



# 21AIE211

## Introduction to COMPUTER NETWORKS

### 2-0-3 3

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# APPLICATION LAYER



- **Conceptual, implementation aspects of network application protocols**
  1. Transport-layer service models
  2. client-server paradigm
  3. peer-to-peer paradigm
- **Learn about protocols by examining popular application-level protocols**
  1. HTTP
  2. FTP
  3. SMTP / POP3 / IMAP
  4. DNS

# Application layer: overview

- Principles of network applications
- Web and HTTP
- E-mail, SMTP, IMAP
- The Domain Name System DNS
- P2P applications
- video streaming and content distribution networks
- socket programming with UDP and TCP



# Application layer: overview

## Our goals:

- conceptual *and* implementation aspects of application-layer protocols
  - transport-layer service models
  - client-server paradigm
  - peer-to-peer paradigm
- learn about protocols by examining popular application-layer protocols and infrastructure
  - HTTP
  - SMTP, IMAP
  - DNS
  - video streaming systems, CDNs
- programming network applications
  - socket API



# Some network apps

- social networking
  - Web
  - text messaging
  - e-mail
  - multi-user network games
  - streaming stored video (YouTube, Hulu, Netflix)
  - P2P file sharing
  - voice over IP (e.g., Skype)
  - real-time video conferencing (e.g., Zoom)
  - Internet search
  - remote login
  - ...
- Q: *your* favorites?

