

# Introduction to topology

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# Lecture 1

## Metric spaces

In this chapter we discuss *metric spaces* — a motivating example that will guide us toward the definition of *topological spaces* — the main object of topology.

Examples of metric spaces were considered for thousands of years, but the first general definition was given only in 1906 by Maurice Fréchet.

### A Definition

In the following definition we grab together the most important properties of intuitive notion of *distance*.

**1.1. Definition.** *Let  $\mathcal{X}$  be a nonempty set with a function which returns a real number, denoted as  $|x - y|$ , for any pair  $x, y \in \mathcal{X}$ . Assume that the following conditions are satisfied for any  $x, y, z \in \mathcal{X}$ :*

(a) *Positiveness:*

$$|x - y| \geq 0.$$

(b) *Identity of indiscernibles:*

$$x = y \quad \text{if and only if} \quad |x - y| = 0.$$

(c) *Symmetry:*

$$|x - y| = |y - x|.$$

(d) *Triangle inequality:*

$$|x - y| + |y - z| \geq |x - z|.$$

In this case we say that  $\mathcal{X}$  is a metric space and the function

$$(x, y) \mapsto |x - y|$$

is called a metric.

The elements of  $\mathcal{X}$  are called points of the metric space. Given two points  $x, y \in \mathcal{X}$ , the value  $|x - y|$  is called distance from  $x$  to  $y$ .

Note that for two points in a metric space the difference between points  $x - y$  may have no meaning, but  $|x - y|$  always has the meaning defined above.

Typically, we consider only one metric on set, but if few metrics are needed, we can distinguish them by a index, say  $|x - y|_\bullet$  or  $|x - y|_{239}$ . If we need to emphasize that the distance is taken in the metric space  $\mathcal{X}$  we write  $|x - y|_{\mathcal{X}}$  instead of  $|x - y|$ .

## B Examples

Let us give few examples of metric spaces.

- *Discrete sapce.* Let  $\mathcal{X}$  be an arbitrary set. For any  $x, y \in \mathcal{X}$ , set  $|x - y| = 0$  if  $x = y$  and  $|x - y| = 1$  otherwise. This metric is called *discrete metric* on  $\mathcal{X}$  and the obtained metric space is called *discrete*.
- *Real line.* Set of all real numbers ( $\mathbb{R}$ ) with metric defined as  $|x - y|$ .
- *Metrics on the plane.* Let us denote by  $\mathbb{R}^2$  the set of all pairs  $(x_1, y_2)$  of real numbers. Consider two points  $p_1 = (x_1, y_2)$  and  $p_2 = (x_2, y_2)$  in  $\mathbb{R}^2$ . One can equip  $\mathbb{R}^2$  with the following metrics:

- *Euclidean metric*, denoted by

$$|p_1 - p_2|_2 = \sqrt{(x_1 - x_2)^2 + (y_2 - y_2)^2}.$$

- *Manhattan metric*, denoted by  $|\ast - \ast|_1$  and defined as

$$|p_1 - p_2|_1 = |x_1 - x_2| + |y_2 - y_2|.$$

- *Maximum metric*, denoted by  $|\ast - \ast|_\infty$  and defined as

$$|p_1 - p_2|_\infty = \max\{|x_1 - x_2|, |y_2 - y_2|\}.$$

**1.2. Exercise.** Prove that (a)  $|\ast - \ast|_2$ ; (b)  $|\ast - \ast|_1$  and (c)  $|\ast - \ast|_\infty$  are metrics on  $\mathbb{R}^2$ .

**1.3. Exercise.** Show that

$$|x - y|_{\natural} = (x - y)^2$$

is not a metric on  $\mathbb{R}$ .

**1.4. Exercise.** Show that if  $(x, y) \mapsto |x - y|$  is a metric then so is

$$(x, y) \mapsto |x - y|_{\max} = \max\{1, |x - y|\}.$$

## C Continuous maps

Recall that a real-to-real function  $f$  is called *continuous* if for any  $x \in \mathbb{R}$  and any  $\varepsilon > 0$  there exists  $\delta > 0$  such that  $|f(x) - f(y)| < \varepsilon$ , whenever  $|x - y| < \delta$ .

This definition can be used for the functions defined on Euclidean space if  $|x - y|$  denotes the euclidean distance  $|x - y|_2$  between the points  $x$  and  $y$ . It admits the following straightforward generalization to *metric spaces*:

**1.5. Definition.** A function  $f: \mathcal{X} \rightarrow \mathcal{Y}$  between metric spaces is called continuous if for any  $x \in \mathcal{X}$  and any  $\varepsilon > 0$  there exists  $\delta > 0$  such that  $|f(x) - f(y)|_{\mathcal{Y}} < \varepsilon$ , for any  $y \in \mathcal{X}$  such that  $|x - y|_{\mathcal{X}} < \delta$ .

