

## Abstract

Distributed Denial of Service (DDoS) attacks are very common these days [1]. So it is evident that current industry solutions such as completely relying on Internet Service Provider(ISP) or setting up DDoS defense infrastructure are not sufficient in detecting and mitigating DDoS attacks, hence consistent research is needed. Most of the current industry solutions involve setting up centralized expensive hardware system which can analyze the packets<sup>1</sup> [2] for probable DDoS attacks. Also each router provider has different protocols to communicate the between the DDoS attack detection system and the router limiting the reach of DDoS detection systems. In this paper we are going to discuss a way to detect DDoS attack using machine learning tools at the routers, also we will propose a communication architecture for mitigating it.

## 1 Existing Systems

Distributed Denial of Service (DDoS) attack is the way to jam host network or its resources with large number of data packets or connection, so that host become disabled to serve. There are different types of DDoS attacks such as 1. Volume based e.g. SYN Flood Attacks, in which victim is flooded with hight volume of packets or connection. 2. Application based, in which application such as DNS, VOIP or HTTP where attacked. 3. Low rate DDoS attacks, in which attacker exploit the vulnerability in application design, e.g. Slowloris. [3]

The real challenge in detecting and defending DDoS attack is because of its dynamic nature. The source<sup>2</sup> is not a single node or system on the Internet but there can be many systems participating in DDoS attack, and often these systems are distributed over different regions of the Internet. Also the source of the packet is often spoofed<sup>3</sup> [4], which makes harder to know the actual IP address of system from where attack is originated because original attack source is changed in spoofed data packet. On top of that, many times the source system itself is not aware that it is compromised and it is being used as a bot [5] by an attacker to launch DDoS attack.

Detecting and mitigating attack at the destination<sup>4</sup> is not very useful as because destination may know that the attack is happening but to stop it happening it will have to block all the incoming traffic including the legitimate traffic, because source address can not be reliable way to know the attack source. To avoid this, many network device producing companies such as Cisco, Netgear have come up with some solutions. Many of the solutions provided by those

---

<sup>1</sup>Messages that are sent on Internet are broken into shorter messages for transmission. These short messages are called packets. Term coined by Donald Watts Davies.

<sup>2</sup>It is a system/device on the Internet which has an IP address and which is involved in DDoS attack

<sup>3</sup>spoofing is the way to change the source IP address of the message. This is a known issue in the protocol iteself not in the implementation

<sup>4</sup>System under DDoS attack

giant or the research that is done in this field has been focusing on collecting network traffic flow information [6] (we will call it just flow) at routers(gateways) and then send that information to the central system for analysis. Central system is a hardware and software infrastructure which is capable of processing and analyzing large flow information.

Some of the major protocols which are widely used for flow collection and analysis are, Internet Protocol Flow Information Export (IPFIX) protocol created by Internet Engineering Task Force (IETF), Ciscos NetFlow [7] and Sflow(Sampled flow) [8]. These protocols have defined standard way to export flow information from router and similar devices. All these flow monitoring protocols gather information and send the consolidated flow information to the centralized server where user can login and perform functions; such as Security Monitoring, Bandwidth monitoring, Resource Management, Traffic Analysis, Performance Management etc. It will have some modules which are specifically used for anomaly/DDoS detection.

E.g. Cisco netflow has flow exporter, collector and analysis modules. Flow exporter modules are installed on routers. The routers which are having flow exporter modules, send flow information to collector module installed on the server. Along with the collector module server also has analysis module which can be used to detect different patterns in the flow.

These technologies scales well and can be sufficient to indicate trends in network traffic but they have limitations. 1) They are not cross platform, e.g. router with Sflow protocol can not work with Cisco routers. 2) They involve setting up expensive hardware which acts as collector server. 3) Source address is used for flow analysis which is not reliable due to IP spoofing in the case of of DDoS attack.

Now we know that router based flow analysis can be useful for anomaly detection but it has limitations. We don't want to set up expensive hardware, we want to have protocol or system which is compatible with other routers. Also we dont want source IP address for detection analysis. So if we can come up with the way by which we can detect anomaly in the traffic at the routers and create a communication protocol between the routers and the destination server or network, then routers and network can take better decisions on regulating the packet flow. If we use only the destination IP address for analysis, then we will be more efficient in detecting and mitigating DDoS attacks.

## 2 DDoS Detection and Mitigation

DDoS attacks can be detected by checking if there is any anomalous behavior in the network traffic, such as sudden increase in the number of packets going to a destination. This can be done at server by observing all the incoming traffic or it can be done by observing all the out

going traffic at ISP or at every router. Attack can be mitigated if the anomalous packet are blocked reaching their destination.

### 3 Network Functioning

A switch creates a network and router connects networks. A router links computers to the Internet through other routers. Routers are the backbone of the network who helps to forward packet from one point to other point on the Internet. Every packet traveling on Internet has to go through router [9]. Router knows where the packet is destined hence it could serve as first point of knowledge about the change in the flow information for a destined network. Each router has interfaces to which hosts or other network are connected. So router is aware to whom it is connected. Router uses protocol to communicate and by that they gather knowledge about other networks or router on the Internet. ICMP [10] is one of the most frequently used protocol by routers to communicate.

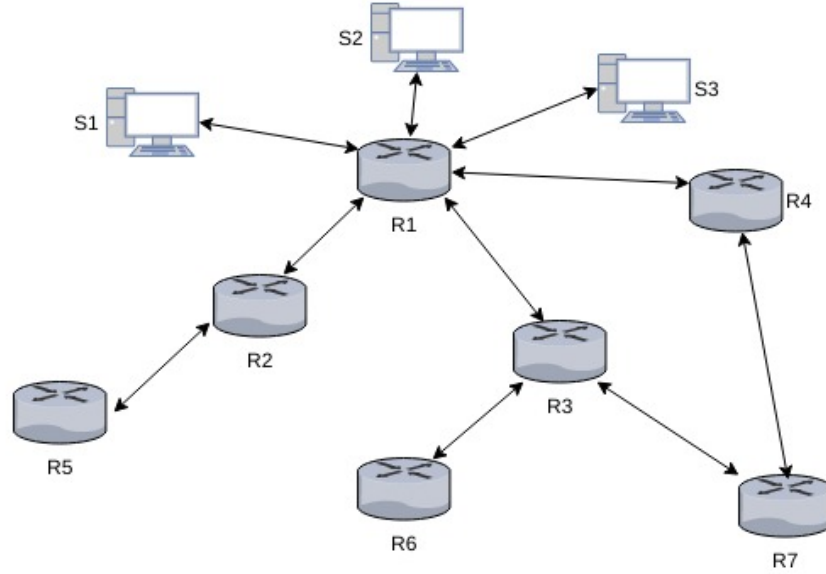


Figure 1: Network Example

Let's illustrate this using an example. In the above figure we can see that host S1, S2, S3 are connected to router R1. Router R1 is connected to Internet through router R2, R3, R4, thus every packet reaching to S2 is coming from either of these three routers. All three routers are located in different geographical region. Most of the websites are regional, either county, state or national (If we leave out few global websites) and hence they are mostly accessed from those region it is meant for. E.g. Rutgers University website is accessed mostly from the eastern region of United States and that too mostly from the New Jersey State or the Philadelphia region.