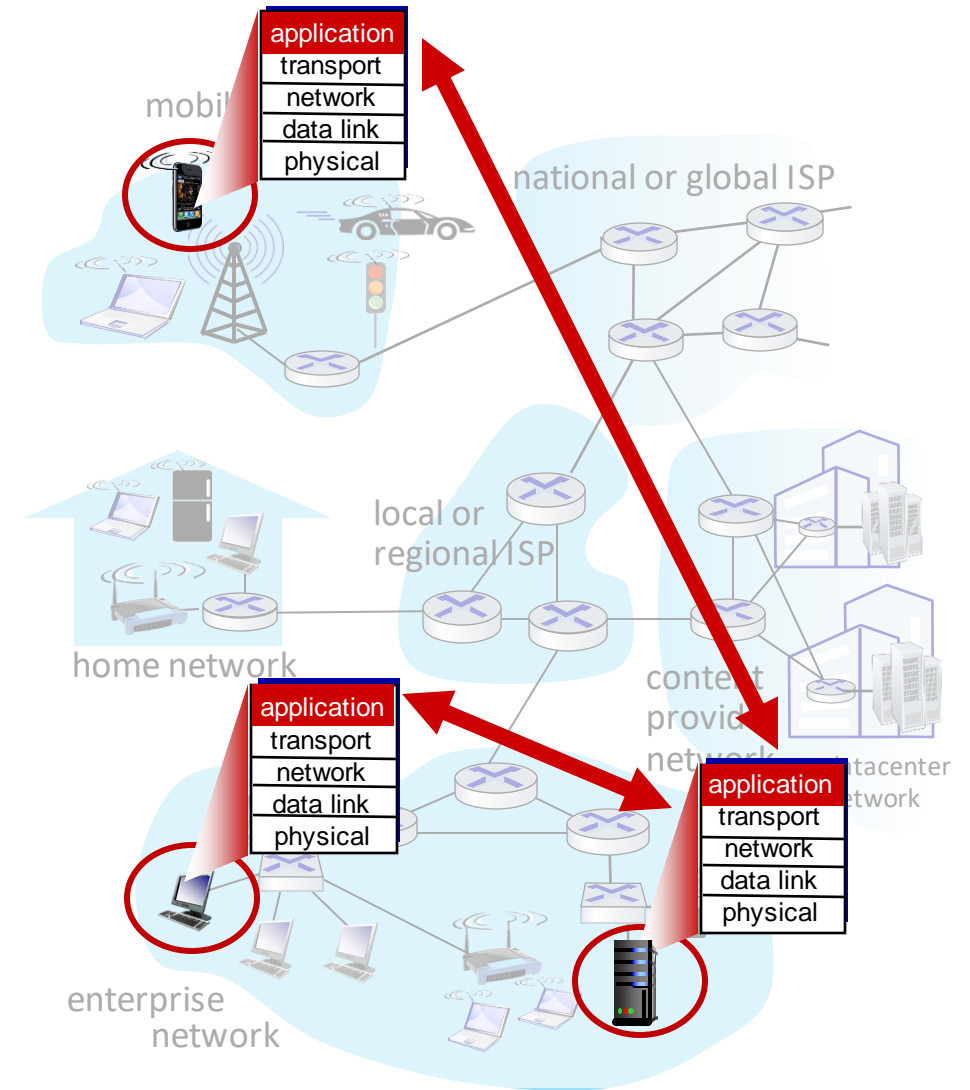
# Creating a network app

write programs that:

- run on (different) end systems
- communicate over network
- e.g., web server software communicates with browser software

no need to write software for network-core devices

- network-core devices do not run user applications
- applications on end systems allows for rapid app development, propagation



