

# Graphs



# When do we see graphs in real life problems?

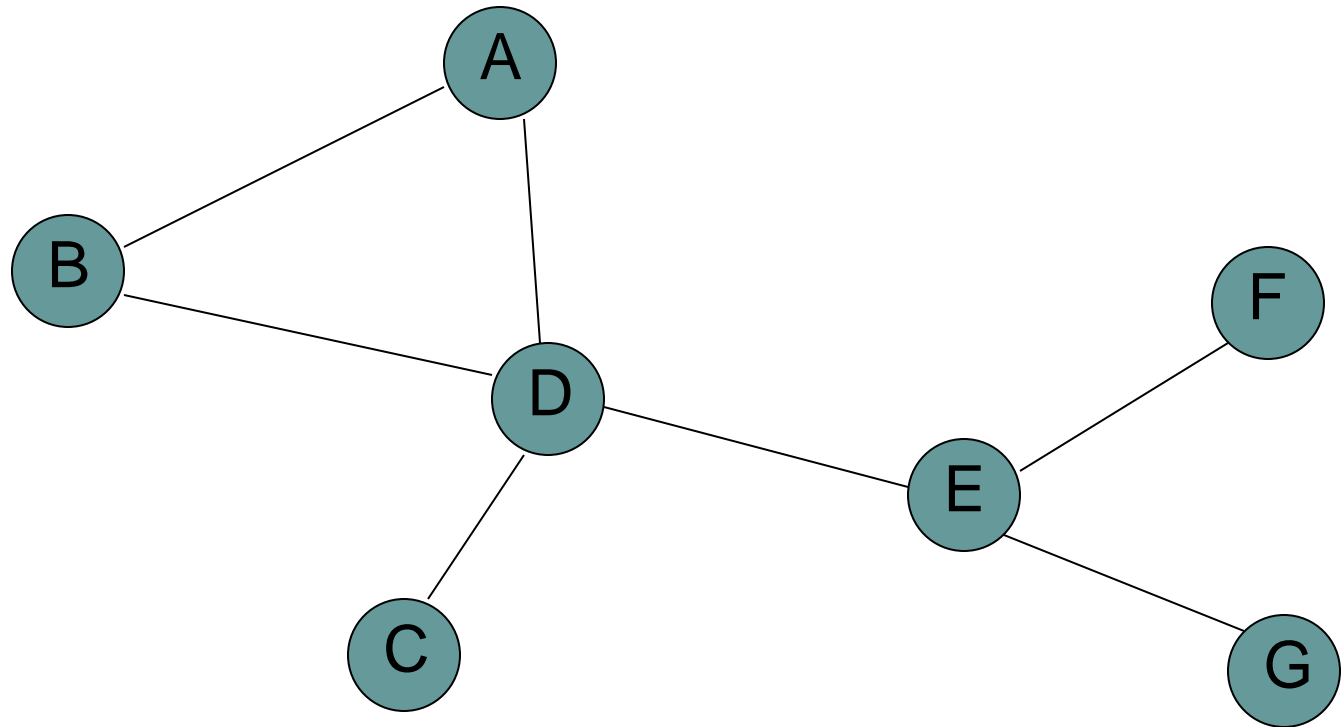


- Transportation networks (flights, roads, etc.)
- Communication networks
- Web
- Social networks
- Circuit design
- Bayesian networks

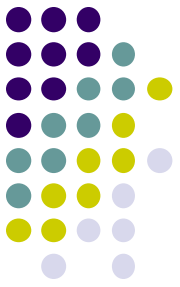


# Graphs

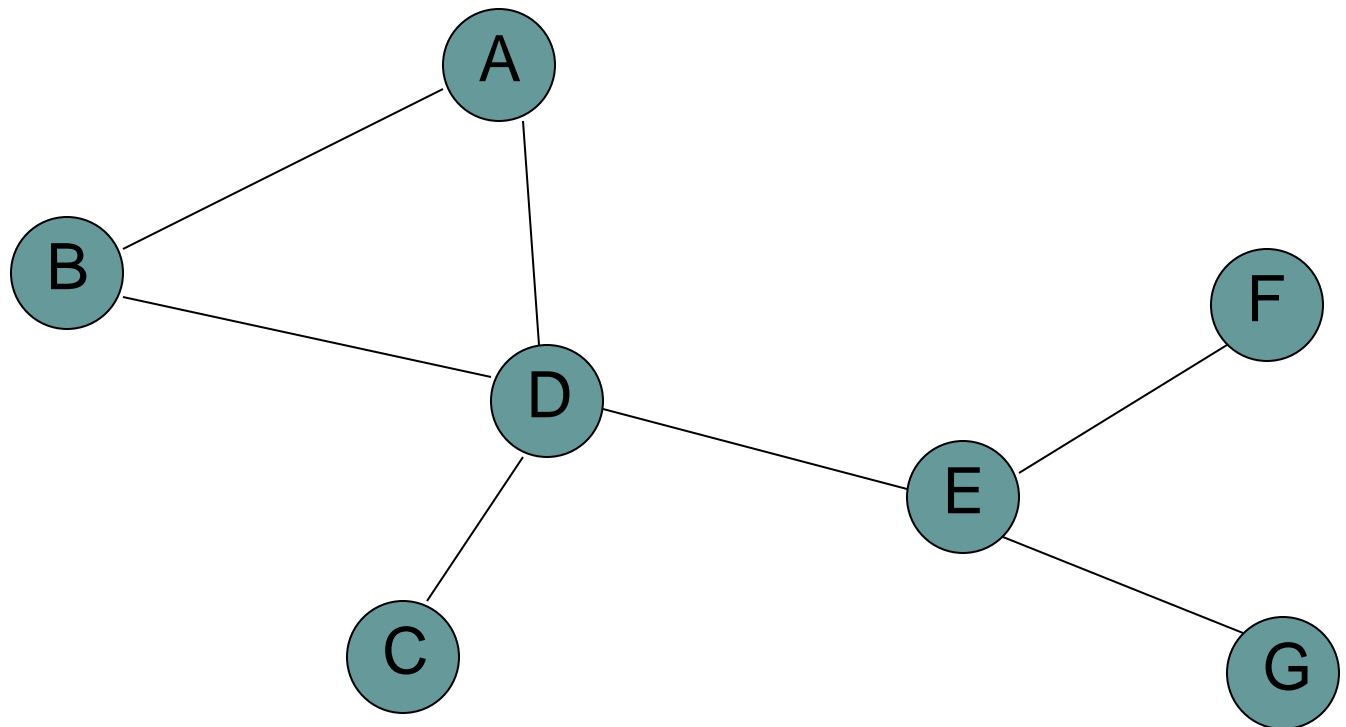
- A graph is a set of vertices  $V$  and a set of edges  $(u,v) \in E$  where  $u,v \in V$



# Different types of graphs



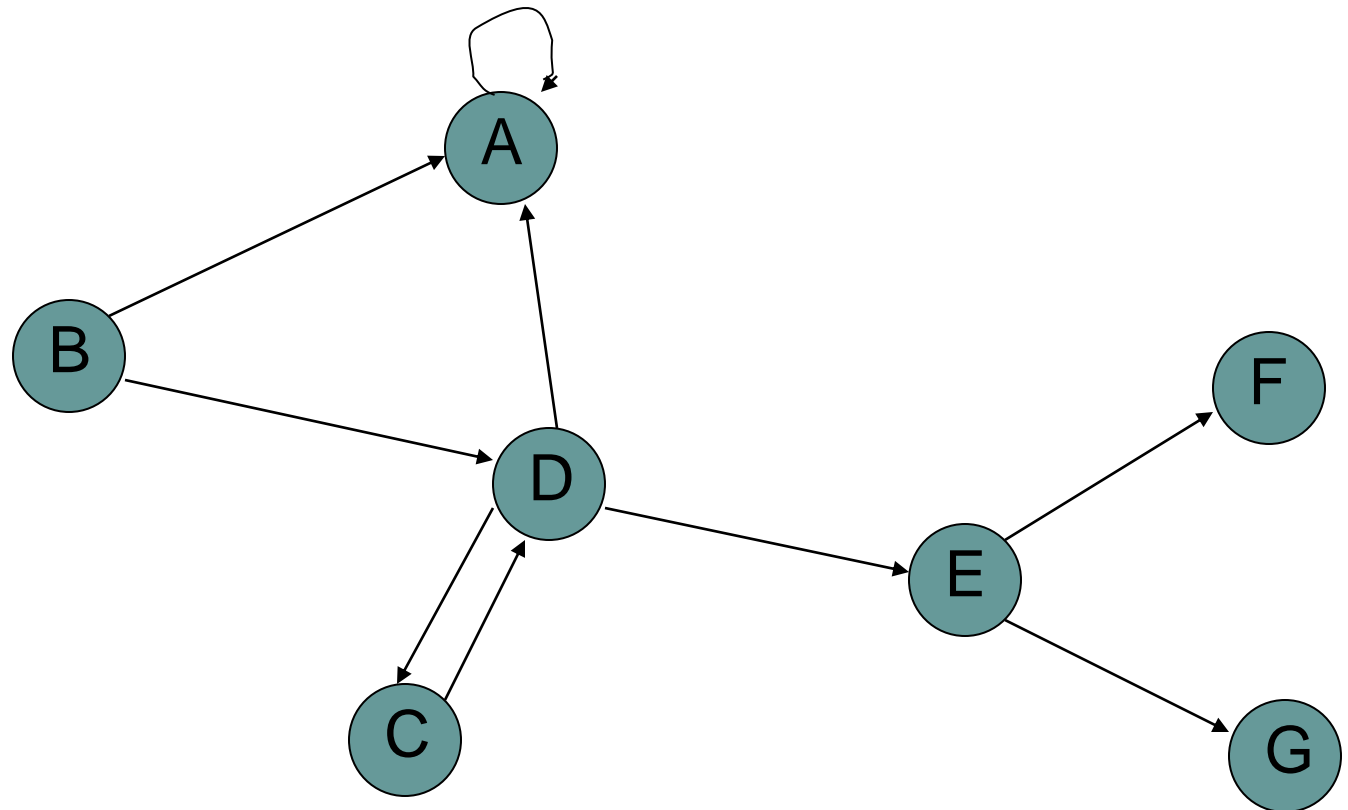
- Undirected – edges do not have a direction



# Different types of graphs



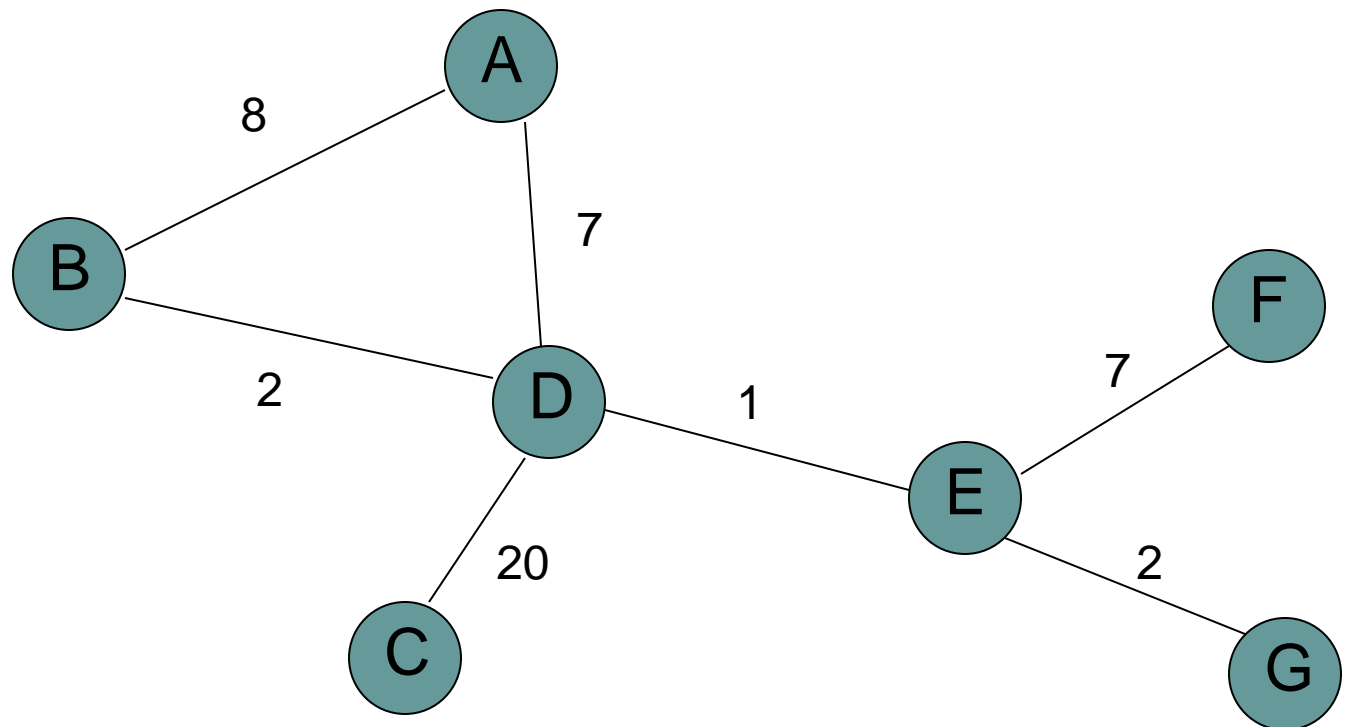
- Directed – edges **do** have a direction



# Different types of graphs



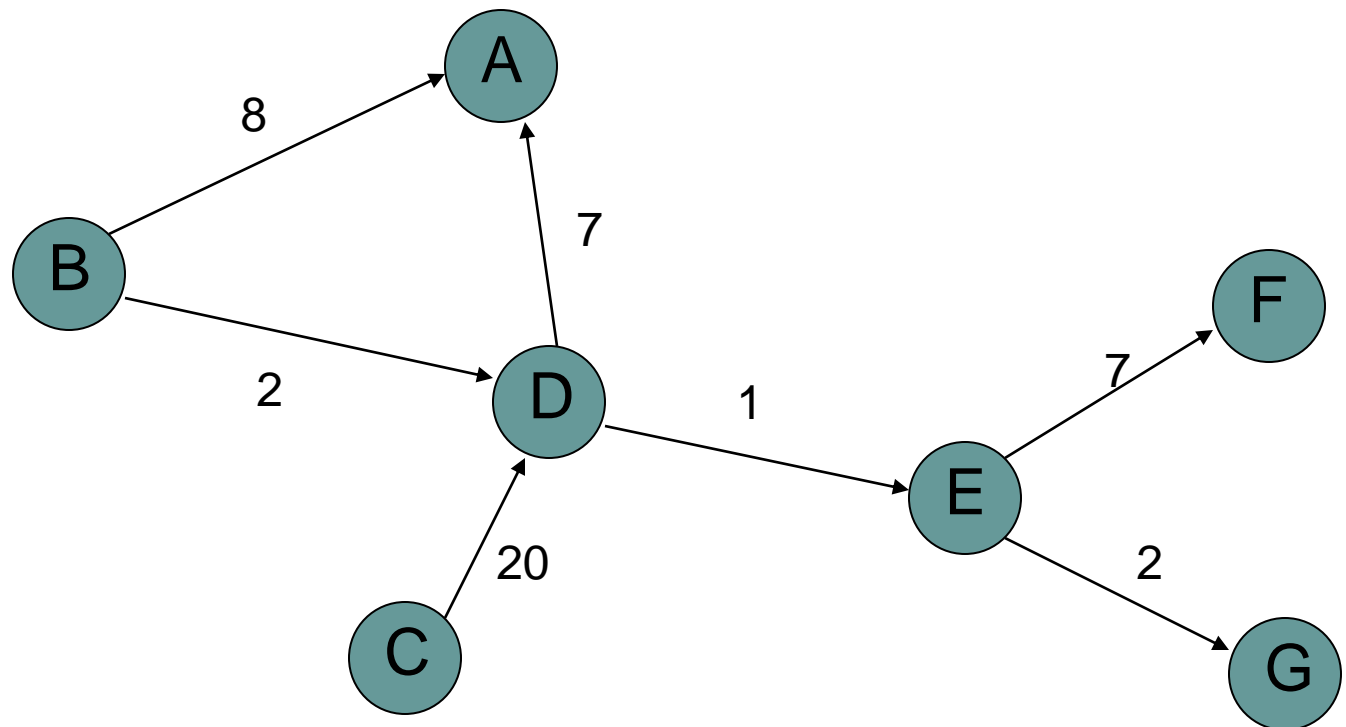
- Weighted – edges have an associated weight



# Different types of graphs



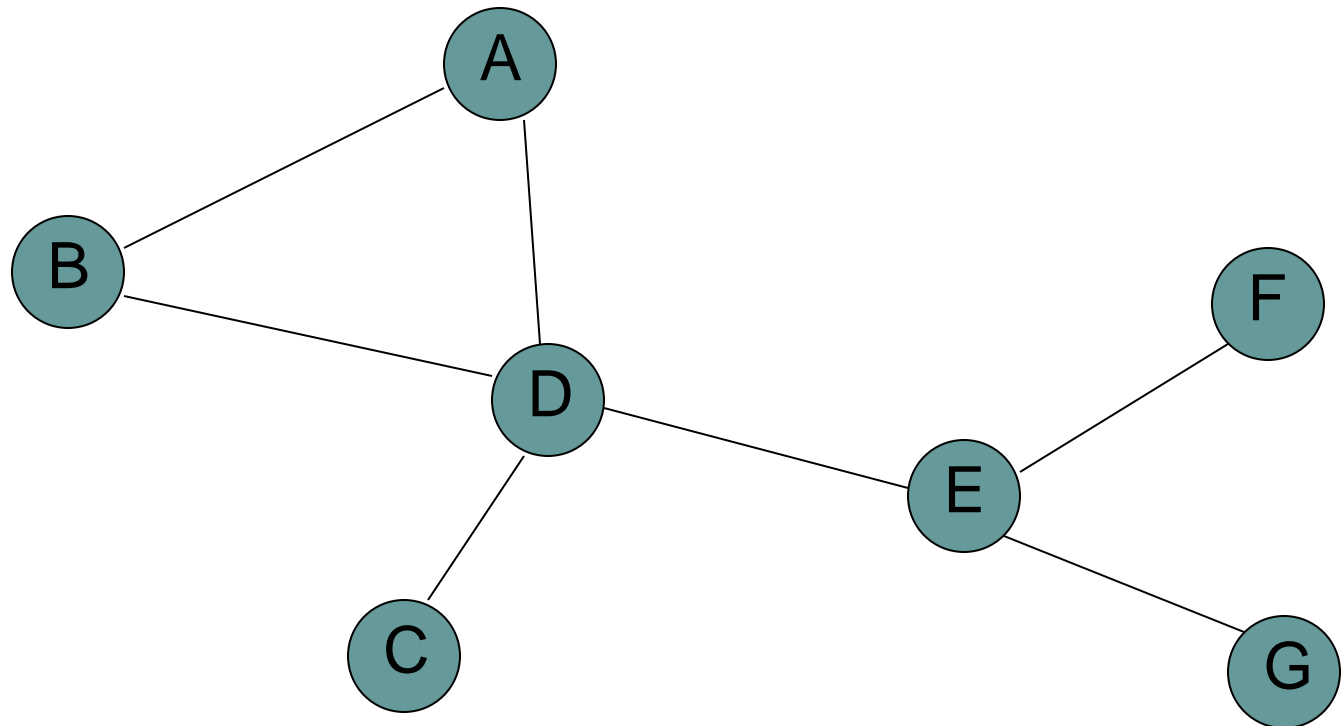
- Weighted – edges have an associated weight





# Terminology

- Path – A path is a list of vertices  $p_1, p_2, \dots, p_k$  where there exists an edge  $(p_i, p_{i+1}) \in E$



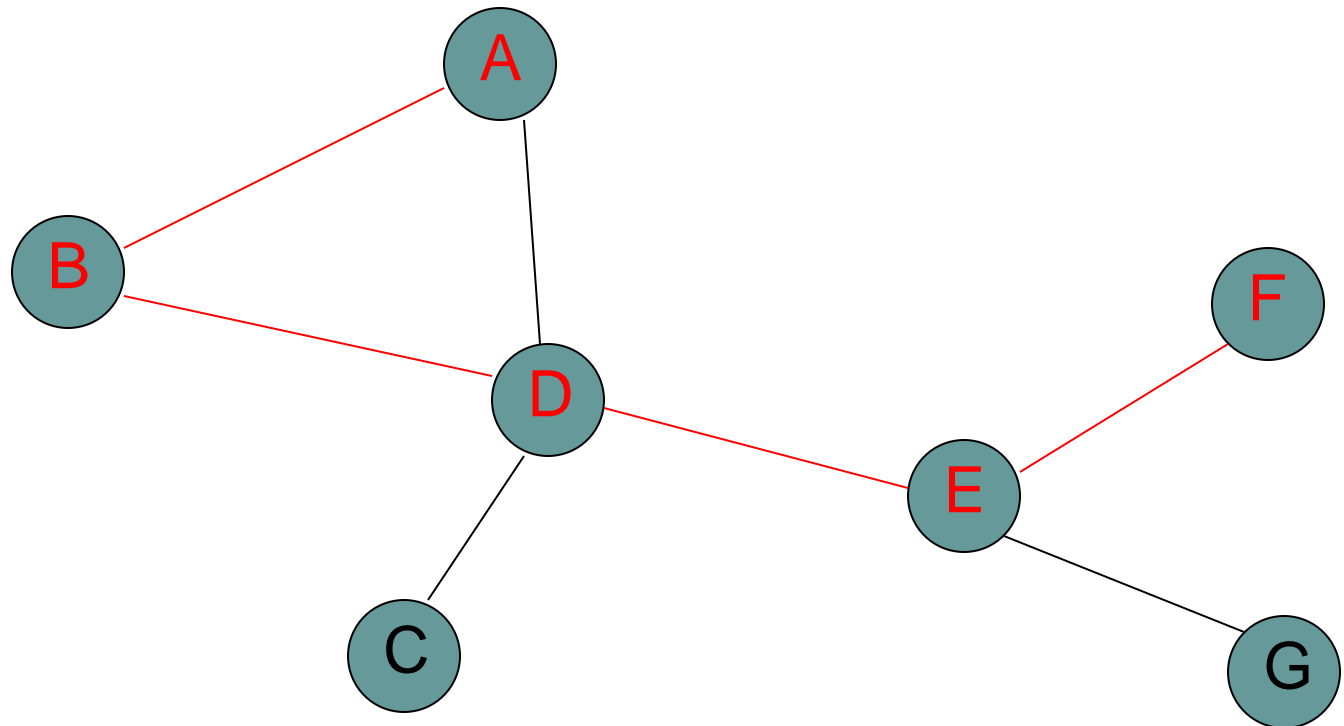




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$\{A, B, D, E, F\}$

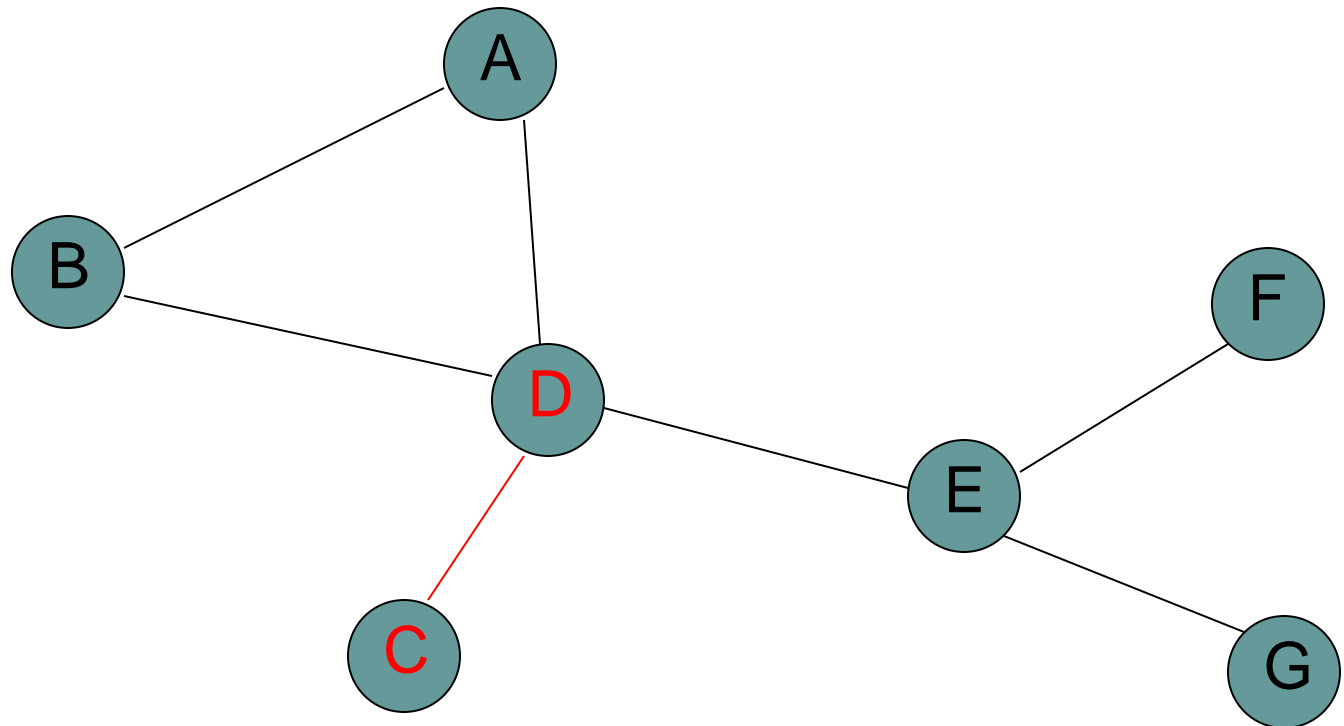




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$\{C, D\}$

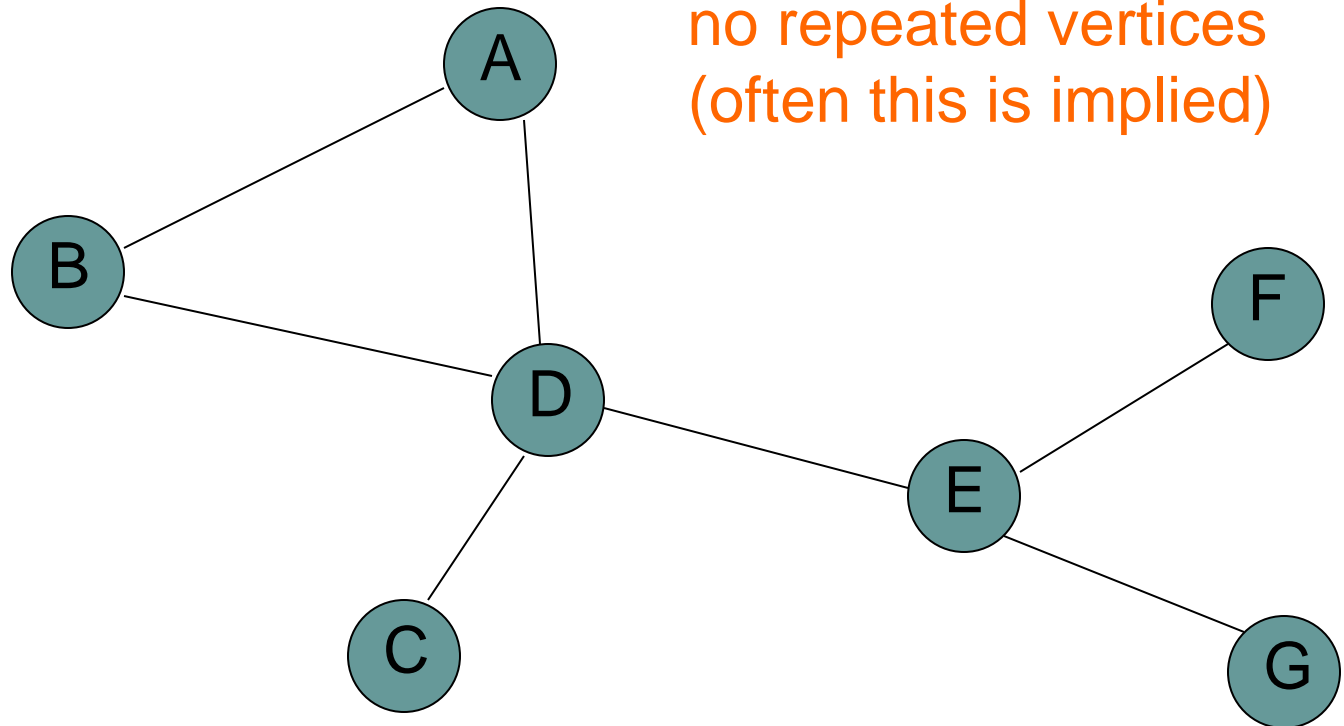




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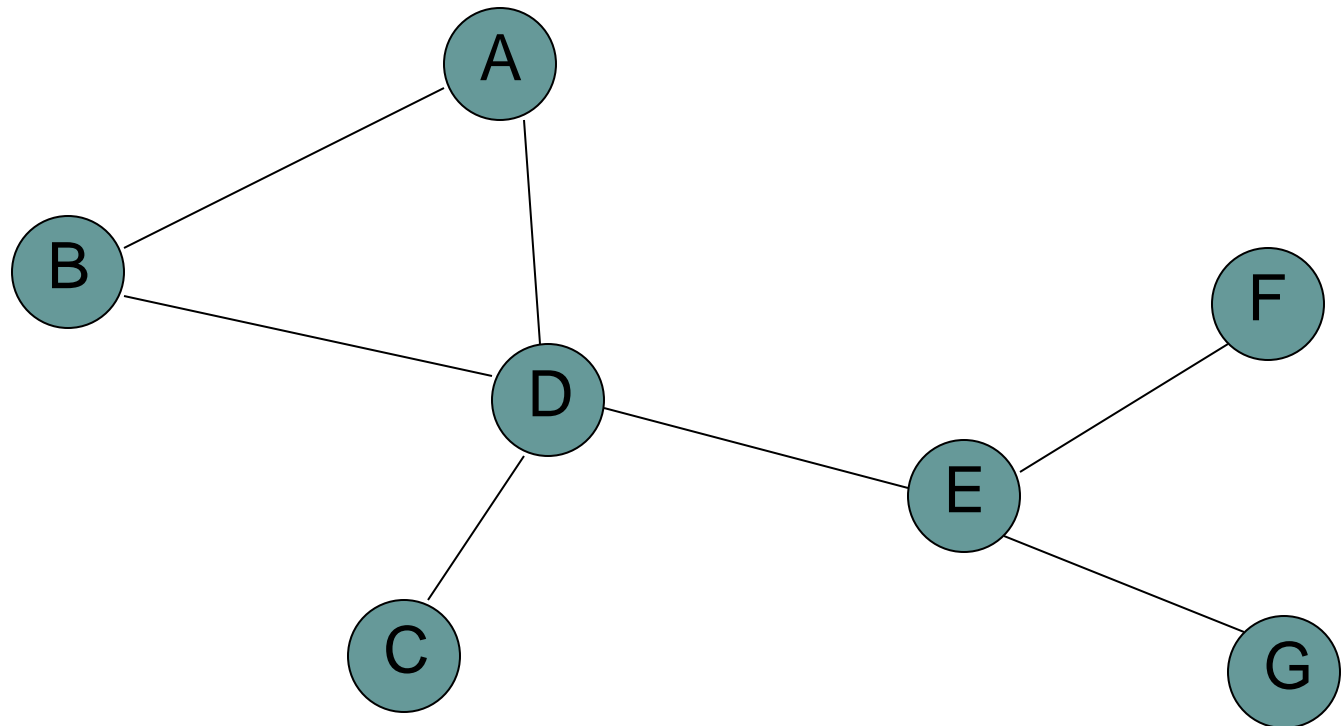
A *simple* path contains no repeated vertices (often this is implied)





# Terminology

- Cycle – A subset of the edges that form a path such that the first and last node are the same

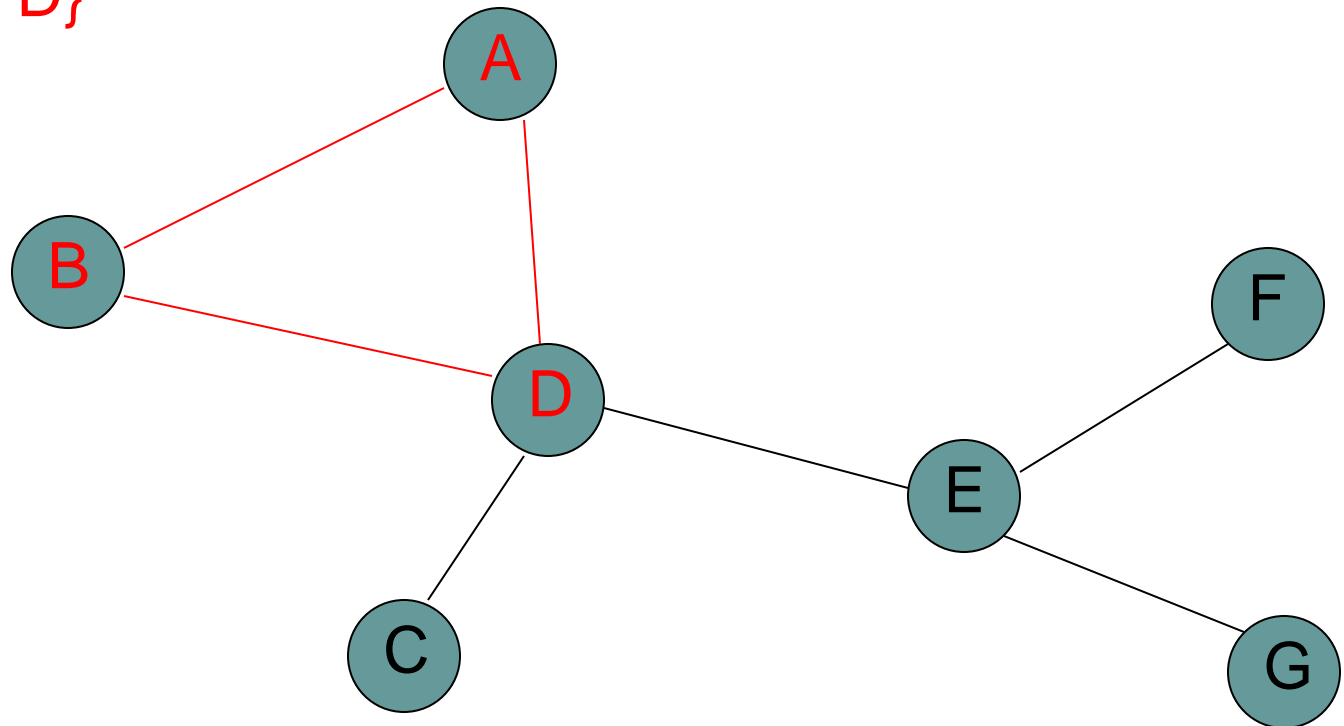




# Terminology

- Cycle – A subset of the edges that form a path such that the first and last node are the same

$\{A, B, D\}$

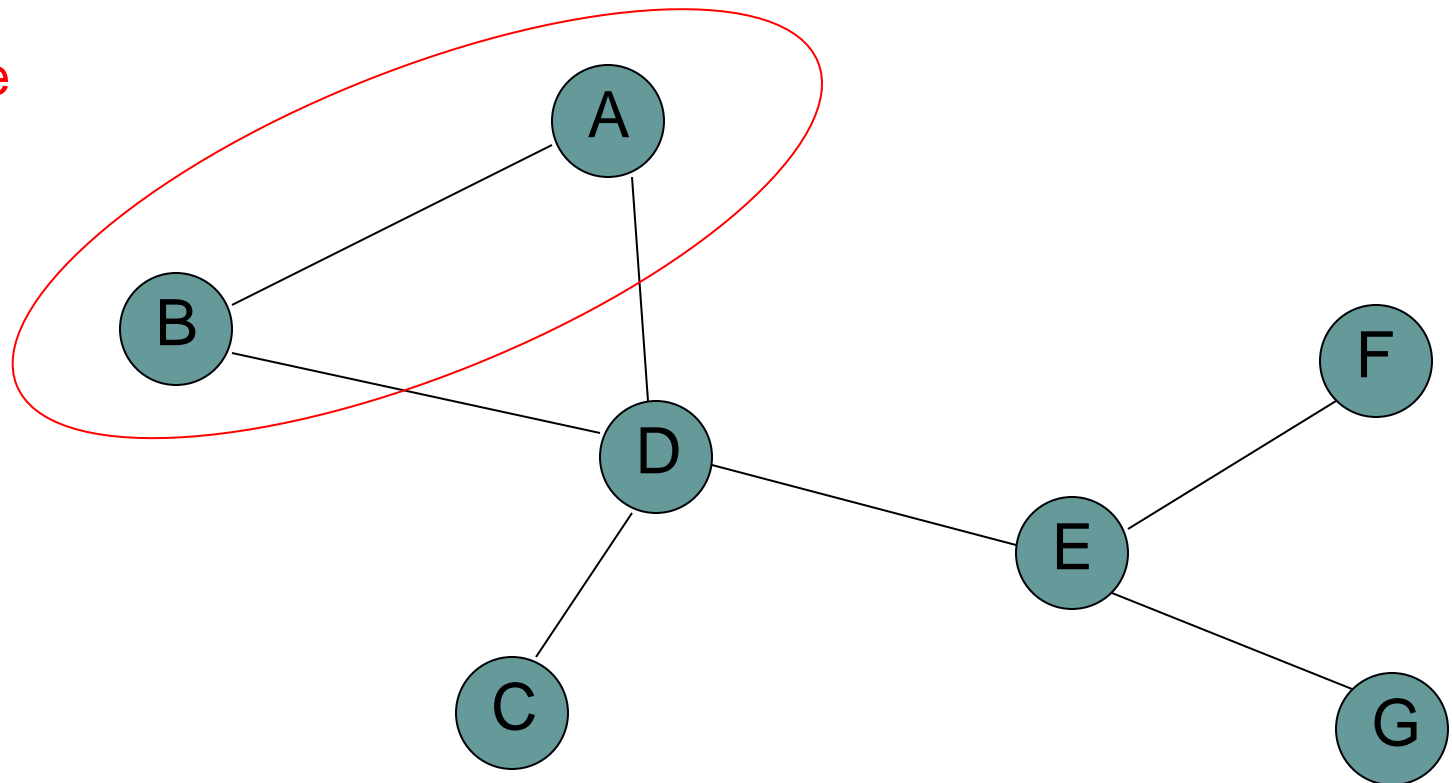




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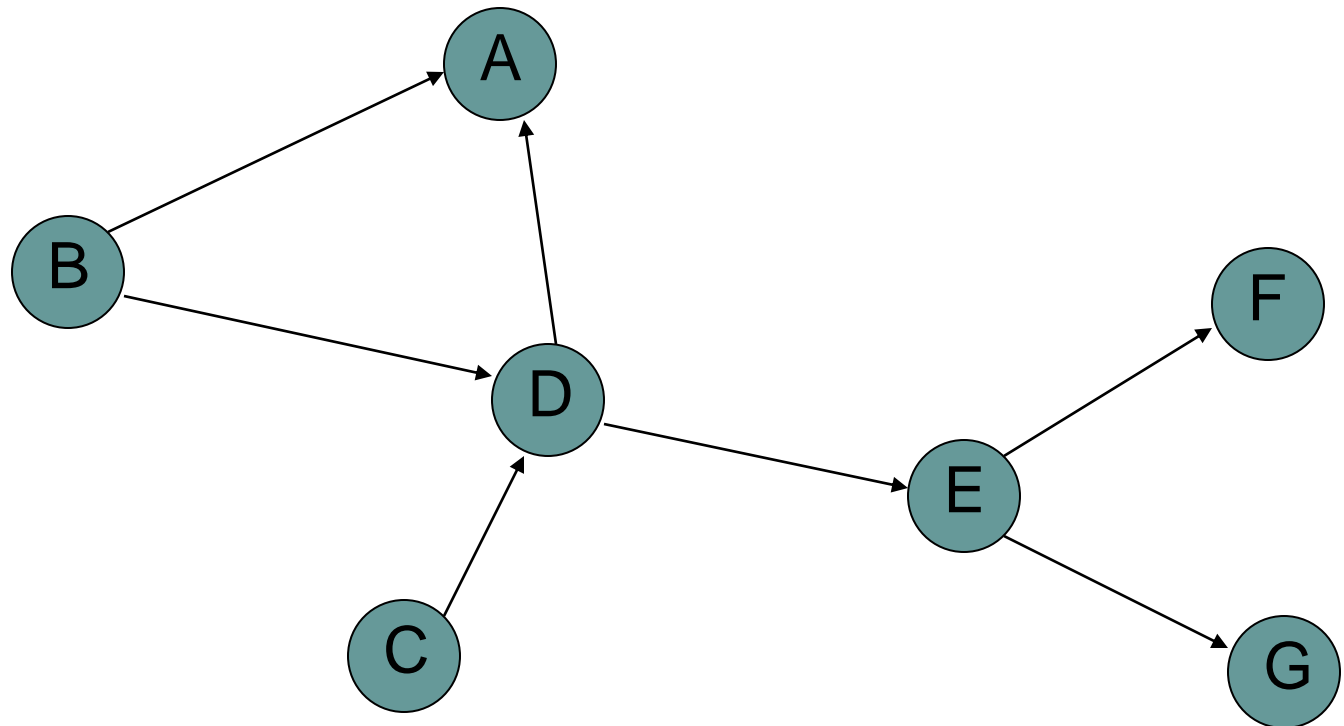
not a cycle





