathcal{A}}$ .

**6.2.2. Exercise.** Show that any injective space is geodesic.

**6.2.3. Exercise.** Show that any injective space is contractible.

**6.2.4. Exercise.** Suppose that a metric space  $\mathcal{X}$  satisfies the following property:

For any subspace  $\mathcal{A}$  in  $\mathcal{X}$  and any other metric space  $\mathcal{Y}$ , any short map  $f: \mathcal{A} \rightarrow \mathcal{Y}$  can be extended to a short map  $F: \mathcal{X} \rightarrow \mathcal{Y}$ .

Show that  $\mathcal{X}$  is an ultrametric space; that is, the following strong version of triangle inequality

$$|x - z|_{\mathcal{X}} \leq \max\{|x - y|_{\mathcal{X}}, |y - z|_{\mathcal{X}}\}$$

holds for any three points  $x, y, z \in \mathcal{X}$ .

**6.2.5. Theorem.** For any metric space  $\mathcal{Y}$  the following condition are equivalent:

- a)  $\mathcal{Y}$  is injective
- b) If  $r: \mathcal{Y} \rightarrow \mathbb{R}$  is an extremal function then there is a point  $p \in \mathcal{Y}$  such that

$$|p - x| \leq r(x)$$

for any  $x \in \mathcal{Y}$ .

- c)  $\mathcal{Y}$  is hyperconvex; that is, if  $\{\overline{B}[x_{\alpha}, r_{\alpha}]\}_{\alpha \in \mathcal{A}}$  is a family of closed balls in  $\mathcal{Y}$  such that

$$r_{\alpha} + r_{\beta} \geq |x_{\alpha} - x_{\beta}|$$

for any  $\alpha, \beta \in \mathcal{A}$ , then all the balls in the family have a common point.

*Proof.* We will prove implications  $(a) \Rightarrow (b) \Rightarrow (c) \Rightarrow (a)$ .

$(a) \Rightarrow (b)$ . Since  $\mathcal{Y}$  is injective for any extension function  $r: \mathcal{Y} \rightarrow \mathbb{R}$  there is a point  $p \in \mathcal{Y}$  such that

$$|p - x| \leq r(x)$$

for any  $x \in \mathcal{Y}$ . By 6.1.4, any extremal function is an extension function, whence the implication follow.

$(b) \Rightarrow (c)$ . By 6.1.1b, part (c) is equivalent to the following statement:

- ◇ If  $r: \mathcal{Y} \rightarrow \mathbb{R}$  is an admissible function, then there is a point  $p \in \mathcal{Y}$  such that

$$\textcircled{1} \quad |p - x| \leq r(x)$$

for any  $x \in \mathcal{Y}$ .

Indeed, set  $r(x) = \inf \{ r_\alpha : x_\alpha = x \}$ . The condition in (c) imply that  $r$  is admissible. It remains to observe that  $p \in \bar{B}[x_\alpha, r_\alpha]$  for every  $\alpha$  if and only if  $\textcircled{1}$  holds.

By 6.1.3b, for any admissible function  $r$  there is an extremal function  $\bar{r} \leq r$ ; whence (b)  $\Rightarrow$  (c).

(c)  $\Rightarrow$  (a). Arguing by contradiction, suppose  $\mathcal{Y}$  is not injective; that is, there is a metric space  $\mathcal{X}$  with a subset  $\mathcal{A}$  such that a short map  $f: \mathcal{A} \rightarrow \mathcal{Y}$  can not be extended to a short map  $F: \mathcal{X} \rightarrow \mathcal{Y}$ . By Zorn's lemma we may assume that  $\mathcal{A}$  is a maximal subset; that is, the domain of  $f$  can not be enlarged by a single point.<sup>1</sup>

Fix a point  $p$  in the complement  $\mathcal{X} \setminus \mathcal{A}$ . To extend  $f$  to  $p$ , we need to choose  $f(p)$  in the intersection of the balls  $\bar{B}[f(x), r(x)]$ , where  $r(x) = |p - x|$ . Therefore this intersection for all  $x \in \mathcal{A}$  have to be empty.

Since  $f$  is short, we have that

$$\begin{aligned} r(x) + r(y) &\geq |x - y|_{\mathcal{X}} \geq \\ &\geq |f(x) - f(y)|_{\mathcal{Y}}. \end{aligned}$$

Therefore by (c) the balls  $\bar{B}[f(x), r(x)]$  have a common point — a contradiction.  $\square$

**6.2.6. Exercise.** Show that the following spaces are injective:

- a) the real line;
- b) complete metric tree;
- c) plane with the metric induced by  $\ell^\infty$ -norm.

## 6.3 Injective envelop

Let  $\mathcal{X}$  be a metric space. Consider the space  $\text{Inj } \mathcal{X}$  of extremal functions on  $\mathcal{X}$  equipped with sup-norm; that is,

$$|f - g|_{\text{Inj } \mathcal{X}} := \sup \{ |f(x) - g(x)| : x \in \mathcal{X} \}.$$

Recall that by 6.1.3a, any distance function is extremal. It follows that the map  $x \mapsto \text{dist}_x$  produces a distance-preserving embedding

---

<sup>1</sup>In this case  $\mathcal{A}$  must be closed, but we will not use it.

$\mathcal{X} \hookrightarrow \text{Inj } \mathcal{X}$ . So we can (and will) treat  $\mathcal{X}$  as a subspace of  $\text{Inj } \mathcal{X}$ , or, equivalently,  $\text{Inj } \mathcal{X}$  as an extension of  $\mathcal{X}$ .

Since any extremal function is 1-Lipschitz, for any  $f \in \text{Inj } \mathcal{X}$  and  $p \in \mathcal{X}$ , we have that  $f(x) \leq f(p) + \text{dist}_p(x)$ . By 6.1.2, we also get  $f(x) \geq -f(p) + \text{dist}_p(x)$ . Therefore

$$\textcircled{1} \quad \begin{aligned} |f - p|_{\text{Inj } \mathcal{X}} &= \sup \{ |f(x) - \text{dist}_p(x)| : x \in \mathcal{X} \} = \\ &= f(p). \end{aligned}$$

**6.3.1. Exercise.** Suppose that  $\mathcal{X}$  is

a) a metric space with exactly three points  $a, b, c$  such that

$$|a - b|_{\mathcal{X}} = |b - c|_{\mathcal{X}} = |c - a|_{\mathcal{X}} = 1.$$

b) a metric space with exactly four points  $p, q, x, y$  such that

$$|p - x|_{\mathcal{X}} = |p - y|_{\mathcal{X}} = |q - x|_{\mathcal{X}} = |q - y|_{\mathcal{X}} = 1$$

and

$$|p - q|_{\mathcal{X}} = |x - y|_{\mathcal{X}} = 2.$$

Describe the set of all extremal functions on  $\mathcal{X}$  and the metric space  $\text{Inj } \mathcal{X}$  in each case.