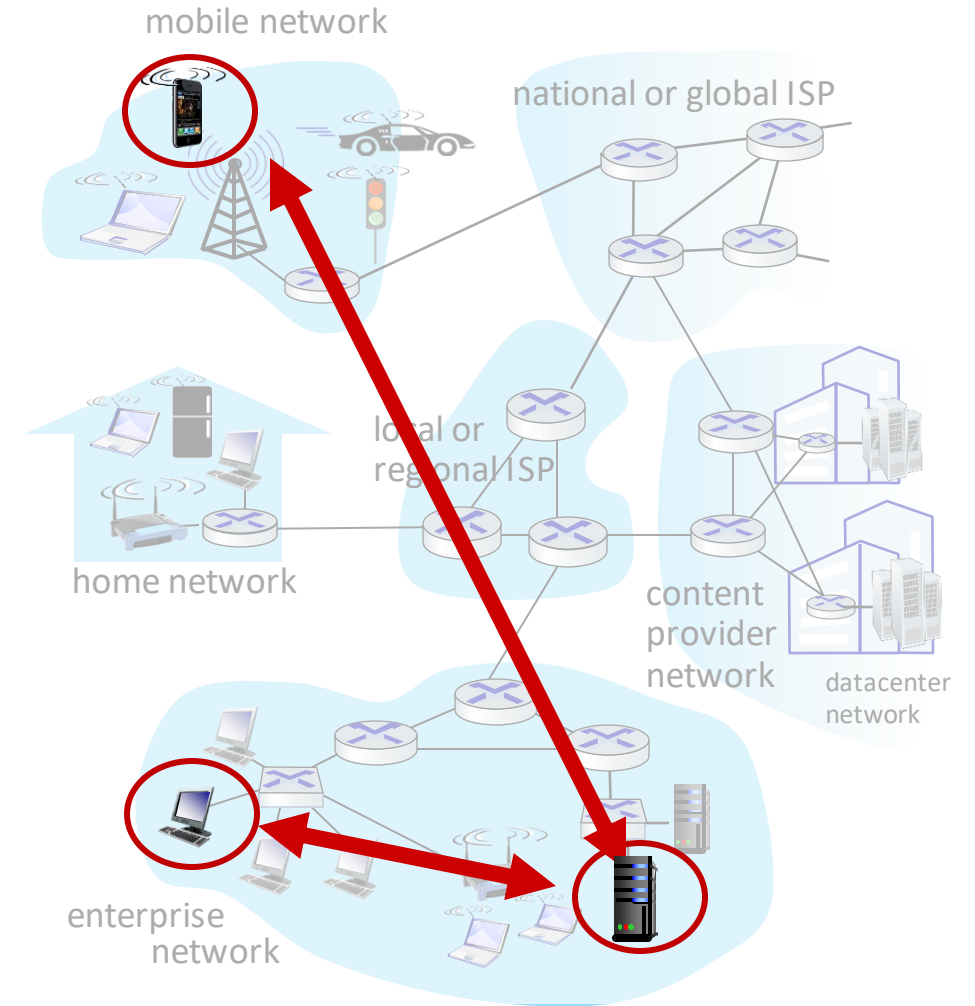
# Client-server paradigm

## server:

- always-on host
- permanent IP address
- often in data centers, for scaling

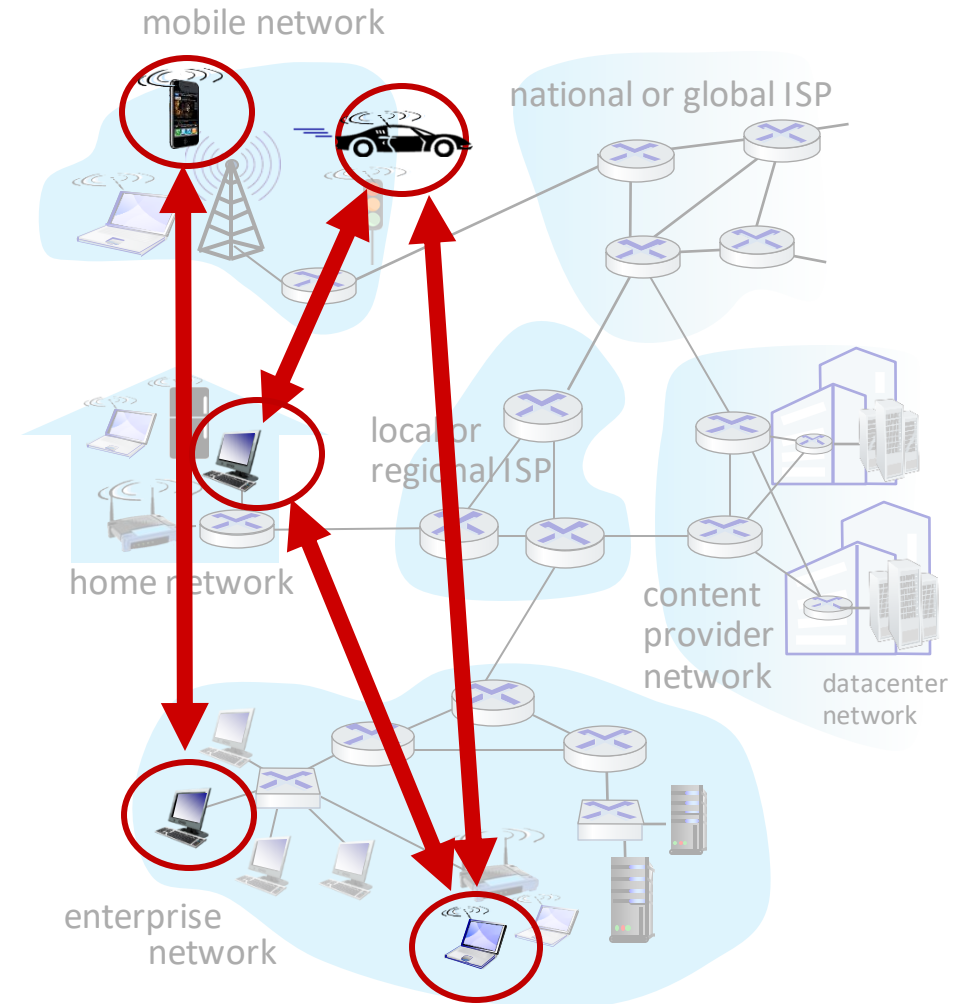
## clients:

- contact, communicate with server
- may be intermittently connected
- may have dynamic IP addresses
- do *not* communicate directly with each other
- examples: HTTP, IMAP, FTP



# Peer-peer architecture

- *no* always-on server
- arbitrary end systems directly communicate
- peers request service from other peers, provide service in return to other peers
  - *self scalability* – new peers bring new service capacity, as well as new service demands
- peers are intermittently connected and change IP addresses
  - complex management
- example: P2P file sharing [BitTorrent]





# Processes communicating

*process*: program running within a host

- within same host, two processes communicate using **inter-process communication** (defined by OS)
- processes in different hosts communicate by exchanging **messages**

