# CS 577 — Deep Learning — Homework 1

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### Read these instructions carefully:

- In the LATEX source code, type your answer in between "%%% BEGIN ANSWER" and "%%% END ANSWER". For advanced LATEX users, you can use your custom macros if you wish by placing them between "%%% BEGIN MACROS" and "%%% END MACROS" in the header. Do not modify anything else.
- There is a PDF file latex\_symbols.pdf which lists LATEX symbols that you will need later.
- The first section "Introduction to Latex (not graded)" is a tutorial. It is not graded. Feel free to skip it.
- The second section titled "LATEX Problems" will be graded. You will recreate content from the slides in Lecture 1. You will receive full credit as long as your answer is sufficiently similar visually.
- The third section "Perceptron Problem" will be graded.
- When typing mathematics, you can use either the inline mode or display mode (see below). It is up to you. Please make the choice that results in good readability.
- Turn in both your .tex file and the generated .pdf file.

## 1 Introduction to Latex (not graded)

[0] points — inline mode: Mathematics goes inside a pair of single dollar sign like this: "\$...\$". For example, "\$\pi \approx 3.14\$" renders as " $\pi \approx 3.14$ "

Write the formula for the circumference C of the circle with radius r

Answer:

 $C = 2\pi r$ 

[0] points — display mode: Mathematics goes inside a pair of double dollar signs for display mode like this: "\$\$...\$\$". For example, "\$\$\sin(\theta)^2 + \cos(\theta)^2 = 1\$\$" renders as

$$\sin(\theta)^2 + \cos(\theta)^2 = 1$$

Type in display mode a function f of x and y defined (you should use colon ":" followed by an equal sign, i.e., :=, for function definition) by  $\sin(x)^2$  plus  $\cos(y)^2$ .

Answer:

$$f(x,y) := \sin(x)^2 + \cos(y)^2$$

[0] points — superscript: You saw that the caret symbol  $\hat{}$  is for superscript. Now, try taking x to the 1/3 power by directly typing  $x^*$  followed by 1/3...

### Answer:

 $x^{1}/3$ 

If you did this exactly, you probably noticed that it's not quite right. Let's fix that by surrounding the 1/3 with  $\{\ldots\}$ .

#### Answer:

 $x^{1/3}$ 

[0] **points** — **subscript:** Subscripts in LaTeX are created using the underscore symbol  $\_$ . For example, typing  $x_i$  will produce  $x_i$ . Now, try typing x with the subscript "100".

#### Answer:

 $x_{100}$ 

[0] points — summation notation: The command \sum is used to produce the summation symbol in LaTeX. For example, \sum\_{\...}^{\...} produces  $\sum_{...}^{...}$ . Now, write the sum of 1 squared, 2 squared, ..., n squared using summation notation.

#### Answer:

$$1^2 + 2^2 + \ldots + n^2 = \sum_{i=1}^{N} i^2$$

[0] **points** — **blackboard font:** The set of real numbers is typically written using the blackboard font in LaTeX. To use this font, surround the symbol with  $\mathbf{mathbb}\{...\}$ . Now, write the set of real numbers (capital R in blackboard font).

### Answer:

 $\mathbb{R}$ 

[0] points — minimization notation: Minimization in LaTeX is similar to summation, except there is no superscript. Now, write the notation for "minimization of x squared where x is in the set of the reals". The symbol for "belongs" is  $\in$ 

٨	ne	 · ^ ·	n.

$$\min x^2 \in \mathbb{R}x^2$$

[0] points — bold symbols: To type a bold symbol in LaTeX, use the command  $\mathbf{\hat{L}...}$ , where the ... is replaced with your symbol. Now, write X (uppercase) in bold.

Answer:

 $\mathbf{X}$ 

For bolding Greek symbols, you need to use \bm{...} instead of \mathbf{...}. Write "theta" in bold.

Answer:

 $\theta$ 

[0] points — uppercase Greek letters: To type an uppercase Greek letter in LaTeX, simply write the name of the letter with an initial capital letter, like  $\Theta$  for  $\Theta$ . Note that not all Greek letters have an uppercase version in LaTeX (e.g., there is no capital "alpha"). Now, type the uppercase version of delta.

