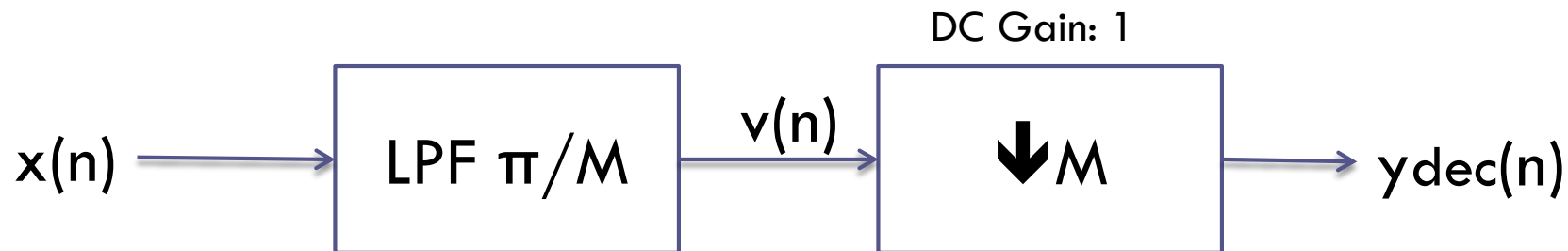


# POLYPHASE FILTERS

Michele Buccoli

# Polyphase decimation

- In order to decimate a signal, we lowpass it and then downsample it
  - ▣ We filter the whole signal, but we are going to use only a part of it (one every  $M$  samples)



- We design polyphase filters in order to save computations in the decimation phase

# Polyphase decimation

- A filter is decomposed into its polyphase components
- The signal is decomposed into subsequences
- The subsequences are filtered with the correspondent subfilters
- The output is reconstructed as the sum of the subresults

# Polyphase decimation

- Suppose we have  $x(n)=[x(0), x(1), \dots, x(N-1)]$
- We want to decimate with  $M=3$
- We have a  $1/M$  lowpass filter
  - ▣  $h(n)=[\clubsuit, \diamondsuit, \heartsuit, \spadesuit, \diamond, \nabla]$  with 6 weights
  - ▣ Both  $h(n)$  and  $x(n)$  start from  $n=0$
- Let's compute the convolution and then the downsampling
- First step: folding  $h(n) \rightarrow h(-n)$ 
  - ▣  $h(-n)=[\nabla, \diamond, \spadesuit, \heartsuit, \diamondsuit, \clubsuit]$

# Polyphase decimation

$$x(n) = x(0), x(1), x(2), x(3), \dots, x(N)$$

$$h(-n) = \nabla, \diamond, \spadesuit, \heartsuit, \blacklozenge, \clubsuit$$

$$y(0) = \clubsuit x(0)$$

□ Next sample:  $y(1)$

- ▣ We know we are going to neglect  $y(1)$  and  $y(2)$  ( $M=3$ )
- ▣ Let's compute directly  $y(3)$

# Polyphase decimation

$$x(n) = x(0), x(1), x(2), x(3), \dots, x(N)$$

$$h(-n) = \nabla, \diamond, \spadesuit, \heartsuit, \blacklozenge, \clubsuit$$

$$y(3) = \spadesuit x(0) + \heartsuit x(1) + \blacklozenge x(2) + \clubsuit x(3)$$

□ Next sample: ~~y(4)~~ y(6)

# Polyphase decimation

$$x(n) = x(0), x(1), x(2), x(3), x(4), x(5), x(6), \dots, x(N)$$

$$h(-n) = \nabla, \diamond, \spadesuit, \heartsuit, \blacklozenge, \clubsuit$$

$$y(6) = \nabla x(1) + \diamond x(2) + \spadesuit x(3) + \heartsuit x(4) + \blacklozenge x(5) + \clubsuit x(6)$$

□ And so on...

□ Let's summarize...