clients, servers

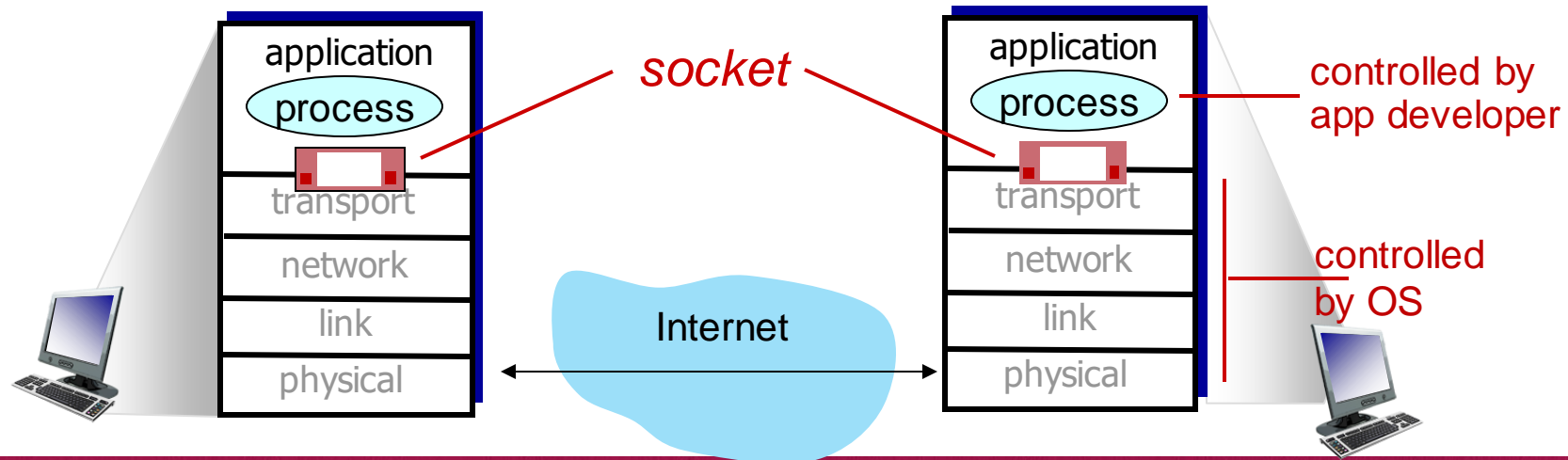
*client process*: process that initiates communication

*server process*: process that waits to be contacted

- note: applications with P2P architectures have client processes & server processes

# Sockets

- process sends/receives messages to/from its **socket**
- socket analogous to door
  - sending process shoves message out door
  - sending process relies on transport infrastructure on other side of door to deliver message to socket at receiving process
  - two sockets involved: one on each side



# Addressing processes

- to receive messages, process must have *identifier*
- host device has unique 32-bit IP address
- Q: does IP address of host on which process runs suffice for identifying the process?
  - A: no, *many* processes can be running on same host
- *identifier* includes both IP address and port numbers associated with process on host.
- example port numbers:
  - HTTP server: 80
  - mail server: 25
- to send HTTP message to gaia.cs.umass.edu web server:
  - IP address: 128.119.245.12
  - port number: 80
- more shortly...

# An application-layer protocol defines:

- types of messages exchanged,
  - e.g., request, response
- message syntax:
  - what fields in messages & how fields are delineated
- message semantics
  - meaning of information in fields
- rules for when and how processes send & respond to messages

## open protocols:

- defined in RFCs, everyone has access to protocol definition
- allows for interoperability
- e.g., HTTP, SMTP

## proprietary protocols:

- e.g., Skype, Zoom

# What transport service does an app need?

## data integrity

- some apps (e.g., file transfer, web transactions) require 100% reliable data transfer
- other apps (e.g., audio) can tolerate some loss

## timing

- some apps (e.g., Internet telephony, interactive games) require low delay to be “effective”

## throughput

- some apps (e.g., multimedia) require minimum amount of throughput to be “effective”
- other apps (“elastic apps”) make use of whatever throughput they get

## security

- encryption, data integrity, ...



# Transport service requirements: common apps

application	data loss	throughput	time sensitive?
file transfer/download	no loss	elastic	no
e-mail	no loss	elastic	no
Web documents	no loss	elastic	no
real-time audio/video	loss-tolerant	audio: 5Kbps-1Mbps video:10Kbps-5Mbps	yes, 10's msec
streaming audio/video	loss-tolerant	same as above	yes, few secs
interactive games	loss-tolerant	Kbps+	yes, 10's msec
text messaging	no loss	elastic	yes and no

# Internet transport protocols services

## *TCP service:*

- *reliable transport* between sending and receiving process
- *flow control*: sender won't overwhelm receiver
- *congestion control*: throttle sender when network overloaded
- *connection-oriented*: setup required between client and server processes
- *does not provide*: timing, minimum throughput guarantee, security

## *UDP service:*

- *unreliable data transfer* between sending and receiving process
- *does not provide*: reliability, flow control, congestion control, timing, throughput guarantee, security, or connection setup.

