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# **Analysis of the ICE Model**

**Anupam Prasad Vedurmudi**

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München 2013



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# **Abstract**

Hier steht eine maximal einseitige Zusammenfassung der Dissertation.

Dies ist ein neuer Absatz.



# **Chapter 1**

## **Introduction**



# Chapter 2

## The ICE Model

Several terrestrial vertebrates, e.g. lizards, frogs, alligators and many birds, possess a hearing mechanism very different to that of mammals: their tympanic membranes are coupled through large eustachian tubes and a large mouth cavity resulting in the influence of the vibrations of one tympanic membrane on those of the other. This is illustrated in 2.1. The typically small head sizes (compared to sound wavelength) of these animals result in small phase differences (ITDs) and negligible amplitude difference (ILDs) between the ears. The coupling serves to enhance the ITDs and create ILDs between the tympanal vibrations. These differences show directionality and serve as hearing cues for localization.

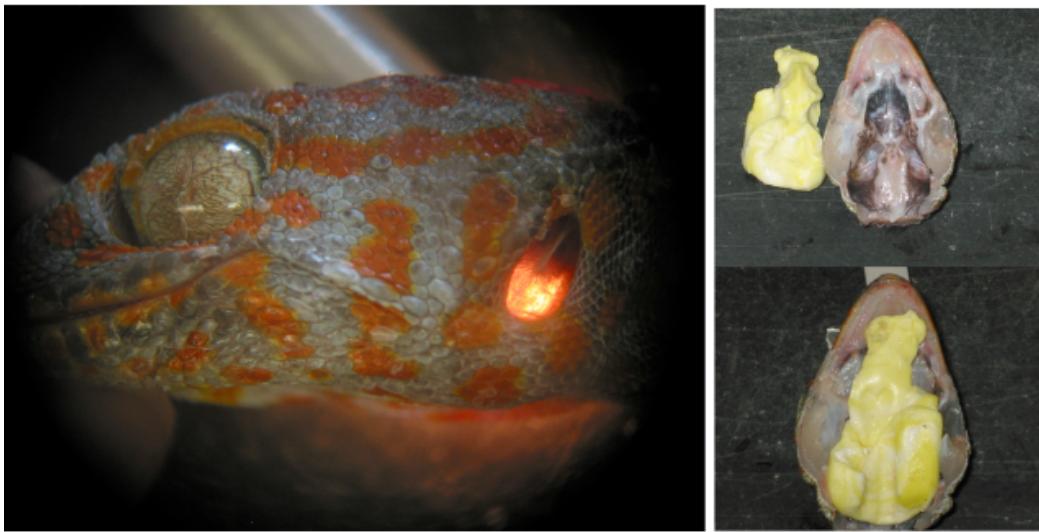


Figure 2.1: Left: Picture of a Tokay gecko's head with the snout pointing to the left. The tympanic membrane is illuminated from behind by a light source on the other side of the head. The cartilaginous extracolumella can be seen attached to the upper part of the membrane. Right: Cast imprint of the mouth cavity of the gecko with the snout pointing to the top. The figures illustrate the coupling of the tympani through the mouth cavity. Photographs courtesy of Jakob Christensen-Dalsgaard.

Proceeding from the earlier work done by Vossen in [1] and [2], in this chapter we present a model for such a system of coupled ears with an emphasis on lizard hearing - specifically the Tokay gecko and the common house gecko. Our goal is to demonstrate its main aspect of such a system - the coupling of the eardrums through the mouth cavity. The main components of such a system are the mouth-cavity, the two tympani and the two *extracolumella* (one on each tympanum). In general, the shape of the mouth-cavity is highly irregular and therefore not conducive to an analytical treatment. Moreover, the system corresponds to a pair of coupled second-order PDE's with moving boundaries. For this reason we will need to make further approximations in order to facilitate an analytic solution.

In order to make the system more analytically tractable we will, as before, study a geometry in which a pair of rigidly clamped linear elastic membranes are coupled through a cylindrical cavity. The cylindrical geometry allows an accurate calculation of the pressure distribution inside the cavity at both low and high frequencies. By accounting for the presence of the asymmetrically attached extracolumella, we will also explain the complex vibration patterns of the membrane. At the end of this chapter, we will have the expressions that describe the steady-state vibrations of both eardrums as a function pressure amplitude, direction and frequency.

## 2.1 Description of the Model

Before heading to a quantitative analysis of the ICE model, we will first need to list its basic components. and justify their properties based on a realistic mouth cavity.

In Sec. 2.1.1, we will describe the cylindrical model for the mouth cavity and state the reasons for our choice of the geometry and the dimensions used. We will then proceed to describe the middle ear system and its main components of interest, the *extracolumella* and the *tympani* in Sec. 2.1.2. Finally, in Sec. 2.1.3 we will analyse the dependence of the acoustic input to both eardrums on the direction of the sound source, head size and shape.

### 2.1.1 Mouth Cavity

In the earlier treatment of the ICE model, the mouth canal is modelled as a simple cylinder closed at both ends by rigidly clamped (baffled) circular membranes; these model the tympanic membranes. As shown by Vossen in [1, p. 21] and [2], the length of the cylinder was chosen to be equal to the interaural distance and the radius of the model tympanum is determined from the typical area of the realistic tympanum. The advantage of using a cylindrical cavity model for the mouth cavity is that the pressure distribution inside the cavity is easy to calculate. The pressure distribution inside the cavity becomes highly non-uniform with increasing frequency and a cylindrical cavity simplifies its calculation.

On the other hand, in this description the small area of the tympani results in a cavity volume which is an order of magnitude smaller than that of the realistic mouth-cavity in the corresponding animal. In general, a smaller volume results in a stronger coupling -

both in terms of an increased iTD and an increased iLD. For this reason, the earlier model overestimates the iTDs and iLDs at low and high frequencies respectively.

In order to get around this problem we make some slight modifications to the model. Essentially, we maintain the cylindrical shape of the internal cavity but require it to have a volume which is equal to that of the realistic cavity ( $V_{cav}$ ). We also maintain the same tympanum size and interaural distance and can therefore calculate the radius of the cylinder as,

$$a_{cyl} = \sqrt{\frac{V_{cav}}{\pi L}} \quad (2.1)$$

where  $a_{cyl}$  is the cylinder radius and  $L$  is the interaural distance. Simply put, the model consists of a cylindrical shell of radius  $a_{cyl}$  and length  $L$  with circular holes on either side with the radius of the tympanic membrane,  $a_{tymp}$ . These holes are in turn closed by rigidly clamped membranes which will be described in the next section. The previous and current geometric representations of our model are shown in figures 2.2a and 2.2b. The darkly shaded circular surfaces in fig. 2.2b at ends 0 and  $L$  correspond to the two eardrums.

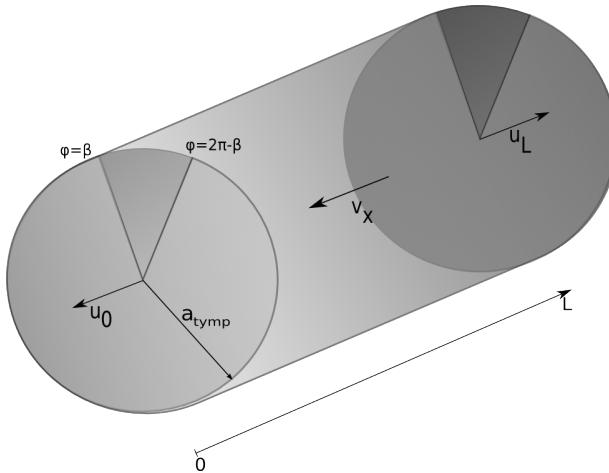
We will be working with the cylindrical polar coordinates,  $(r, \phi, x)$ . The direction along the cylindrical axis is denoted by  $x$  and  $(r, \phi)$  are the polar coordinates of the plane perpendicular to the  $x$ -direction. Directions outward from the cylinder are taken as positive (in  $x$ ) and those inward are taken as negative.

### 2.1.2 Middle Ear System

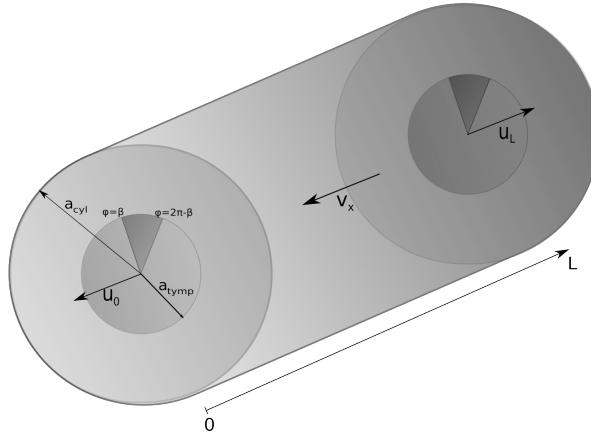
The main components of the middle-ear of lizards are the two eardrums, the columella and the two cartilaginous extracolumella. The tympanic membrane or the eardrum is a thin membrane that separates the outer ear and the middle ear and vibrates in response to external sound waves. Unlike humans, lizards possess only a single middle ear bone, the *columella*, that is connected to both eardrums by means of a cartilaginous element, the *extracolumella*.