# Polyphase decimation

$$y(0) = \clubsuit x(0)$$

$$y(3) = \clubsuit x(3) + \diamondsuit x(2) + \heartsuit x(1) + \spadesuit x(0)$$

$$y(6) = \clubsuit x(6) + \diamondsuit x(5) + \heartsuit x(4) + \spadesuit x(3) + \blacklozenge x(2) + \blacktriangledown x(1)$$

$$y(9) = \clubsuit x(9) + \diamondsuit x(8) + \heartsuit x(7) + \spadesuit x(6) + \blacklozenge x(5) + \blacktriangledown x(4)$$



# Polyphase decimation

$$y(0) = \clubsuit x(0)$$

$$y(3) = \clubsuit x(3) + \blacklozenge x(2) + \heartsuit x(1) + \spadesuit x(0)$$

$$y(6) = \clubsuit x(6) + \blacklozenge x(5) + \heartsuit x(4) + \spadesuit x(3) + \diamond x(2) + \nabla x(1)$$

$$y(9) = \clubsuit x(9) + \blacklozenge x(8) + \heartsuit x(7) + \spadesuit x(6) + \diamond x(5) + \nabla x(4)$$

$$\blacksquare h(n) = [\clubsuit, \blacklozenge, \heartsuit, \spadesuit, \diamond, \nabla]$$

$$\blacksquare e_0 = [\clubsuit, \spadesuit] \rightarrow [x(0), x(3), \dots, x(kM+0)]$$

# Polyphase decimation

$$y(0) = \clubsuit x(0) + \dots ?$$

$$y(3) = \clubsuit x(3) + \blacklozenge x(2) + \heartsuit x(1) + \spadesuit x(0)$$

$$y(6) = \clubsuit x(6) + \blacklozenge x(5) + \heartsuit x(4) + \spadesuit x(3) + \color{blue}\lozenge x(2) + \nabla x(1)$$

$$y(9) = \clubsuit x(9) + \blacklozenge x(8) + \heartsuit x(7) + \spadesuit x(6) + \color{blue}\lozenge x(5) + \nabla x(4)$$

$$\blacksquare h(n) = [\clubsuit, \blacklozenge, \heartsuit, \spadesuit, \color{blue}\lozenge, \nabla]$$

$$\blacksquare e_0 = [\clubsuit, \spadesuit] \rightarrow [x(0), x(3), \dots, x(kM+0)]$$

$$\blacksquare e_1 = [\blacklozenge, \color{blue}\lozenge] \rightarrow [0 \ x(2), x(5), \dots, x(kM+2)]$$

# Polyphase decimation

$$y(0) = \clubsuit x(0) + \dots ?$$

$$y(3) = \clubsuit x(3) + \diamondsuit x(2) + \heartsuit x(1) + \spadesuit x(0)$$

$$y(6) = \clubsuit x(6) + \diamondsuit x(5) + \heartsuit x(4) + \spadesuit x(3) + \diamondsuit x(2) + \heartsuit x(1)$$

$$y(9) = \clubsuit x(9) + \diamondsuit x(8) + \heartsuit x(7) + \spadesuit x(6) + \diamondsuit x(5) + \heartsuit x(4)$$

$$\square h(n) = [\clubsuit, \diamondsuit, \heartsuit, \spadesuit, \diamondsuit, \heartsuit]$$

$$\square e_0 = [\clubsuit, \spadesuit] \rightarrow [x(0), x(3), \dots, x(kM+0)]$$

$$\square e_1 = [\diamondsuit, \diamondsuit] \rightarrow [0 \ x(2), x(5), \dots, x(kM+2)]$$

$$\square e_2 = [\heartsuit, \heartsuit] \rightarrow [0 \ x(1), x(4), \dots, x(kM-m)]$$

# Polyphase decimation

- Given a filter  $h$  with  $L$  weights
- Given a signal  $x$  with  $M$  down-sampling factor
- we have  $K = \lceil L/M \rceil$  weights for each subfilter
- Each subfilter  $e_m$  is build as:
  - ▣  $e_m(k) = h(kM + m)$ 
    - $k=[0,1,\dots,K-1]$
    - $m=[0,1,\dots,M-1]$
- Write a function `e=decompose_filter(h, M)`
  - ▣  $e$  is a matrix with  $K$  rows (weights) and  $M$  columns (subfilters)

