

Finite Automata

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TEXAS TECH
UNIVERSITY.

Basic model for machines

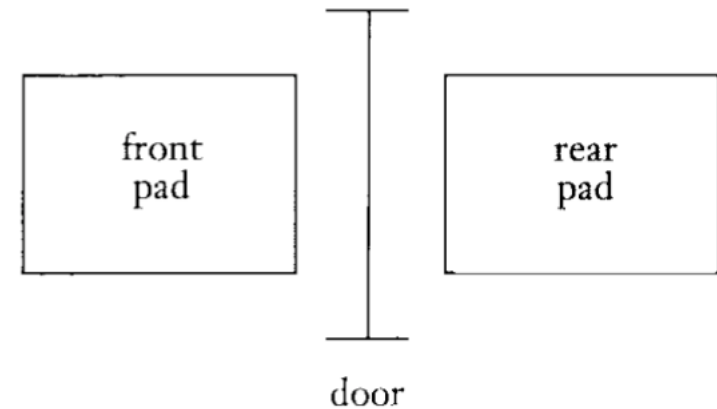
- An automatic door opens in one direction



Basic model for machines

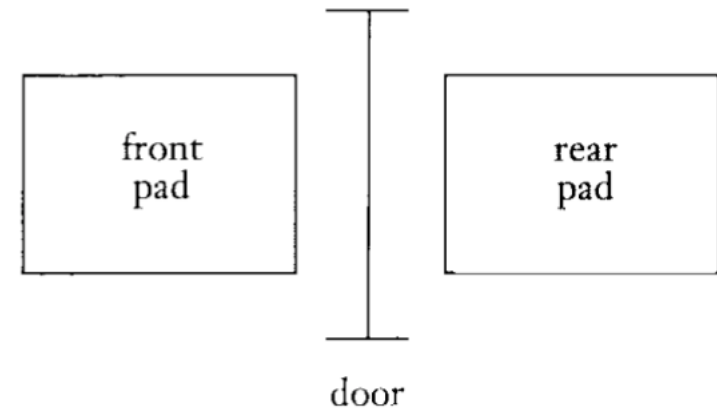
- An automatic door opens in one direction
 - Open to let people in
 - Do not knock people on the opening side

How to design a machine fulfilling the function?



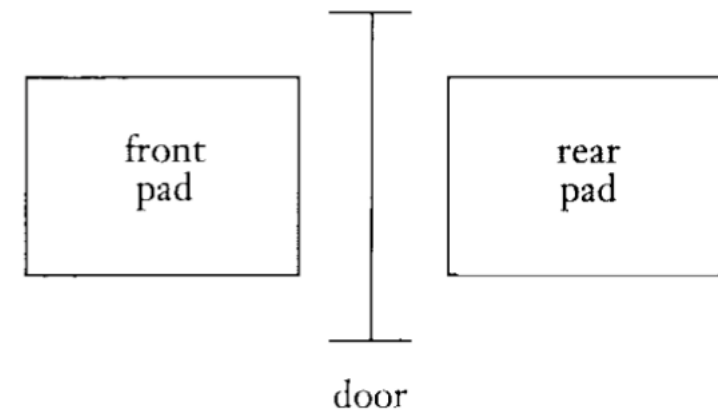
Basic model for machines

- An automatic door opens in one direction
 - Check front people: Yes/No
 - Check rear people: Yes/No
 - Check current status: Open/Close
 - Determine the next status: Open/Close



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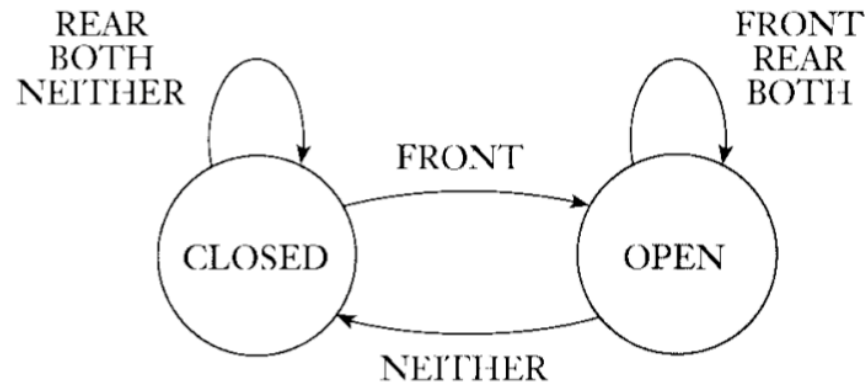


		input signal			
state		NEITHER	FRONT	REAR	BOTH
	CLOSED	CLOSED	OPEN	CLOSED	CLOSED
	OPEN	CLOSED	OPEN	OPEN	OPEN

Basic model for machines

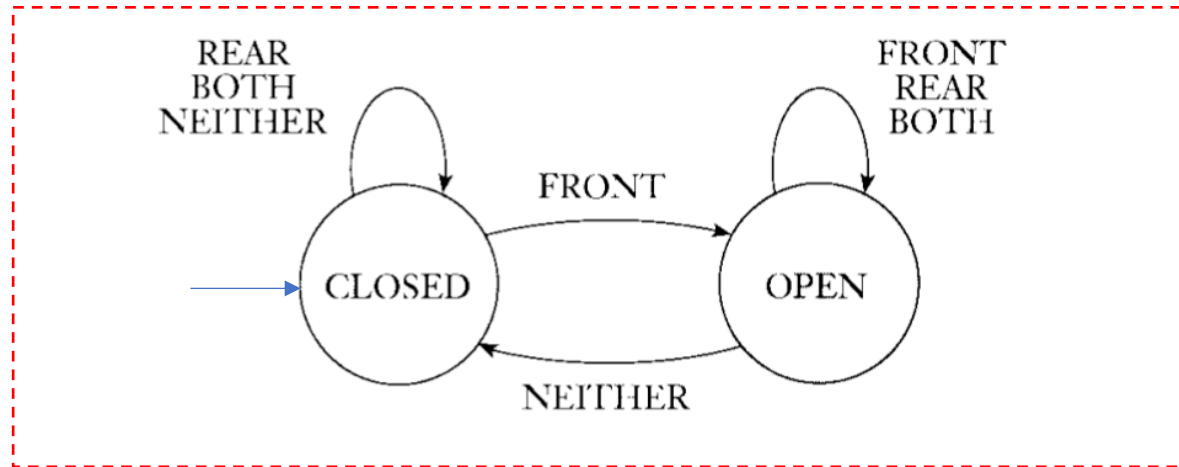
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		CLOSED	OPEN	CLOSED	CLOSED
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Finite Automata -- informal

