

- **Operating system:-** Operating system is a collection of set of program, which manages all the resources of the computer system.

It is intermediated between user and hardware.

- **Characteristics:-**

1. Scheduling
2. memory management
3. security
4. Interact with different software
5. Device management
6. File management

- **TYPE**

1. Single user O/S: MS Disk operating System
MS-DOS (CUI)

2. Multiuser O/S: Windows 95, 97, XP, Vista,
UNIX, LINUX etc (GUI)

3. Multiprogramming O/S

4. multiprocess

5. time sharing

6. Real time

- **Single user O/S:** In single user operating system only one user can access the resources and perform its tasks.

V P.C.B (Process Control Block)
T.C.B (Task Control Block)

- A P.C.B is a data structure used by computer operating system to store all the information about a process. It is used to identify to maintain quality and improve performance.

Information stored P.C.B

1	Process ID
2	Process state
3	Scheduling Info
4	Program Counter
5	Accounting Information
6	Memory management info
7	Register Information
8	I/O Device Information

(e) The kernel-level thread is good for those application that blocks the frequency.

- Disadvantages:-

(1) The kernel thread manages and schedules all threads.

(2) The Implementation of Kernel Threads is difficult than the User Thread.

(3) The Kernel thread is slower than user-level threads.

- Components of Threads

① Program Counter

② Register set

③ Stack space

- Benefits of Threads:-

- Enhanced throughput of the system

- Effective Utilization of multiprocessor system:-

QUESTION

Question what is deadlock?

Ans:- Deadlock is a situation where a set of processes are blocked because each process is holding a resource and waiting for another resource acquired by some other process.

* Necessary condition for deadlock :-

1. Mutual exclusion
2. Hold and wait
3. No preemptive
4. Circular wait

• Deadlocks characterization

- (1) Mutual exclusion:- If two process cannot use same resource of some time.
- (2) Hold and wait:- A process waits for some resource while holding another resource at the same time.
- (3) No preemption:- In process which once scheduled will be executed till the completion.

- Faster context switch:-
- Responsiveness
- Communication
- Resource sharing
- What is thread?

Thread is a path of execution with a process.

A process ^{contains} multiple threads.

If the process has multiple of control it can do more than one task at a time.

A thread is like a task with one thread.

• Need of threads:-

(1) Threads can share common data they do not need to use Inter-process communication.

(2) Context switch is faster when working with threads.

(3) It takes less time to terminate a thread than a process.

Deadlock prevention:- when the any one no of the four condition mutual exclusion + no preemption, hold & wait + circular wait not occurs or we stop any of the one condition so it is known as deadlock prevention.

In direct method
of deadlock prevention

direct method
of deadlock
prevention

If we can break the mutual Exclusion + hold and wait non-preemption circular wait at any one of them so we indirectly prevent deadlock by breaking that all condition

If we break the If we break the system directly from deadlock.

(1) Mutual Exclusion:- We can break mutual exclusion by using sharable mode of resource. we use the protocol of using sharable mode of resources which are in read only format.

Non-sharable
(Read - write file)

Sharable
(Read only file)

(CPU Scheduling)

- The CPU scheduling is the process by which a process is executed by using the resource of the CPU. the process also can wait due to the absence of the resource. the process make the complete of CPU.

modes of CPU scheduling algorithm

- ① Pre-emption
- ② Non Pre-emption

type of CPU scheduling algorithm.

- (1) FCFS (First come first serve)
 - (2) SJF (shortest job first)
 - (3) Priority
 - (4) Round robin
- (1) FCFS:- FCFS is first type CPU scheduling algorithm. FCFS CPU scheduling algorithm the CPU allows the resources to the process in certain order. we can also say that FCFS CPU scheduling algorithm follows first in first out ready queue.

FCFS serve can be called as FCFS
is Short Form.

Advantages:-

very easy to perform by the CPU.
follows FIFO Queue Approach.

Disadvantages:-

FCFS serve is not very efficient.

FCFS suffers because of ConvoY
effect.

SJF:- this is another type of CPU
scheduling algorithm. here in this
CPU scheduling algorithm we
are going to learn how CPU is
going to allot resource to the
certain process.

The SJF is heavily dependent on
the Burst times. every algorithm
is basically dependent on the
arrival times.

Advantages:-

SJF used because it has the least
average waiting time than the other
CPU scheduling algorithm.

that peace in usorana.

* dynamic approach

In this which data we want to access loaded into primary memory from secondary memory and then instructions load one by one in RAM which are needed.

there we need to conversion of address logical to physical.

so there is RA (Re-allocation Register) which contains allocation address of data then the allocation address add in base address of process or instruction and then it is the physical address for that data or program.

