

A tutorial on the universality and expressiveness of fold

by Graham Hutton




narrated by Anton Trunov & Jesús Domínguez

IMDEA Software Institute
Madrid, Spain

Papers We Love. Mad
Madrid, Spain

I'm a research programmer at IMDEA Software Institute



 anton-trunov  @Anton_A_Trunov  anton-trunov

I'm a PhD student at IMDEA Software Institute

My interests include:

- Type Theory
- Logic
- Concurrency
- Semantics of programming languages

 [jesus-dominguez](#)  [@jeshecdom](#)  [jeshecdom](#)

Why we love the paper

- Highly readable
- Starts with the basics
- Teaches how to calculate functions' *implementations*
- Good overview of the area

Can you see the pattern here?

```
sum :: [Int] -> Int
sum [] = 0
sum (x:xs) = x + (sum xs)
```

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sum [] = 0
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all :: [Bool] -> Bool
all [] = True
all (x:xs) = x && (all xs)
```

Can you see the pattern here?

<code>sum :: [Int] -> Int</code>	<code>length :: [a] -> Int</code>
<code>sum [] = 0</code>	<code>length [] = 0</code>
<code>sum (x:xs) = x + (sum xs)</code>	<code>length (_:xs) = 1 + (length xs)</code>

<code>all :: [Bool] -> Bool</code>
<code>all [] = True</code>
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<code>all :: [Bool] -> Bool</code>	<code>map :: (a -> b) -> [a] -> [b]</code>
<code>all [] = True</code>	<code>map f [] = []</code>
<code>all (x:xs) = x && (all xs)</code>	<code>map f (x:xs) = (f x) : (map f xs)</code>

Can you see the pattern here?

```
sum :: [Int] -> Int          length :: [a] -> Int
sum [] = 0                   length [] = 0
sum (x:xs) = x + (sum xs)    length (_:xs) = 1 + (length xs)

all :: [Bool] -> Bool        map :: (a -> b) -> [a] -> [b]
all [] = True                map f [] = []
all (x:xs) = x && (all xs)    map f (x:xs) = (f x) : (map f xs)

rec :: [a] -> b
rec [] = v
rec (x:xs) = x `f` (rec xs)
```

More examples of the same pattern

```
reverse :: [a] -> [a]
reverse [] = []
reverse (x:xs) = x `snoc` (reverse xs)
  where snoc x xs = xs ++ [x]
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filter :: (a -> Bool) -> [a] -> [a]
filter p [] = []
filter p (x:xs) = x ? (filter p xs)
  where (?) x xs = if p x then x : xs else xs
```

More examples of the same pattern

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reverse :: [a] -> [a]
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filter p (x:xs) = x ? (filter p xs)
  where (?) x xs = if p x then x : xs else xs
```

```
(++) :: [a] -> [a] -> [a]
(++) [] ys = ys
(++) (x:xs) ys = x : ((++) xs ys)
```

Abstracting out the pattern

```
foldr :: (a -> b -> b) -> b -> [a] -> b
foldr f v [] = v
foldr f v (x:xs) = x `f` (foldr f v xs)
```

What does fold do?

$$\begin{aligned} &\text{foldr } (\otimes) \ v \ [x_1, x_2, x_3, \dots, x_{n-1} \ x_n] \\ &= \\ &x_1 \otimes (x_2 \otimes (x_3 \otimes \dots (x_{n-1} \otimes (x_n \otimes v)) \dots)) \end{aligned}$$

Compare with

$$x_1 : (x_2 : (x_3 : \dots (x_{n-1} : (x_n : [])) \dots))$$

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Compare with

$$x_1 : (x_2 : (x_3 : \dots (x_{n-1} : (x_n : [])) \dots))$$

- foldr “replaces” $(:)$ with \otimes
- and $[]$ with v

Let's reimplement the functions we already saw

```
sum = foldr (+) 0  
x1 + (x2 + (x3 + ... (xn-1 + (xn + 0)) ... ))
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```