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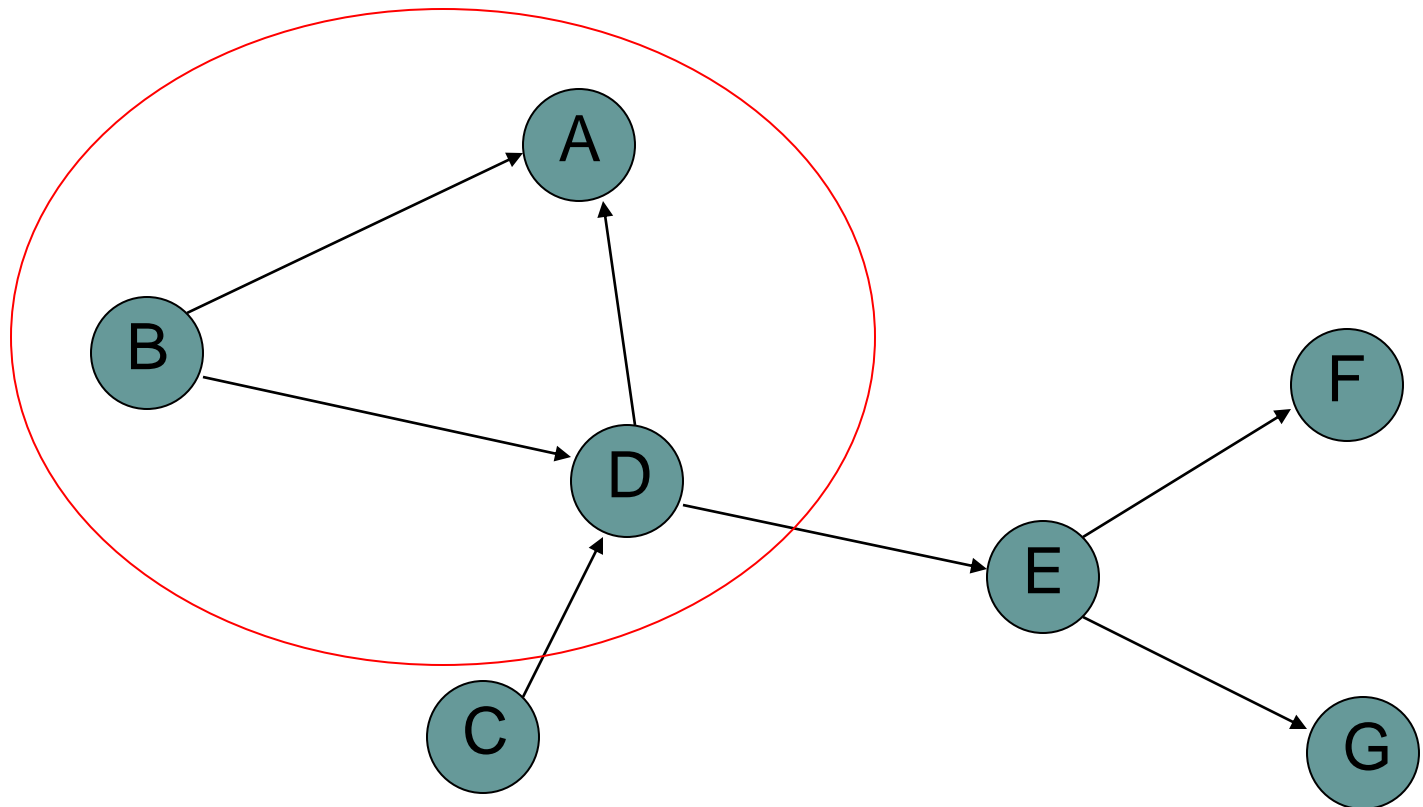




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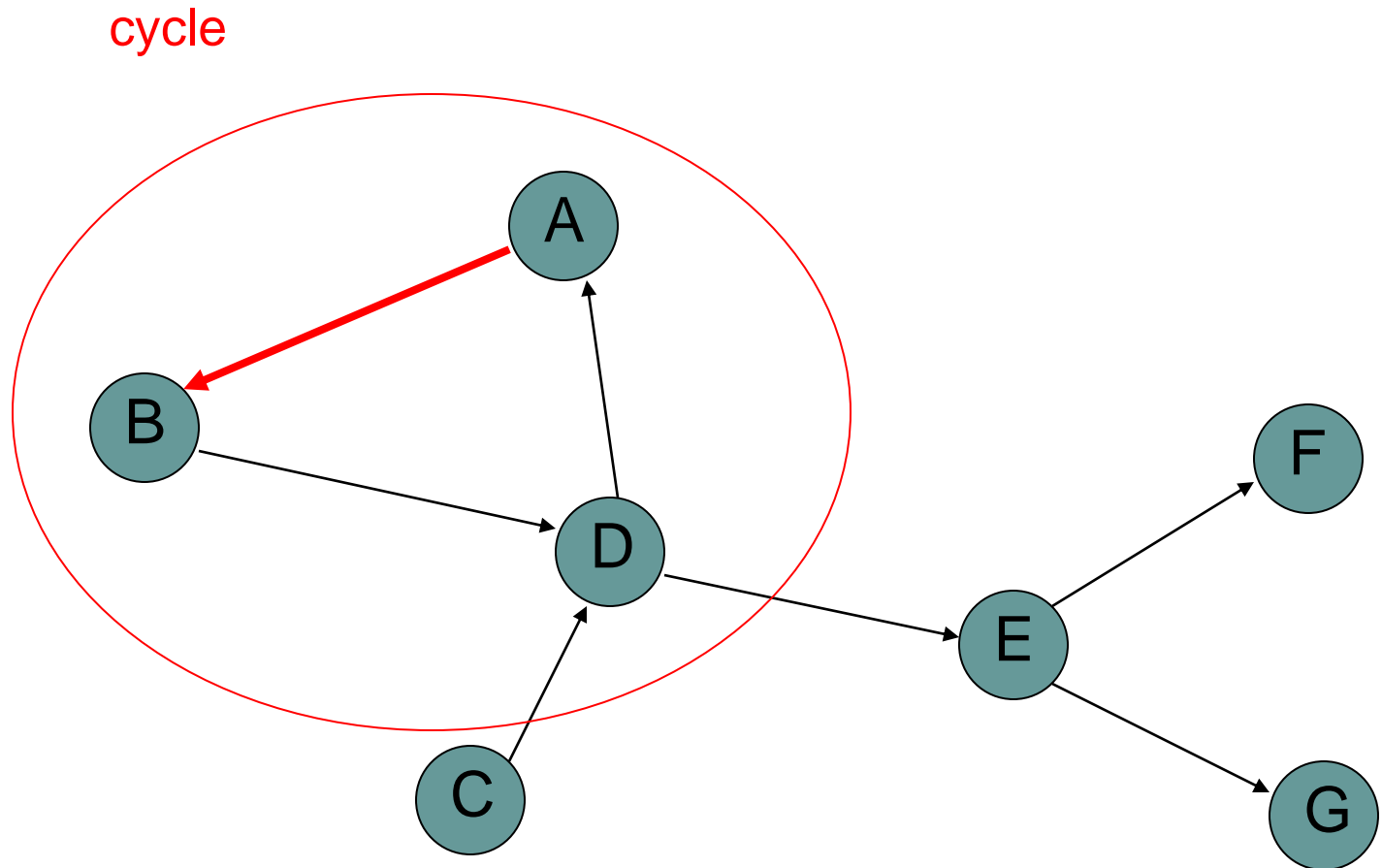






# Terminology

- Cycle – A path  $p_1, p_2, \dots, p_k$  where  $p_1 = p_k$

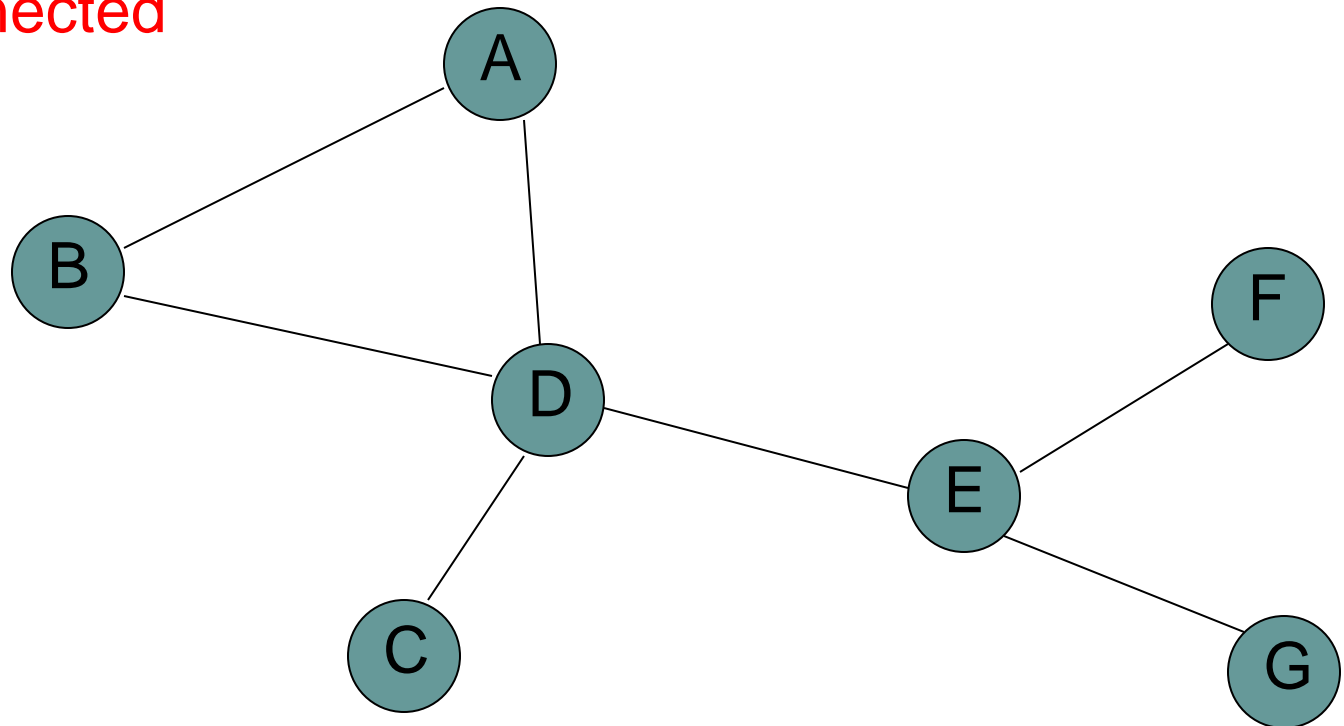




# Terminology

- Connected – every pair of vertices is connected by a path

connected

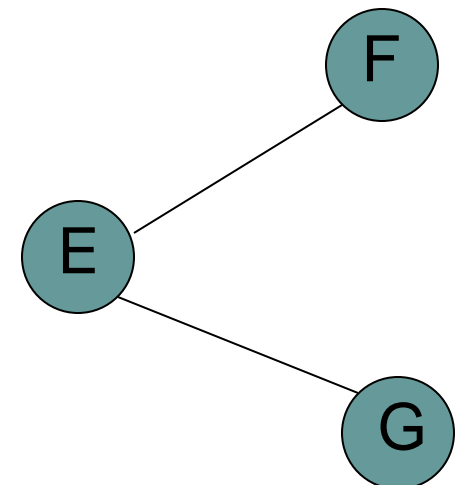
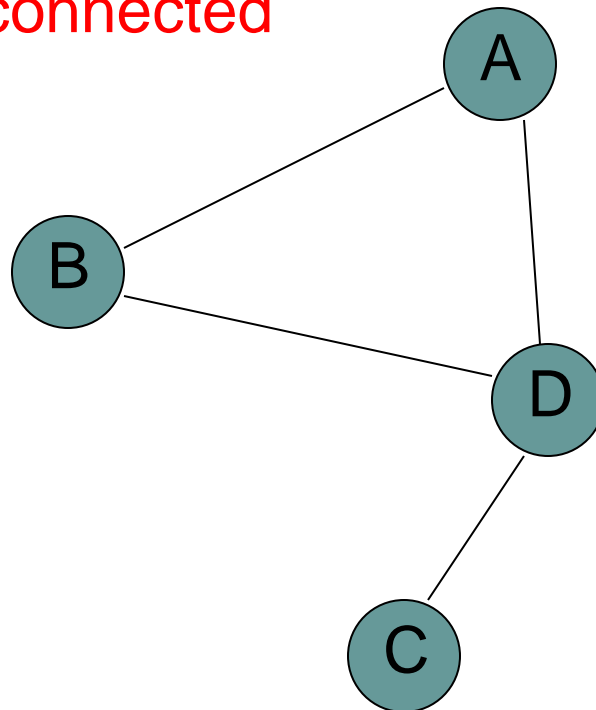




# Terminology

- Connected (undirected graphs) – every pair of vertices is connected by a path

not connected

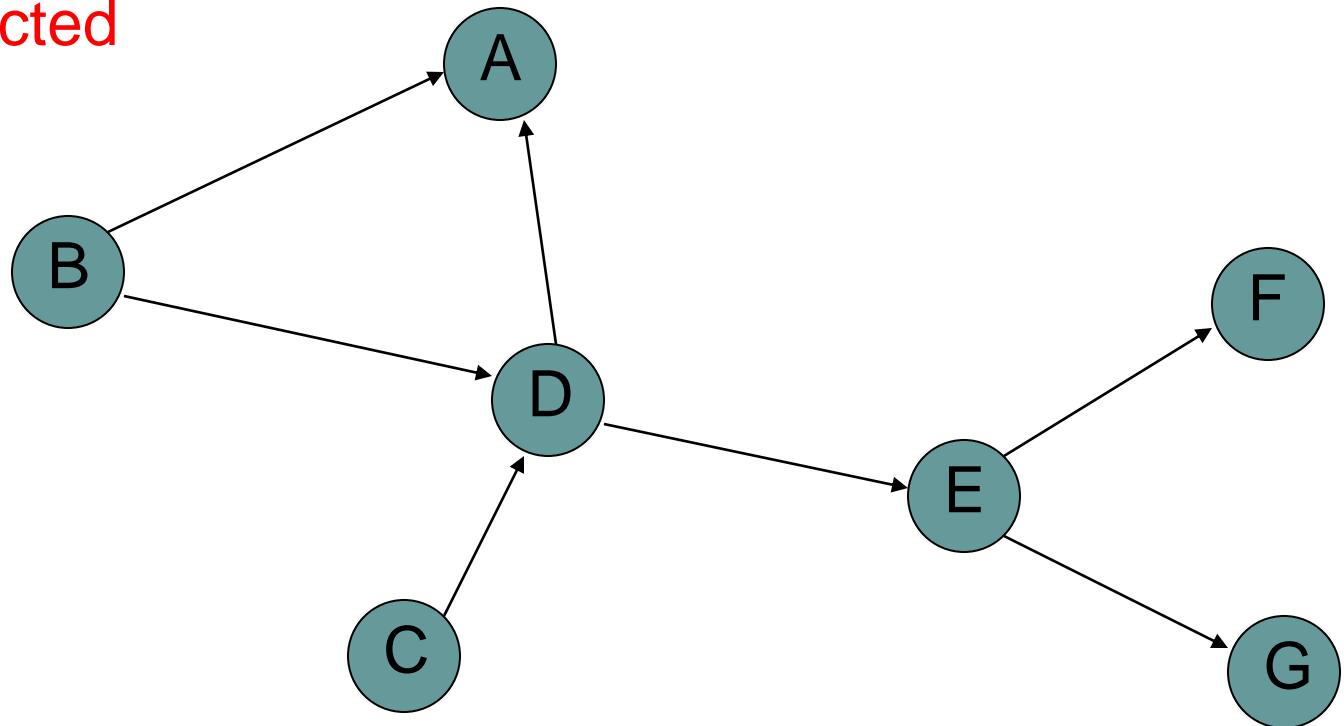




# Terminology

- Strongly connected (directed graphs) –  
Every two vertices are reachable by a path

not strongly  
connected

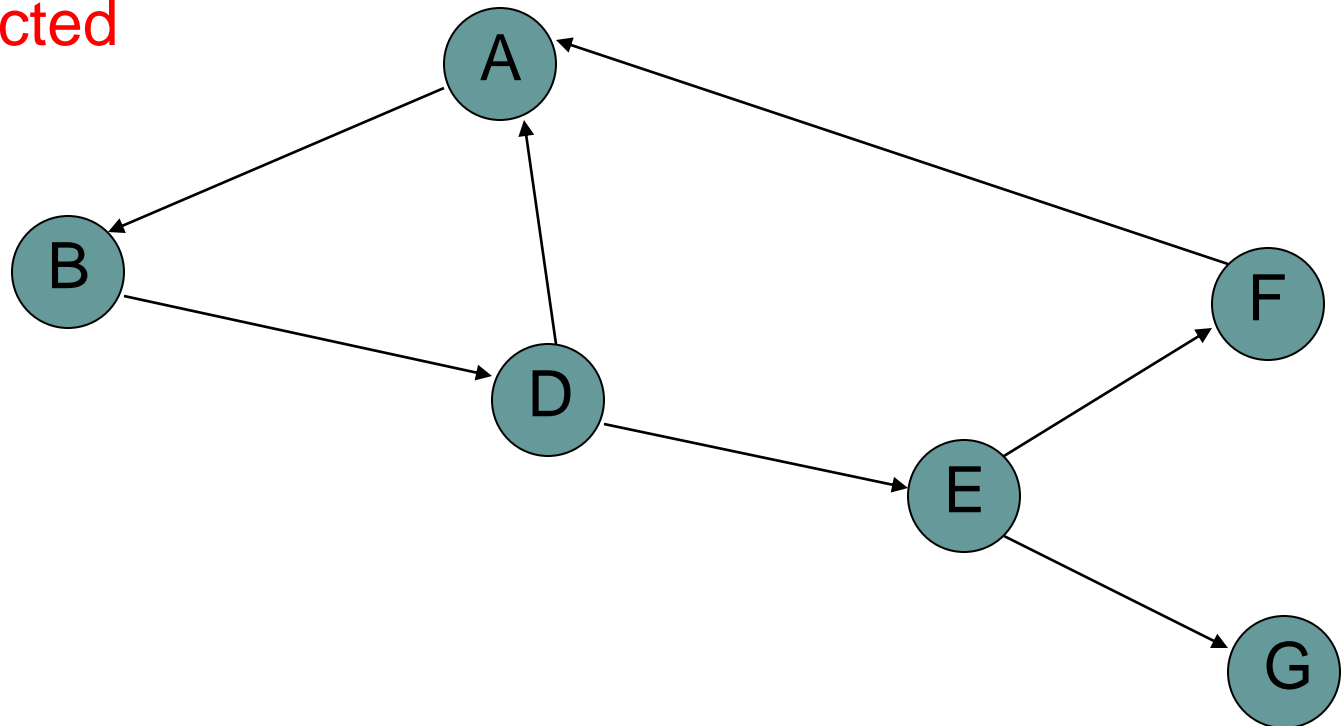




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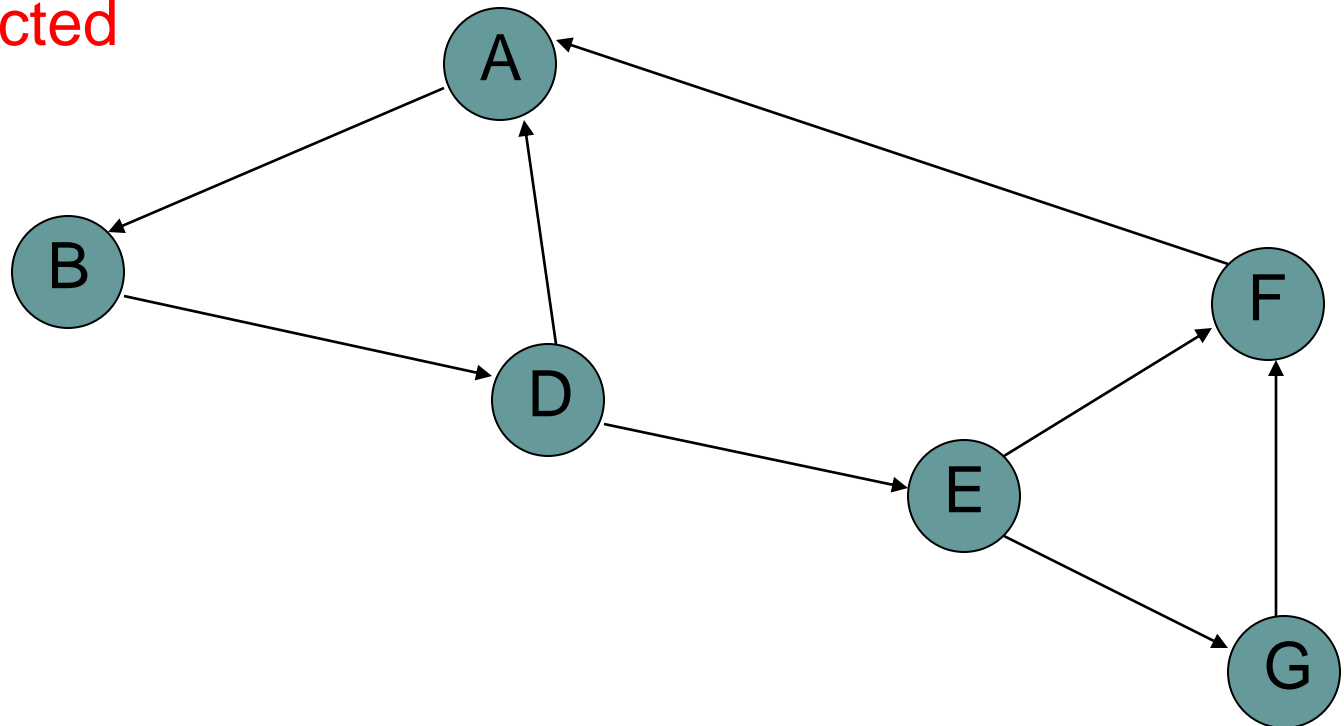




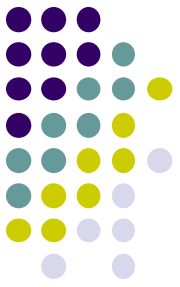
# Terminology

- Strongly connected (directed graphs) – Every two vertices are reachable by a path

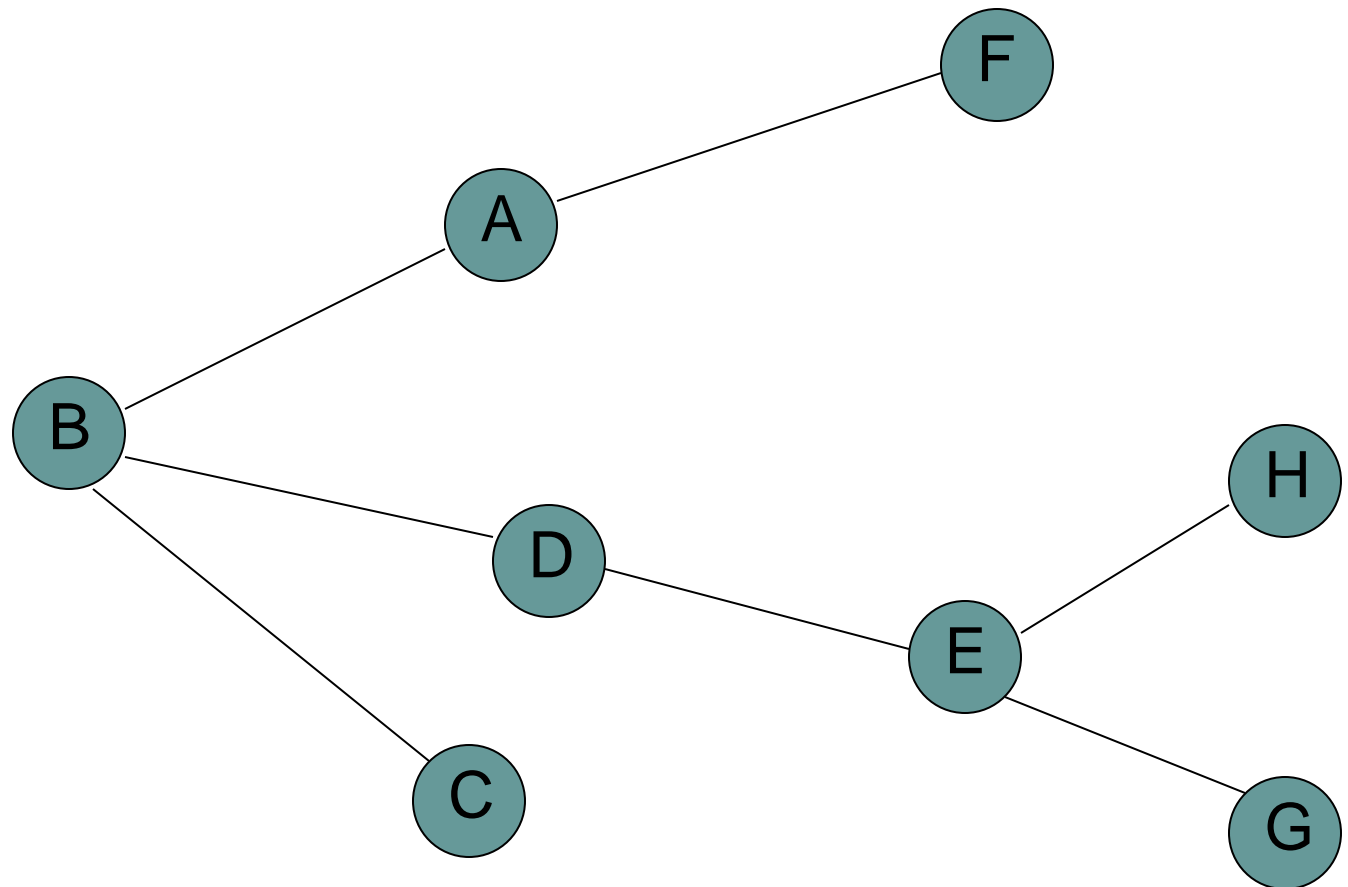
strongly  
connected



# Different types of graphs



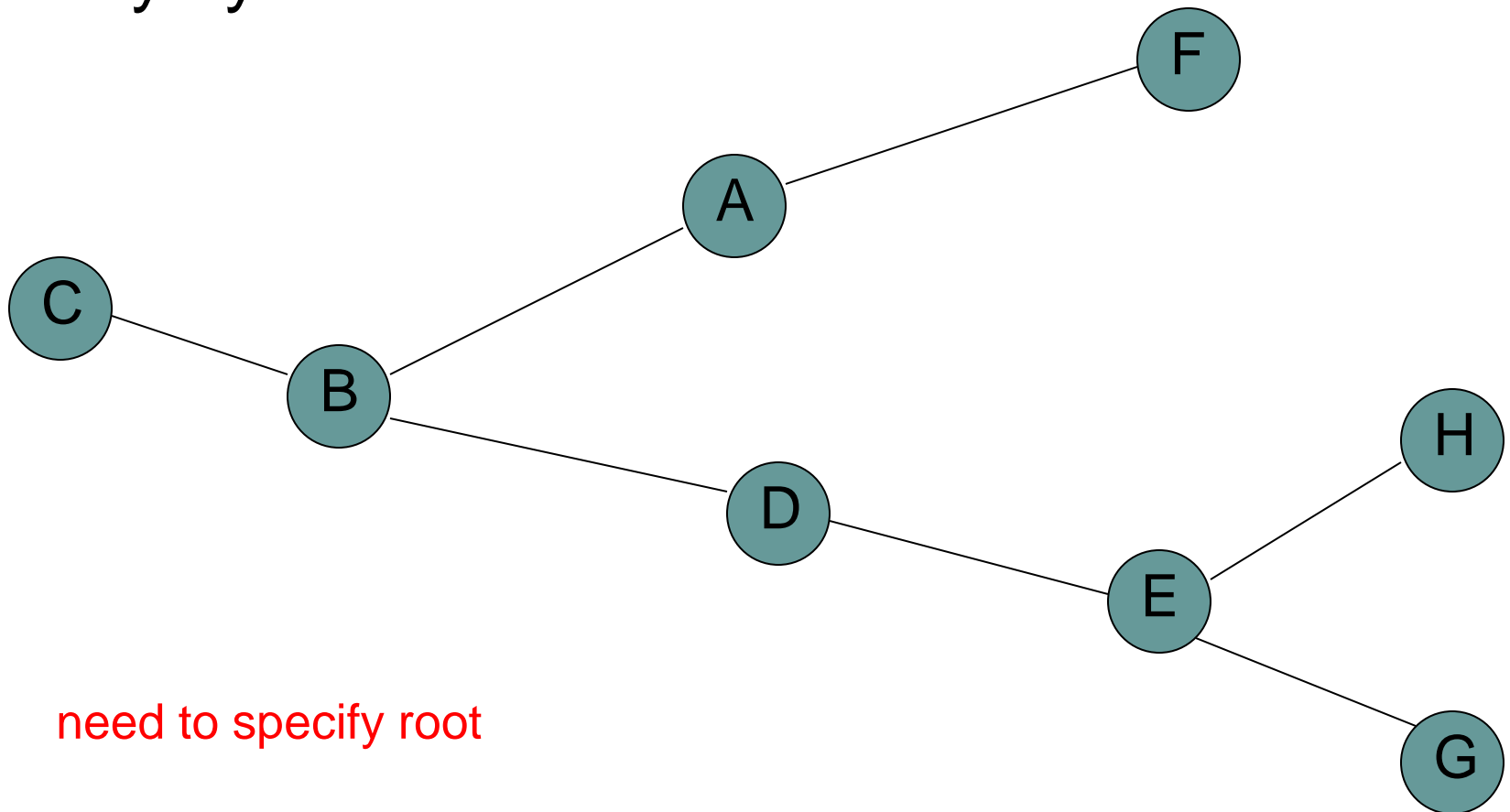
- Tree – connected, undirected graph without any cycles





# Different types of graphs

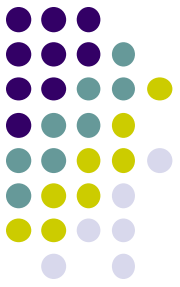
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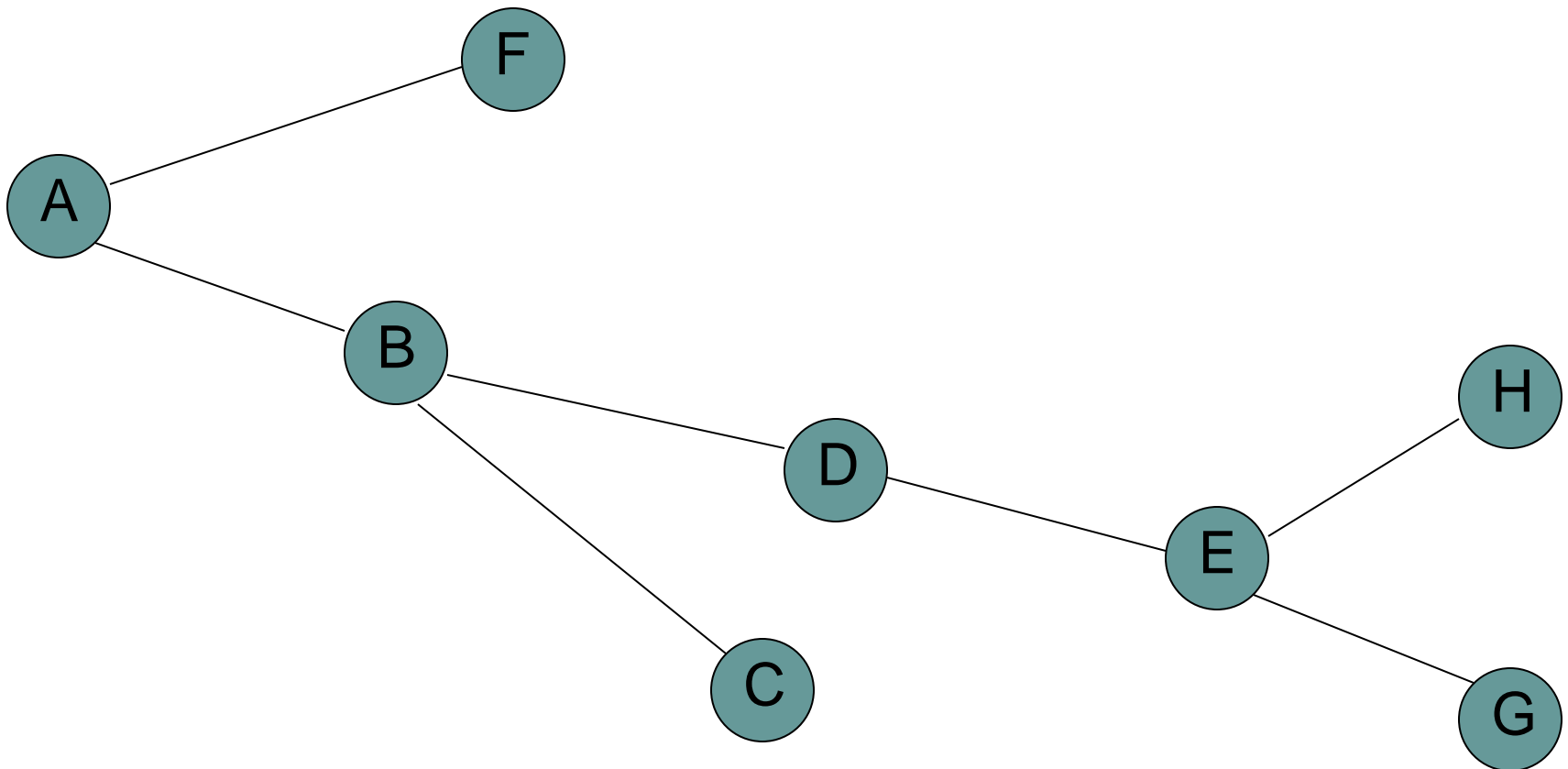
need to specify root



# Different types of graphs



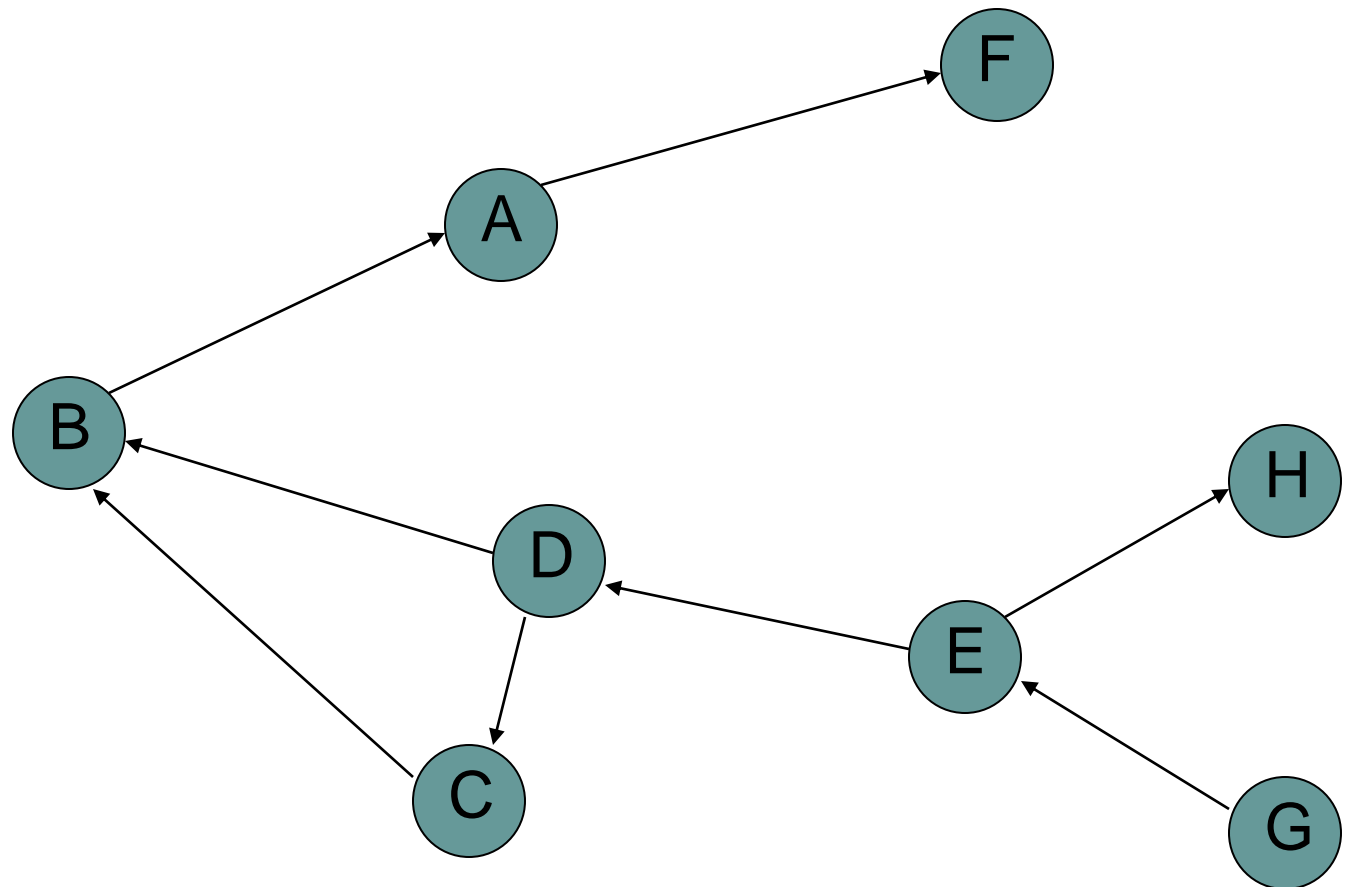
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# Different types of graphs



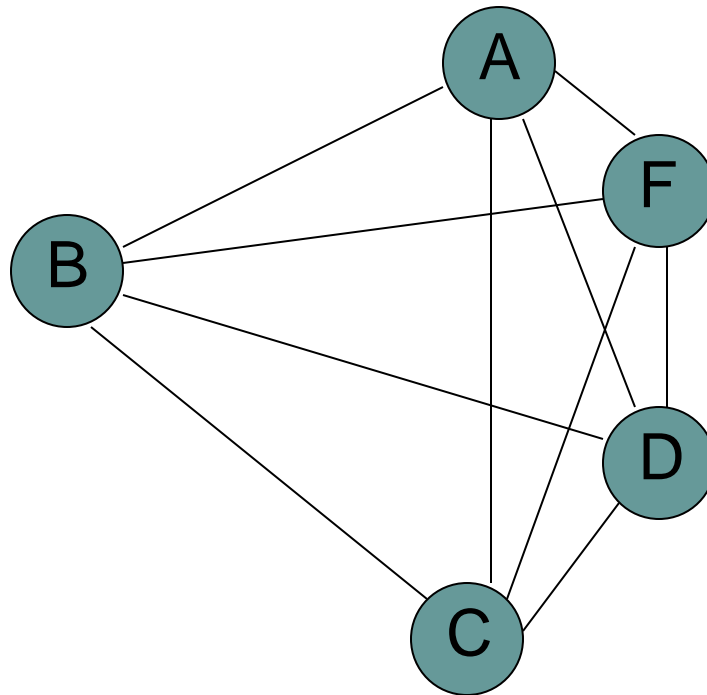
- DAG – directed, acyclic graph





# Different types of graphs

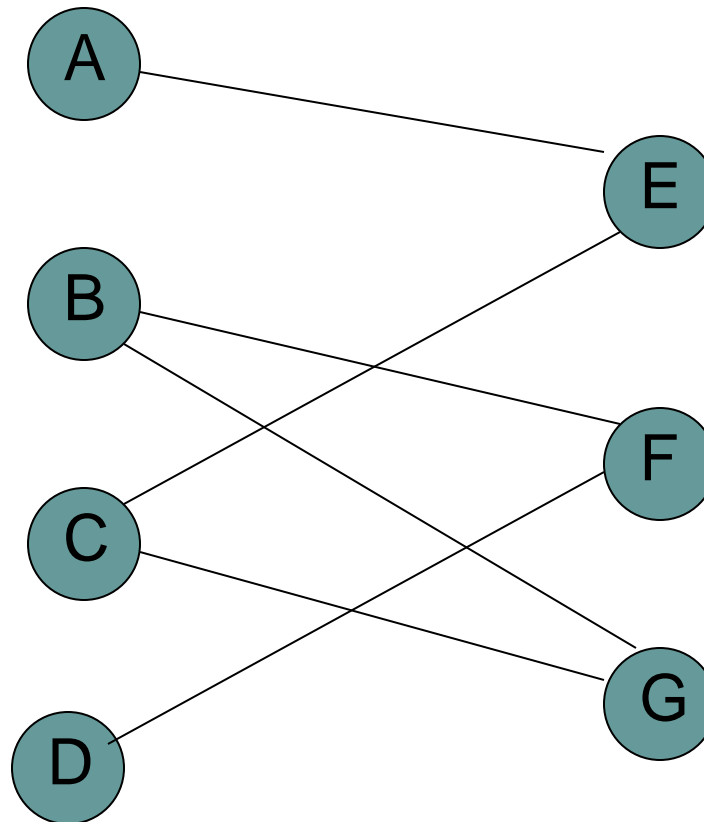
- Complete graph – an edge exists between every pair of two nodes