The membrane-extracolumella-columella system functions as a second-order lever where the membrane - driven by the internal and external pressures - causes a displacement of the extension of the extracolumella (known as the inferior process). This motion is in turn transmitted via the columella and columellar footplate to the inner ear (cochlea). The inner-ear translates this motion into electrochemical impulses which will be passed on to the brain via the auditory nerve. The columella-extracolumella system effectively transmits the mechanical vibrations from the eardrums to the inner ear. In the human middle-ear, the same function is performed by the bones *malleus*, *incus* and *stapes*, which are collectively known as the ossicles.

For low frequencies (below 4kHz), the extracolumella (or more accurately, the inferior process of the extracolumella) moves as a completely stiff bar. It was shown by Manley [3] that the extracolumella begins to flex at higher frequencies - this is illustrated in fig. 2.3. This is partly responsible for the poor high-frequency response of gecko middle ears -



(a) The previous geometric representation of the ICE model.



(b) The representation of the new model.

Figure 2.2: The bold arrows represent the direction conventions along the cylinder's axis. The new model is represented by a cylinder of radius  $a_{cyl}$  and length  $L$  closed at both ends by sectoral membrane of radius  $a_{tym}$ . The darkly shaded region corresponds to the extracolumella (described in Sec. 2.1.2).

a feature also observed in other non-mammalian vertebrates. The reason for this is that, due to the flection some energy is lost and not transferred to the columella.

### Tympanic Membrane

The extracolumella applies a significant mechanical load on the tympanum and thereby precludes its treatment as a freely vibrating membrane. Furthermore, the contact surface of the malleus on the human eardrum is more or less symmetric whereas the extracolumella is attached asymmetrically. This has important physical consequences - especially in the observed vibration patterns of the membrane.

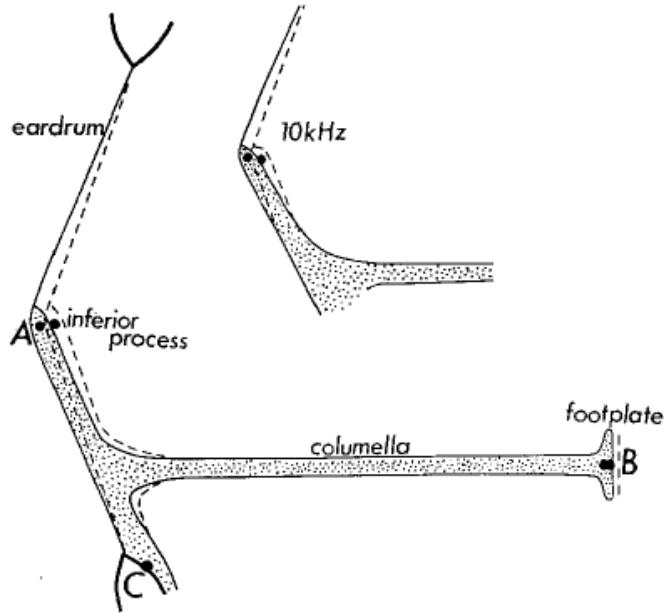


Figure 2.3: Operation of the middle ear lever in geckos reproduced from [3]. The inferior process of the extracolumella (A-C) hinges at point C at low frequencies. Also shown is the flection of the inferior process of the extracolumella.

In the previous treatment of the ICE model, the tympanum was modelled as a clamped circular membrane with assymetrically attached sectoral load between  $-\beta < \phi < \beta$  ([2]). This manifests itself as an additional boundary condition at  $\phi = \beta$  and  $\phi = -\beta$  which has to be satisfied via a numerical approximation. While this method has the advantage of being able to accurately reproduce the complex vibration patterns of the eardrum, it does not lend itself well to an analytical treatment of the coupled system.

In our study, we will follow a slightly different path. The tympanic membrane will be modelled as a rigidly clamped sectoral membrane. This means that in addition to the radial boundary at  $a_{tym}$ , we have a new set of boundaries at  $\phi = \beta$  and  $\phi = 2\pi - \beta$  where the membrane vibration is set to zero. This is illustrated in 2.4. The membrane material will be assumed to be linear-elastic. As before, the equations describing the vibrations of the membrane will consequently be linear 2<sup>nd</sup>-order PDE's.

At this point we should note that we have effectively set the mass of the extracolumella to infinity. Thereby, its motion has been neglected altogether. While this may seem counterintuitive at first, we will later see that this assumption, while simplifying the problem analytically, has little effect on the physical phenomenon of interest, viz. the coupling between the eardrums and the amplification of hearing cues. This will be discussed in-depth in Chapter ??.

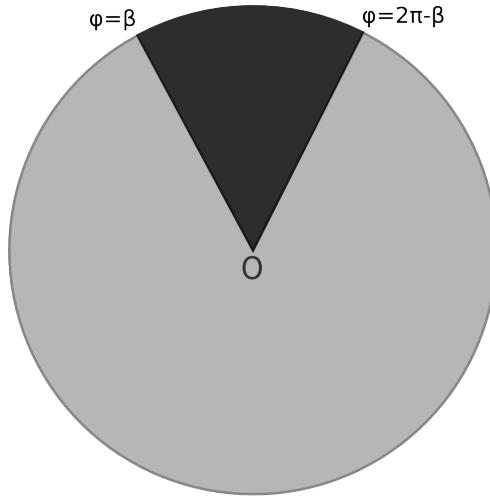


Figure 2.4: Model of the loaded tympanine membrane. The lightly shaded region is modelled as a linear elastic membrane whereas the darkly shaded region ( $\beta < \phi < 2\pi - \beta$ ) represents the contact surface of the extracolumella and the membrane.  $\beta$  corresponds to the breadth of the extracolumella and is estimated from anatomical data.

### 2.1.3 Head Model and External Sound Input

In realistic environments the acoustic fields experienced by animals are often very complex. In addition to sound waves radiated directly from one or more sources in general, they also involve waves reflected from objects in their immediate neighbourhood. Higher animals such as humans possess the neural power to carry out the sophisticated signal processing needed to derive useful information from these signals. Simpler animals like geckos respond to simpler cues - usually the direct field from the nearest or strongest source.

We can therefore model our incoming wave as the simple case of an incident plane wave of a certain frequency. This input is specified in terms of its intensity, frequency and direction. Such a stimulus can be generated in an anechoic chamber from loudspeakers which are placed at a distance from the animal that is large compared to the animal's size and the wavelength of the sound involved. Such experimental-setups are more thoroughly described by Christensen-Dalsgaard and Manley ([4], [5]) and Christensen-Dalsgaard, Tang and Carr ([6]).

The amplitude of the sound pressure incident on both ears can be taken as uniform in over the surface of the membrane. The spatial variation can be safely neglected because the typical eardrum is less than 5mm in diameter whereas the smallest sound wavelengths in the hearing range of the larger lizards (eg. Tokay gecko) is around 70mm (4000 Hz) and is around 50mm (7000 Hz) for the smaller lizards (eg. Hemidactylus). In other experiments, a similar stimulus has also been provided by means of a headphone sealed to the ear ([7]).

In general a the sound on the other side of the head will differ in phase as well as amplitude. This is a result of the diffraction of sound around the head and body of the animal. The exact variation depends on the size of the animal and the frequency of the

incident wave. Due to the typically small head size of geckos, the amplitude variation (known as shadowing) is negligible ([8]). The phase difference, although small compared to those in larger animals, cannot be neglected. We can therefore consider the sound wave with angular frequency  $\omega$  to have a constant (pressure) amplitude  $p$  on the head.