Q: why bother? *Why* is there a UDP?

# Internet applications, and transport protocols

application	application layer protocol	transport protocol
file transfer/download	FTP [RFC 959]	TCP
e-mail	SMTP [RFC 5321]	TCP
Web documents	HTTP [RFC 7230, 9110]	TCP
Internet telephony	SIP [RFC 3261], RTP [RFC 3550], or proprietary	TCP or UDP
streaming audio/video	HTTP [RFC 7230], DASH	TCP
interactive games	WOW, FPS (proprietary)	UDP or TCP

# Securing TCP

## Vanilla TCP & UDP sockets:

- no encryption
- cleartext passwords sent into socket traverse Internet in cleartext (!)

## Transport Layer Security (TLS)

- provides encrypted TCP connections
- data integrity
- end-point authentication

## TLS implemented in application layer

- apps use TLS libraries, that use TCP in turn
- cleartext sent into “socket” traverse Internet *encrypted*
- more: Chapter 8

# Application layer: overview

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- **Web and HTTP**
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- video streaming and content distribution networks
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# Web and HTTP

*First, a quick review...*

- web page consists of *objects*, each of which can be stored on different Web servers
- object can be HTML file, JPEG image, Java applet, audio file,...
- web page consists of *base HTML-file* which includes *several referenced objects, each* addressable by a *URL*, e.g.,

