

Enrollment No.....



Faculty of Management Studies
End Sem (Odd) Examination Dec-2022
MS5OE01 Mutual Fund Management

Programme: MBA

Branch/Specialisation: Management

Duration: 3 Hrs.**Maximum Marks: 60**

Note: All questions are compulsory. Internal choices, if any, are indicated. Answers of Q.1 (MCQs) should be written in full instead of only a, b, c or d.

- Q.1 i. _____ are a vehicle to mobilize moneys from investors, to invest in different markets and securities. **1**
 (a) Mutual fund (b) Bond (c) Shares (d) Debenture
- ii. Which of the following was the first mutual fund in India? **1**
 (a) IDBI (b) GIC (c) LIC (d) UTI
- iii. Which term describes the price at which an investor can purchase the units of a mutual fund? **1**
 (a) Investor expense ratio (b) Unit sale price
 (c) Net asset value (d) Net purchase price
- iv. Who establishes mutual fund? **1**
 (a) Sponsor (b) AMC (c) SEBI (d) IRDA
- v. SEBI does not require the following to be included in the offer document issued by a mutual fund- **1**
 (a) Details of the Sponsor and the AMC
 (b) Description of the scheme & investment objective/strategy
 (c) Investors' Rights and Services
 (d) Performance of other mutual funds
- vi. SIP is a- **1**
 (a) Method of regular investment
 (b) Name of a mutual fund
 (c) Brand of Tea stock
 (d) Name of insurance company
- vii. _____ is a measure of the volatility of a particular fund in comparison to the market as a whole. **1**
 (a) Beta (b) R-squared
 (c) Standard deviation (d) Alpha

[2]

- viii. Short term capital gains in case of Equity funds is @ _____. **1**
 (a) 20% (b) 15% (c) 10% (d) None of these
- ix. Mutual funds in India are permitted to invest in- **1**
 (a) Securities
 (b) Securities and gold
 (c) Securities other than real estate
 (d) Securities, gold and real estate
- x. The Growth mutual funds invest primarily in _____? **1**
 (a) Equities (b) G-Secs
 (c) Corporate bonds (d) None of these
- Q.2 i. How do mutual funds work? **2**
 ii. What is the advantage of mutual fund? **3**
 iii. Briefly describe the different phases of history of mutual fund in India. **5**
 OR iv. Explain briefly the portfolio classification of mutual fund schemes. **5**
- Q.3 i. What is Gold ETF? **2**
 ii. Describe Legal Structure of Mutual Funds in India. **8**
 OR iii. What are the drawbacks of investments in Mutual Funds? **8**
- Q.4 i. Explain briefly about net asset value (NAV) of a mutual fund scheme. **3**
 ii. What are the investors 'rights & obligations' under the mutual fund regulations? **7**
 OR iii. What factor consider for choosing best mutual fund scheme? **7**
- Q.5 i. What is capital gain in mutual fund? **3**
 ii. Explain different methods for evaluating the performance of mutual fund. **7**
 OR iii. Discuss the risks associated with investing in mutual fund. **7**
- Q.6 Attempt any two-
 i. What is the reason for slow growth of mutual fund in India? **5**
 ii. Write short note on UTI SCHEME. **5**
 iii. Write short note on SBI Mutual fund. **5**

Marking Scheme
MS5OE01 Mutual Fund Management

Q.1	i)	_____ are a vehicle to mobilize moneys from investors, to invest in different markets and securities. a) Mutual Fund	1
	ii)	Which of the following was the first mutual fund in India? d)UTI	1
	iii)	Which term describes the price at which an investor can purchase the units of a mutual fund? c)Net asset value	1
	iv)	Who establishes mutual fund? a) Sponsor	1
	v)	SEBI does not require the following to be included in the offer document issued by a mutual fund d. Performance of other mutual funds	1
	vi)	SIP is a a) Method of regular investment	1
	vii) is a measure of the volatility of a particular fund in comparison to the market as a whole a. Beta	1
	viii)	Short term capital gains in case of Equity funds is @ _____ b)15%	1
	ix)	Mutual funds in India are permitted to invest in d) Securities, gold and real estate	1
	x)	The Growth mutual funds invest primarily in _____? a) Equities	1
Q.2	i.	How do mutual funds work?	2
	ii.	What is three advantage of mutual fund?	3

	iii.	Briefly describe the different phases of history of mutual fund in India. (Phase wise)	5
OR	iv.	Explain briefly the Portfolio Classification of Mutual Fund Schemes?	5
Q.3	i.	What is Gold ETF?	2
	ii.	Describe Legal Structure of Mutual Funds in India? (step wise)	8
OR	iii.	What are eight the drawbacks of investments in Mutual Funds?	8
Q.4	i.	Explain briefly about net asset value (NAV) of a Mutual Fund Scheme.	3
	ii.	What are the investors' rights & obligations under the Mutual Fund Regulations?	3.5 3.5
OR	iii.	What seven Factor consider for choosing Best Mutual fund Scheme?	7
Q.5	i.	What is capital gain in mutual fund.?	3
	ii.	Explain seven different Methods for evaluating the performance of Mutual fund?	7
OR	iii.	Discuss the seven risks associated with investing in mutual fund.	7
Q.6			
	i.	Five reason for Slow growth of mutual fund in India?	5
	ii.	Write short note on five UTI SCHEME	5
	iii.	Write short note on SBI Mutual fund	5