In the earlier ICE model, the effect of diffraction on the phase difference was neglected as well. The phase difference is found directly from the phase difference  $\Delta$  as shown in fig. 2.5. As a result, the sound pressure inputs at both ears is given by,

$$p_0 = pe^{j\omega t} e^{-k\Delta/2}, \quad p_L = pe^{j\omega t} e^{k\Delta/2}, \quad \Delta = L \sin \theta. \quad (2.2)$$

We note that in defining the input in this way, we've emphasized the symmetry of the system. The ear closer to the sound source is referred to as the *ipsilateral* ear and is denoted by a subscript  $0$  and the one further away from the source is referred to as the *contralateral* ear and is denoted by a subscript  $L$ . Subsequently, unless otherwise specified, the subscripts  $0$  and  $L$  will correspond to the ipsi- and contralateral ears respectively. We

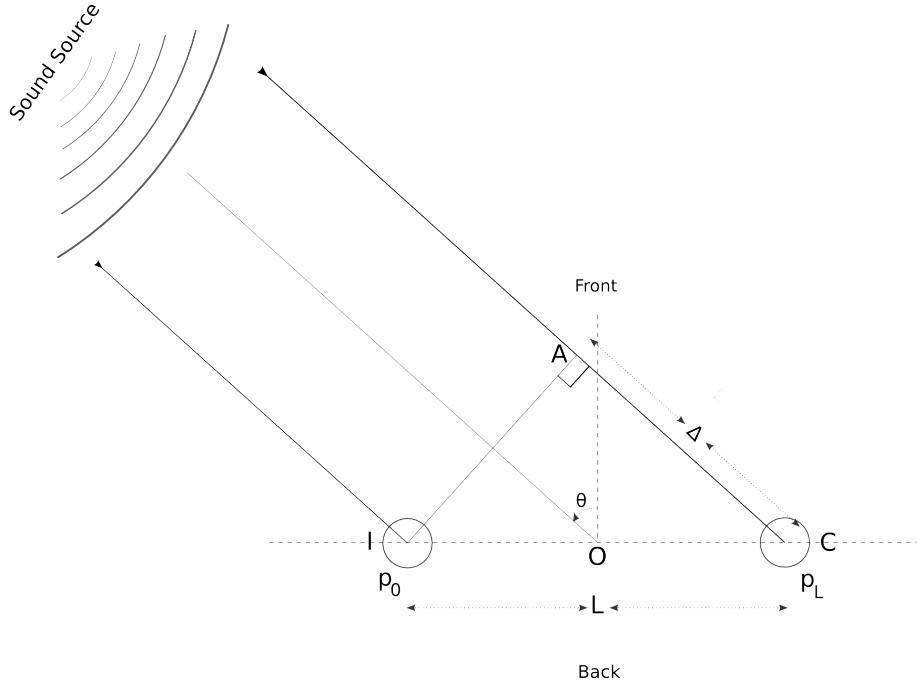


Figure 2.5: The previous acoustic head model for geckos. The extra distance travelled by the sound wave to reach the contralateral ear is denoted by  $\Delta$ .

have also chosen a coordinate system relative to the *median-sagittal* plane or the head midline of the animal and  $\theta$  gives the angle of incidence of this sound wave relative to this plane. For more complex auditory systems we would require two angles  $(\theta, \phi)$  to describe the three-dimensional system but in our analysis this is unnecessary. For the animals we are concerned with, i.e. geckos, the natural predators and prey are usually present on the same plane as the animal and our assumption is therefore reasonable.

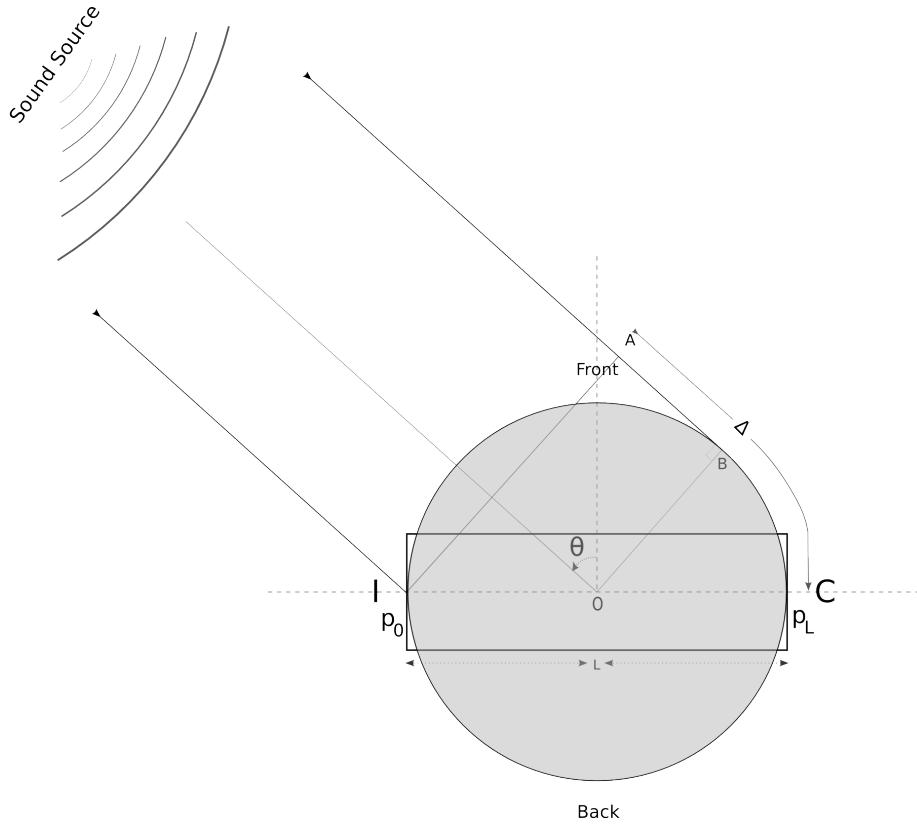


Figure 2.6: The acoustic head model for geckos. The rectangular outline is the internal cavity. As before, the extra distance travelled by the sound wave to reach the contralateral ear is given by  $\Delta$ . In this case, however, we have accounted for the influence of diffraction around the head on the phase difference between the inputs to both ears.

In our model we model the head as a sphere with a diameter equal to the interaural separation. As a result the sound has to travel around the head in order to reach the contralateral ear resulting in a longer path. The frequency domain solution for the diffraction of sound around a sphere was obtained by Lord Rayleigh at the end of the 19<sup>th</sup> century ([9],[10]). The expressions are greatly simplified at low frequencies and the phase difference is simply increased by by a factor of 1.5 (i.e.  $\Delta_{new} = 1.5\Delta$ ). The new model is illustrated in Fig. 2.6.

## 2.2 Derivation of the Model

We will now use the previously described physical model to derive the main expressions of interest in the ICE model - the membrane vibration profiles, the ipsi- and contraaural filters and the cavity pressure distribution. We will thus find an expression for the pressure distribution in the cylindrical cavity and for the membrane vibrations subject to an external stimulus. After applying the appropriate boundary conditions to relate the membrane

vibrations to the internal pressure, we will find the expression for the membrane vibrations as a function of direction and frequency.

We will start in Sec. 2.2.1 by finding a general solution to a 2<sup>nd</sup>-order PDE - the 3D wave equation - that describes the pressure distribution inside the cylindrical cavity. In this section we will introduce the main boundary condition the pressure will be subject to - the “no-penetration” boundary condition. This is a physical requirement that results from the fact that the air inside the cavity does not penetrate a solid boundary.

In Sec. 2.2.2 we will solve for the vibrations of the tympanic membranes. As an example, we will find an expression for the free and periodically driven vibrations of a circular membrane. Using the methods developed in this section, we will solve for the vibrations of a sectoral membrane - which, as we have already discussed, models the loaded tympanum. The final expression will correspond to the steady-state vibrations of a linear elastic membrane. As we neglect the transient response of the membrane, we will also discuss the circumstances under which this is justified.

In Sec. 2.2.3 we will conclude by using our knowledge to solve for the vibration of the fully coupled system. In this section we will proceed by applying the velocity boundary condition at either end of the cylinder. In order to help with our analysis, we will present a simplification scheme for this boundary condition. We will end this section by defining the ipsi- and contralateral filters. The final expressions that give us the vibrations of the tympanic membranes as a function of the external pressure with the influence of the internal cavity encoded in the ipsi- and contralateral filters. We have listed the main parameters and functions used in our analysis (including the geometry parameters) in Tables 2.1 and 2.2.

Table 2.1: Functions and Parameters used in the ICE Model

---

$\theta$	Direction of the sound source with respect to the head midline.
$\omega$	Angular frequency of the incoming sound wave.
$k$	Wavenumber $k = \omega/c$ with $c = 343\text{m/s}$ being the speed of sound.
$p$	Pressure amplitude of the incoming sound wave.
$\Delta$	Phase difference between the sound wave reaching the contra- and ipsilateral ear.
$p_{0/L}$	Sound pressure on the ipsi- ( $x = 0$ ) and contralateral ( $x = L$ ) ears respectively.
$(x, r, \phi)$	Cylindrical polar coordinates with $x$ being the axial direction.
$L$	Interaural separation and length of cylinder.
$a_{cyl}$	Radius of cylinder.
$a_{tym}$	Radius of tympanum.
$\beta < \phi < 2\pi - \beta$	Extent of the vibrating part of the membrane. The rest of the circle corresponds to the extracolumella.