Answer:

 $\Delta$ 

[0] points — gradient symbol: Other commands or symbols in LaTeX can also be subscripted or superscripted. The symbol for the gradient is  $\noindent \text{nabla}$ . Now, type the symbol for the partial derivative with respect to bold  $\mathbf{x}$  (just the "nabla" and the "x" part).

Answer:

 $\nabla x$ 

[0] points — fractions: Fractions in LaTeX are written using the command  $\frac{\text{numerator}}{\text{denominator}}$ . Now, write Newton's law of universal gravitation: F is the force of gravity, G is the gravitational constant,  $m_1$  and  $m_2$  are the masses of the objects, and r is the distance between the objects.

Answer:

$$F = G \frac{m_1 m_2}{r^2}$$

### 1.1 Linear algebra

[0] points — matrices: You can write matrices in LaTeX using the bmatrix environment. For example, the matrix

 $\begin{bmatrix} a & b \\ c & d \end{bmatrix}$ 

is written as:

\begin{bmatrix}
a & b \\
c & d
\end{bmatrix}

Now, write down the formula for the inverse of this matrix.

Answer:

 $\begin{bmatrix} a & b \\ c & d \end{bmatrix}^{-1}$ 

[0] **points** — **big matrices:** You can write a big matrix in LaTeX using dots for representing a sequence of elements. Here's an example of a large matrix:

$$\begin{bmatrix} x_{11} & \cdots & x_{1N} \\ \vdots & \ddots & \vdots \\ x_{N1} & \cdots & x_{NN} \end{bmatrix}$$

In this example, \cdots represents horizontal (centered) dots, \vdots represents vertical dots, and \ddots represents diagonal dots.

Now, create a column vector with  $x_1, \ldots, x_N$  and a row vector with  $x_1, \ldots, x_N$ . Hint: You only need & but not  $\setminus \setminus$  for the row vector.

Answer:

$$\begin{pmatrix} x_1 \\ x_2 \\ \vdots \\ x_N \end{pmatrix} (x_1 \quad x_2 \quad \cdots \quad x_N)$$

### 1.2 Lists

[0] points — nested lists: In LaTeX, you can create a nested bulleted list using the itemize environment like this:

\begin{itemize}
 \item A
 \item B
 \begin{itemize}
 \item X
 \item Y
 \item Z
 \end{itemize}

\item C \end{itemize}

This will produce the following nested list:

- A
- B
  - X
  - Y
  - Z
- C

To create a numbered list, use the enumerate environment instead of itemize. Nested lists can be a hacky way to write pseudo algorithms (with code indentation) in LaTeX. Now, write the pseudocode to compute the n-th Fibonacci number using nested numbered list.

### Answer:

- 1. If input is 0 or 1 or 2.
  - 1.1 Return Incorrect input, 0 or 1 respectively.
- 2. Return the sum of the two previous number of this input.

## 2 LATEX Problems

Note: The following problems refer to slides from Lecture 01.

[2] points — Empirical risk minimization: Write the empirical risk minimization (ERM) on slide number "27/73" (ignore my handwritten notes) of . Consult the latex\_symbols.pdf page 4 for the matrix transpose symbol.

Answer:

$$min_{\theta \in \Theta} J(\boldsymbol{\theta}) := \frac{1}{N} \sum_{i=1}^{N} L(f(x_i; \boldsymbol{\theta}), y_i)$$

[2] points — Gradient: Write the gradient on slide number "29/73" (ignore my handwritten notes). Consult the latex\_symbols.pdf page 4 for the partial derivative symbol.

Answer:

$$\nabla_x f(\mathbf{x}) = \left[\frac{\partial f}{\partial x_1} f(\mathbf{x}) \cdots \frac{\partial f}{\partial x_d} f(\mathbf{x})\right]^T$$

[3] points — Stochastic gradient descent algorithm: Write the content on slide number "42/73" (ignore my handwritten notes) starting from "Let...". (Ignore the slide numbers in your answer.) The curly brackets are \{...\} and the left arrow is \gets.