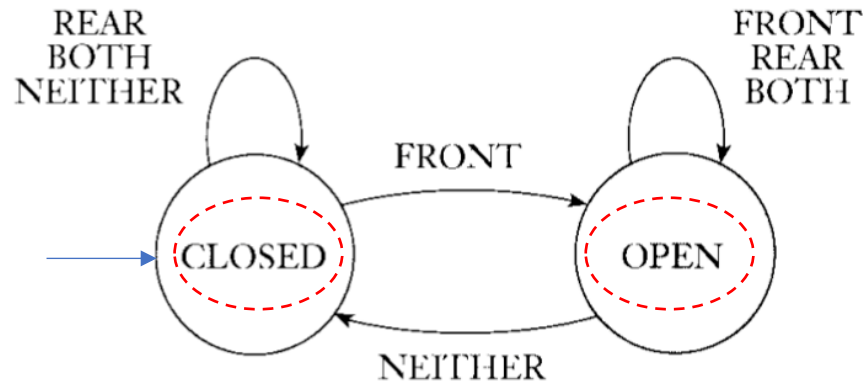
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State Diagram

Finite Automata -- informal

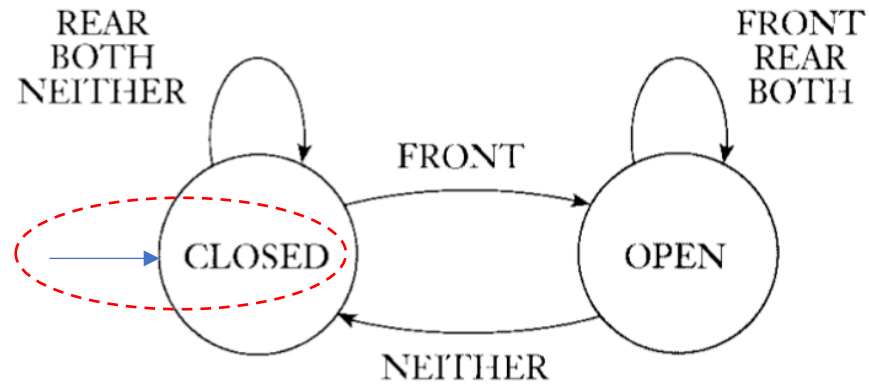
- An automatic door opens in one direction



Two states: Open/Closed

Finite Automata -- informal

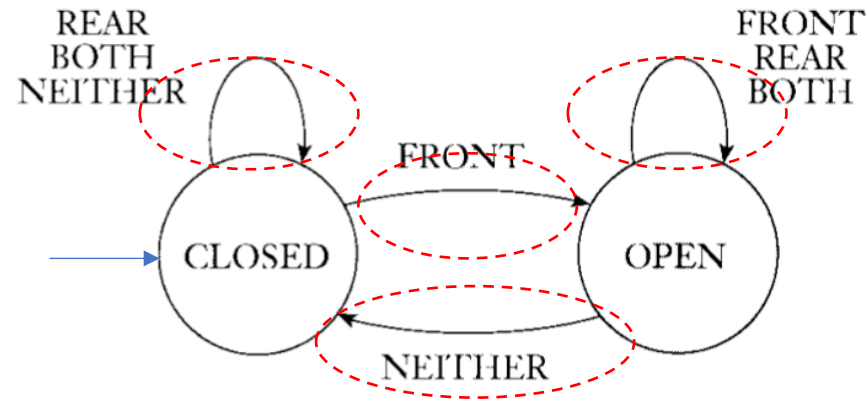
- An automatic door opens in one direction



Start states: Closed

Finite Automata -- informal

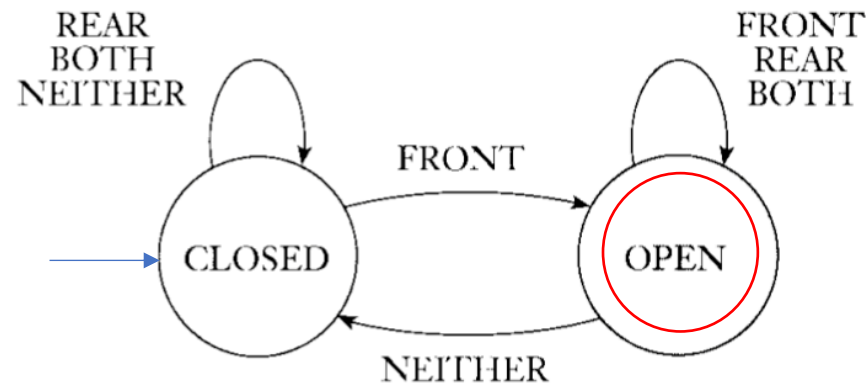
- An automatic door opens in one direction



Transitions: arrows that bring the machine from one state to another

Finite Automata -- informal

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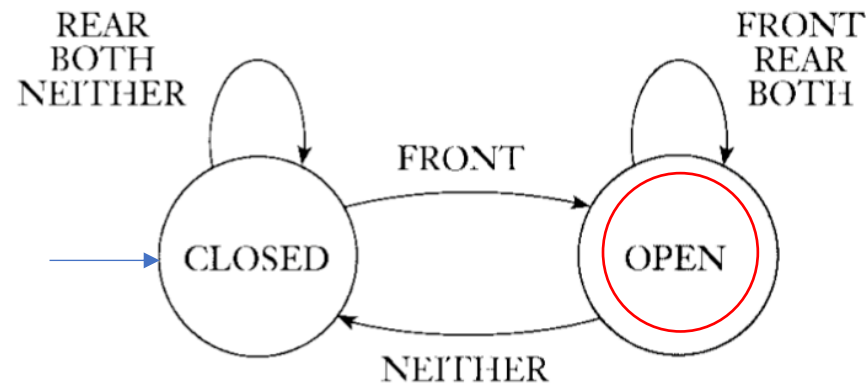


Accept state/final state: state with a double cycle

We want to restrict our attention to a simplified scenario where machines are used to "compute" Yes/No

Finite Automata -- informal

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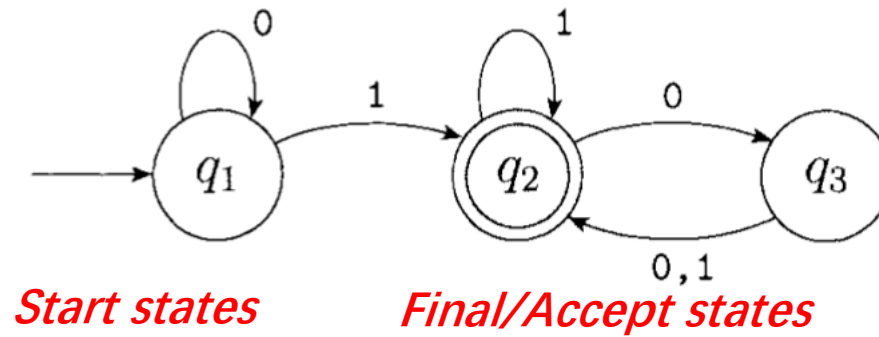