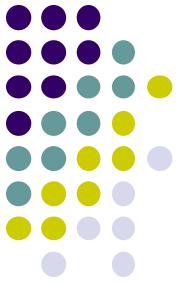
# Different types of graphs



- Bipartite graph – a graph where every vertex can be partitioned into two sets  $X$  and  $Y$  such that all edges connect a vertex  $u \in X$  and a vertex  $v \in Y$



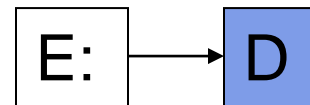
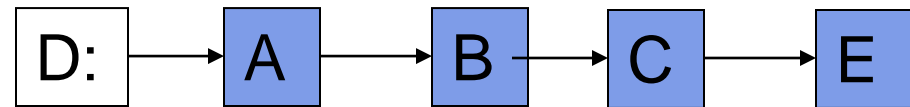
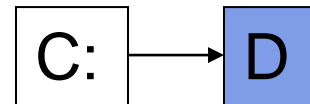
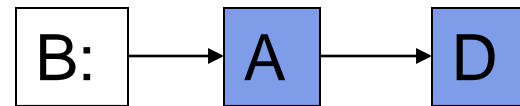
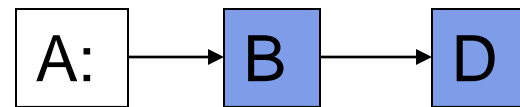
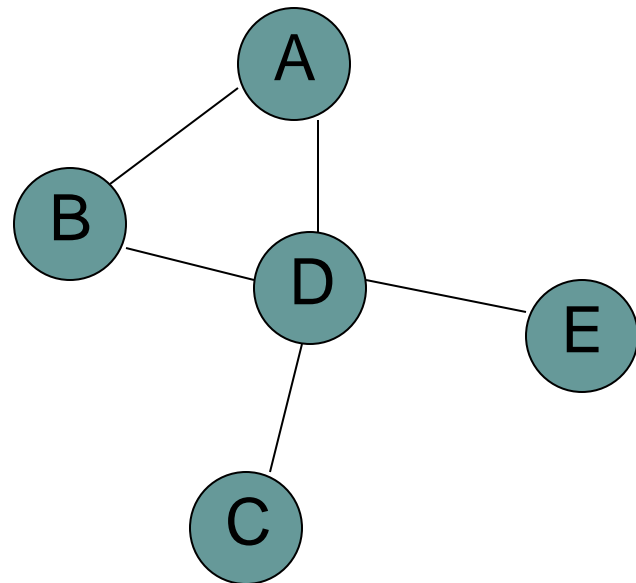
# Representing graphs





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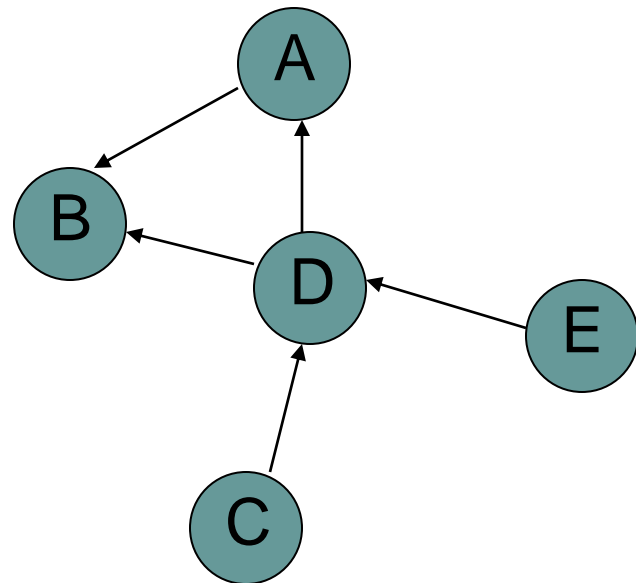
- Adjacency list – Each vertex  $u \in V$  contains an adjacency list of the set of vertices  $v$  such that there exists an edge  $(u,v) \in E$





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A: → B

B:

C: → D

D: → A → B

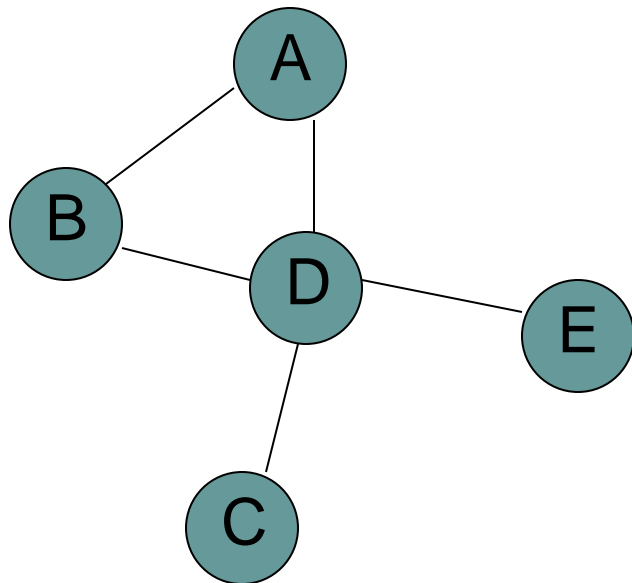
E: → D



# Representing graphs

- Adjacency matrix – A  $|V| \times |V|$  matrix  $A$  such that:

$$a_{ij} = \begin{cases} 1 & \text{if } (i, j) \in E \\ 0 & \text{otherwise} \end{cases}$$



	A	B	C	D	E
A	0	1	0	1	0
B	1	0	0	1	0
C	0	0	0	1	0
D	1	1	1	0	1
E	0	0	0	1	0

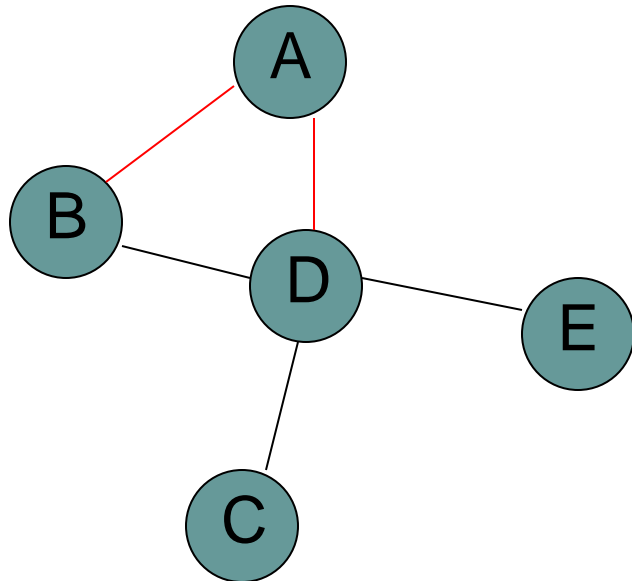




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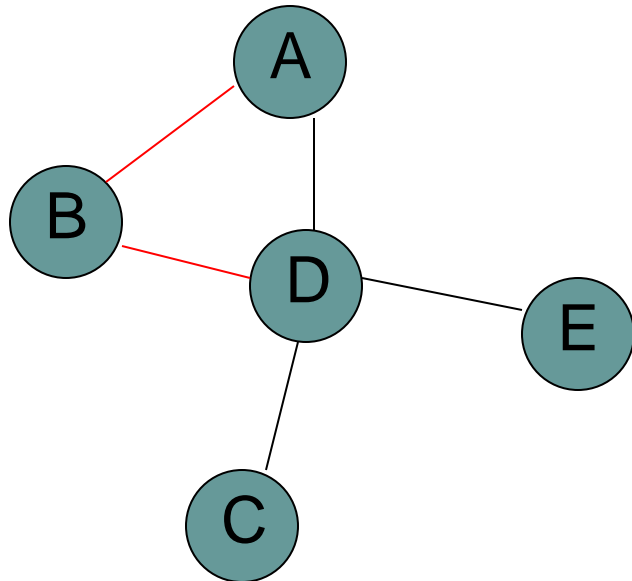
	A	B	C	D	E
A	0	1	0	1	0
B	1	0	0	1	0
C	0	0	0	1	0
D	1	1	1	0	1
E	0	0	0	1	0



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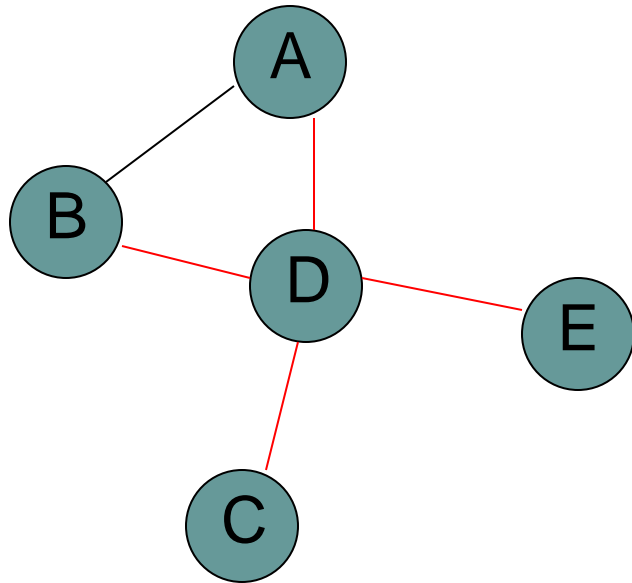
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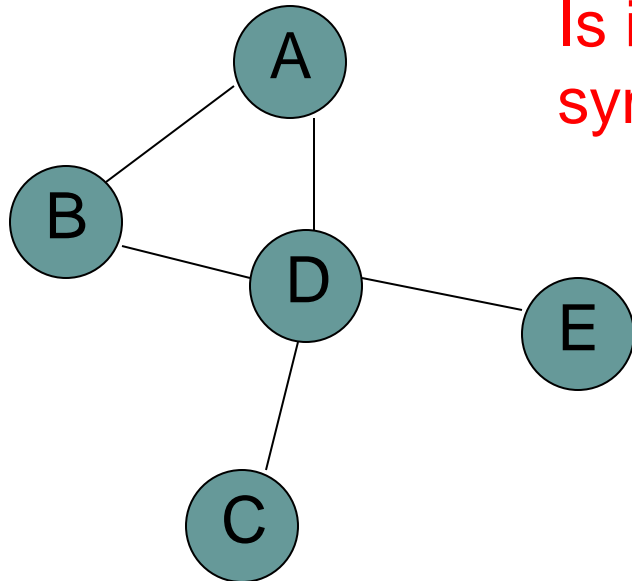
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# Representing graphs

- Adjacency matrix – A  $|V| \times |V|$  matrix A such that:

$$a_{ij} = \begin{cases} 1 & \text{if } (i, j) \in E \\ 0 & \text{otherwise} \end{cases}$$



Is it always  
symmetric?

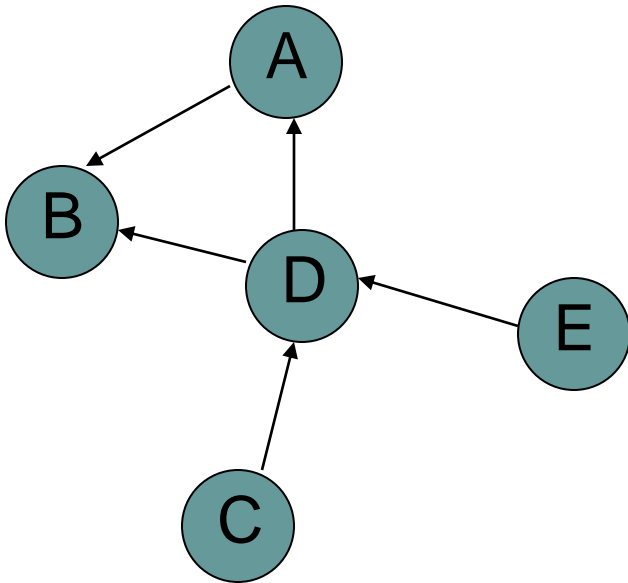
	A	B	C	D	E
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# Representing graphs

- Adjacency matrix – A  $|V| \times |V|$  matrix  $A$  such that:

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A	0	1	0	0	0
B	0	0	0	0	0
C	0	0	0	1	0
D	1	1	0	0	0
E	0	0	0	1	0

# Adjacency list vs. adjacency matrix



## Adjacency list

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- Sparse graphs (e.g. web)
- Space efficient
- Must traverse the adjacency list to discover if an edge exists

## Adjacency matrix

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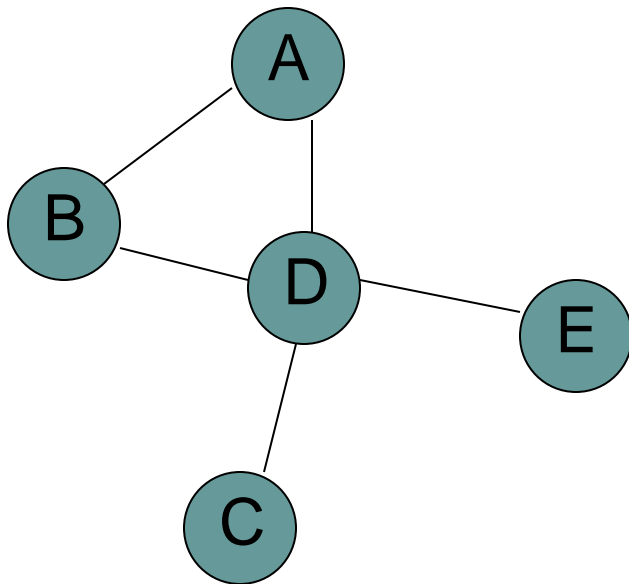
- Dense graphs
- Constant time lookup to discover if an edge exists
- simple to implement
- for non-weighted graphs, only requires boolean matrix

Can we get the best of both worlds?



# Sparse adjacency matrix

- Rather than using an adjacency list, use an adjacency hashtable

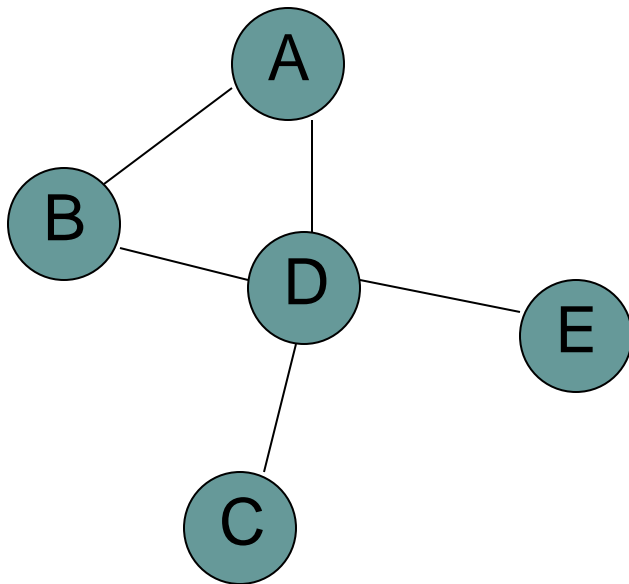


A:	hashtable [B,D]
B:	hashtable [A,D]
C:	hashtable [D]
D:	hashtable [A,B,C,E]
E:	hashtable [D]



# Sparse adjacency matrix

- Constant time lookup
- Space efficient
- Not good for dense graphs



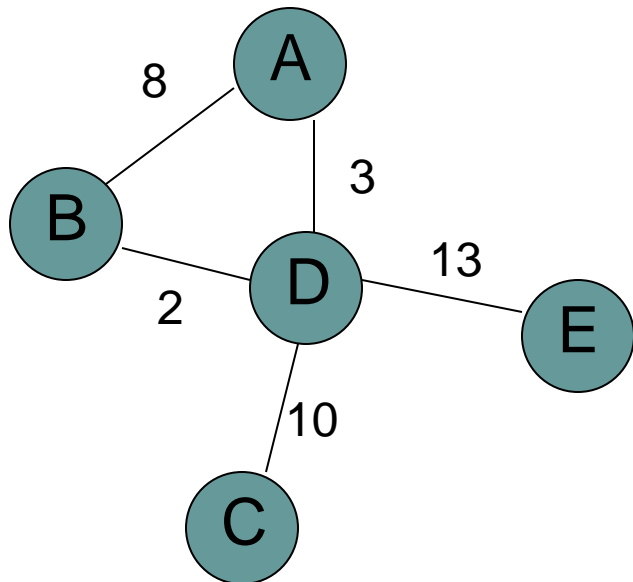
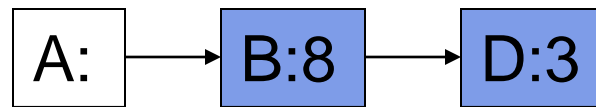
A:	hashtable [B,D]
B:	hashtable [A,D]
C:	hashtable [D]
D:	hashtable [A,B,C,E]
E:	hashtable [D]





# Weighted graphs

- Adjacency list
  - store the weight as an additional field in the list

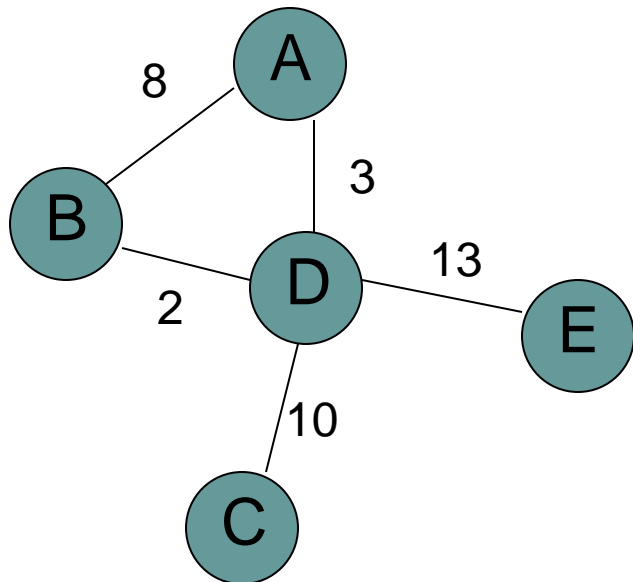




# Weighted graphs

- Adjacency matrix

$$a_{ij} = \begin{cases} \text{weight} & \text{if } (i, j) \in E \\ 0 & \text{otherwise} \end{cases}$$



	A	B	C	D	E
A	0	8	0	3	0
B	8	0	0	2	0
C	0	0	0	10	0
D	3	2	10	0	13
E	0	0	0	13	0



# Graph algorithms/questions

- Graph traversal (BFS, DFS)
- Shortest path from a to b
  - unweighted
  - weighted positive weights
  - negative/positive weights
- Minimum spanning trees
- Are all nodes in the graph connected?
- Is the graph bipartite?

# Breadth First Search (BFS) on Trees



TREEBFS( $T$ )

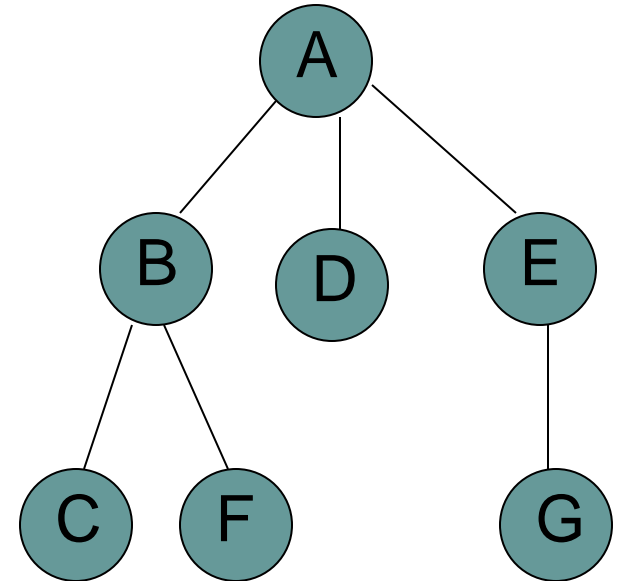
```
1  ENQUEUE( $Q$ , ROOT( $T$ ))
2  while !EMPTY( $Q$ )
3       $v \leftarrow$  DEQUEUE( $Q$ )
4      VISIT( $v$ )
5      for all  $c \in$  CHILDREN( $v$ )
6          ENQUEUE( $Q$ ,  $c$ )
```

# Tree BFS



TREEBFS( $T$ )

```
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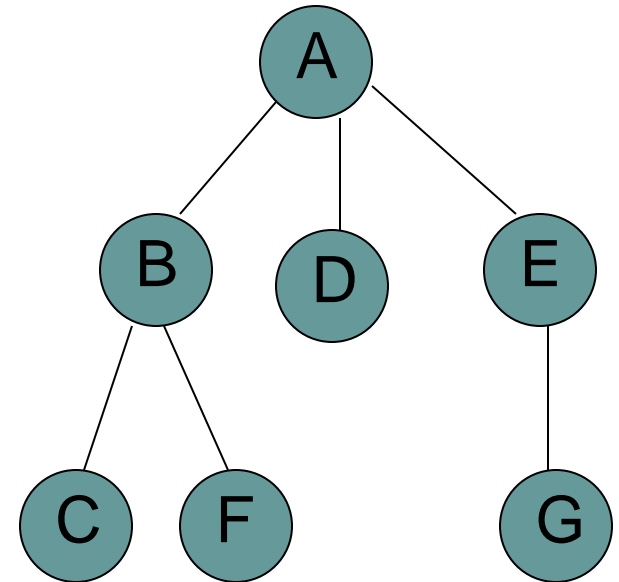
Q:

# Tree BFS



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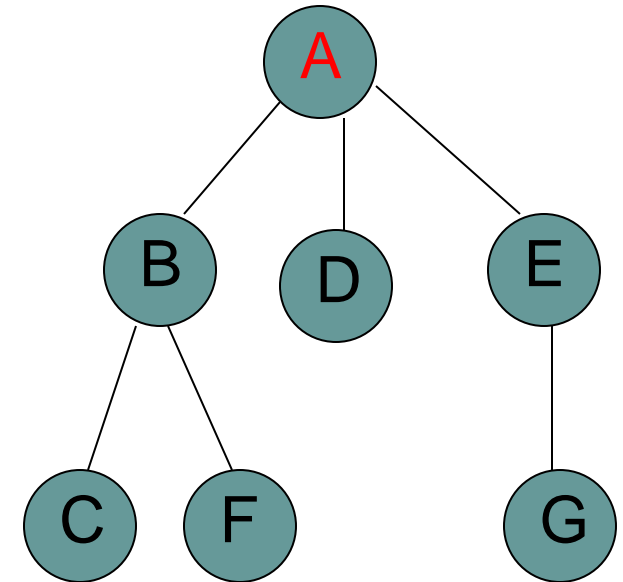
Q: **A**

# Tree BFS



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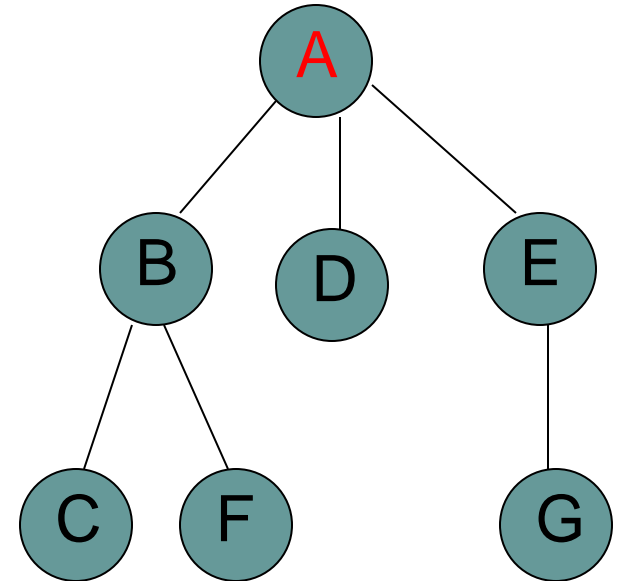
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```



Q: B, D, E

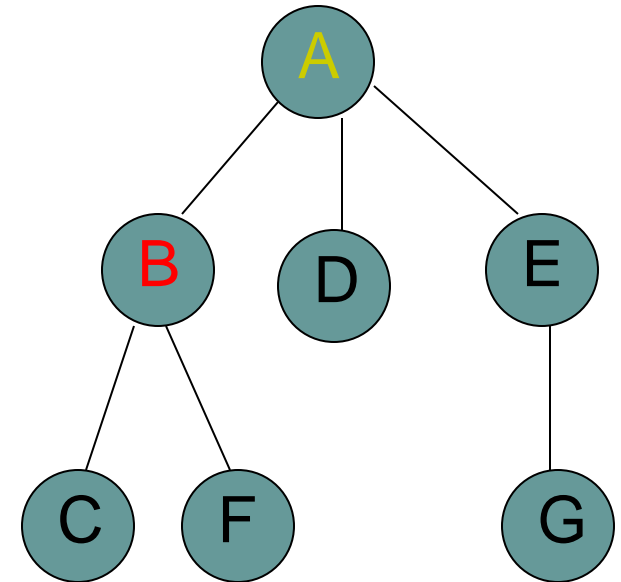


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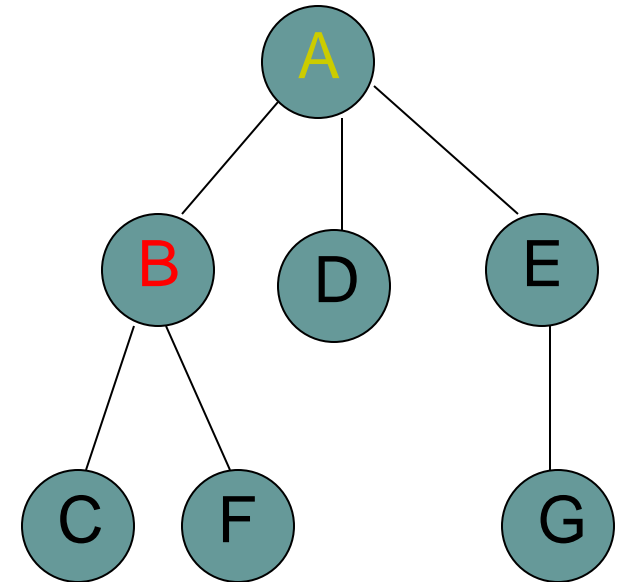
Q: D, E

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6          ENQUEUE( $Q$ ,  $c$ )
```



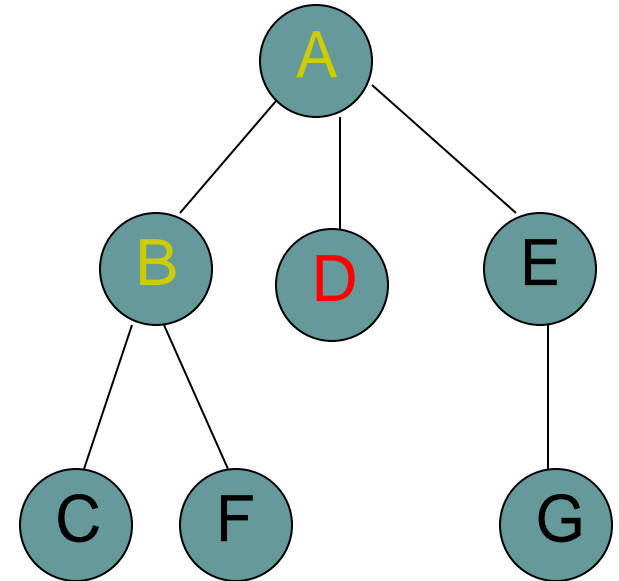
Q: D, E, C, F

# Tree BFS



TREEBFS( $T$ )

```
1  ENQUEUE( $Q$ , ROOT( $T$ ))
2  while !EMPTY( $Q$ )
3       $v \leftarrow$  DEQUEUE( $Q$ )
4      VISIT( $v$ )
5      for all  $c \in$  CHILDREN( $v$ )
6          ENQUEUE( $Q$ ,  $c$ )
```



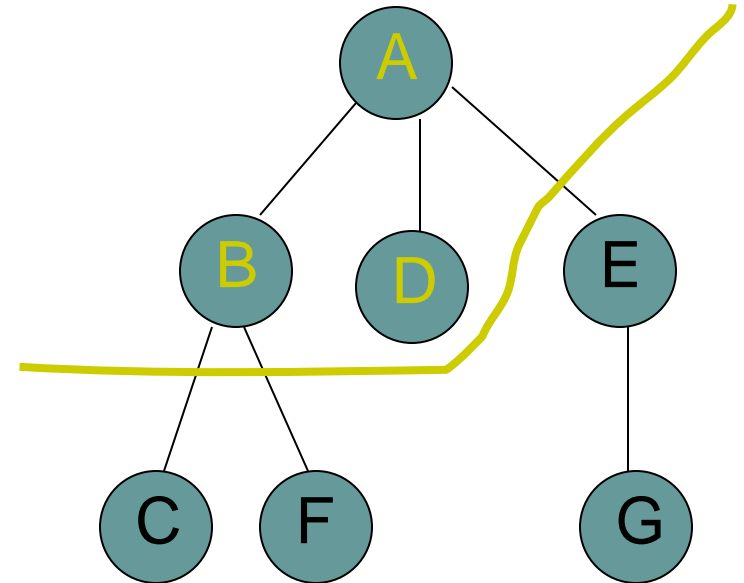
Q: E, C, F

# Tree BFS



TREEBFS( $T$ )

```
1  ENQUEUE( $Q$ , ROOT( $T$ ))
2  while !EMPTY( $Q$ )
3       $v \leftarrow$  DEQUEUE( $Q$ )
4      VISIT( $v$ )
5      for all  $c \in$  CHILDREN( $v$ )
6          ENQUEUE( $Q$ ,  $c$ )
```



Q: E, C, F

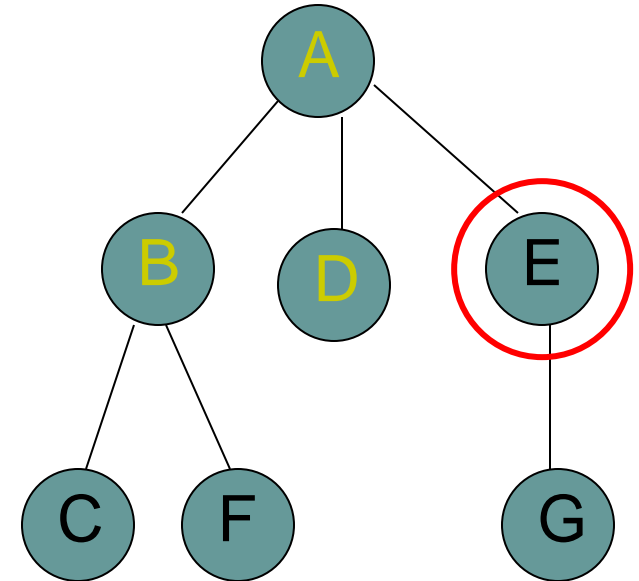
Frontier: the set of vertices  
that have been visited so far

# Tree BFS



TREEBFS( $T$ )

```
1  ENQUEUE( $Q$ , ROOT( $T$ ))
2  while !EMPTY( $Q$ )
3       $v \leftarrow$  DEQUEUE( $Q$ )
4      VISIT( $v$ )
5      for all  $c \in$  CHILDREN( $v$ )
6          ENQUEUE( $Q$ ,  $c$ )
```



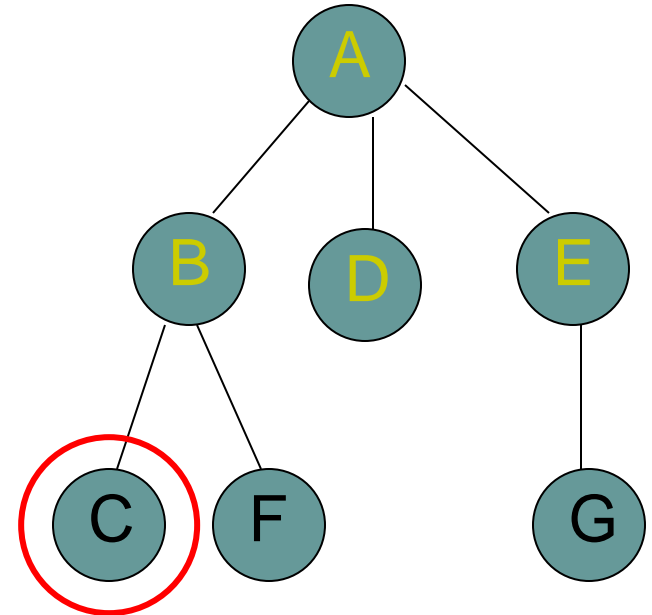
Q: C, F, G

# Tree BFS



TREEBFS( $T$ )

```
1  ENQUEUE( $Q$ , ROOT( $T$ ))
2  while !EMPTY( $Q$ )
3       $v \leftarrow$  DEQUEUE( $Q$ )
4      VISIT( $v$ )
5      for all  $c \in$  CHILDREN( $v$ )
6          ENQUEUE( $Q$ ,  $c$ )
```



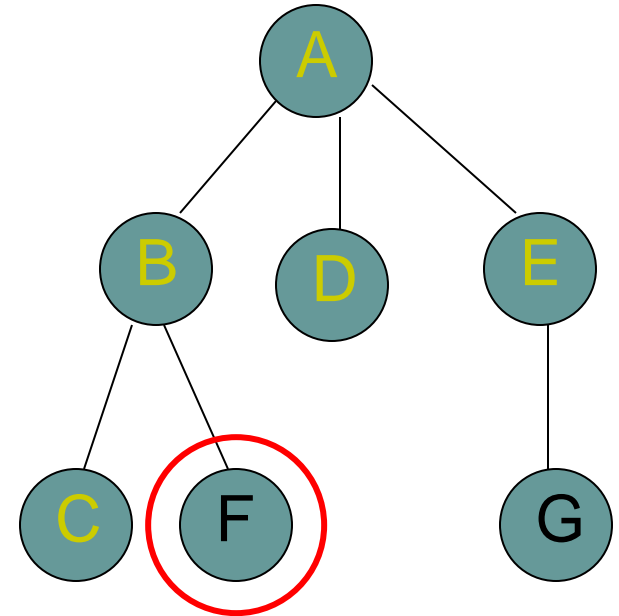
Q: F, G

# Tree BFS



TREEBFS( $T$ )

```
1  ENQUEUE( $Q$ , ROOT( $T$ ))
2  while !EMPTY( $Q$ )
3       $v \leftarrow$  DEQUEUE( $Q$ )
4      VISIT( $v$ )
5      for all  $c \in$  CHILDREN( $v$ )
6          ENQUEUE( $Q$ ,  $c$ )
```



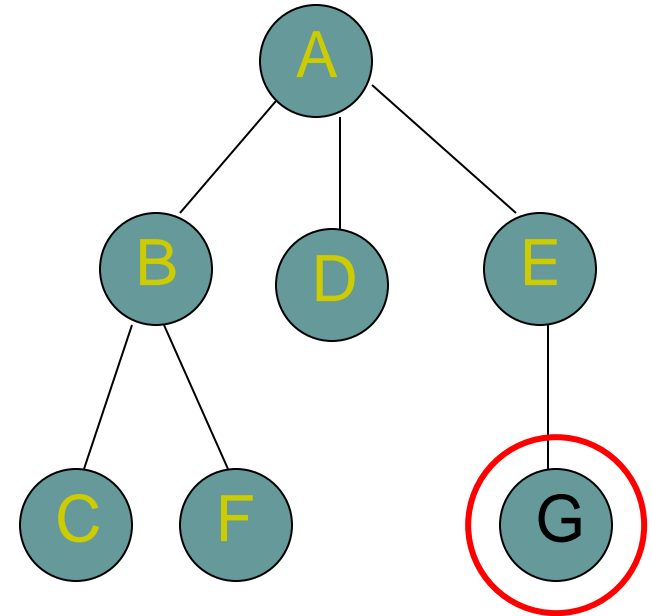
Q: G

# Tree BFS



TREEBFS( $T$ )

```
1  ENQUEUE( $Q$ , ROOT( $T$ ))
2  while !EMPTY( $Q$ )
3       $v \leftarrow$  DEQUEUE( $Q$ )
4      VISIT( $v$ )
5      for all  $c \in$  CHILDREN( $v$ )
6          ENQUEUE( $Q$ ,  $c$ )
```



Q: Empty





# Tree BFS

- What order does the algorithm traverse the nodes?
- BFS traversal visits the nodes in increasing distance from the root

```
TREEBFS( $T$ )  
1  ENQUEUE( $Q$ , ROOT( $T$ ))  
2  while !EMPTY( $Q$ )  
3       $v \leftarrow$  DEQUEUE( $Q$ )  
4      VISIT( $v$ )  
5      for all  $c \in$  CHILDREN( $v$ )  
6          ENQUEUE( $Q$ ,  $c$ )
```



# Tree BFS

- Does it visit all of the nodes?

```
TREEBFS( $T$ )  
1  ENQUEUE( $Q$ , ROOT( $T$ ))  
2  while !EMPTY( $Q$ )  
3       $v \leftarrow$  DEQUEUE( $Q$ )  
4      VISIT( $v$ )  
5      for all  $c \in$  CHILDREN( $v$ )  
6          ENQUEUE( $Q$ ,  $c$ )
```



# Running time of Tree BFS

- Adjacency list
  - How many times does it visit each vertex?
  - How many times is each edge traversed?
  - $O(|V|+|E|)$
- Adjacency matrix
  - For each vertex visited, how much work is done?
  - $O(|V|^2)$

```
TREEBFS( $T$ )  
1  ENQUEUE( $Q$ , ROOT( $T$ ))  
2  while !EMPTY( $Q$ )  
3       $v \leftarrow$  DEQUEUE( $Q$ )  
4      VISIT( $v$ )  
5      for all  $c \in$  CHILDREN( $v$ )  
6          ENQUEUE( $Q$ ,  $c$ )
```



# BFS Recursively

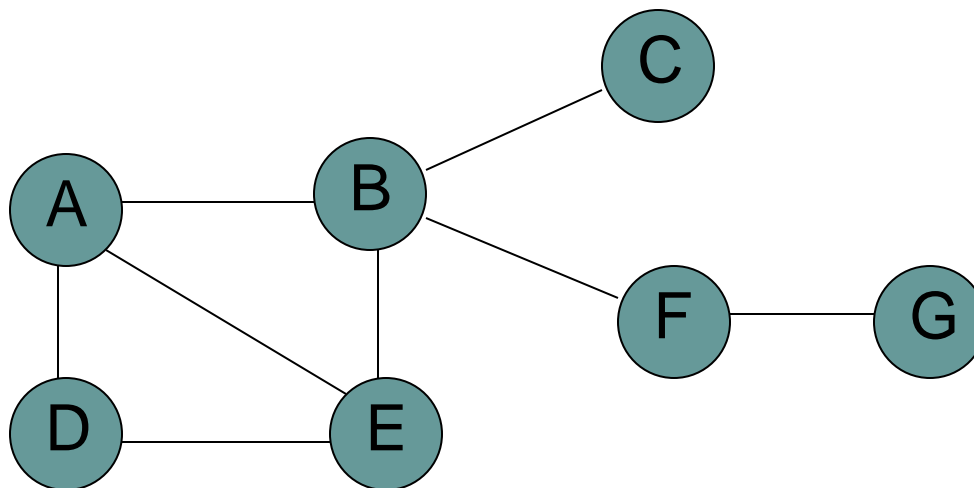
Hard to do!

```
TREEBFS( $T$ )
1  ENQUEUE( $Q$ , ROOT( $T$ ))
2  while !EMPTY( $Q$ )
3       $v \leftarrow$  DEQUEUE( $Q$ )
4      VISIT( $v$ )
5      for all  $c \in$  CHILDREN( $v$ )
6          ENQUEUE( $Q$ ,  $c$ )
```



# BFS for graphs

- What needs to change for graphs?
- Need to make sure we don't visit a node multiple times