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Table 2.2: Functions and Parameters used in the ICE Model contd.

$J_q$	Order $q$ Bessel function of the first kind.
$\mu_{qs}, \nu_{qs}$	Respectively - $s^{th}$ zero and $s^{th}$ extremum of the above Bessel function.
$f_{qs}(x, r, \phi)$	Orthogonal modes for the pressure distribution inside the mouth cavity.
$\zeta_{qs}$	Wavenumber of the above modes in the $x$ -direction.
$p(x, r, \phi; t)$	Pressure distribution inside the mouth cavity.
$v_x(x, r, \phi; t)$	Velocity function inside the mouth cavity.
$u_{mn}(r, \phi; t)$	Eigenmodes of the membrane displacement function.
$\omega_{mn}$	Eigenfrequency of the above eigenmodes.
$\Psi(r, \phi; t)$	Driving pressure on the membrane.
$u_{0/L}(r, \phi; t)$	Membrane displacement function.
$S^{0/L}(t)$	Total membrane displacement.
$G_{ipsi}(r, \phi)$	Ipsilateral filter.
$G_{contra}(r, \phi)$	Contralateral filter.

### 2.2.1 Internal Cavity

We assume that the air inside the cavity obeys linear acoustics (cf. acoustic textbooks such as [11, p. 313] and [12, p. 247] ). This means that the air moves due to pressure  $p(x, r, \phi; t)$  whose distribution inside the cavity is given by the 3D acoustic wave-equation in cylindrical polar coordinates,

$$\frac{1}{c^2} \frac{\partial^2 p(x, r, \phi, t)}{\partial t^2} = \frac{1}{r} \frac{\partial}{\partial r} \left( r \frac{\partial p(x, r, \phi, t)}{\partial r} \right) + \frac{1}{r^2} \frac{\partial p(x, r, \phi, t)}{\partial \phi^2} + \frac{\partial p(x, r, \phi, t)}{\partial x^2} \quad (2.3)$$

where  $c$  is the sound propagation velocity. The complete solution must take into account the boundary conditions at and within the cavity walls and the ones at the air-membrane interface. We also note that the above equation implies that the animal's mouth is closed, which is typical for a waiting animal. In order to solve (2.3) for a particular frequency  $f$  (angular frequency  $\omega = 2\pi f$ ), we use the following separation ansatz

$$p(x, r, \phi, t) = f(x)g(r)h(\phi)e^{j\omega t} \quad (2.4)$$

which after substitution into the acoustic wave-equation leads to,

$$\begin{aligned} k^2 f(x)g(r)h(\phi) + f(x)h(\phi) \left[ \frac{\partial^2 g(r)}{\partial r^2} + \frac{1}{r} \frac{\partial g(r)}{\partial r} \right] \\ + f(x)g(r) \frac{1}{r^2} \frac{\partial h(\phi)}{\partial \phi} + \frac{\partial^2 f(x)}{\partial x^2} = 0 \end{aligned} \quad (2.5)$$

with  $k := \omega/c$ . This results in the following set of separated ODE's,

$$\frac{d^2 f(x)}{dx^2} + \zeta^2 f(x) = 0 \quad (2.6)$$

$$\frac{d^2 h(\phi)}{d\phi^2} + q^2 h(\phi) = 0 \quad (2.7)$$

$$\frac{\partial^2 g(r)}{\partial r^2} + \frac{1}{r} \frac{\partial g(r)}{\partial r} + \left[ \underbrace{(k^2 - \zeta^2)}_{=: \nu^2} - \frac{q^2}{r^2} \right] g(r) = 0 \quad (2.8)$$

with separation constants  $q$  and  $\zeta$ . The last equation is the Bessel differential equation [13, p. 313] and its general solution is given by,

$$g(r) = C_{qs} J_q(\nu r) + D_{qs} Y_q(\nu r). \quad (2.9)$$

$J_q$  and  $Y_q$  are the order- $q$  Bessel functions of the first and second kind respectively. The Bessel function of the second kind can be ignored as it diverges at  $r = 0$ . The solutions to the separated equations are therefore given by,

$$f(x) = e^{\pm \zeta x}, \quad h(\phi) = e^{\pm j\phi}, \quad \text{and} \quad g(r) = J_q(\nu r) \quad (2.10)$$

with a specific solution to (2.3) given by,

$$p(x, r, \phi; t) = [(A^+ e^{jq\phi} + A^- e^{-jq\phi}) e^{j\zeta x} + (B^+ e^{jq\phi} + B^- e^{-jq\phi}) e^{-j\zeta x}] J_q(\nu r) e^{j\omega t}. \quad (2.11)$$

The coefficients  $A^\pm$ ,  $B^\pm$ ,  $q$ ,  $\zeta$  and  $\nu$  will be subsequently determined by the boundary conditions.

In general, the time component of the pressure also has a *backward-moving* component, i.e.  $e^{-j\omega t}$ . By making the ansatz in (2.4), we have implicitly made use of the fact that the form of the input constrains the pressure to only have a *forward-moving* component, i.e.  $e^{j\omega t}$ .

### Pressure Boundary Conditions

There are three sets of boundary conditions -

- Continuity and smoothness in  $\phi$  which is equivalent to  $h(0) = h(2\pi)$  and  $h'(0) = h'(2\pi)$  where,  $h' = dh/d\phi$ .
- Vanishing of the normal derivative at the cavity walls -  $g'(a_C) = 0$  ( $a_C$  is the radius of the cylinder).
- Equating the membrane velocity to the velocity function at the membrane boundaries (to be discussed in the next section).

The first set of requirements is obvious. This reduces (2.11) to

$$p(x, r, \phi; t) = [Ae^{j\zeta x} + Be^{-j\zeta x}] \cos q\phi J_q(\nu r) e^{j\omega t}. \quad (2.12)$$

With  $q$  constrained to be an integer.

The second and third are a result of the so called “no-penetration” boundary-condition of fluid-mechanics. It arises from the fact that the cavity wall is an impermeable boundary. This translates into the requirement that the normal velocity function should vanish ([14, p. 111]). The velocity function ( $\mathbf{v}$ ) is related to the pressure by,

$$-\rho \frac{\partial \mathbf{v}}{\partial t} = \nabla p \quad (2.13)$$

At the cylindrical cavity wall, the normal velocity is in the radial direction. Substituting the expression for pressure in (2.11) in the above equation leads to a Neumann boundary condition for the pressure,

$$\begin{aligned} v_r &= -\frac{1}{j\rho\omega} \frac{\partial p(x, r, \phi; t)}{\partial r} \Big|_{r=a_C} = 0 \\ \Rightarrow \frac{\partial J_q(\nu r)}{\partial r} \Big|_{r=a_C} &= 0 \end{aligned} \quad (2.14)$$

This constrains  $\nu$  to a discrete set of values which correspond to the local minima and maxima of  $J_q$ . We can therefore index  $\nu$  by  $q$  and  $s = 0, 1, 2, 3, \dots$  with  $\nu_{qs} = z_{qs}/a_C$ :  $z_{qs}$  being the  $s^{\text{th}}$  extremum of the order- $q$  Bessel function of the first kind. This results in a discrete set of modes that satisfy (2.3) which are given by,

$$p_{qs}(x, r, \phi; t) = [A_{qs}e^{j\zeta_{qs}x} + B_{qs}e^{-j\zeta_{qs}x}] f_{qs}(r, \phi) e^{j\omega t} \quad (2.15)$$

where we have added the subscripts  $q$  and  $s$  to  $\zeta$  and denoted the  $(r, \phi)$  part of (2.12) by  $f_{qs}(r, \phi)$ . Effectively, the modes are 3D waves propagating with wave numbers  $\zeta_{qs}$  in the  $x$ -direction and  $\nu_{qs}$  in the radial direction. The first of these modes (corresponding to  $q = 0, s = 0$ ) is of particular importance. Since the first maximum of  $J_0$  occurs at  $r = 0$ , we have  $\nu_{00} = 0$ . This leads to the first mode being a plane-wave which is constant in  $r$  and  $\phi$  and only varies in  $x$ .