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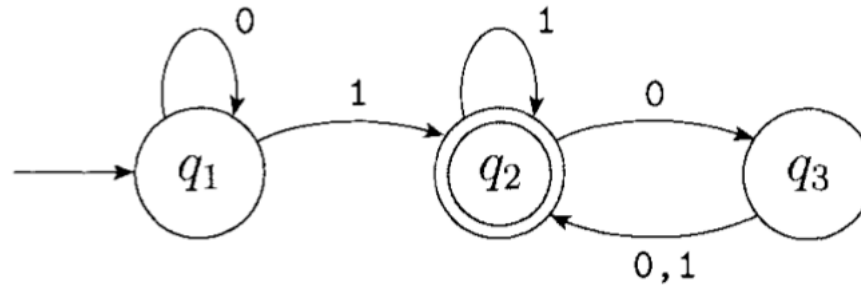
Finite Automata -- informal

- Example



Finite Automata -- informal

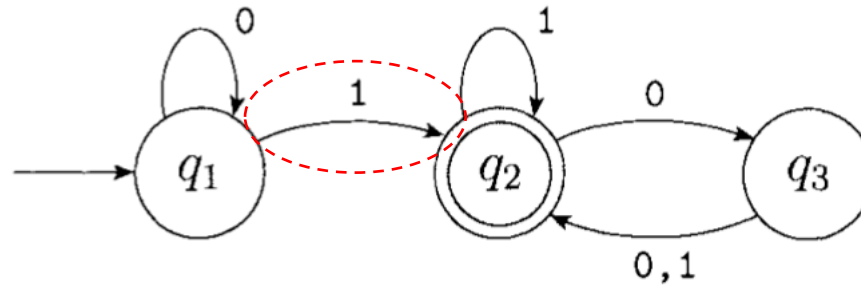
- Example



What happens when we input 1101?

Finite Automata -- informal

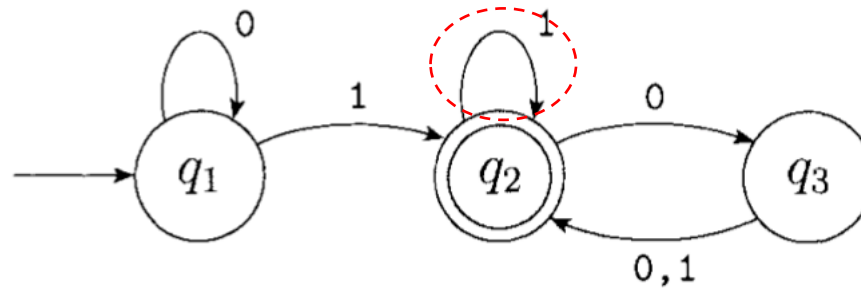
- Example



What happens when we input **1**101?

Finite Automata -- informal

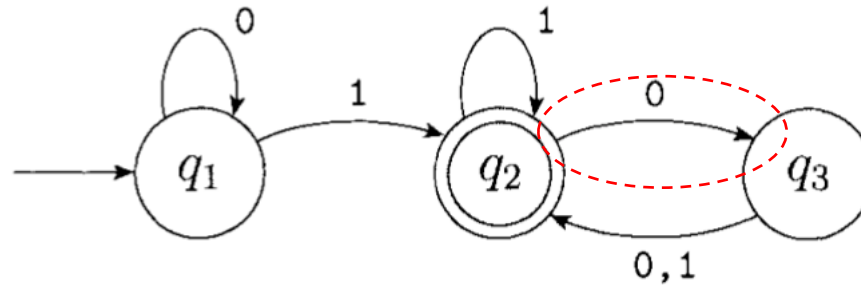
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What happens when we input 1101?

Finite Automata -- informal

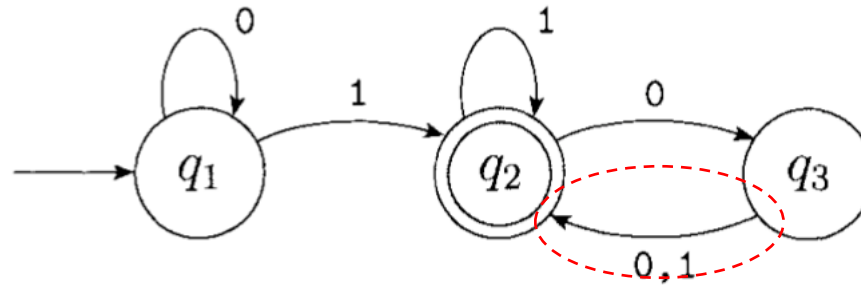
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What happens when we input 1101?

Finite Automata -- informal

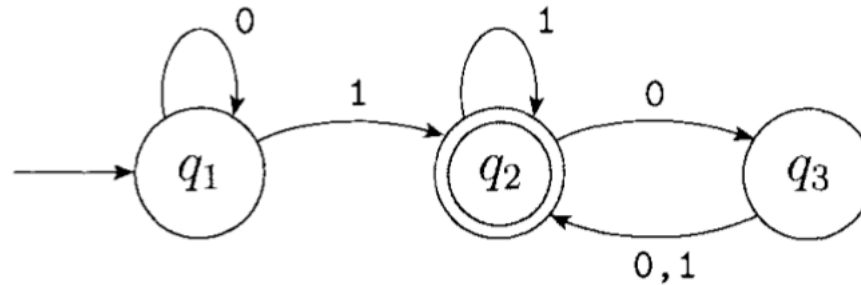
- Example



What happens when we input 110**1**?

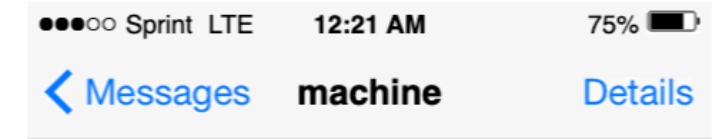
Finite Automata -- informal

- Example



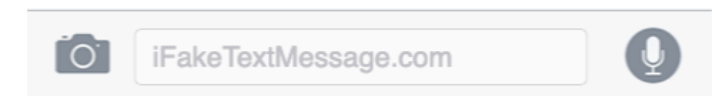
What happens when we input 1101?

