

Fundamentals of power electronics for electric drives

Actuators - IRO6

Prof. Dr.-Ing. Mercedes Herranz Gracia

23.06.2024

Task of power electronics

- Supply of electrotechnical devices (e.g. drives) with optimal voltage / frequency for optimum efficiency

4 different cases

- **Rectifiers**: AC voltage system at input, DC voltage system at output
- **Inverters**: DC voltage system at input, AC voltage system at output
- **Converters**: AC voltage system at the input and output (different input and output voltages/frequencies)
- **Regulators or DC converters**: DC voltage system at input and output (different input and output voltages)

And for electric drives?

- **DC servo motors** require variable DC voltage
 - DC converter for DC supply
 - Rectifier for AC supply
- **Synchronous servo motors** require 3-phase variable AC voltage
 - 3-phase inverter for DC supply
 - 3-phase rectifier + 3-phase inverter for AC supply

Use of power electronics

Power electronic devices control or regulate the flow of energy between producers and consumers:

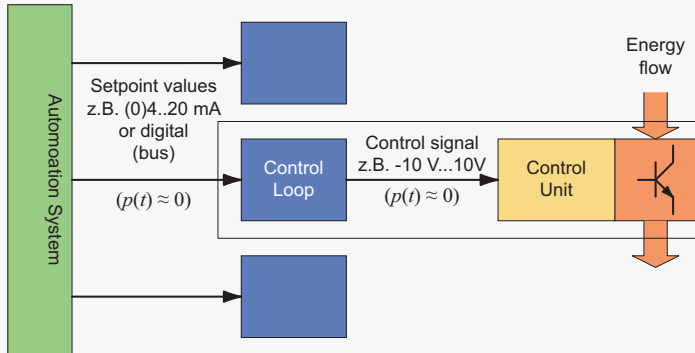
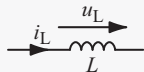
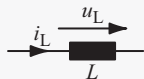


Figure Basic structure of power electronic devices

Passive components: coil as energy storage

Energy is stored in a (magnetic) coil:

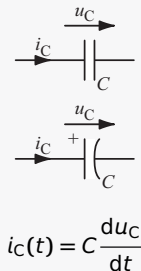


$$u_L(t) = L \frac{di_L}{dt}$$

$$\begin{aligned} W_{\text{mag}} &= \int_{-\infty}^t p(\tau) d\tau = \int_{-\infty}^t u_L(\tau) i_L(\tau) d\tau = \int_{-\infty}^t L \frac{di_L}{d\tau} i_L(\tau) d\tau \\ &= L \int_{i_L(-\infty)}^{i_L(t)} i_L(\tau) di_L = \frac{1}{2} L (i_L^2(t) - \underbrace{i_L^2(-\infty)}_{=0}) = \frac{1}{2} L i_L^2(t) \end{aligned}$$

Figure Voltage, current and energy of a coil

Passive components: capacitor as energy storage



$$\begin{aligned} W_{\text{el}} &= \int_{-\infty}^t p(\tau) d\tau = \int_{-\infty}^t i_C(\tau) u_C(\tau) d\tau = \int_{-\infty}^t C \frac{du_C}{d\tau} u_C(\tau) d\tau \\ &= C \int_{u_C(-\infty)}^{u_C(t)} u_C(\tau) du_C = \frac{1}{2} C (u_C^2(t) - \underbrace{u_C^2(-\infty)}_{=0}) = \frac{1}{2} C u_C^2(t) \end{aligned}$$