`www.someschool.edu/someDept/pic.gif`

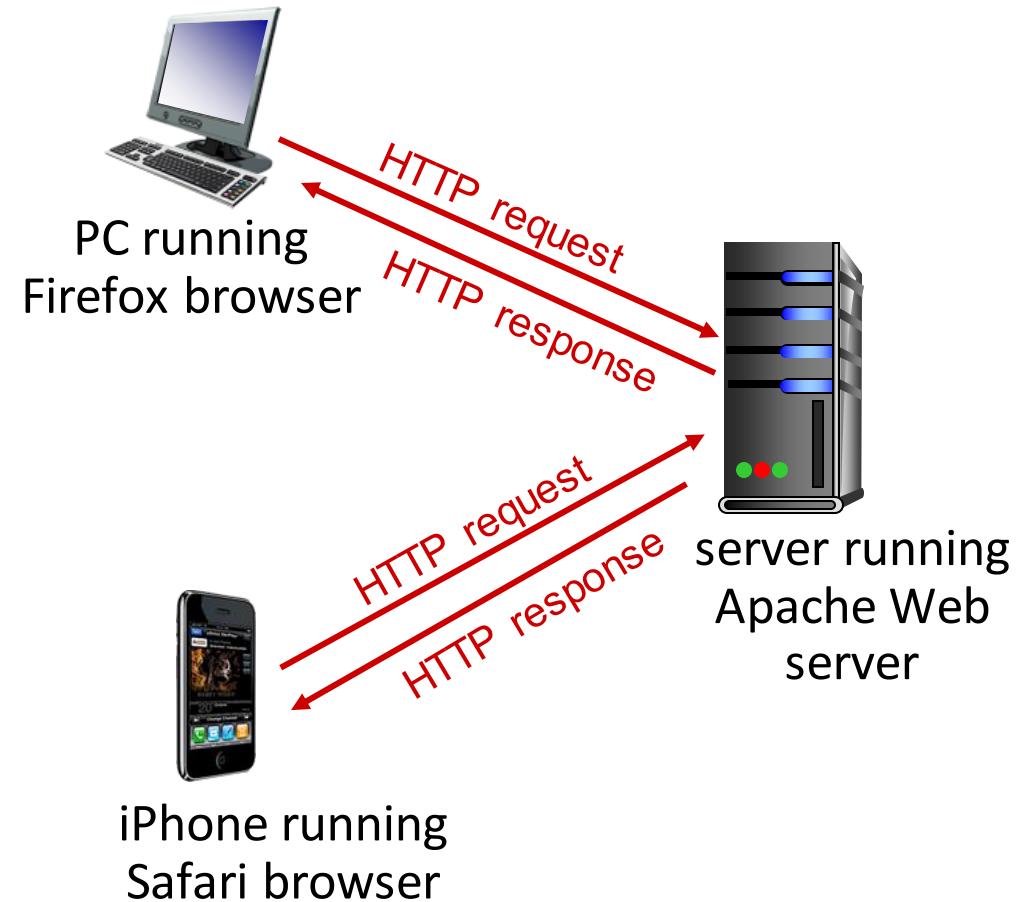
host name

path name

# HTTP overview

## HTTP: hypertext transfer protocol

- Web's application-layer protocol
- client/server model:
  - *client*: browser that requests, receives, (using HTTP protocol) and “displays” Web objects
  - *server*: Web server sends (using HTTP protocol) objects in response to requests



# HTTP overview (continued)

## *HTTP uses TCP:*

- client initiates TCP connection (creates socket) to server, port 80
- server accepts TCP connection from client
- HTTP messages (application-layer protocol messages) exchanged between browser (HTTP client) and Web server (HTTP server)
- TCP connection closed

## *HTTP is “stateless”*

- server maintains *no* information about past client requests

*aside*  
protocols that maintain  
“state” are complex!

- past history (state) must be maintained
- if server/client crashes, their views of “state” may be inconsistent, must be reconciled

# HTTP connections: two types

## *Non-persistent HTTP*

1. TCP connection opened
  2. at most one object sent over TCP connection
  3. TCP connection closed
- downloading multiple objects required multiple connections

## *Persistent HTTP*

- TCP connection opened to a server
- multiple objects can be sent over *single* TCP connection between client, and that server
- TCP connection closed

# Non-persistent HTTP: example

User enters URL: `www.someSchool.edu/someDepartment/home.index`  
(containing text, references to 10 jpeg images)



**1a.** HTTP client initiates TCP connection to HTTP server (process) at `www.someSchool.edu` on port 80



**1b.** HTTP server at host `www.someSchool.edu` waiting for TCP connection at port 80 “accepts” connection, notifying client

**2.** HTTP client sends HTTP *request message* (containing URL) into TCP connection socket. Message indicates that client wants object `someDepartment/home.index`

**3.** HTTP server receives request message, forms *response message* containing requested object, and sends message into its socket

time  
↓



# Non-persistent HTTP: example (cont.)

User enters URL: `www.someSchool.edu/someDepartment/home.index`  
(containing text, references to 10 jpeg images)



4. HTTP server closes TCP connection.



5. HTTP client receives response message containing html file, displays html. Parsing html file, finds 10 referenced jpeg objects