```
x1 && (x2 && (x3 && ... (xn-1 && (xn && True)) ... ))
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```
length = foldr (const (1+)) 0
```

```
1 + (1 + (1 + ... (1 + (1 + 0)) ... )))
```

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length = foldr (const (1+)) 0
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1 + (1 + (1 + ... (1 + (1 + 0)) ... ))
```

```
map f = foldr (\x r -> f x : r) []
```

```
f x1 : (f x2 : (f x3 : ... (f xn-1 : (f xn : [])) ... ))
```

Let's reimplement the functions we've already seen

```
reverse = foldr snoc []  
  where snoc x xs = xs ++ [x]  
x1 `snoc` (x2 `snoc` ... (xn-1 `snoc` (xn `snoc` [])) ... )
```

Let's reimplement the functions we've already seen

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reverse = foldr snoc []  
  where snoc x xs = xs ++ [x]  
x1 `snoc` (x2 `snoc` ... (xn-1 `snoc` (xn `snoc` [])) ... )  
  
filter p (x:xs) = foldr (?:) []  
  where (?:) x xs = if p x then x : xs else xs  
x1 ? : (x2 ? : (x3 ? : ... (xn-1 ? : (xn ? : [])) ... ))
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  where (?:) x xs = if p x then x : xs else xs  
x1 ? : (x2 ? : (x3 ? : ... (xn-1 ? : (xn ? : [])) ... ))  
  
(++) xs ys = foldr (:) ys xs  
-- or, in the point-free style: (++) = flip (foldr (:))  
x1 : (x2 : (x3 : ... (xn-1 : (xn : ys)) ... ))
```

Advantages of using fold

- Less boilerplate – we don't repeat the recursion scheme
- Can be easier to understand – the essence of the algorithm can be seen clearer
- The code can be constructed in a systematic manner
- Easier code transformations
- Makes it easier to prove the properties of functions

fold is *not* a silver bullet

```
dropWhile :: (a -> Bool) -> [a] -> [a]
dropWhile p [] = []
dropWhile p (x:xs) = if p x then dropWhile p xs else x : xs

-- example:
dropWhile even [4,2,1,2,3,4,5] = [1,2,3,4,5]
```


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The folding function `f` gets

- the head of the list `x`

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- the head of the list `x`
- the result of calling `fold` on tail

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$$\underbrace{x_1}_{\text{head}} \otimes \underbrace{(x_2 \otimes (x_3 \otimes \dots (x_{n-1} \otimes (x_n \otimes v)) \dots))}_{\text{foldr } f \ v \ [x_2, \dots, x_n]}$$

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- but not the tail itself!

fold is *not* a silver bullet

```
tail :: [a] -> [a]
tail [] = []           -- Exception in Haskell
tail (_:xs) = xs
```

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tail :: [a] -> [a]
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tail :: [a] -> [a]
tail = snd . foldr (\x (xs, acc) -> (x : xs, xs)) ([], [])
```

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Example:

```
foldr (\x (xs, acc) -> (x : xs, xs)) ([], []) [x1, x2, x3]
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```
[] ==> ([], [])
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foldr (\x (xs, acc) -> (x : xs, xs)) ([], []) [x1, x2, x3]
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```
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```
x3 ==> ([x3], [])
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Example:

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foldr (\x (xs, acc) -> (x : xs, xs)) ([], []) [x1, x2, x3]
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```
[] ==> ([], [])
```

```
x3 ==> ([x3], [])
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```
x2 ==> ([x2, x3], [x3])
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fold is *not* a silver bullet

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tail = snd . foldr (\x (xs, acc) -> (x : xs, xs)) ([], [])
```