Figure Current, voltage and energy of a capacitor

Summary 1

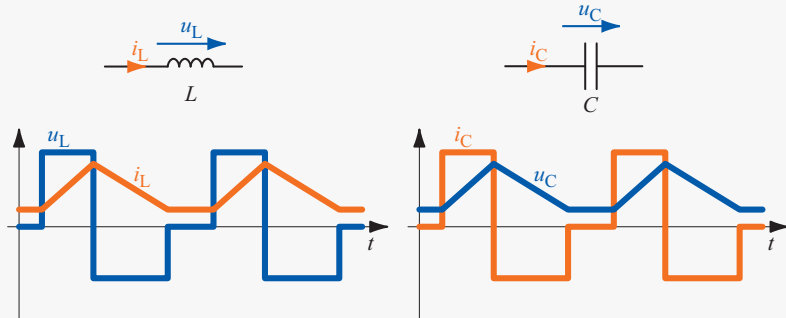


Figure Current and voltage curves with pulsed operation

Summary 2

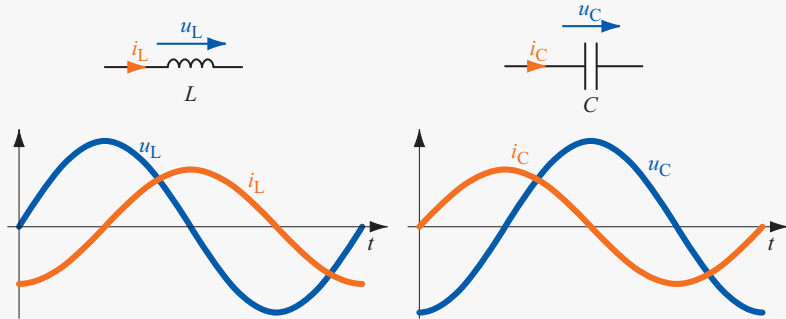


Figure Current and voltage curves with sinusoidal operation

Active Components

- Non-controllable valves:
 - Diodes
- Controllable valves:
 - Valves that can be switched on: thyristors
 - Valves that can be switched on and off: transistors, IGBTs, MOSFETs

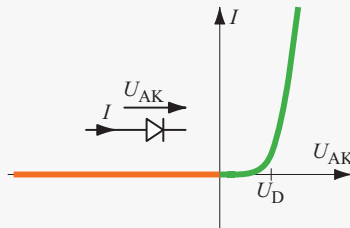
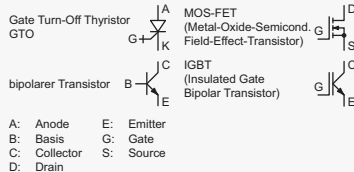


Figure Diode characteristic

Application: rectifier, fly-back diode

Active components: can be switched on and off



Similarities

- Conduct electricity in only one direction
- Can be switched on and off via auxiliary voltage
 - ON: $U_{GS} > 0$ (MOSFETs) / $U_{GE} > 0$ (IGBTs)
 - OFF: $U_{GS} \approx 0$ (MOSFETs) / $U_{GE} \approx 0$ (IGBTs)
- State-of-the-art Si components
- SiC and GaN in industrialization

- GTO
 - similar to normal thyristor
 - can be switched off
 - switching frequencies 200...400 Hz
 - only in legacy systems
- Bip. transistor
 - due to high switching losses only for very low power
- MOSFET
 - small forward resistance
 - medium power range
 - power supplies for electronic devices, ...
- IGBT
 - greater dielectric strength
 - high voltage systems
 - outputs up to 2 MW

Idealized Theory

- Considered semiconductor elements:
 - only valves that can be switched on and off (IGBT/MOSFET) and diodes
 - ideal switches
($u_V(t) = 0$ in the conducting state, $i_V(t) = 0$ in blocking state),
 - Switching times are negligible (i.e. sudden commutation)
- Internal resistances and impedances are neglected
- Input voltage U_1 and output voltage U_2 are constant
- Only steady state
 - ⇒ periodic time functions for current and voltage
 - ⇒ $\overline{u_L(t)} = 0$ and $\overline{i_C(t)} = 0$!
- Inductivities: initially infinitely large, i.e. practical $L \rightarrow \infty$
 - ⇒ Current through the inductance $i_L = \text{const.}$, i.e. without alternating component