**6.3.2. Proposition.** For any metric space  $\mathcal{X}$ , its extension  $\text{Inj } \mathcal{X}$  is injective.

**6.3.3. Lemma.** Given a point  $p$  in a metric space  $\mathcal{X}$ , a positive  $\delta$  and  $f \in \text{Inj } \mathcal{X}$ , there is a point  $q \in \mathcal{X}$  such that

$$f(p) + f(q) < |p - q|_{\mathcal{X}} + \delta,$$

or equivalently

$$|f - p|_{\text{Inj } \mathcal{X}} + |f - q|_{\text{Inj } \mathcal{X}} < |p - q|_{\text{Inj } \mathcal{X}} + \delta.$$

Moreover if  $\mathcal{X}$  is compact, then for any  $p \in \mathcal{X}$  and  $f \in \text{Inj } \mathcal{X}$ , there is  $q \in \mathcal{X}$  such that

$$f(p) + f(q) = |p - q|_{\mathcal{X}},$$

or equivalently

$$|f - p|_{\text{Inj } \mathcal{X}} + |f - q|_{\text{Inj } \mathcal{X}} = |p - q|_{\text{Inj } \mathcal{X}}.$$

*Proof.* By 6.1.3a,  $\text{dist}_p$  is an extremal function; it remains to apply 6.1.2 to the functions  $\text{dist}_p$  and  $f$ .  $\square$

**6.3.4. Exercise.** Let  $\mathcal{X}$  be a compact space. Show that for any two points  $f, g \in \text{Inj } \mathcal{X}$  there are points  $p, q \in \mathcal{X}$  such that

$$|p - f|_{\text{Inj } \mathcal{X}} + |f - g|_{\text{Inj } \mathcal{X}} + |g - q|_{\text{Inj } \mathcal{X}} = |p - q|_{\text{Inj } \mathcal{X}}.$$

**6.3.5. Lemma.** Let  $\mathcal{X}$  be a metric space. Suppose that  $r$  is an extremal function on  $\text{Inj } \mathcal{X}$ . Then the restriction  $r|_{\mathcal{X}}$  is an extremal function on  $\mathcal{X}$ . In other words,  $r|_{\mathcal{X}} \in \text{Inj } \mathcal{X}$ .

*Proof.* Arguing by contradiction, suppose that there is an admissible function  $s: \mathcal{X} \rightarrow \mathbb{R}$  such that  $s(x) \leq r(x)$  for any  $x \in \mathcal{X}$  and  $s(p) < r(p)$  for some point  $p \in \mathcal{X}$ . Consider another function  $\bar{r}: \text{Inj } \mathcal{X} \rightarrow \mathbb{R}$  such that  $\bar{r} = r$  at all points except  $p$  and  $\bar{r}(p) := s(p)$ .

Let us show that  $\bar{r}$  is admissible, that is

$$\textcircled{2} \quad \bar{r}(f) + \bar{r}(g) \geq |f - g|_{\text{Inj } \mathcal{X}}$$

for any  $f, g \in \text{Inj } \mathcal{X}$ .

Since  $r$  is admissible and  $\bar{r} = r$  on  $(\text{Inj } \mathcal{X}) \setminus \{p\}$ , it is sufficient to prove  $\textcircled{2}$  if  $f \neq g = p$ . By  $\textcircled{1}$ , we have  $|f - p|_{\text{Inj } \mathcal{X}} = f(p)$ . Therefore  $\textcircled{2}$  boils down to the following inequality

$$\textcircled{3} \quad r(f) + s(p) \geq f(p).$$

for any  $f \in \text{Inj } \mathcal{X}$ .

Fix small  $\delta > 0$ . Let  $q \in \mathcal{X}$  be the point provided by 6.3.3. Then

$$r(f) + s(p) \geq [r(f) - r(q)] + [r(q) + s(p)] \geq$$

since  $r$  is 1-Lipschitz, and  $r(q) \geq s(q)$

$$\geq -|q - f|_{\text{Inj } \mathcal{X}} + [s(q) + s(p)] =$$

by  $\textcircled{1}$  and since  $s$  is admissible

$$= f(q) + |p - q| >$$

by 6.3.3

$$> f(p) - \delta.$$

Since  $\delta > 0$  is arbitrary,  $\textcircled{3}$  and  $\textcircled{2}$  follow.

Summarizing, the function  $\bar{r}$  is admissible,  $\bar{r} \leq r$  and  $\bar{r}(p) < r(p)$ ; that is,  $r$  is not extremal — a contradiction.  $\square$

*Proof of 6.3.2.* By 6.2.5b, it is sufficient to show that for any extremal function  $r$  on  $\text{Inj } \mathcal{X}$ , there is a point  $\bar{r} \in \text{Inj } \mathcal{X}$  such that

$$\textcircled{4} \quad r(f) \geq |\bar{r} - f|_{\text{Inj } \mathcal{X}}$$

for any  $f \in \text{Inj } \mathcal{X}$ .

Let us show that one can take  $\bar{r} = r|_{\mathcal{X}}$ . By 6.3.5,  $\bar{r}$  is extremal; that is,  $\bar{r} \in \text{Inj } \mathcal{X}$ .

Since  $r$  is 1-Lipschitz (6.1.4) we have that

$$\bar{r}(x) - f(x) = r(x) - |f - x|_{\text{Inj } \mathcal{X}} \leq r(f).$$

for any  $x$ . Since  $r$  is admissible we have that

$$\bar{r}(x) - f(x) = r(x) - |f - x|_{\text{Inj } \mathcal{X}} \geq -r(f).$$

for any  $x$ . That is,  $|\bar{r}(x) - f(x)| \leq r(f)$  for any  $x \in \mathcal{X}$ . By the definition, we have

$$|\bar{r} - f|_{\text{Inj } \mathcal{X}} = \sup \{ |\bar{r}(x) - f(x)| : x \in \mathcal{X} \};$$

hence  $\textcircled{4}$  follows.  $\square$

An extension  $\mathcal{E}$  of a metric space  $\mathcal{X}$  will be called its *injective envelop* if  $\mathcal{E}$  is an injective space and there is no injective proper subspace of  $\mathcal{E}$  that contains  $\mathcal{X}$ .

Two injective envelopes  $e: \mathcal{X} \hookrightarrow \mathcal{E}$  and  $f: \mathcal{X} \hookrightarrow \mathcal{F}$  are called equivalent if there is an isometry  $\iota: \mathcal{E} \rightarrow \mathcal{F}$  such that  $f = \iota \circ e$ .

**6.3.6. Theorem.** *For any metric space  $\mathcal{X}$ , its extension  $\text{Inj } \mathcal{X}$  is an injective envelop.*

*Moreover, any other injective envelop of  $\mathcal{X}$  is equivalent to  $\text{Inj } \mathcal{X}$ .*

*Proof.* Suppose  $S \subset \text{Inj } \mathcal{X}$  is an injective subspace containing  $\mathcal{X}$ . Since  $S$  is injective, there is a short map  $w: \text{Inj } \mathcal{X} \rightarrow S$  that fixes all points in  $\mathcal{X}$ .

Suppose that  $w: f \mapsto f'$ ; observe that  $f(x) \geq f'(x)$  for any  $x \in \mathcal{X}$ . Since  $f$  is extremal,  $f = f'$ ; that is,  $w$  is the identity map and therefore  $S = \text{Inj } \mathcal{X}$ .