Using traceroute we can find out how many hops<sup>5</sup> away the destination is. Following is one of the captured traceroute for Rutgers University website.

```
arpit@omega:~$ traceroute camden.rutgers.edu
traceroute to camden.rutgers.edu (128.6.34.90), 30 hops max, 60 byte packets
 1 192.168.0.1 (192.168.0.1) 1.067 ms 1.697 ms 1.684 ms
 2 10.240.177.197 (10.240.177.197) 7.617 ms 9.975 ms 10.302 ms
 3 67.59.225.66 (67.59.225.66) 10.803 ms 12.759 ms 13.074 ms
 4 dstswr1-ge1-2.rh.mhwhnj.cv.net (67.83.247.130) 18.962 ms 18.952 ms 18.902 ms
 5 67.59.239.121 (67.59.239.121) 18.844 ms 451be043.cst.lightpath.net (65.19.114.67) 18.314 ms
 6 451be031.cst.lightpath.net (65.19.98.49) 19.762 ms 64.15.3.138 (64.15.3.138) 10.763 ms 17.7
 7 * * *
 8 * * *
 9 RUTGERS-THE.ear3.Newark1.Level3.net (4.14.216.6) 33.338 ms 32.792 ms 33.274 ms
10 * * *
11 * * *
12 * * *
13 * * *
14 * * *
15 web-www.camden.rutgers.edu (128.6.34.90) 22.632 ms 23.859 ms 23.866 ms
```

Figure 2: Trace Route: All the routers in the path to destination

We can see that there are about 14 routers (if we don't consider the home router 192.168.0.1) to reach to the camden.rutgers.edu. This trace route is taken from a location in the New Jersey State.

## 4 Suggested Approach

From Figure 1 and 2 we know that routers are located at different geographical locations and also there is pattern in which the particular destination website is getting accessed from the different regions. Some of the service providers such as GeoIP or Google, can find out the location from where the traffic is coming in the network for a given destination, but that is approximate based on the source IP. In the case of DDoS attack this information is unreliable, because the packet source address is often spoofed. This makes difficult to know the actual geographical location from which the packet has come, but routers through which that packet has traveled can provide their own geographical information. Such information can be useful to understand the path through which packet has traveled and thus we can know the region from which the packet is originated.

In the normal scenario there is some definite pattern in which the website is accessed from different geographical regions, and this pattern can be learned over the time. Thus finding this pattern in the flow<sup>6</sup> at the router can form the basis of analysis in this paper. Whenever there is a deviation from the normal flow pattern learned in the past for a particular destination then that change in pattern as well as router geographical region information can be communicated to the destination network. The destination network on receiving that information, can decide,

<sup>5</sup>hops are intermediate routers in the communication channel

<sup>6</sup>In this paper we will consider flow only in sense of destination address

whether it want the reporting router to discard or forward the traffic for it. This is a selective process in which traffic from only specific router is blocked while traffic from other routers remain unaffected

With the advance of electronic and the Internet of things, processing and storage capacity of the electronic devices has increased. Router are also not left behind, but storage capacity of router is always very less compared to storage server which collect flow data for network traffic analysis. If we use the learning techniques which don't need much storage then we don't have to store large chunk of packets on the router. Instead of storing data packets for longer time for analysis, we can learn from small number of packets and then discard packets once learning is done leaving behind only learned information on the router. This is necessary because the number of entries on the Internet routing table has steadily grown. Now that the table has passed 500,000 routes [11] so storing each and every flow information for these routes could be difficult.

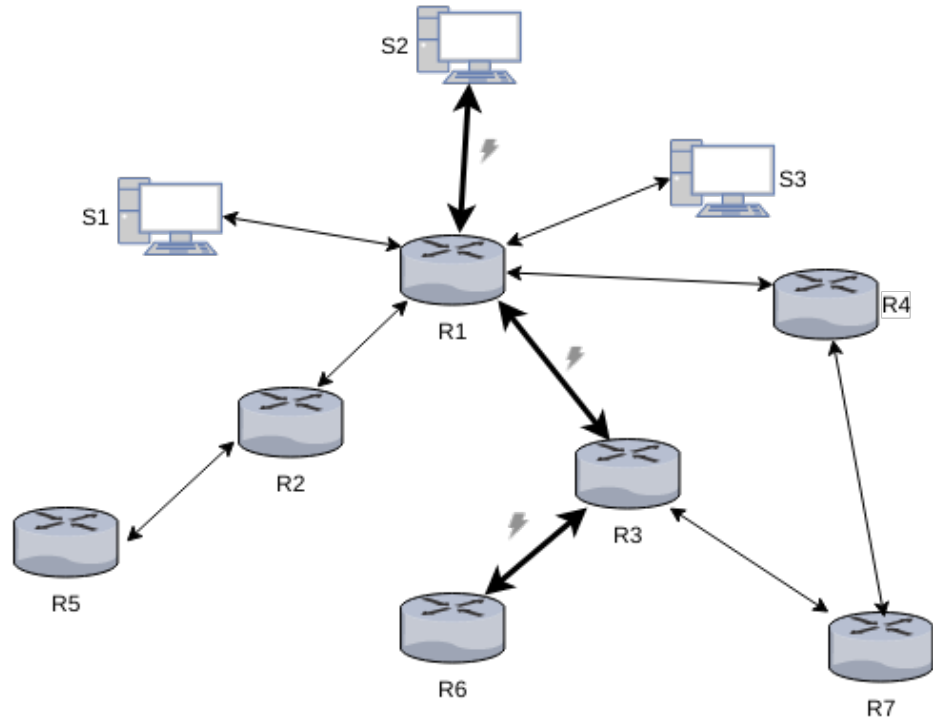


Figure 3: DDoS Attack path

In the above figure an attack is initiated from the region where router R6 is located and from router R6 data packets travel to the victim<sup>7</sup> system S2. Attack packets traveled through router R3 and R1 to reach system S2. If we can detect attack at router R6, then router R6 can discard all the packets heading towards system S2. In this process, only traffic from router R6

<sup>7</sup>Victim is a computer system which is under DDoS attack

is affected but traffic from all other routers remain unaffected.