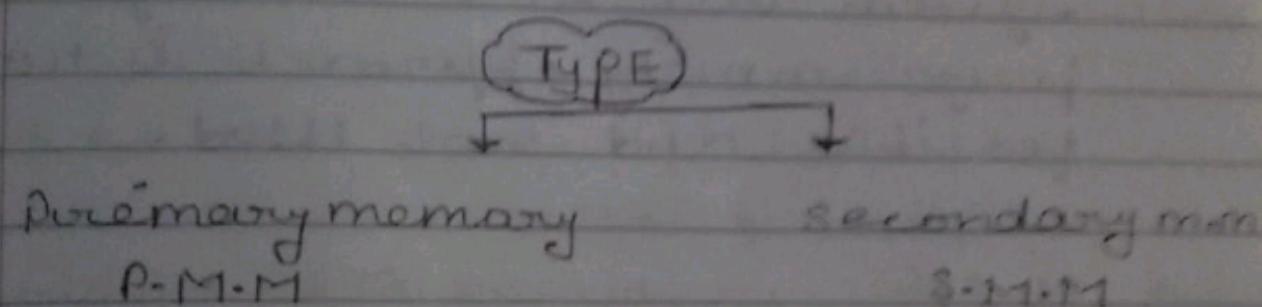
Memory allocation techniques

contiguous memory
Allocation

Non-contiguous
memory Allocation

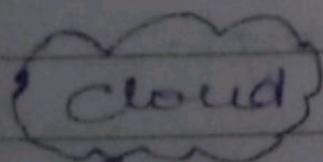
Memory Allocation:- A process requires memory space in order to run. As a result, a process must be given a specific amount of memory that correspond to its needs. That memory allocation is a form

(Memory Management)
Memory is the important part of the computer that is used to store the data. Its management is critical to computer system because the amount of main memory available the amount of main memory available in a computer system is very limited.



Secondary m.m

Secondary memory (Auxiliary mass storage)



Space very large size

Space in virtual where we can securely save our data.

Memory management

sharing

protect

for this ~~process~~ procedure.

there are two type of techniques

1) Contiguous memory Allocation

Non-Contiguous memory Allocation,

(Contiguous memory Allocation)

In this technique we allocate contiguous blocks for each process. whenever a process requires to much main memory.

there are two type of contiguous memory Allocation techniques.

Fixed-size partitioning | position each process in this method of contiguous memory allocation is given a fixed size continuous block in the main memory.

Variable-size partition

In this type of memory allocation each block size is determined by the needs of the process that uses it.

(Paging Hardware)

Page table - Page table is a data structure used by paging which contains two information

1. Page no.
2. Frame no.

Page are divided into two part page no. and it's corresponding offset.

frame are also devide into two parts frame no. and offset of page.

Segmentation

Segmentation is a non-contiguous memory allocation technique where processes are divided into multiple segments and allocated to memory in frames with the help of a data structure known as segment table.

In segmentation, Segment is divided into two part segment no. and segment offset.

S	d
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- * Segment table contains two information
- 1. Limit (size of segment)
- 2. Base address (logical address)

Segmentation Hardware

In Segmentation logical address divides into segment size, its corresponding offset.

First it checks it into the segment table, and if $\text{size} > \text{offset}$ so it generates absolute address by adding Base address + offset and if it is false ($\text{size}! > \text{offset}$) so the segment trapped into

Comparison between Paging and Segmentation

- Paging :- Non contiguous memory allocation.
- 2) Paging divides program into fixed size page.
- 3) OS is responsible.
- 4) Paging is faster than ~~paging~~ segmentation.
- 5) Paging is closer to OS system.
- 6) It suffers from internal fragmentation.
- 7) There is no external fragmentation.
- 8) Logical address is divided into page number and page offset.
- 9) page table is used to maintain the page information.

Logical VS Physical address

- (1) logical address:- It generated by CPU while a program is running.
 - (2) the logical address is virtual address as it does not exist physically, therefore, it is also known as virtual address.
 - (3) This address is used as a reference to access the physical memory location by CPU.
-
- (1) physical address:- Identifies a physical location of required data in a memory.
 - (2) The user never directly deals with the physical address but can access by its corresponding logical address.

Process Synchronization

Process synchronization is the technique to overcome the problem of concurrent access to shared data which can result in data inconsistency.

Definition: — The procedure involved in preserving the appropriate order of execution of cooperative process is known as Process synchronization.

There are various synchronization mechanisms that are used to synchronize the processes.

Race Condition: — A Race Condition typically occurs when two or more threads try to read write and possibly make the decision based on the memory that they are accessing concurrently.

Critical Section: — Critical section is the part of program which tries to access shared resources.