Assume we have another injective envelop  $e: \mathcal{X} \hookrightarrow \mathcal{E}$ . Then there are short maps  $v: \mathcal{E} \rightarrow \text{Inj } \mathcal{X}$  and  $w: \text{Inj } \mathcal{X} \rightarrow \mathcal{E}$  such that  $x = v \circ e(x)$  and  $e(x) = w(x)$  for any  $x \in \mathcal{X}$ . From above, the  $v \circ w$  is the identity on  $\text{Inj } \mathcal{X}$ . In particular  $w$  is distance preserving.

The composition  $w \circ v: \mathcal{E} \rightarrow \mathcal{E}$  is a short map that fixes points in  $e(\mathcal{X})$ . Since  $e: \mathcal{X} \hookrightarrow \mathcal{E}$  is an injective envelop, the composition  $w \circ v$  and therefore  $w$  are onto. Whence  $w$  is an isometry.  $\square$





## Part II

# Alexandrov geometry



# Chapter 7

## Definitions

### 7.1 Manifesto of Alexandrov geometry

Alexandrov geometry can use “back to Euclid” as a slogan. Alexandrov spaces are defined via axioms similar to those given by Euclid, but certain equalities are changed to inequalities. Depending on the sign of the inequalities, we get Alexandrov spaces with *curvature bounded above* or *curvature bounded below*. The definitions of the two classes of spaces are similar, but their properties and known applications are quite different.

Consider the space  $\mathcal{M}_4$  of all isometry classes of 4-point metric spaces. Each element in  $\mathcal{M}_4$  can be described by 6 numbers — the distances between all 6 pairs of its points, say  $\ell_{i,j}$  for  $1 \leq i < j \leq 4$  modulo permutations of the index set  $(1, 2, 3, 4)$ . These 6 numbers are subject to 12 triangle inequalities; that is,

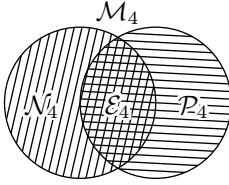
$$\ell_{i,j} + \ell_{j,k} \geq \ell_{i,k}$$

holds for all  $i, j$  and  $k$ , where we assume that  $\ell_{j,i} = \ell_{i,j}$  and  $\ell_{i,i} = 0$ .

The space  $\mathcal{M}_4$  can be thought of the cone in  $\mathbb{R}^6$  defined by triangle inequalities that is factorized by permutations of the 4-points of the space. The same topology is induced on  $\mathcal{M}_4$  by the Gromov–Hausdorff metric.

Consider the subset  $\mathcal{E}_4 \subset \mathcal{M}_4$  of all isometry classes of 4-point metric spaces that admit isometric embeddings into Euclidean space.

**7.1.1. Claim.** *The complement  $\mathcal{M}_4 \setminus \mathcal{E}_4$  has two connected components.*



One of the components will be denoted by  $\mathcal{P}_4$  and the other by  $\mathcal{N}_4$ . Here  $\mathcal{P}$  and  $\mathcal{N}$  stand for *positive* and *negative curvature* because spheres have no quadruples of type  $\mathcal{N}_4$  and hyperbolic space has no quadruples of type  $\mathcal{P}_4$ .

A metric space, with length metric, that has no quadruples of points of type  $\mathcal{P}_4$  or  $\mathcal{N}_4$  respectively is called an Alexandrov space with non-positive or non-negative curvature.

The following argument is based on idea from [18].

*Sketch of proof.* Let  $\mathcal{X}$  be a 4-point metric space.

Fix a tetrahedron  $\triangle$  in  $\mathbb{R}^3$ . The vertices of  $\triangle$ , say  $x_0, x_1, x_2, x_3$ , can be identified with the points of  $\mathcal{X}$ .

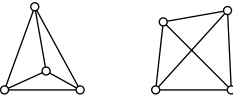
Note that there is a unique quadratic form  $W$  on  $\mathbb{R}^3$  such that

$$W(x_i - x_j) = |x_i - x_j|_{\mathcal{X}}^2$$

for all  $i$  and  $j$ .

By the triangle inequality,  $W(v) \geq 0$  for any vector  $v$  parallel to one of the faces of  $\triangle$ .

Note that  $\mathcal{X}$  is isometric to a 4-point subset in the Euclidean space if and only if  $W(v) \geq 0$  for any vector  $v$  in  $\mathbb{R}^3$ .



Therefore, if  $\mathcal{X}$  is not of type  $\mathcal{E}_4$ , then  $W(v) < 0$  for some vector  $v$ . From above, the vector  $v$  must be transversal to each of the 4 faces of  $\triangle$ . Therefore if we project  $\triangle$  along  $v$  to a plane transversal to  $v$  we see one of the two pictures on the right.

Note that the set of vectors  $v$  such that  $W(v) < 0$  has two connected components; the opposite vectors  $v$  and  $-v$  lie in the different components. If one moves  $v$  continuously, keeping  $W(v) < 0$ , then the corresponding projection moves continuously and the projections of the 4 faces cannot degenerate. It follows that the combinatorics of the picture do not depend on the choice of  $v$ . Hence  $\mathcal{M}_4 \setminus \mathcal{E}_4$  is not connected.

It remains to show that if the combinatorics of the pictures for two spaces is the same, then one can continuously deform one space into the other. This can be easily done by deforming  $W$  and applying a permutation of  $x_0, x_1, x_2, x_3$  if necessary.  $\square$

Here is an exercise, solving which would force the reader to rebuild a considerable part of Alexandrov geometry.

**7.1.2. Advanced exercise.** Assume  $\mathcal{X}$  is a complete metric space

*with length metric, containing only quadruples of type  $\mathcal{E}_4$ . Show that  $\mathcal{X}$  is isometric to a convex set in a Hilbert space.*

In fact, it might be helpful to spend some time thinking about this exercise before proceeding.

In the definition above, instead of Euclidean space one can take hyperbolic space of curvature  $-1$ . In this case, one obtains the definition of spaces with curvature bounded above or below by  $-1$ .

To define spaces with curvature bounded above or below by  $1$ , one has to take the unit 3-sphere and specify that only the quadruples of points such that each of the four triangles has perimeter less than  $2\cdot\pi$  are checked. The latter condition could be considered as a part of the *spherical triangle inequality*.



# Appendix A

## Semisolutions

**Exercise 1.3.1.** Assume the statement is wrong. Then for any point  $x \in \mathcal{X}$ , there is a point  $x' \in \mathcal{X}$  such that

$$|x - x'| < \rho(x) \quad \text{and} \quad \rho(x') \leq \frac{\rho(x)}{1 + \varepsilon}.$$

Consider a sequence of points  $(x_n)$  such that  $x_{n+1} = x'_n$ . Clearly

$$|x_{n+1} - x_n| \leq \frac{\rho(x_0)}{\varepsilon \cdot (1 + \varepsilon)^n} \quad \text{and} \quad \rho(x_n) \leq \frac{\rho(x_0)}{(1 + \varepsilon)^n}.$$

Therefore  $(x_n)$  is Cauchy. Since  $\mathcal{X}$ , the sequence  $(x_n)$ ; denote its limit by  $x_\infty$ . Since  $\rho$  is a continuous function we get

$$\begin{aligned} \rho(x_\infty) &= \lim_{n \rightarrow \infty} \rho(x_n) = \\ &= 0. \end{aligned}$$

The latter contradicts that  $\rho > 0$ . □

**Exercise 1.4.4.** Given any pair of point  $x_0, y_0 \in \mathcal{K}$ , consider two sequences  $x_0, x_1, \dots$  and  $y_0, y_1, \dots$  such that  $x_{n+1} = f(x_n)$  and  $y_{n+1} = f(y_n)$  for each  $n$ .