Example:

```
foldr (\x (xs, acc) -> (x : xs, xs)) ([], []) [x1, x2, x3]
```

```
[] ==> ([], [])
```

```
x3 ==> ([x3], [])
```

```
x2 ==> ([x2, x3], [x3])
```

```
x1 ==> ([x1, x2, x3], [x2, x3])
```

foldr is *not* a silver bullet

Let's get back to dropWhile:

```
dropWhile :: (a -> Bool) -> [a] -> [a]
dropWhile p = snd . foldr f ([], [])
  where
    f x (xs, rec) = (x : xs, if p x then rec else x : xs)
```

foldr is *not* a silver bullet

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dropWhile p = snd . foldr f ([], [])
  where
    f x (xs, rec) = (x : xs, if p x then rec else x : xs)
```

Compare to:

```
dropWhile :: (a -> Bool) -> [a] -> [a]
dropWhile p [] = []
dropWhile p (x:xs) = if p x then dropWhile p xs else x : xs
```

Programs written using fold can be less readable than programs written using explicit recursion.

Iterators vs recursors

```
foldr :: (a -> b -> b) -> b -> [a] -> b  
foldr f v [] = v  
foldr f v (x:xs) = f x (foldr f v xs)
```

Iterators vs recursors

```
foldr :: (a -> b -> b) -> b -> [a] -> b
foldr f v [] = v
foldr f v (x:xs) = f x (foldr f v xs)
```

```
foldrRec :: (a -> [a] -> b -> b) -> b -> [a] -> b
foldrRec f v [] = v
foldrRec f v (x:xs) = f x xs (foldrRec f v xs)
```

foldr is called an *iterator* a.k.a *catamorphism*

foldrRec is called a *recursor* a.k.a *paramorphism*

foldrRec implements a pattern called *primitive recursion*

dropWhile again

```
foldrRec :: (a -> [a] -> b -> b) -> b -> [a] -> b
foldrRec f v [] = v
foldrRec f v (x:xs) = f x xs (foldrRec f v xs)
```

```
dropWhile :: (a -> Bool) -> [a] -> [a]
dropWhile p = foldrRec
    (\x xs rec -> if p x then rec else x : xs)
    []
```


foldrRec via foldr

```
foldr :: (a -> b -> b) -> b -> [a] -> b  
foldr f = foldrRec (const . f)
```

foldrRec via foldr

```
foldr :: (a -> b -> b) -> b -> [a] -> b  
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```

```
foldrRec :: (a -> [a] -> b -> b) -> b -> [a] -> b  
foldrRec f v = snd . foldr g ([], v)  
  where  
    g x (xs, rec) = (x : xs, f x xs rec)
```