6. Steps 1-5 repeated for each of 10 jpeg objects

time

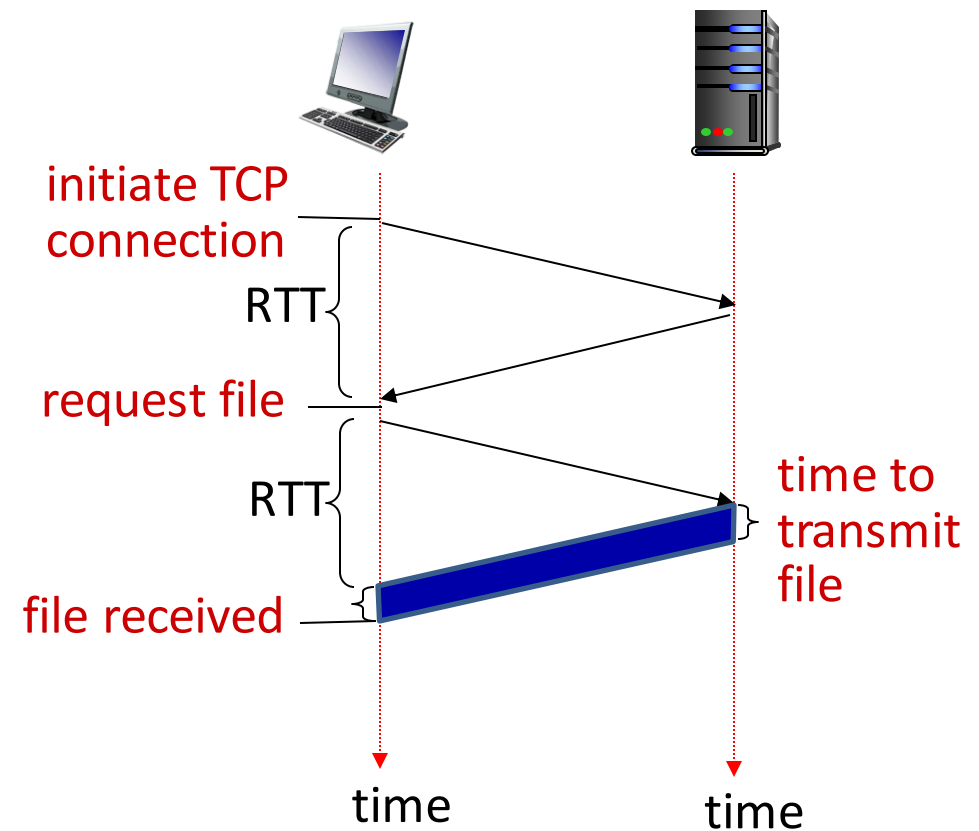


# Non-persistent HTTP: response time

**RTT (definition):** time for a small packet to travel from client to server and back

**HTTP response time (per object):**

- one RTT to initiate TCP connection
- one RTT for HTTP request and first few bytes of HTTP response to return
- object/file transmission time



*Non-persistent HTTP response time = 2RTT + file transmission time*

# Persistent HTTP (HTTP 1.1)

## *Non-persistent HTTP issues:*

- requires 2 RTTs per object
- OS overhead for *each* TCP connection
- browsers often open multiple parallel TCP connections to fetch referenced objects in parallel

## *Persistent HTTP (HTTP1.1):*

- server leaves connection open after sending response
- subsequent HTTP messages between same client/server sent over open connection
- client sends requests as soon as it encounters a referenced object
- as little as one RTT for all the referenced objects (cutting response time in half)

# HTTP request message

- two types of HTTP messages: *request, response*
- HTTP request message:
  - ASCII (human-readable format)

request line (GET, POST,  
HEAD commands)