# Polyphase decimation

13

MMSP 1 - 08 Polyphase Filtering

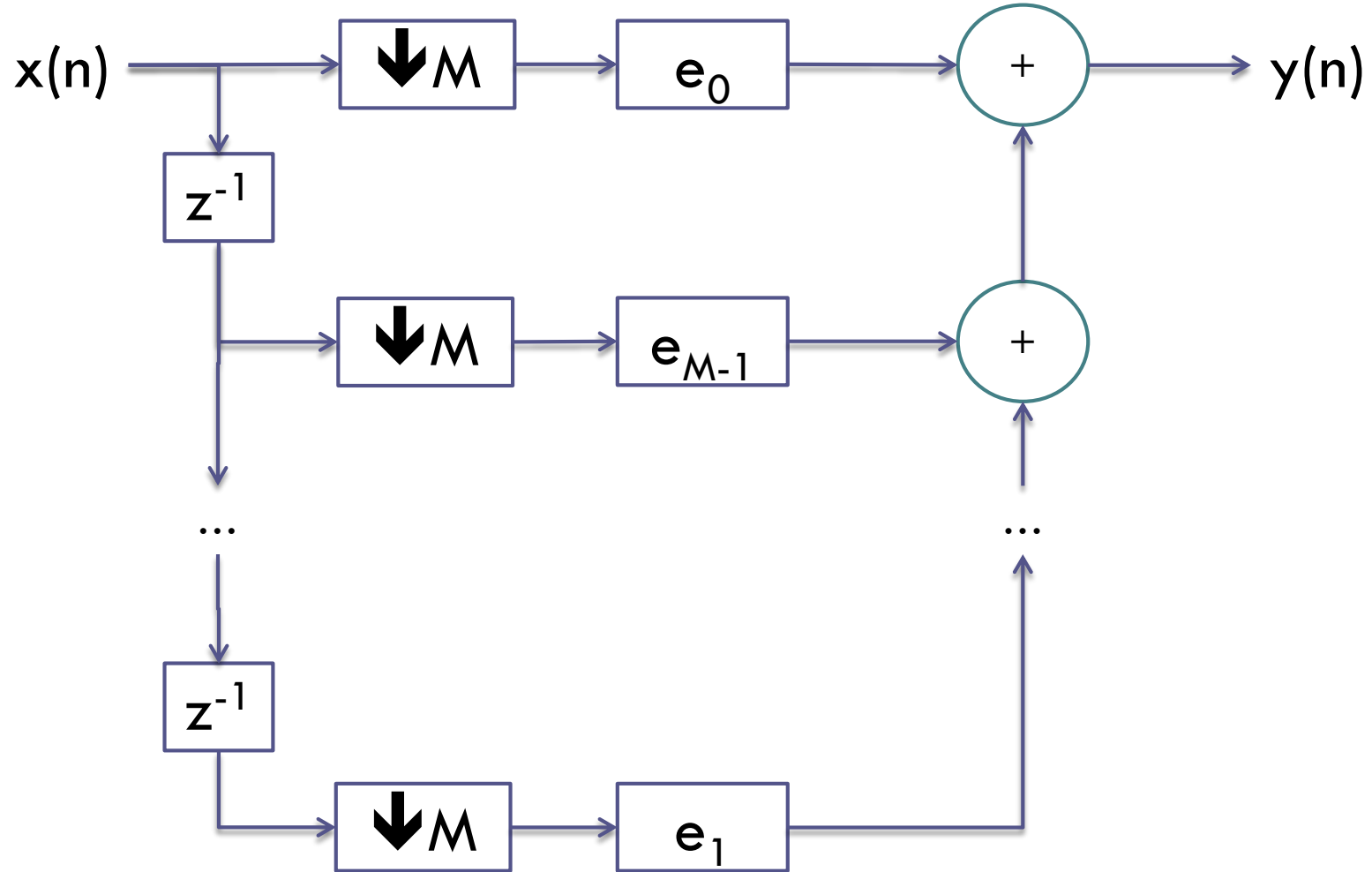
```
function [ e ] = decompose_filter(h, M )
    L=length(h); K=ceil(L/M);
    e=zeros(K,M);
    if K*M>L
        h(K*M)=0; % zero-pad up to K*M
    end
    for m=1:M
        sub=h(m:M:end);
        e(:,m)=sub(:);
    end
end
```