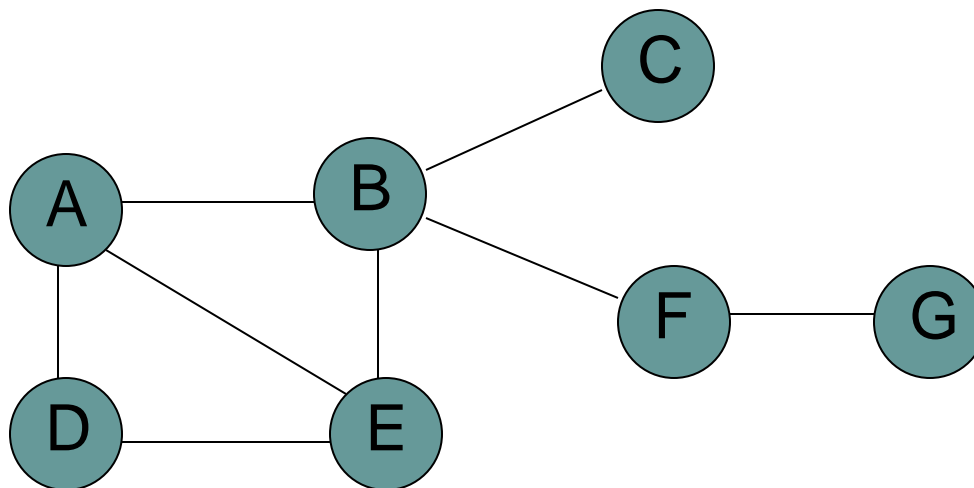




BFS( $G, s$ )

```
1  for each  $v \in V$ 
2       $dist[v] \leftarrow \infty$ 
3   $dist[s] = 0$ 
4  ENQUEUE( $Q, s$ )
5  while !EMPTY( $Q$ )
6       $u \leftarrow$  DEQUEUE( $Q$ )
7      VISIT( $u$ )
8      for each edge  $(u, v) \in E$ 
9          if  $dist[v] = \infty$ 
10             ENQUEUE( $Q, v$ )
11              $dist[v] \leftarrow dist[u] + 1$ 
```

distance variable keeps track of how far from the starting node and whether we've seen the node yet

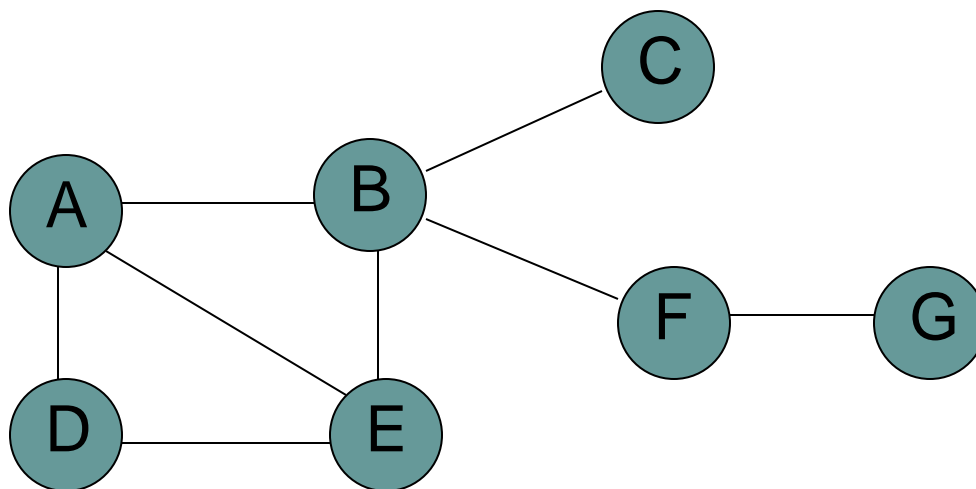


BFS( $G, s$ )

```
1  for each  $v \in V$ 
2       $dist[v] = \infty$ 
3   $dist[s] = 0$ 
4  ENQUEUE( $Q, s$ )
5  while !EMPTY( $Q$ )
6       $u \leftarrow$  DEQUEUE( $Q$ )
7      VISIT( $u$ )
8      for each edge  $(u, v) \in E$ 
9          if  $dist[v] = \infty$ 
10             ENQUEUE( $Q, v$ )
11              $dist[v] \leftarrow dist[u] + 1$ 
```

TREEBFS( $T$ )

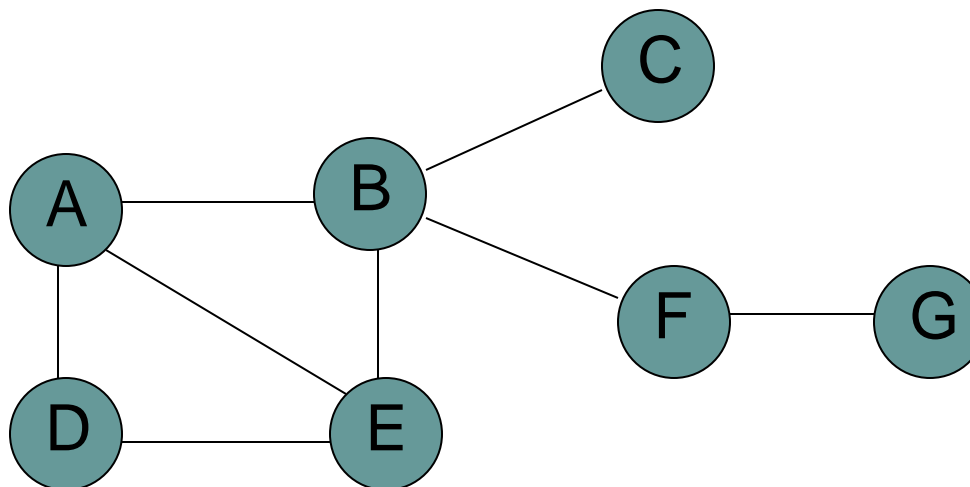
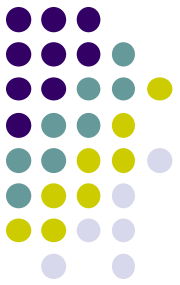
```
1  ENQUEUE( $Q, \text{Root}(T)$ )
2  while !EMPTY( $Q$ )
3       $v \leftarrow$  DEQUEUE( $Q$ )
4      VISIT( $v$ )
5      for all  $c \in \text{CHILDREN}(v)$ 
6          ENQUEUE( $Q, c$ )
```



BFS( $G, s$ )

```
1  for each  $v \in V$ 
2       $dist[v] = \infty$ 
3   $dist[s] = 0$ 
4  ENQUEUE( $Q, s$ )
5  while !EMPTY( $Q$ )
6       $u \leftarrow$  DEQUEUE( $Q$ )
7      VISIT( $u$ )
8      for each edge  $(u, v) \in E$ 
9          if  $dist[v] = \infty$ 
10             ENQUEUE( $Q, v$ )
11              $dist[v] \leftarrow dist[u] + 1$ 
```

set all nodes  
as unseen



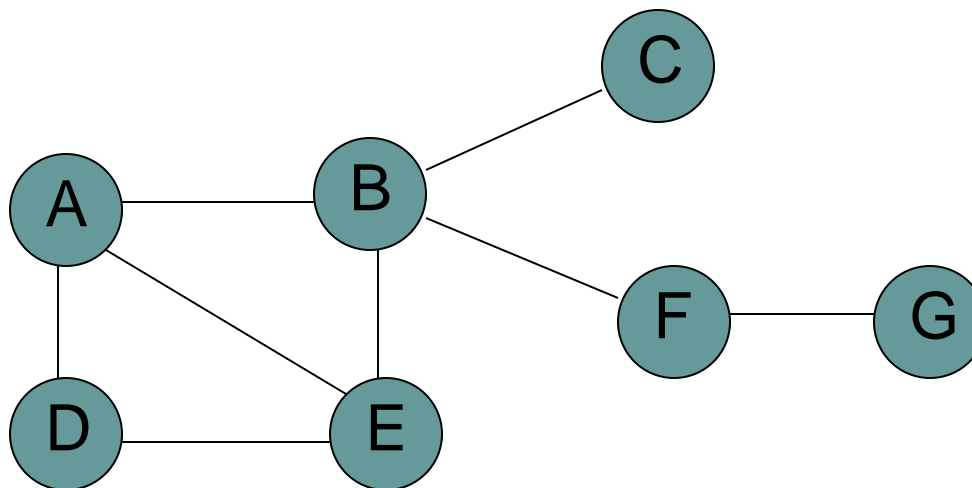




BFS( $G, s$ )

```
1  for each  $v \in V$ 
2       $dist[v] = \infty$ 
3   $dist[s] = 0$ 
4  ENQUEUE( $Q, s$ )
5  while !EMPTY( $Q$ )
6       $u \leftarrow$  DEQUEUE( $Q$ )
7      VISIT( $u$ )
8      for each edge  $(u, v) \in E$ 
9          if  $dist[v] = \infty$ 
10             ENQUEUE( $Q, v$ )
11              $dist[v] \leftarrow dist[u] + 1$ 
```

check if the node  
has been seen

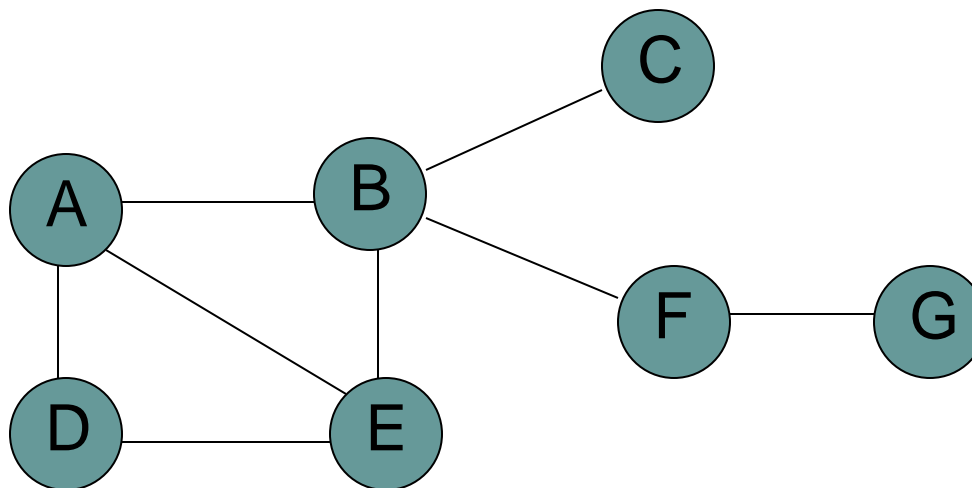




BFS( $G, s$ )

```
1  for each  $v \in V$ 
2       $dist[v] = \infty$ 
3   $dist[s] = 0$ 
4  ENQUEUE( $Q, s$ )
5  while !EMPTY( $Q$ )
6       $u \leftarrow$  DEQUEUE( $Q$ )
7      VISIT( $u$ )
8      for each edge  $(u, v) \in E$ 
9          if  $dist[v] = \infty$ 
10             ENQUEUE( $Q, v$ )
11              $dist[v] \leftarrow dist[u] + 1$ 
```

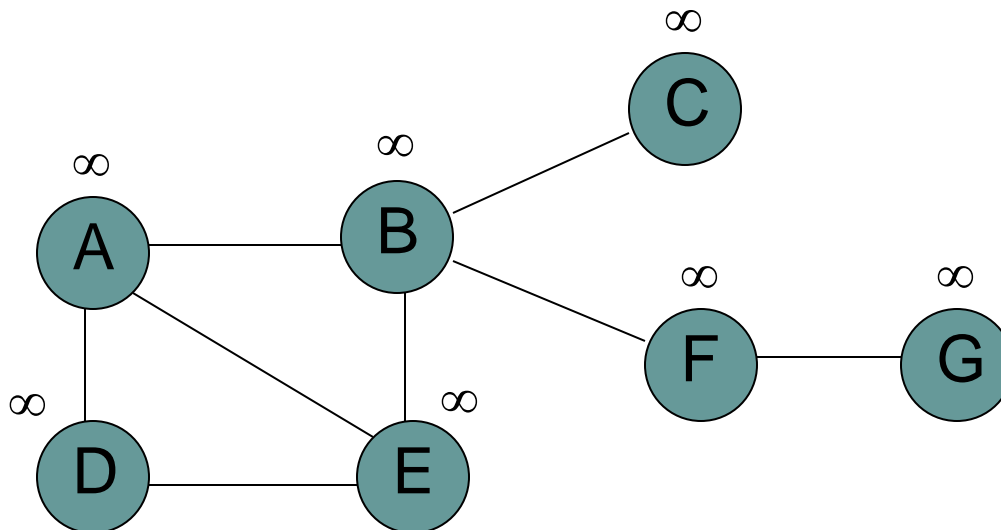
set the node as seen  
and record distance





BFS( $G, s$ )

```
1  for each  $v \in V$ 
2       $dist[v] = \infty$ 
3   $dist[s] = 0$ 
4  ENQUEUE( $Q, s$ )
5  while !EMPTY( $Q$ )
6       $u \leftarrow$  DEQUEUE( $Q$ )
7      VISIT( $u$ )
8      for each edge  $(u, v) \in E$ 
9          if  $dist[v] = \infty$ 
10             ENQUEUE( $Q, v$ )
11              $dist[v] \leftarrow dist[u] + 1$ 
```

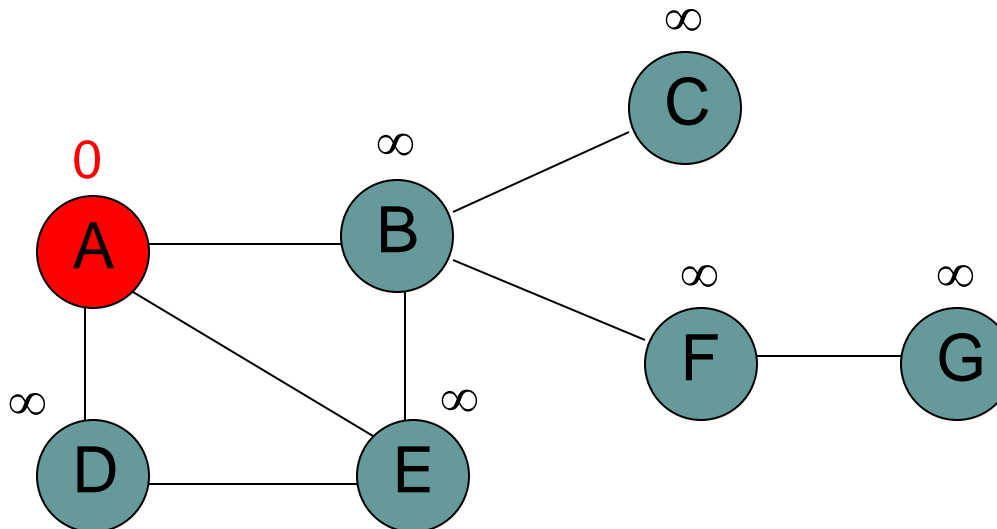




BFS( $G, s$ )

```
1  for each  $v \in V$ 
2       $dist[v] = \infty$ 
3   $dist[s] = 0$ 
4  ENQUEUE( $Q, s$ )
5  while !EMPTY( $Q$ )
6       $u \leftarrow$  DEQUEUE( $Q$ )
7      VISIT( $u$ )
8      for each edge  $(u, v) \in E$ 
9          if  $dist[v] = \infty$ 
10             ENQUEUE( $Q, v$ )
11              $dist[v] \leftarrow dist[u] + 1$ 
```

Q: **A**

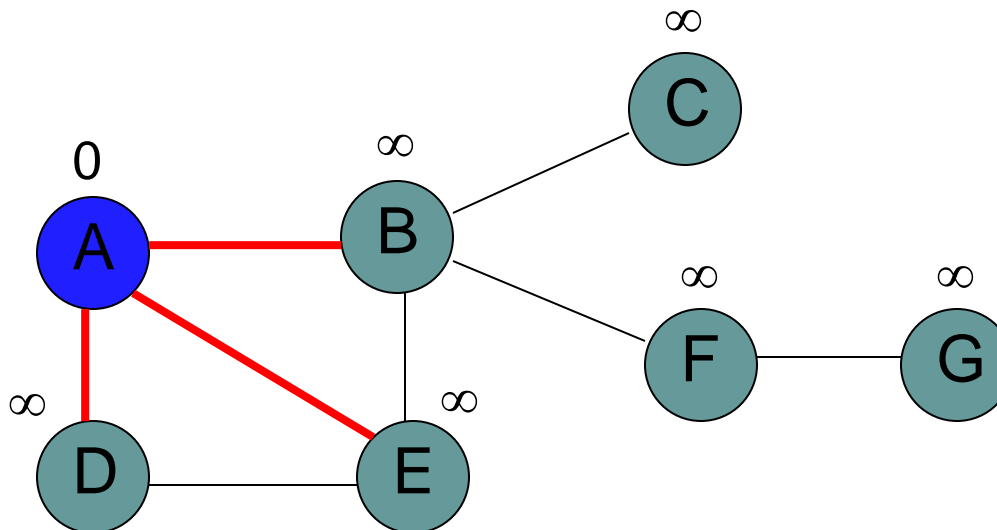




BFS( $G, s$ )

```
1  for each  $v \in V$ 
2       $dist[v] = \infty$ 
3   $dist[s] = 0$ 
4  ENQUEUE( $Q, s$ )
5  while !EMPTY( $Q$ )
6       $u \leftarrow$  DEQUEUE( $Q$ )
7      VISIT( $u$ )
8      for each edge  $(u, v) \in E$ 
9          if  $dist[v] = \infty$ 
10             ENQUEUE( $Q, v$ )
11              $dist[v] \leftarrow dist[u] + 1$ 
```

Q:

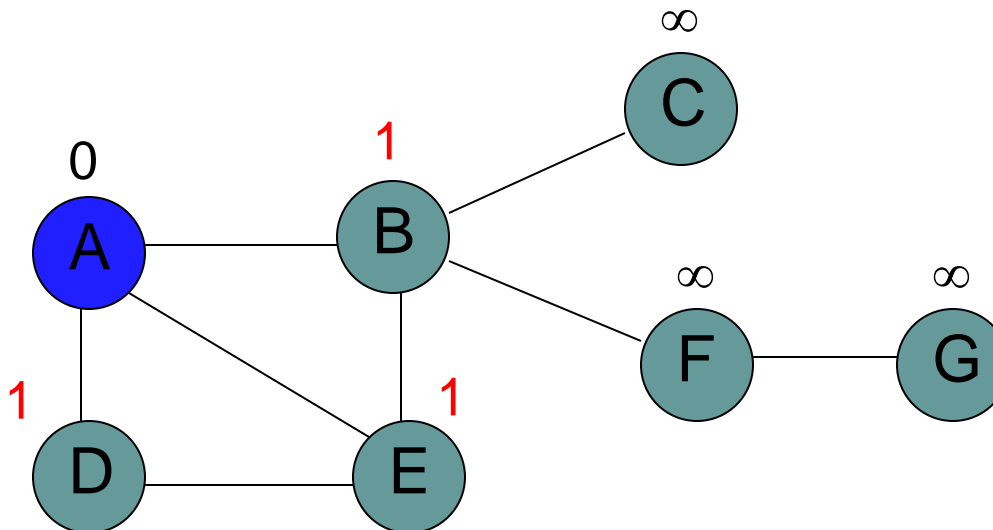




BFS( $G, s$ )

```
1  for each  $v \in V$ 
2       $dist[v] = \infty$ 
3   $dist[s] = 0$ 
4  ENQUEUE( $Q, s$ )
5  while !EMPTY( $Q$ )
6       $u \leftarrow$  DEQUEUE( $Q$ )
7      VISIT( $u$ )
8      for each edge  $(u, v) \in E$ 
9          if  $dist[v] = \infty$ 
10             ENQUEUE( $Q, v$ )
11              $dist[v] \leftarrow dist[u] + 1$ 
```

Q: D, E, B

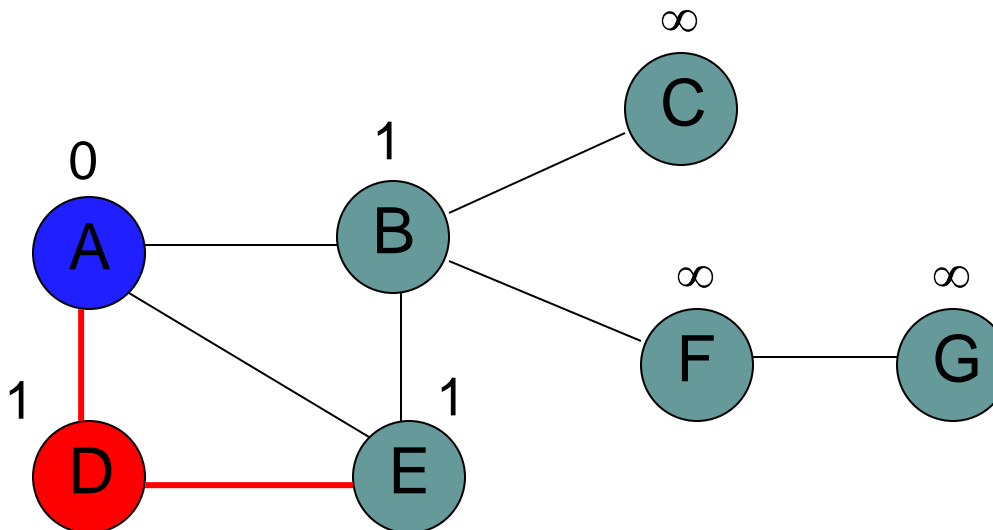




BFS( $G, s$ )

```
1  for each  $v \in V$ 
2       $dist[v] = \infty$ 
3   $dist[s] = 0$ 
4  ENQUEUE( $Q, s$ )
5  while !EMPTY( $Q$ )
6       $u \leftarrow$  DEQUEUE( $Q$ )
7      VISIT( $u$ )
8      for each edge  $(u, v) \in E$ 
9          if  $dist[v] = \infty$ 
10             ENQUEUE( $Q, v$ )
11              $dist[v] \leftarrow dist[u] + 1$ 
```

Q: E, B

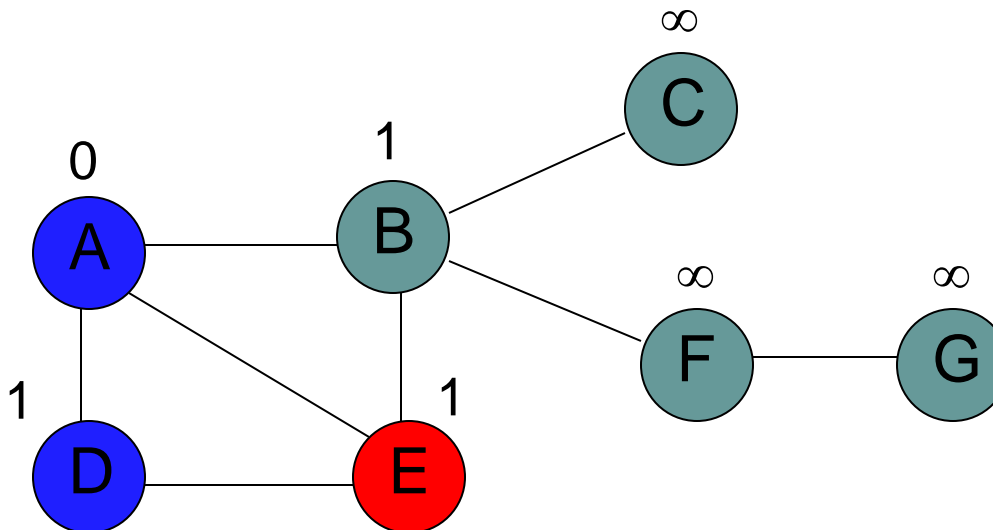




BFS( $G, s$ )

```
1  for each  $v \in V$ 
2       $dist[v] = \infty$ 
3   $dist[s] = 0$ 
4  ENQUEUE( $Q, s$ )
5  while !EMPTY( $Q$ )
6       $u \leftarrow$  DEQUEUE( $Q$ )
7      VISIT( $u$ )
8      for each edge  $(u, v) \in E$ 
9          if  $dist[v] = \infty$ 
10             ENQUEUE( $Q, v$ )
11              $dist[v] \leftarrow dist[u] + 1$ 
```

Q: B



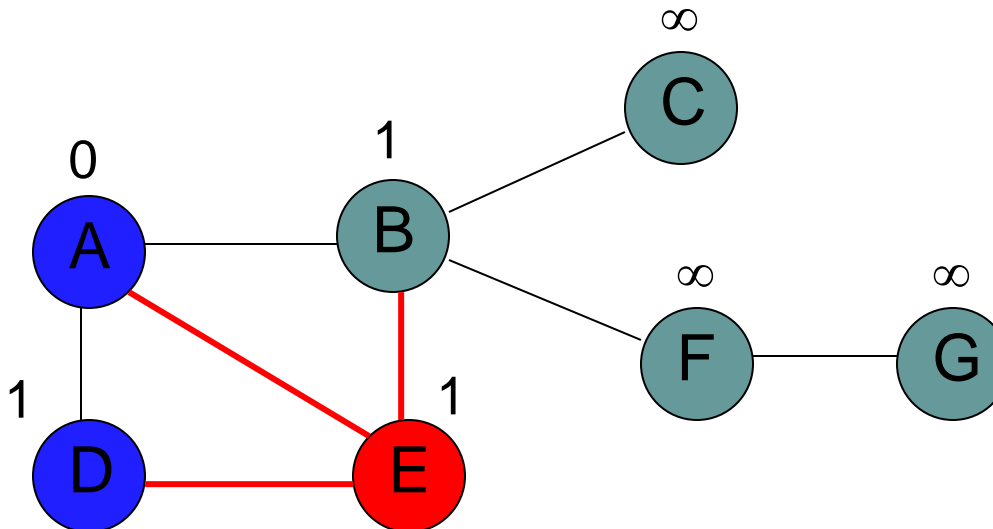




BFS( $G, s$ )

```
1  for each  $v \in V$ 
2       $dist[v] = \infty$ 
3   $dist[s] = 0$ 
4  ENQUEUE( $Q, s$ )
5  while !EMPTY( $Q$ )
6       $u \leftarrow$  DEQUEUE( $Q$ )
7      VISIT( $u$ )
8      for each edge  $(u, v) \in E$ 
9          if  $dist[v] = \infty$ 
10             ENQUEUE( $Q, v$ )
11              $dist[v] \leftarrow dist[u] + 1$ 
```

Q: B

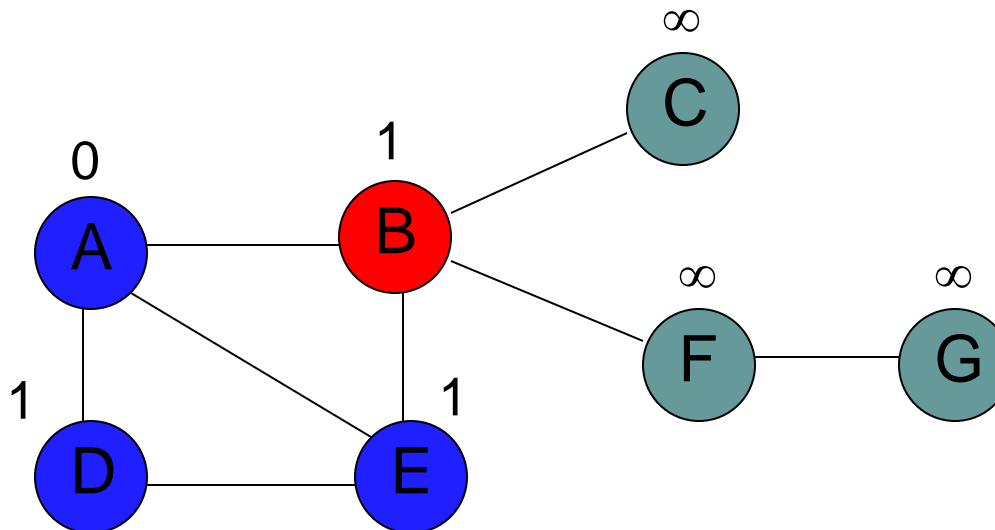




BFS( $G, s$ )

```
1  for each  $v \in V$ 
2       $dist[v] = \infty$ 
3   $dist[s] = 0$ 
4  ENQUEUE( $Q, s$ )
5  while !EMPTY( $Q$ )
6       $u \leftarrow$  DEQUEUE( $Q$ )
7      VISIT( $u$ )
8      for each edge  $(u, v) \in E$ 
9          if  $dist[v] = \infty$ 
10             ENQUEUE( $Q, v$ )
11              $dist[v] \leftarrow dist[u] + 1$ 
```

Q:

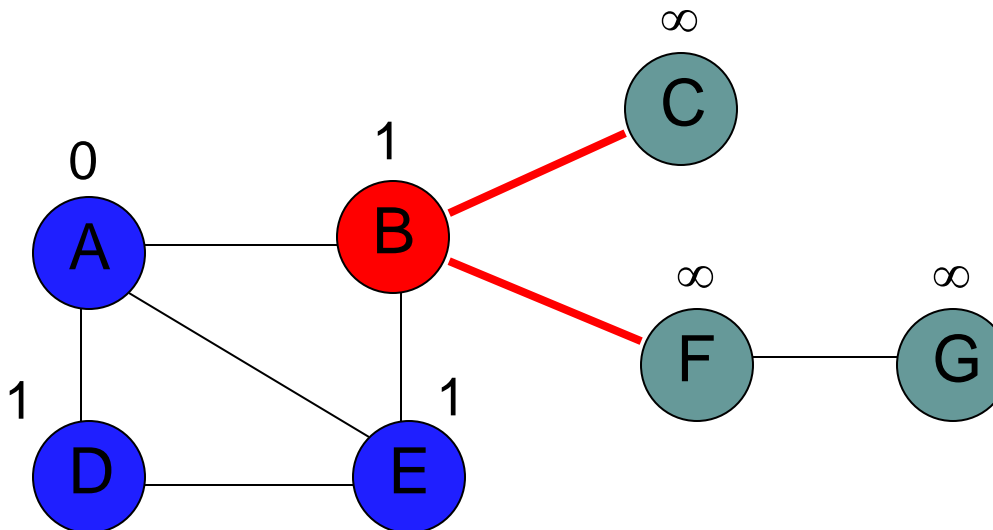




BFS( $G, s$ )

```
1  for each  $v \in V$ 
2       $dist[v] = \infty$ 
3   $dist[s] = 0$ 
4  ENQUEUE( $Q, s$ )
5  while !EMPTY( $Q$ )
6       $u \leftarrow$  DEQUEUE( $Q$ )
7      VISIT( $u$ )
8      for each edge  $(u, v) \in E$ 
9          if  $dist[v] = \infty$ 
10             ENQUEUE( $Q, v$ )
11              $dist[v] \leftarrow dist[u] + 1$ 
```

Q:

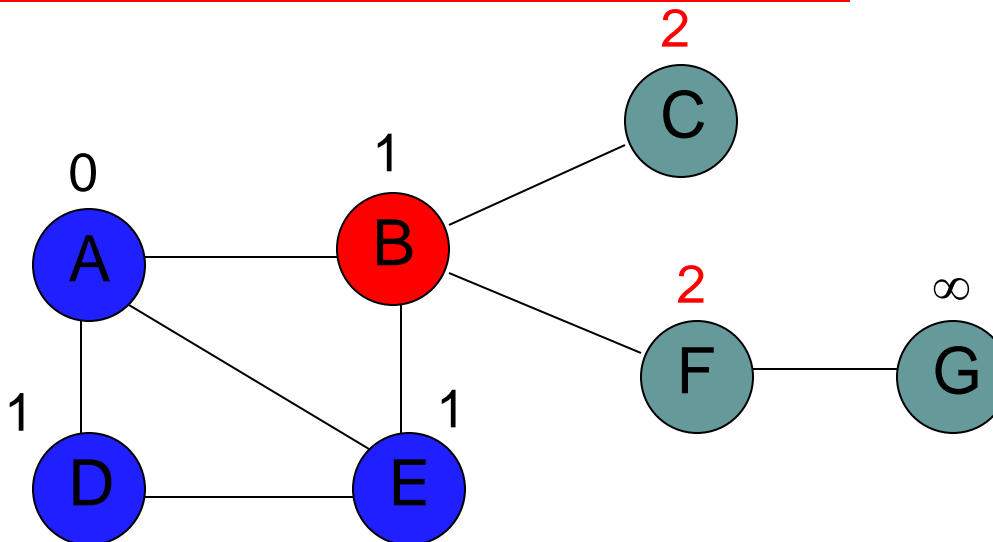




BFS( $G, s$ )

```
1  for each  $v \in V$ 
2       $dist[v] = \infty$ 
3   $dist[s] = 0$ 
4  ENQUEUE( $Q, s$ )
5  while !EMPTY( $Q$ )
6       $u \leftarrow$  DEQUEUE( $Q$ )
7      VISIT( $u$ )
8      for each edge  $(u, v) \in E$ 
9          if  $dist[v] = \infty$ 
10             ENQUEUE( $Q, v$ )
11              $dist[v] \leftarrow dist[u] + 1$ 
```

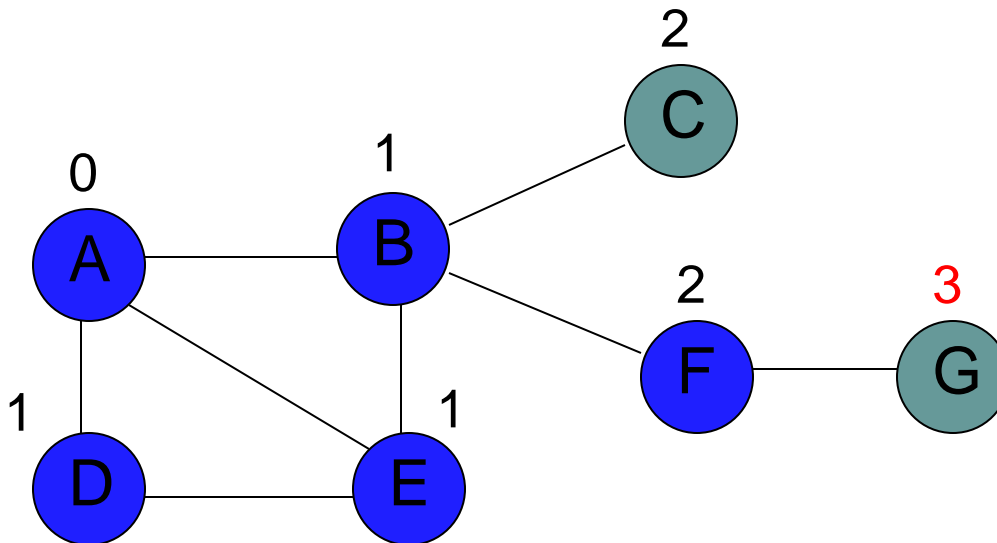
Q: F, C





BFS( $G, s$ )

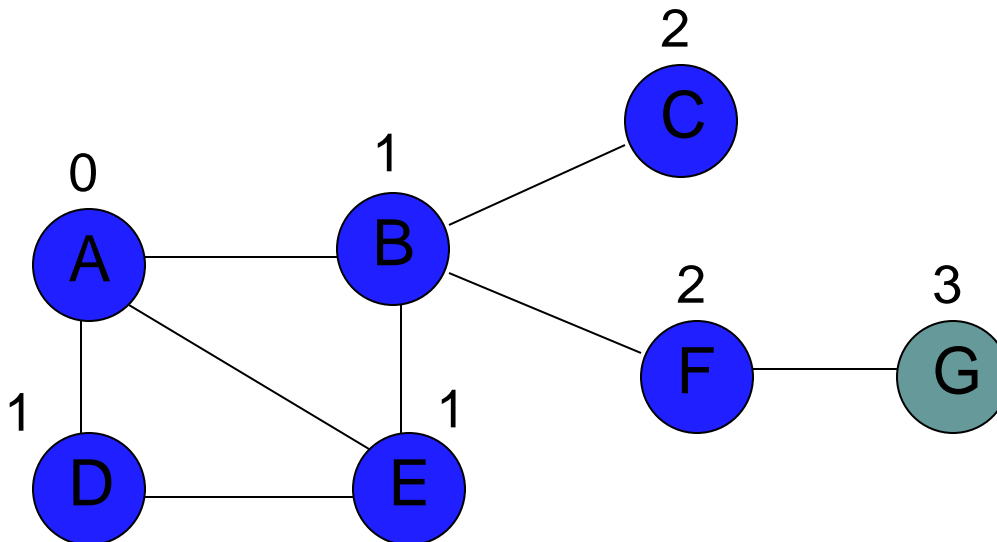
```
1  for each  $v \in V$ 
2       $dist[v] = \infty$ 
3   $dist[s] = 0$ 
4  ENQUEUE( $Q, s$ )
5  while !EMPTY( $Q$ )
6       $u \leftarrow$  DEQUEUE( $Q$ )
7      VISIT( $u$ )
8      for each edge  $(u, v) \in E$ 
9          if  $dist[v] = \infty$ 
10             ENQUEUE( $Q, v$ )
11              $dist[v] \leftarrow dist[u] + 1$ 
```





BFS( $G, s$ )

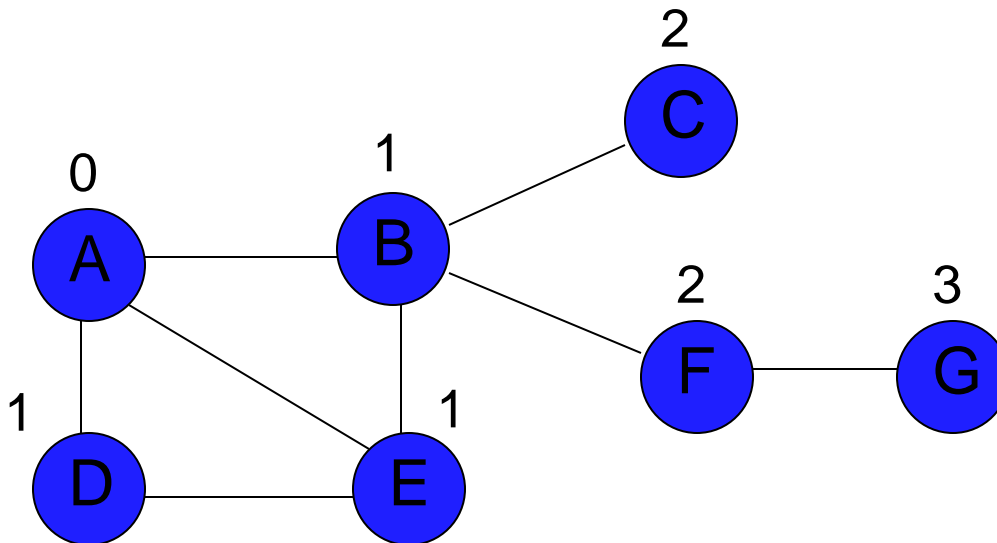
```
1  for each  $v \in V$ 
2       $dist[v] = \infty$ 
3   $dist[s] = 0$ 
4  ENQUEUE( $Q, s$ )
5  while !EMPTY( $Q$ )
6       $u \leftarrow$  DEQUEUE( $Q$ )
7      VISIT( $u$ )
8      for each edge  $(u, v) \in E$ 
9          if  $dist[v] = \infty$ 
10             ENQUEUE( $Q, v$ )
11              $dist[v] \leftarrow dist[u] + 1$ 
```





BFS( $G, s$ )

```
1  for each  $v \in V$ 
2       $dist[v] = \infty$ 
3   $dist[s] = 0$ 
4  ENQUEUE( $Q, s$ )
5  while !EMPTY( $Q$ )
6       $u \leftarrow$  DEQUEUE( $Q$ )
7      VISIT( $u$ )
8      for each edge  $(u, v) \in E$ 
9          if  $dist[v] = \infty$ 
10             ENQUEUE( $Q, v$ )
11              $dist[v] \leftarrow dist[u] + 1$ 
```





# Is BFS correct?

- Does it visit all nodes reachable from the starting node?
- Can you prove it?
- Assume we “miss” some node ‘u’, i.e. a path exists, but we don’t visit ‘u’

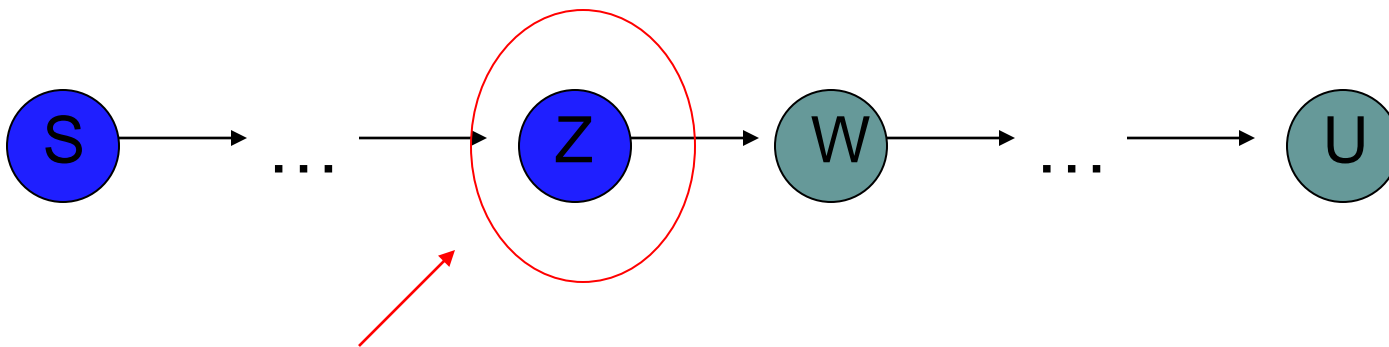






# Is BFS correct?

- Does it visit all nodes reachable from the starting node?
- Can you prove it?
- Find the last node along the path to 'u' that was visited

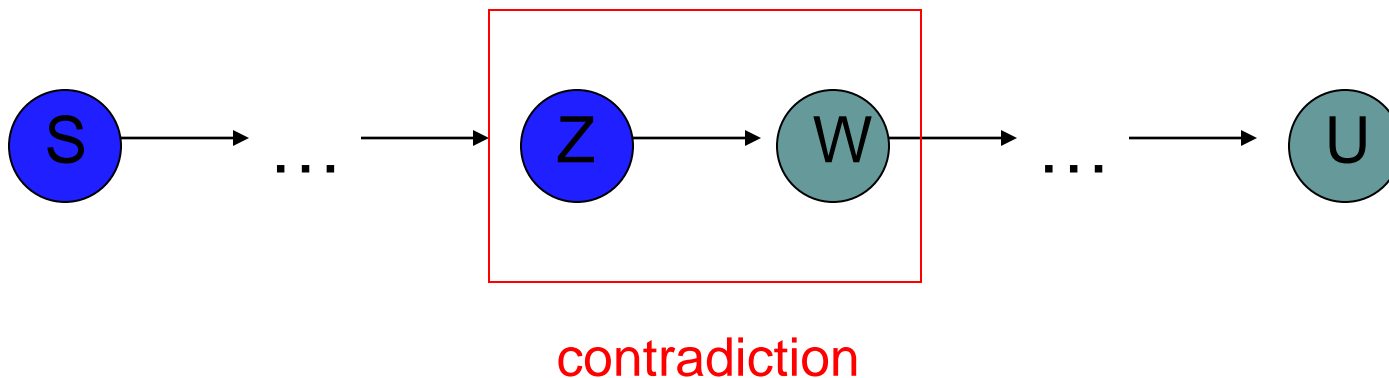


why do we know that  
such a node exists?