To achieve this, we will gather the flow information during a time window (e.g 300 sec) whose size will be fixed at the beginning. These time windows can be combined to form a period which is a portion of a day during which traffic is measured. Once we have flow information we can apply learning techniques on each flow iteratively to gain deeper knowledge about normal behavior of the flow.

In the proposed system, each router will itself act as a analyzer. Each packet will be analyzed and flow statistic is created based on the destination IP address. Based on the statistic, clustering of destination IP address using input feature vector is done. Clustering is the process of examining a collection of points, and grouping the points into clusters according to some distance measure. The goal is to minimize the distance of the point in the cluster to other points in the same clusters. [12].

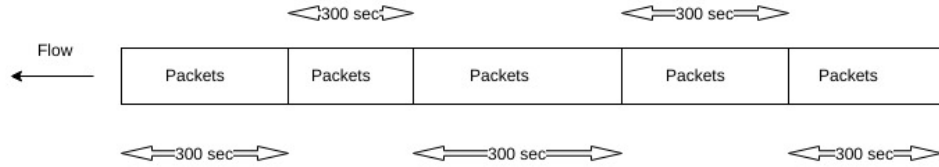


Figure 4: Data Flow Segments

Once the clustering is learned it will be used as bench mark for all future flow. Router will constantly keep clustering destination IP address and if there is deviation in the normal traffic at router for any destination then that will affect the clustering and it will cause destination to be placed in different cluster. This change in the cluster for a given distention can be marked as change in the behavior of the traffic for that destination. Along with the cluster we will also use Novelty Detection algorithm to achieve more accurate result. This change in traffic pattern will be reported to destination network, which then decide on regulating the traffic to itself on the router which has send the information

## 5 Implementation

There are different types of DDoS attacks, such as Volume based, Application based and also Low rate DDoS attacks. Among these different types of DDoS attacks, the volume based attacks are most common. In the volume based attack, victim is flooded with a high volume of Internet packets (TCP, UDP, HTTP or ICMP) make it unable to serve the requests.

For the demonstration of suggested approach we are simulating a volumed based Bot attack. Bots are the compromised computer systems, controlled by attacker for launching an attack.

They are not bounded by geographical boundaries, so they can be anywhere in the Internet. Botnet(Network of bots) are employed by an attacker to launch a DDoS attack. As we know that the Internet is connection of different computer system that communicate with each other, through different channels such as cables, satellite or radio device and these communication channels run through out the glob; connecting different computer systems at different locations.

We are using Wireshark, an open source tool, for capturing Internet packets. Wireshark can capture all digital information received or send through different devices such as Ethernet or wifi devices, which connects computer to the Internet. It also helps identify different protocol packets (e.g. TCP, UDP) within the packet created at Data Link Layer packet. Data Link Layer packet is a wrapper over all higher protocols such as TCP/UDP in OSI model.

The screenshot shows the Wireshark interface with a list of captured packets. The first packet is a QUIC packet from 192.168.0.7 to 173.194.175.189. The detailed view shows the packet structure: Ethernet II, Internet Protocol Version 4, User Datagram Protocol, and QUIC. The packet data is displayed in hexadecimal and ASCII.

| No. | Time        | Source          | Destination     | Protocol | Length | Info   |
|-----|-------------|-----------------|-----------------|----------|--------|--|
| 1   | 0.000000000 | 192.168.0.7     | 173.194.175.189 | QUIC     | 187    | CID: 18146815167217732578, Seq: 49   |
| 2   | 0.049933000 | 173.194.175.189 | 192.168.0.7     | QUIC     | 152    | CID: 0, Seq: 56  |
| 3   | 3.185773000 | 192.168.0.7     | 192.168.0.10    | TCP      | 223    | [TCP segment of a reassembled PDU]   |
| 4   | 3.187726000 | 192.168.0.10    | 192.168.0.7     | TCP      | 263    | [TCP segment of a reassembled PDU]   |
| 5   | 3.187974000 | 192.168.0.7     | 192.168.0.10    | TCP      | 188    | 52718-50809 [ACK] Seq=116 Ack=118 Win=346 Len=0 TSval=32023603 TSecr=254463    |
| 6   | 4.701349000 | 192.168.0.7     | 52.204.61.141   | TLSv1.2  | 396    | Application Data   |
| 7   | 4.717465000 | 52.204.61.141   | 192.168.0.7     | TCP      | 146    | 443-40122 [ACK] Seq=1 Ack=289 Win=314 Len=0 TSval=1050903052 TSecr=32024001    |
| 8   | 4.718005000 | 52.204.61.141   | 192.168.0.7     | TLSv1.2  | 471    | Application Data   |
| 9   | 4.718249000 | 192.168.0.7     | 52.204.61.141   | TCP      | 188    | 40122-443 [ACK] Seq=289 Ack=326 Win=727 Len=0 TSval=32024005 TSecr=1050903052  |
| 10  | 7.255599000 | 192.168.0.7     | 54.89.16.99     | TCP      | 188    | 49118-443 [ACK] Seq=1 Ack=1 Win=237 Len=0 TSval=32024640 TSecr=3080385116      |
| 11  | 8.001888000 | 65.52.108.76    | 192.168.0.7     | TLSv1.2  | 1351   | Application Data   |
| 12  | 8.013787000 | 192.168.0.7     | 65.52.108.76    | TCP      | 1536   | [TCP segment of a reassembled PDU]   |
| 13  | 8.014102000 | 192.168.0.7     | 65.52.108.76    | TLSv1.2  | 1229   | Application Data   |
| 14  | 8.035866000 | 65.52.108.76    | 192.168.0.7     | TCP      | 146    | 443-39116 [ACK] Seq=1206 Ack=2550 Win=514 Len=0 TSval=119147688 TSecr=32024829 |
| 15  | 8.108997000 | 192.168.0.7     | 192.168.0.10    | TCP      | 223    | [TCP segment of a reassembled PDU]   |

Frame 1: 187 bytes on wire (856 bits), 187 bytes captured (856 bits) on interface 0  
 RadioTap Header v0, Length 14  
 IEEE 802.11 QoS Data, Flags: .p.....T  
 Logical-Link Control  
 Internet Protocol Version 4, Src: 192.168.0.7 (192.168.0.7), Dst: 173.194.175.189 (173.194.175.189)  
 User Datagram Protocol, Src Port: 37254 (37254), Dst Port: 443 (443)  
 QUIC (Quick UDP Internet Connections)

```

0000  00 00 0e 00 00 00 00 00 00 00 07 04 07 88 41 .....A
0010  00 00 18 35 dd e5 83 00 84 ef 18 8b 08 73 3c df ...5...5<
0020  a9 67 c0 39 f0 ef 00 00 34 2f 00 20 00 00 00 00 .9....4/....
0030  aa aa 03 00 00 00 00 00 45 00 00 33 c1 93 40 00 .....E..3..0.
0040  40 11 5a f7 c0 a8 00 07 ad c2 af bd 91 86 01 bb @.Z.....
0050  00 1f 44 e9 0c da 0b 8f 6b a2 ba d0 8c 31 d5 80 ..D....K....1..
0060  1f 06 73 54 c3 d5 aa 93 a9 02 7f ...5T.....