A very useful property of the above modes is their orthogonality, i.e.

$$\int_{\Omega} dV p_{q_1 s_1} p_{q_2 s_2} = 0, \text{ if } q_1 \neq q_2 \text{ or } s_1 \neq s_2 \quad (2.16)$$

the integral is over the volume of the cylinder. This is a consequence of the fact that for different  $q$ 's the cosine parts of the modes are orthogonal whereas for a given  $q$  the Bessel parts are orthogonal for different  $s$ 's or expressed as an equation,

$$\int dS f_{q_1 s_1} f_{q_2 s_2} = 0, \text{ if } q_1 \neq q_2 \text{ or } s_1 \neq s_2 \quad (2.17)$$

where  $dS = rdrd\phi$  and the integral being taken over the disk of radius  $a_C$ . We can therefore write the general solution to (2.3) as a linear combination of the orthogonal modes given in (2.15),

$$p(x, r, \phi; t) = \sum_{q=0}^{\infty} \sum_{s=0}^{\infty} (A_{qs} e^{j\zeta_{qs}x} + B_{qs} e^{-j\zeta_{qs}x}) f_{qs}(r, \phi) e^{j\omega t} \quad (2.18)$$

The remaining coefficients,  $A_{qs}$  and  $B_{qs}$ , will be determined by equating the velocity function to the membrane velocity at both ends of the cylinder. To do so, we will first need to find an expression for the membrane vibrations - as we will in the following section.

### 2.2.2 Vibration of the Membrane

As a preliminary exercise, we will first derive expressions for the free and force-driven vibrations of a circular membrane. We will then use our results to move on to the sectoral membrane which corresponds to the tympanum loaded by the extracolumella. This corresponds to approximating the extracolumella to have infinite mass.

#### Circular Membrane

The equation of motion for the vibration of a rigidly clamped circular membrane of radius  $a_M$  solves for the membrane displacement  $u$  at a point  $(r, \phi)$  with  $r < a$  and  $0 < \phi < 2\pi$ . It is given by,

$$\begin{aligned} -\frac{\partial^2 u(r, \phi; t)}{\partial t^2} - 2\alpha \frac{\partial u(r, \phi; t)}{\partial t} + c_M^2 \left[ \frac{1}{r} \frac{\partial}{\partial r} \left( r \frac{\partial u(r, \phi, t)}{\partial r} \right) + \frac{1}{r^2} \frac{\partial^2 u(r, \phi, t)}{\partial \phi^2} \right] \\ = \frac{1}{\rho_M d} \Psi(r, \phi; t) \end{aligned} \quad (2.19)$$

subject to the boundary condition  $u(r, \phi; t)|_{r=a_M} = 0$ . We've defined the following membrane material properties,

- $c_M$  - propagation speed of vibrations.
- $\alpha (> 0)$  - the damping coefficient.
- $\rho_M$  - density.
- $d$  - thickness.

$\Psi(r, \phi; t)$  is the pressure on the membrane surface at  $(r, \phi)$ . In our discussion we are only concerned with periodic and uniform pressure acting on the membrane surface. As we have already stated in Sec. 2.1.3, the small size of the membrane with respect to the sound wavelength, justifies the spatial uniformity of our input.

### Free Vibrations

**Undamped Membrane:** We first determine the eigenmodes of an undamped circular membrane by solving (2.19) for  $\alpha = 0$ ,  $\Psi = 0$  (the resultant equation is better known as the 2D Helmholtz equation, cf. [15, p. 187]). Just as we did in (2.4), we do this by making a separation ansatz ,

$$u(r, \phi; t) = f(r)g(\phi)h(t) \quad (2.20)$$

This gives us the following set of equations

$$\frac{\partial^2 f(r)}{\partial r^2} + \frac{1}{r} \frac{\partial f(r)}{\partial r} + \left[ \mu^2 - \frac{m^2}{r^2} \right] f(r) = 0 \quad (2.21)$$

$$\frac{d^2 g(\phi)}{d\phi^2} + m^2 g(\phi) = 0 \quad (2.22)$$

$$\frac{d^2 h(t)}{dt^2} + c_M^2 \mu^2 h(t) = 0 \quad (2.23)$$

with separation constants  $\mu$  and  $m$ . The solution of the first of these equations should already be familiar to us from the previous section -  $J_m(\mu r)$ , the order- $m$  Bessel function of the first kind. The boundary conditions in  $\phi$  direction remain the same resulting in,

$$u(r, \phi; t) = [(M^+ e^{jm\phi} + M^- e^{-jm\phi}) e^{jc_M \mu t} + (N^+ e^{jm\phi} + N^- e^{-jm\phi}) e^{-jc_M \mu t}] J_m(\mu r) \quad (2.24)$$

Unlike in the case of the internal cavity, we require  $u$  to vanish at the boundary so we have a Dirichlet boundary condition which effectively requires:  $J_m(\mu a_M) = 0$ . This constrains  $\mu$  to a discrete set of values which correspond to the zeros of  $J_m$ . The eigenmodes of a the circular membrane are therefore given by,

$$u_{mn}(r, \phi; t) = [(M_{mn}^+ e^{jm\phi} + M_{mn}^- e^{-jm\phi}) e^{j\omega_{mn} t} + (N_{mn}^+ e^{jm\phi} + N_{mn}^- e^{-jm\phi}) e^{-j\omega_{mn} t}] J_m(\mu_{mn} r) \quad (2.25)$$

where  $\mu_{mn} = z_{mn}/a_M$ ,  $z_{mn}$  being the  $n^{th}$  zero of  $J_m$  and,  $\omega_{mn} = c_M \mu_{mn}$  is the eigenfrequency of the  $(m, n)$  eigenmode. At this point  $m$  can take any positive real value – a fact that will help us solve the sectoral membrane problem. However, in the case of a full circular membrane – as in the case of the pressure inside a cylindrical cavity – requirements of continuity and smoothness in  $\phi$  reduce (2.25) to,

$$u_{mn}(r, \phi; t) = \cos m\phi J_m(\mu_{mn} r) [M_{mn} e^{j\omega_{mn} t} + N_{mn} e^{-j\omega_{mn} t}] \quad (2.26)$$

with  $m = 0, 1, 2, \dots$  with the  $(m, n)$  eigenmodes forming an orthogonal set. For later convenience we denote the spatial part of the above mode by  $u_{mn}(r, \phi)$ . The first few of these modes are plotted in 2.7.

We note that unlike in the case of the internal cavity, the free membrane has components that are both forward- and backward-moving in time. The presence of a driving force, however, will result in simpler expressions. This will be discussed in more detail in the next chapter where we compare our model with experimental data.

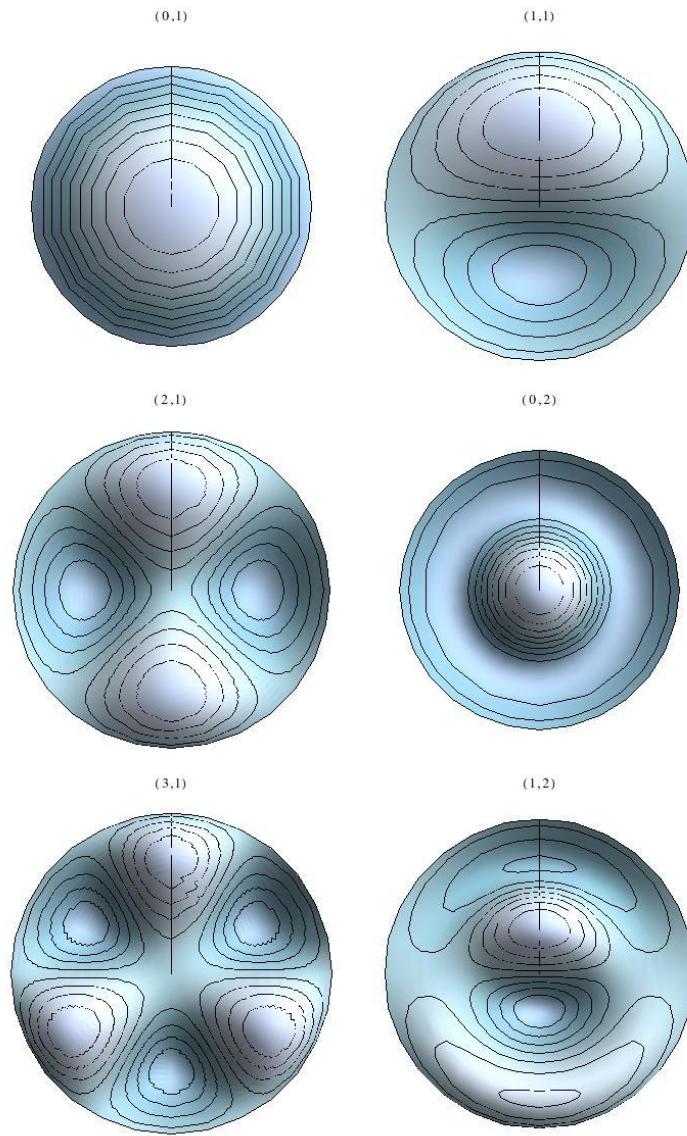


Figure 2.7: Eigenmodes of a full circular membrane. The eigenfrequency increases from left to right.