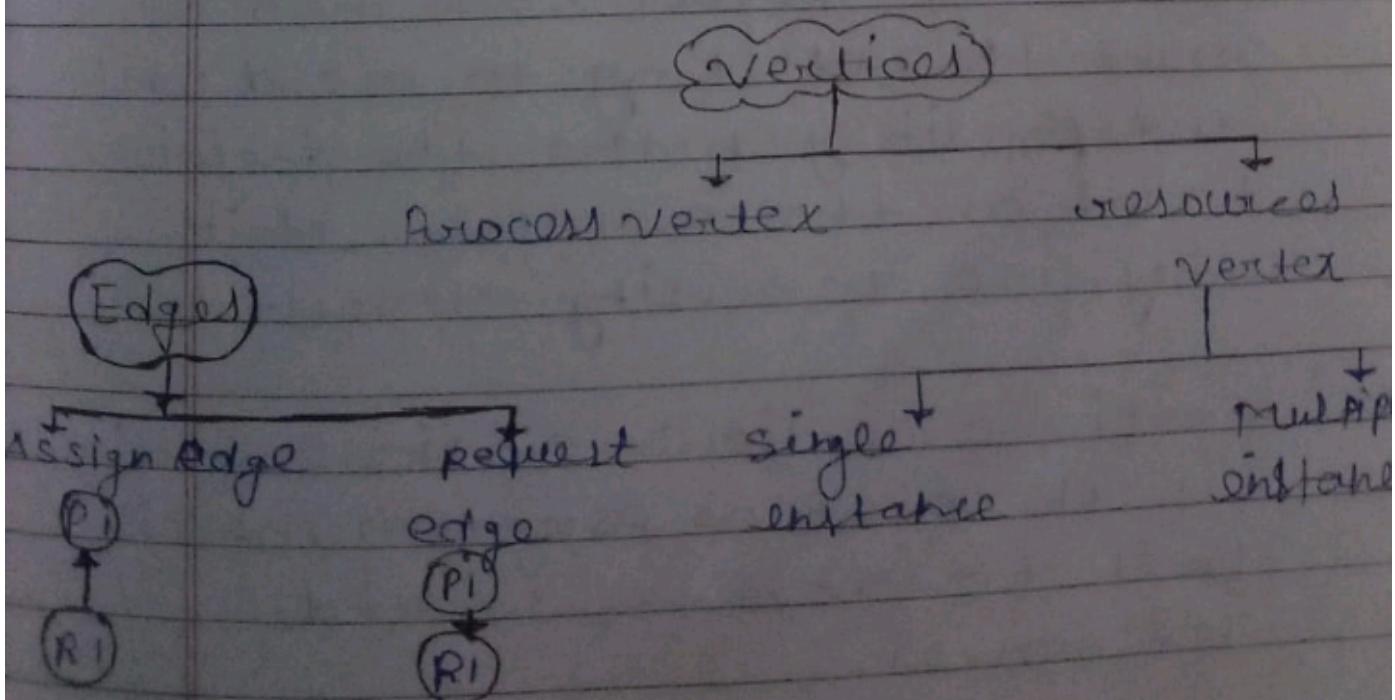
Resource Allocation Graphs

The resource allocation graph is the pictorial representation of the state of a system.

As its name suggests, the resource allocation graphs is the complete information about all the processes which are holding some resources or waiting for some resources.

In resource allocation graphs, the process is represented by a circle while the resource is represented by a rectangle.

Type of vertices and edges in detail



- First in First Out Page Replacement Algorithm.

This is the first basic algorithm of page replacement algorithm.

This algorithm is basically dependent on the number of frames used. Then each frame takes up the certain page and tries to access it.

- Optimal Page Replacement Algorithm
- This is the second basic algorithm of page replacement algorithm. This algorithm is basically dependent on the number of frames used. Then each frame takes up the certain page and tries to access it.

~~smf~~ ✓ Least Recently Used (LRU)

This is the last basic algorithm of page replacement algorithm. This algorithm is basically dependent on the number of frames used.

Then each frame takes up the certain page and tries to access it.

Swapping

- Swapping is a memory management scheme in which any process can be temporarily swapped from main memory to secondary memory so that the main memory can be made available for other processes.

It is used to improve main memory utilization.

Page Replacement Algorithm

- There are three type of page replacement algorithm. They are.
- optimal page replacement algorithm
- First In first Out page replacement algorithm.
- least recently used (LRU) page replacement algorithm.

Segmentation

- (1) Non-contiguous memory allocation.
- (2) Segmentation divides program into variable size segments.
- (3) Compiling is impossible.
- (4) Segmentation is slower than paging.
- (5) Segmentation is closer to user.
- (6) It suffers from external fragmentation.
- (7) There is no external fragmentation.
- (8) Logical address is divided into segment number and segment offset.
- (9) Segment table maintains the segment information.

Operating Systems Services

- 1) OS provides services to both program as well as user.
- 2) OS provide an environment to programs to execute.
- 3) OS provide service to user to execute programs in environment manner.
 - as common services
 - program execution
 - I/O operations
 - file system manipulation
 - Communication
 - Error detection
 - Resource allocation.
 - Protection.