```

Figure 5: Wireshark Tool: snippet of captured packets

To gather data for the demonstration, we have created a small network which has one router and couple of host machine. Each machine can be a victim of DDoS attack. We have installed Wireshark tool on one of the machine in the this network. For capturing traffic in the network we are using the Promiscuous mode of Wireshark. In Promiscuous mode, network interface can record not only the traffic that is intended to itself but all of the traffic on the network, so we can see all in out traffic in our setup network. This setup is similar to any router on the Internet which is connected with different routers and hosts.

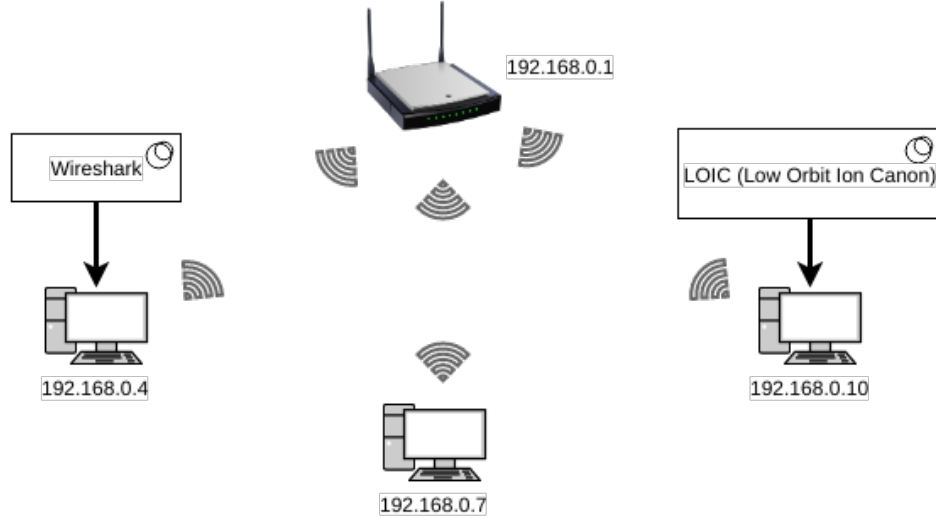


Figure 6: Demo Network: for purpose of demonstration

To collect packets traveling in our network, we start the Wireshark tool. We let it run for a while and then we orchestrate a DDoS attack on one of the host (e.g. 192.168.0.7 in Figure 6) in the network. This attack is engineered using the Low Orbit Ion Canon(LOIC) tool which is installed on one of the host (e.g. 192.168.0.10 in Figure 6) in our network. LOIC is a free tool which is even used by attackers in the real world DDoS attacks. This tool allow us to launch TCP and UDP flood attack on any destination. In this paper we are analyzing the flow based TCP and UDP attack, which is one of the most common type of DDoS attacks.

In the LOIC tool we need to give IP address and the port number of the destination where we want to orchestrate attack while rest of the work is done by it. This tool flood destination with packets and if we choose TCP then it will try to create multiple connections and send packets over them. We start flood attack on one of the system(e.g. 192.168.0.7) in demo network and let it continue for few minuets. We launched such attacks few times in between the packet capturing session.

All the traffic, including the normal and the attack traffic, will get captured using Wireshark. Packets are captured for about five hours in the given network. Once the packets are captured they are saved as pcapng file, which is a Wireshark file format for captured packets. Captured packets during the normal operation and during the attacks are saved separately. The normal packet flow information is used for training and testing the learning algorithms(will be explained in later sections) while attack packets flow information is used for detecting the attack. Wireshark captures every detail of the packets but we don't need all of the information, we are interested only in the IP layer information of the packet. Most of the routers analyze IP layer of the packet



for routing. Having said that there is no reason that other layers of the packet are not analyzed but for demonstration purpose we are analyzing only IP packets.

A data extraction program is written in Python to extract IP layer information from the captured packets. This program extracts address, port and time information from each of the captured IP packets<sup>8</sup>. It also divides the captured data into 300 seconds capture window, thus creating a sample data which is a collection of IP packets captured over the time of 300 seconds. It then write each sample in the separate file for further processing. This sampling of the packets is the continuous process. We then run another program which will extract the flow information form those sample files. one ‘flow’ contain the number of different packets capture for a given destination. We will store this flow information as sample flow. Then we will train learning algorithms using those sample flows. One learning is done those sample files will be discarded and new samples will be created for further training. This training process has to be continuous process in order to correctly reflect the current status of the flow at given router. What ever the new information learned is augmented with the previous learning to have the correct understanding of the flow.

This learning can be done for the time during the day or during the week of year. e.g. We can have separate learning information for flow from morning 9 am to 12 pm and also can have information for evening 6 pm to 12 pm.

| Destination IP Address | Protocol | Time stamp(Sec.)     | Sample Number |
|------------------------|----------|----------------------|---------------|
| 52.6.129.72            | 6        | 1512094785.928596000 | 1             |
| 192.168.0.4            | 6        | 1512094785.946987000 | 1             |
| 192.168.0.4            | 17       | 1512094786.148488000 | 1             |

Table 1: Sample file snippet

Flow based model is build as it is more reliable and fast. Packet analyzing is often difficult due to size and encryption. Also destination port number is not a reliable information in detecting attacks because of the fact that attacker use different ports during attack.

Creating a training set for the learning algorithms is an intermediate step in which IP packet count for each destination for a given protocol(e.g TCP, UDP) is calculated. The training set gives us the flow information for each destination (i.e. how many packets are recorded for a given destination IP address during a time window e.g. 300 seconds). We are using Python program to create training set from the sample files. The training set look like following in which each row is one training example with destination IP address as label. A training example is  $\mathbb{R}^3$  vector whose elements are the number of packets observed for a particular protocol during a 300

---

<sup>8</sup>packets containing IP information

second time.

| Destination IP Address | IP Packet count |     |     |
|------------------------|-----------------|-----|-----|
|                        | ICMP            | TCP | UDP |
| 172.217.10.134         | 0               | 8   | 12  |
| 65.19.96.252           | 5               | 0   | 192 |
| 68.67.178.134          | 0               | 78  | 0   |

Table 2: Training Set with three training examples

We ran Python program to convert each sample file to training set and testing sets. Each sample file has corresponding train/test set file. We store both train and test sets on the file systems so that they can be used for training and testing the algorithms.