**Damped Membrane:** For a damped membrane, i.e.  $\alpha \neq 0$ , the spatial part of the above eigenmodes remains the same. The form of the time-dependent part is given by the solution of the equation,

$$\frac{d^2 h_{mn}(t)}{dt^2} + 2\alpha \frac{dh_{mn}(t)}{dt} + \omega_{mn}^2 h_{mn}(t) = 0. \quad (2.27)$$

Assuming  $h_{mn}$  takes the form  $e^{j\tilde{\omega}_{mn}}$  leads to a quadratic equation with solutions,

$$\tilde{\omega}_{mn} = j\alpha \pm \omega_{mn}^* \quad (2.28)$$

$$\omega_{mn}^* = \sqrt{\alpha^2 + \omega_{mn}^2} \quad (2.29)$$

We require the membrane displacement to remain finite as  $t \rightarrow \infty$ . We can therefore neglect the  $e^{-j\tilde{\omega}_{mn}}$  terms leading to,

$$\tilde{u}_{mn}(r, \phi; t) = \cos m\phi J_m(\mu_{mn}r) [M_{mn}e^{j\omega_{mn}^* t} + N_{mn}e^{-j\omega_{mn}^* t}] e^{-\alpha t} \quad (2.30)$$

The general solution is given by a linear combination of the above and the coefficients are determined by initial conditions – for example, membrane displacement and velocity at  $t = 0$ .

### Forced Vibrations

For a periodically driven membrane, there are two components of the full solution for forced vibrations. The first of these is the steady state solution which oscillates with the same frequency as the input and does not depend on the initial conditions -  $u_{ss}$ . The second of these is the transient solution that depends on the initial conditions but not on the driving pressure -  $u_t$ .

**Steady State Solution:** The steady state solution is expressed as a linear combination of the spatial part of the above eigenmodes and is given by,

$$u_{ss}(r, \phi; t) = \sum_{m=0}^{\infty} \sum_{n=1}^{\infty} C_{mn} \cos m\phi J_m(\mu_{mn}r) e^{j\omega t}. \quad (2.31)$$

Substituting this expression in (2.19) with  $\Psi = pe^{j\omega t}$  gives,

$$\sum_{m=0}^{\infty} \sum_{n=1}^{\infty} \Omega_{mn} C_{mn} \cos m\phi J_m(\mu_{mn}r) e^{j\omega t} = pe^{j\omega t} \quad (2.32)$$

$$\text{where, } \Omega_{mn} = \rho_M d [(\omega^2 - \omega_{mn}^2 n) - 2j\alpha\omega]. \quad (2.33)$$

Using the orthogonality of the eigenmodes, the coefficients  $C_{mn}$  can be calculated,

$$C_{mn} = \frac{p \int dS u_{mn}}{\Omega_{mn} \int dS u_{mn}^2} \quad (2.34)$$

with the integral this time being taken over the circular disk of radius  $a_M$ .

**Transient Solution:** The transient solution is effectively a solution of the free damped membrane, i.e. a linear combination of the eigenmodes given in (2.30). Therefore,

$$u_t(r, \phi; t) = \sum_{m=0}^{\infty} \sum_{n=1}^{\infty} \cos m\phi J_m(\mu_{mn}r) [M_{mn}e^{j\omega_{mn}^* t} + N_{mn}e^{-j\omega_{mn}^* t}] e^{-\alpha t}. \quad (2.35)$$

The complete solution is given by  $u = u_t + u_{ss}$  and the coefficients  $M_{mn}$  and  $N_{mn}$  are determined by the initial conditions (at  $t = 0$ ).

**Steady State Approximation:** The damping coefficient  $\alpha$  is usually given in terms of the membrane fundamental frequency and a quality factor  $Q$  as  $\alpha = \omega_{01}/2Q$ . For the tympani we will be concerned with we have  $Q \sim 1.2$  which results in damping coefficients that are around  $4000\text{s}^{-1}$  for the larger lizards and around  $8000\text{s}^{-1}$  for the smaller ones. Due to this and the exponential decay of the transient vibration amplitude, we can safely assume that within a few time-periods the steady-state vibrations dominate the solution of the forced membrane. For this reason, we will subsequently confine our discussion to only the steady state vibrations of the membrane.

### Sectoral Membrane

The eigenmodes of the sectoral membrane proceeds from (2.25) onwards. We now have a new set of boundary conditions in  $\phi$ . The extracolumella is modelled as a triangular plate of infinite mass which constrains the membrane displacement to go to zero at  $\phi = \beta$  and  $\phi = 2\pi - \beta$ . This results in the following set of eigenmodes,

$$u_{mn}(r, \phi; t) = \sin \kappa(\phi - \beta) J_\kappa(\mu_{mn} r) [M_{mn} e^{j\omega_{mn} t} + N_{mn} e^{-j\omega_{mn} t}] \quad (2.36)$$

where  $\kappa[m] = \frac{m\pi}{2(\pi - \beta)}$ ,  $m = 1, 2, 3, \dots$ . We see that the  $r$  part of the above mode is given by the order- $\kappa$  Bessel function of the first kind;  $\mu_{mn}$  being its  $n^{\text{th}}$  zero, as before. The solution for the damped membrane follows in an identical way. It is apparent from the form of the above modes that, unlike in the case of the circular membrane eigenmodes, these modes are no longer circularly symmetric. We plot the first few of these modes in 2.8. The solution for forced membrane vibrations follows in the same way as in the circular membrane case. The vibrations of a sectoral membrane are discussed in more detail in [16, p. 87]. As discussed earlier, the sectoral shape of the membrane has important physical consequences and captures the complex vibration patterns of a realistic membrane. This will be discussed in the next chapter.

### 2.2.3 Vibration of Coupled Membranes

With our current knowledge, we can move on to the main part of the chapter - the vibration of coupled membranes. It is convenient to first write down the main equations of the system based on our previously derived expressions. The vibrations of the membranes is given by,

$$u_{0/L}(r, \phi; t) = \sum_{m=0}^{\infty} \sum_{n=1}^{\infty} \Omega_{mn} C_{mn}^{0/L} u_{mn}(r, \phi) e^{j\omega t} = p_{0/L} e^{j\omega t} - p(0/L, r, \phi; t) \quad (2.37)$$

where 0 and  $L$  denote the ipsi- and contra-lateral membranes respectively and the cavity pressure distribution,  $p(x, r, \phi; t)$ , is given by (2.18). The above equation is only valid on the membrane surface i.e., for  $r < a_{tym}$  and  $\beta < \phi < 2\pi - \beta$ .

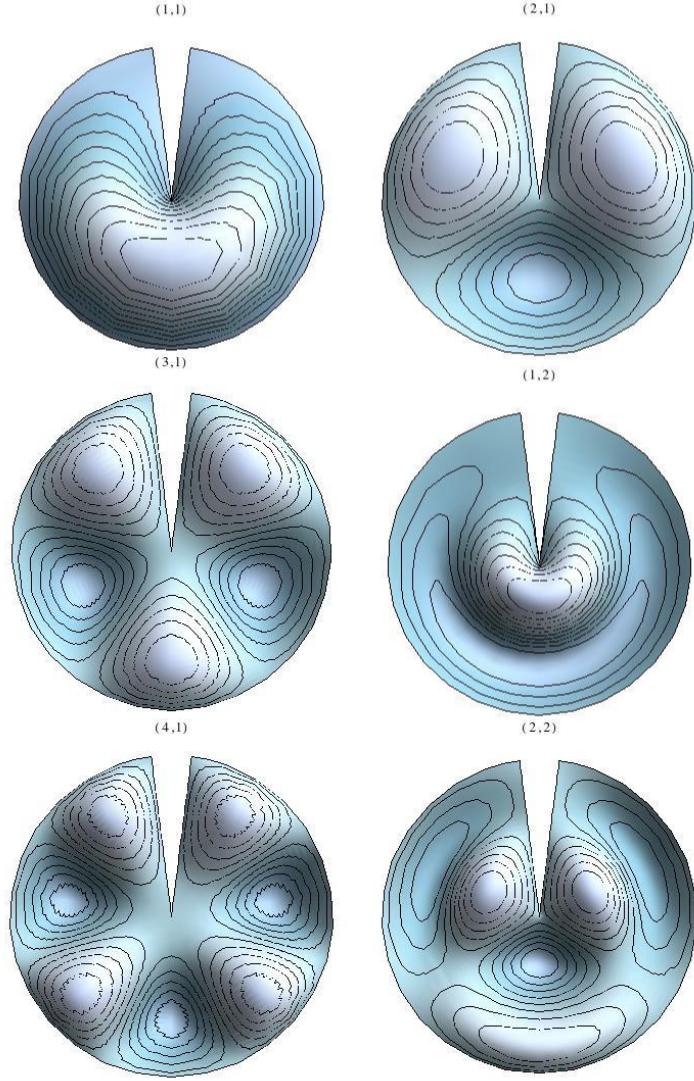


Figure 2.8: Eigenmodes of a sectoral membrane with  $\beta = \pi/25$ . The eigenfrequency increases from left to right.