Since  $\mathcal{K}$  is compact, we can choose an increasing sequence of integers  $n_k$  such that both sequences  $(x_{n_i})_{i=1}^\infty$  and  $(y_{n_i})_{i=1}^\infty$  converge. In particular, both are Cauchy sequences; that is,

$$|x_{n_i} - x_{n_j}|_{\mathcal{K}}, |y_{n_i} - y_{n_j}|_{\mathcal{K}} \rightarrow 0 \quad \text{as} \quad \min\{i, j\} \rightarrow \infty.$$

Since  $f$  is non-contracting, we get

$$|x_0 - x_{|n_i - n_j|}| \leq |x_{n_i} - x_{n_j}|.$$

It follows that there is a sequence  $m_i \rightarrow \infty$  such that

$$(*) \quad x_{m_i} \rightarrow x_0 \quad \text{and} \quad y_{m_i} \rightarrow y_0 \quad \text{as} \quad i \rightarrow \infty.$$

Set

$$\ell_n = |x_n - y_n|_{\mathcal{K}}.$$

Since  $f$  is non-contracting, the sequence  $(\ell_n)$  is non-decreasing.

By  $(*)$ ,  $\ell_{m_i} \rightarrow \ell_0$  as  $m_i \rightarrow \infty$ . It follows that  $(\ell_n)$  is a constant sequence.

In particular

$$|x_0 - y_0|_{\mathcal{K}} = \ell_0 = \ell_1 = |f(x_0) - f(y_0)|_{\mathcal{K}}$$

for any pair of points  $(x_0, y_0)$  in  $\mathcal{K}$ . That is,  $f$  is distance preserving, in particular injective.

From  $(*)$ , we also get that  $f(\mathcal{K})$  is everywhere dense. Since  $\mathcal{K}$  is compact  $f: \mathcal{K} \rightarrow \mathcal{K}$  is surjective. Hence the result follows.  $\square$

This is a basic lemma in the introduction to Gromov–Hausdorff distance [see 7.3.30 in 6]. I learned this proof from Travis Morrison, a student in my MASS class at Penn State, Fall 2011.

Note that as an easy corollary one can see that any surjective non-expanding map from a compact metric space to itself is an isometry.

**Exercise 1.7.2.** We assume that the space is not trivial, otherwise a one-point space is an example.

Consider the unit ball  $(B, \rho_0)$  in the space  $c_0$  of all sequences converging to zero equipped with the sup-norm.

Consider another metric  $\rho_1$  which is different from  $\rho_0$  by the conformal factor

$$\varphi(\mathbf{x}) = 2 + \frac{1}{2} \cdot x_1 + \frac{1}{4} \cdot x_2 + \frac{1}{8} \cdot x_3 + \dots,$$

where  $\mathbf{x} = (x_1, x_2, \dots) \in B$ . That is, if  $\mathbf{x}(t)$ ,  $t \in [0, \ell]$ , is a curve parametrized by  $\rho_0$ -length then its  $\rho_1$ -length is

$$\text{length}_{\rho_1} \mathbf{x} = \int_0^\ell \varphi \circ \mathbf{x}.$$

Note that the metric  $\rho_1$  is bi-Lipschitz to  $\rho_0$ .

Assume  $\mathbf{x}(t)$  and  $\mathbf{x}'(t)$  are two curves parametrized by  $\rho_0$ -length that differ only in the  $m$ -th coordinate, denoted by  $x_m(t)$  and  $x'_m(t)$  correspondingly. Note that if  $x'_m(t) \leq x_m(t)$  for any  $t$  and the function  $x'_m(t)$  is locally 1-Lipschitz at all  $t$  such that  $x'_m(t) < x_m(t)$ , then

$$\text{length}_{\rho_1} \mathbf{x}' \leq \text{length}_{\rho_1} \mathbf{x}.$$



Moreover this inequality is strict if  $x'_m(t) < x_m(t)$  for some  $t$ .

Fix a curve  $\mathbf{x}(t)$ ,  $t \in [0, \ell]$ , parametrized by  $\rho_0$ -length. We can choose  $m$  large, so that  $x_m(t)$  is sufficiently close to 0 for any  $t$ . In particular, for some values  $t$ , we have  $y_m(t) < x_m(t)$ , where

$$y_m(t) = (1 - \frac{t}{\ell}) \cdot x_m(0) + \frac{t}{\ell} \cdot x_m(\ell) - \frac{1}{100} \cdot \min\{t, \ell - t\}.$$

Consider the curve  $\mathbf{x}'(t)$  as above with

$$x'_m(t) = \min\{x_m(t), y_m(t)\}.$$

Note that  $\mathbf{x}'(t)$  and  $\mathbf{x}(t)$  have the same end points, and by the above

$$\text{length}_{\rho_1} \mathbf{x}' < \text{length}_{\rho_1} \mathbf{x}.$$

That is, for any curve  $\mathbf{x}(t)$  in  $(B, \rho_1)$ , we can find a shorter curve  $\mathbf{x}'(t)$  with the same end points. In particular,  $(B, \rho_1)$  has no geodesics.  $\square$

This example was suggested by Fedor Nazarov [16].

**Exercise 1.7.3.** Choose a Cauchy sequence  $(x_n)$  in  $(\mathcal{X}, \|* - *\|)$ ; it sufficient to show that a subsequence of  $(x_n)$  converges.

Note that the sequence  $(x_n)$  is Cauchy in  $(\mathcal{X}, \|* - *\|)$ ; denote its limit by  $x_\infty$ .

After passing to a subsequence, we can assume that  $\|x_n - x_{n+1}\| < \frac{1}{2^n}$ . It follows that there is a 1-Lipschitz path  $\gamma$  in  $(\mathcal{X}, \|* - *\|)$  such that  $x_n = \gamma(\frac{1}{2^n})$  for each  $n$  and  $x_\infty = \gamma(0)$ .

It follows that

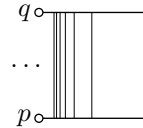
$$\begin{aligned} \|x_\infty - x_n\| &\leq \text{length } \gamma|_{[0, \frac{1}{2^n}]} \leq \\ &\leq \frac{1}{2^n}. \end{aligned}$$

In particular  $x_n$  converges.  $\square$

Source: [19, Lemma 2.3].