There are around 150 protocols managed and assigned by the Internet Assigned Numbers Authority (IANA) but most commonly used protocols in the DDoS attack are ICMP, TCP and UDP protocols. For the training and analysis purpose we are using only these three protocols as the desired feature. In the larger system such as routers managed by ISP, other protocols can also be used as features if required.

## 5.1 Machine Learning

According to Tom Mitchell (1998) A computer program is said to learn from experience  $E$  with respect to some task  $T$  and some performance measure  $P$ , if its performance on  $T$ , as measured by  $P$ , improves with experience.

A learning algorithm build the hypothesis using training set as input then that hypothesis is used to perform predictions. Most common categorization of machine learning algorithms is Supervised and Unsupervised.

Let  $f$  be the function which we need to guess from input vector  $X = \{x^1, x^2, ..., x^m\}$ . This  $X$  also called as training set where  $x$ 's represent an 'input variable' or a 'feature vector' and  $m$  is number of feature vectors in the training set. Let  $h$  be the hypothesis about the function  $f$ .  $h \in H$  and  $f \in H$ , where  $H$  is class of some functions. Both  $f$  and  $h$  can be vectors. We select  $h$  based on a training set,  $\Xi$ , of  $m$  input vector examples. In Supervised leaning we know the values of  $f$  for  $m$  samples in the training set  $\Xi$ . We assume that if we can find a hypothesis,  $h$ , that closely agrees with  $f$  for the members of  $\Xi$ , then this hypothesis will be a good guess for  $f$  when  $\Xi$  is large. In Unsupervised learning, we simply have a training set of vectors without function values for them. The problem in this case, typically, is to partition the training set into subsets,  $\Xi_1, ..., \Xi_R$ , in some appropriate way. [12]

Supervised algorithm such as One Class Support Vector Machine(One Class SVM) [13] could

be efficient to identify the anomalies in the data but it is process and memory intensive, so training the algorithm for each and every IP address is very costly in terms of resources. Because of the resource constraints of the router, our approach is to first cluster the IP address based on the features using Unsupervised learning algorithms such as k-means and then apply One Class SVM on the clusters to decide on the boundaries of those clusters. Unsupervised algorithms are fast and consume less resource which make them good to be used on the devices which have less cpu power and less memory.

### 5.1.1 Feature Scaling

Before feeding data to learning algorithm, we have to do feature scaling, also called Standardizing. Feature scaling is done by removing the mean and scaling the feature to a unit variance value. It is necessary because of the fact that, different features which are at the different scales could cause one feature dominating other in the algorithm output result. e.g. consider two vectors (1, 2, 3000) (1, 3, 2000). If we calculate the Euclidean distance between these two vectors then it will be square root of  $(1 - 1)^2 + (2 - 3)^2 + (3000 - 2000)^2$ . From this, it is evident that the larger term is dominating the result.

First we will convert training examples into a vector. Each row of the vector is one training example and each element in the vector is the feature. To standardize the input vector, mean and standard deviation is calculated for each feature in the input vector. Then new vector is created by subtracting the mean from every element of the feature vector and then dividing values of each feature vector by its standard deviation. The new vector created after this step is standardized vector, which is used as input to the learning algorithms.

$$\text{Standardization formula: } x' = \frac{x - \bar{x}}{\sigma}$$

Where  $x$  is the feature vector,  $\bar{x}$  is the mean and  $\sigma$  is its standard deviation.

### 5.1.2 Clustering

k-means clustering is one of the most efficient algorithm for creating clusters. The k-means problem is to find set of  $K$  points  $\{\mu_1, \mu_2, \dots, \mu_k\}$  called centroids, where  $\mu_k \in \mathbb{R}^d$  and  $K \in \mathbb{N}$ , for training set  $X = \{x^1, x^2, \dots, x^m\}$ , where  $x^i \in \mathbb{R}^d$  and  $i = 1, 2, \dots, m$  is a training example, such that the mean square distance from each training example  $x^i$  of training set, to its nearest center  $\mu_k$  ( $\text{argmin}_k \|x^i - \mu_k\|^2$ ), is minimum.  $m \in \mathbb{N}$ , are the number of training examples. [14]

Following is Lloyd's algorithm is most popular heuristic for k-means clustering, which we will be using for clustering destination IP addresses.

For this paper we will be using k-means++ algorithm [15] which is improvisation of kmeans, where arbitrarily initialization step is replaced by following simple, randomized seeding tech-

---

**Algorithm 1** Lloyd's k-means algorithm

---

Arbitrarily initialize  $K$  cluster centroids  $\mu_1, \mu_2, \dots, \mu_k$

**repeat**

**for**  $i \leftarrow 1, m$  **do**

$c^i = \operatorname{argmin}_k \|x^i - \mu_k\|^2$

▷ Cluster Assignment

**end for**

**for**  $k \leftarrow 1, K$  **do**

$\mu_k = \frac{1}{n} [x^{(k_1)} + x^{(k_2)} + \dots + x^{(k_n)}]$

▷ Move Centroid

**end for**

**until** convergence

---

nique. This kmeans++ algorithm is  $O(\log k)$ -competitive with the optimal clustering.

Let  $D(x)$  denote the shortest distance from a data point to the closest center we have already chosen then following is the k-means++ algorithm.

---

**Algorithm 2** kmeans++

---

1: Take one center  $\mu_1$ , chosen uniformly at random from  $X$ .

2: Take a new center  $\mu_k$ , choosing  $x \in X$  with probability  $\frac{D(x)^2}{\sum_{x \in X} D(x)^2}$

3: Repeat Step 2. until we have taken  $k$  centers altogether

4: Proceed as with the Lloyd's k-means algorithm.

---

For our DDoS attack detection program, we will be using the Scikit-learn libraries. Scikit-learn is most popular and rich open source machine learning software library for the Python programming language and they have implementation for both kmeans and One Class SVM machine learning algorithms as well as data preprocessing programs.

We will be using training set in the format given in the figure 2 and we will be using k-means++ Scikit-learn library for clustering.

Before doing actual clustering we will first determine the number clusters and centroids<sup>9</sup>. Deciding on the number of clusters is important as randomly choosing the number of cluster will not be useful to have correct clustering. We will use Elbow method to find the optimal number of clusters. Elbow method check the percent of variance explained as function of the number of clusters. Following are the Elbow Diagrams for four samples.

---

<sup>9</sup>Centroids are the central vectors who presents the clusters.