As discussed in 2.2.1, the internal cavity pressure satisfies the no-penetration condition at solid boundaries. This means that at both ends of the cylinder, we equate the velocity profile of air to the velocity profile of the circular surface including the membrane. This is because the membrane diameter is smaller than the cylinder diameter. As a result, we will have to set the air-particle velocity to zero for  $r > a_{typ}$ . Since the membrane displacement is only in the  $x$ -direction, we only need to calculate the same component of the velocity. Using the relation (2.13) we get,

$$v_x(x, r, \phi; t) = -\frac{1}{\rho\omega} \sum_{q=0}^{\infty} \sum_{s=0}^{\infty} \zeta_{qs} (A_{qs} e^{j\zeta_{qs} x} - B_{qs} e^{-j\zeta_{qs} x}) f_{qs}(r, \phi) e^{j\omega t} \quad (2.38)$$

and the exact boundary conditions are given by,

$$j\omega U_0 = v_x(0, r, \phi; t) \quad (2.39)$$

$$j\omega U_L = -v_x(L, r, \phi; t) \quad (2.40)$$

where we've used the direction conventions described in 2.1 and made the following definition,

$$U_{0/L} = \begin{cases} u_{0/L}, & 0 < r < a_{typ} \text{ and } \beta < \phi < 2\pi - \beta \\ 0, & \text{otherwise} \end{cases} \quad (2.41)$$

### Approximate Boundary Condition

As previously stated, the exact boundary condition would entail setting the velocity function to be exactly equal to the membrane displacement velocity. At this point, it is important to note that the internal cavity eigenmodes are **not** orthogonal to the membrane eigenmodes in general<sup>1</sup>. This means that every membrane eigenmode couples with every cavity eigenmode and that each of the coefficients  $A_{qs}$  and  $B_{qs}$  will be given by an infinite linear combination of the coefficients  $C_{mn}^{0/L}$ .

The first step in overcoming this problem is to rewrite the left-hand sides of the boundary conditions given in (2.39) and (2.40). We first expand  $U_0$  and  $U_L$  in the orthogonal basis of the functions  $f_{qs}$ ,

$$U_{0/L}(r, \phi; t) = \sum_{q=0}^{\infty} \sum_{s=0}^{\infty} S_{qs}^{0/L} f_{qs}(r, \phi) e^{j\omega t} \quad (2.42)$$

where,  $S_{qs}^{0/L} = \frac{\int dS U^{0/L} f_{qs}(r, \phi)}{\int dS f_{qs}^2(r, \phi)}$

We now have an expansion that approximates the boundary condition with increasing accuracy. After choosing an appropriate cutoff for the expansion we can substitute this expression in place of  $U_{0/L}$  in (2.39) and (2.40). In fact, this cutoff in the boundary condition expansion results in an identical cutoff in the pressure expansion. This is a result of the orthogonality of the pressure modes and can easily be shown. The zeroeth order approximate boundary conditions are given by,

$$\rho\omega^2 S^0 = \sum_{q=0}^{\infty} \sum_{s=0}^{\infty} j\zeta_{qs} (A_{qs} - B_{qs}) f_{qs}(r, \phi) \quad (2.43)$$

$$\rho\omega^2 S^L = - \sum_{q=0}^{\infty} \sum_{s=0}^{\infty} j\zeta_{qs} (A_{qs} e^{j\zeta_{qs} L} - B_{qs} e^{-j\zeta_{qs} L}) f_{qs}(r, \phi) \quad (2.44)$$

---

<sup>1</sup>This would also be true if we had full circular membranes on either end of the cylinder. In this case we would have the added simplification that only the circularly symmetric cavity eigenmodes will be activated.

This is the integral over the membrane surface of the local displacement. Note that we have omitted the  $qs$  subscripts on the left-hand side. Given the external parameters and boundary conditions, it only depends on time. We have effectively approximated the surfaces at 0 and  $L$  (including the membranes) by pistons moving with the average velocity of the total surface.

Given these boundary conditions, it is straightforward to calculate the coefficients  $A_{qs}$  and  $B_{qs}$  in terms of  $S_{0/L}$ . To do this we need to make use of the orthogonality relation given in (2.17). We do this by multiplying both sides of (2.43) and (2.44) by  $f_{qs}(r, \phi)$  and integrate over the circular surfaces at either end of the cylinder. This results in a system of two linear equations for each pair of  $A_{qs}$  and  $B_{qs}$ ,

$$A_{qs} - B_{qs} = K_{qs}\rho\omega^2 S^0 \quad (2.45)$$

$$A_{qs}e^{j\zeta_{qs}L} - B_{qs}e^{-j\zeta_{qs}L} = -K_{qs}\rho\omega^2 S^L \quad (2.46)$$

$$\text{where, } K_{qs} = \frac{\int dS f_{qs}(r, \phi)}{j\zeta_{qs} \int dS f_{qs}^2(r, \phi)}$$

We now make use of the property of the pressure modes that  $f_{qs}(r, \phi)$  integrates to 0 unless  $q = 0$  and  $s = 0$ . For  $q = 0$  this is a consequence of the Bessel functions integrating to zero while for  $q \geq 1$  this is due to the more obvious fact of the cosine function integrating to zero. As a result we have  $A_{qs} = B_{qs} = 0$  for all modes except the  $(0, 0)$  mode. In other words, the zeroeth-order boundary condition only supports plane wave modes inside the cavity. We will subsequently omit the subscripts “00” for these coefficients. From the above linear equations, they are given in terms of the total membrane displacement as,

$$A = \frac{\rho\omega^2}{2k \sin kL} (S^0 e^{-jkL} + S^L), \quad B = \frac{\rho\omega^2}{2k \sin kL} (S^0 e^{jkL} + S^L) \quad (2.47)$$

we have also directly substituted  $\zeta_{00} = k$  and simplified the expression for  $K_{00}$  in the above expressions. These coefficients can now be substituted in place of the pressure in the right-hand side of the equation (2.37) giving us,

$$\sum_{m=0}^{\infty} \sum_{n=1}^{\infty} \Omega_{mn} C_{mn}^0 u_{mn}(r, \phi) = p_0 - \frac{\rho\omega^2}{k} (S^0 \cot kL + S^L \csc kL) \quad (2.48)$$

$$\sum_{m=0}^{\infty} \sum_{n=1}^{\infty} \Omega_{mn} C_{mn}^L u_{mn}(r, \phi) = p_L - \frac{\rho\omega^2}{k} (S^0 \csc kL + S^L \cot kL) \quad (2.49)$$

where we have cancelled out the time component on both sides of the equations. Note that the right-hand sides of the above two equations are independent of the spatial  $(r, \phi)$  coordinates.

In order to simplify the above coupled system of equations, the next step will be to take their sum and difference to obtain a new set of variables that are solutions of a pair

of decoupled equations. After some algebraic manipulation, we therefore have

$$\sum_{m=0}^{\infty} \sum_{n=1}^{\infty} \Omega_{mn} C_{mn}^+ u_{mn}(r, \phi) = p_+ - \frac{\rho\omega^2}{k} S^+ \cot \frac{kL}{2} \quad (2.50)$$

$$\sum_{m=0}^{\infty} \sum_{n=1}^{\infty} \Omega_{mn} C_{mn}^- u_{mn}(r, \phi) = p_- - \frac{\rho\omega^2}{k} S^- \tan \frac{kL}{2} \quad (2.51)$$

where,  $C_{mn}^+ = C_{mn}^L + C_{mn}^0$  and  $C_{mn}^- = C_{mn}^L - C_{mn}^0$ . The  $S^{+/-}$  and  $p_{+/-}$  terms are defined similarly. Now, analogous to the calculation of the coefficients for the steady state vibration in (2.32) and (2.34), we can determine the coefficients of the sum and difference vibrations in terms of the pressure and total membrane displacements. This gives us,

$$C_{mn}^+ = \left[ p_+ - \frac{\rho\omega^2}{k} S^+ \cot \frac{kL}{2} \right] K_{mn} \quad (2.52)$$

$$C_{mn}^- = \left[ p_- - \frac{\rho\omega^2}{k} S^- \tan \frac{kL}{2} \right] K_{mn} \quad (2.53)$$

$$\text{where, } K_{mn} = \frac{\int dS u_{mn}}{\Omega_{mn} \int dS u_{mn}^2}$$

The next step will be to multiply both sides of (2.52) and (2.53) with the integral  $\int dS u_{mn}$ , and sum over  $m$  and  $n$ . It is clear that the left hand sides become equal to  $S^{+/-}$ ; this allows us to give exact expressions for the total membrane displacements.