**1.6. Exercise.** Let  $\mathcal{X}$  be a metric space and  $z \in \mathcal{X}$  be a fixed point. Show that the function

$$f(x) \stackrel{\text{def}}{=} |x - z|$$

is continuous.

**1.7. Exercise.** Let  $\mathcal{X}$ ,  $\mathcal{Y}$  and  $\mathcal{Z}$  be a metric spaces. Assume that the functions  $f: \mathcal{X} \rightarrow \mathcal{Y}$  and  $g: \mathcal{Y} \rightarrow \mathcal{Z}$  are continuous, and

$$h = g \circ f: \mathcal{X} \rightarrow \mathcal{Z}$$

is its composition; that is,  $h(x) = g(f(x))$  for any  $x \in \mathcal{X}$ . Show that  $h: \mathcal{X} \rightarrow \mathcal{Z}$  is continuous at any point.

**1.8. Exercise.** Show that any distance-preserving map is continuous.

More precisely, assume that  $f: \mathcal{X} \rightarrow \mathcal{Y}$  is a map between metric space such that

$$|x - x'|_{\mathcal{X}} = |f(x) - f(x')|_{\mathcal{Y}}$$

for any  $x, x' \in \mathcal{X}$  then  $f$  is continuous.

**1.9. Exercise.** Let  $\mathcal{X}$  be a discrete metric space (defined on page 6) and  $\mathcal{Y}$  be arbitrary metric space. Show that for any function  $f: \mathcal{X} \rightarrow \mathcal{Y}$  is continuous.

## D Balls

Let  $\mathcal{X}$  be a metric space,  $x$  is a point in  $\mathcal{X}$  and  $r$  is a positive real number. The set of points in  $\mathcal{X}$  which lies on the distance smaller than  $r$  is called *ball of radius  $r$  centered at  $x$* . It is denoted as  $B(x, r)$  or  $B(x, r)_{\mathcal{X}}$  if we need to emphasize that it is taken in the space  $\mathcal{X}$ .

The ball  $B(x, r)$  is also called  $r$ -neighborhood of  $x$ .

**1.10. Exercise.** Sketch the unit balls for the metrics  $|\ast - \ast|_1$ ,  $|\ast - \ast|_2$  and  $|\ast - \ast|_{\infty}$  defined right before Exercise 1.2.

**1.11. Exercise.** Assume  $B(x, r)$  and  $B(y, R)$  is a pair of balls in a metric space and  $B(x, r) \subsetneq B(y, R)$ . Show that  $r < 2 \cdot R$ .

Give an example of a metric space and a pair of balls as above such that  $r > R$ .

Let us reformulate the definition of continuous map (1.5) using the introduced notion of ball.

**1.12. Definition.** A function  $f: \mathcal{X} \rightarrow \mathcal{Y}$  between metric spaces is called continuous if for any  $x \in \mathcal{X}$  and any  $\varepsilon > 0$  there exists  $\delta > 0$  such that

$$f(B(x, \delta)_{\mathcal{X}}) \subset B(f(x), \varepsilon)_{\mathcal{Y}}.$$

**1.13. Exercise.** Prove the equivalence of definitions 1.5 and 1.12.

## E Open sets

**1.14. Definition.** A subset  $V$  in a metric space  $\mathcal{X}$  is called open if for any  $x \in V$  there is  $\varepsilon > 0$  such that  $B(x, \varepsilon) \subset V$ .

In other words,  $V$  is open if, together with each point,  $V$  contains its  $\varepsilon$ -neighborhood for some  $\varepsilon > 0$ . For example, any set in a discrete



metric space is open since together with any point it contains its 1-neighborhood. Further the set of positive real numbers

$$(0, \infty) = \{ x \in \mathbb{R} \mid x > 0 \}$$

is open since together with each point  $x > 0$  it contains its  $x$ -neighborhood. On the other hand, the set of nonnegative reals

$$[0, \infty) = \{ x \in \mathbb{R} \mid x \geq 0 \}$$

is not open since there are negative numbers in any neighborhood of 0.

**1.15. Exercise.** *Show that any ball in a metric space is open.*

**1.16. Exercise.** *Show that any open set in a metric space is a union of balls.*

**1.17. Exercise.** *Show that union of arbitrary collection of open sets is open.*

**1.18. Exercise.** *Show that intersection of two open sets is open.*

**1.19. Exercise.** *Give an example of metric space  $\mathcal{X}$  and an infinite sequence of open sets  $V_1, V_2 \dots$  such that the intesection*

$$\bigcap_n V_n$$

*is not open.*

**1.20. Exercise.** *Show that the metrics  $|\ast - \ast|_1$ ,  $|\ast - \ast|_2$  and  $|\ast - \ast|_\infty$  (defined on page 6) give rise to the same open sets in  $\mathbb{R}^2$ . That is, if  $V \subset \mathbb{R}^2$  is open for one of these metrics then it is open for the others.*

## F Gateway to topology

The following result is the main gateway to topology. It says that continuous maps can be defined entirely in terms of open sets.