(b) Priority Frame Allocation Algorithm.
According to the quantity of frame allocations and the processes, priority frame allocation distributes frames. Let's say a process has a high priority and needs more frames; in such cases, additional frames will be given to the process.

A Physical Address is required by the ~~Central~~ CPU. For the Frame creation and the physical addressing provides the actual address to the Frame created.

- The following Frame algorithm
- 1) Equal Frame Allocation Algorithm

Here, in this Frame Allocation algorithm we take number of frames and ~~the~~ number of processes at once. we divide the number of frames by number of processes. we get number of frames we must provide for each process.

- 2) Proportional Frame Allocation Algorithm.

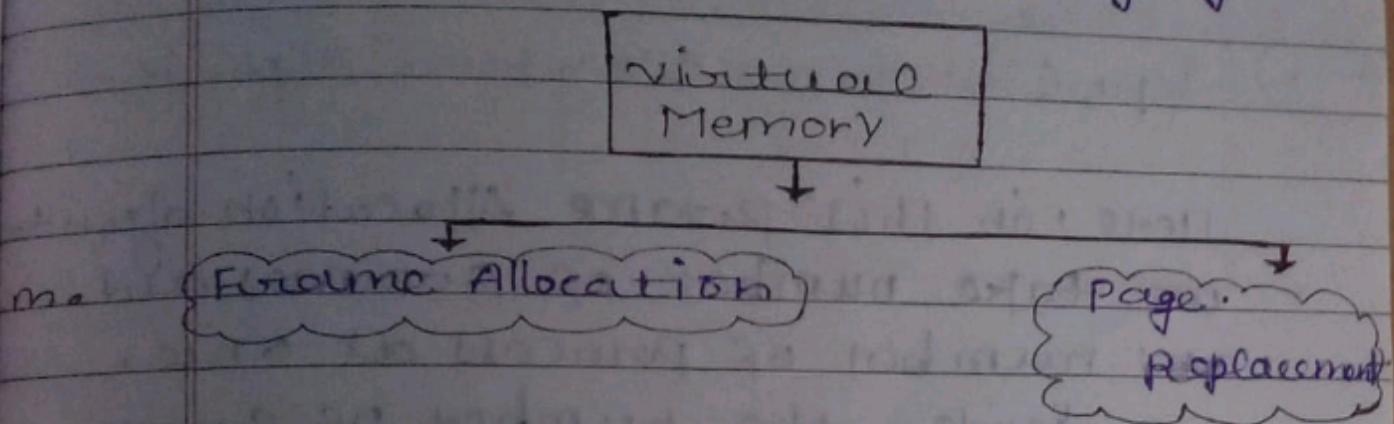
Here, is Frame Allocation Algorithm we take number of frames based on the process size.

For big processes more number of frames is allocated.

For small processes less number of frames is allocated by the OS.

when the frames are filled then the actual problem starts. the fixed number of frames is filled up with the help of first frames present.

this concept is fulfilled with the help of Demand Paging.



The important job of virtual memory in OS are two.

- Frame Allocation
 - Page Replacement.
-
- Frame Allocation in virtual memory.

Demand paging is used to implement virtual memory, an essential component of OS system.

A page replacement mechanism and a frame allocation algorithm must be created for demand paging.

Critical Section Problem

Critical Section problem is the part of program which tries to access shared resources.

The critical section cannot be executed by more than one process at the same time. difficulties in allowing and disallowing the process from entering the critical section.

The critical section problem is used to design a set of protocols which can ensure that race condition among the processes will never arise.

Give the Condition that a solution to the critical section problem must satisfy.

Any solution to the critical section problem must satisfy three requirement.

- * Primary.
- 1. Mutual exclusion
- 2. Progress
- 3. ^{Secondary} Bounded waiting
- 2. Architectural neutrality.

System call

- System call is a method for a computer program to request a service from the Kernel of the operating system on which it is running. A system call is a request from a computer program to an operating system kernel.

How System call work

The application work in one area of memory known as user space. A system call connects to the operating system's kernel which executes in kernel space.

Type of System Call

- ① Process Control
- ② File management
- ③ Device management

* why do you need System Call in OS

- It is must require when a file want to create or delete a file.

Network Connection

- If you require the system call to sending and receiving data packet.

Virtual memory & Demand Paging.

Demand Paging is a technique to implement virtual memory in primary memory.

In this memory allocation technique only need/demanded or usable pages of a process are allocated into the frames by a module known as page table.

swapper is a module used in paging which swap all the pages of a process into the frames.

Implementation of demand Paging.

page fault:- A situation occurs when CPU what to execute that page which is not in primary memory because the operating system invalidates its bit status in page table.

Non - Contiguous memory allocation

Paging

Segmentation

* Non-contiguous memory allocation:

Non-contiguous memory allocation divides the process into blocks (or page or segments), which are subsequently allocated to different memory location in accordance with the quantity of free memory. This method uses to make a process's physical address space non-contiguous are Paging and Segmentation.