Machine ends at an accept state, i.e., machine output: Yes



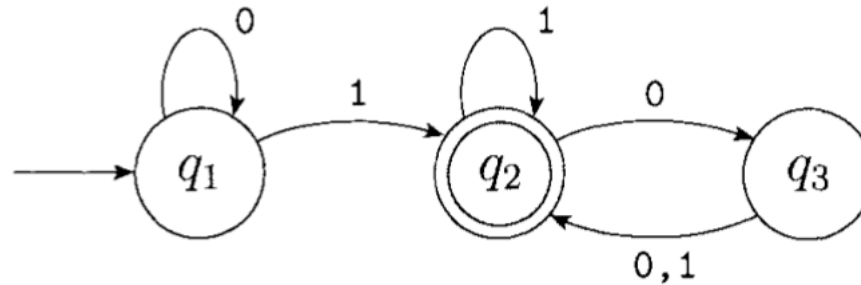
1101

Yes



Finite Automata -- informal

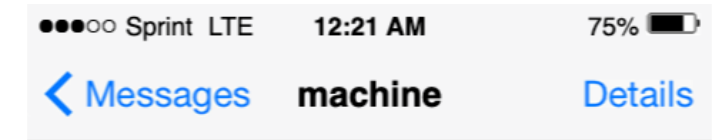
- Example



What happens when we input 1101?

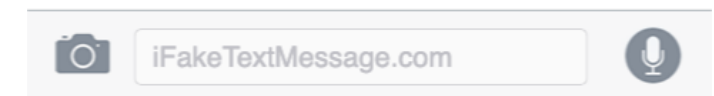
Machine ends at an accept state, i.e., machine output: Yes

What happens when we input 101000?



1101

Yes

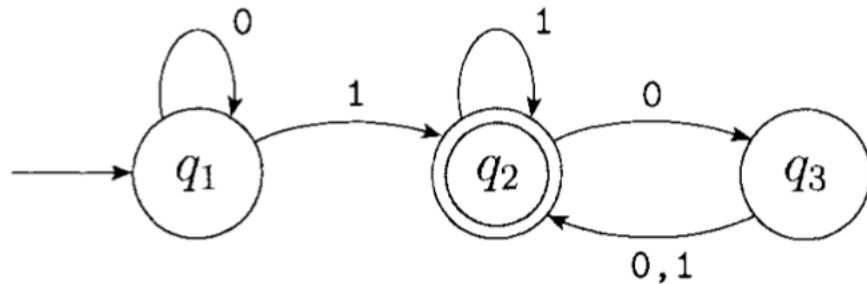


Deterministic Finite Automata -- Formal

- A quintuple $M = (Q, \Sigma, \delta, q_0, F)$ where
 - Q is a finite set of states
 - Σ is an alphabet
 - $q_0 \in Q$ is the initial state
 - $F \subseteq Q$ is the set of final/accept states (can be multiple)
 - δ , the transition function, a function from $Q \times \Sigma$ to Q

Deterministic Finite Automata

- Example



1. $Q = \{q_1, q_2, q_3\}$,
2. $\Sigma = \{0,1\}$,
3. δ is described as

	0	1
q_1	q_1	q_2
q_2	q_3	q_2
q_3	q_2	q_2

4. q_1 is the start state, and
5. $F = \{q_2\}$.

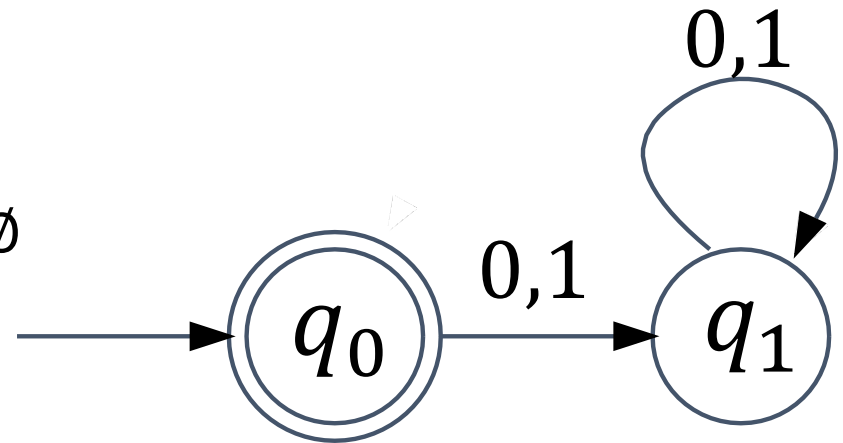
Deterministic Finite Automata

- A deterministic finite automaton M can be viewed as a classifier that filters out all the strings it accepts
 - The set A of all the strings M accepts is the language of machine M
 - Denote as $L(M) = A$
 - M recognizes/accepts A

Deterministic Finite Automata

- A deterministic finite automaton M can be viewed as a classifier that filters out all the strings it accepts
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What if M rejects all inputs? M accepts \emptyset

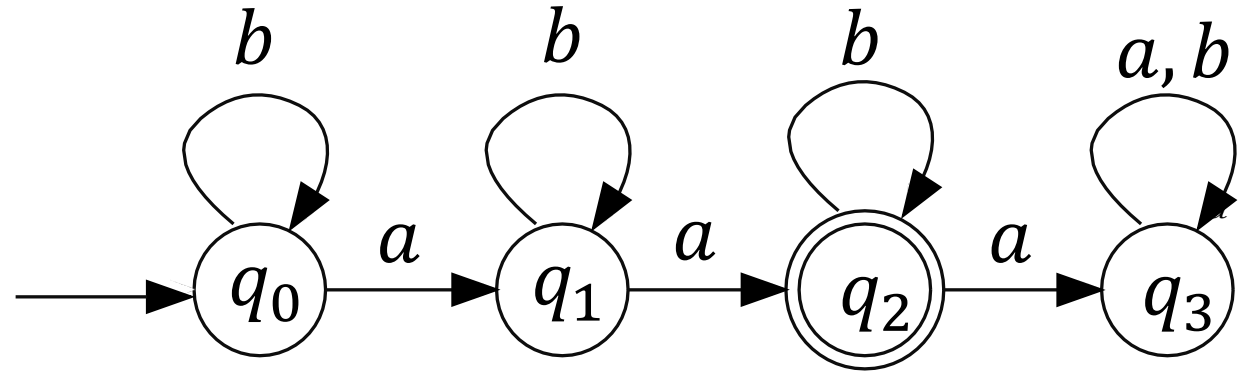


DFA Examples

- $Q = \{q_0, q_1, q_2, q_3\}$
- $\Sigma = \{a, b\}$
- $\delta =$

$\{((q_0, a), q_1), ((q_0, b), q_0), ((q_1, a), q_2), ((q_1, b), q_1),$
 $((q_2, a), q_3), ((q_2, b), q_2), ((q_3, a), q_3), ((q_3, b), q_3)\}$

- start state = q_0
- $F = \{q_2\}$



DFA Configurations

- Deterministic finite automata are
 - Deterministic: given the current state and next input symbol, it moves deterministically to a next state.

