

# Normal curvatures of torii

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## Abstract

We give an optimal lower bound on principle curvatures of immersed  $n$ -torus in a Euclidean ball of large dimension.

## 1 Gauss formula

Let  $L$  be a smooth  $n$ -dimensional submanifold in a Riemannian manifold  $M$ . Let us denote by  $T_p L$  and  $N_p L$  the tangent and normal spaces of  $L$  at  $p$ .

Recall that *second fundamental form*  $\mathbb{I}$  at  $p$  is a symmetric quadratic form on  $T_p L$  with values in  $N_p L$ . It is uniquely defined by the following identity

$$\mathbb{I}(v, v) := \gamma_v''(0),$$

where  $v \in T_p L$  and  $\gamma_v$  an  $L$ -geodesic that starts at  $p$  with initial velocity vector  $v$ .

Given  $p \in L$ , denote by  $\text{Sc}(p)$ ,  $H(p)$ , and  $\mathcal{K}(p)$  the scalar curvature, the mean curvature vector, and the average value of  $|\mathbb{I}(u, u)|^2$  at  $p$  respectively.

Let us denote by  $\widetilde{\text{Sc}}$  the *outer scalar curvature* of  $L$  in  $M$ ; that is, if  $e_1, \dots, e_n$  is an orthonormal basis of  $T_p L$ , then

$$\widetilde{\text{Sc}}(p) = 2 \cdot \sum_{i < j} K_{ij},$$

where  $K_{ij}$  denotes sectional curvature of  $M$  in the direction spanned by  $e_i$  and  $e_j$ .

The following formula is closely related to [5, 5.B].

**1.1. Formula.** *The following identity*

$$\text{Sc} - \widetilde{\text{Sc}} = \frac{3}{2} \cdot |H|^2 - \frac{n \cdot (n+2)}{2} \cdot \mathcal{K}$$

*holds for any smooth  $n$ -dimensional submanifold  $L$  in a Riemannian manifold.*

*Proof.* Without loss of generality, we can assume that the ambient manifold is flat; in particular  $\widetilde{\text{Sc}} = 0$ .

Assume  $\text{codim } L = 1$ . Choose  $p \in L$ ; denote by  $k_1, \dots, k_n$  the principal curvatures of  $L$  at  $p$ . Note that

$$|H|^2 = \sum_i k_i^2 + 2 \cdot \sum_{i < j} k_i \cdot k_j.$$

Further,

$$n \cdot (n+2) \cdot \mathcal{K} = 3 \cdot \sum_i k_i^2 + 2 \cdot \sum_{i < j} k_i \cdot k_j.$$

The last identity follows since  $\mathcal{K}$  is the average value of  $(\sum_i k_i \cdot x_i^2)^2$  on the unit sphere  $\mathbb{S}^{n-1} \subset \mathbb{R}^n$ . One has to take into account that the following functions have unit average values:  $\frac{1}{3} \cdot n \cdot (n+2) \cdot x_i^4$  and  $n \cdot (n+2) \cdot x_i^2 \cdot x_j^2$  for  $i \neq j$ . Here we assume that  $(x_1, \dots, x_n)$  are the standard coordinates in  $\mathbb{R}^n$ .

By Gauss formula,

$$\text{Sc} = 2 \cdot \sum_{i < j} k_i \cdot k_j.$$

It remains to rewrite this formula using the expressions for  $|H|^2$  and  $\mathcal{K}$ .

If  $\text{codim } L = k > 1$ , then the second fundamental form can be presented as a direct sum of  $k$  real-valued quadratic forms  $\mathbb{I}_1 \oplus \dots \oplus \mathbb{I}_k$ ; that is,

$$\mathbb{I} = e_1 \cdot \mathbb{I}_1 + \dots + e_k \cdot \mathbb{I}_k,$$

where  $e_1, \dots, e_k$  is an orthonormal basis of  $N_p L$ . Denote by  $\text{Sc}_i$ ,  $H_i$ , and  $\mathcal{K}_i$  the values associated with  $\mathbb{I}_i$ . From above, we get

$$\text{Sc}_i = \frac{3}{2} \cdot |H_i|^2 - \frac{n \cdot (n+2)}{2} \cdot \mathcal{K}_i$$

for each  $i$ .

Note that

$$\begin{aligned} \text{Sc} &= \text{Sc}_1 + \dots + \text{Sc}_k, \\ |H^2| &= |H_1|^2 + \dots + |H_k|^2, \\ \mathcal{K} &= \mathcal{K}_1 + \dots + \mathcal{K}_k. \end{aligned}$$

Hence the general case follows.  $\square$

## 2 Embeddings into sphere

The obtained formula shows that some results in [3–5] are exact. In this and the following section, we will list of some of them.