Idealized Theory

- Usual procedure for sizing of power electronic devices:
 - idealized study
→ ideal switches, $L, C \rightarrow \infty$
 - simplified study
→ switches with forward voltage and resistance, $L, C < \infty$
 - detailed study
→ switch with real characteristic, $L, C < \infty, L = f(I)$

Next content:

- buck converter
- boost converter
- inverse converter (buck/boost converter)
- half bridge
- four-quadrant controller (full bridge) \Rightarrow DC servo controller
- (three-phase) inverter (inverter) \Rightarrow AC servo controller

Step-down converter

- Switch S on and off periodically
- DC voltage $U_1 \rightarrow$ pulsed output voltage $u_2(t)$
- Average output voltage $U_2 = \overline{u_2(t)} < \text{Input voltage } U_1$
- with a purely resistive load $i_2(t) \sim u_2(t)$

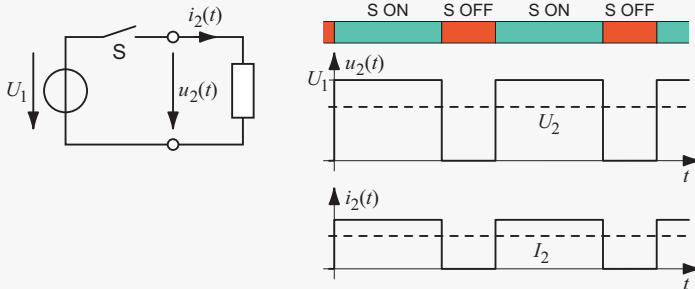


Figure Basic principle step-down converter

Trouble switching off

Mechanical switch: switching spark when switching off depends on

- size of the current to be switched off
- speed of switching off

Current-carrying conductor:

- always has a magnetic field:
E.g. wire: inductance of approx. 10 nH/cm

Example: Power from 100 A is cut off within 100 ns

$$u_{\text{wire}} = L \cdot \frac{di_{\text{wire}}}{dt} = 100 \text{ nH/cm} \cdot \frac{100 \text{ A}}{100 \text{ ns}} = 100 \text{ V/cm}$$

Fly-back Diode

- Loading with an inductive part
 - ⇒ Load current cannot be abruptly reduced
 - ⇒ **Fly-back diode** in parallel with the load for protection:

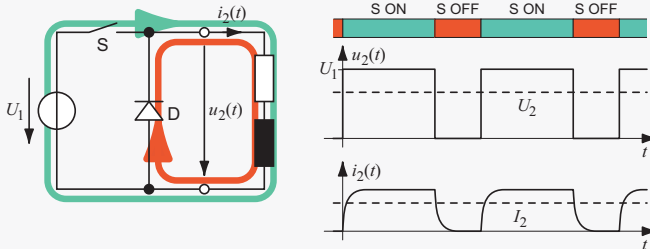


Figure Basic principle step-down converter with fly-back diode

- Switch opens:
 - ⇒ Circuit remains closed via load and fly-back diode
 - ⇒ Energy stored in inductor → Heat loss in the resistor

Smoothing inductance and output capacitor

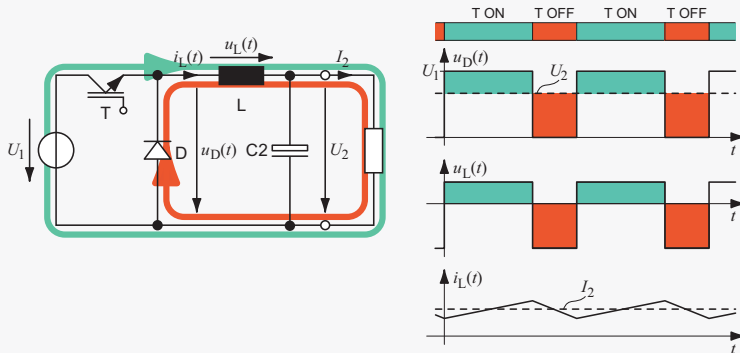


Figure Step-down converter with fly-back diode, smoothing inductance and output capacitor

- L takes up the alternating part of $u_D(t)$: $\overline{u_D(t)} - U_2 = u_L(t)$
- C_2 takes up the alternating part of $i_L(t)$: $\overline{i_L(t)} - I_2 = i_{C2}(t)$

Input Capacitor?