Definitions of foldl

```
foldl :: (b -> a -> b) -> b -> [a] -> b  
foldl f v [] = v  
foldl f v (x:xs) = foldl f (f v x) xs
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`foldl (\otimes) v [x1, x2, x3, ..., xn-1 xn]`

`=`

`((...(((v \otimes x1) \otimes x2) \otimes x3) ... \otimes xn-1) \otimes xn)`

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\Downarrow

`xn \otimes (xn-1 \otimes ... (x3 \otimes (x2 \otimes (x1 \otimes v))) ...)`

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Definitions of foldl

`foldl (\odot) v xs`

`=`

`(...(((v \odot x1) \odot x2) \odot x3) ... \odot xn-1) \odot xn`

Definitions of foldl

`foldl` (\odot) v xs

$=$

$(\dots(((v \odot x_1) \odot x_2) \odot x_3) \dots \odot x_{n-1}) \odot x_n$

$=$

$(\odot x_n) . (\odot x_{n-1}) \dots . (\odot x_3) . (\odot x_2) . (\odot x_1) \$ v$

Definitions of foldl

`foldl` (\odot) v xs

$=$

$(\dots(((v \odot x_1) \odot x_2) \odot x_3) \dots \odot x_{n-1}) \odot x_n$

$=$

$(\odot x_n) . (\odot x_{n-1}) \dots . (\odot x_3) . (\odot x_2) . (\odot x_1) \$ v$

$=$

`id` . $(\odot x_n) . (\odot x_{n-1}) \dots . (\odot x_3) . (\odot x_2) . (\odot x_1) \$ v$

Definitions of foldl

foldl (\odot) v xs

=

$(\dots(((v \odot x_1) \odot x_2) \odot x_3) \dots \odot x_{n-1}) \odot x_n$

=

$(\odot x_n) . (\odot x_{n-1}) \dots . (\odot x_3) . (\odot x_2) . (\odot x_1) \$ v$

=

id . $(\odot x_n) . (\odot x_{n-1}) \dots . (\odot x_3) . (\odot x_2) . (\odot x_1) \$ v$

=

$((\dots((\mathbf{id} . (\odot x_n)) . (\odot x_{n-1})) \dots .$
 $(\odot x_3)) . (\odot x_2)) . (\odot x_1) \$ v$

Definitions of foldl

$$\begin{aligned} \text{foldl } (\otimes) \ v \ xs \\ &= \\ &(\dots(((v \otimes x_1) \otimes x_2) \otimes x_3) \dots \otimes x_{n-1}) \otimes x_n \\ &= \\ &(\otimes x_n) \ . \ (\otimes x_{n-1}) \ \dots \ . \ (\otimes x_3) \ . \ (\otimes x_2) \ . \ (\otimes x_1) \ \$ \ v \\ &= \\ \text{id} \ . \ (\otimes x_n) \ . \ (\otimes x_{n-1}) \ \dots \ . \ (\otimes x_3) \ . \ (\otimes x_2) \ . \ (\otimes x_1) \ \$ \ v \\ &= \\ &(((\dots((\text{id} \ . \ (\otimes x_n)) \ . \ (\otimes x_{n-1})) \ \dots \ . \\ &\quad (\otimes x_3)) \ . \ (\otimes x_2)) \ . \ (\otimes x_1) \ \$ \ v \\ &= \\ \text{foldr } (\backslash x \ \text{rec} \rightarrow \text{rec} \ . \ (\otimes x)) \ \text{id} \ xs \ v \end{aligned}$$

Universal Property of fold

Theorem

Given $f : a \rightarrow b \rightarrow b$, $v : b$, and $g : [a] \rightarrow b$, we have,

$$\begin{aligned} g [] &= v \\ g (x : xs) &= f x (g xs) \end{aligned} \iff g = \text{fold } f \ v$$

Universal Property of fold

How to prove this?

$$(+1) . \textit{sum} = \textit{fold} (+) 1$$

Universal Property of fold

How to prove this?

$$(+1) . \text{sum} = \text{fold } (+) 1$$

By universal property:

$$((+1) . \text{sum}) [] = 1$$

$$((+1) . \text{sum}) (x : xs) = (+) x (((+1) . \text{sum}) xs)$$

$$\text{sum} [] + 1 = 1$$

$$\text{sum} (x : xs) + 1 = x + (\text{sum } xs + 1)$$

$$g [] = v$$

$$g (x : xs) = f x (g xs)$$

Universal Property of fold: List objects

$$1 \xrightarrow{\text{nil}} L(A) \qquad A \times L(A) \xrightarrow{\text{cons}} L(A)$$

$$1 \xrightarrow{\nu} B \qquad A \times B \xrightarrow{f} B$$

$$L(A) \overset{\exists! \text{ fold } f \nu}{\dashrightarrow} B$$

$$\begin{array}{ccc} 1 & \xrightarrow{\text{nil}} & L(A) \\ & \searrow \nu & \downarrow \text{fold } f \nu \\ & & B \end{array}$$

$$(\text{fold } f \nu) \circ \text{nil} = \nu$$

$$A \times L(A) \xrightarrow{\text{cons}} L(A)$$

$$\begin{array}{ccc} id_A \times (\text{fold } f \nu) \downarrow & & \downarrow \text{fold } f \nu \\ A \times B & \xrightarrow{f} & B \end{array}$$

$$(\text{fold } f \nu) \circ \text{cons} = f \circ (id_A \times \text{fold } f \nu)$$

$$(\text{fold } f \nu) (\text{cons } (x, l)) =$$

$$f ((id_A \times \text{fold } f \nu)(x, l)) =$$

$$f (x, \text{fold } f \nu l)$$

Universal Property of fold: Initial Algebras

$$F_A X \equiv 1 + A \times X$$

$$\text{map } F_A f \equiv id_1 + id_A \times f$$

$$\begin{array}{ccc}
 X & & 1 + A \times X \\
 \downarrow f & \xrightarrow{\text{map } F_A f} & \downarrow id_1 + id_A \times f \\
 Y & & 1 + A \times Y
 \end{array}$$

$$F_A L(A) \xrightarrow{In} L(A)$$

$$F_A B \xrightarrow{f} B$$

$$L(A) \dashrightarrow^{\exists! \text{cata } f} B$$

$$F_A L(A) \xrightarrow{In} L(A)$$

$$\begin{array}{ccc}
 \text{map } F_A (\text{cata } f) \downarrow & & \downarrow \text{cata } f \\
 F_A B & \xrightarrow{f} & B
 \end{array}$$

$$\begin{aligned}
 (\text{cata } f) \circ In &= f \circ (\text{map } F_A (\text{cata } f)) \\
 &= f \circ (id_1 + id_A \times (\text{cata } f))
 \end{aligned}$$

Fold and other datatypes

$$\begin{aligned} id [] &= [] \\ id (x : xs) &= (:) x (id xs) \end{aligned} \iff id = fold (:) []$$

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foldr f v [] = v
foldr f v (x:xs) = f x (foldr f v xs)
```