### Answer:

Let  $e_k > 0$  be learning rates,  $k = 1, 2, \cdots$ 

- Initialize  $\theta$
- While not converged (k = iteration counter):
  - Select m samples  $\{\mathbf{x}^{(1)}, \cdots, \mathbf{x}^{(m)}\}\$  and matching labels  $\{y^{(1)}, \cdots, y^{(i)}\}\$
  - Compute gradient  $\mathbf{g} \leftarrow \nabla_{\boldsymbol{\theta}} \frac{1}{m} \sum_{i=1}^{m} L(f(\mathbf{x}^{(i)}, \boldsymbol{\theta}), y^{(i)})$
  - Compute update  $\boldsymbol{\theta} \leftarrow \boldsymbol{\theta} e_k g$

[3] points — Perceptron algorithm: Write the content inside the "Perceptron update" blue box on slide number "53/73" (ignore my handwritten notes). (Just the pseudocode. Ignore the title text of the box.)

Answer:

**Input:**  $(\mathbf{x}^{(1)}, y^{(1)}), (\mathbf{x}^{(2)}, y^{(2)}), \dots$ , time T

- 1. Initialize  $\mathbf{w} = \mathbf{0}$ .
- 2. For  $t = 1, 2, \dots, T$ 
  - 2.1 If  $y^{(t)}\mathbf{w}^{(t)}\mathbf{x}^{(t)} > 0$ , then  $\mathbf{w} \leftarrow \mathbf{w}$ ,
  - 2.2 Else, then  $\mathbf{w} \leftarrow \mathbf{w} + y^{(t)} \mathbf{x}^{(t)}$ .

Output: w

# 3 Perceptron Problem

[10] points — Perceptron calculation: In this problem, you will run the Perceptron update for the following dataset for T=8 iterations. It is possible for the Perceptron to converge before all T iterations. In that case, you may declare that "the perceptron converges after this iteration" and stop.

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• 
$$\mathbf{x}^{(1)} = \begin{bmatrix} 1 \\ 0 \end{bmatrix}$$
 "east",  $y^{(1)} = +1$ 

• 
$$\mathbf{x}^{(2)} = \begin{bmatrix} 1 \\ 1 \end{bmatrix}$$
 "northeast",  $y^{(2)} = +1$ 

• 
$$\mathbf{x}^{(3)} = \begin{bmatrix} 0 \\ 2 \end{bmatrix}$$
 "north",  $y^{(3)} = -1$ 

• 
$$\mathbf{x}^{(4)} = \begin{bmatrix} -1\\1 \end{bmatrix}$$
 "northwest",  $y^{(4)} = -1$ 

• 
$$\mathbf{x}^{(5)} = \begin{bmatrix} -1\\0 \end{bmatrix}$$
 "west",  $y^{(5)} = -1$ 

• 
$$\mathbf{x}^{(6)} = \begin{bmatrix} -1 \\ -1 \end{bmatrix}$$
 "southwest",  $y^{(6)} = -1$ 

• 
$$\mathbf{x}^{(7)} = \begin{bmatrix} 0 \\ -1 \end{bmatrix}$$
 "south",  $y^{(7)} = +1$ 

• 
$$\mathbf{x}^{(8)} = \begin{bmatrix} 1 \\ -1 \end{bmatrix}$$
 "southeast",  $y^{(8)} = +1$ 

Write your answer in the box below. Show all your calculations. Go through each iteration thoroughly. Make sure that you address

- What is **w** at the beginning/end of an iteration?
- What is the calculation that goes into checking the "If/Else" statement?

#### Answer:

Being:

$$\mathbf{X} = [[1,0],[1,1],[0,2],[-1,1],[-1,0],[-1,-1],[0,-1],[1,-1]]$$
  
$$\mathbf{y} = [1,1,-1,-1,-1,1,1]$$

and using the Perception algorithm, we have the next iterations:

1. Case else: 
$$\mathbf{w}$$
 initial =  $\begin{bmatrix} 0 \\ 0 \end{bmatrix} \rightarrow 1 \cdot \begin{bmatrix} 0 & 0 \end{bmatrix} \cdot \begin{bmatrix} 1 \\ 0 \end{bmatrix} = 0 \rightarrow \mathbf{w_f} = \begin{bmatrix} 0 \\ 0 \end{bmatrix} + 1 \cdot \begin{bmatrix} 1 \\ 0 \end{bmatrix} = \begin{bmatrix} 1 \\ 0 \end{bmatrix}$ 