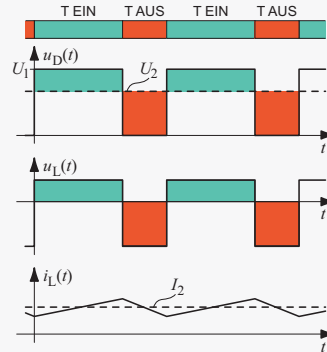
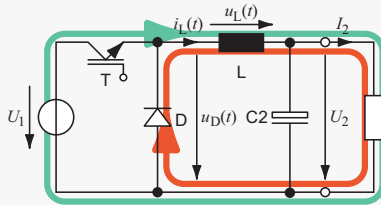
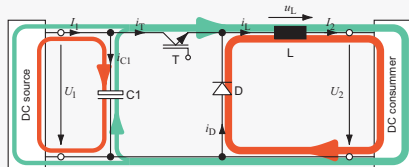


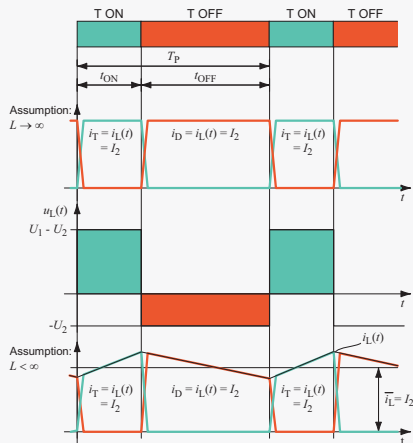
Figure Step-down converter with fly-back diode, smoothing inductance and output capacitor

- Collector current (= input current I_1) jumps!
- ⇒ Input capacitor to protect T necessary!

Step-down converter



- $L \rightarrow \infty: i_L(t) = I_2 = \text{const.}$
- $U_2 \leq U_1$ or $I_2 \geq I_1$
- ON state:
 - $I_1 - i_T = i_{C1} \rightarrow i_{C1} = I_1 - I_2 < 0$
 - ⇒ C_1 gives the charge $(I_2 - I_1) \cdot t_{\text{ON}}$
- OFF state:
 - $I_1 - i_T = i_{C1} \rightarrow i_{C1} = I_1 > 0$
 - ⇒ C_1 takes the charge $I_1 \cdot t_{\text{OFF}}$



Step-down converter

$$(I_2 - I_1) \cdot t_{\text{ON}} = I_1 \cdot t_{\text{OFF}} \Rightarrow I_2 \cdot t_{\text{ON}} = I_1 \cdot (t_{\text{OFF}} + t_{\text{ON}}) \Rightarrow I_1 = I_2 \cdot \frac{t_{\text{ON}}}{T_P} = D \cdot I_2$$

ON state ($u_D(t) = U_1$):

$$-U_1 + u_L(t) + U_2 = 0 \Rightarrow u_L(t) = U_1 - U_2$$

OFF state ($u_D(t) = 0$):

$$u_L(t) + U_2 = 0 \Rightarrow u_L(t) = -U_2$$

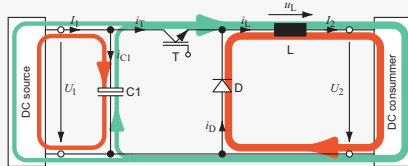
$$\overline{u_L(t)} = 0 \Rightarrow (U_1 - U_2) \cdot t_{\text{ON}} - U_2 \cdot t_{\text{OFF}} = 0 \Rightarrow U_1 \cdot t_{\text{ON}} = U_2 \cdot T_P \Rightarrow U_2 = U_1 \cdot \frac{t_{\text{ON}}}{T_P} = D \cdot U_1$$

$$U_1 \cdot I_1 = U_1 \cdot \overline{i_T(t)} = U_2 \cdot I_2$$

next step with $L < \infty$:

$$u_L(t) = L \cdot \frac{di_L}{dt}$$

Step-down converter with $L < \infty$



ON state:

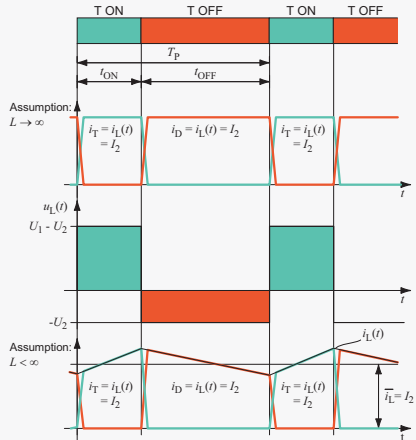
$$u_L(t) = U_1 - U_2 = L \cdot \frac{di_L}{dt}$$

$$\Rightarrow \frac{di_L}{dt} > 0 \text{ and constant}$$

OFF state:

$$u_L(t) = -U_2 = L \cdot \frac{di_L}{dt}$$

$$\Rightarrow \frac{di_L}{dt} < 0 \text{ and constant}$$



-
- Figure 10.10 shows the waveforms for a buck converter in continuous conduction mode (CCM). The figure is divided into four regions: T ON, T OFF, T ON, and T OFF. The total period is T_P . The on-time is t_{ON} and the off-time is t_{OFF} .
- The waveforms are:
- $i_T = i_L(t)$: The switch current, which is zero during T OFF and has a peak value I_1 during T ON.
 - $u_L(t)$: The inductor voltage, which is U_1 during T ON and $-(U_2 - U_1)$ during T OFF.
 - $i_L(t)$: The inductor current, which is zero during T OFF and has a peak value I_1 during T ON.
 - $\bar{I}_L = I_1$: The average inductor current, which is a constant value.
- The assumption $L \rightarrow \infty$ is used for the first two regions, and the assumption $L < \infty$ is used for the last two regions.

Step-up converter

$$I_2 \cdot t_{\text{ON}} = (I_1 - I_2) \cdot t_{\text{OFF}} \quad \Rightarrow \quad I_1 \cdot t_{\text{OFF}} = I_2 \cdot (t_{\text{ON}} + t_{\text{OFF}})$$

$$\Rightarrow \quad I_2 = I_1 \cdot \frac{T_P - t_{\text{ON}}}{T_P} = (1 - D) \cdot I_1$$

ON state ($u_{\text{CE}}(t) = U_1$):

$$-U_1 + u_L(t) = 0 \quad \Rightarrow \quad u_L(t) = U_1$$

OFF state ($u_{\text{CE}}(t) = U_2$):

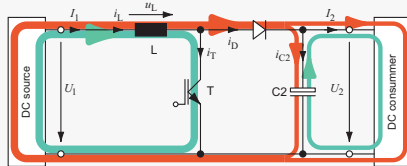
$$-U_1 + u_L(t) + U_2 = 0 \quad \Rightarrow \quad u_L(t) = -(U_2 - U_1)$$

$$\overline{u_L(t)} = 0 = \frac{U_1 \cdot t_{\text{ON}} - (U_2 - U_1) \cdot t_{\text{OFF}}}{T_P} \quad \Rightarrow \quad (U_2 - U_1) \cdot t_{\text{OFF}} = U_1 \cdot t_{\text{ON}}$$

$$\Rightarrow \quad U_2 = U_1 \cdot \frac{t_{\text{OFF}} + t_{\text{ON}}}{t_{\text{OFF}}} = \frac{T_P}{t_{\text{OFF}}} \cdot U_1 = \frac{1}{1 - D} \cdot U_1$$

$$\text{next step with } L < \infty : \quad u_L(t) = L \cdot \frac{di_L}{dt}$$

Step-up converter with $L < \infty$



State ON:

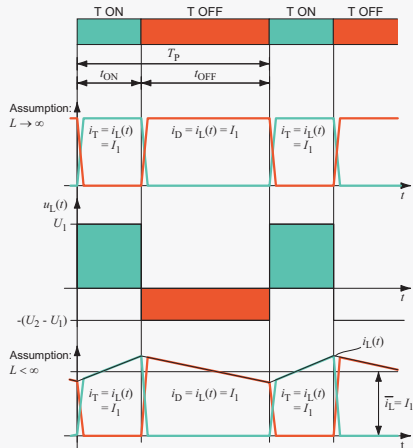
$$u_L(t) = U_1 = L \cdot \frac{di_L}{dt}$$

$$\Rightarrow \frac{di_L}{dt} > 0 \text{ and constant}$$

OFF state:

$$u_L(t) = -(U_2 - U_1) = L \cdot \frac{di_L}{dt}$$

$$\Rightarrow \frac{di_L}{dt} < 0 \text{ and constant}$$



Multi-quadrant controller

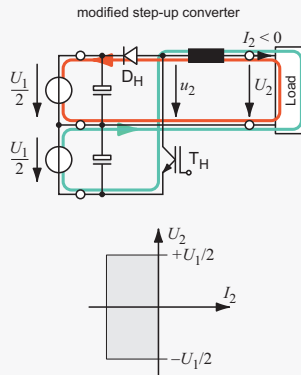
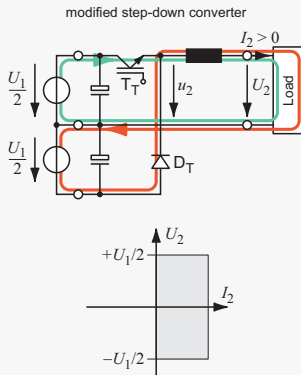
Step-down and step-up converters:

- Current direction at the output cannot be changed
- Voltage direction at the output cannot be changed
 - ⇒ Power can only be transferred from input to output

DC motor:

- Voltage = measure of speed
- Current = measure of torque
- ⇒ with step-down or step-up converter only clockwise rotating motor operation
 - ⇒ step-down or step-up converter = One-quadrant controller (1QC)
- if both directions of rotation and torque are needed:
 - ⇒ both voltage AND both current directions necessary!
 - ⇒ Four-quadrant controller (4QC)

2 x two-quadrant controllers...



$$I_2 > 0: \quad U_2 = -\frac{U_1}{2} \dots + \frac{U_1}{2} \quad (D = 0 \dots 1) \quad I_2 < 0: \quad U_2 = +\frac{U_1}{2} \dots - \frac{U_1}{2} \quad (D = 0 \dots 1)$$

... = 1 x four-quadrant controller (half bridge)

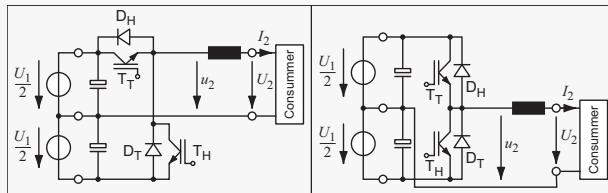
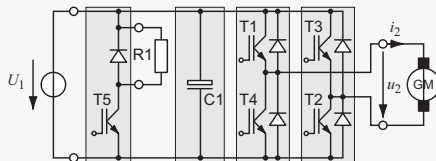


Figure Four-quadrant controller (half bridge)

- Transistors are fired alternately
- Current „finds“ its way
- „Drive“ ($u_L > (<)0$, $i_L > (<)0$):
 ⇒ Transistor conducts
- „Fly-back“ ($u_L > (<)0$, $i_L < (>)0$):
 ⇒ Diode conducts
- T_T fired: $U_2 > 0$
 - $I_2 > 0$: Current via T_T
 - $I_2 < 0$: Current via D_H
- T_H fired: $U_2 < 0$
 - $I_2 < 0$: Current via T_H
 - $I_2 > 0$: Current via D_T