Let us denote by  $\mathbb{T}^n$  the  $n$ -dimensional torus — the smooth manifold diffeomorphic to the product of  $n$  circles. The next statement follows from the formula since any Riemannian metric on the torus has nonpositive scalar curvature at some point.

**2.1. Theorem.** *Suppose  $\iota: \mathbb{T}^n \hookrightarrow \mathbb{S}^q$  is a smooth immersion. Then*

$$\mathcal{K}(p) \geq 2 \cdot \frac{n-1}{n+2}$$

*at some point  $p \in \mathbb{T}^n$ .*

*In particular, there is a tangent direction of  $\mathbb{T}^n$  with normal curvature at least*

$$\kappa_n = \sqrt{2 \cdot \frac{n-1}{n+2}}.$$

It was shown [5] that there is an isometric embedding of the torus  $\mathbb{T}^n$  with a flat metric that has normal curvature  $\kappa_n$  in any direction at any point. In particular, the above bound on normal curvature is optimal. The compression lemma [4], implies that *any closed smooth manifold is diffeomorphic to a submanifold with normal curvatures at most  $\sqrt{2}$  in the unit sphere of sufficiently*

large dimension. Moreover, the induced Riemannian metric can be chosen to be proportional to any given metric  $g$ . Applying the theorem, we get the following.

**2.2. Corollary.** *The bound  $\sqrt{2}$  is optimal.*

### 3 Embeddings into ball

Let us denote by  $\mathbb{B}^q$  the unit ball in  $q$ -dimensional Euclidean space. The following lemma was essentially proved by István Fáry [2]; see also the survey of Serge Tabachnikov [6].

**3.1. Lemma.** *Let  $\iota: \mathbb{T}^n \looparrowright \mathbb{B}^q$  be a smooth immersion. Then the average value of  $|H|$  is at least  $n$ .*

*Proof.* Consider the function  $u: p \mapsto \frac{1}{2} \cdot |\iota(p)|^2$  on  $\mathbb{T}^n$ . Note that

$$\Delta u = n + \langle H, \iota \rangle.$$

It follows that the average value of  $\langle H, \iota \rangle$  is  $-n$ . Since  $|\iota| \leq 1$ , we get the result.  $\square$

Since  $\text{Sc} = \frac{3}{2} \cdot |H|^2 - \frac{n \cdot (n+2)}{2} \cdot \mathcal{K}$  (see 1.1), the lemma implies the following.

**3.2. Proposition.** *Let  $L$  be a flat closed  $n$ -dimensional manifold that is isometrically immersed in  $\mathbb{B}^q$ . Then the average value of  $\mathcal{K}$  on  $L$  is at least  $3 \cdot \frac{n}{n+2}$ .*

**3.3. Theorem.** *Suppose  $\iota: \mathbb{T}^2 \rightarrow \mathbb{B}^q$  is a smooth immersion. Then the average value of  $\mathcal{K}$  on  $\mathbb{T}^2$  is at least  $\frac{3}{2}$ .*

*Proof.* By 3.1, the average value of  $|H|^2$  is at least 4. Applying the formula and Gauss–Bonnet, we get the result.  $\square$

**3.4. Theorem.** *Let  $\iota: \mathbb{T}^n \looparrowright \mathbb{B}^q$  be a smooth immersion. Then its maximal normal curvature is at least  $\sqrt{3 \cdot \frac{n}{n+2}}$ .*

*Frame of the proof.* The case  $n = 2$  follows from 3.3; so we can assume that  $n \geq 3$ . We will prove that *if the normal curvatures at most 2, then*

$$\textcircled{1} \quad \mathcal{K} \geq 3 \cdot \frac{n}{n+2}$$

*at some point.* Clearly it implies 3.4. The following lemma tells how we are going to use the assumption on normal curvatures. In case  $n \leq 4$ , the proof below implies  $\textcircled{1}$  without this assumption.