# Is BFS correct?

- Does it visit all nodes reachable from the starting node?
- Can you prove it?
- We visited 'z' but not 'w', which is a contradiction, given the pseudocode





# Is BFS correct?

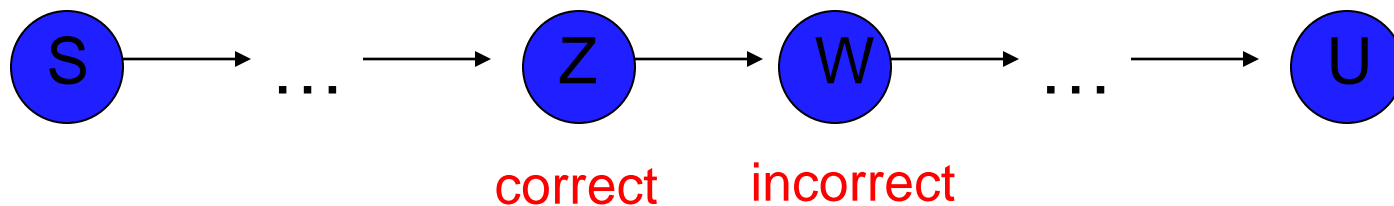
- Does it correctly label each node with the shortest distance from the starting node?
- Assume the algorithm labels a node with a longer distance. Call that node 'u'





# Is BFS correct?

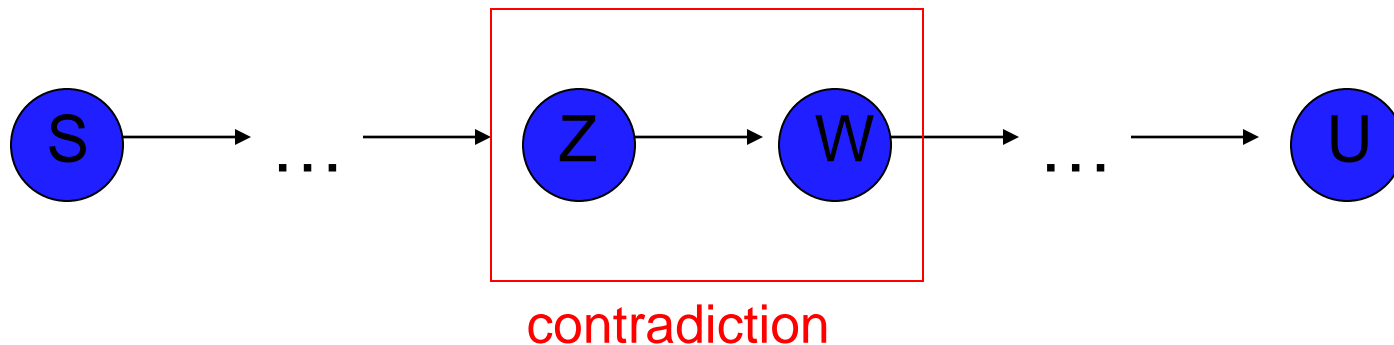
- Does it correctly label each node with the shortest distance from the starting node?
- Find the last node in the path with the correct distance

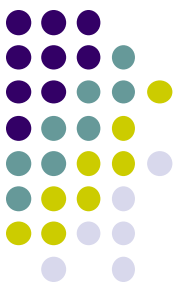




# Is BFS correct?

- Does it correctly label each node with the shortest distance from the starting node?
- Find the last node in the path with the correct distance





# Runtime of BFS

- Nothing changed over our analysis of TreeBFS

BFS( $G, s$ )

```
1  for each  $v \in V$ 
2       $dist[v] = \infty$ 
3   $dist[s] = 0$ 
4  ENQUEUE( $Q, s$ )
5  while !EMPTY( $Q$ )
6       $u \leftarrow$  DEQUEUE( $Q$ )
7      VISIT( $u$ )
8      for each edge  $(u, v) \in E$ 
9          if  $dist[v] = \infty$ 
10             ENQUEUE( $Q, v$ )
11              $dist[v] \leftarrow dist[u] + 1$ 
```

TREEBFS( $T$ )

```
1  ENQUEUE( $Q, \text{ROOT}(T)$ )
2  while !EMPTY( $Q$ )
3       $v \leftarrow$  DEQUEUE( $Q$ )
4      VISIT( $v$ )
5      for all  $c \in \text{CHILDREN}(v)$ 
6          ENQUEUE( $Q, c$ )
```



# Runtime of BFS

- Adjacency list:  $O(|V| + |E|)$
- Adjacency matrix:  $O(|V|^2)$

```
BFS( $G, s$ )
1  for each  $v \in V$ 
2       $dist[v] = \infty$ 
3   $dist[s] = 0$ 
4  ENQUEUE( $Q, s$ )
5  while !EMPTY( $Q$ )
6       $u \leftarrow$  DEQUEUE( $Q$ )
7      VISIT( $u$ )
8      for each edge  $(u, v) \in E$ 
9          if  $dist[v] = \infty$ 
10             ENQUEUE( $Q, v$ )
11              $dist[v] \leftarrow dist[u] + 1$ 
```



# Depth First Search (DFS)

TREEDFS( $T$ )

```
1  PUSH( $S$ , ROOT( $T$ ))
2  while !EMPTY( $S$ )
3       $v \leftarrow$  POP( $S$ )
4      VISIT( $v$ )
5      for all  $c \in$  CHILDREN( $v$ )
6          PUSH( $S$ ,  $c$ )
```



# Depth First Search (DFS)



TREEDFS( $T$ )

```
1  PUSH( $S$ , ROOT( $T$ ))
2  while !EMPTY( $S$ )
3       $v \leftarrow$  POP( $S$ )
4      VISIT( $v$ )
5      for all  $c \in$  CHILDREN( $v$ )
6          PUSH( $S$ ,  $c$ )
```

TREEBFS( $T$ )

```
1  ENQUEUE( $Q$ , ROOT( $T$ ))
2  while !EMPTY( $Q$ )
3       $v \leftarrow$  DEQUEUE( $Q$ )
4      VISIT( $v$ )
5      for all  $c \in$  CHILDREN( $v$ )
6          ENQUEUE( $Q$ ,  $c$ )
```

# Depth First Search (DFS)



TREEDFS( $T$ )

1 PUSH( $S$ , ROOT( $T$ ))

2 while !EMPTY( $S$ )

3      $v \leftarrow$  POP( $S$ )

4     VISIT( $v$ )

5     for all  $c \in$  CHILDREN( $v$ )

6         PUSH( $S$ ,  $c$ )

TREEBFS( $T$ )

1 ENQUEUE( $Q$ , ROOT( $T$ ))

2 while !EMPTY( $Q$ )

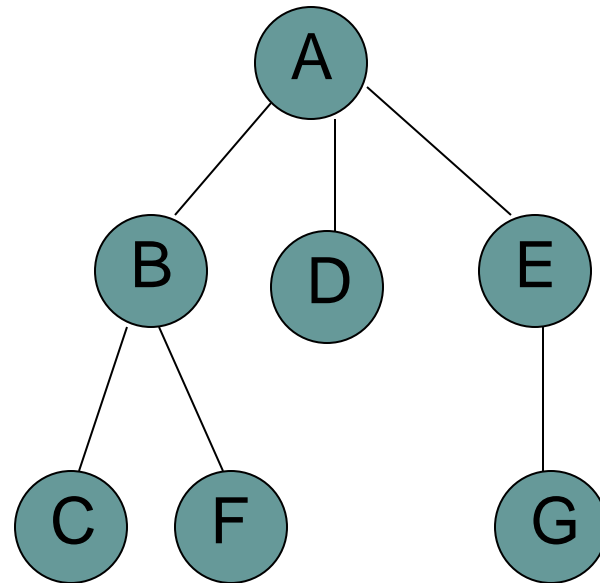
3      $v \leftarrow$  DEQUEUE( $Q$ )

4     VISIT( $v$ )

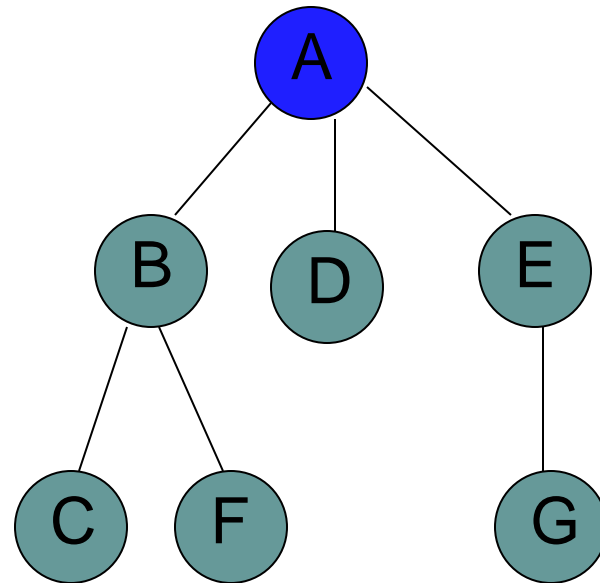
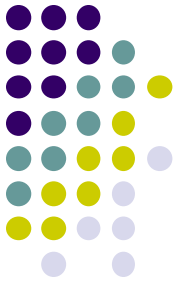
5     for all  $c \in$  CHILDREN( $v$ )

6         ENQUEUE( $Q$ ,  $c$ )

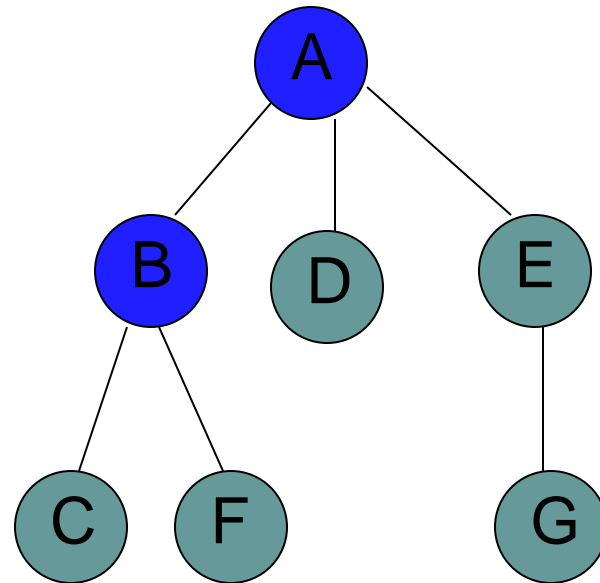
# Tree DFS



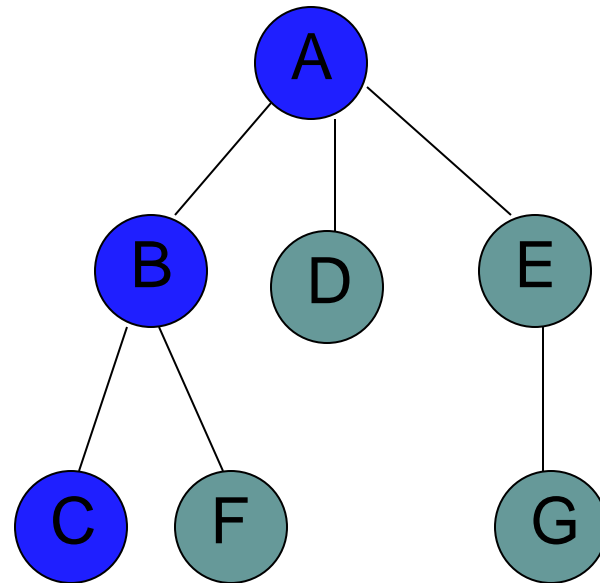
# Tree DFS



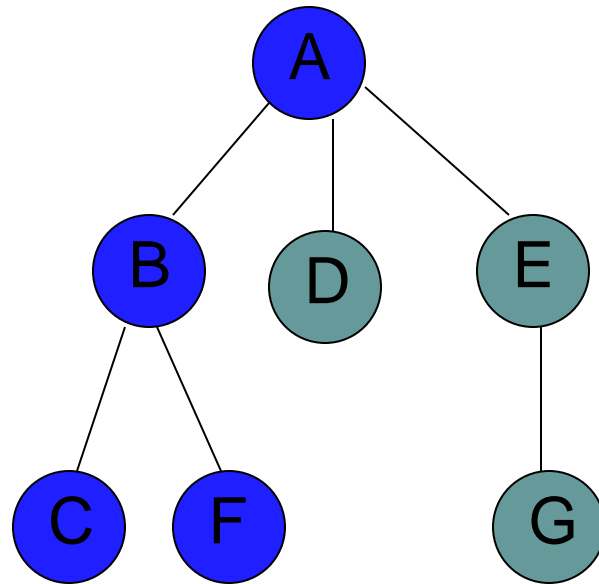
# Tree DFS



# Tree DFS

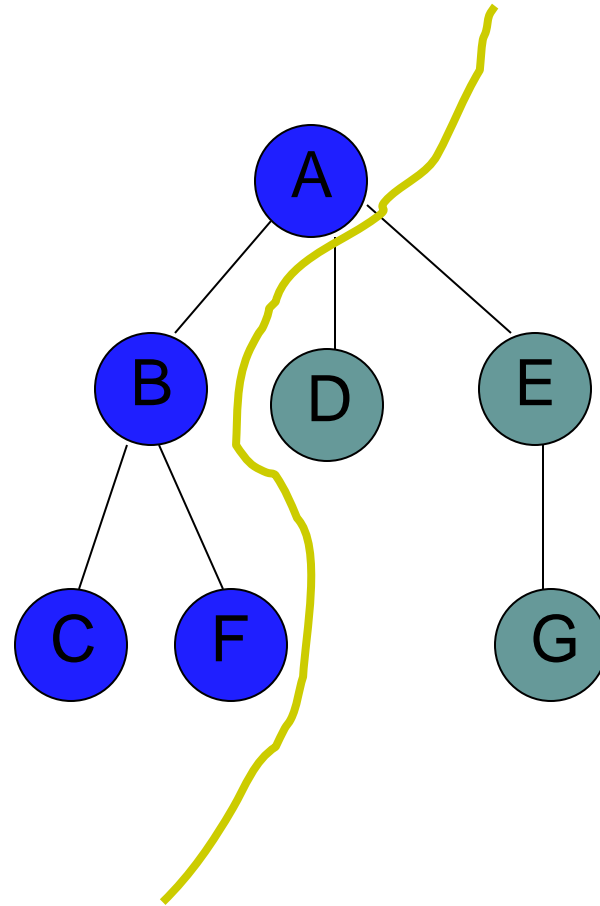
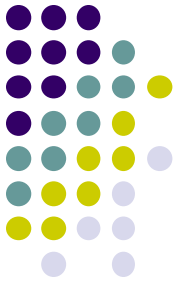


# Tree DFS



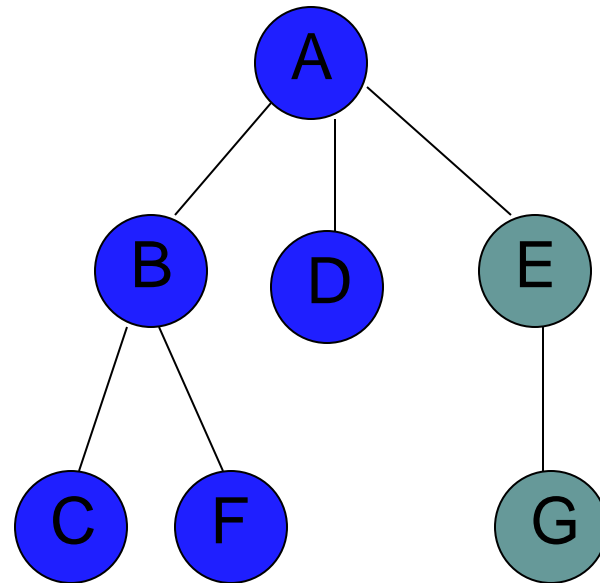
Frontier?

# Tree DFS

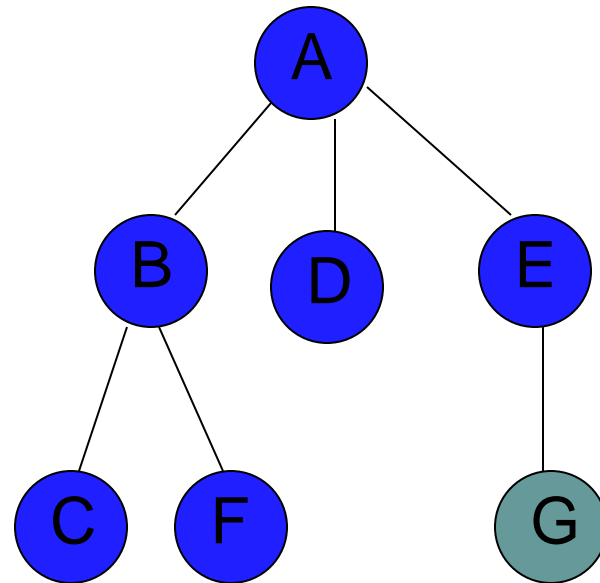




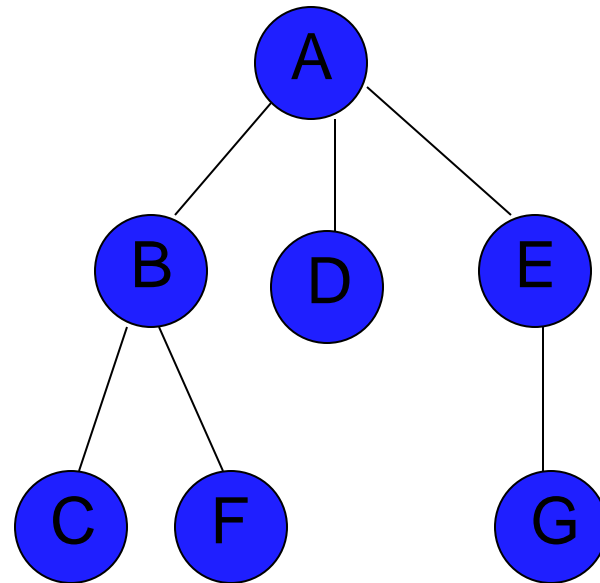
# Tree DFS



# Tree DFS



# Tree DFS



# Depth-first Search (DFS) on Graphs



- Explore edges out of the most recently discovered vertex  $v$ .
- When all edges of  $v$  have been explored, backtrack to explore other edges leaving the vertex from which  $v$  was discovered (its *predecessor*).
- “Search as deep as possible first.”
- Continue until all vertices reachable from the original source are discovered.
- If any undiscovered vertices remain, then one of them is chosen as a new source and search is repeated from that source.

# Depth-first Search on Graphs



- **Input:**  $G = (V, E)$ , directed or undirected. No source vertex given!
- **Output:**
  - 2 timestamps on each vertex. Integers between 1 and  $2|V|$ .
    - $d[v] = \textit{discovery time}$  ( $v$  turns from white to gray)
    - $f[v] = \textit{finishing time}$  ( $v$  turns from gray to black)
  - $\pi[v]$  : predecessor of  $v = u$ , such that  $v$  was discovered during the scan of  $u$ 's adjacency list.

# Pseudo-code



## DFS( $G$ )

1. **for** each vertex  $u \in V[G]$
2.     **do**  $color[u] \leftarrow \text{white}$
3.      $\pi[u] \leftarrow \text{NIL}$
4.  $time \leftarrow 0$
5. **for** each vertex  $u \in V[G]$
6.     **do if**  $color[u] = \text{white}$
7.         **then** DFS-Visit( $u$ )

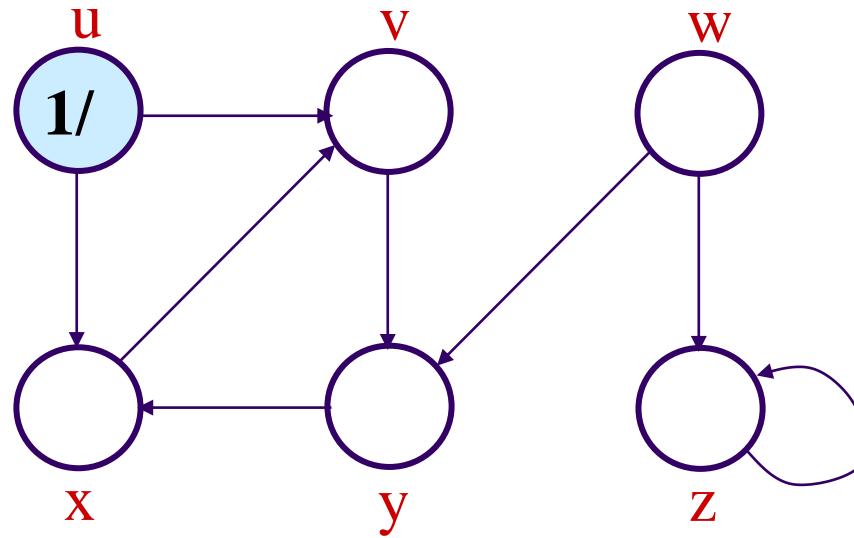
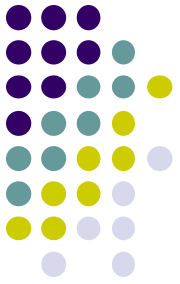
Uses a global timestamp *time*.

Example: animation.

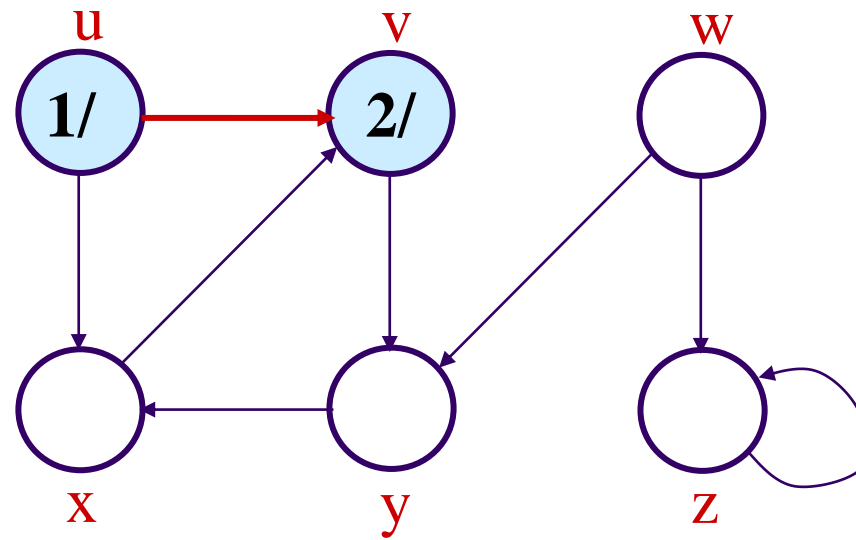
## DFS-Visit( $u$ )

1.  $color[u] \leftarrow \text{GRAY} \quad \nabla$  White vertex  $u$  has been discovered
2.  $time \leftarrow time + 1$
3.  $d[u] \leftarrow time$
4. **for** each  $v \in Adj[u]$
5.     **do if**  $color[v] = \text{WHITE}$
6.         **then**  $\pi[v] \leftarrow u$
7.         DFS-Visit( $v$ )
8.  $color[u] \leftarrow \text{BLACK} \quad \nabla$  Blacken  $u$ ; it is finished.
9.  $f[u] \leftarrow time \leftarrow time + 1$

# Example (DFS)

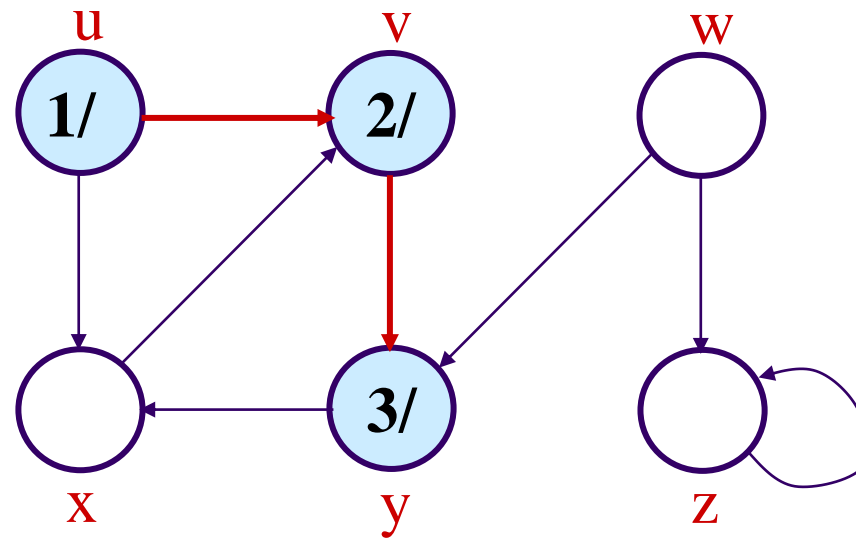


# Example (DFS)

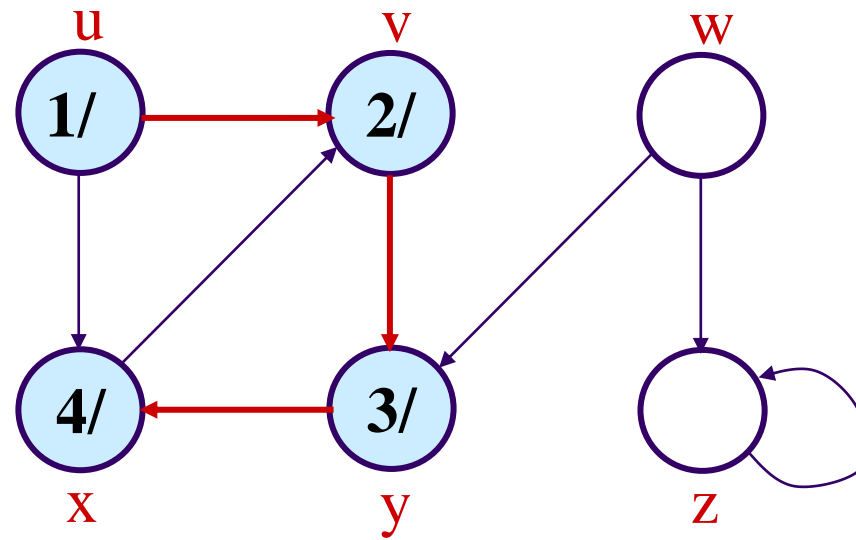
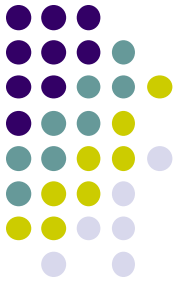




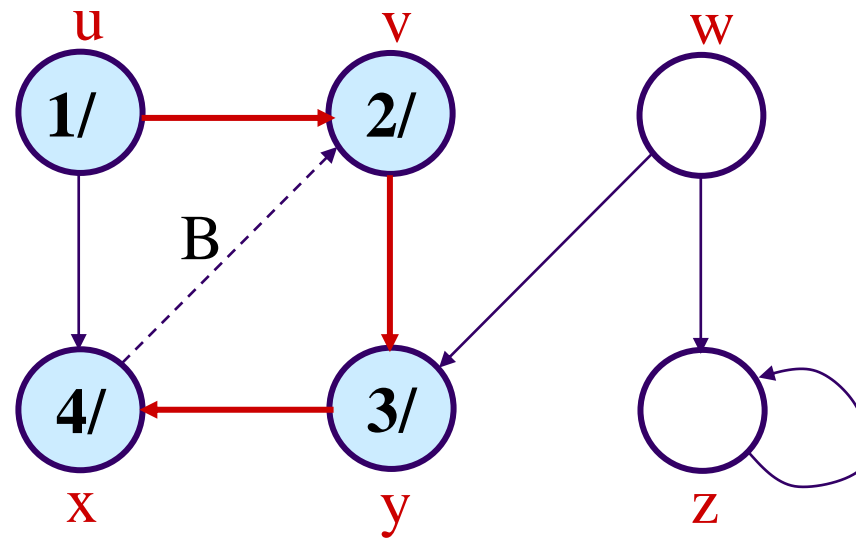
# Example (DFS)



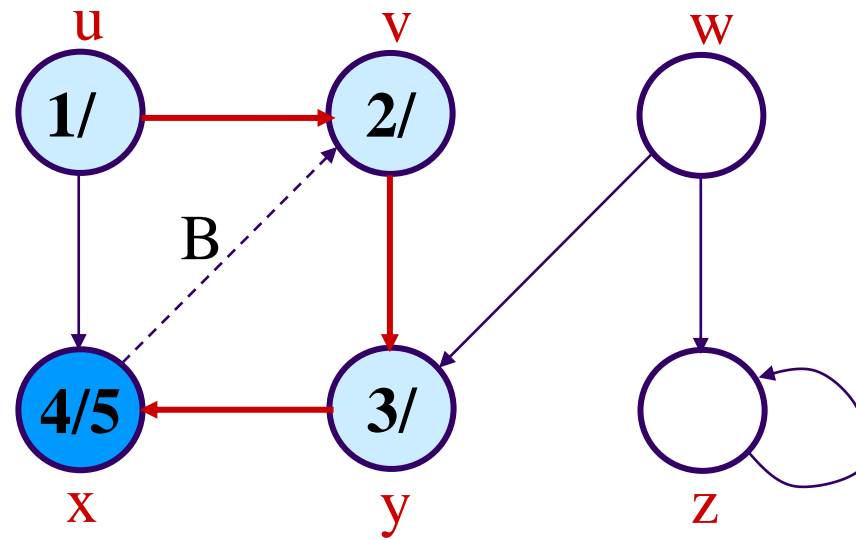
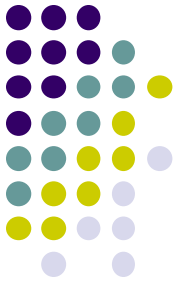
# Example (DFS)



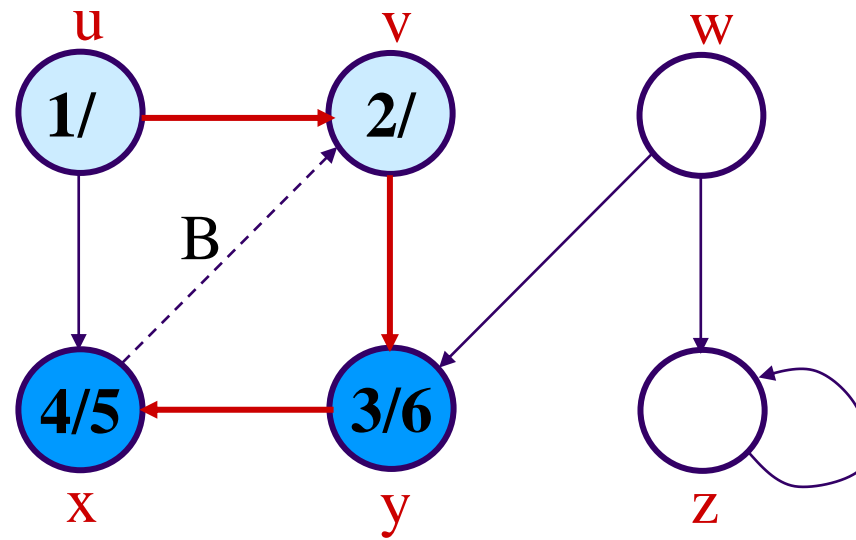
# Example (DFS)



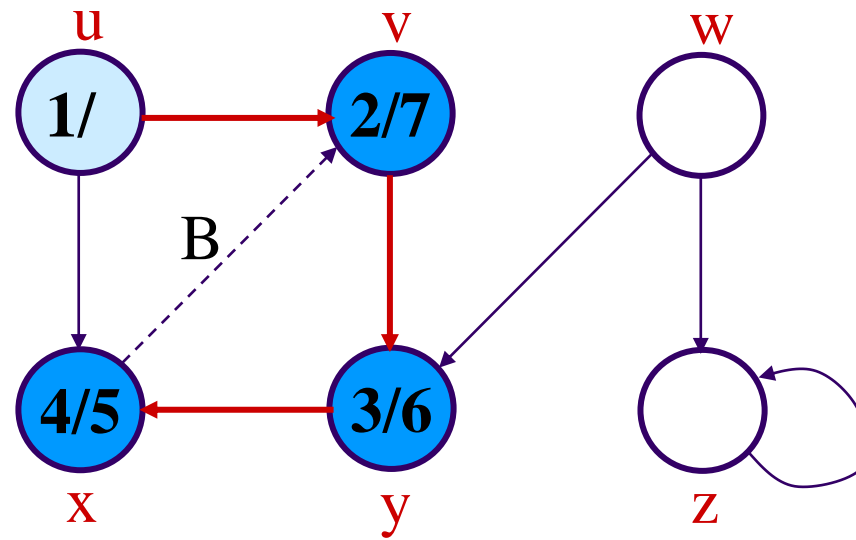
# Example (DFS)



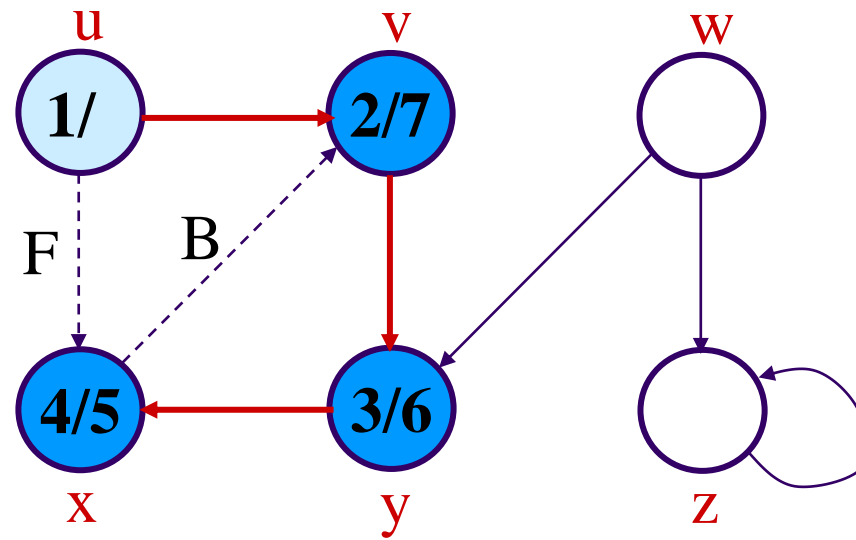
# Example (DFS)



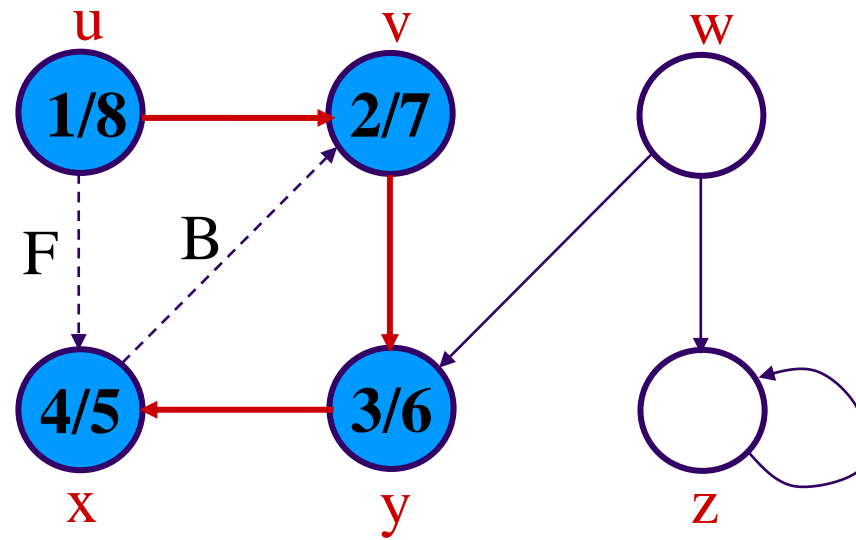
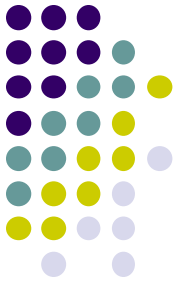
# Example (DFS)



# Example (DFS)

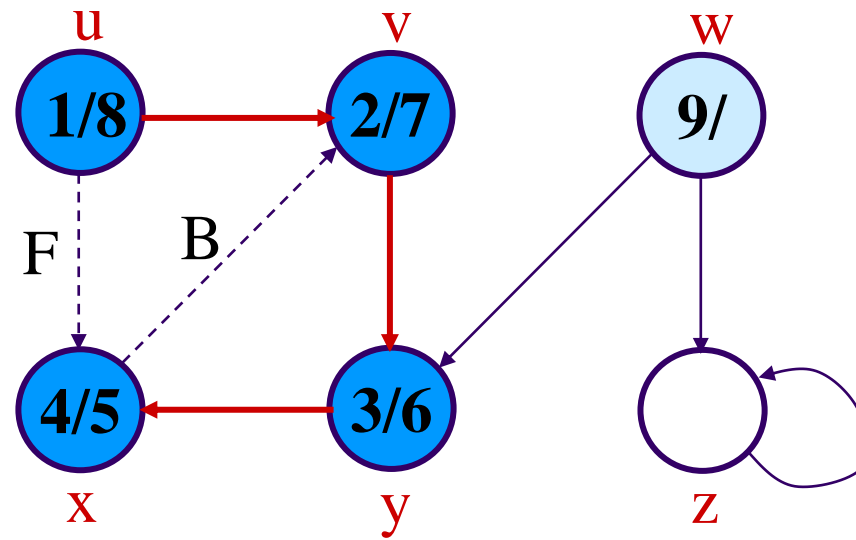
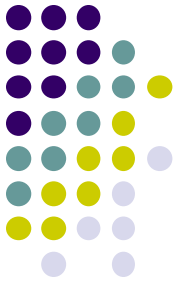