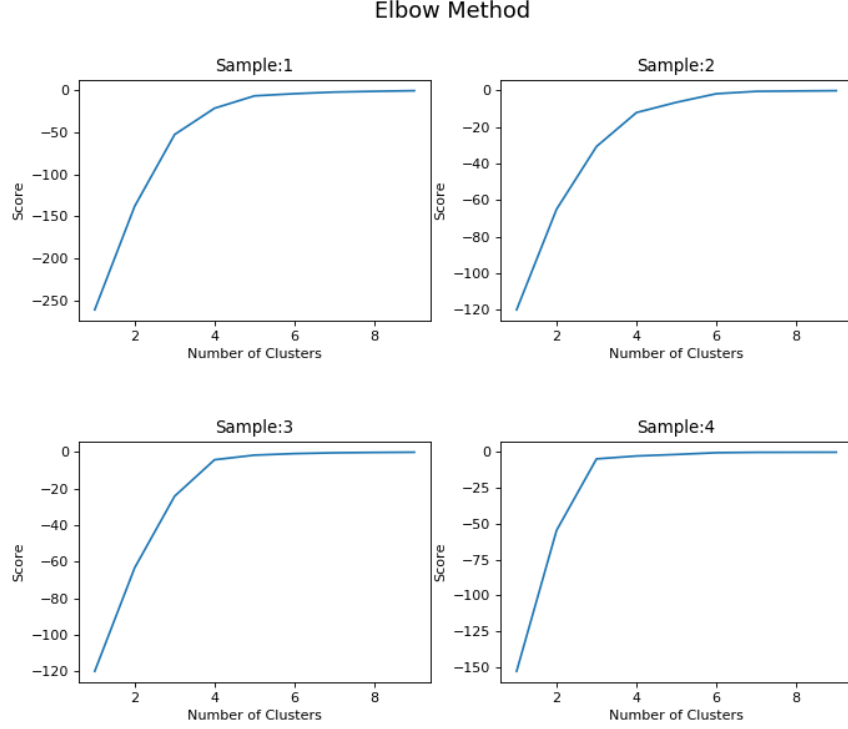


Figure 7: Elbow Method for cluster count detection

Using the elbow method, variance for each cluster number is calculated and the cluster number which produce less variance for the next cluster number is selected as best choice of cluster number for the give sample data. To come up with the best clustering scheme for all the sample we have

To have correct clustering we first run the k-means algorithm to determine the central vectors called centroids. Clusters are represented by these centroids. As we have multiple samples (each sample has file associated with it), we will have cluster centroids for each sample. We will save all the centroids and then find the median of all the centroids removing the outliers so that we have good estimate of the correct centroids. This estimated centroids are used for clustering the samples. Following is the example of centroid vector where rows represents cluster label and columns represent the feature.

| Cluster | ICMP        | TCP         | UDP         |
|---------|-------------|-------------|-------------|
| 0       | -0.16815612 | -0.14928111 | -0.16948046 |
| 1       | -0.18181818 | 5.13527652  | 5.68956244  |
| 2       | 5.08663322  | -0.27110845 | -0.099885   |
| 3       | -0.18670401 | -0.18804342 | -0.018538   |

Table 3: k-means cluster centroids

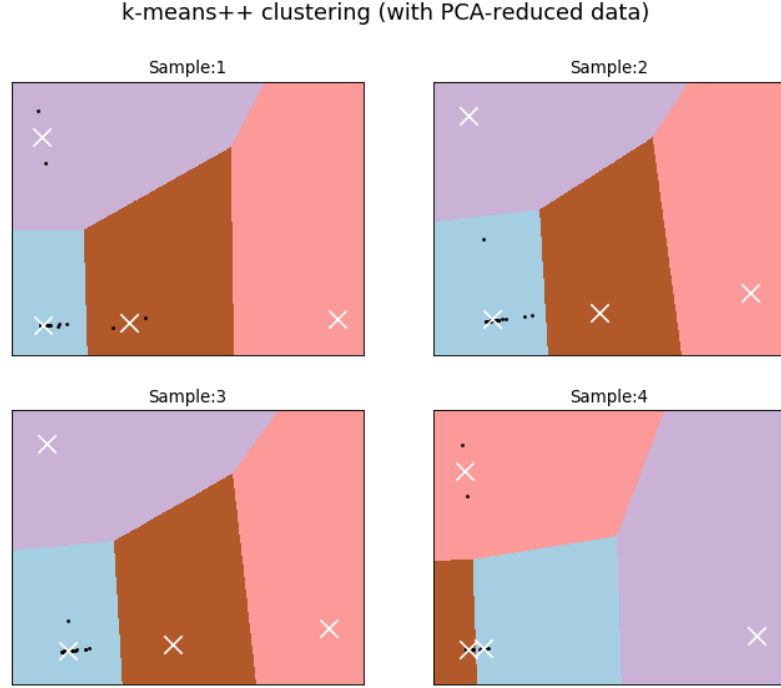


Figure 8: Clustering using k-means++ algorithm

To draw data points on 2 dimension space we had to reduce the 3 dimensional training example into 2 dimension without losing much information. We have achieved this by using Principal-Component Analysis (PCA). PCA is a technique for taking a dataset consisting of a set of tuples representing points in a high-dimensional space and finding the directions along which the tuples line up best. [16]. We have used Scikit-learn PCA module for this purpose.

Using the centroids obtained in the previous step we will run kmeans++ algorithm and then test it for accuracy. kmean++ algorithm gives label to each training example. This label is the cluster number to which that training example has been assigned to. So the new labeled data look like following.

| Destination IP Address | ICMP | TCP | UDP | Cluster |
|------------------------|------|-----|-----|---------|
| 172.217.10.134         | 0    | 8   | 12  | 1       |
| 65.19.96.252           | 5    | 0   | 192 | 0       |
| 68.67.178.134          | 0    | 78  | 0   | 2       |

Table 4: Labeled Training Set (with cluster number)

We will run kmeans++ to for both training and testing set and we produce labeled data for both. We then checked how similar the test set clustering is with train set clustering. For

measuring this similarity Rand Index(RI) [17] is used. RI is a measure of how many percent does the test clustering matches with the trained model.

$$RI = \frac{TP+TN}{TP+FP+FN+TN}$$

where  $TP, TN, FP$  and  $FN$  are the number of true positives, true negatives, false positives and false negatives respectively.

We have observed that with more number of training sets RI index improves.

Because of the reason that the training sets contain the flow information for different IP on a router during window of 300 seconds, there is very high possibility that same destination IP address is captured in multiple training set. Our goal is to find out the correct cluster for destination IP address so to achieve this goal we will check all the cluster assignment for a given destination IP address and we will select the cluster which has the highest occurring frequency among all the training sets selected for the clustering. We will also count the average number of packets going to a given IP address which will help us reduce the error in deciding the DDoS attack. We will save this labeling and cluster count information for each IP destination on the file system. This information tell us the normal behavior of the packets traveling from the router to a given destination. As we have fixed the centroids for the clustering algorithm, every time in the future we should expect the an IP address to be found in the same cluster if flow of packets for a given destination is as per the previous knowledge. If there is a DDoS attack on a any destination with flooding, then we can expect to see that destination assigned different cluster.