**Exercise 1.7.8.** Consider the following subset of  $\mathbb{R}^2$  equipped with the induced length metric

$$\mathcal{X} = ((0, 1] \times \{0, 1\}) \cup (\{1, \frac{1}{2}, \frac{1}{3}, \dots\} \times [0, 1])$$



Note that  $\mathcal{X}$  is locally compact and geodesic.

Its completion  $\bar{\mathcal{X}}$  is isometric to the closure of  $\mathcal{X}$  equipped with the induced length metric;  $\bar{\mathcal{X}}$  is obtained from  $\mathcal{X}$  by adding two points  $p = (0, 0)$  and  $q = (0, 1)$ .

The point  $p$  admits no compact neighborhood in  $\bar{\mathcal{X}}$  and there is no geodesic connecting  $p$  to  $q$  in  $\bar{\mathcal{X}}$ .  $\square$

This exercise and its solution is taken from [5].

**Exercise 1.8.3.** By Frechet lemma (1.8.1) we can identify  $\mathcal{K}$  with a compact subset of  $\ell^\infty$ .

Denote by  $\mathcal{L} = \text{Conv } \mathcal{K}$  — it is defined as the minimal convex closed set in  $\ell^\infty$  that contains  $\mathcal{K}$ . (In other words,  $\mathcal{L}$  is the intersection of all convex closed sets that contain  $\mathcal{K}$ .) Observe that  $\mathcal{L}$  is a length space.

Let us show that since  $\mathcal{K}$  is compact, so is  $\mathcal{L}$ . By construction  $\mathcal{L}$  is closed subset of  $\ell^\infty$ , in particular it is a complete space. By 1.4.1d, it remains to show that  $\mathcal{L}$  is totally bounded.

Recall that Minkowski sum  $A + B$  of two sets  $A$  and  $B$  in a vector space is defined by

$$A + B = \{a + b : a \in A, b \in B\}.$$

Observe that Minkowski sum of two convex sets is convex.

Denote by  $\bar{B}_\varepsilon$  the closed  $\varepsilon$ -ball in  $\ell^\infty$  centered at the origin. Choose a finite  $\varepsilon$ -net  $N$  in  $\mathcal{K}$  for some  $\varepsilon > 0$ . Note that  $P = \text{Conv } N$  is a convex polyhedron, in particular  $\text{Conv } N$  is compact.

Observe that  $N + \bar{B}_\varepsilon$  is closed  $\varepsilon$ -neighborhood of  $N$ ; therefore  $N + \bar{B}_\varepsilon \supset K$ . Therefore  $P + \bar{B}_\varepsilon \supset \mathcal{L}$ ; in particular  $P$  is a  $2 \cdot \varepsilon$ -net in  $\mathcal{L}$ . That is,  $\mathcal{L}$  admits a compact  $\varepsilon$ -net for any  $\varepsilon > 0$ . Therefore  $\mathcal{L}$  is totally bounded (see 1.4.2).

**Exercise 2.1.7.** The answer is “no” in both parts.

For the first part let  $X$  be a unit disc and  $Y$  a finite  $\varepsilon$ -net in  $X$ . Evidently  $|X - Y|_{\mathcal{H}(\mathbb{R}^2)} < \varepsilon$ , but  $|\partial X - \partial Y|_{\mathcal{H}(\mathbb{R}^2)} \approx 1$ .

For the second part take  $X$  to be a unit disc and  $Y = \partial X$  to be its boundary circle. Note that  $\partial X = \partial Y$  in particular  $|\partial X - \partial Y|_{\mathcal{H}(\mathbb{R}^2)} = 0$  while  $|X - Y|_{\mathcal{H}(\mathbb{R}^2)} = 1$ .  $\square$

A more interesting example for the second part can be build on *lakes of Wada* — an example of three open bounded topological disks in the plane that have identical boundary.

**Exercise 2.1.8.** Let  $A$  be a compact convex set in the plane. Denote by  $A^r$  the closed  $r$ -neighborhood of  $A$ . Recall that by Steiner’s formula we have

$$\text{area } A^r = \text{area } A + r \cdot \text{perim } A + \pi \cdot r^2.$$

Taking derivative and applying coarea formula, we get

$$\text{perim } A^r = \text{perim } A + 2 \cdot \pi \cdot r.$$

Observe that if  $A$  lies in a compact set  $B$  bounded by a closed curve, then

$$\text{perim } A \leq \text{perim } B.$$

Indeed the closest-point projection  $\mathbb{R}^2 \rightarrow A$  is short and it maps  $\partial B$  onto  $\partial A$ .

It remains to observe that if  $A_n \rightarrow A_\infty$ , then for any  $r > 0$  we have that

$$A_\infty^r \supset A_n \quad \text{and} \quad A_\infty \subset A_n^r$$

for all large  $n$ .

**Exercise 3.4.4.** In order to check that  $|\ast - \ast|_{\mathcal{M}'}$  is a metric, it is sufficient to show that

$$|\mathcal{X} - \mathcal{Y}|_{\mathcal{M}'} = 0 \implies \mathcal{X} \stackrel{iso}{=} \mathcal{Y};$$

the remaining conditions are trivial.

If  $|\mathcal{X} - \mathcal{Y}|_{\mathcal{M}'} = 0$ , then there is a sequence of maps  $f_n: \mathcal{X} \rightarrow \mathcal{Y}$  such that

$$|f_n(x) - f_n(x')|_{\mathcal{Y}} \geq |x - x'|_{\mathcal{X}} - \frac{1}{n}.$$

Choose a countable dense set  $S$  in  $\mathcal{X}$ . Passing to a subsequence of  $f_n$  we can assume that  $f_n(x)$  converges for any  $x \in S$  as  $n \rightarrow \infty$ ; denote its limit by  $f_\infty(x)$ .

For each point  $x \in \mathcal{X}$  choose a sequence  $x_m \in S$  converging to  $x$ . Since  $\mathcal{Y}$  is compact, we can assume in addition that  $y_m = f_\infty(x_m)$  converges in  $\mathcal{Y}$ . Set  $f_\infty(x) = y$ . Note that the map  $f_\infty: \mathcal{X} \rightarrow \mathcal{Y}$  is distance non-decreasing.

The same way we can construct a distance non-decreasing map  $g_\infty: \mathcal{Y} \rightarrow \mathcal{X}$ .

By Exercise 1.4.4, the compositions  $f_\infty \circ g_\infty: \mathcal{Y} \rightarrow \mathcal{Y}$  and  $g_\infty \circ f_\infty: \mathcal{X} \rightarrow \mathcal{X}$  are isometries. Therefore  $f_\infty$  and  $g_\infty$  are isometries as well.

(The proof of the second part is coming.)

**Exercise 3.5.1.** Choose a space  $\mathcal{X}$  in  $\mathcal{Q}(C, D)$ , denote a  $C$ -doubling measure by  $\mu$ . Without loss of generality we may assume that  $\mu(\mathcal{X}) = 1$ .