DFA Configurations

- Deterministic finite automata are
 - Deterministic: given the current state and next input symbol, it moves deterministically to a next state.
 - Finite: consists of finite number of states
 - Automata: machine

DFA Configurations

- We have learned two ways of describing a DFA
 - A quintuple $M = (Q, \Sigma, \delta, q_0, F)$
 - A state diagram
- How do we characterize the computation of a DFA?

DFA Configurations

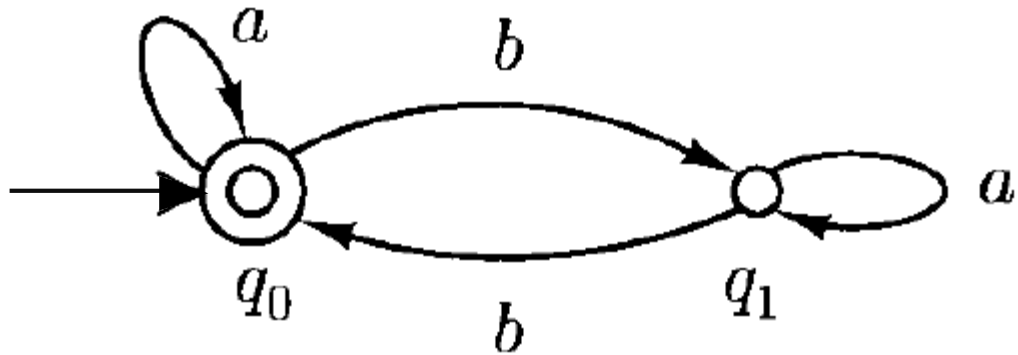
- We have learned two ways of describing a DFA
 - A quintuple $M = (Q, \Sigma, \delta, q_0, F)$
 - A state diagram
- How do we characterize the computation of a DFA?
 - the computation of a DFA has to be defined on a specific input
 - use a sequence of **configurations** to represent the computation

DFA Configurations

- Configuration for a DFA $M = (K, \Sigma, \delta, s, F)$
 - any element of $K \times \Sigma^*$
 - the state the DFA currently in
 - the remaining part of the string to be processed

DFA Configurations

- Configuration for a DFA $M = (K, \Sigma, \delta, s, F)$
 - any element of $K \times \Sigma^*$
 - the state the DFA currently in
 - the remaining part of the string to be processed



Input string: *aabba*

$(q_0, aabba) \vdash_M (q_0, abba)$

$\vdash_M (q_0, bba)$

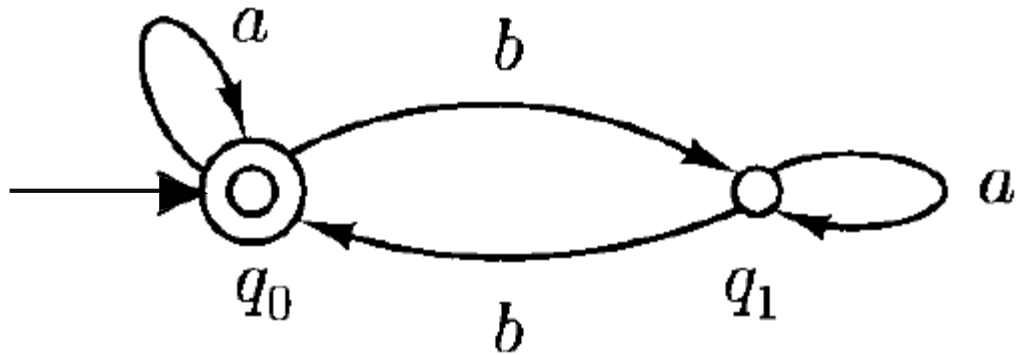
$\vdash_M (q_1, ba)$

$\vdash_M (q_0, a)$

$\vdash_M (q_0, e)$

DFA Configurations

- We use a binary relation \vdash_M to denote that DFA pass from one state to another state as a result of a single move
- \vdash_M is a function from $K \times \Sigma^*$ to $K \times \Sigma^+$ ($L^+ = LL^*$)



Input string: *aabba*

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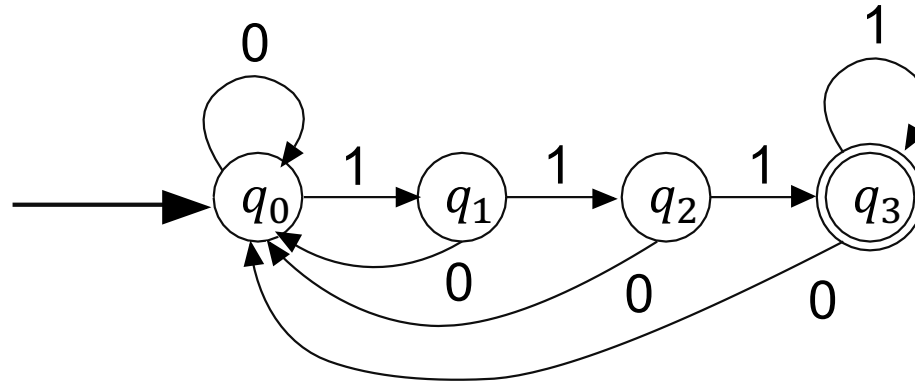
$\vdash_M (q_0, bba)$

