## Abstract

In 2013, Aschenbrenner et al. investigated and developed a notion of VC-density for NIP structures, an analog of geometric dimension in an abstract setting [1]. Their applications included a bound for p-adic numbers, an object of great interest and a very active area of research in mathematics. My research concentrates on improving and expanding techniques of that paper to improve the known bounds as well as computing VC-density for other NIP structures of interest. I am able to obtain new bounds for the additive reduct of p-adic numbers, Henselian valued fields, and certain families of graphs. Recent research by Chernikov and Starchenko in 2015 [2] suggests that having good bounds on VC-density in p-adic numbers opens a path for applications to incidence combinatorics (e.g. Szemeredi-Trotter theorem).

#### Introduction

The concept of VC-dimension was first introduced in 1971 by Vapnik and Chervonenkis for set systems in a probabilistic setting (see [1]). The theory grew rapidly and found wide use in geometric combinatorics, computational learning theory, and machine learning. Around the same time Shelah was developing the notion of NIP ("not having the independence property"), a natural tameness property of (complete theories of) structures in model theory. In 1992 Laskowski noticed the connection between the two: theories where all uniformly definable families of sets have finite VC-dimension are exactly NIP theories. It is a wide class of theories including algebraically closed fields, differentially closed fields, modules, free groups, o-minimal structures, and ordered abelian groups. A variety of valued fields fall into this category as well, including the p-adic numbers.

P-adic numbers were first introduced by Hensel in 1897, and over the following century a powerful theory was developed around them with numerous applications across a variety of disciplines, primarily in number theory, but also in physics and computer science. In 1965 Ax, Kochen and Ershov axiomatized the theory of p-adic numbers and proved a quantifier elimination result. A key insight was to connect properties of the value group and residue field to the properties of the valued field itself. In 1984 Denef proved a cell decomposition result for more general valued fields. This result was soon generalized to p-adic subanalytic and rigid analytic extensions, allowing for the later development of a more powerful technique of motivic integration. The conjunction of those

model theoretic results allowed to solve a number of outstanding open problems in number theory (e.g., Artin's Conjecture on p-adic homogeneous forms).

In 1997, Karpinski and Macintyre computed VC-density bounds for o-minimal structures and asked about similar bounds for p-adic numbers. VC-density is a concept closely related to VC-dimension. It comes up naturally in combinatorics with relation to packings, Hamming metric, entropic dimension and discrepancy. VC-density is also the decisive parameter in the Epsilon-Approximation Theorem, which is one of the crucial tools for applying VC theory in computational geometry. In a model theoretic setting it is computed for families of uniformly definable sets. In 2013, Aschenbrenner, Dolich, Haskell, Macpherson, and Starchenko computed a bound for VC-density in p-adic numbers and a number of other NIP structures [1]. They observed connections to dp-rank and dp-minimality, notions first introduced by Shelah. In well behaved NIP structures families of uniformly definable sets tend to have VC-density bounded by a multiple of their dimension, a simple linear behavior. In a lot of cases including p-adic numbers this bound is not known to be optimal. My research concentrates on improving those bounds and adapting those techniques to compute VC-density in other common NIP structures of interest to mathematicians.

Some of the other well behaved NIP structures are Shelah-Spencer graphs and flat graphs. Shelah-Spencer graphs are limit structures for random graphs arising naturally in a combinatorial context. Their model theory was studied by Baldwin, Shi, and Shelah in 1997, and later work of Laskowski in 2006 [4] have provided a quantifier simplification result. Flat graphs were first studied by Podewski-Ziegler in 1978, showing that those are stable [8], and later results gave a criterion for super stability. Flat graphs also come up naturally in combinatorics in work of Nesetril and Ossona de Mendez [6].

#### Research Plan

The first chapter of my dissertation concentrates on Shelah-Spencer graphs. I have shown that they have infinite dp-rank, so they are poorly behaved as NIP structures. I have also shown that one can obtain arbitrarily high VC-density when looking at uniformly definable families in a fixed dimension. However I'm able to bound VC-density of individual formulas in terms of edge density of the graphs they define.

The second chapter of my dissertation concentrates on graphs and graph-like structures. I have

answered an open question from [1], computing VC-density for trees viewed as a partial order. The main idea is to adapt a technique of Parigot [7] to partition trees into weakly interacting parts, with simple bounds of VC-density on each. Similar partitions come up in the Podewski-Ziegler analysis of flat graphs [8]. I am able to use that technique to show that flat graphs are dp-minimal, an important first step before establishing bounds on VC-density. The first of my remaining research goals is to apply this partition to compute VC-densities for specific families of flat graphs.

The third chapter of my dissertation deals with p-adic numbers and valued fields. I have shown that VC-density is linear for an additive reduct of p-adic numbers (using a cell decomposition result from the work of Leenknegt in 2013 [5]). I will explore other reducts described in that paper, to see if my techniques apply to those as well. I have also shown that a Henselian valued field of equi-characteristic zero has linear one-dimensional VC-density if its value group and its residue field have that property. This is along the lines of the results of Ax-Kochen mentioned before. The second of my remaining research goals is to adapt those techniques to higher dimensions, as well as applying them to RV sorts introduced by Flenner in 2011 [3].

My dissertation will therefore consist of VC-density computations for partial order trees, Shelah-Spencer graphs, flat graphs, and various valued fields, as well as any additional applications I am able to find after discussion with my advisor and my colleagues.

## Research Timeline

I propose a start date of October 2016.

- March through September 2016: I will prepare and submit papers on my results for trees and Shelah-Spencer graphs. I will research families of flat graphs to see which of my techniques apply in that setting. I will generalize my result for valued fields from one dimension to multiple dimensions. I will also use this time to attend conferences to discuss my results with other mathematicians and get advice on further applications of my research.
- October 2016: I will research p-adic number reducts and RV sorts to see if my valued field techniques apply.
- November 2016: I will prepare and submit a paper containing my results for p-adic numbers and valued fields.

- December 2016: I will write an introduction to my thesis, defining VC-density and summarizing known results and computations.
- January 2017: I will write the first chapter of my thesis on Shelah-Spencer graphs.
- February 2017: I will write the second chapter of my thesis on trees and flat graphs.
- March 2017: I will write the third and final chapter of my thesis on p-adic numbers and valued fields. At the end of the month I will submit the thesis to my advisor.
- April 2017: I will make revisions to my thesis suggested by my advisor and submit the thesis to my committee. I will start preparing for the defense.
- May 2017: I will implement revisions to my thesis given by my committee and resubmit the final version. I will complete the defense.

# References

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