2. Case if: 
$$\mathbf{w}$$
 initial =  $\begin{bmatrix} 1 \\ 0 \end{bmatrix} \rightarrow 1 \cdot \begin{bmatrix} 1 & 0 \end{bmatrix} \cdot \begin{bmatrix} 1 \\ 1 \end{bmatrix} = 1 > 0 \rightarrow \mathbf{w_f} = \mathbf{w_i}$ 

$$3. \ \mathbf{Case \ else:} \ \mathbf{w \ initial} = \begin{bmatrix} 1 \\ 0 \end{bmatrix} \rightarrow (-1) \cdot \begin{bmatrix} 1 & 0 \end{bmatrix} \cdot \begin{bmatrix} 0 \\ 2 \end{bmatrix} = 0 \rightarrow \mathbf{w_f} = \begin{bmatrix} 1 \\ 0 \end{bmatrix} + (-1) \cdot \begin{bmatrix} 0 \\ 2 \end{bmatrix} = \begin{bmatrix} 1 \\ -2 \end{bmatrix}$$

4. Case if: 
$$\mathbf{w}$$
 initial =  $\begin{bmatrix} 1 \\ -2 \end{bmatrix} \rightarrow (-1) \cdot \begin{bmatrix} 1 \\ -2 \end{bmatrix} \cdot \begin{bmatrix} -1 \\ 1 \end{bmatrix} = 3 > 0 \rightarrow \mathbf{w_f} = \mathbf{w_i}$ 

5. Case if: 
$$\mathbf{w}$$
 initial =  $\begin{bmatrix} 1 \\ -2 \end{bmatrix} \rightarrow (-1) \cdot \begin{bmatrix} 1 & -2 \end{bmatrix} \cdot \begin{bmatrix} -1 \\ 0 \end{bmatrix} = 1 > 0 \rightarrow \mathbf{w_f} = \mathbf{w_i}$ 

6. Case else: 
$$\mathbf{w}$$
 initial =  $\begin{bmatrix} 1 \\ -2 \end{bmatrix} \rightarrow (-1) \cdot \begin{bmatrix} 1 \\ -1 \end{bmatrix} = -1 < 0 \rightarrow \mathbf{w_f} = \begin{bmatrix} 1 \\ -2 \end{bmatrix} + (-1) \cdot \begin{bmatrix} -1 \\ -1 \end{bmatrix} = \begin{bmatrix} 2 \\ -1 \end{bmatrix}$ 

7. Case if: 
$$\mathbf{w}$$
 initial =  $\begin{bmatrix} 2 \\ -1 \end{bmatrix} \rightarrow 1 \cdot \begin{bmatrix} 2 \\ -1 \end{bmatrix} \cdot \begin{bmatrix} 0 \\ -1 \end{bmatrix} = 1 > 0 \rightarrow \mathbf{w_f} = \mathbf{w_i}$ 

8. Case if: w initial = 
$$\begin{bmatrix} 2 \\ -1 \end{bmatrix} \rightarrow 1 \cdot \begin{bmatrix} 2 \\ -1 \end{bmatrix} * \begin{bmatrix} 1 \\ -1 \end{bmatrix} = 3 > 0 \rightarrow \mathbf{w_f} = \mathbf{w_i}$$

### Python code: 1 # Imports 2 import numpy as np 4 # Algorithm 5 def perceptron\_update(X,y,T): w = np.zeros((1,2))for t in range(T): if y[t] \* (np.dot(w,X[t])) <= 0: print("Caso else") w = w + y[t]\*X[t]10 else: 11 print("Caso if") print(w) 13 14 15 # Main 16 X = np.array([[1,0],[1,1],[0,2],[-1,1],[-1,0],[-1,-1],[0,-1],[1,-1]]) y = np.array([1,1,-1,-1,-1,1,1]) 18 T = 8 perceptron\_update(X,y,T)