The doubling condition implies that

$$\mu[B(p, \frac{D}{2^n})] \geq \frac{1}{C^n}$$

for any point  $x \in \mathcal{X}$ . It follows that

$$\text{pack}_{\frac{D}{2^n}} \mathcal{X} \leq C^n.$$

By Exercise 1.4.3, for any  $\varepsilon \geq \frac{D}{2^{n-1}}$ , the space  $\mathcal{X}$  admits an  $\varepsilon$ -net with at most  $C^n$  points. Whence  $\mathcal{Q}(C, D)$  is uniformly totally bounded.

**Exercise 3.5.2.** Since  $\mathcal{Y}$  is compact, it has a finite  $\varepsilon$ -net for any  $\varepsilon > 0$ . For each  $\varepsilon > 0$  choose a finite  $\varepsilon$ -net  $\{y_1, \dots, y_{n_\varepsilon}\}$  in  $\mathcal{Y}$ .

Suppose  $f: \mathcal{X} \rightarrow \mathcal{Y}$  be a distance non-decreasing map. Choose one point  $x_i$  in each nonempty subset  $B_i = f^{-1}[B(y_i, \varepsilon)]$ . Note that the subset  $B_i$  has diameter at most  $2 \cdot \varepsilon$  and

$$\mathcal{X} = \bigcup_i B_i.$$

Therefore the set of points  $\{x_i\}$  forms a  $2 \cdot \varepsilon$  net in  $\mathcal{X}$ . Whence (a) follows.

(b). Let  $\mathcal{Q}$  be a uniformly totally bounded family of spaces. Suppose that each space in  $\mathcal{Q}$  has an  $\frac{1}{2^n}$ -net with at most  $M_n$  points; we may assume that  $M_0 = 1$ .

Consider the space  $\mathcal{Y}$  of all infinite integer sequences  $m_0, m_1, \dots$  such that  $1 \leq m_n \leq M_n$  for any  $n$ . Given two sequences  $(\ell_n)$ , and  $(m_n)$  of points in  $\mathcal{Y}$ , set

$$|(\ell_n) - (m_n)|_{\mathcal{Y}} = \frac{C}{2^n},$$

where  $n$  is minimal index such that  $\ell_n \neq m_n$  and  $C$  is a positive constant.

Observe that  $\mathcal{Y}$  is compact. Indeed it is complete and the sequences constant starting from index  $n$  form a finite  $\frac{C}{2^n}$ -net in  $\mathcal{Y}$ .

Given a space  $\mathcal{X}$  in  $\mathcal{Q}$ , choose a sequence of  $\frac{1}{2^n}$  nets  $N_n \subset \mathcal{X}$  for each natural  $n$ . We can assume that  $|N_n| \leq M_n$ ; let us enumerate the points in  $N_n$  by  $\{1, \dots, M_n\}$ . Consider the map  $f: \mathcal{X} \rightarrow \mathcal{Y}$  defined by  $f: x \rightarrow (m_1(x), m_2(x), \dots)$  where  $m_n(x)$  is a number of the point in  $N_n$  that lies on the distance  $< \frac{1}{2^n}$  from  $x$ .

If  $\frac{1}{2^{n-2}} \geq |x - x'|_{\mathcal{X}} > \frac{1}{2^{n-1}}$ , then  $m_n(x) \neq m_n(x')$ . It follows that  $|f(x) - f(x')|_{\mathcal{Y}} \geq \frac{C}{2^n}$ . In particular, if  $C > 10$ , then

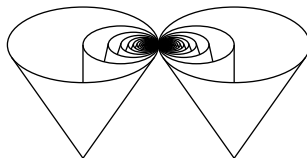
$$|f(x) - f(x')|_{\mathcal{Y}} \geq |x - x'|_{\mathcal{X}}$$

for any  $x, x' \in \mathcal{X}$ . That is,  $f$  is a distance non-decreasing map  $\mathcal{X} \rightarrow \mathcal{Y}$ .

**Exercise 3.6.3, (b).** Suppose  $\mathcal{X}_n \xrightarrow{\text{GH}} \mathcal{X}$  and  $\mathcal{X}_n$  are simply connected length metric space. It is sufficient to show that any nontrivial covering map  $f: \tilde{\mathcal{X}} \rightarrow \mathcal{X}$  corresponds to a nontrivial covering map  $f_n: \tilde{\mathcal{X}}_n \rightarrow \mathcal{X}_n$  for large  $n$ .

The latter can be constructed by covering  $\mathcal{X}_n$  by small balls that lie close to sets in  $\mathcal{X}$  evenly covered by  $f$ , prepare few copies of these sets and glue them the same way as the inverse images of the evenly covered sets in  $\mathcal{X}$  glued to obtain  $\tilde{\mathcal{X}}$ .

(b). Let  $\mathcal{V}$  be a cone over Hawaiian earring. Consider the *doubled cone*  $\mathcal{W}$  — two copies of  $\mathcal{V}$  with glued base points earrings (see the diagram).



The space  $\mathcal{W}$  can be equipped with length metric for example the induced length metric from the shown embedding.

Note that  $\mathcal{V}$  is simply connected, but  $\mathcal{W}$  is not — it is a good exercise in topology.

If we delete from the earrings all small circles, then the obtained double cone becomes simply connected and it remains to be close to  $\mathcal{W}$  in the sense of Gromov–Hausdorff.

*Comment.* Note that from part (b), the limit does not admit a non-trivial covering. So if we define fundamental group right — as the inverse image of groups of deck transformations for all its coverings, then one may say that Gromov–Hausdorff limit of simply connected length spaces is simply connected.

**Exercise 3.6.4, (a).** Suppose that a metric on  $\mathbb{S}^2$  is close to the disc  $\mathbb{D}^2$ . Note that  $\mathbb{S}^2$  contains a circle  $\gamma$  that is close to the boundary curve of  $\mathbb{D}^2$ . By Jordan curve theorem,  $\gamma$  divides  $\mathbb{S}^2$  into two discs, say  $D_1$  and  $D_2$ .

By 3.6.3b, the Gromov–Hausdorff limit of  $D_1$  and  $D_2$  have to contain whole  $\mathbb{D}^2$ , otherwise the limit would admit a nontrivial covering. Consider points  $p_1 \in D_1$  and  $p_2 \in D_2$  that are close to the center of  $\mathbb{D}^2$ . On one hand the distance  $|p_1 - p_2|_n$  have to be very small. On the other hand, any curve from  $p_1$  to  $p_2$  must cross  $\gamma$ , so it has length about 2 at least — a contradiction.

(b). Make fine burrows in the standard 3-ball without changing its topology, but at the same time come sufficiently close to any point in the ball.

Consider the *doubling* of the obtained ball along its boundary; that is, two copies of the ball with identified corresponding points on their boundaries. The obtained space is homeomorphic to  $\mathbb{S}^3$ . Note that the burrows can be made so that the obtained space is sufficiently close to the original ball in the Gromov–Hausdorff metric.  $\square$

Source: [6, Exercises 7.5.13 and 7.5.17].

**Exercise 4.4.1.** Part (a) follows directly from the definitions. Further we consider  $\mathcal{X}$  as a subset of  $\mathcal{X}^\omega$ .

(b). Suppose  $\mathcal{X}$  compact. Given a sequence  $(x_n)$  in  $\mathcal{X}$ , denote its  $\omega$ -limit in  $\mathcal{X}^\omega$  by  $x^\omega$  and its  $\omega$ -limit in  $\mathcal{X}$  by  $x_\omega$ .