□ Try to implement it using the `reshape` function

# Polyphase decimation

14

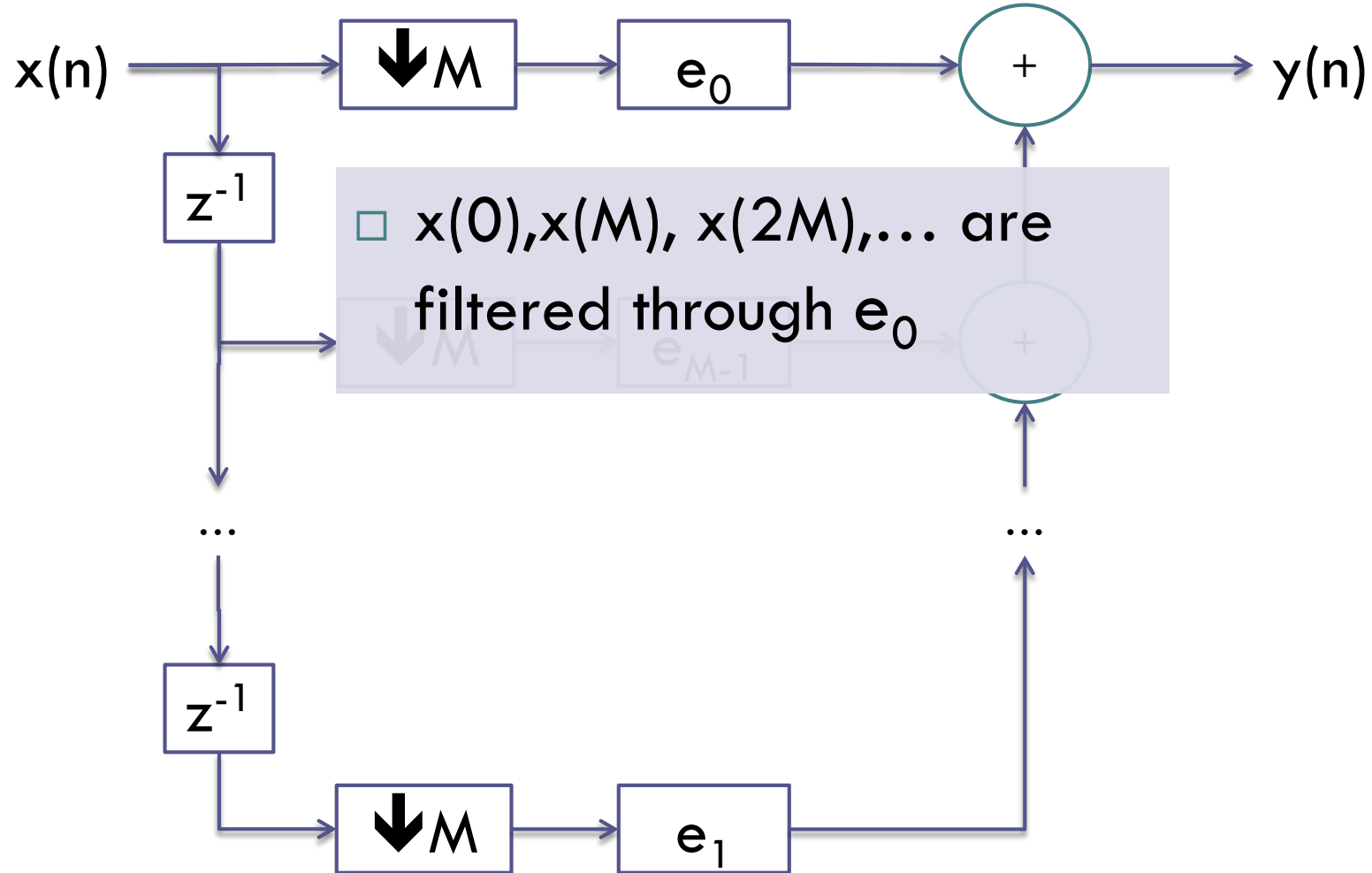
MMSP 1 - 08 Polyphase Filtering



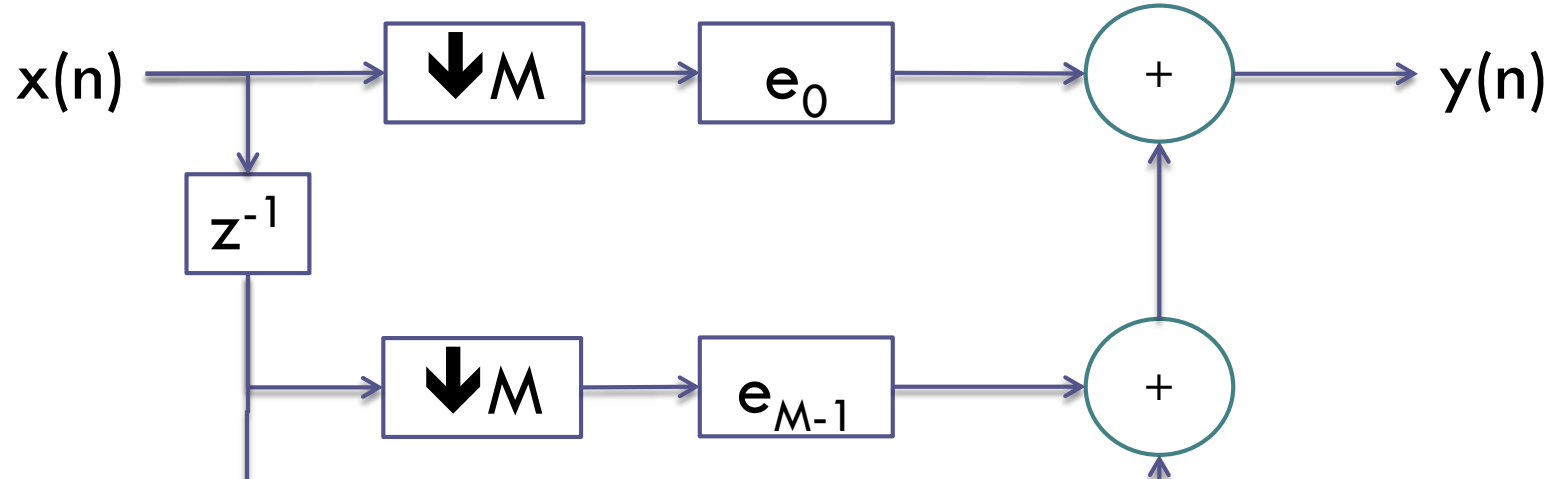
# Polyphase decimation

15

MMSP 1 - 08 Polyphase Filtering



# Polyphase decimation



- $0, x(1), x(M+1), x(2M+1), \dots$  are filtered through  $e_{M-1}$
- In order to take old samples into account, it is required to add a 0 at the beginning of the sequence





# Polyphase decimation

- Given a sequence  $x$  with  $L$  weights
- Given a signal  $x$  with  $M$  down-sampling factor
- we have  $K = \lceil L/M \rceil$  weights for each subfilter  $e_m$
- We build  $K$  subsequences  $x_m$ 
  - ▣  $x_m(k) =$ 
    - 0 if  $k=0$  and  $m \neq 0$  (take old samples into account)
    - $x(Mk - m)$  otherwise
    - $k=[0,1,\dots,K-1]$
    - $m=[0,1,\dots,M-1]$
- Write a function `x_m=decompose_signal(x, M)`
  - ▣ `x_m` is a matrix with  $N_m$  rows (samples) and  $M$  columns (subfilters)

# Polyphase decimation

```
function [ x_m ] = decompose_signal( x,M )
    Nm=floor(length(x)/M);
    x_m=zeros(Nm,M);
    x_0=x(1:M:end);
    x_m(1:length(x_0),1)=x_0;
    for m=1:M-1
        x_=x(M-(m-1):M:end);
        x_m(2:length(x_)+1,m+1)=x_;
    end
end
```