Fold (catamorphism) and trees

```
data LTree a =  
    Leaf a | Split (LTree a) (LTree a)  
data BTree a =  
    Empty | Node a (BTree a) (BTree a)
```

Fold (catamorphism) and trees

```
data LTree a =  
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data BTree a =  
    Empty | Node a (BTree a) (BTree a)  
  
cata_LTree :: ..... -> LTree a -> b  
cata_BTree :: ..... -> BTree a -> b
```

Fold (catamorphism) and trees

```
data LTree a =  
  Leaf a | Split (LTree a) (LTree a)  
data BTree a =  
  Empty | Node a (BTree a) (BTree a)  
  
cata_LTree :: ..... -> LTree a -> b  
cata_BTree :: ..... -> BTree a -> b  
  
cata_LTree :: (b -> b -> b) -> (a -> b) -> LTree a -> b  
cata_BTree :: (a -> b -> b -> b) -> b -> BTree a -> b
```

Since:

```
Split :: LTree a -> LTree a -> LTree a  
Leaf  :: a -> LTree a  
Node  :: a -> BTree a -> BTree a -> BTree a  
Empty :: BTree a
```

Fold (catamorphism) and trees

```
cata_LTree :: (b -> b -> b) -> (a -> b) -> LTree a -> b
cata_LTree fs fl (Leaf x) = fl x
cata_LTree fs fl (Split l r) =
  fs (cata_LTree fs fl l) (cata_LTree fs fl r)

cata_BTree :: (a -> b -> b -> b) -> b -> BTree a -> b
cata_BTree fn v Empty = v
cata_BTree fn v (Node x l r) =
  fn x (cata_BTree fn v l) (cata_BTree fn v r)
```

Compiler optimizations: rewrite rules

```
{-# RULES
  "map" [~1] forall f xs.
      map f xs =
      build (\c n -> foldr (mapFB c f) n xs)
-}
```

where

```
build :: (forall b. (a -> b -> b) -> b -> b) -> [a]
build g = g (:) []
```

```
mapFB :: (e -> lst -> lst) -> (a -> e) -> a -> lst -> lst
mapFB c f = \x ys -> c (f x) ys
```

The equations guiding the compiler must be correct!

Advantage: Reasoning made easier!

Interactive session in Coq: the fusion property of `fold`.

Thank you!

Get in touch with us after the talk!

github.com/anton-trunov/fold-tutorial-talk

Questions?