

Lecture 12

Syntax Analysis

Awanish Pandey

Department of Computer Science and Engineering Indian Institute of Technology Roorkee

February 14, 2025



Applying symbols to state



- Applying symbols to state
- Goto Operations



- Applying symbols to state
- Goto Operations
- Sets of Item



- Applying symbols to state
- Goto Operations
- Sets of Item
- Limitations of SLR Parser



- Applying symbols to state
- Goto Operations
- Sets of Item
- Limitations of SLR Parser
- Closure operation on LR(1)



Example

• Consider the following grammar

$$S' \rightarrow S$$

 $S \rightarrow CC$
 $C \rightarrow cC|d$

• Compute closure(I) where $I = [S' \rightarrow .S, \$]$

$$S \rightarrow .S$$
, \$
 $S \rightarrow .CC$, \$
 $C \rightarrow .cC$, c
 $C \rightarrow .cC$, d

$$C \rightarrow .d, \quad c$$

$$C \rightarrow .d, d$$



Example

Construct sets of LR(1) items for the grammar on previous slide

- $I_0: S \rightarrow .S, \$$ $S \rightarrow .CC, \$$ $C \rightarrow .cC, c/d$ $C \rightarrow .d, c/d$
- $I_1: goto(I_0, S)$ $S' \rightarrow S., \$$
- I_2 : $goto(I_0, C)$ $S \rightarrow C.C.$ \$ $C \rightarrow .cC.$ \$ $C \rightarrow .d.$ \$

- I_3 : $goto(I_0, c)$ $C \rightarrow c.C$, c/d $C \rightarrow .cC$, c/d $C \rightarrow .d$, c/d
- I_4 : $goto(I_0, d)$
 - C o d., c/d
- I_5 : $goto(I_2, C)$ $S \rightarrow CC..$ \$
- $I_6: goto(I_2, c)$ $C \rightarrow c.C.$ \$
 - $C \rightarrow .cC,$ \$
 - $C \rightarrow .d,$ \$

- I_7 : $goto(I_2, d)$ $C \rightarrow d...$ \$
- I_8 : $goto(I_3, C)$ $C \rightarrow cC...c/d$
- I_9 : $goto(I_6, C)$ $C \rightarrow cC.,$ \$



• Construct $C = I_0, \dots, I_n$ the sets of LR(1) items



- Construct $C = I_0, \dots, I_n$ the sets of LR(1) items
- If $[A o lpha.aeta, \ b]$ is in I_i and $goto(I_i,a) = I_j$ then action[i,a]=shift j



- Construct $C = I_0, \dots, I_n$ the sets of LR(1) items
- If [A o lpha.aeta, b] is in I_i and $goto(I_i,a) = I_j$ then action[i,a]=shift j
- If [A o lpha., a] is in I_i then action[i,a] reduce A o lpha



- Construct $C = I_0, \dots, I_n$ the sets of LR(1) items
- If $[A o \alpha.a\beta, b]$ is in I_i and $goto(I_i, a) = I_j$ then action[i,a]=shift j
- If [A o lpha., a] is in I_i then action[i,a] reduce A o lpha
- ullet If [S' o S., \$] is in I_i then action[i,\$] = accept



- Construct $C = I_0, \dots, I_n$ the sets of LR(1) items
- If $[A o \alpha.a\beta, b]$ is in I_i and $goto(I_i, a) = I_j$ then action[i,a]=shift j
- If [A o lpha., a] is in I_i then action[i,a] reduce A o lpha
- If $[S' \to S., \$]$ is in I_i then action[i,\$] = accept
- If $goto(I_i, A) = I_j$ then goto[i, A] = j for all non terminals A



Parse table

State	С	d	\$	S	С
0	s 3	s4		1	2
1			acc		
2	s 6	s7			5
3	<i>s</i> 3	s4			8
4	r3	r3			
5			r1		
6	<i>s</i> 6	s7			9
7			r3		
8	r2	r2			
9			r2		



Look Ahead LR parsers



- Look Ahead LR parsers
- Consider a pair of similar looking states (same kernel and different lookaheads) in the set of LR(1) items



- Look Ahead LR parsers
- Consider a pair of similar looking states (same kernel and different lookaheads) in the set of LR(1) items

 $I_4: C \rightarrow d., \quad c/d$ $I_7: C \rightarrow d., \quad \$$



- Look Ahead LR parsers
- Consider a pair of similar looking states (same kernel and different lookaheads) in the set of LR(1) items

```
I_4: C \rightarrow d., \quad c/d
I_7: C \rightarrow d., \quad \$
```

ullet Replace I_4 and I_7 by a new state I_{47} consisting of $(C o d., \quad c/d/\$)$