Observe that  $x^\omega = \iota(x_\omega)$ . Therefore  $\iota$  is onto.

If  $\mathcal{X}$  is not compact, we can choose a sequence  $(x_n)$  such that  $|x_m - x_n| > \varepsilon$  for fixed  $\varepsilon > 0$  and  $m \neq n$ . Observe that

$$\lim_{n \rightarrow \omega} |x_n - y|_{\mathcal{X}} \geq \frac{\varepsilon}{2}$$

for any  $y \in \mathcal{X}$ . It follows that  $x_\omega$  lies on the distance at least  $\frac{\varepsilon}{2}$  from  $\mathcal{X}$ .

(c). A sequence of points  $(x_n)$  in  $\mathcal{X}$  will be called  $\omega$ -bounded if there is a real constant  $C$  such that

$$|p - x_n|_{\mathcal{X}} \leq C$$

for  $\omega$ -almost all  $n$ .

The same argument as in (b) shows that any  $\omega$ -bounded sequence has its  $\omega$ -limit in  $\mathcal{X}$ . Further if  $(x_n)$  is not  $\omega$ -bounded, then

$$\lim_{n \rightarrow \omega} |p - x_n|_{\mathcal{X}} = \infty;$$

that is  $x_\omega$  does not lie in the metric component of  $p$  in  $\mathcal{X}^\omega$ .

**Exercise 4.3.3.** Observe that if a path  $\gamma$  in a metric tree from  $p$  to  $q$  pass thru a point  $x$  on distance  $\ell$  from  $[pq]$ , then

$$\textbf{1} \quad \text{length } \gamma \geq |p - q| + 2 \cdot \ell.$$

Suppose that  $\mathcal{T}_n$  is a sequence of metric trees that  $\omega$ -converges to  $\mathcal{T}_\omega$ . By 4.3.2, the space  $\mathcal{T}_\omega$ .

The uniqueness will follow from **1**. Indeed, if for a geodesic  $[p_\omega q_\omega]$  there is another geodesic  $\gamma_\omega$  connecting its ends, then it have to pass thru a point  $x_\omega \notin [p_\omega q_\omega]$ . Choose a sequences  $p_n, q_n, x_n \in \mathcal{T}_n$  such that  $p_n \rightarrow p_\omega$ ,  $q_n \rightarrow q_\omega$ ,  $x_n \rightarrow x_\omega$  and  $n \rightarrow \omega$ . Then

$$\begin{aligned} |p_\omega - q_\omega| &= \text{length } \gamma \geq \lim_{n \rightarrow \omega} (|p_n - x_n| + |q_n - x_n|) \geq \\ &\geq \lim_{n \rightarrow \omega} (|p_n - q_n| + 2\ell_n) = \\ &|p_\omega - q_\omega| + 2 \cdot \ell_\omega. \end{aligned}$$

Since  $x_\omega \notin [p_\omega q_\omega]$ , we have that  $\ell_\omega > 0$  — a contradiction.

To prove the last property consider sequence of centers of tripods  $m_n$  for points  $x_n, y_n, z_n \in \mathcal{T}_n$  and observe that its ultralimit  $m_\omega$  is a the ceter of tripod with ends at  $x_\omega, y_\omega, z_\omega \in \mathcal{T}_\omega$ .

**Exercise 4.5.1.** Coming soon.

**Exercise 5.2.2.** Construct a separable space that has infinite number of geodesics between a pair of points, say a square with  $\ell^\infty$ -metric in  $\mathbb{R}^2$  and apply universality of Urysohn space (5.2.1).

**Exercise 5.2.5.** It is sufficient to show that any compact subspace  $\mathcal{K}$  of Urysohn space can be contracted to a point.

Note that any compact space  $\mathcal{K}$  can be extended to a contractible compact space  $\mathcal{K}'$ ; for example we may embed  $\mathcal{K}$  into  $\ell^\infty$  and pass to its convex hull, as it was done in 1.8.3.

By 5.3.3, there is an isometric embedding of  $\mathcal{K}'$  that agrees with inclusion of  $\mathcal{K}$ . Since  $\mathcal{K}$  is contractible in  $\mathcal{K}'$ , it is contractible in  $\mathcal{U}$ .

In fact one can contract whole Urysohn space using the following construction.

Note that points in the space  $\mathcal{X}_\infty$  constructed in the proof of 5.1.2 can be multiplied by number  $t \in [0, 1]$  — simply multiply each function by factor  $t$ . That defines a map

$$\lambda_t: \mathcal{X}_\infty \rightarrow \mathcal{X}_\infty$$

that scales all distances by factor  $t$ . The map  $\lambda_t$  can be extended to the completion of  $\mathcal{X}_\infty$ , which is isometric to  $\mathcal{U}_d$  (or  $\mathcal{U}$ ).

Observe that the map  $\lambda_1$  is the identity and  $\lambda_0$  maps whole space to a single point, say  $x_0$  — that is the only point of  $\mathcal{X}_0$ . Further note that the map  $(t, p) \mapsto \lambda_t(p)$  is continuous — in particular  $\mathcal{U}_d$  and  $\mathcal{U}$  are contractible.

As a bonus, observe that for any point  $p \in \mathcal{U}_d$  the curve  $t \mapsto \lambda_t(p)$  is a geodesic path from  $p$  to  $x_0$ .

Source: [11, (d) on page 82].

**Exercise 5.3.2.** Observe that  $S$  is an  $d$ -Urysohn space and apply uniqueness (5.3.1).

**Exercise 5.2.3.** The following claim is a key to the proof.

**A.0.1. Claim.** *Suppose  $f: K \rightarrow \mathbb{R}$  is an extension function defined on a compact subset  $K$  of the Urysohn space  $\mathcal{U}$ . Then there is a point  $p \in \mathcal{U}$  such that  $|p - x| = f(x)$  for any  $x \in K$ .*

*Proof.* Without loss of generality we may assume that  $f(x) > 0$  for any  $x \in K$ . Since  $K$  is compact, we may fix  $\varepsilon > 0$  such that  $f(x) > \varepsilon$ .

Consider the sequence  $\varepsilon_n = \frac{\varepsilon}{100 \cdot 2^n}$ . Choose a sequence of  $\varepsilon_n$ -nets  $N_n \subset K$ . Applying universality of  $\mathcal{U}$  recursively, we may choose a point  $p_n$  such that  $|p_n - x| = f(x)$  for any  $x \in N_n$  and  $|p_n - p_{n-1}| = 10 \cdot \varepsilon_{n-1}$ . Observe that the sequence  $(p_n)$  is Cauchy and its limit  $p$  meets  $|p - x| = f(x)$  for any  $x \in K$ .  $\square$

Choose a sequence of points  $(x_n)$  in  $\mathcal{S}$ . Applying the claim, we may extend the map from  $K$  to  $K \cup \{x_1\}$ , and further to  $K \cup \{x_1, x_2\}$ , and so on. As a result we extend the distance-preserving map  $f$  to whole sequence  $(x_n)$ . It remains to extend it continuously to whole space  $\mathcal{S}$ .