$$S^+ = \frac{p_L + p_0}{\Lambda + \Gamma_+} \quad S^- = \frac{p_L - p_0}{\Lambda + \Gamma_-} \quad (2.54)$$

where we've defined the following quantities,

$$\Gamma_+ = \frac{\rho\omega^2}{k} \cot \frac{kL}{2}, \quad \Gamma_- = \frac{\rho\omega^2}{k} \tan \frac{kL}{2}, \quad \frac{1}{\Lambda} = \sum_{m=0}^{\infty} \sum_{n=1}^{\infty} \frac{(\int dS u_{mn})^2}{\Omega_{mn} \int dS u_{mn}^2}$$

We can finally write the membrane displacement as a function of the pressure inputs in the form

$$u_0(r, \phi; t) = G_{ipsi}(r, \phi)p_0 + G_{contra}(r, \phi)p_L \quad (2.55)$$

$$u_L(r, \phi; t) = G_{contra}(r, \phi)p_0 + G_{ipsi}(r, \phi)p_L \quad (2.56)$$

with the ipsilateral filter,

$$G_{ipsi} = \left( \frac{1}{\Lambda + \Gamma_+} + \frac{1}{\Lambda + \Gamma_-} \right) \sum_{n=1}^{\infty} \frac{\Lambda K_{mn}}{2} u_{mn}(r, \phi; t) \quad (2.57)$$

and the contralateral filter,

$$G_{contra} = \left( \frac{1}{\Lambda + \Gamma_+} - \frac{1}{\Lambda + \Gamma_-} \right) \sum_{n=1}^{\infty} \frac{\Lambda K_{mn}}{2} u_{mn}(r, \phi; t) \quad (2.58)$$

The total membrane displacement can also be expressed as a function of  $p_0$  and  $p_L$  simply by integrating both sides of (2.55) and (2.56) giving us a new set of ipsi- and contralateral filters

$$G_{ipsi}^s = \left( \frac{1}{\Lambda + \Gamma_+} + \frac{1}{\Lambda + \Gamma_-} \right) / 2 \quad (2.59)$$

$$G_{contra}^s = \left( \frac{1}{\Lambda + \Gamma_+} - \frac{1}{\Lambda + \Gamma_-} \right) / 2 \quad (2.60)$$

The ipsilateral filter effectively gives us the response of the ipsilateral membrane when the contralateral membrane is blocked. Similarly, the contralateral filter gives us its response when there is no ipsilateral stimulus. These filters will play an important role in the evaluation of the model in the next chapter.

#### 2.2.4 Circuit Model

# Chapter 3

## Evaluation of the ICE–Model

We now have a complete geometrical representation of the ICE model as well as the analytical expressions  $u_0$  and  $u_L$  that describe the membrane displacements in spatial detail as a function of direction and frequency. In this chapter we will use these variables to further study the features of our model and compare them with experimental results.

In our study we are primarily concerned with hearing in geckos. We will be using parameters (interaural separation, tympanum area etc.) from *Hemidactylus frenatus*, the common house gecko [5] and the Tokay gecko [6]. The house gecko, with an interaural separation of 10mm and mouth cavity volume of .32ml is a rather small lizard. The Tokay gecko is the second largest gecko species (interaural separation of 25.6mm and mouth cavity volume 3.5ml, [6]). Thus we will demonstrate the applicability of our model to animals hearing with widely varying head widths and mouth cavities.

We will define and study the two main quantities that serve as important localization cues - the Internal Time Difference (iTD) and the Internal Level Difference (iLD). This is in contrast to the Interaural Time and Level Differences (ITD and ILD) that are entirely determined by the inputs to the two ears.

### 3.1 Membrane Vibration Profile

### 3.2 Cavity Pressure Distribution

### 3.3 Internal Time Difference

### 3.4 Internal Level Difference

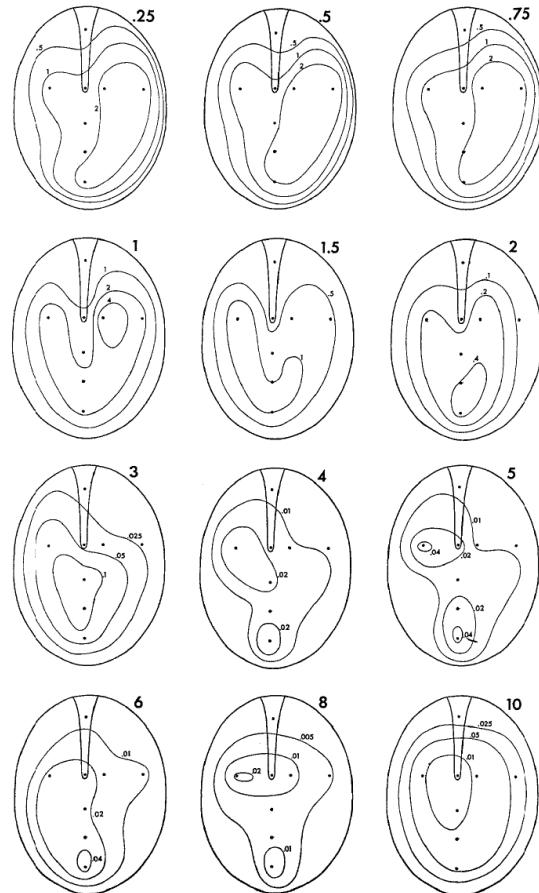


Figure 3.1: Experimental membrane vibration patterns of the Tokay gecko dependent on sound frequency varying from .5kHz to 10kHz. Data taken from [17].

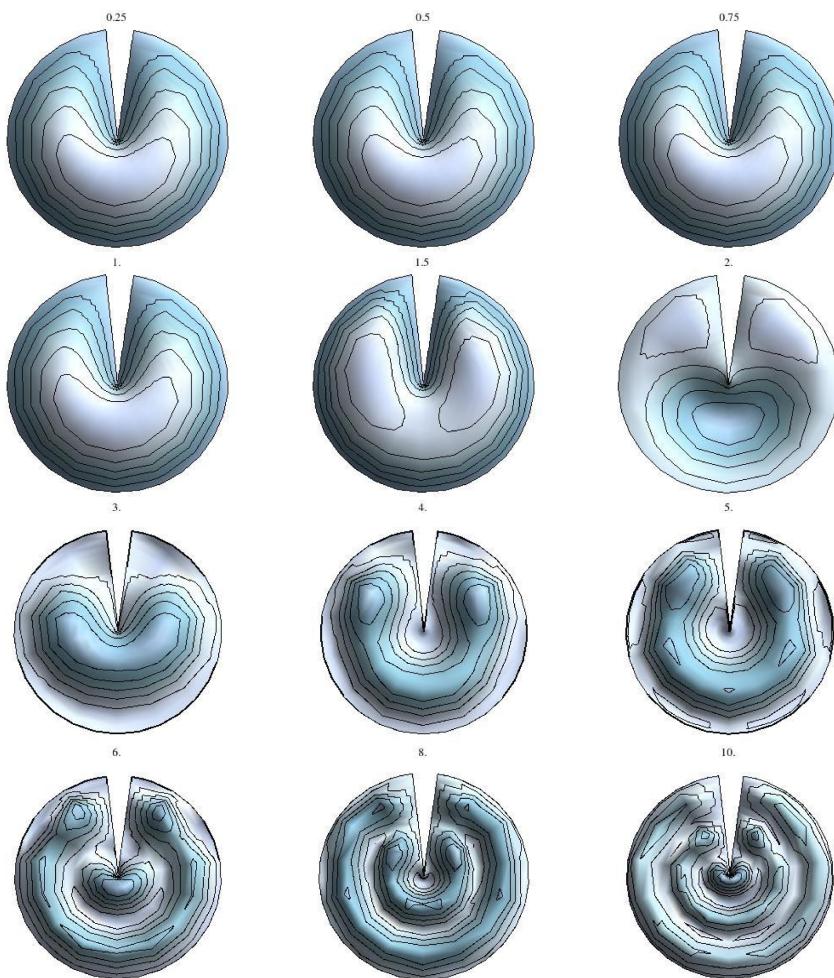


Figure 3.2: Vibration patterns of a sectoral membrane of radius 2.2mm on sound frequency varying from .5kHz to 10kHz. Compare with fig. 3.1



# **Chapter 4**

## **Conclusion**



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