$\vdash_M (q_1, ba)$

$\vdash_M (q_0, a)$

$\vdash_M (q_0, e)$

DFA Configurations



$(q_0, 11101) \vdash_M (q_1, 1101)$

$\vdash_M (q_2, 101)$

$\vdash_M (q_3, 01)$

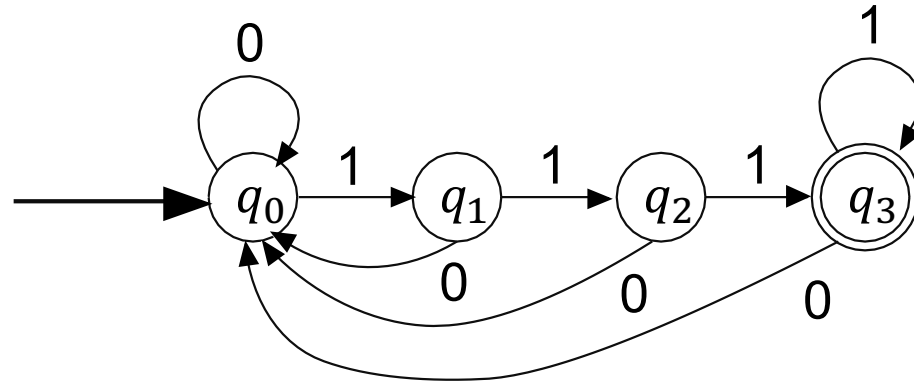
$\vdash_M (q_0, 1)$

$\vdash_M (q_1, e)$

$(q_0, 11101) \vdash_M^* (q_1, e)$

\vdash_M^* : yields in 0 or more steps

DFA Configurations



$(q_0, 10111) \vdash_M (q_1, 0111)$

$\vdash_M (q_0, 111)$

$\vdash_M (q_1, 11)$

$\vdash_M (q_2, 1)$

$\vdash_M (q_3, e)$

$(q_0, 10111) \vdash_M^* (q_3, e)$

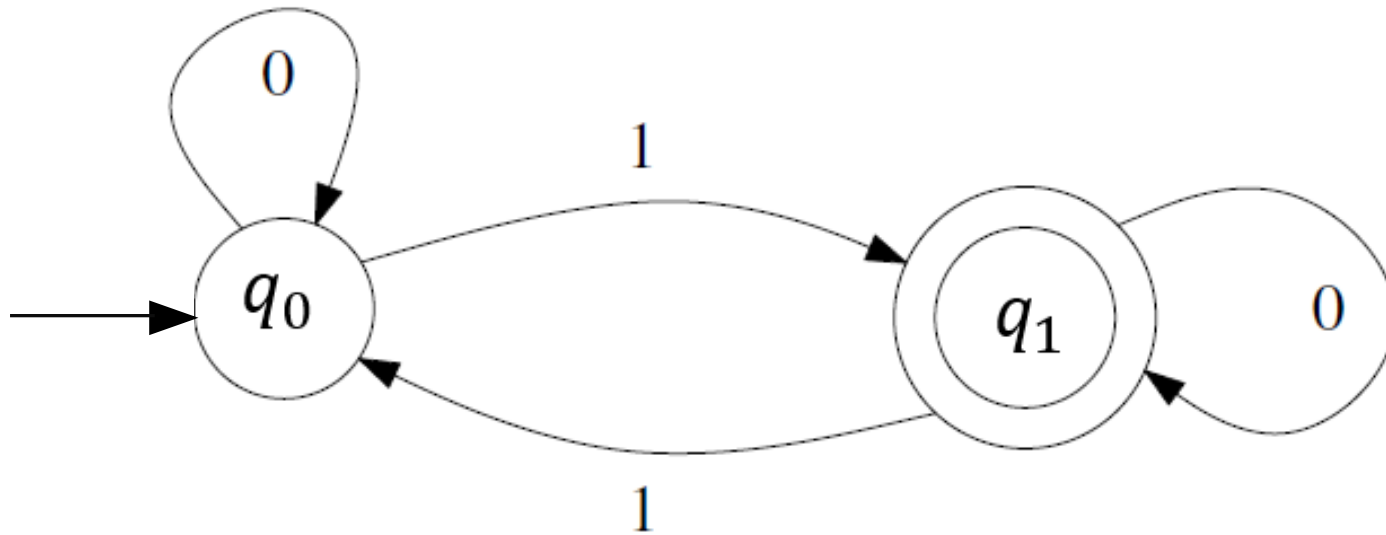
\vdash_M^* : yields in 0 or more steps

Regular language

- M accepts a string w if $(q_0, w) \vdash_M^* (q, e)$ for some $q \in F$
- M recognize language A if $A = \{w: M \text{ accepts } w\}$
- A language is **regular** if some finite automaton recognizes it.