But from the experiments on different data sets it is found that the destination under attack is labeled with the same cluster number when it was not under attack. This happened because there is no other cluster it can be assigned to so it gets assigned to cluster whose centroid is nearest.

To avoid such conditions we will have to create boundaries for the clusters. This scheme will make sure that the attack will be detected even if destination is assigned to same cluster to which it was labeled when there was normal traffic for that destination.

for this we will be using One Class SVM which will help us to detect anomalies in the cluster. Compute and storage requirements of SVM increase rapidly with the number of training vectors, because of the fact that SVM is a quadratic programming problem (QP). So the approach presented in the paper is more efficient because the number of cluster are limited and always be far less than the number of destination IP address. Training one class SVM on clusters will be far less process and memory intensive than training on massive number of IP address.

For example the number of clusters we have create in our analysis are 4 in number, while the number of IP address to which packets are flowing from router are 268 which is more than 60 times.

### 5.1.3 Anomaly Detection using One Class Support-Vector Machine

#### 5.1.4 Support-Vector Machine

Support-Vector Machine is a supervised learning algorithm which tries to classify data. Classification is based on the label of the training set. Consider the training set  $\{(x^1, y^1), (x^2, y^2), \dots, (x^m, y^m)\}$ , where  $x^i \in \mathbb{R}^d$  is the training example and  $y^i \in \{-1, +1\}$  is the label which is the classification value for  $x^i$ ,

SVM try to create non-linear separation boundary by projecting data points through a non-linear function  $\phi$  to higher dimension space. The data points in space  $I$  which can not be separated by a line are projected to the feature space  $F$  where there can a hyperplane that separate data point of one class form another. If the hyperplane is projected back on original space  $I$  the we get non-linear curve.

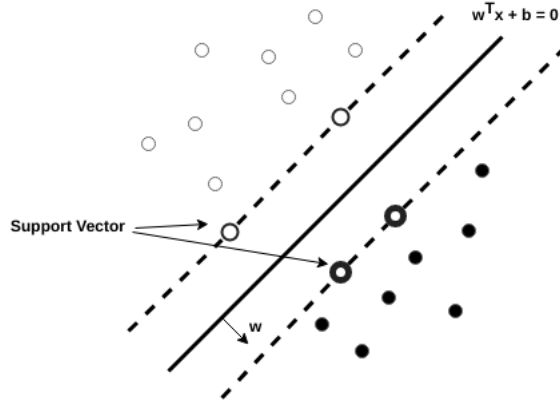


Figure 9: Linear Separation using Support Vector

The hyperplane is represented with the equation  $w^T x + b = 0$ , where  $w \in F$  and  $b \in R$ . This hyperplane separated the training example labeled with  $-1$  and  $1$  into different classes. The position of the hyperplane is such that the distance from the closest point from each class to the hyperplane is same. To avoid the over-fitting, slack variables  $\xi^i$  are introduced. Over-fitting happen because learned hypothesis fit training examples so well that it fails to generalize new examples. The constant  $C > 0$  is the regularization parameter. If chosen large, we can avoid miss-classification of training examples. If chosen small, then we may miss classify few examples but margin will be large, so that most of the points will be far away from the decision boundary. Thus SVM optimization problem is stated as follows. [13] [18]

$$\begin{aligned} \min_{w,b,\xi^i} \quad & \frac{\|w\|^2}{2} + C \sum_{i=1}^m \xi^i \\ \text{subject to:} \quad & y^i(w^T \phi(x^i) + b) \geq 1 - \xi^i \text{ for all } i = 1, \dots, m \end{aligned}$$



$$\xi^i \geq 0 \text{ for all } i = 1, \dots, m$$

If this minimization problem is solved using Lagrange multipliers then the classification function  $f(x)$  can be stated as.

$$f(x) = \text{sgn}(\sum_{i=1}^m \alpha^i y^i K(x, x^i) + b)$$

$\alpha^i$  here are the Lagrange multipliers and  $x^i$  with  $\alpha^i$  are called the Support Vectors.

The function  $K(x, x^i) = \phi(x)^T \phi(x^i)$  is known as the kernel function. It's responsible in projecting data points to the hyperplane.

### 5.1.5 One Class Support-Vector Machine

One Class Support-Vector Machine (One Class SVM) is the extension of SVM which detect boundaries of the training set so that every new training example will be classified as belong to training set or not. It separate all the training set data point from feature space  $F$  and maximizes the distance of hyperplane from  $F$ . This creates a binary function which returns +1 for the training example which fit in the trained set region otherwise it will return -1

The minimization function of One Class SVM is slightly different than the SVM. [13]

$$\begin{aligned} \min_{w, \rho, \xi^i} \quad & \frac{\|w\|^2}{2} + \frac{1}{\nu n} \sum_{i=1}^m \xi^i - \rho \\ \text{subject to:} \quad & (w \cdot \phi(x^i)) \geq \rho - \xi^i \text{ for all } i = 1, \dots, m \\ & \xi^i \geq 0 \text{ for all } i = 1, \dots, m \end{aligned}$$

The new variable introduced  $\nu$  in place of  $C$  from previous SVM equation is used to set upper bound on outliers/anomalies and lower bound on the number of training examples.

We will be using Scikit-learn's 'OneClassSVM' library to train the model and create classifier for each model. We will have to train the model for each cluster such that the input vector to the classifier will be set of all the training examples belonging to same clusters. Thus, if we have four clusters then we will have four classifier. The input vector to the classifier will be of the form shown in Table 4

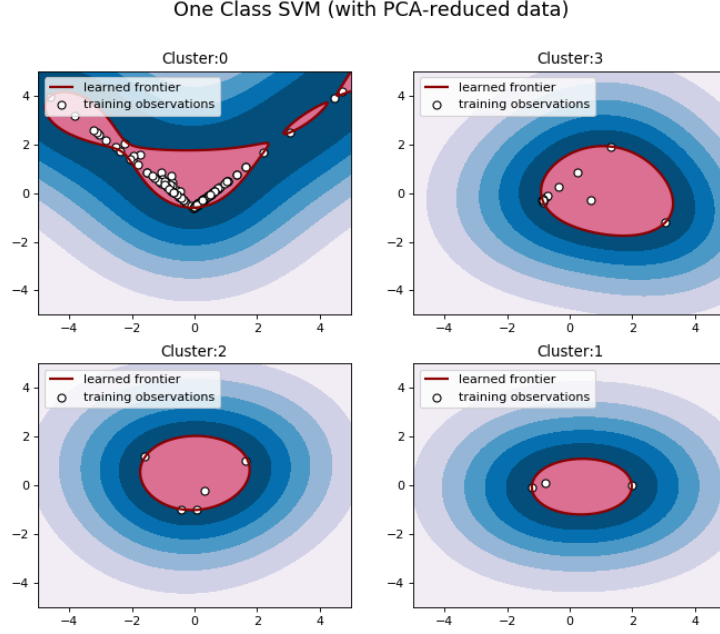


Figure 10: One Class SVM

#### 5.1.6 Detection

By this stage, we have destination IP address labeled with cluster and the average number of packets during the flow. Also we have classifier models for each of the cluster.