**1.21. Proposition.** *A function  $f: \mathcal{X} \rightarrow \mathcal{Y}$  between two metric spaces is continuous if and only if for any open set  $W \subset \mathcal{Y}$  its inverse images*

$$f^{-1}(W) = \{ x \in \mathcal{X} \mid f(x) \in W \}$$

*is open.*

Note that proposition says nothing about the images of open sets. In fact, before going into proof it would be useful to solve the following exercise.

**1.22. Exercise.** *Give an example of a continuous  $f: \mathbb{R} \rightarrow \mathbb{R}$  and an open set  $V \subset \mathbb{R}$  such that the image  $f(V) \subset \mathbb{R}$  is not open.*

The formulation of proposition contains “if and only if” and the proof brakes into two parts “if”-part and “only if”-part.

*Proof; “only-if” part.* Let  $W \subset \mathcal{Y}$  be an open set and  $V = f^{-1}(W)$ . Choose  $x \in V$ ; note that so  $f(x) \in W$ .

Since  $W$  is open,

$$\textcircled{1} \quad B(f(x), \varepsilon)_{\mathcal{Y}} \subset W$$

for some  $\varepsilon > 0$ .

Since  $f$  is continuous, by Definition 1.12, there is  $\delta > 0$  such that

$$f(B(x, \delta)_{\mathcal{X}}) \subset B(f(x), \varepsilon)_{\mathcal{Y}}.$$

It follows that together with any point  $x \in V$ , the set  $V$  contains  $B(x, \delta)$ ; that is,  $V$  is open.

*“If” part.* Fix  $x \in \mathcal{X}$  and  $\varepsilon > 0$ . According to Exercise 1.15,

$$W = B(f(x), \varepsilon)_{\mathcal{Y}}$$

is an open set in  $\mathcal{Y}$ . Therefore its inverse image  $f^{-1}(W)$  is open.

Clearly  $x \in f^{-1}(W)$ . By the definition of open set (1.14)

$$B(x, \delta)_{\mathcal{X}} \subset f^{-1}(W)$$

for some  $\delta > 0$ . Or equivalently

$$f(B(x, \delta)_{\mathcal{X}}) \subset W = B(f(x), \varepsilon)_{\mathcal{Y}}.$$

Hence the “if”-part follows. □

## G Limits

**1.23. Definition.** *Let  $(x_n) = x_1, x_2, \dots$  be a sequence of points in a metric space  $\mathcal{X}$ . We say the sequence  $x_n$  converges to a point  $x \in \mathcal{X}$  if*

$$|x - x_n|_{\mathcal{X}} \rightarrow 0 \quad \text{as } n \rightarrow \infty.$$

In this case we say that the sequence  $(x_n)$  is a converging sequence and  $x$  is its limit; the latter will be written as

$$x = \lim_{n \rightarrow \infty} x_n$$

Note that we defined the convergence of points in a metric space using the convergence of real numbers  $d_n = |x - x_n|_{\mathcal{X}}$ , which we assume to be known.

**1.24. Exercise.** Let  $f: \mathcal{X} \rightarrow \mathcal{Y}$  be a function between metric spaces. Show that  $f$  is continuous if and only if for any converging sequence  $(x_n)$  in  $\mathcal{X}$  the sequence  $y_n = f(x_n)$  is converging in  $\mathcal{Y}$  and

$$f(x_\infty) = y_\infty,$$

if  $x_n \rightarrow x_\infty$  and  $y_n \rightarrow y_\infty$  as  $n \rightarrow \infty$ .

## H Closed sets

Let  $A$  be a set in a metric space  $\mathcal{X}$ . A point  $x \in \mathcal{X}$  is called *limit point* of  $A$  if there is a sequence  $x_n \in A$  such that  $x_n \rightarrow x$  as  $n \rightarrow \infty$ .

The set of all limit points of  $A$  is called *closure* of  $A$  and denoted as  $\bar{A}$ . Note that  $\bar{A} \supset A$ ; indeed, any point  $x \in A$  is a limit point of the constant sequence  $x_n = x$ .

If  $\bar{A} = A$  then the set is called *closed*.

**1.25. Exercise.** Show that closure of any set in metric space is a closed set; that is  $\bar{\bar{A}} = \bar{A}$ .

**1.26. Exercise.** Show that a subset  $A$  in a metric space  $\mathcal{X}$  is closed if and only if its complement  $\mathcal{X} \setminus A$  is open.