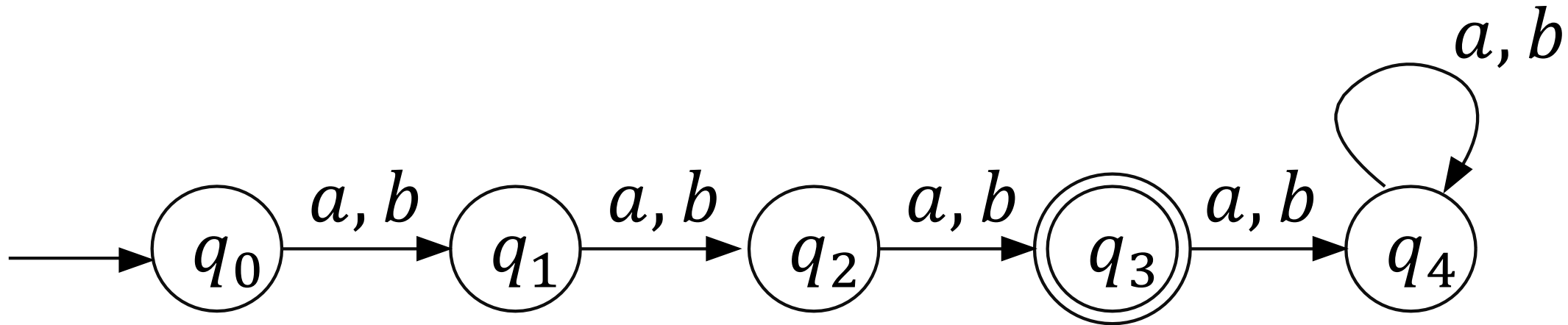
DFA \rightarrow regular language

- All binary strings containing an odd number of 1s



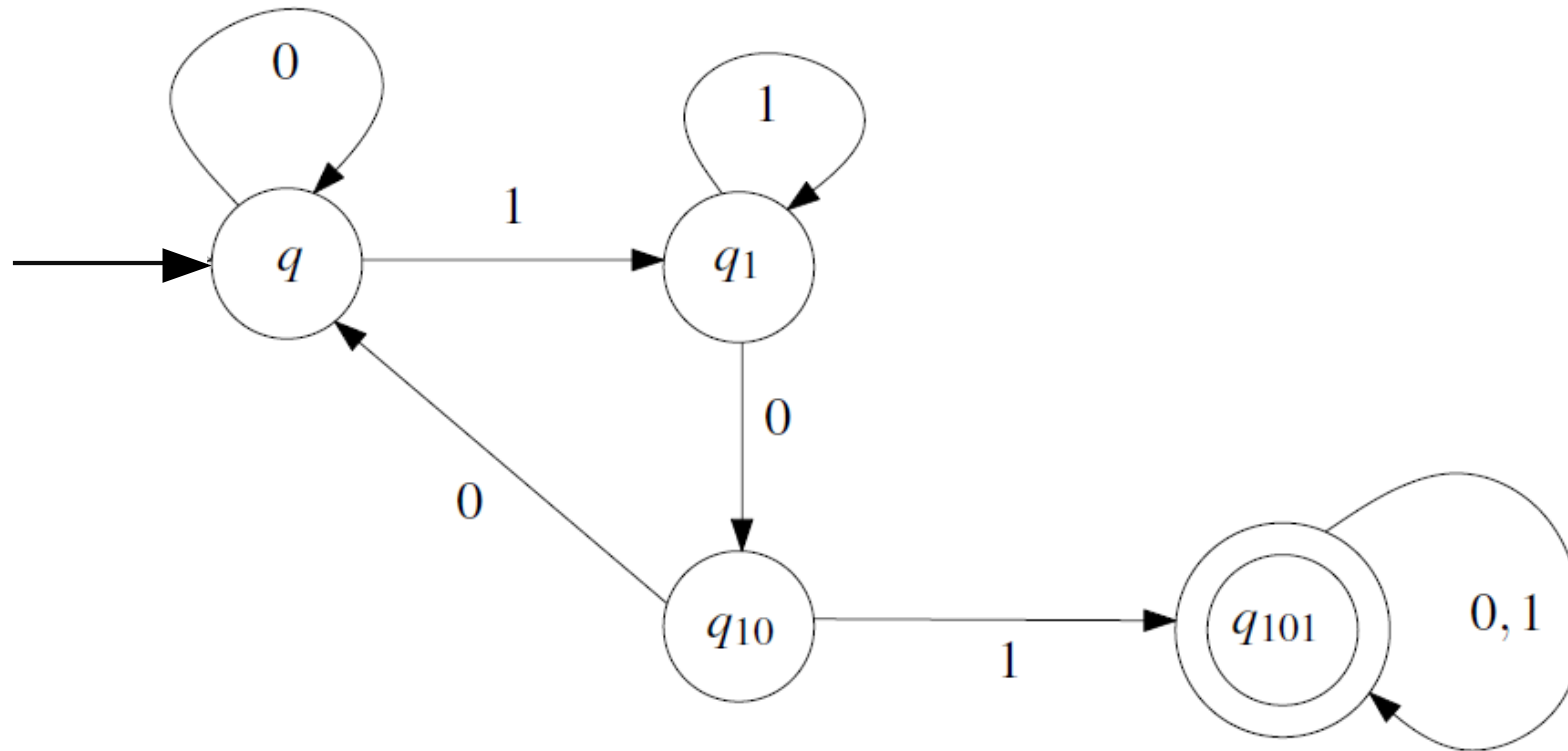
DFA \rightarrow regular language

- Example Deterministic Finite Automaton
 - All strings over $\{a, b\}$ that have length 3.



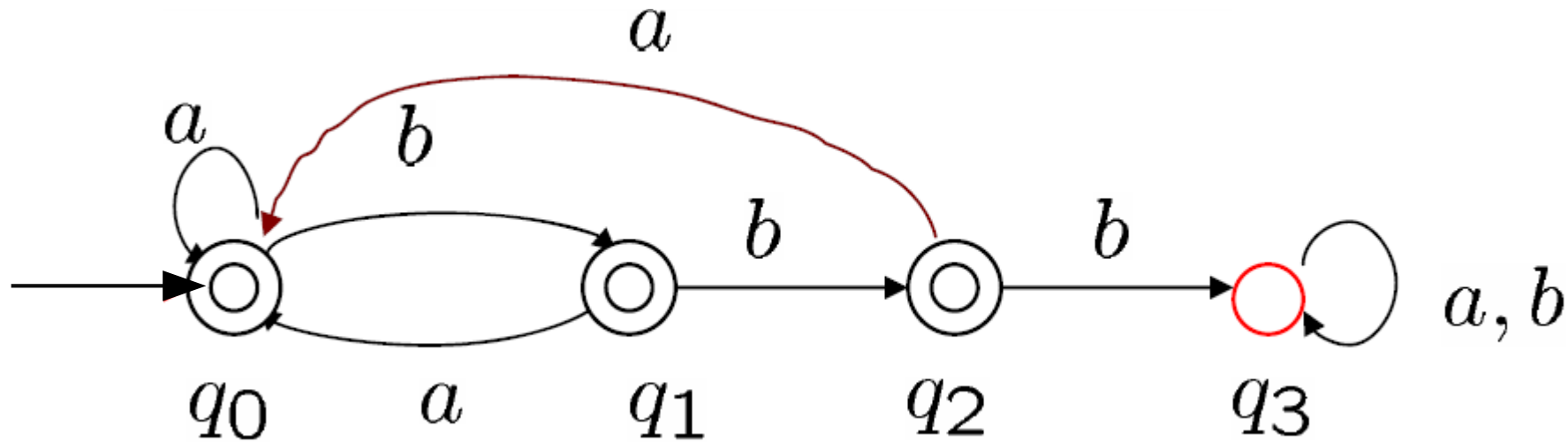
DFA \rightarrow regular language

- All strings over $\{0, 1\}$ that contain the substring 101



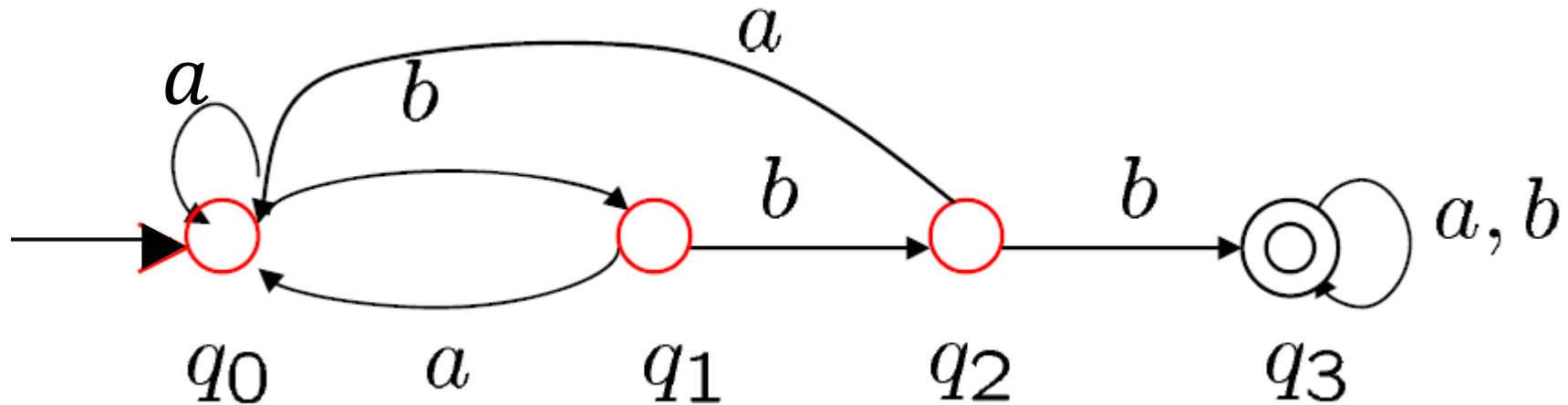
DFA \rightarrow regular language

- All strings over $\{a, b\}$ that **does not** contain three consecutive b 's



DFA Examples

- All strings over $\{a, b\}$ that contains three consecutive b 's



Regular language

- So far we have learned: given an automaton, determine the language it accepts
- Given a regular language, can we design an automaton recognizing it?