Non-contiguous memory allocation also reduces memory waste caused by internal and external fragmentation because it utilises the memory gaps left by internal and external fragmentation.

Non-contiguous memory allocation proceeds more slowly than contiguous memory allocation. Segmentation and paging are included.

memory management

uni programming
approach

(Mono-programming)

multiprogramming
approach

- uni programming Approach:-
It is used for single processor,
single program at a time so
in this it easy to secure the
system but now-a-days uni-
programming approach is not
feasible and not used.
- Multiprogramming Approach:-
In this approach multiple
process or program execute at
some time so because of multipl
program it is very difficult
or complex to manage.

* static approach *

In these are two section memory
used area & OS area which are
separate by FR fence Register.
And every process has its own
base address so if BAFR = 0
then it is a trap and if BAFR
so that process allocated at

Disadvantages:-

- i) Starvation is one of the negative traits SJF CPU scheduling algorithm exhibits.

(3) Priority :- This is another type of CPU scheduling Algorithm.

The priority CPU scheduling Algorithm is different from the remaining CPU scheduling Algorithm.

There are two type Priority values:-

- i) Highest number is considered as highest Priority value.
- 2) Lowest Number is considered as lowest priority value.

Advantages:-

- i) It is easier to handle priority CPU scheduling.
- 2) It is less complex.

DisAdvantages:-

- i) The starvation problem is one of the Pre-emptive priority CPU scheduling Algorithm's most prevalent flaws.

Bankroll Alg.

Step:-1 Let work and finish are two vectors of length m and n respectively. Let work = Available, and finish $i = \text{false}$ for all i .

Step:-2 find a process i such that:-

(i) finish $i = \text{false}$

Need $i \leq \text{work}$

If such i exists go to step 4

Step:-3 $\text{work} = \text{work} + \text{Allocation}_i$

Repeat step 2

Step:-4 if $\text{finish } i = \text{true}$ for all i
System is SAFE & SUFF sequence
exists.

- Deadlock Avoidance
- when we do any one
- kill that victim process
- Ignore deadlock
- so it is known as deadlock avoidance

Difference between Deadlock prevention and Deadlock Avoidance is.

Deadlock prevention	Deadlock Avoidance
1. It assures that at last one of the four deadlock conditions never occurs.	It prevents the system from coming to an unsafe system.
All the resources in deadlock prevention are requested together.	Resource requests in deadlock avoidance are exerted according to safe path.
It do is conservation in deadlock prevention.	It is not conservation in deadlock Avoidance.

Data structure Algorithm Banker's algorithm.

- Deadlock Algorithm

- (i) Safety Algorithm (Banker's algorithm)

Data structure in Banker's algo.

- (1) Allocation:- Matrix of Order m x h which defines no of allocated Resources to any process.

$\text{Allocation}(i,j)$ = Resource R_j has been allocated to process P_i .

- (2) Max:- Matrix of $m \times h$ which define no of maximum resources to be allocated to any process ~~max~~ \uparrow

$\text{Max}(i,j)$ = maximum instances of Resources R_j can be allocated to process P_i

- (3) Need:- matrix which defines need of any resources to any process.

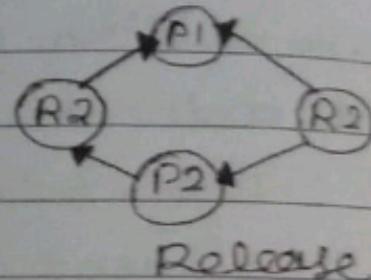
$\text{Need}(i,j)$ = k instances of resource R_j is needed by process P_i .

$$\text{Need}(i,j) = \text{max}(i,j) - \text{Allocation}(i,j)$$

② Hold and wait:- we break our waiting condition by using the protocol which is "before generating a request for a resource a process must be release all the resources held by that."

we use the model

- 1) Request
- 2) Allocation
- 3) use.
- 4) Release.



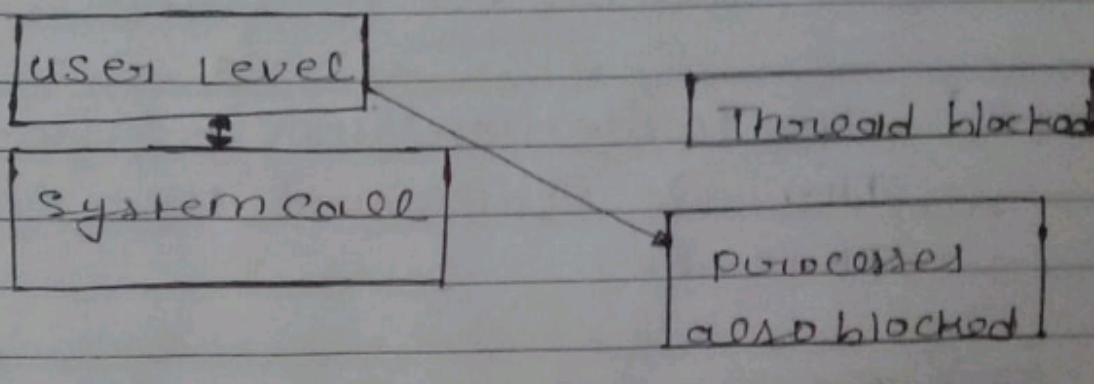
③ Non-preemption:- this condition will break by using explicitly Release protocol.

implicit \rightarrow not expressed in a direct way but understood by the people involved.