- Look Ahead LR parsers
- Consider a pair of similar looking states (same kernel and different lookaheads) in the set of LR(1) items

```
I_4: C \rightarrow d., \quad c/d
I_7: C \rightarrow d., \quad \$
```

- Replace I_4 and I_7 by a new state I_{47} consisting of $(C \to d., c/d/\$)$
- Similarly $I_3 \& I_6$ and $I_8 \& I_9$ form pairs



due to more number of states in CLR, it is memory inefficient. Decrease number of states in LALR

- Look Ahead LR parsers
- Consider a pair of similar looking states (same kernel and different lookaheads) in the set of LR(1) items

$$I_4: C \rightarrow d., \quad c/d$$

 $I_7: C \rightarrow d..$ \$

- ullet Replace I_4 and I_7 by a new state I_{47} consisting of $(C o d., \quad c/d/\$)$
- Similarly $I_3 \& I_6$ and $I_8 \& I_9$ form pairs
- Merge LR(1) items having the same core



• Construct $C = I_0, \dots, I_n$ set of LR(1) items



- Construct $C = I_0, \dots, I_n$ set of LR(1) items
- For each core present in LR(1) items find all sets having the same core and replace these sets by their union



- Construct $C = I_0, \dots, I_n$ set of LR(1) items
- For each core present in LR(1) items find all sets having the same core and replace these sets by their union
- Let $C' = J_0, \dots, J_m$ be the resulting set of items



- Construct $C = I_0, \dots, I_n$ set of LR(1) items
- For each core present in LR(1) items find all sets having the same core and replace these sets by their union
- Let $C' = J_0, \dots, J_m$ be the resulting set of items
- Construct action table as was done earlier



- Construct $C = I_0, \dots, I_n$ set of LR(1) items
- For each core present in LR(1) items find all sets having the same core and replace these sets by their union
- Let $C' = J_0, \dots, J_m$ be the resulting set of items
- Construct action table as was done earlier
- Let $J = I_1 \cup I_2 \cdots \cup I_k$ since $I_1, I_2 \cdots , I_k$ have same core, goto(J, X) will have the same core Let $K = goto(I_1, X) \cup goto(I_2, X) \cdots goto(I_k, X)$ the goto(J, X) = K



LALR parse table ...

State	С	d	\$	5	С
0	s36	s47		1	2
1			асс		
2	s36	s47			5
36	s36	s47			89
47	r3	r3	r3		
5			r1		
89	r2	r2	r2		



• SLR and LALR parse tables have same number of states.



- SLR and LALR parse tables have same number of states.
- Merging items never produces shift/reduce conflicts but may produce reduce/reduce conflicts.



- SLR and LALR parse tables have same number of states.
- Merging items never produces shift/reduce conflicts but may produce reduce/reduce conflicts.
- New conflicts can not be of shift reduce kind:



- SLR and LALR parse tables have same number of states.
- Merging items never produces shift/reduce conflicts but may produce reduce/reduce conflicts.
- New conflicts can not be of shift reduce kind:
 - Assume there is a shift reduce conflict in some state of LALR parser with items $[X \to \alpha., a], [Y \to \gamma.a\beta, b]$



- SLR and LALR parse tables have same number of states.
- Merging items never produces shift/reduce conflicts but may produce reduce/reduce conflicts.
- New conflicts can not be of shift reduce kind:
 - Assume there is a shift reduce conflict in some state of LALR parser with items $[X \to \alpha., a], [Y \to \gamma.a\beta, b]$
 - ▶ Then there must have been a state in the LR parser with the same core



- SLR and LALR parse tables have same number of states.
- Merging items never produces shift/reduce conflicts but may produce reduce/reduce conflicts.
- New conflicts can not be of shift reduce kind:
 - Assume there is a shift reduce conflict in some state of LALR parser with items $[X \to \alpha., a], [Y \to \gamma.a\beta, b]$
 - ▶ Then there must have been a state in the LR parser with the same core
 - Contradiction; because LR parser did not have conflicts



- SLR and LALR parse tables have same number of states.
- Merging items never produces shift/reduce conflicts but may produce reduce/reduce conflicts.
- New conflicts can not be of shift reduce kind:
 - Assume there is a shift reduce conflict in some state of LALR parser with items $[X \to \alpha., a], [Y \to \gamma.a\beta, b]$
 - ▶ Then there must have been a state in the LR parser with the same core
 - ► Contradiction; because LR parser did not have conflicts
- LALR parser can have new reduce-reduce conflicts