Regular language \rightarrow DFA

- All strings consisting of $\{0,1\}$ and have an odd number of 1s.

Regular language \rightarrow DFA

- All strings consisting of $\{0,1\}$ and have an odd number of 1s.
 - how would you design an algorithm achieving this?
 1. read every symbol and check if it is 1;
 2. set up a counter, counter \rightarrow counter +1 if the symbol is 1;
 3. check odd/even of the counter

Regular language \rightarrow DFA

- All strings consisting of $\{0,1\}$ and have an odd number of 1s.
 - how would you design an algorithm achieving this?
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Can we do better without memory?

Regular language \rightarrow DFA

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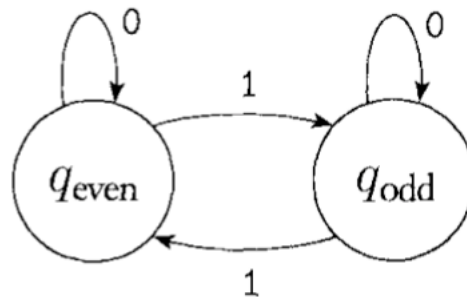
Identify states



Regular language \rightarrow DFA

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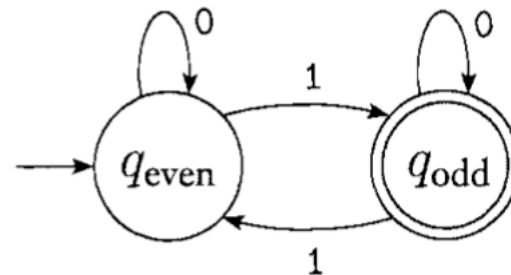
Identify transitions



Regular language \rightarrow DFA

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 1. read every symbol and check if it is 1;
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Identify start and final states



Regular language \rightarrow DFA

- All strings consisting of $\{0,1\}$ and have 001 as a substring.

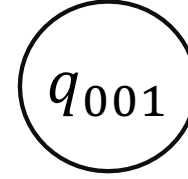
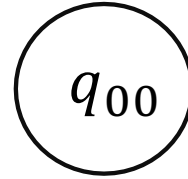
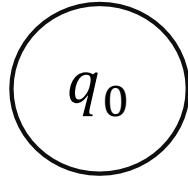
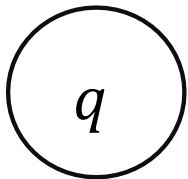
Identify states: what are the states?

Regular language \rightarrow DFA

- All strings consisting of $\{0,1\}$ and have 001 as a substring.

Identify states: what are the states?

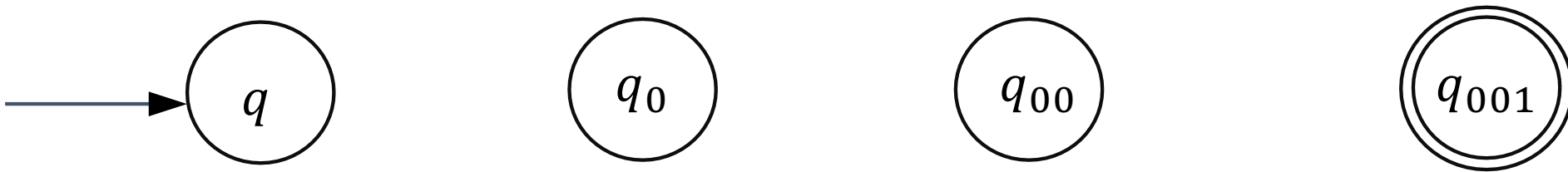
1. haven't just seen any symbols of the pattern,
2. have just seen a 0,
3. have just seen 00, or
4. have seen the entire pattern 001.



Regular language \rightarrow DFA

- All strings consisting of $\{0,1\}$ and have 001 as a substring.

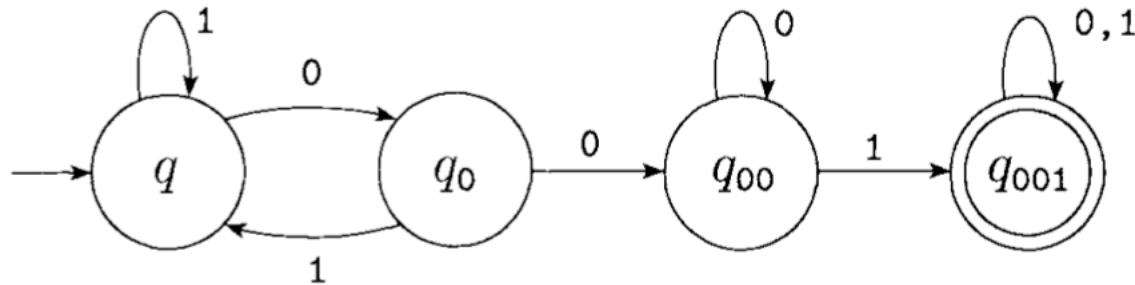
Start and final states?



Regular language \rightarrow DFA

- All strings consisting of $\{0,1\}$ and have 001 as a substring.

Transitions



Regular operations

- How to design DFA for very complicated regular language? Is there a systematic way (or “Algorithm” or designing DFA)?

Regular operations

- **Union:** $A \cup B = \{x \mid x \in A \text{ or } x \in B\}$.
- **Concatenation:** $A \circ B = \{xy \mid x \in A \text{ and } y \in B\}$.
- **Star:** $A^* = \{x_1x_2 \dots x_k \mid k \geq 0 \text{ and each } x_i \in A\}$.

Regular operations

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$$A = \{\text{good}, \text{bad}\}, B = \{\text{boy}, \text{girl}\}$$

$$A \cup B = \{\text{good}, \text{bad}, \text{boy}, \text{girl}\},$$

$$A \circ B = \{\text{goodboy}, \text{goodgirl}, \text{badboy}, \text{badgirl}\}, \text{ and}$$

$$A^* = \{\epsilon, \text{good}, \text{bad}, \text{goodgood}, \text{goodbad}, \text{badgood}, \text{badbad}, \\ \text{goodgoodgood}, \text{goodgoodbad}, \text{goodbadgood}, \text{goodbadbad}, \dots\}.$$

Regular operations

- How to design DFA for very complicated regular language? Is there a systematic way (or “Algorithm” or designing DFA)?
- Regular language is closed under union, concatenation and star, but how can we prove it? We will need a more flexible version of automata...