④ Circular wait:- to violate wait, we can assign a priority number to each of the resources

(i) Disadvantages:-

- (i) User-level threads lack coordination between the thread and kernel.
- (ii) If a thread causes a page fault the entire process is blocked.



- **Kernel thread** :- Kernel threads are implemented by operating system. Thread management is by the Kernel.
- No Thread table in each process.
- Kernel has a thread table that keeps track of all the threads of all the users in system.
- Information stored in kernel instead of user space.
- ADVANTAGES :-

- ## (ii) The kernel-level thread is fully aware of all threads.

(4) Circular wait:- All the processes must be waiting for the resource in a cycle manner.



* Deadlock prevention:-

- Avoidance detection and prevention
- Allow the system to enter into deadlocked state.
- Deadlock detection algorithm
- Recovery techniques

* two type of Deadlock detection

Algorithm

single instance
(wait for grants)

multiple instances
(Banker's algo)

Deadlock recovery

Recovery

Resources

Processes

Precempt

Rollback

Release

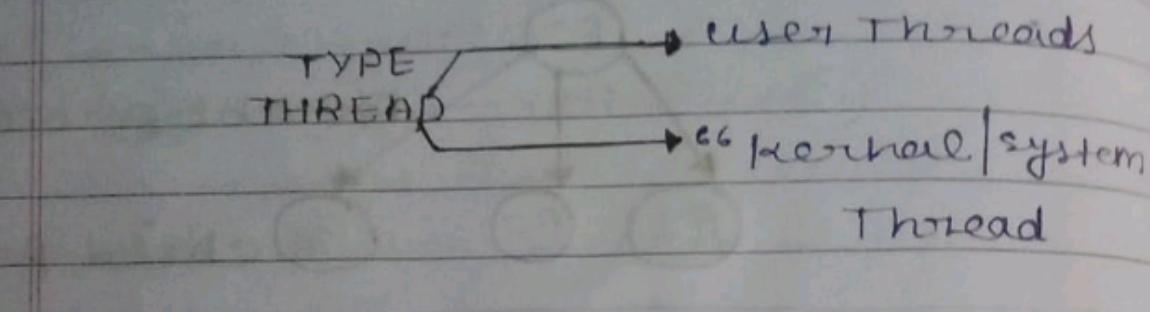
Kill

[THREAD]

Small weighted process

multi-threading OS

Ex:- windows : 7, 8, 11....



- User Thread

(1.) User thread are implemented by user.

kernel known nothing about user level thread.

User level threads are loaded entirely in user space.

Each process has its own private thread table which consists of program counter, stack, pointer registers state etc.

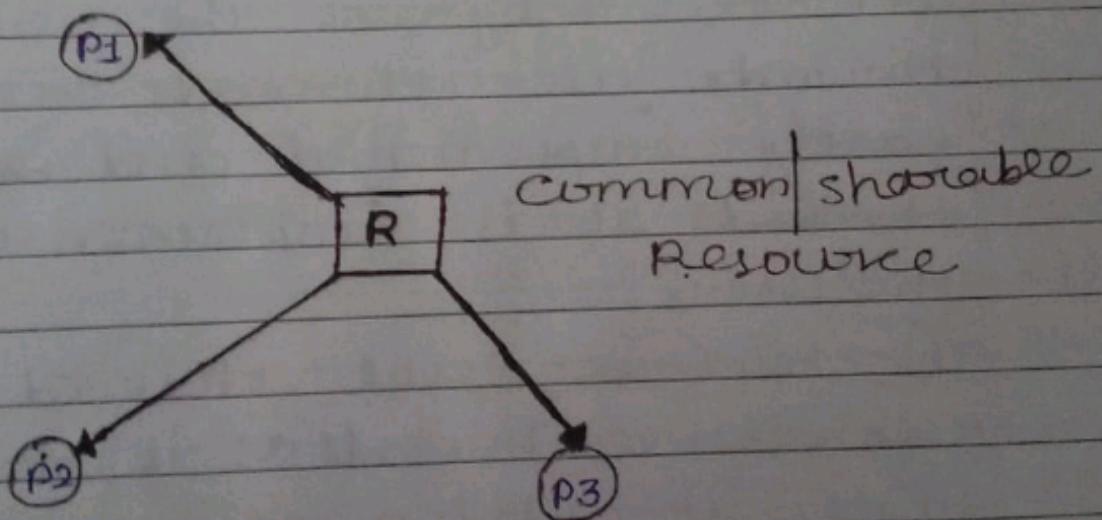
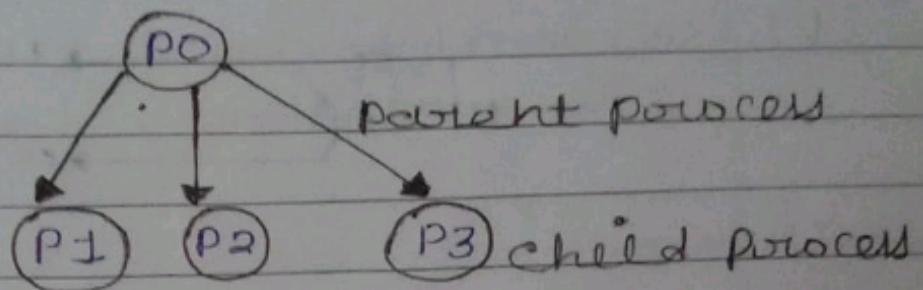
- ADVANTAGES