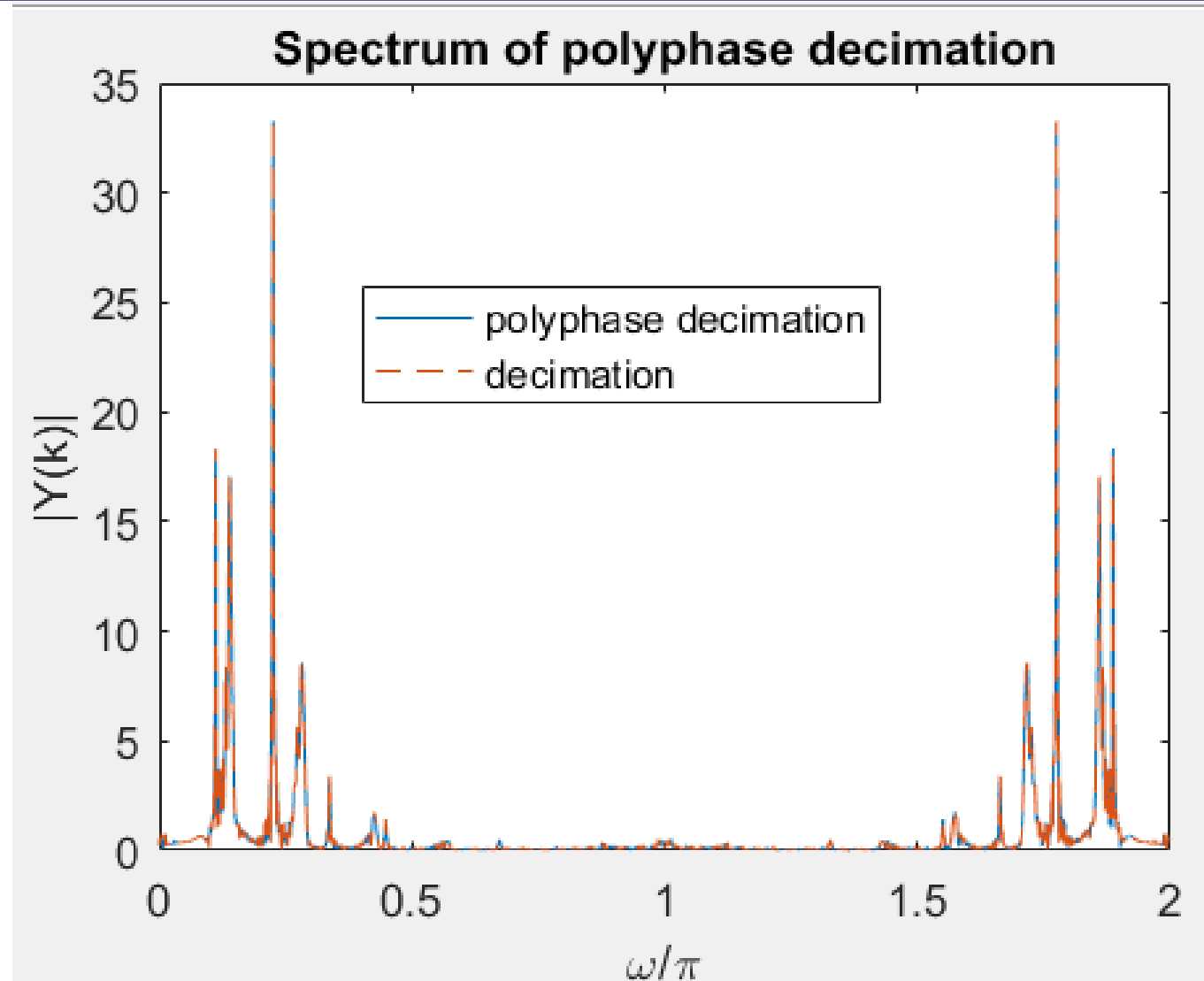
# Polyphase decimation

```
[x,Fs]=audioread('Toms_diner_16.wav');  
n_x=[0:length(x)-1]/Fs;  
M=4; h=fir1(32,1/M);  
  
e=decompose_filter(h,M);  
x_m=decompose_signal(x,M);  
  
y=zeros(size(x_m(:,1)));  
for m=1:M  
    y_m=filter(e(:,m),1,x_m(:,m));  
    y=y+y_m;  
end  
n_y=[0:length(y)-1]/(Fs/M);
```

# Polyphase decimation

20

MMSP 1 - 08 Polyphase Filtering



# Polyphase interpolation

- Polyphase interpolation is the dual of polyphase decimation
  - ▣ In classic interpolation, the sequence is upsampled before the low-pass filtering
  - ▣ a signal  $L$  times longer than the original is filtered
- Polyphase interpolation is used to achieve computational efficiency