2 x half-bridge = 1 x H-bridge



- Transistors are fired in pairs (T1/T2) vs. (T3/T4).
- $i_2 > 0$: T1/T2 (drive) or D3/D4 (fly-back)
- $i_2 < 0$: T3/T4 (drive) or D1/D2 (fly-back)
- Voltage source must be regenerative or a brake chopper is needed

$$\overline{u_2(t)} = \frac{t_{ON}}{T_P} \cdot U_1 - \frac{t_{OFF}}{T_P} \cdot U_1 = \frac{t_{ON}}{T_P} \cdot U_1 - \frac{T_P - t_{ON}}{T_P} \cdot U_1 = \frac{2t_{ON} - T_P}{T_P} \cdot U_1 = (2D - 1) \cdot U_1$$

$$D = 0 \dots 1 : U_2 = -U_1 \dots +U_1$$

$$(t_{ON} = t_{ON1} = t_{ON2} = t_{OFF3} = t_{OFF4} \quad \text{or} \quad t_{OFF} = t_{OFF1} = t_{OFF2} = t_{ON3} = t_{ON4})$$

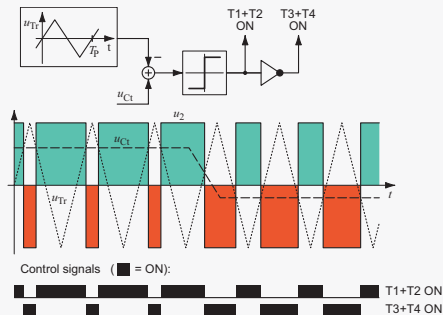
Modulation as basis for inverting

So far only „regulator “ (DC voltage in input and output) considered.

Same circuit (H-bridge) for inverting?

Pulse width modulation (PWM) \Rightarrow any form of output voltage

Triangle modulation: the simplest type of modulation



Modulation of single-phase inverters (H-bridge)

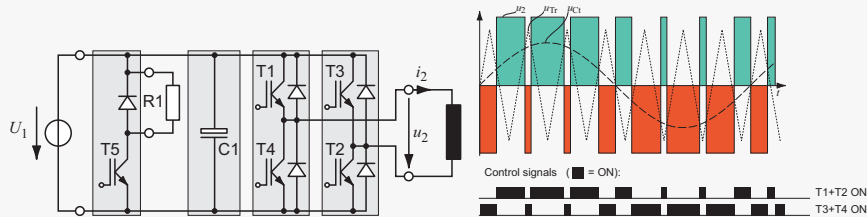


Figure Inverter with triangle modulation

- u_2 with fundamental component proportional to control voltage u_{Ct} .
- How to reduce harmonics in u_2 ? \Rightarrow Increase (pulse) switching frequency
- Limited by switching losses in the transistors

Three-phase inverter

Supply of three-phase drives: three-phase inverter

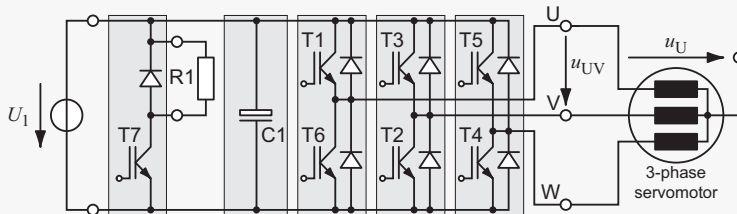


Figure Three-phase inverter with three-phase machine as load

- 120° offset on the voltages and currents
- Sum of phase currents always zero \Rightarrow only half bridges required
- Switching frequency mostly 4 kHz to 16 kHz
- Space vector modulation (voltage in d and q axis) instead of triangular modulation (phase voltages) \Rightarrow higher output voltage