# Example (DFS)

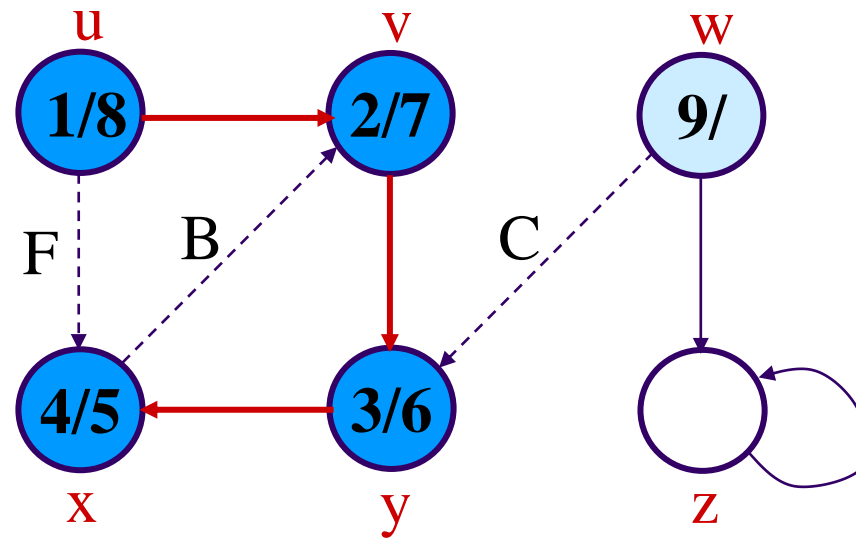




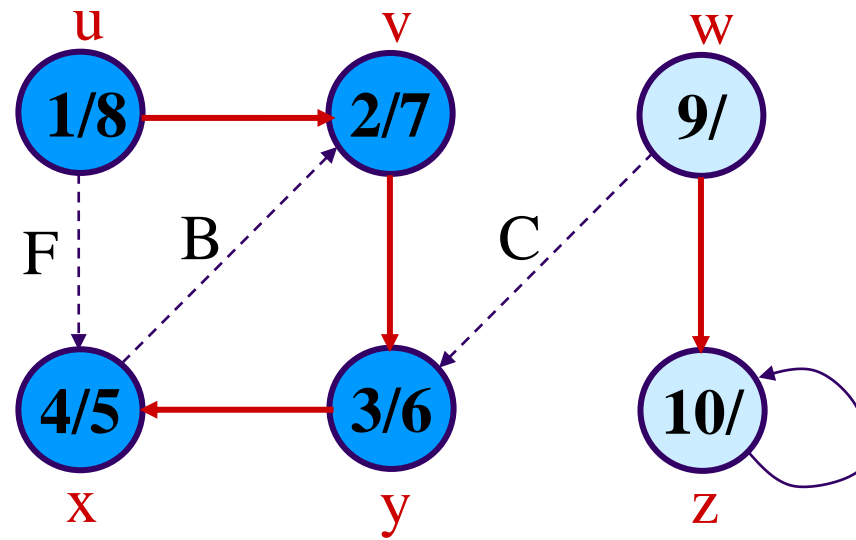
# Example (DFS)



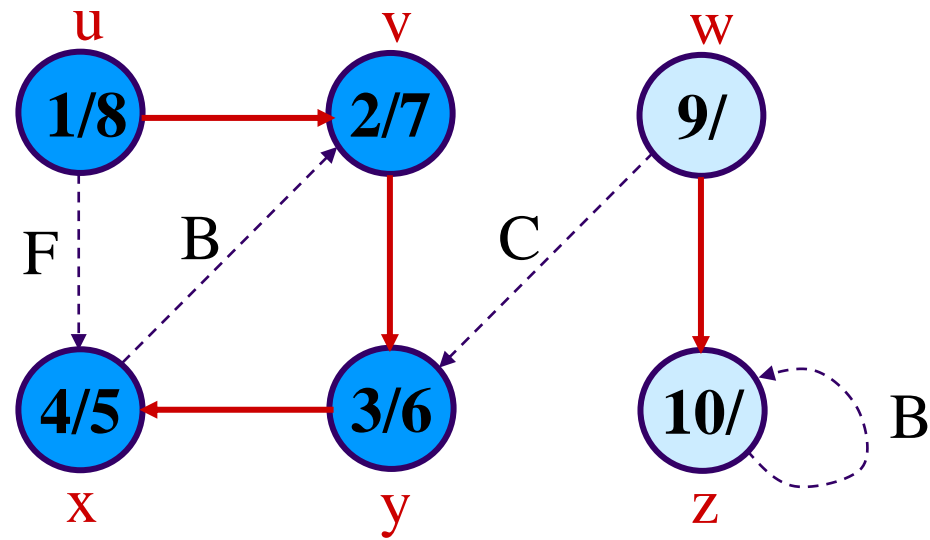
# Example (DFS)



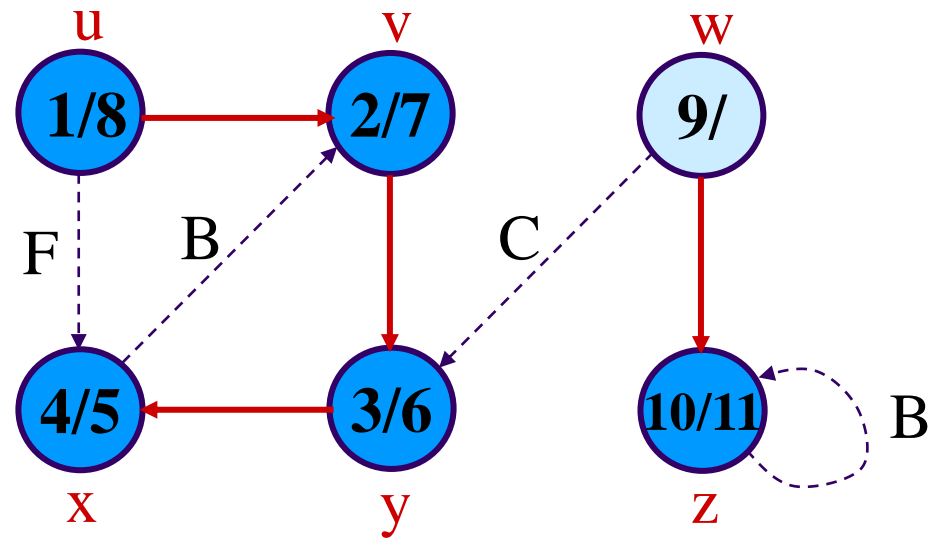
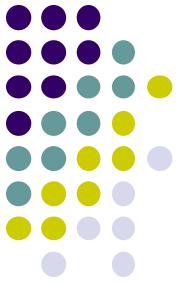
# Example (DFS)



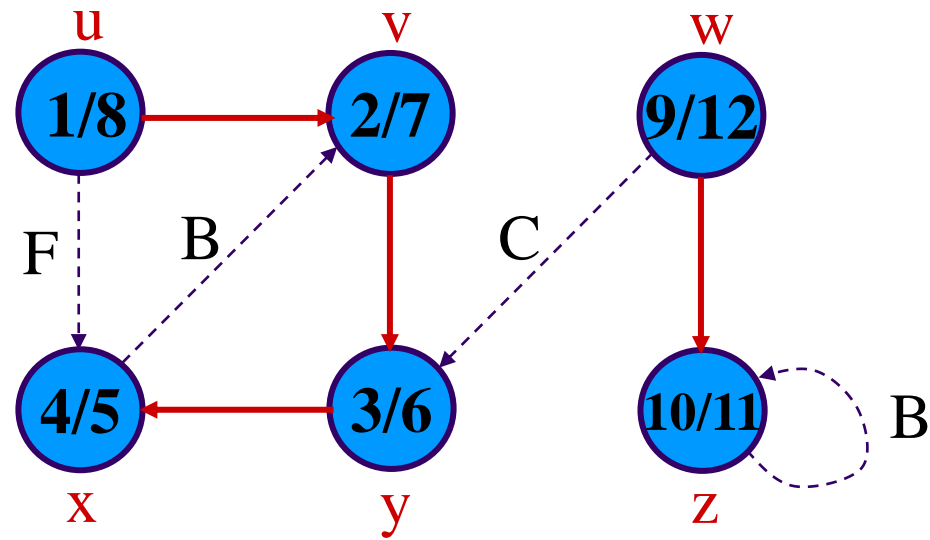
# Example (DFS)



# Example (DFS)



# Example (DFS)



# Analysis of DFS



- Loops on lines 1-2 & 5-7 take  $\Theta(V)$  time, excluding time to execute DFS-Visit.
- DFS-Visit is called once for each white vertex  $v \in V$  when it's painted gray the first time. Lines 3-6 of DFS-Visit is executed  $|Adj[v]|$  times. The total cost of executing DFS-Visit is  $\sum_{v \in V} |Adj[v]| = \Theta(E)$
- Total running time of DFS is  $\Theta(V+E)$ .

## DFS-Visit( $u$ )

1.  $color[u] \leftarrow \text{GRAY}$   $\nabla$  White vertex  $u$  has been discovered
2.  $time \leftarrow time + 1$
3.  $d[u] \leftarrow time$
4. **for** each  $v \in Adj[u]$
5.     **do if**  $color[v] = \text{WHITE}$
6.         **then**  $\pi[v] \leftarrow u$
7.         DFS-Visit( $v$ )
8.  $color[u] \leftarrow \text{BLACK}$   $\nabla$  Blacken  $u$ ; it is finished.
9.  $f[u] \leftarrow time \leftarrow time + 1$

# Classification of Edges



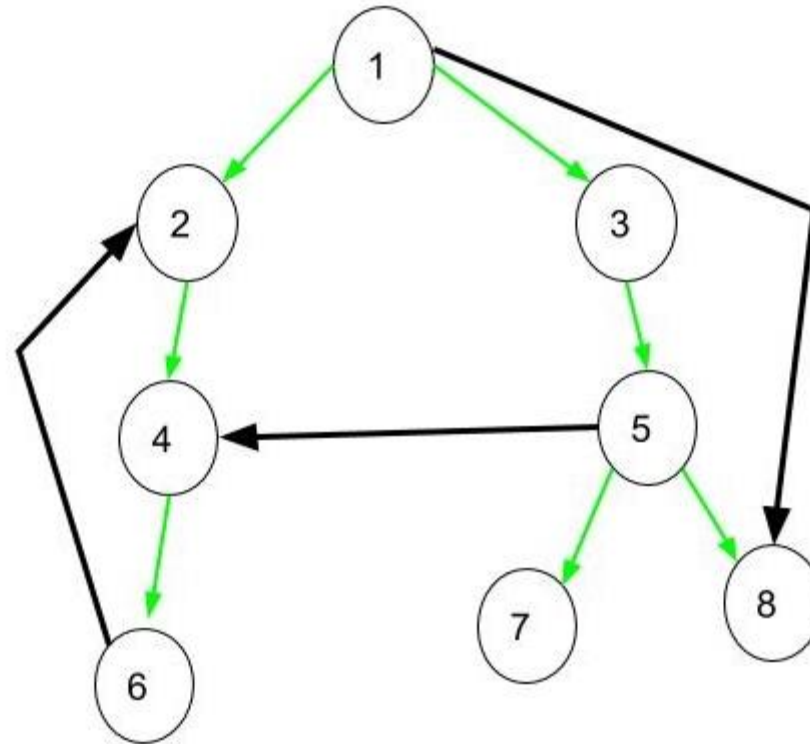
- **Tree edge:** It is an edge which is present in the tree obtained after applying DFS on the graph.
- **Back edge:** It is an edge  $(u, v)$  such that  $v$  is the ancestor of node  $u$  but is not part of the DFS tree.
- **Forward edge:** It is an edge  $(u, v)$  such that  $v$  is a descendant but not part of the DFS tree.
- **Cross edge:** It is an edge that connects two nodes such that they do not have any ancestor and a descendant relationship between them.

## Theorem:

In DFS of an undirected graph, we get only tree and back edges. No forward or cross edges.



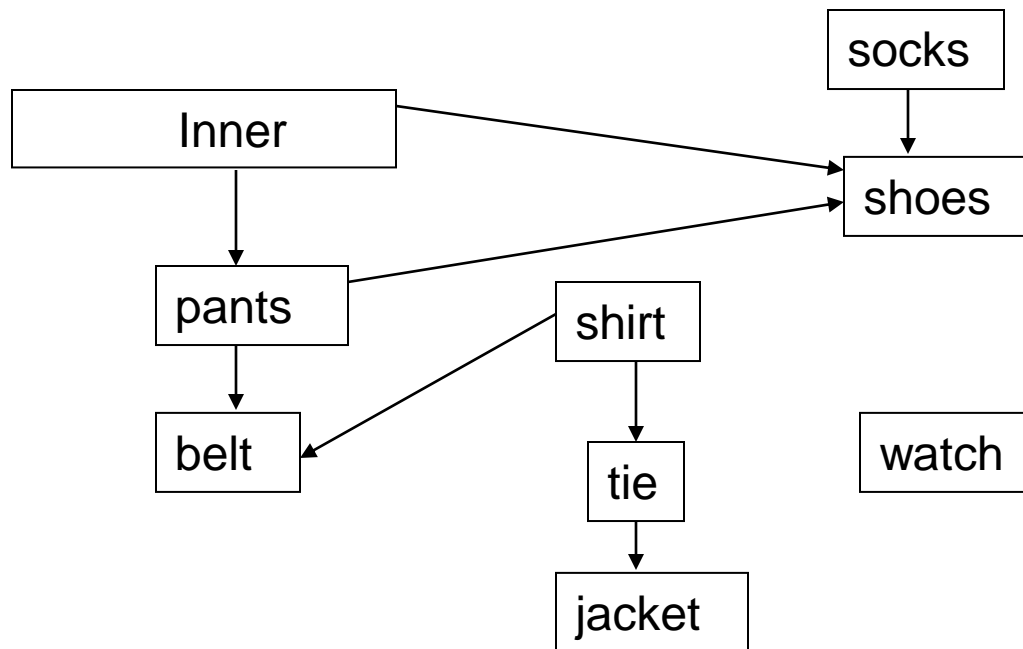
# Classification of Edges



# DAGs

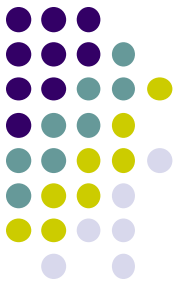
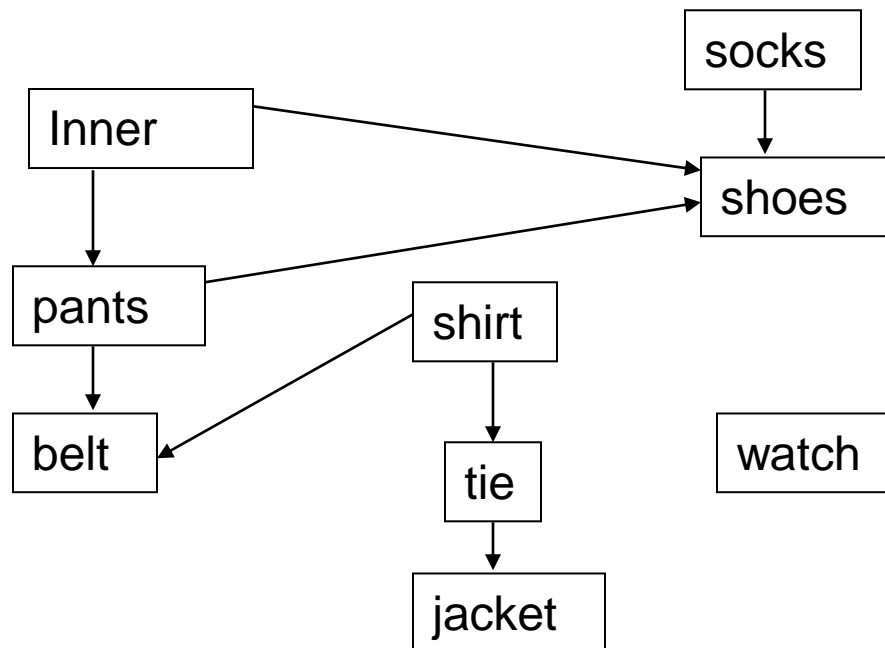


Can represent dependency graphs



# Topological sort

- A linear ordering of all the vertices such that for all edges  $(u,v) \in E$ ,  $u$  appears before  $v$  in the ordering
- An ordering of the nodes that “obeys” the dependencies, i.e. an activity can’t happen until it’s dependent activities have happened



watch

Inner

pants

shirt

belt

tie

socks

shoes

jacket

# Topological sort



TOPOLOGICAL-SORT1( $G$ )

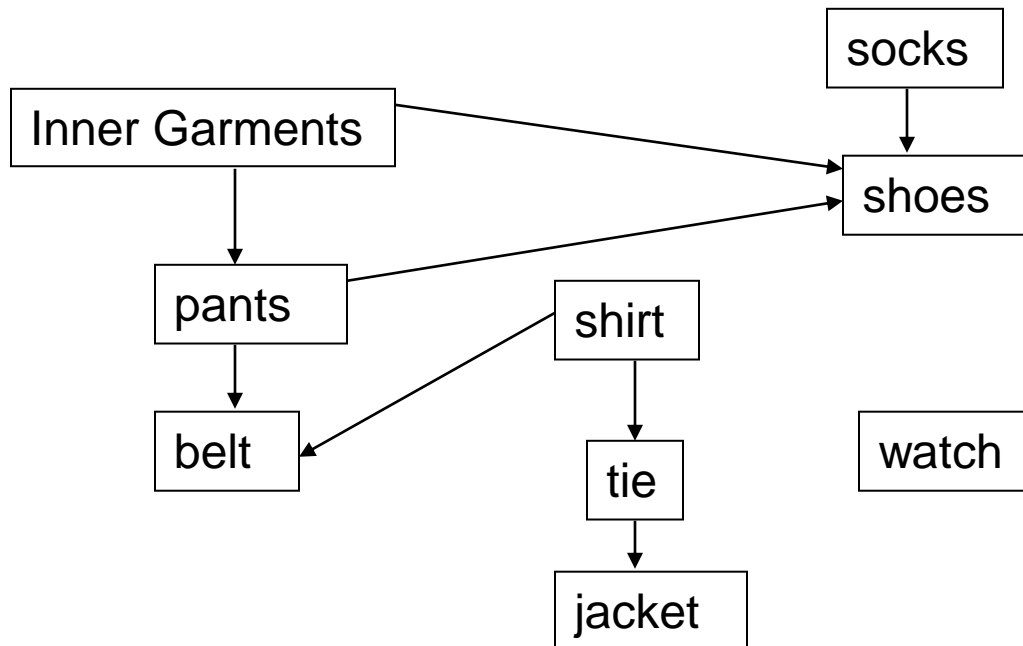
- 1 Find a node  $v$  with no incoming edges
- 2 Delete  $v$  from  $G$
- 3 Add  $v$  to linked list
- 4 TOPOLOGICAL-SORT1( $G$ )



# Topological sort

TOPOLOGICAL-SORT1( $G$ )

- 1 Find a node  $v$  with no incoming edges
- 2 Delete  $v$  from  $G$
- 3 Add  $v$  to linked list
- 4 TOPOLOGICAL-SORT1( $G$ )

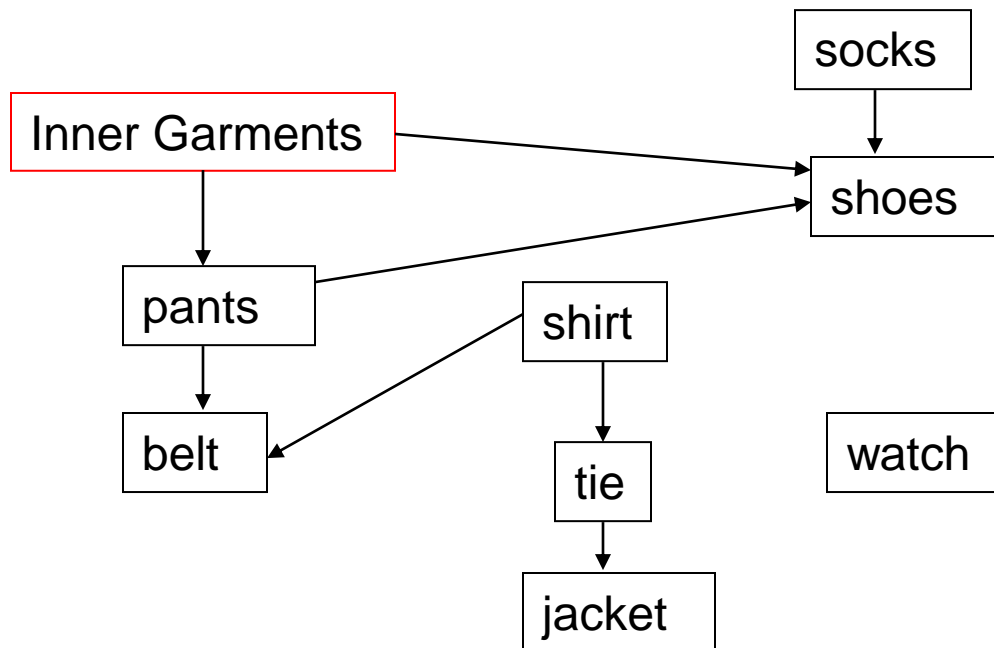




# Topological sort

TOPOLOGICAL-SORT1( $G$ )

- 1 Find a node  $v$  with no incoming edges
- 2 Delete  $v$  from  $G$
- 3 Add  $v$  to linked list
- 4 TOPOLOGICAL-SORT1( $G$ )



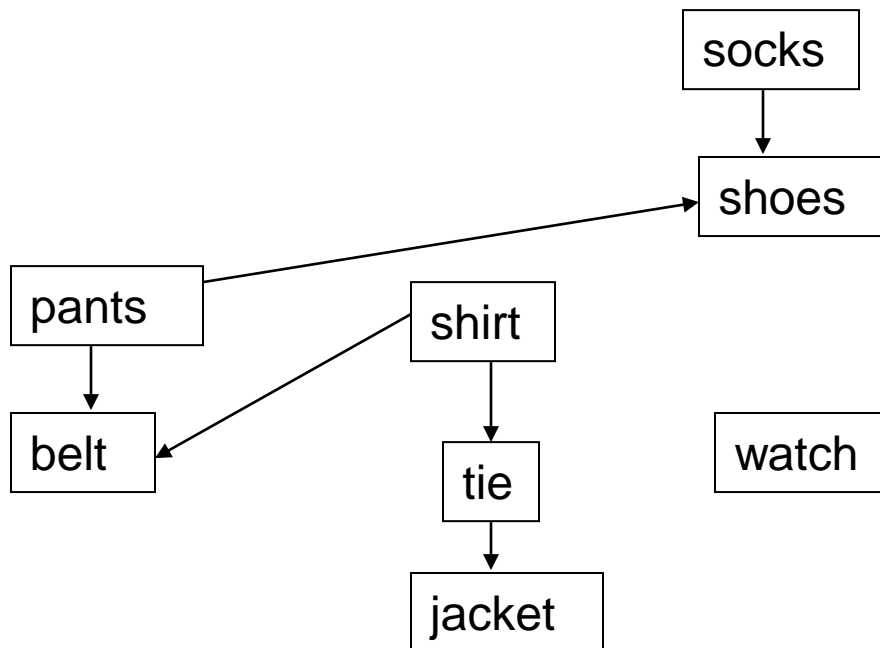


# Topological sort

TOPOLOGICAL-SORT1( $G$ )

- 1 Find a node  $v$  with no incoming edges
- 2 Delete  $v$  from  $G$
- 3 Add  $v$  to linked list
- 4 TOPOLOGICAL-SORT1( $G$ )

Inner Garments



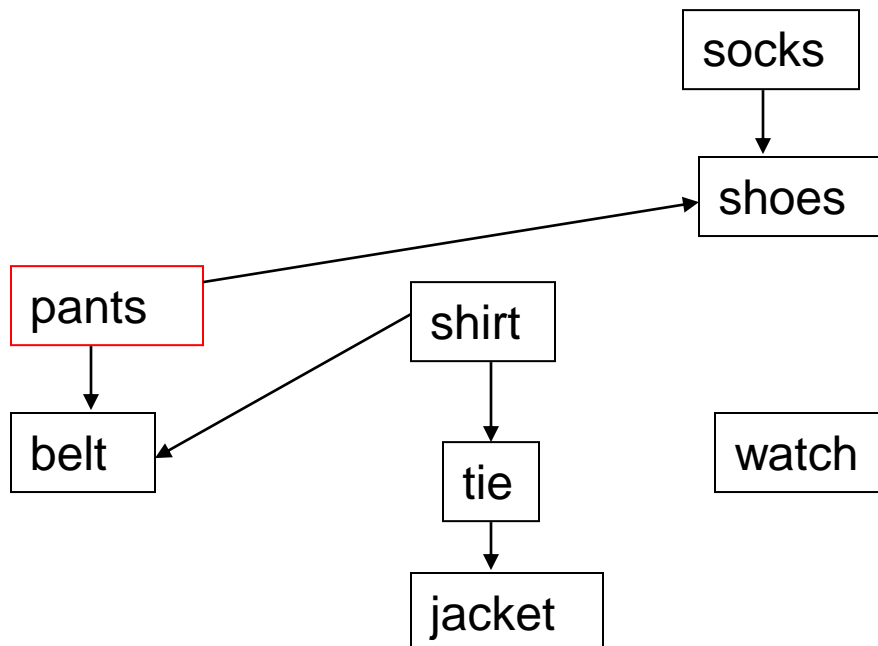


# Topological sort

TOPOLOGICAL-SORT1( $G$ )

- 1 Find a node  $v$  with no incoming edges
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Inner Garments







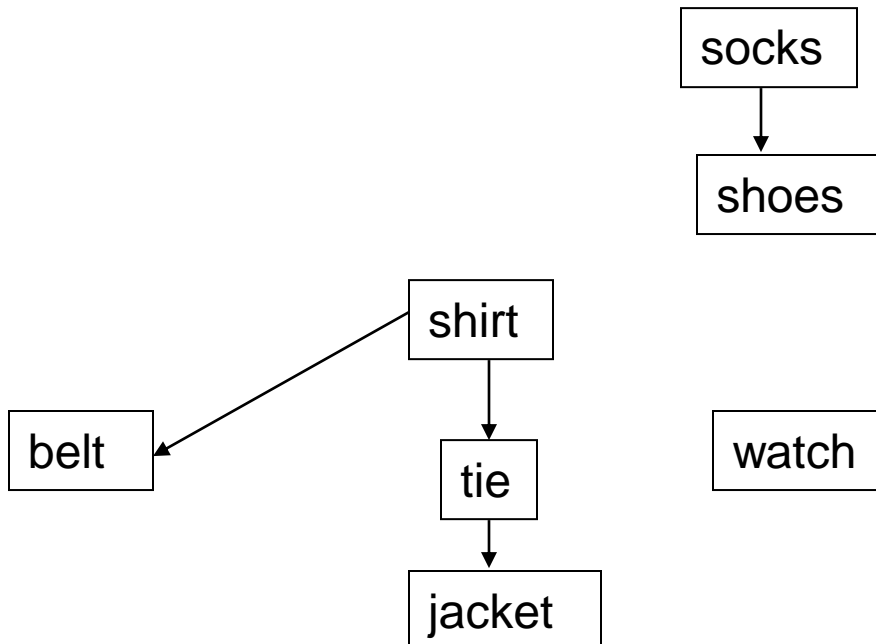
# Topological sort

TOPOLOGICAL-SORT1( $G$ )

- 1 Find a node  $v$  with no incoming edges
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Inner Garments

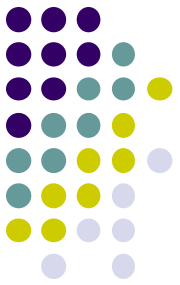
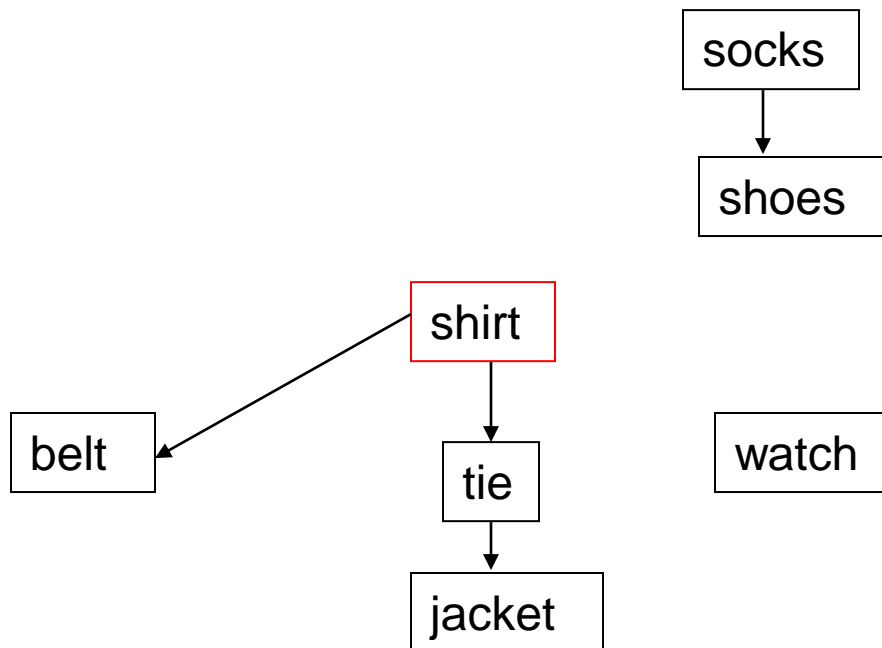
pants



# Topological sort

TOPOLOGICAL-SORT1( $G$ )

- 1 Find a node  $v$  with no incoming edges
- 2 Delete  $v$  from  $G$
- 3 Add  $v$  to linked list
- 4 TOPOLOGICAL-SORT1( $G$ )



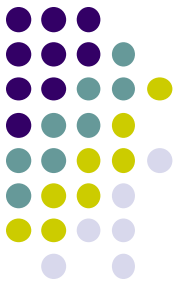
Inner Garments

pants

# Topological sort

TOPOLOGICAL-SORT1( $G$ )

- 1 Find a node  $v$  with no incoming edges
- 2 Delete  $v$  from  $G$
- 3 Add  $v$  to linked list
- 4 TOPOLOGICAL-SORT1( $G$ )



Inner Garments

pants

shirt

socks

shoes

belt

tie

watch

jacket

# Topological sort



TOPOLOGICAL-SORT1( $G$ )

- 1 Find a node  $v$  with no incoming edges
- 2 Delete  $v$  from  $G$
- 3 Add  $v$  to linked list
- 4 TOPOLOGICAL-SORT1( $G$ )

Inner Garments

pants

shirt

...

socks

shoes

belt

tie

watch

jacket



# Running time?

TOPOLOGICAL-SORT1( $G$ )

- 1 Find a node  $v$  with no incoming edges
- 2 Delete  $v$  from  $G$
- 3 Add  $v$  to linked list
- 4 TOPOLOGICAL-SORT1( $G$ )



# Running time?

TOPOLOGICAL-SORT1( $G$ )

- 1 Find a node  $v$  with no incoming edges
- 2 Delete  $v$  from  $G$
- 3 Add  $v$  to linked list
- 4 TOPOLOGICAL-SORT1( $G$ )

$O(|V|+|E|)$



# Running time?

TOPOLOGICAL-SORT1( $G$ )

- 1 Find a node  $v$  with no incoming edges
- 2 Delete  $v$  from  $G$
- 3 Add  $v$  to linked list
- 4 TOPOLOGICAL-SORT1( $G$ )

$O(E)$  overall



# Running time?

TOPOLOGICAL-SORT1( $G$ )

- 1 Find a node  $v$  with no incoming edges
- 2 Delete  $v$  from  $G$
- 3 Add  $v$  to linked list
- 4 TOPOLOGICAL-SORT1( $G$ )

How many calls?

$|V|$





# Running time?

TOPOLOGICAL-SORT1( $G$ )

- 1 Find a node  $v$  with no incoming edges
- 2 Delete  $v$  from  $G$
- 3 Add  $v$  to linked list
- 4 TOPOLOGICAL-SORT1( $G$ )

Overall running time?

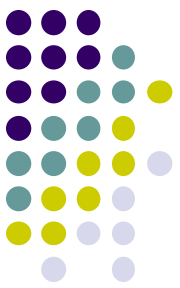
$$O(|V|^2 + |V| |E|)$$



# Can we do better?

TOPOLOGICAL-SORT1( $G$ )

- 1 Find a node  $v$  with no incoming edges
- 2 Delete  $v$  from  $G$
- 3 Add  $v$  to linked list
- 4 TOPOLOGICAL-SORT1( $G$ )



# Topological sort 2

TOPOLOGICAL-SORT2( $G$ )

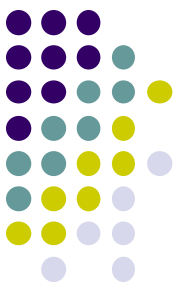
```
1  for all edges  $(u, v) \in E$ 
2       $active[v] \leftarrow active[v] + 1$ 
3  for all  $v \in V$ 
4      if  $active[v] = 0$ 
5          ENQUEUE( $S, v$ )
6  while !EMPTY( $S$ )
7       $u \leftarrow$  DEQUEUE( $S$ )
8      add  $u$  to linked list
9      for each edge  $(u, v) \in E$ 
10          $active[v] \leftarrow active[v] - 1$ 
11         if  $active[v] = 0$ 
12             ENQUEUE( $S, v$ )
```

# Topological sort 2



TOPOLOGICAL-SORT2( $G$ )

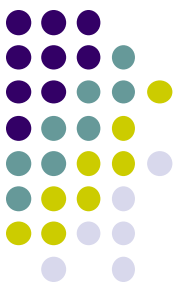
```
1  for all edges  $(u, v) \in E$ 
2       $active[v] \leftarrow active[v] + 1$ 
3  for all  $v \in V$ 
4      if  $active[v] = 0$ 
5          ENQUEUE( $S, v$ )
6  while !EMPTY( $S$ )
7       $u \leftarrow$  DEQUEUE( $S$ )
8      add  $u$  to linked list
9      for each edge  $(u, v) \in E$ 
10          $active[v] \leftarrow active[v] - 1$ 
11         if  $active[v] = 0$ 
12             ENQUEUE( $S, v$ )
```



# Topological sort 2

TOPOLOGICAL-SORT2( $G$ )

```
1  for all edges  $(u, v) \in E$ 
2       $active[v] \leftarrow active[v] + 1$ 
3  for all  $v \in V$ 
4      if  $active[v] = 0$ 
5          ENQUEUE( $S, v$ )
6  while !EMPTY( $S$ )
7       $u \leftarrow$  DEQUEUE( $S$ )
8      add  $u$  to linked list
9      for each edge  $(u, v) \in E$ 
10          $active[v] \leftarrow active[v] - 1$ 
11         if  $active[v] = 0$ 
12             ENQUEUE( $S, v$ )
```



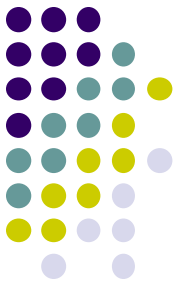
# Topological sort 2

TOPOLOGICAL-SORT2( $G$ )

```
1  for all edges  $(u, v) \in E$ 
2       $active[v] \leftarrow active[v] + 1$ 
3  for all  $v \in V$ 
4      if  $active[v] = 0$ 
5          ENQUEUE( $S, v$ )
6  while !EMPTY( $S$ )
7       $u \leftarrow$  DEQUEUE( $S$ )
8      add  $u$  to linked list
9      for each edge  $(u, v) \in E$ 
10          $active[v] \leftarrow active[v] - 1$ 
11         if  $active[v] = 0$ 
12             ENQUEUE( $S, v$ )
```

# Running time?

- How many times do we process each node?
- How many times do we process each edge?
- $O(|V| + |E|)$



```
TOPOLOGICAL-SORT2( $G$ )
1  for all edges  $(u, v) \in E$ 
2       $active[v] \leftarrow active[v] + 1$ 
3  for all  $v \in V$ 
4      if  $active[v] = 0$ 
5          ENQUEUE( $S, v$ )
6  while !EMPTY( $S$ )
7       $u \leftarrow$  DEQUEUE( $S$ )
8      add  $u$  to linked list
9      for each edge  $(u, v) \in E$ 
10          $active[v] \leftarrow active[v] - 1$ 
11         if  $active[v] = 0$ 
12             ENQUEUE( $S, v$ )
```



# Topological sort 3

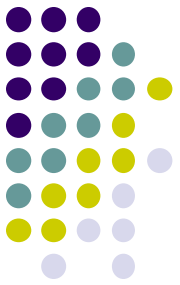
## TOPOLOGICAL-SORT( $G$ )

- 1 call DFS( $G$ ) to compute finishing times  $v.f$  for each vertex  $v$
- 2 as each vertex is finished, insert it onto the front of a linked list
- 3 return the linked list of vertices



# Running time?

$O(|V| + |E|)$



TOPOLOGICAL-SORT( $G$ )

- 1 call DFS( $G$ ) to compute finishing times  $v.f$  for each vertex  $v$
- 2 as each vertex is finished, insert it onto the front of a linked list
- 3 return the linked list of vertices



# Connectedness

Given an undirected graph, for every node  $u \in V$ , can we reach all other nodes in the graph?

Run BFS or DFS-Visit (one pass) and mark nodes as we visit them. If we visit all nodes, return true, otherwise false.

Running time:  $O(|V| + |E|)$



# Strongly connected

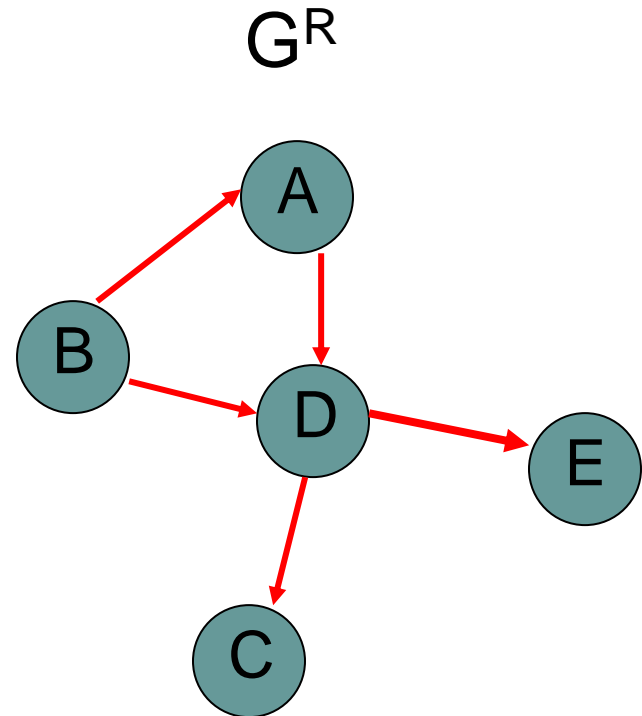
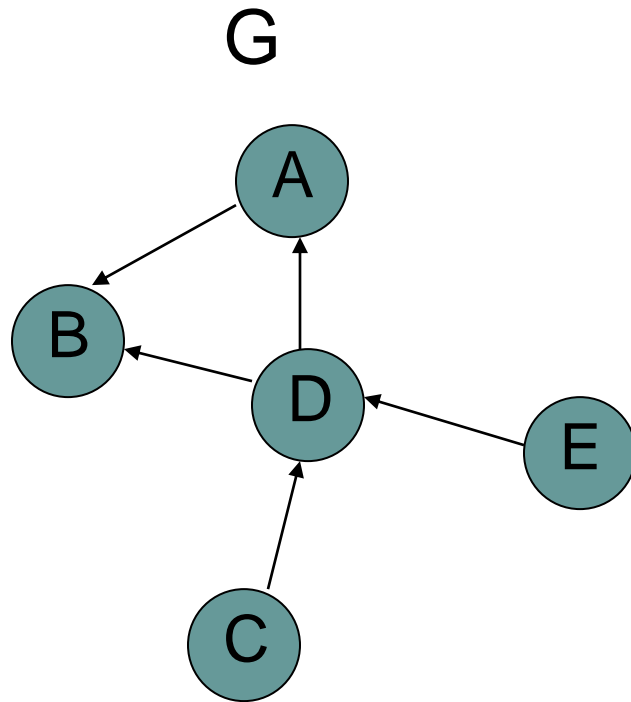
Given a directed graph, can we reach any node  $v$  from any other node  $u$ ?

Ideas?