- SLR and LALR parse tables have same number of states.
- Merging items never produces shift/reduce conflicts but may produce reduce/reduce conflicts.
- New conflicts can not be of shift reduce kind:
 - Assume there is a shift reduce conflict in some state of LALR parser with items $[X \to \alpha., a], [Y \to \gamma.a\beta, b]$
 - ▶ Then there must have been a state in the LR parser with the same core
 - ► Contradiction; because LR parser did not have conflicts
- LALR parser can have new reduce-reduce conflicts
 - ▶ Assume states $[X \to \alpha., a], [Y \to \alpha., b]$ and $[X \to \alpha., b], [Y \to \alpha., a]$



and CLR have more number of states than LALR | SLR... states (CLR) >= states (LALR) = states (SLR)

- SLR and LALR parse tables have same number of states.
- Merging items never produces shift/reduce conflicts but may produce reduce/reduce conflicts.
- New conflicts can not be of shift reduce kind:
 - Assume there is a shift reduce conflict in some state of LALR parser with items $[X \to \alpha., a], [Y \to \gamma.a\beta, b]$
 - ► Then there must have been a state in the LR parser with the same core LR means CLR by
 - ★► Contradiction; because LR parser did not have conflicts

default.

- LALR parser can have new reduce-reduce conflicts
 - Assume states $[X \to \alpha., a], [Y \to \alpha., b]$ and $[X \to \alpha., b], [Y \to \alpha., a]$
 - ▶ Merging the two states produces $[X \to \alpha, a/b], [Y \to \alpha, a/b]$

there are two reduce possibilities when a/b comes in input when this state is at top of stack.



• Practical LALR parsers are not built by first making canonical LR parse tables



- Practical LALR parsers are not built by first making canonical LR parse tables
- There are direct, complicated but efficient algorithms to develop LALR parsers



- Practical LALR parsers are not built by first making canonical LR parse tables
- There are direct, complicated but efficient algorithms to develop LALR parsers
- Relative power of various classes



- Practical LALR parsers are not built by first making canonical LR parse tables
- There are direct, complicated but efficient algorithms to develop LALR parsers
- Relative power of various classes
 - ▶ $SLR(1) \le LALR(1) \le LR(1)$



- Practical LALR parsers are not built by first making canonical LR parse tables
- There are direct, complicated but efficient algorithms to develop LALR parsers
- Relative power of various classes
 - ▶ $SLR(1) \le LALR(1) \le LR(1)$
 - ▶ $SLR(k) \le LALR(k) \le LR(k)$



- Practical LALR parsers are not built by first making canonical LR parse tables
- There are direct, complicated but efficient algorithms to develop LALR parsers
- Relative power of various classes
 - ▶ $SLR(1) \le LALR(1) \le LR(1)$
 - ▶ $SLR(k) \le LALR(k) \le LR(k)$
 - **▶** LL(k) ≤ LR(k)



• An error is detected when an entry in the action table is found to be empty.



- An error is detected when an entry in the action table is found to be empty.
- Panic mode error recovery can be implemented as follows:



errors will not arise from goto table

- An error is detected when an entry in the action table is found to be empty.
- Panic mode error recovery can be implemented as follows:
 - scan down the stack until a state S with a goto on a particular nonterminal A is found.



- An error is detected when an entry in the action table is found to be empty.
- Panic mode error recovery can be implemented as follows:
 - scan down the stack until a state S with a goto on a particular nonterminal A is found.
 - ▶ Discard zero or more input symbols until a symbol a is found that can legitimately follow(A).



- An error is detected when an entry in the action table is found to be empty.
- Panic mode error recovery can be implemented as follows:
 - scan down the stack until a state S with a goto on a particular nonterminal A is found.
 - ▶ Discard zero or more input symbols until a symbol a is found that can legitimately follow(A).
 - ightharpoonup stack the state goto[S, A] and resume parsing.



- An error is detected when an entry in the action table is found to be empty.
- Panic mode error recovery can be implemented as follows:
 - ▶ scan down the stack until a state S with a goto on a particular nonterminal A is found.
 - ▶ Discard zero or more input symbols until a symbol a is found that can legitimately follow(A).
 - \triangleright stack the state goto[S, A] and resume parsing.
- Choice of A: Normally these are non terminals representing major program pieces such as an expression, statement or a block. For example if A is the nonterminal stmt, a might be semicolon or end



Parser Generator

- Some common LR parser generators
 - ► YACC: Yet Another Compiler Compiler
 - ► Bison: GNU Software
- Yacc/Bison source program specification (accept LALR grammars)

```
declaration
%%
translation rules
%%
supporting C routines
```



by default, they generate LALR parsers.

Yacc and Lex schema