**Exercise 6.1.2.** If  $c < 0$  then  $r > s$ . The latter is impossible since  $r$  is extremal and  $s$  is admissible.

Observe that the function  $\bar{r} = \min\{r, s + c\}$  is admissible. Indeed if  $\bar{r}(x) = r(x)$  and  $\bar{r}(y) = r(y)$  then

$$\bar{r}(x) + \bar{r}(y) = r(x) + r(y) \geq |x - y|.$$

Further if  $\bar{r}(x) = s(x) + c$  then

$$\begin{aligned} \bar{r}(x) + \bar{r}(y) &\geq [s(x) + c] + [s(y) - c] = \\ &= s(x) + s(y) \geq \\ &\geq |x - y|. \end{aligned}$$

Since  $r$  is extremal, we have  $r = \bar{r}$ ; that is  $r \leq s + c$ .

**Exercise 6.2.4.** Choose three points  $x, y, z \in \mathcal{X}$  and set  $\mathcal{A} = \{x, z\}$ . Let  $f: \mathcal{A} \rightarrow \mathcal{Y}$  be an isometry. Then  $F(y) = f(x)$  or  $F(y) = f(z)$ . If  $f(y) = f(x)$ , then

$$\begin{aligned} |y - z|_{\mathcal{X}} &\geq |F(y) - f(z)|_{\mathcal{Y}} = \\ &= |x - z|_{\mathcal{X}}. \end{aligned}$$

Analogously if  $f(y) = f(z)$ , then  $|x - y|_{\mathcal{X}} \geq |x - z|_{\mathcal{X}}$ .

It remains to observe that the strong triangle inequality holds in both cases.

**Exercise 6.2.6.** Suppose a short map  $f: A \rightarrow \mathcal{Y}$  is defined on a subset of a metric space  $\mathcal{X}$ . We need to construct a short extension  $F$  of  $f$ .

(a). In this case  $\mathcal{Y} = \mathbb{R}$ . Without loss of generality, we may assume that  $A \neq \emptyset$ , otherwise map whole  $\mathcal{X}$  to a single point. Set

$$F(x) = \inf \{ f(a) - |a - x| : a \in A \}.$$

Observe that  $F$  is short and  $F(a) = f(a)$  for any  $a \in A$ .

(b). In this case  $\mathcal{Y}$  is a complete metric tree. Fix a point  $p \in \mathcal{X}$  and  $q \in \mathcal{Y}$ . Given a point  $a \in A$ , let  $x_a \in \overline{\mathcal{B}}[f(a), |a - p|]$  be the point closest to  $f(x)$ . Note that  $x_a \in [q, f(a)]$  and either  $x_a = q$  or  $x_a$  lies on distance  $|a - p|$  from  $f(a)$ .



Note that the geodesics  $[qx_a]$  are nested; that is, for any  $a, b \in A$  we have either  $[qx_a] \subset [qx_b]$  or  $[qx_b] \subset [qx_a]$ . Moreover, in the first case we have  $|x_b - f(a)| \leq |p - a|$  and in the second  $|x_a - f(b)| \leq |p - b|$ .

It follows that the closure of the union of all geodesics  $[qx_a]$  for  $a \in \mathcal{A}$  is a geodesic. Denote by  $x$  its end (it exists since  $\mathcal{Y}$  is complete). It remains to observe that  $|x - f(a)| \leq |p - a|$  for any  $a \in \mathcal{A}$ ; that is, one can take  $f(p) = x$ .

(c). In this case  $\mathcal{Y} = (\mathbb{R}^2, \ell^\infty)$ . Note that the map  $\mathcal{X} \rightarrow (\mathbb{R}^2, \ell^\infty)$  is short if and only if both of its coordinate projections are short. It remains to apply (a).

**Exercise 6.3.1a.** Let  $f$  be an extremal function. Observe that at least two of the numbers  $f(a) + f(b)$ ,  $f(b) + f(c)$ , and  $f(c) + f(a)$  are 1. It follows that for some  $x \in [0, \frac{1}{2}]$ , we have

$$f(a) = 1 \pm x, \quad f(b) = 1 \pm x, \quad f(c) = 1 \pm x,$$

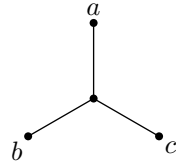
where we have one “−” and two “+” in these three formulas.

Suppose that

$$g(a) = 1 \pm y, \quad g(b) = 1 \pm y, \quad g(c) = 1 \pm y$$

is another extremal function. Then  $|f - g| = |x - y|$  if  $g$  has “−” at the same place as  $f$  and  $|f - g| = |x + y|$  otherwise.

It follows that  $\text{Inj } \mathcal{X}$  is isometric to a tripod — that is,  $\text{Inj } \mathcal{X}$  can be made from three segments of length  $\frac{1}{2}$  and by gluing them at one end.



**Exercise 6.3.4.** Recall that

$$|f - g|_{\text{Inj } \mathcal{X}} = \sup \{ |f(x) - g(x)| : x \in \mathcal{X} \}$$

and

$$|f - p|_{\text{Inj } \mathcal{X}} = f(p)$$

for any  $f, g \in \text{Inj } \mathcal{X}$  and  $p \in \mathcal{X}$ .

Since  $\mathcal{X}$  is compact we can find a point  $p \in \mathcal{X}$  such that

$$|f - g|_{\text{Inj } \mathcal{X}} = |f(p) - g(p)| = \left| |f - p|_{\text{Inj } \mathcal{X}} - |g - p|_{\text{Inj } \mathcal{X}} \right|.$$

Without loss of generality we may assume that

$$|f - p|_{\text{Inj } \mathcal{X}} = |g - p|_{\text{Inj } \mathcal{X}} + |f - g|_{\text{Inj } \mathcal{X}}.$$

Applying 6.3.3, we can find a point  $q \in \mathcal{X}$  such that

$$|q - p|_{\text{Inj } \mathcal{X}} = |f - p|_{\text{Inj } \mathcal{X}} + |f - q|_{\text{Inj } \mathcal{X}},$$

whence the result.



# Appendix B

## Midterm

Th, Feb 27 in class.

One theoretical questions from the following list:

1. Semicontinuity of length.
2. Length spaces and Hopf–Rinow theorem.
3. Fréchet lemma and Kuratowski embedding.
4. Hausdorff convergence and Blaschke selection theorem.
5. Gromov–Hausdorff metric, why it is a metric, almost isometries.
6. Uniformly totally bonded families and Gromov selection theorem.
7. Ultralimits and ultrapower of spaces.
8. Urysohn space.
9. Injective spaces and injective envelop.

One exercise from the following list:

1.3.1, 1.4.4, 1.7.3, 1.8.3,  
2.1.8,  
3.5.1, 3.5.2, 3.6.3, 3.6.4,  
4.4.1, 4.4.3, 4.3.3,  
5.2.2, 5.3.2, 5.2.3,  
6.1.2, 6.2.4, 6.2.6, 6.3.1, 6.3.4.

One more problem for a perfect score.



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