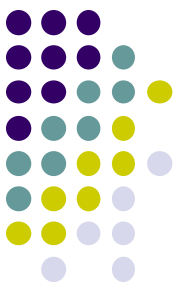
# Transpose of a graph



- Given a graph  $G$ , we can calculate the transpose of a graph  $G^R$  by reversing the direction of all the edges



Running time to calculate  $G^R$ ?  $O(|V| + |E|)$



# Strongly connected

STRONGLY-CONNECTED( $G$ )

- 1 Run *DFS* or *BFS* from some node  $u$
- 2 **if** not all nodes are visited
- 3       **return** false
- 4 Create graph  $G^R$  by reversing all edge directions
- 5 Run *DFS* or *BFS* on  $G^R$  from node  $u$
- 6 **if** not all nodes are visited
- 7       **return** false
- 8 **return** true

# Runtime?



STRONGLY-CONNECTED( $G$ )

```
1  Run DFS or BFS from some node  $u$ 
2  if not all nodes are visited
3      return false
4  Create graph  $G^R$  by reversing all edge directions
5  Run DFS or BFS on  $G^R$  from node  $u$ 
6  if not all nodes are visited
7      return false
8  return true
```

$O(|V| + |E|)$

$O(|V|)$

$O(|V| + |E|)$

$O(|V| + |E|)$

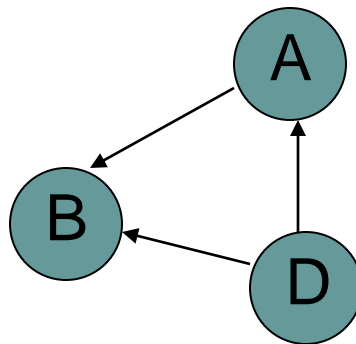
$O(|V|)$

$O(|V| + |E|)$



# Detecting cycles

- Undirected graph
  - BFS or DFS. If we reach a node we've seen already, then we've found a cycle
- Directed graph



have to be careful



# Detecting cycles

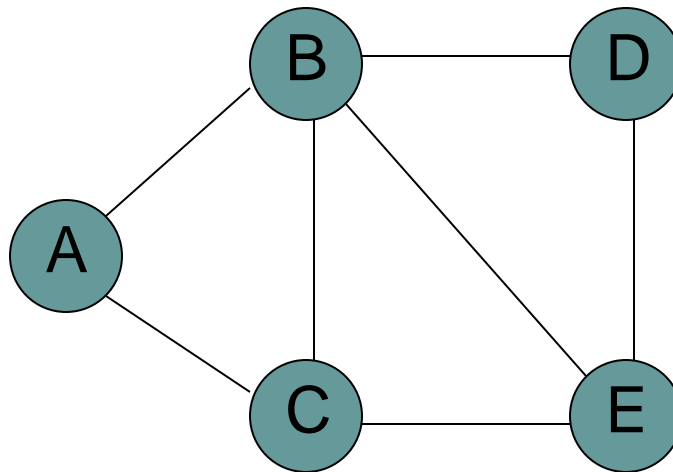
- Undirected graph
  - BFS or DFS. If we reach a node we've seen already, then we've found a cycle
- Directed graph
  - Apply DFS
  - Identify back edge, if back edge exists then there is cycle



# Shortest paths



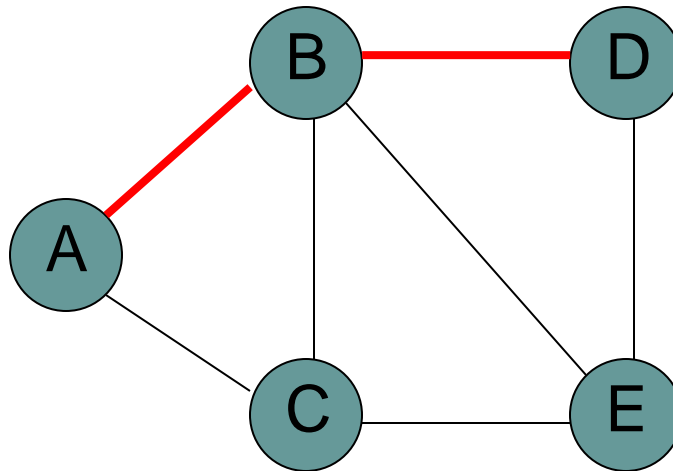
- What is the shortest path from a to d?



# Shortest paths



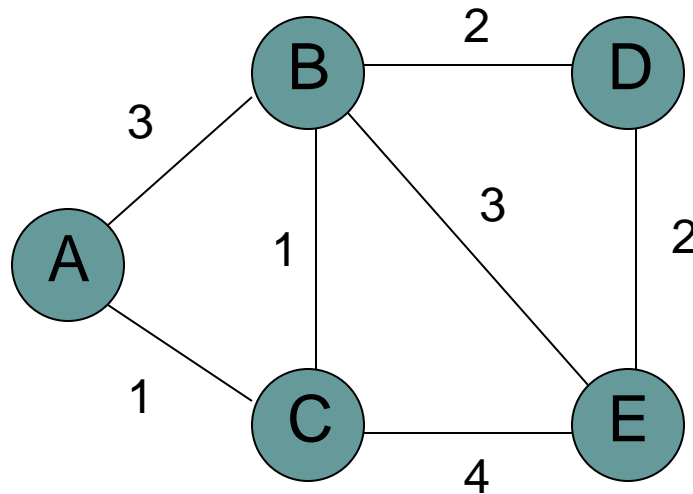
- BFS



# Shortest paths



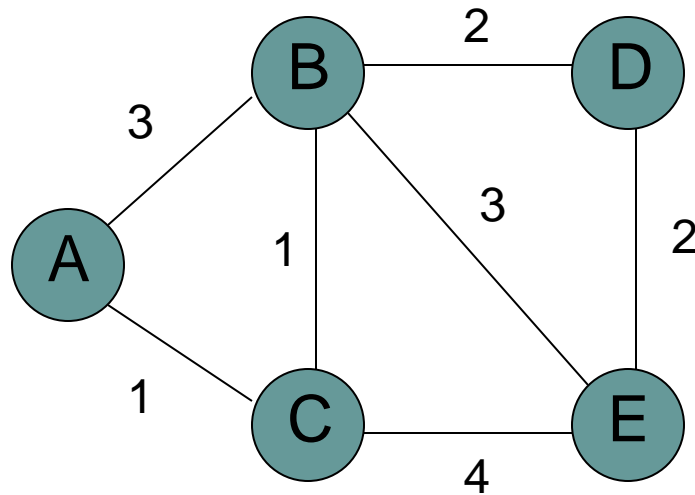
- What is the shortest path from a to d?



# Shortest paths

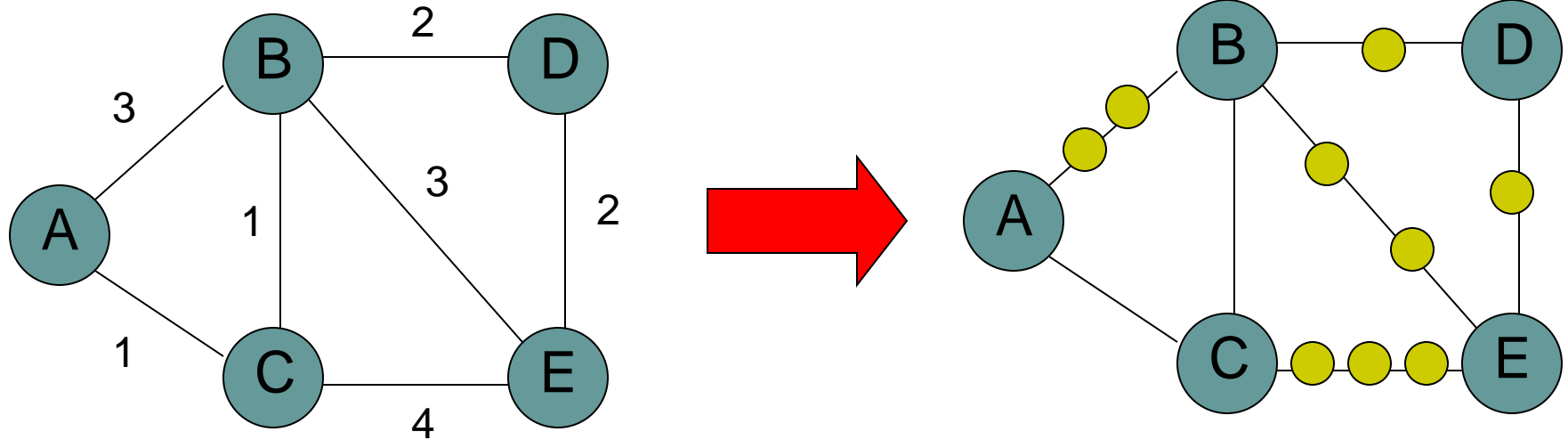


- We can still use BFS



# Shortest paths

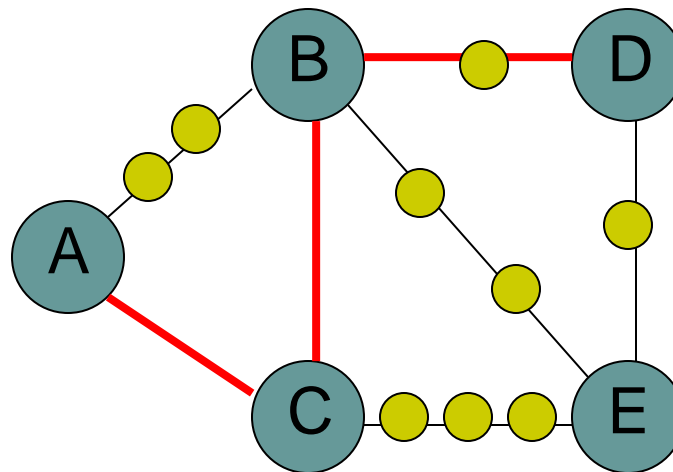
- We can still use BFS



# Shortest paths



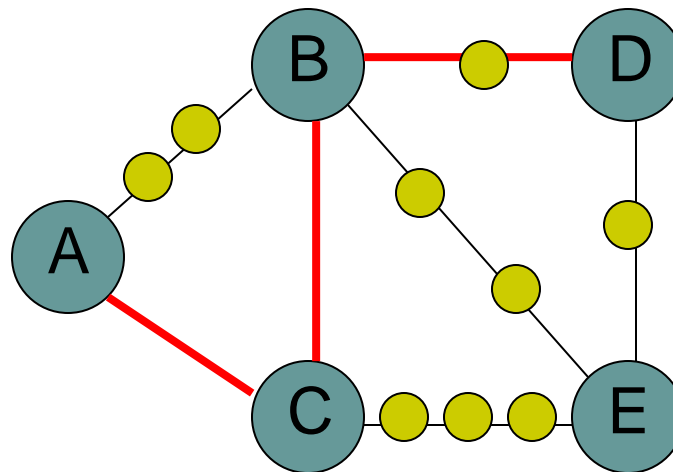
- We can still use BFS



# Shortest paths

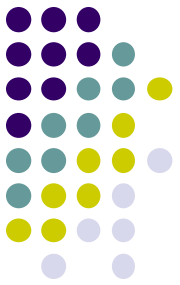
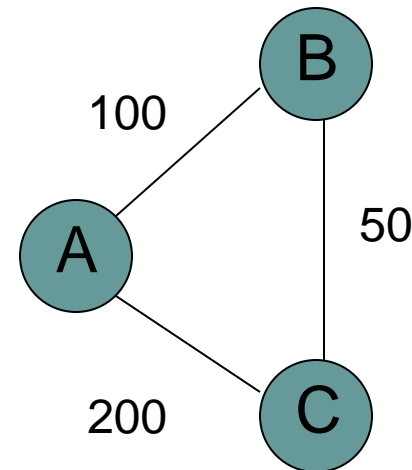
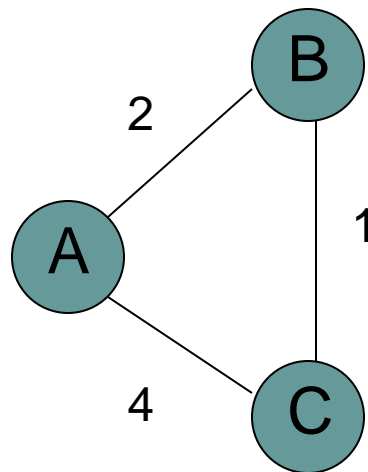


- What is the problem?



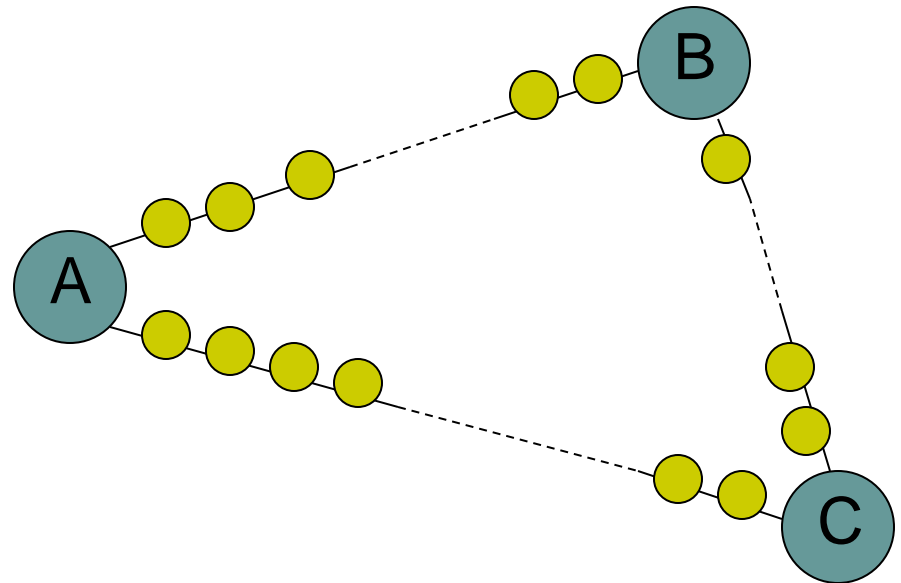
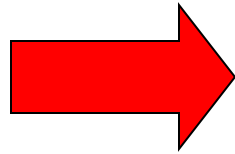
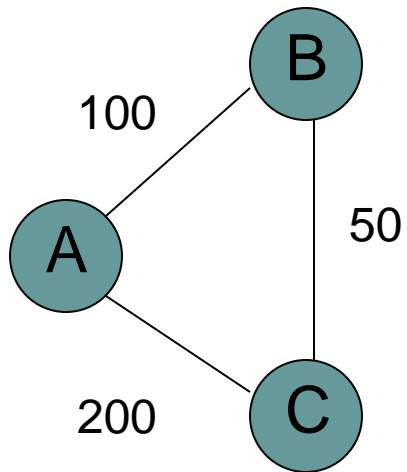
# Shortest paths

- Running time is dependent on the weights

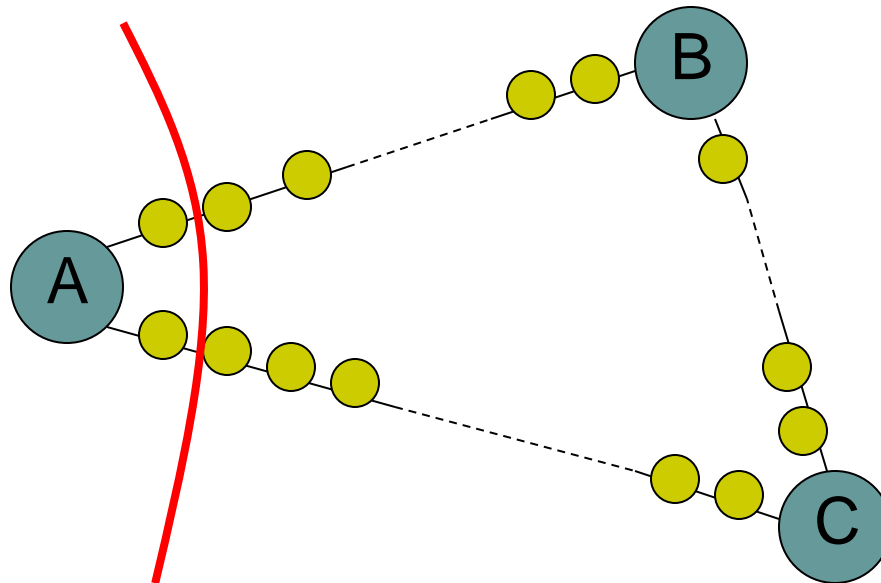




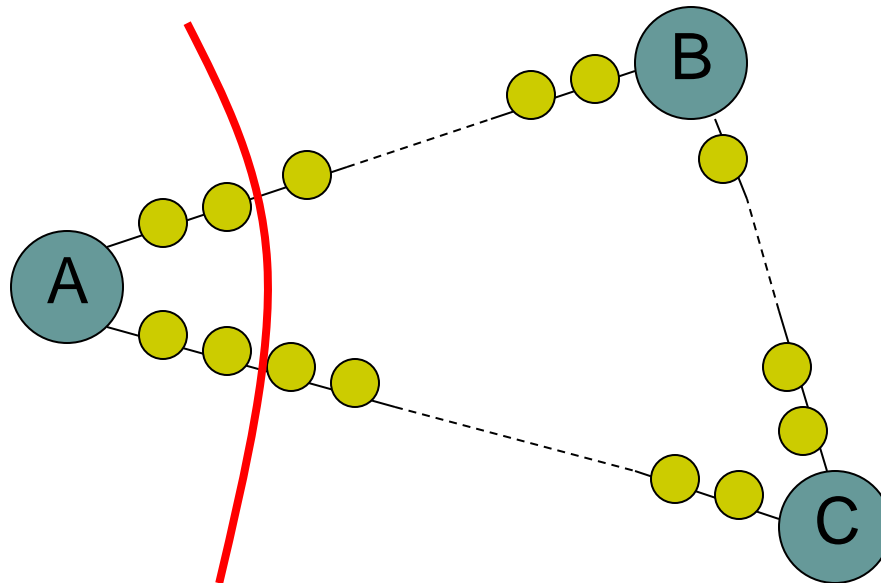
# Shortest paths



# Shortest paths



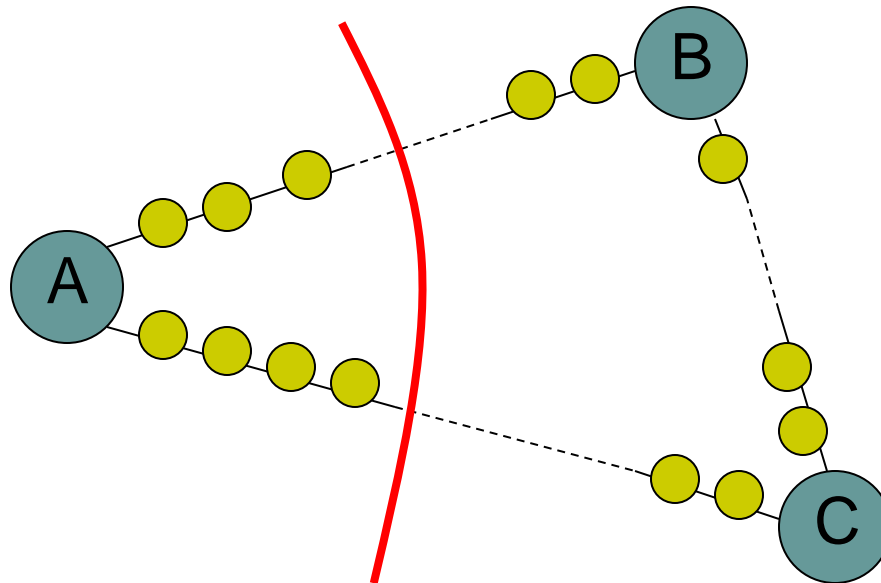
# Shortest paths



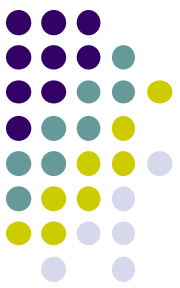


# Shortest paths

Nothing will change as we expand the frontier until we've gone out 100 levels



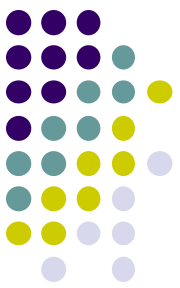
# Dijkstra's algorithm



DIJKSTRA( $G, s$ )

```
1  for all  $v \in V$ 
2       $dist[v] \leftarrow \infty$ 
3       $prev[v] \leftarrow null$ 
4   $dist[s] \leftarrow 0$ 
5   $Q \leftarrow \text{MAKEHEAP}(V)$ 
6  while !EMPTY( $Q$ )
7       $u \leftarrow \text{EXTRACTMIN}(Q)$ 
8      for all edges  $(u, v) \in E$ 
9          if  $dist[v] > dist[u] + w(u, v)$ 
10              $dist[v] \leftarrow dist[u] + w(u, v)$ 
11             DECREASEKEY( $Q, v, dist[v]$ )
12              $prev[v] \leftarrow u$ 
```

# Dijkstra's algorithm



DIJKSTRA( $G, s$ )

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1  for all  $v \in V$ 
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8      for all edges  $(u, v) \in E$ 
9          if  $dist[v] > dist[u] + w(u, v)$ 
10              $dist[v] \leftarrow dist[u] + w(u, v)$ 
11             DECREASEKEY( $Q, v, dist[v]$ )
12              $prev[v] \leftarrow u$ 
```

BFS( $G, s$ )

```
1  for each  $v \in V$ 
2       $dist[v] = \infty$ 
3   $dist[s] = 0$ 
4  ENQUEUE( $Q, s$ )
5  while !EMPTY( $Q$ )
6       $u \leftarrow \text{DEQUEUE}(Q)$ 
7      VISIT( $u$ )
8      for each edge  $(u, v) \in E$ 
9          if  $dist[v] = \infty$ 
10             ENQUEUE( $Q, v$ )
11              $dist[v] \leftarrow dist[u] + 1$ 
```

# Dijkstra's algorithm



prev keeps track of  
the shortest path

DIJKSTRA( $G, s$ )

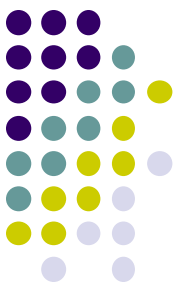
```
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7       $u \leftarrow EXTRACTMIN(Q)$ 
8      for all edges  $(u, v) \in E$ 
9          if  $dist[v] > dist[u] + w(u, v)$ 
10              $dist[v] \leftarrow dist[u] + w(u, v)$ 
11             DECREASEKEY( $Q, v, dist[v]$ )
12              $prev[v] \leftarrow u$ 
```

Two black arrows originate from the red circles. One arrow points from the circle around  $prev[v] \leftarrow null$  to the text 'prev keeps track of the shortest path'. The other arrow points from the circle around  $prev[v] \leftarrow u$  to the same text.

BFS( $G, s$ )

```
1  for each  $v \in V$ 
2       $dist[v] = \infty$ 
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4  ENQUEUE( $Q, s$ )
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9          if  $dist[v] = \infty$ 
10             ENQUEUE( $Q, v$ )
11              $dist[v] \leftarrow dist[u] + 1$ 
```

# Dijkstra's algorithm



DIJKSTRA( $G, s$ )

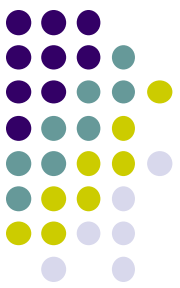
```
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```

BFS( $G, s$ )

```
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```



# Dijkstra's algorithm



DIJKSTRA( $G, s$ )

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BFS( $G, s$ )

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# Dijkstra's algorithm



DIJKSTRA( $G, s$ )

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12              $prev[v] \leftarrow u$ 
```

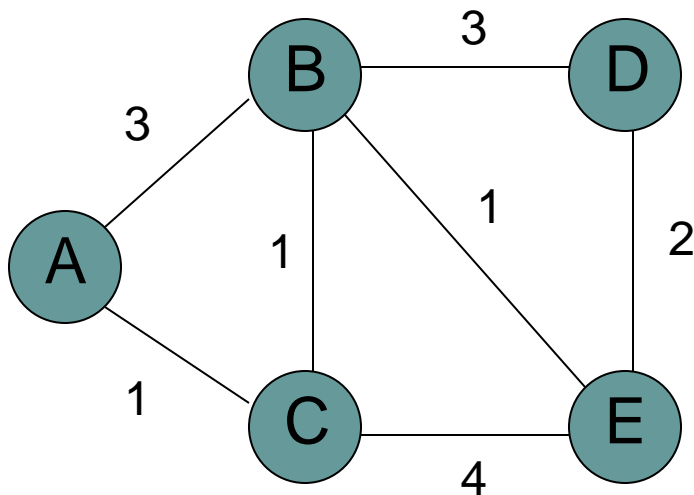
BFS( $G, s$ )

```
1  for each  $v \in V$ 
2       $dist[v] = \infty$ 
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4  ENQUEUE( $Q, s$ )
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9          if  $dist[v] = \infty$ 
10             ENQUEUE( $Q, v$ )
11              $dist[v] \leftarrow dist[u] + 1$ 
```



DIJKSTRA( $G, s$ )

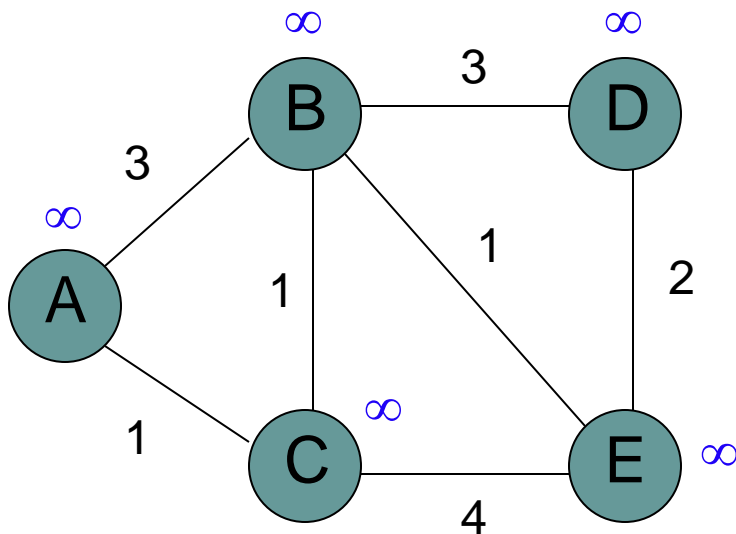
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```

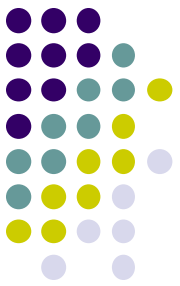




DIJKSTRA( $G, s$ )

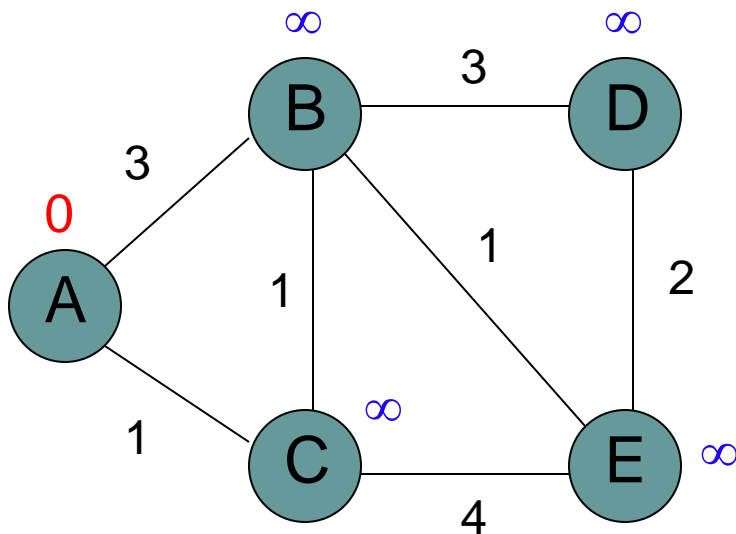
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DIJKSTRA( $G, s$ )

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11             DECREASEKEY( $Q, v, dist[v]$ )
12              $prev[v] \leftarrow u$ 
```



Heap

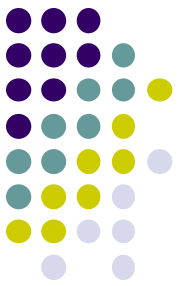
A 0

B  $\infty$

C  $\infty$

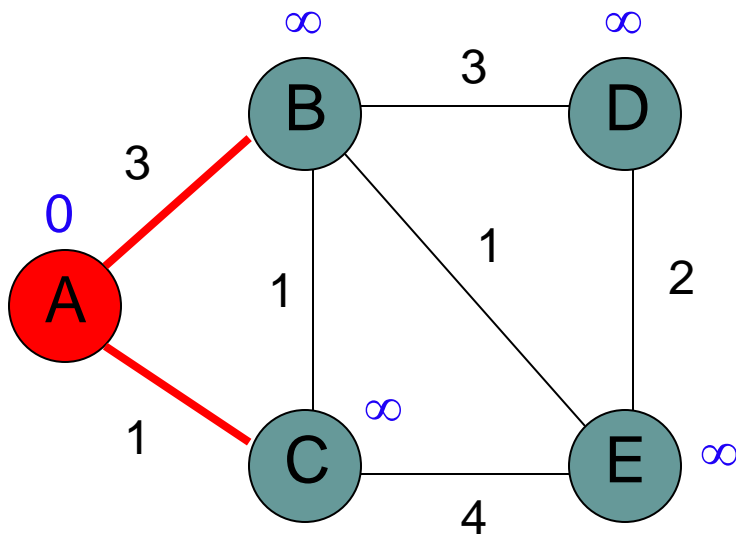
D  $\infty$

E  $\infty$



DIJKSTRA( $G, s$ )

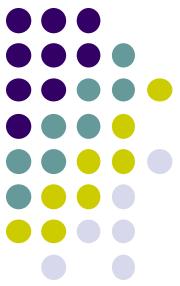
```
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11             DECREASEKEY( $Q, v, dist[v]$ )
12              $prev[v] \leftarrow u$ 
```



Heap

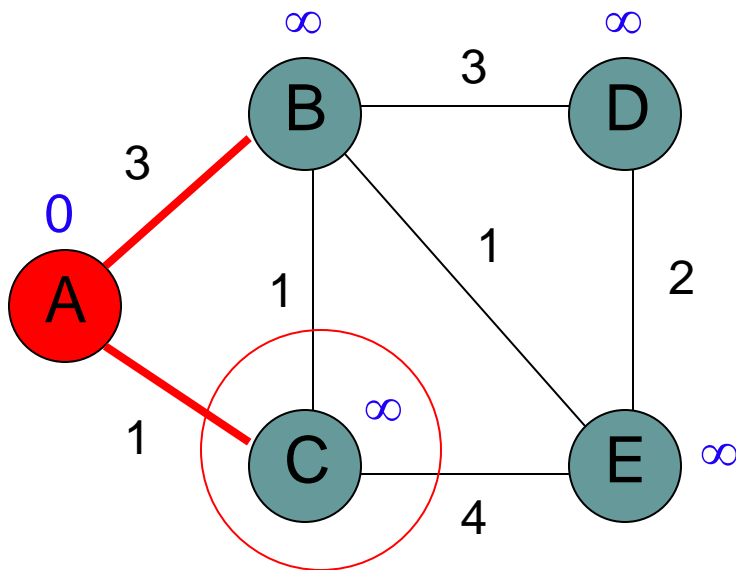
---

B	$\infty$
C	$\infty$
D	$\infty$
E	$\infty$



DIJKSTRA( $G, s$ )

```
1  for all  $v \in V$ 
2       $dist[v] \leftarrow \infty$ 
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10              $dist[v] \leftarrow dist[u] + w(u, v)$ 
11             DECREASEKEY( $Q, v, dist[v]$ )
12              $prev[v] \leftarrow u$ 
```



Heap

---

B	$\infty$
C	$\infty$
D	$\infty$
E	$\infty$



DIJKSTRA( $G, s$ )

```
1  for all  $v \in V$ 
2       $dist[v] \leftarrow \infty$ 
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```

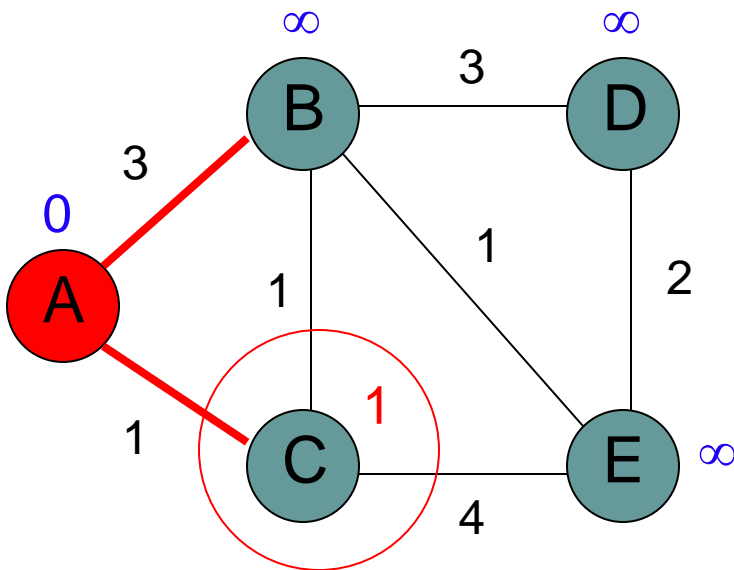
Heap

C 1

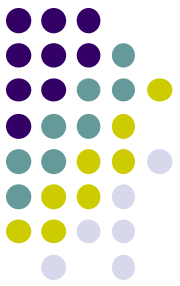
B  $\infty$

D  $\infty$

E  $\infty$





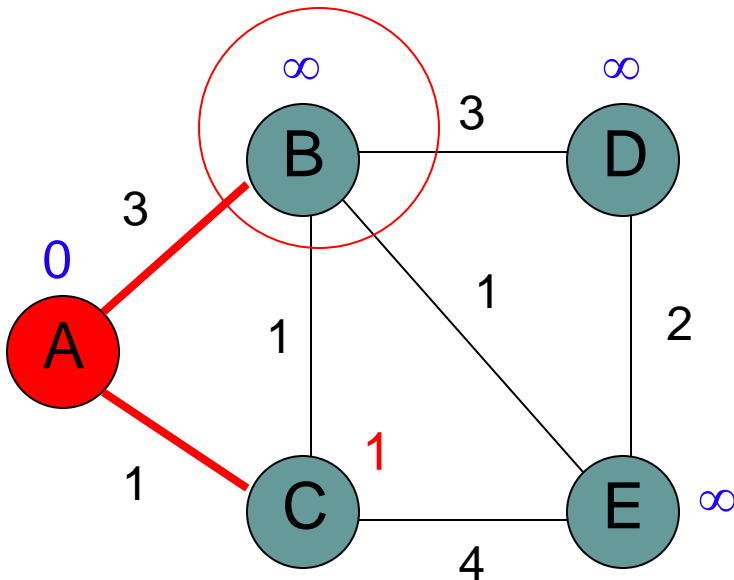


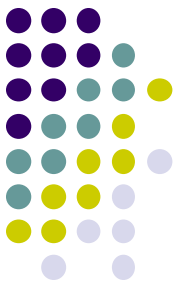
DIJKSTRA( $G, s$ )

```
1  for all  $v \in V$ 
2       $dist[v] \leftarrow \infty$ 
3       $prev[v] \leftarrow null$ 
4   $dist[s] \leftarrow 0$ 
5   $Q \leftarrow MAKEHEAP(V)$ 
6  while !EMPTY( $Q$ )
7       $u \leftarrow EXTRACTMIN(Q)$ 
8      for all edges  $(u, v) \in E$ 
9          if  $dist[v] > dist[u] + w(u, v)$ 
10              $dist[v] \leftarrow dist[u] + w(u, v)$ 
11             DECREASEKEY( $Q, v, dist[v]$ )
12              $prev[v] \leftarrow u$ 
```

Heap

C 1  
B  $\infty$   
D  $\infty$   
E  $\infty$



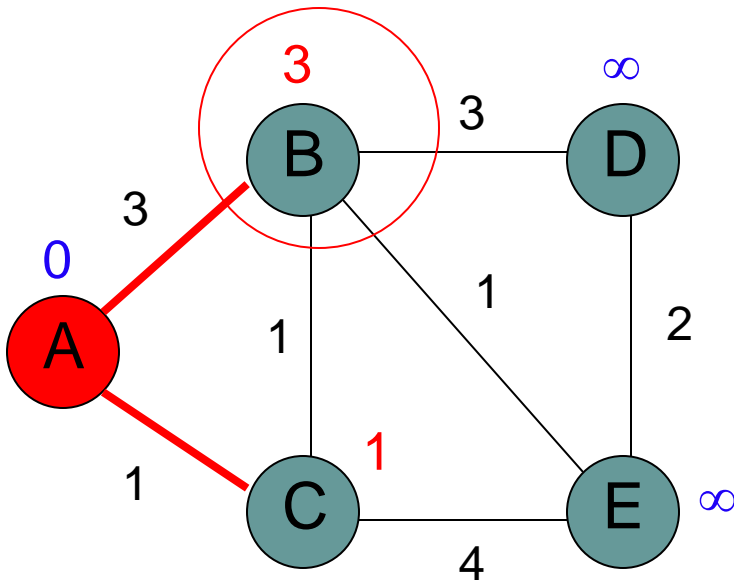


DIJKSTRA( $G, s$ )

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2       $dist[v] \leftarrow \infty$ 
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```

Heap

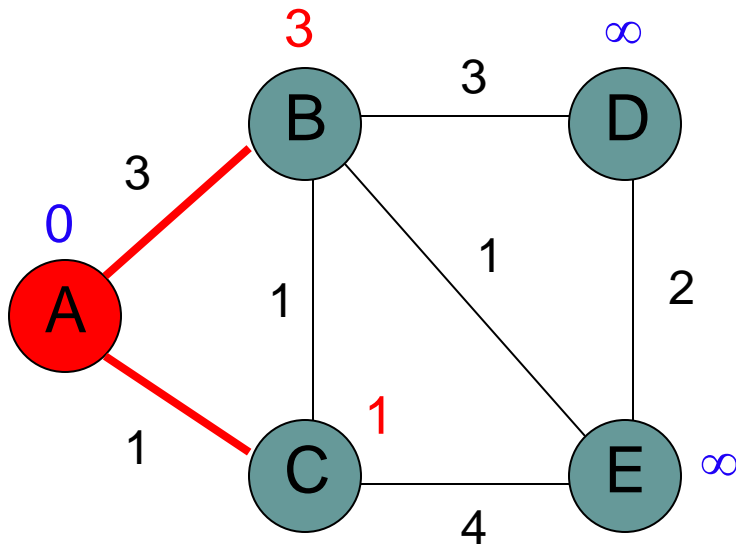
C 1  
B 3  
D  $\infty$   
E  $\infty$





DIJKSTRA( $G, s$ )

```
1  for all  $v \in V$ 
2       $dist[v] \leftarrow \infty$ 
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4   $dist[s] \leftarrow 0$ 
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10              $dist[v] \leftarrow dist[u] + w(u, v)$ 
11             DECREASEKEY( $Q, v, dist[v]$ )
12              $prev[v] \leftarrow u$ 
```



Heap

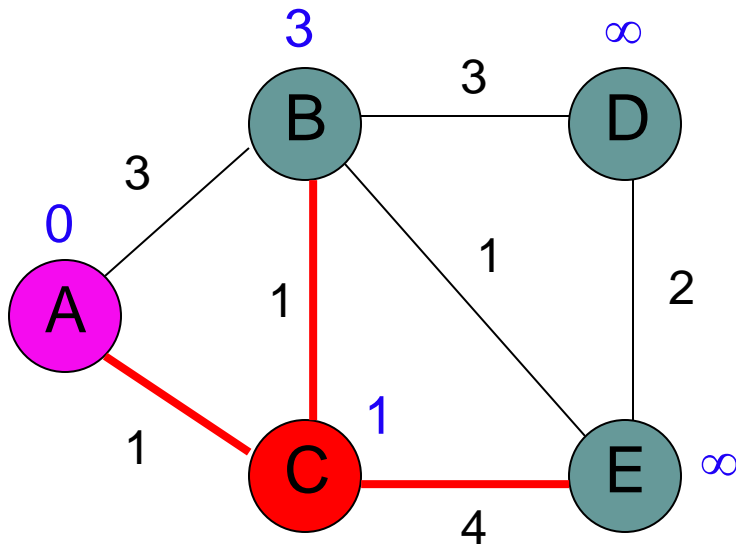
---

C	1
B	3
D	$\infty$
E	$\infty$



DIJKSTRA( $G, s$ )

```
1  for all  $v \in V$ 
2       $dist[v] \leftarrow \infty$ 
3       $prev[v] \leftarrow null$ 
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5   $Q \leftarrow \text{MAKEHEAP}(V)$ 
6  while !EMPTY( $Q$ )
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8      for all edges  $(u, v) \in E$ 
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10              $dist[v] \leftarrow dist[u] + w(u, v)$ 
11             DECREASEKEY( $Q, v, dist[v]$ )
12              $prev[v] \leftarrow u$ 
```



Heap

B 3

D  $\infty$

E  $\infty$



DIJKSTRA( $G, s$ )