header  
lines

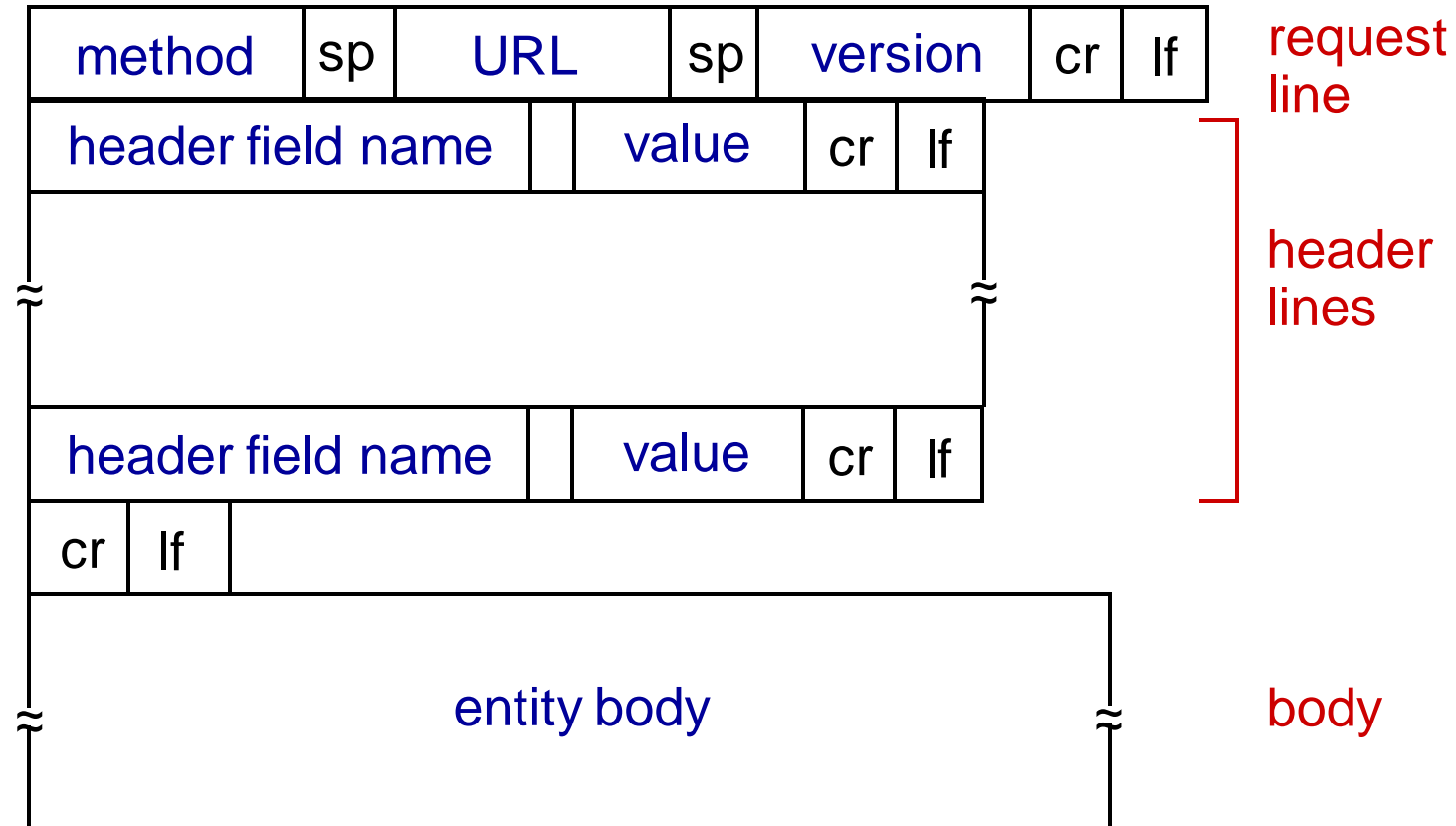
carriage return, line feed  
at start of line indicates  
end of header lines

```
GET /index.html HTTP/1.1\r\n
Host: www-net.cs.umass.edu\r\n
User-Agent: Mozilla/5.0 (Macintosh; Intel Mac OS X
10.15; rv:80.0) Gecko/20100101 Firefox/80.0 \r\n
Accept: text/html,application/xhtml+xml\r\n
Accept-Language: en-us,en;q=0.5\r\n
Accept-Encoding: gzip,deflate\r\n
Connection: keep-alive\r\n
\r\n
```

carriage return character  
line-feed character

\* Check out the online interactive exercises for more  
examples: [http://gaia.cs.umass.edu/kurose\\_ross/interactive/](http://gaia.cs.umass.edu/kurose_ross/interactive/)

# HTTP request message: general format





# Other HTTP request messages

## POST method:

- web page often includes form input
- user input sent from client to server in entity body of HTTP POST request message

## GET method (for sending data to server):

- include user data in URL field of HTTP GET request message (following a '?'):

`www.somesite.com/animalsearch?monkeys&banana`

## HEAD method:

- requests headers (only) that would be returned *if* specified URL were requested with an HTTP GET method.

## PUT method:

- uploads new file (object) to server
- completely replaces file that exists at specified URL with content in entity body of POST HTTP request message

# HTTP response message

status line (protocol  
status code status phrase)

HTTP/1.1 200 OK

header  
lines

Date: Tue, 08 Sep 2020 00:53:20 GMT

Server: Apache/2.4.6 (CentOS)

OpenSSL/1.0.2k-fips PHP/7.4.9

mod\_perl/2.0.11 Perl/v5.16.3

Last-Modified: Tue, 01 Mar 2016 18:57:50 GMT

ETag: "a5b-52d015789ee9e"

Accept-Ranges: bytes

Content-Length: 2651

Content-Type: text/html; charset=UTF-8

\r\n

data, e.g., requested  
HTML file

data data data data data ...

# HTTP response status codes

- status code appears in 1st line in server-to-client response message.
- some sample codes:

## 200 OK

- request succeeded, requested object later in this message

## 301 Moved Permanently

- requested object moved, new location specified later in this message (in Location: field)

## 400 Bad Request

- request msg not understood by server

## 404 Not Found

- requested document not found on this server

## 505 HTTP Version Not Supported

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