**3.5. Lemma.** *Let  $\iota: M \looparrowright \mathbb{B}^q$  be a smooth immersion with normal curvatures at most 2. Given  $p \in M$ , denote by  $\beta(p)$  the angle between  $\iota(p)$  and the normal space at  $\iota(p)$ . Then*

$$|\iota| \leq \cos \beta.$$

*Proof.* Assume the inequality does not hold at  $p$ . Shoot a geodesic in  $M$  that runs from  $p$  at angle  $\beta(p)$  from  $\iota(p)$ . Since this geodesic has curvature at most 2, it will leave  $\mathbb{B}^q$  in time  $\pi$  — a contradiction.  $\square$

Let  $g$  be a Riemannian metric on  $\mathbb{T}^n$ . Suppose  $n \geq 3$ , and  $u: \mathbb{T}^n \rightarrow \mathbb{R}$  is a positive function. Here is the well-known formula for the scalar curvature of the metric  $u^{\frac{4}{n-2}} \cdot g$ :

$$\left( \text{Sc} \cdot u - 4 \cdot \frac{n-1}{n-2} \cdot \Delta u \right) \cdot u^{\frac{n-2}{n+2}};$$

see for example [1, 6.3]. Recall that *any Riemannian metric  $g$  on  $\mathbb{T}^n$  has non-positive scalar curvature at some point*. Hence we get the following.

**3.6. Claim.** *Let  $g$  be a Riemannian metric on  $\mathbb{T}^n$ . Then, for any positive smooth function  $u$  on  $\mathbb{T}^n$ , the function*

$$\text{Sc} \cdot u - 4 \cdot \frac{n-1}{n-2} \cdot \Delta u$$

*returns a nonpositive value at some point.*

This claim plays central role in the following proof.

*Proof of 3.4.* Consider the function  $u: p \mapsto \exp(-\frac{k}{2} \cdot |\iota(p)|^2)$ .

We will apply the following formula

$$\Delta(\varphi \circ f) = \varphi' \cdot \Delta f + \varphi'' \cdot |\nabla f|^2$$

to  $f: p \mapsto \frac{1}{2} \cdot |\iota(p)|^2$  and  $\varphi: x \mapsto \exp(-k \cdot x)$ ; so  $u = \varphi \circ f$ .

Set  $\alpha = \angle(H, \iota)$  and  $\beta$  as in 3.5. Note that

$$\textcircled{2} \quad \beta \leq \alpha \leq \pi - \beta.$$

Observe that

$$\Delta f = n + |H| \cdot |\iota| \cdot \cos \alpha, \quad |\nabla f| = |\iota| \cdot \sin \beta, \quad \varphi' = -k \cdot \varphi, \quad \varphi'' = k^2 \cdot \varphi.$$

Therefore

$$\Delta u = u \cdot [-k \cdot n - k \cdot |H| \cdot |\iota| \cdot \cos \alpha + k^2 \cdot |\iota|^2 \cdot (\sin \beta)^2].$$

By 1.1,

$$\text{Sc} = -\frac{n \cdot (n+2)}{2} \cdot \mathcal{K} + \frac{3}{2} \cdot |H|^2.$$

By 3.6, the function

$$\begin{aligned} \text{Sc} \cdot u - 4 \cdot \frac{n-1}{n-2} \cdot \Delta u &= \\ &= u \cdot \left[ -\frac{n \cdot (n+2)}{2} \cdot \mathcal{K} + \frac{3}{2} \cdot |H|^2 + 4 \cdot \frac{n-1}{n-2} \cdot k \cdot |H| \cdot |\iota| \cdot \cos \alpha - \right. \\ &\quad \left. + 4 \cdot \frac{n-1}{n-2} \cdot (k \cdot n - k^2 \cdot |\iota|^2 \cdot (\sin \beta)^2) \right] \end{aligned}$$

returns a nonpositive value at some point  $p \in \mathbb{T}^n$ .

Choose

$$k = \frac{3}{4} \cdot \frac{n-2}{n-1} \cdot n, \quad \text{so} \quad n = \frac{4}{3} \cdot \frac{n-1}{n-2} \cdot k.$$

At the point  $p$ , we have

$$\begin{aligned} \frac{n \cdot (n+2)}{2} \cdot \mathcal{K} &\geq \frac{3}{2} \cdot (|H| + n \cdot |\iota| \cdot \cos \alpha)^2 - \frac{3}{2} \cdot n^2 \cdot |\iota|^2 \cdot \cos^2 \alpha + \\ &\quad + 3 \cdot n^2 - \frac{9}{4} \cdot \frac{n-2}{n-1} \cdot n^2 \cdot |\iota|^2 \cdot (\sin \beta)^2 \geq \\ &\geq \frac{3}{2} \cdot n^2. \end{aligned}$$

The last inequality follows since  $\cos^2 \beta + \sin^2 \alpha \leq 1$  and  $|\iota|^2 + \sin^2 \beta \leq 1$ ; see  $\textcircled{2}$  and 3.5. Hence  $\textcircled{1}$  follows.  $\square$

## References

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