(1) The user threads can be easily implemented than the kernel thread.

(2) It is fast and efficient.

- child process.

the process which will execute by dividing process sub-processes and every process execute differently.



Different type of Process

- ① serial process
- ② concurrent process
- ③ co-operating process
- ④ child process.

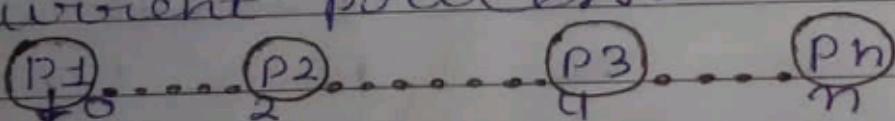
(1) serial process:-



the process which executed without affecting other process is known as serial process.

(2) concurrent process

the process which execute by sharing time slice or time quanta is known as concurrent process.



•) $q=2$

(3) co-operating process:-

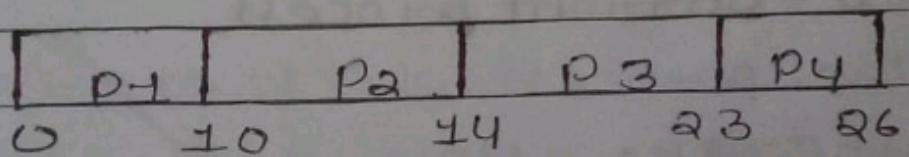
the process which execute parallelly is known as co-operate process these process execute by sharing resources.

Turn around time for P1 = 30ms
 $P_2 = 06\text{ ms}$
 $P_3 = 03\text{ ms}$

* FCFS Algo *

process	CPU BT (ms)
P1	10
P2	4
P3	9
P4	3

Gantt chart



Waiting time for P1 = 0ms
 $P_2 = 10\text{ ms}$

$$P_3 = 14\text{ ms}$$

$$P_4 = 23\text{ ms}$$

$$\text{Average WT} = \frac{47}{4} = 11.75\text{ ms}$$

TAT for P1 = 10ms

$$P_2 = 14\text{ ms}$$

$$P_3 = 23\text{ ms}$$

$$P_4 = 26\text{ ms}$$

$$\text{ATAT} = \frac{73}{4} = 18.25\text{ ms}$$

(4) Turn around time:- It should be sum of all TAT of processes / Number of process.

$$TAT = (FT - AT)$$

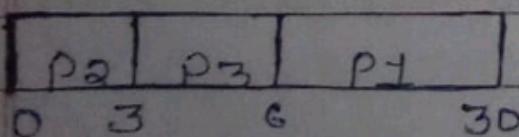
(5) Arrival time:- Rate at which processes are completed per unit time.

(6) Finish time:- FAIR Share w/o CPU

Time when the Processes come out from the system.

Process	CPU burst time (ms)
P ₂	3
P ₃	3
P ₁	24

• Gantt chart for following condition



$$\text{Waiting time for } P_1 = 6 \text{ ms}$$

$$\text{Waiting time for } P_2 = 0 \text{ ms}$$

$$\text{Waiting time for } P_3 = 3 \text{ ms}$$

$$\text{Average waiting time} = \frac{6+0+03}{3}$$

$$= \frac{09}{3} = 3 \text{ ms}$$

- * CPU use multiple algorithm which are :-

1) FCFS (First come first serve)

2) SJF (shortest job first)

3) RR (Round Robin)

4) Priority

Non-pre-emptive scheduling	pre-emptive scheduling
FCFS, SJF, RR, Priority	SJF, Priority

Different term Related to CPU scheduling Algorithm.

(1) Throughput:- Rate at which Process are completed per unit time throughput should be high.

(2) CPU burst time:- Time Required by process complete its execution.