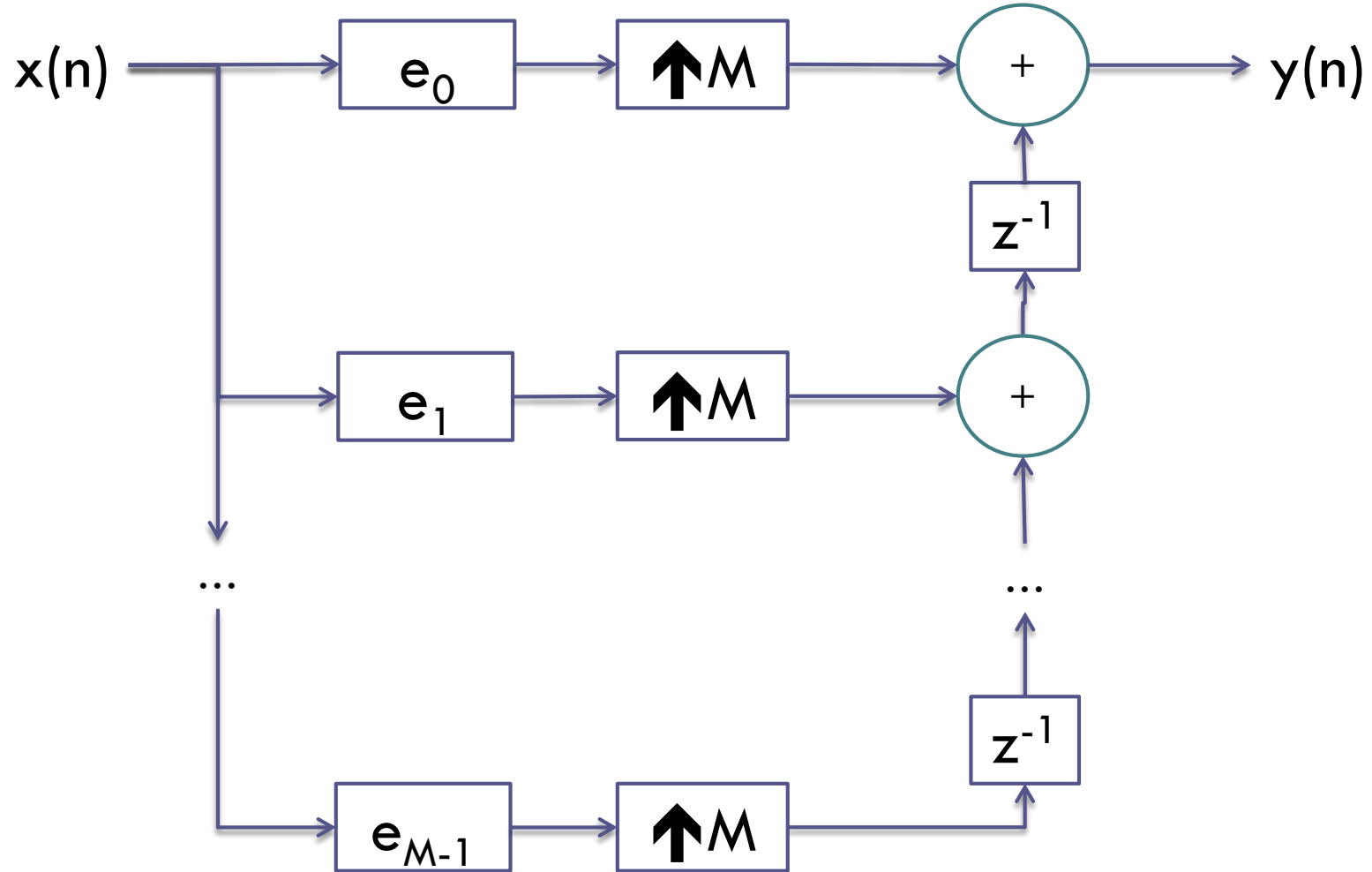
# Polyphase interpolation

- Given a filter  $h$  with  $L$  weights
- Given a signal with  $M$  up-sampling factor
- we have  $K = \lceil L/M \rceil$  weights for each subfilter
- Each subfilter  $e_m$  is build as:
  - ▣  $e_m(k) = h(kM + m)$ 
    - $k=[0,1,\dots,K-1]$
    - $m=[0,1,\dots,M-1]$

# Polyphase interpolation

23

MMSP 1 - 08 Polyphase Filtering



# Polyphase interpolation

```
% see above for y and e
y_int=zeros(length(y)*M+M,1);
for m=1:M
    y_m_f=filter(M*e(:,m),1,y); % scaling
    y_int(m:M:end-M)=y_int(m:M:end-M)+y_m_f;
End
```



# Polyphase interpolation

25

MMSP 1 - 08 Polyphase Filtering