To detect the attack we will continuously capture the flow in in specified interval (e.g. 300 seconds). We will transform the captured flow into test set. Test set is then be clustered using the already calculated centroids. If there is any destination IP address for which new cluster labeled assigned during clustering does not match with the already store cluster label then that destination address added to the suspect list. If new label matched with the old then the feature vector for that destination address is passed to the cluster classier to which it belongs and if the output of the classifier is -1 then then that destination is added to suspect list. For every destination from this compiled list we then calculate the number of packets observed in the captured sample. If there is significant difference between the number of capture packet in the past and in the present then that destination is recorded as DDos attack candidate because of the change in th behavior of the traffic.

;- Write own detection Algo here -;

Next task of the router then will be to communicate the destination network the change in the behavior of traffic observed at that router for a that destination. Destination network collect that information received from the routers to know the source of the attack. This information can be used to know the nature of the attack.

To Communicate the destination network about the change in behavior router can use the existing ICMP protocol. ICMP protocol has been used to provide feedback about problems in the communication environment. ICMP messages are sent in several situations: for example 1) when a datagram cannot reach its destination. 2) when the gateway does not have the buffering capacity to forward a datagram. 3) when the gateway can direct the host to send traffic on a shorter route. [10] Similarly we can use ICMP protocol to inform destination router about the change in the traffic. ICMP protocol has many unused type code (there can be 0-255 types but as of now only 0-41 are in use) available. We can create new ICMP ‘type’ to communicate the anomaly in the flow.

Depending on the type and severity of the situation destination host or network can decide whether or not to inform router to block the traffic coming from that router. We will let this decision to be taken at the destination network. There can be different parameter based on which network can decide blocking the flow, such as how many router have reported the change in traffic? Is the attack information coming from the region which never had traffic in the past?

Figure 11: Router Network Communication

## 6 Conclusion

Note: Following need more detailed explanation The benifit of this system is that if a destination detect DDoS attack it could identify the source attack routers and just can ask just to that router to hold on to the packets or discard but not to send them until further notices. This will allow legitimate traffic to come.

A novel way to identify and mitigate DDoS attack is discussed in the paper. With the advance of NVF(Network Virtual Functional) it is easy to push the learning algorithms to the routers and thus making them efficient in detecting the attacks and then ICMP protocol can be used to communicate location and attack information in between the routers and network.

## References

- [1] Derek Kortepeter. Destructive ddos attacks increasing at a rapid rate. <http://techgenix.com/ddos-attacks-increasing/>, December 2017. Online; accessed 22-August-2017.
- [2] D. W. Davies. A communication network for real-time computer systems. *Radio and Electronic Engineer*, 37(1):47–51, January 1969.

- [3] Cisco Security portal. A cisco guide to defending against distributed denial of service attacks. <https://www.cisco.com/c/en/us/about/security-center/guide-ddos-defense.html>. Online; accessed 1-August-2017.
- [4] S. M. Bellovin. A look back at "security problems in the tcp/ip protocol suite". In *20th Annual Computer Security Applications Conference*, pages 229–249, Dec 2004.
- [5] Ken Dunham and Jim Melnick. *Malicious Bots: An Inside Look into the Cyber-Criminal Underground of the Internet*, chapter 1. Introduction to Bots. CRC Press, August 2008,.
- [6] Network Working Group. Traffic flow measurement: Architecture. <https://www.ietf.org/rfc/rfc2722.txt>, October 1999.
- [7] Cisco. Introduction to cisco ios netflow. [https://www.cisco.com/c/en/us/products/collateral/ios-nx-os-software/ios-netflow/prod\\_white\\_paper0900aecd80406232.html](https://www.cisco.com/c/en/us/products/collateral/ios-nx-os-software/ios-netflow/prod_white_paper0900aecd80406232.html), May 2012. Online; accessed 22-August-2017.
- [8] sFlow. Using sflow. [http://www.sflow.org/using\\_sflow/index.php](http://www.sflow.org/using_sflow/index.php). Online; accessed 16-November-2017.
- [9] Cisco. What is a network switch vs. a router? <https://www.cisco.com/c/en/us/solutions/small-business/resource-center/connect-employees-offices/network-switch-what.html>. Online; accessed 16-November-2017.
- [10] Network Working Group. Icmp. <https://tools.ietf.org/html/rfc792>, Septmber 1981.
- [11] Omar Santos. The size of the internet global routing table and its potential side effects. <https://supportforums.cisco.com/t5/network-infrastructure-documents/the-size-of-the-internet-global-routing-table-and-its-potential/ta-p/3136453>, May 2014. Online; accessed 22-August-2017.
- [12] Jeffrey D. Ullman Jure Leskovec, Anand Rajaraman. *Mining of Massive Datasets*, chapter Clustering. Stanford University, 2014.
- [13] Bernhard Schölkopf, Robert Williamson, Alex Smola, John Shawe-Taylor, and John Platt. Support vector method for novelty detection. In *Proceedings of the 12th International Conference on Neural Information Processing Systems*, NIPS'99, pages 582–588, Cambridge, MA, USA, 1999. MIT Press.
- [14] Nathan S. Netanyahu Christine D. Piatko Ruth Silverman Tapas Kanungo, David M. Mount and Angela Y. Wu. An efficient k-means clustering algorithm: Analysis and implementation. *IEEE*, 24(7):881–892, July 2002.

- [15] David Arthur and Sergei Vassilvitskii. K-means++: the advantages of careful seeding. In *Proceedings of the 18th Annual ACM-SIAM Symposium on Discrete Algorithms*, 2007.
- [16] Jeffrey D. Ullman Jure Leskovec, Anand Rajaraman. *Mining of Massive Datasets*, chapter 11. Dimensionality Reduction. Stanford University, 2014.
- [17] William M. Rand. Objective criteria for the evaluation of clustering methods. *Journal of the American Statistical Association*, 66(336):846–850, 1971.
- [18] Jeffrey D. Ullman Jure Leskovec, Anand Rajaraman. *Mining of Massive Datasets*, chapter 12. Large-Scale Machine Learning. Stanford University, 2014.