(3) Waiting time:- SUM OF Average time process number of process It should be less.

formula:- TAT = Burst time

CPU Scheduling:- CPU scheduling is a schedule which CPU use to execute its processes.

CPU scheduling is a process of determining which process will use one CPU for execution while another process on hold.

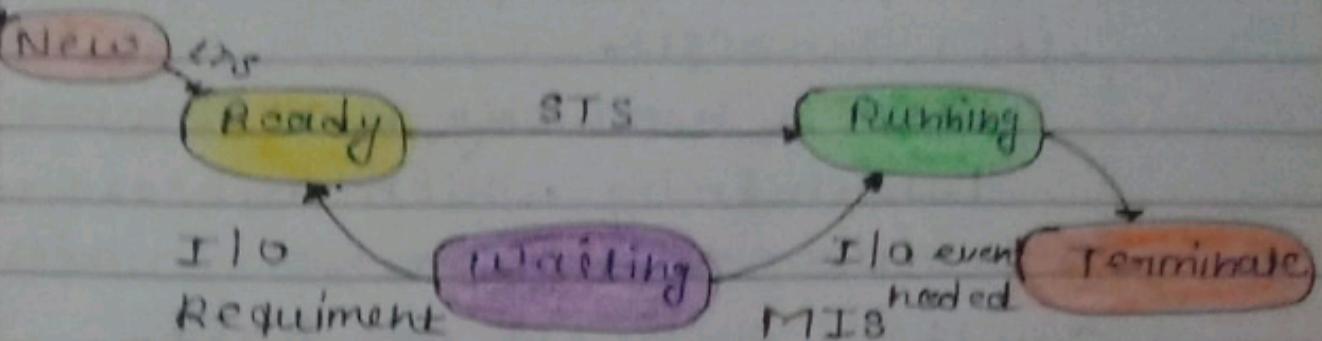
TYPE CPU scheduling

Pre-emptive	non-Pre-emptive
Round Robin	First come first
Priarity scheduling	Shortest job first
shortest remaining time first	Shortest job first
longest remaining job first.	• Largest job first • Highest Response Ratio next

Purpose of scheduling Algorithm

- Maximum CPU utilization
- Fair allocation of CPU
- Maximum throughput
- Minimum waiting time.

Process State Diagram (Task)



1. **New**:- Process has been generated in this state.
2. **Ready**:- This state ensures that process is ready for allocating to CPU.
3. **Running**:- Actually executing inside CPU
4. **Waiting**:- wait to free the resource that needed to complete the process.
5. **Termination**:- end of currently execution process

Modulo(s) → 1) scheduler →

- long time
- short time
- middle time

2) dispatcher

long term → Job Scheduler

short term → (CPU) scheduler

(Process Management)

"Program during execution"

"Process is activity entity" which generates program after complete execution.

A program does nothing unless its

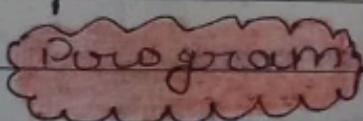
Instruction are executed by a CPU.

A program in execution is called a process.

CPU



P1 → Active entity.



Program → Passive entity

- ↳ creation
- ↳ updation
- ↳ control
- ↳ operation
- ↳ termination

Process

① Active entity

Program

② passive entity

- ② responsible for generating program
- ② generates by process

BATCH PROCESSING OR

- A Batch processing mode batch of some types of jobs and install the environment execute jobs and then it then install another environment and execute other jobs.
- ADVantages:-
 - (1) Multiple user can share the batch system.
 - (2) The idle for the batch system is very less.
- Disadvantages:-
 - (1) Batch system are hard to debug.
 - (2) It's sometimes costly.

Example of Batch Processing System.

Billing system

Bank invoice system.

- ADVANTAGES OF O.S

1. O.S also allow us to sharing the resource with other user.

2. It help user to understand the function of a computer.

3. It is very ~~useful~~ easy to use.

4. It can be easily updated.

- Disadvantages

1. Only some task no at a time.

Difference single user and multiuser
OS

Single user	Multiuser
1. Single user can use at a time.	More than one user can use at a time.
2. Simple.	Complex.
3. Small in size.	Large in size.
4. No sharing of data.	Sharing of data.
5. CPU sits idle most of the time.	Best utilization of CPU time because of many.
6. Standalone.	Many systems are connected with one system.

Multiuser:- In the multiuser operating system, multiple users can access the resources simultaneously.

Multiprogramming A multiprogramming operating system may run many programs on a single processor computer.

Multiprocess:- In operating system, to improve the performance of more than one CPU can be used within one computer system is called multiprocessor operating system.

Time sharing :- Time sharing operating system work with time sharing concept.

A time sharing operating system allows many users to be served simultaneously, so sophisticated CPU scheduling schemes and I/O management are required.

Real time :- Real time operating system is very useful where we required a quick response for ex:- Missile system.