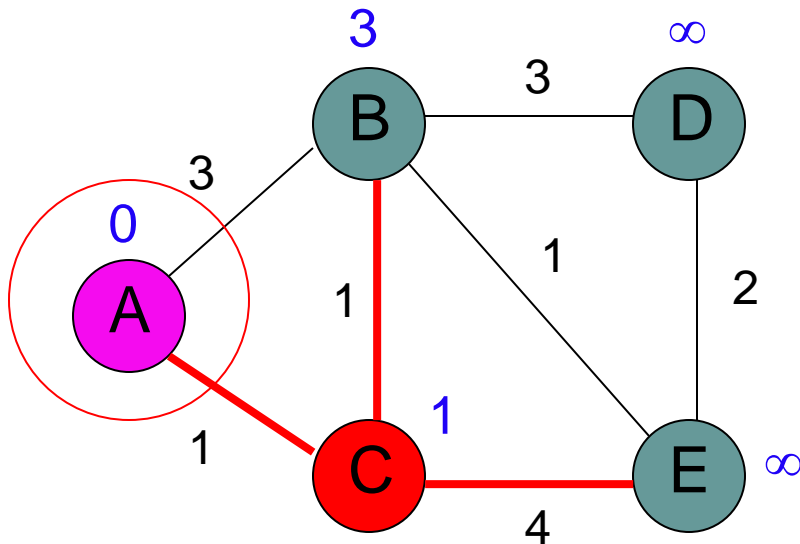
```
1  for all  $v \in V$ 
2       $dist[v] \leftarrow \infty$ 
3       $prev[v] \leftarrow null$ 
4   $dist[s] \leftarrow 0$ 
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```

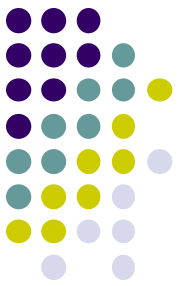
Heap

B 3

D  $\infty$

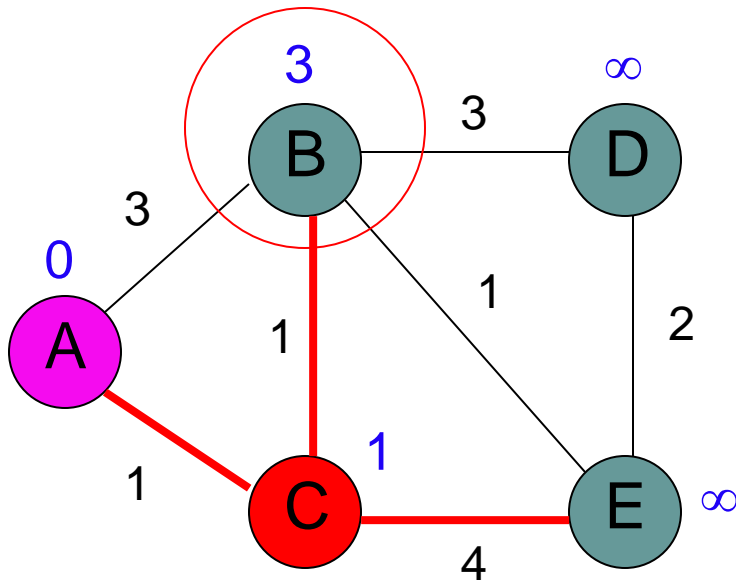
E  $\infty$





DIJKSTRA( $G, s$ )

```
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10              $dist[v] \leftarrow dist[u] + w(u, v)$ 
11             DECREASEKEY( $Q, v, dist[v]$ )
12              $prev[v] \leftarrow u$ 
```



Heap

B 3

D  $\infty$

E  $\infty$



DIJKSTRA( $G, s$ )

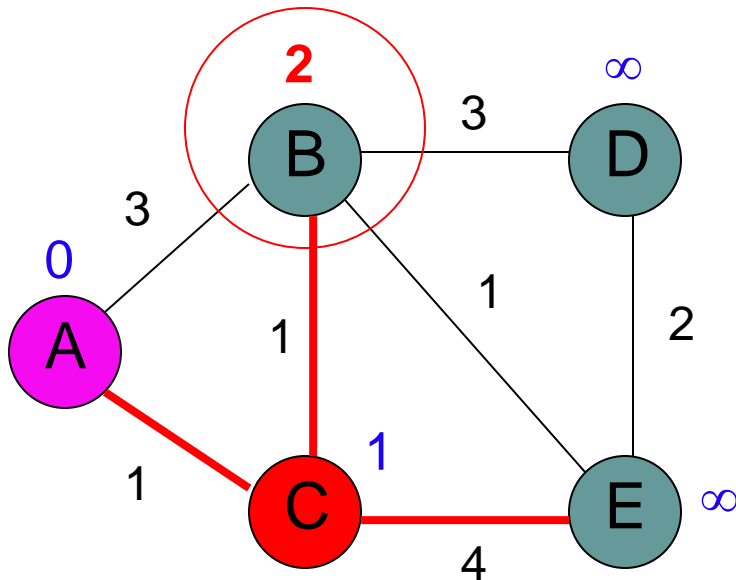
```
1  for all  $v \in V$ 
2       $dist[v] \leftarrow \infty$ 
3       $prev[v] \leftarrow null$ 
4   $dist[s] \leftarrow 0$ 
5   $Q \leftarrow MAKEHEAP(V)$ 
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11             DECREASEKEY( $Q, v, dist[v]$ )
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```

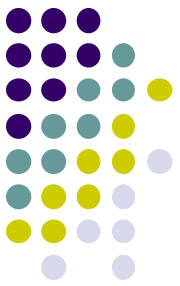
Heap

**B 2**

D  $\infty$

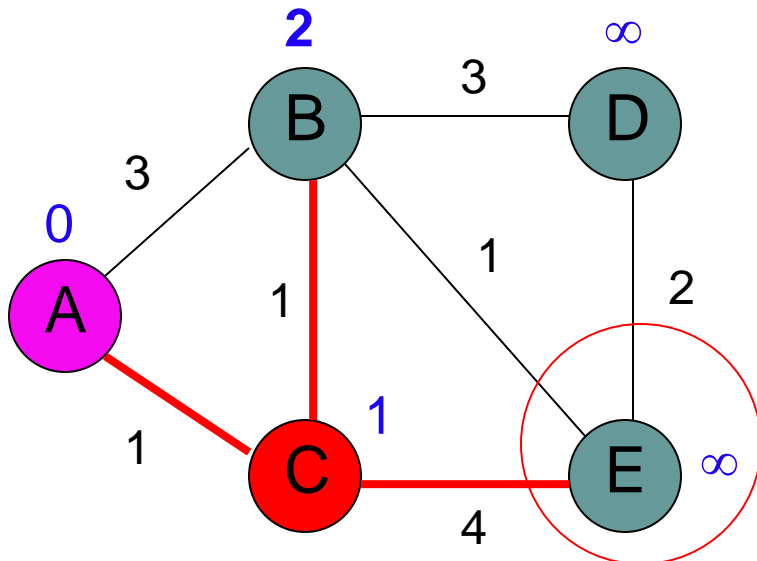
E  $\infty$





DIJKSTRA( $G, s$ )

```
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2       $dist[v] \leftarrow \infty$ 
3       $prev[v] \leftarrow null$ 
4   $dist[s] \leftarrow 0$ 
5   $Q \leftarrow \text{MAKEHEAP}(V)$ 
6  while !EMPTY( $Q$ )
7       $u \leftarrow \text{EXTRACTMIN}(Q)$ 
8      for all edges  $(u, v) \in E$ 
9          if  $dist[v] > dist[u] + w(u, v)$ 
10              $dist[v] \leftarrow dist[u] + w(u, v)$ 
11             DECREASEKEY( $Q, v, dist[v]$ )
12              $prev[v] \leftarrow u$ 
```



Heap

B 2

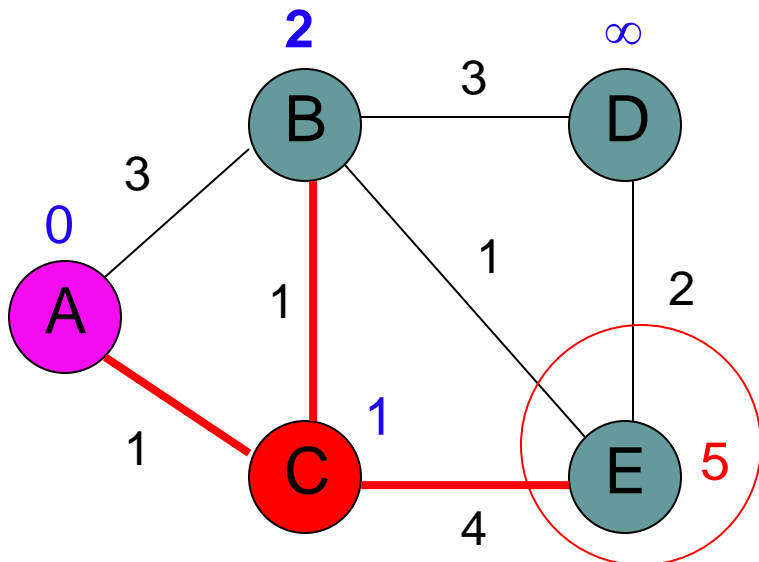
D  $\infty$

E  $\infty$



DIJKSTRA( $G, s$ )

```
1  for all  $v \in V$ 
2       $dist[v] \leftarrow \infty$ 
3       $prev[v] \leftarrow null$ 
4   $dist[s] \leftarrow 0$ 
5   $Q \leftarrow \text{MAKEHEAP}(V)$ 
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```

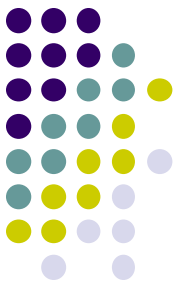


Heap

B 2

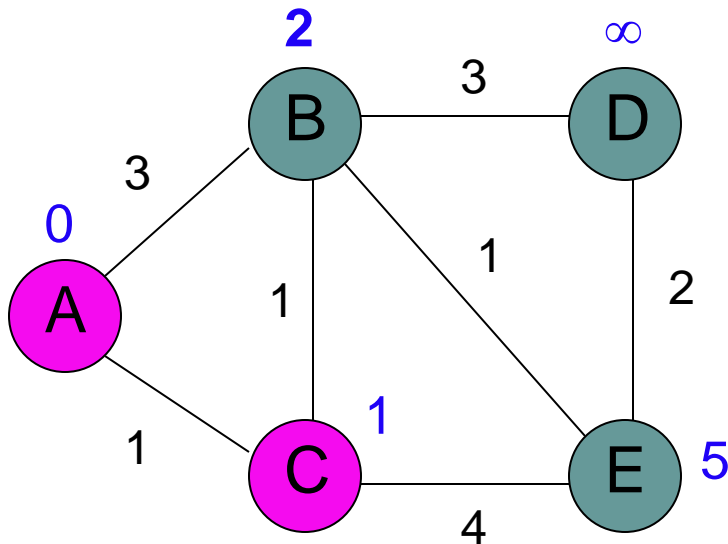
E 5

D ∞



DIJKSTRA( $G, s$ )

```
1  for all  $v \in V$ 
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10              $dist[v] \leftarrow dist[u] + w(u, v)$ 
11             DECREASEKEY( $Q, v, dist[v]$ )
12              $prev[v] \leftarrow u$ 
```



Frontier?

Heap

B 2

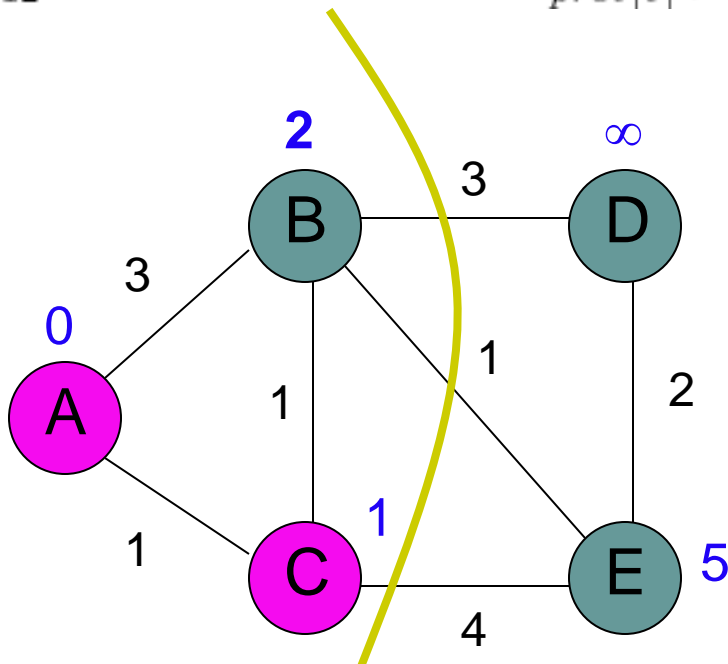
E 5

D  $\infty$



DIJKSTRA( $G, s$ )

```
1  for all  $v \in V$ 
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11             DECREASEKEY( $Q, v, dist[v]$ )
12              $prev[v] \leftarrow u$ 
```



Heap

B 2

E 5

D  $\infty$

All nodes reachable  
from starting node  
within a given distance



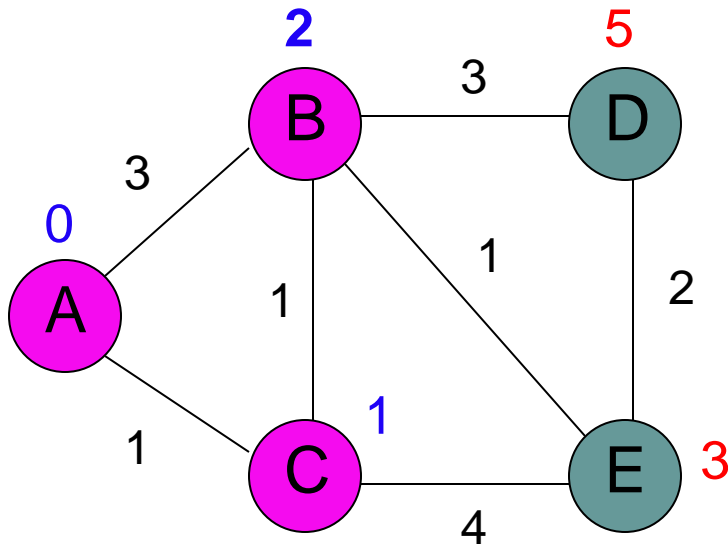
DIJKSTRA( $G, s$ )

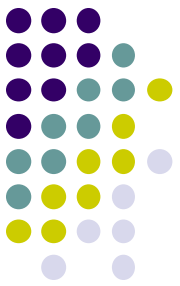
```
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11             DECREASEKEY( $Q, v, dist[v]$ )
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```

Heap

---

E 3  
D 5





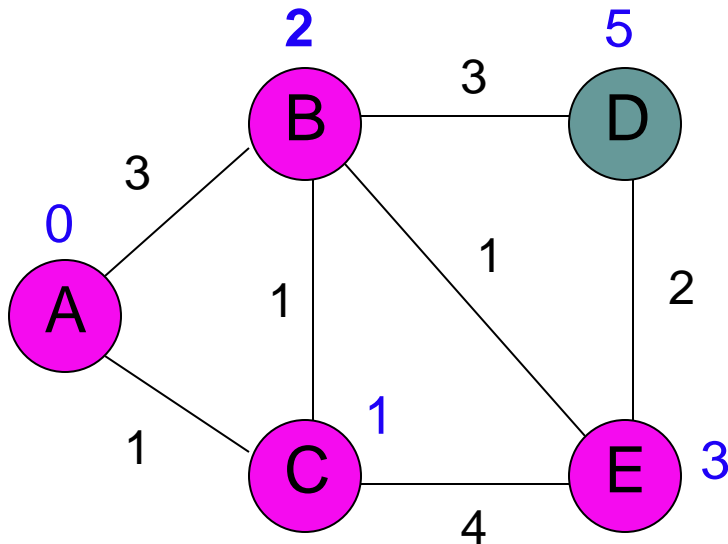
DIJKSTRA( $G, s$ )

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11             DECREASEKEY( $Q, v, dist[v]$ )
12              $prev[v] \leftarrow u$ 
```

Heap

---

D 5



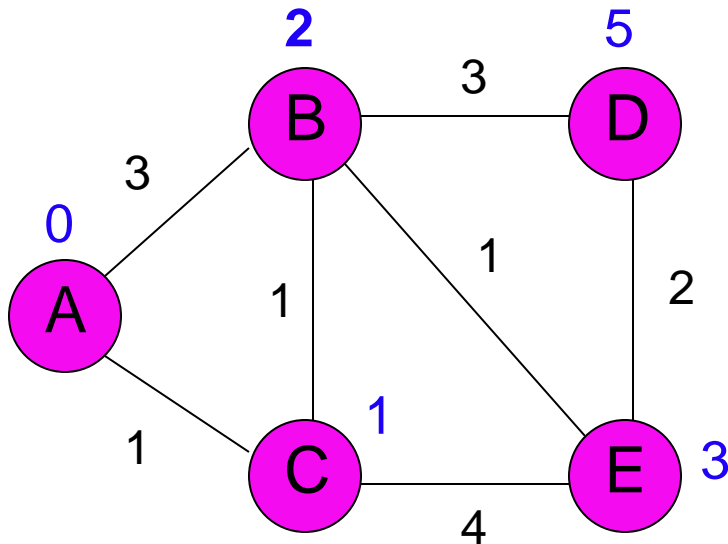


DIJKSTRA( $G, s$ )

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```

Heap

---



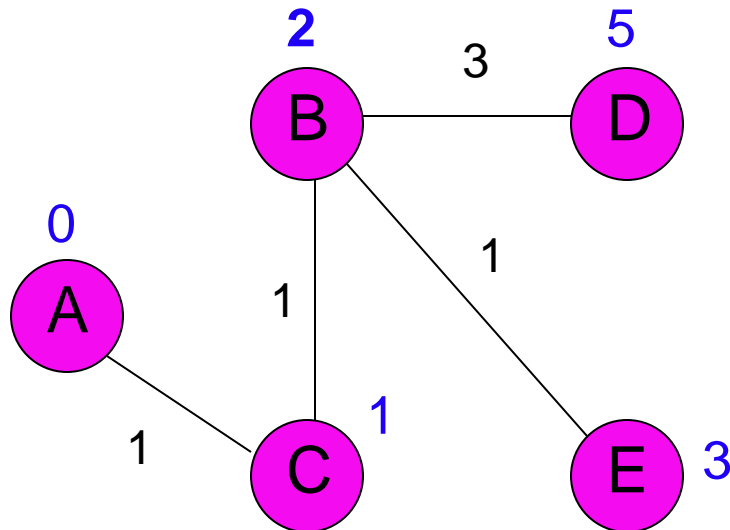


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11             DECREASEKEY( $Q, v, dist[v]$ )
12              $prev[v] \leftarrow u$ 
```

Heap

---



# Is Dijkstra's algorithm correct?



Invariant:

```
DIJKSTRA( $G, s$ )
1  for all  $v \in V$ 
2       $dist[v] \leftarrow \infty$ 
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12              $prev[v] \leftarrow u$ 
```



# Is Dijkstra's algorithm correct?



Invariant: For every vertex removed from the heap,  $\text{dist}[v]$  is the actual shortest distance from  $s$  to  $v$

DIJKSTRA( $G, s$ )

```
1  for all  $v \in V$ 
2       $\text{dist}[v] \leftarrow \infty$ 
3       $\text{prev}[v] \leftarrow \text{null}$ 
4   $\text{dist}[s] \leftarrow 0$ 
5   $Q \leftarrow \text{MAKEHEAP}(V)$ 
6  while !EMPTY( $Q$ )
7       $u \leftarrow \text{EXTRACTMIN}(Q)$ 
8      for all edges  $(u, v) \in E$ 
9          if  $\text{dist}[v] > \text{dist}[u] + w(u, v)$ 
10              $\text{dist}[v] \leftarrow \text{dist}[u] + w(u, v)$ 
11             DECREASEKEY( $Q, v, \text{dist}[v]$ )
12              $\text{prev}[v] \leftarrow u$ 
```

proof?

# Is Dijkstra's algorithm correct?



Invariant: For every vertex removed from the heap,  $\text{dist}[v]$  is the actual shortest distance from  $s$  to  $v$

- The only time a vertex gets visited is when the distance from  $s$  to that vertex is smaller than the distance to any remaining vertex
- Therefore, there cannot be any other path that hasn't been visited already that would result in a shorter path

# Running time?



DIJKSTRA( $G, s$ )

```
1  for all  $v \in V$ 
2       $dist[v] \leftarrow \infty$ 
3       $prev[v] \leftarrow null$ 
4   $dist[s] \leftarrow 0$ 
5   $Q \leftarrow \text{MAKEHEAP}(V)$ 
6  while !EMPTY( $Q$ )
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```

# Running time?



DIJKSTRA( $G, s$ )

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10              $dist[v] \leftarrow dist[u] + w(u, v)$ 
11             DECREASEKEY( $Q, v, dist[v]$ )
12              $prev[v] \leftarrow u$ 
```

1 call to MakeHeap

# Running time?



DIJKSTRA( $G, s$ )

```
1  for all  $v \in V$ 
2       $dist[v] \leftarrow \infty$ 
3       $prev[v] \leftarrow null$ 
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10              $dist[v] \leftarrow dist[u] + w(u, v)$ 
11             DECREASEKEY( $Q, v, dist[v]$ )
12              $prev[v] \leftarrow u$ 
```

$|V|$  iterations

# Running time?



DIJKSTRA( $G, s$ )

```
1  for all  $v \in V$ 
2       $dist[v] \leftarrow \infty$ 
3       $prev[v] \leftarrow null$ 
4   $dist[s] \leftarrow 0$ 
5   $Q \leftarrow \text{MAKEHEAP}(V)$ 
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7       $u \leftarrow \text{EXTRACTMIN}(Q)$ 
8      for all edges  $(u, v) \in E$ 
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10              $dist[v] \leftarrow dist[u] + w(u, v)$ 
11             DECREASEKEY( $Q, v, dist[v]$ )
12              $prev[v] \leftarrow u$ 
```

$|V|$  calls

# Running time?



DIJKSTRA( $G, s$ )

```
1  for all  $v \in V$ 
2       $dist[v] \leftarrow \infty$ 
3       $prev[v] \leftarrow null$ 
4   $dist[s] \leftarrow 0$ 
5   $Q \leftarrow \text{MAKEHEAP}(V)$ 
6  while !EMPTY( $Q$ )
7       $u \leftarrow \text{EXTRACTMIN}(Q)$ 
8      for all edges  $(u, v) \in E$ 
9          if  $dist[v] > dist[u] + w(u, v)$ 
10              $dist[v] \leftarrow dist[u] + w(u, v)$ 
11             DECREASEKEY( $Q, v, dist[v]$ )
12              $prev[v] \leftarrow u$ 
```

$O(|E|)$  calls

# Running time?



- Depends on the heap implementation

	1 MakeHeap	$ V $ ExtractMin	$ E $ DecreaseKey	Total
Array	$O( V )$	$O( V ^2)$	$O( E )$	$O( V ^2)$
Bin heap	$O( V )$	$O( V  \log  V )$	$O( E  \log  V )$	$O(( V + E ) \log  V )$ $O( E  \log  V )$



# Running time?



- Depends on the heap implementation

	1 MakeHeap	$ V $ ExtractMin	$ E $ DecreaseKey	Total
Array	$O( V )$	$O( V ^2)$	$O( E )$	$O( V ^2)$
Bin heap	$O( V )$	$O( V  \log  V )$	$O( E  \log  V )$	$O(( V + E ) \log  V )$ $O( E  \log  V )$

Is this an improvement?

If  $|E| < |V|^2 / \log |V|$

# Running time?



- Depends on the heap implementation

	1 MakeHeap	$ V $ ExtractMin	$ E $ DecreaseKey	Total
Array	$O( V )$	$O( V ^2)$	$O( E )$	$O( V ^2)$
Bin heap	$O( V )$	$O( V  \log  V )$	$O( E  \log  V )$	$O(( V + E ) \log  V )$ $O( E  \log  V )$
Fib heap	$O( V )$	$O( V  \log  V )$	$O( E )$	$O( V  \log  V  +  E )$



# Kruskal's algorithm

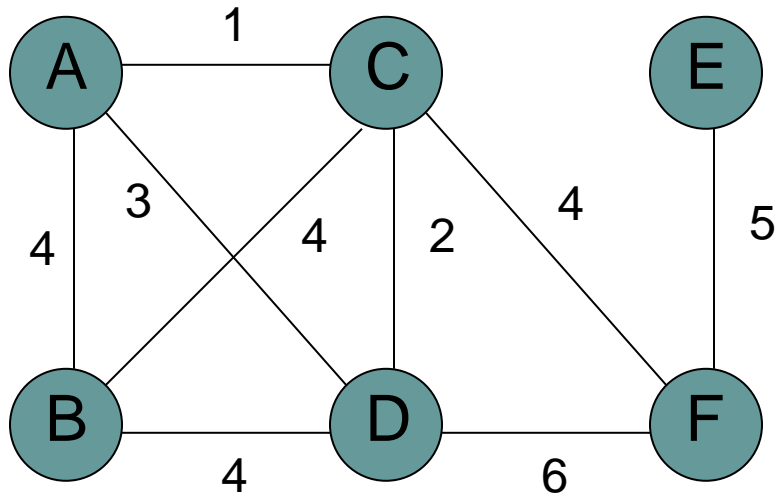
Given a partition  $S$ , let edge  $e$  be the minimum cost edge that **crosses** the partition. *Every* minimum spanning tree contains edge  $e$ .

KRUSKAL( $G$ )

```
1  for all  $v \in V$ 
2      MAKESET( $v$ )
3   $T \leftarrow \{\}$ 
4  sort the edges of  $E$  by weight
5  for all edges  $(u, v) \in E$  in increasing order of weight
6      if FIND-SET( $u$ )  $\neq$  FIND-SET( $v$ )
7          add edge to  $T$ 
8          UNION(FIND-SET( $u$ ), FIND-SET( $v$ ))
```

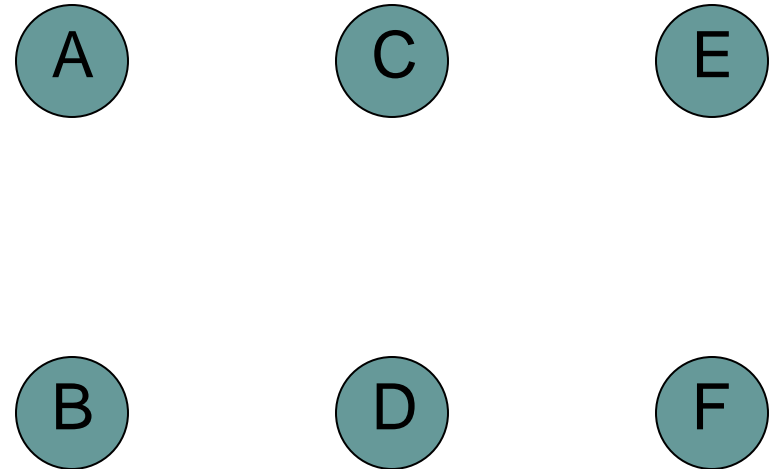
# Kruskal's algorithm

Add smallest edge that connects  
two sets not already connected



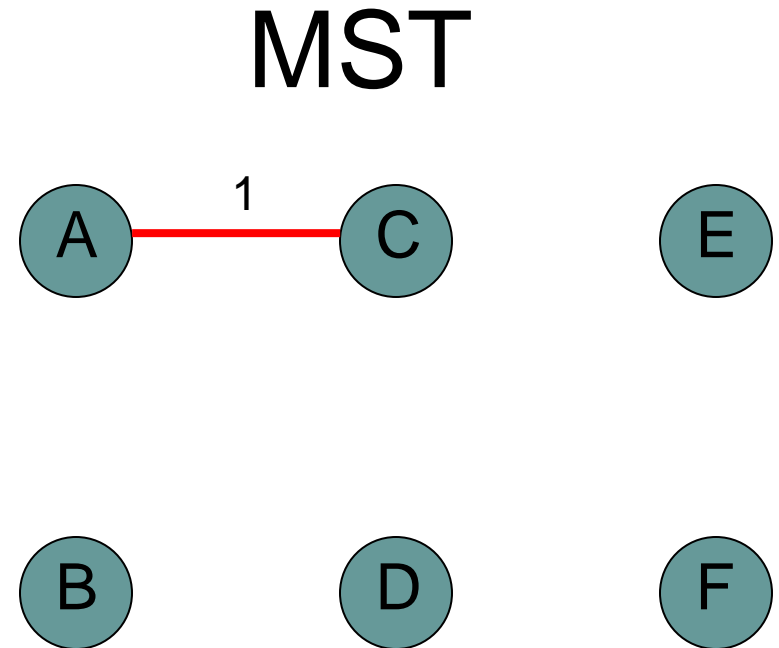
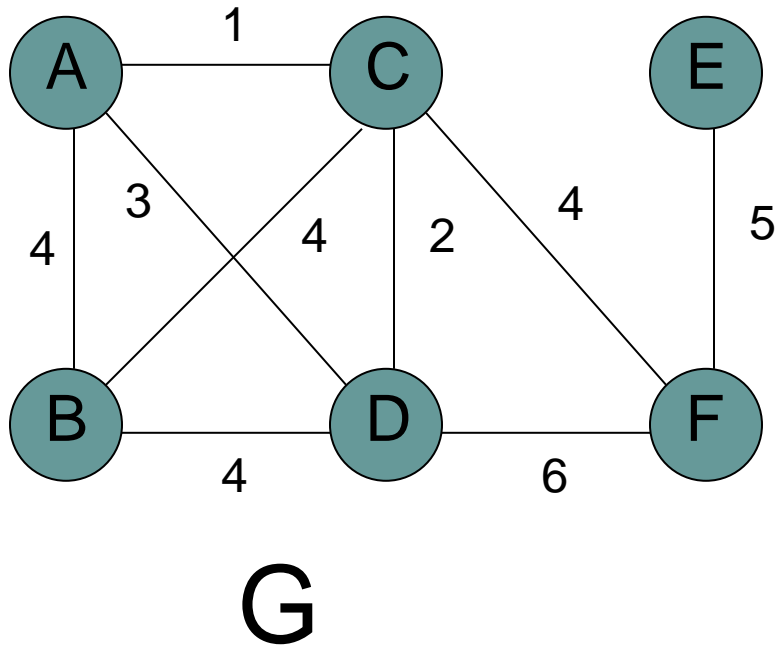
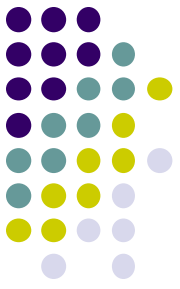
G

MST



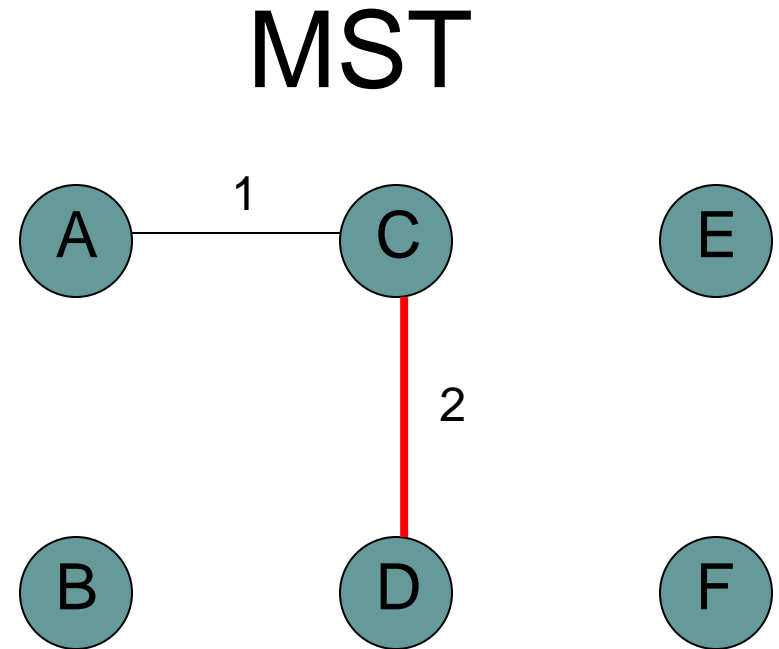
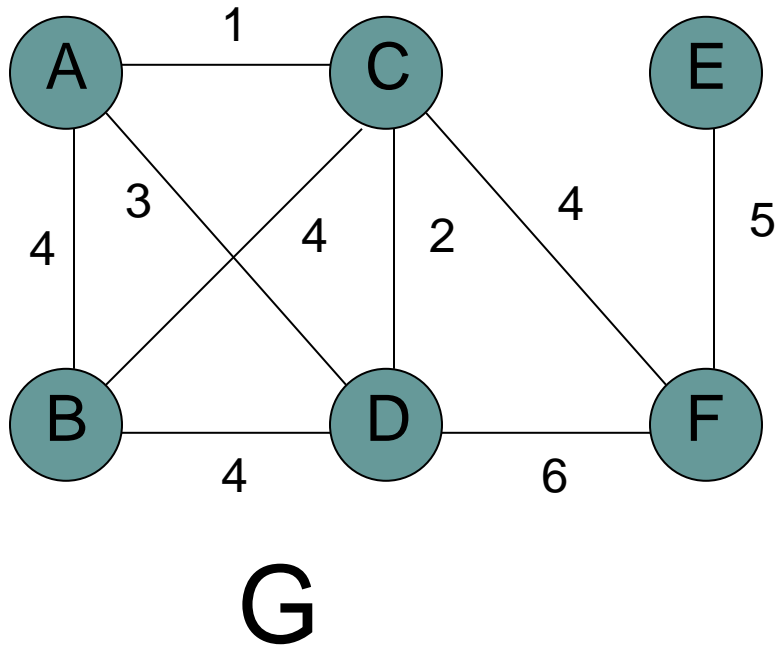
# Kruskal's algorithm

Add smallest edge that connects  
two sets not already connected



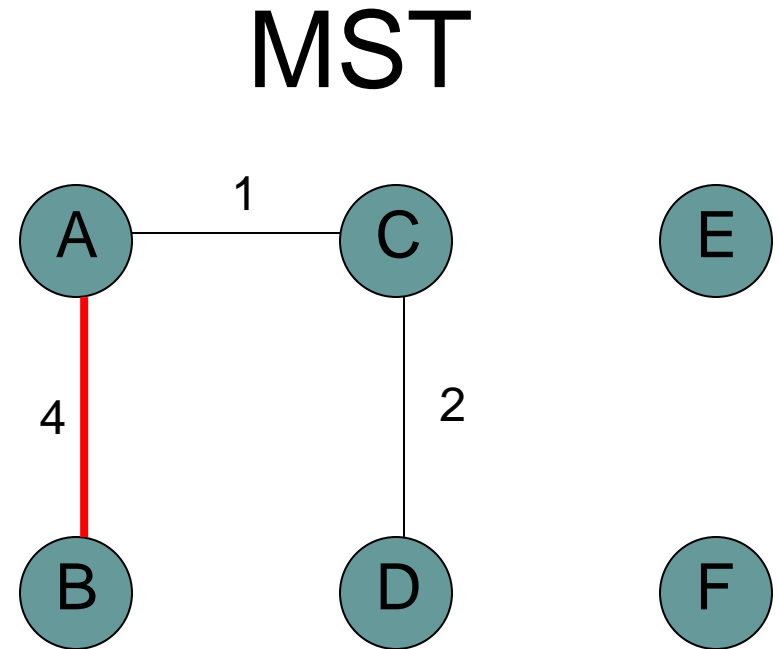
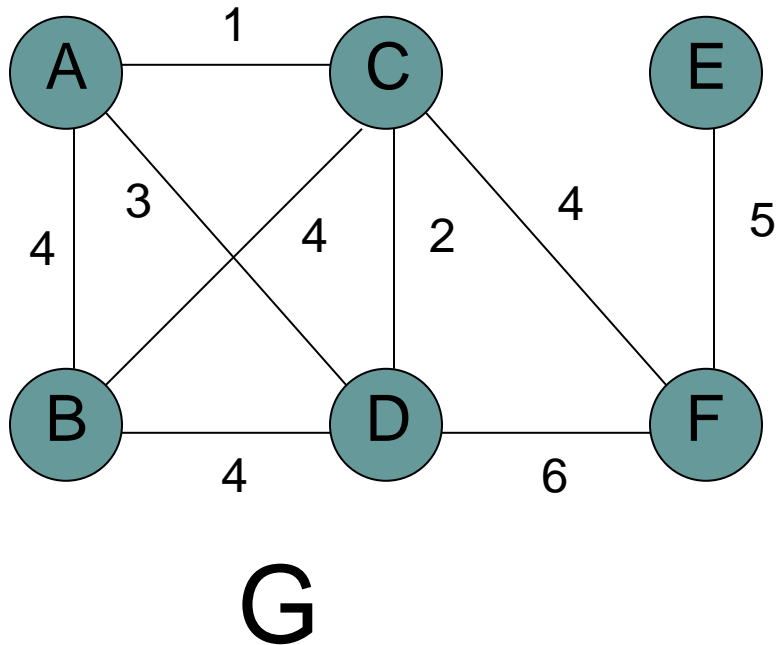
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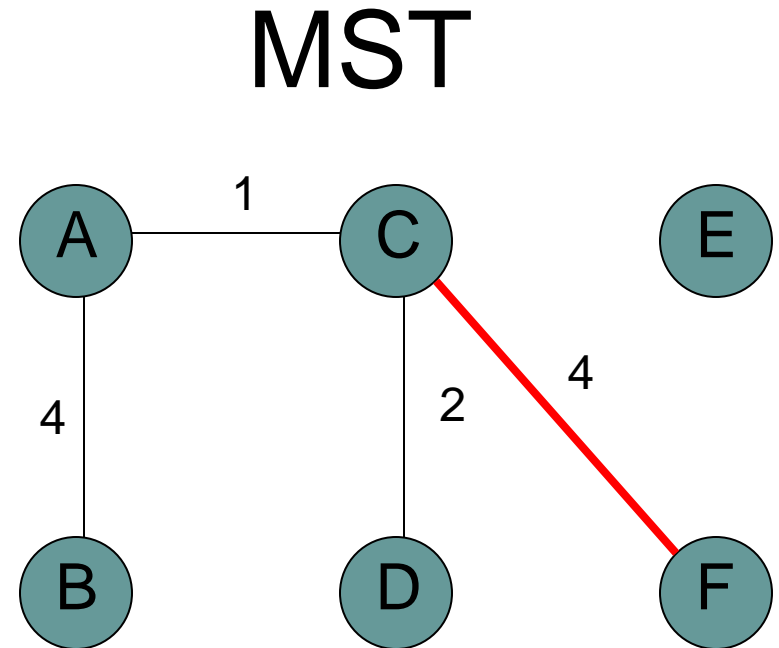
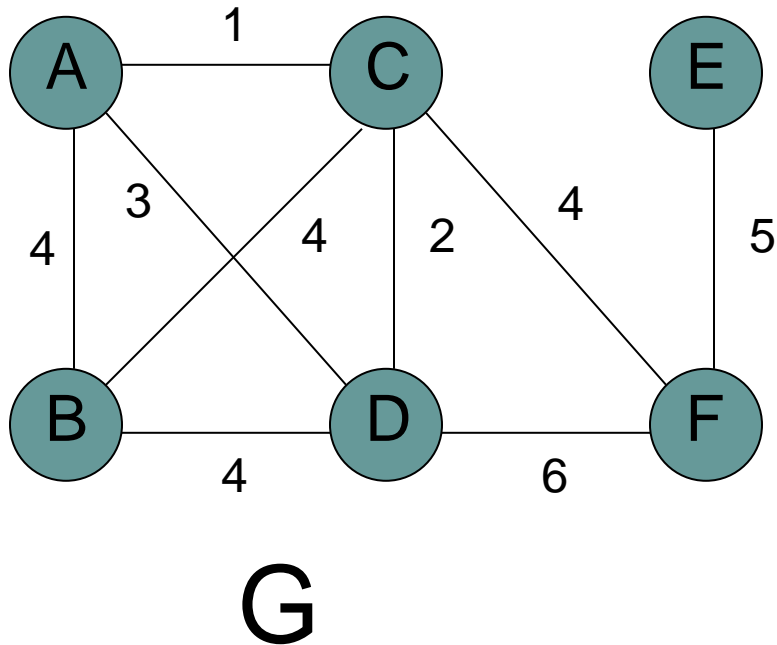
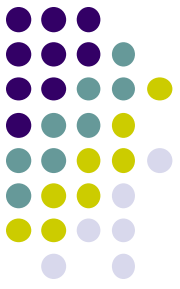
# Kruskal's algorithm

Add smallest edge that connects  
two sets not already connected



# Kruskal's algorithm

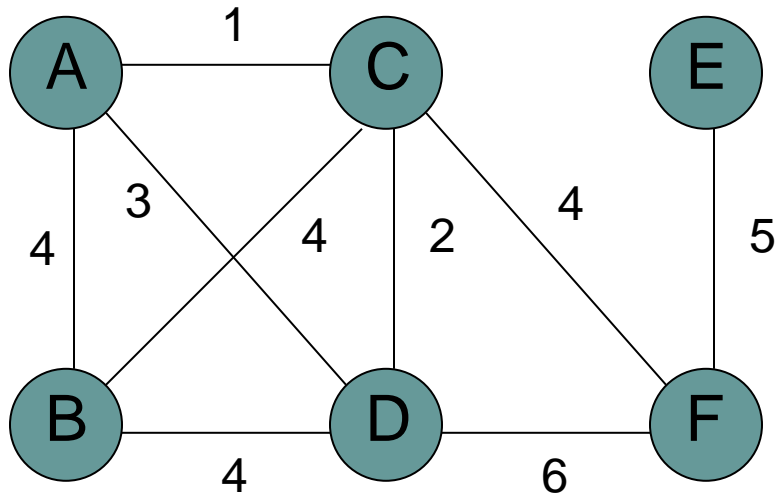
Add smallest edge that connects  
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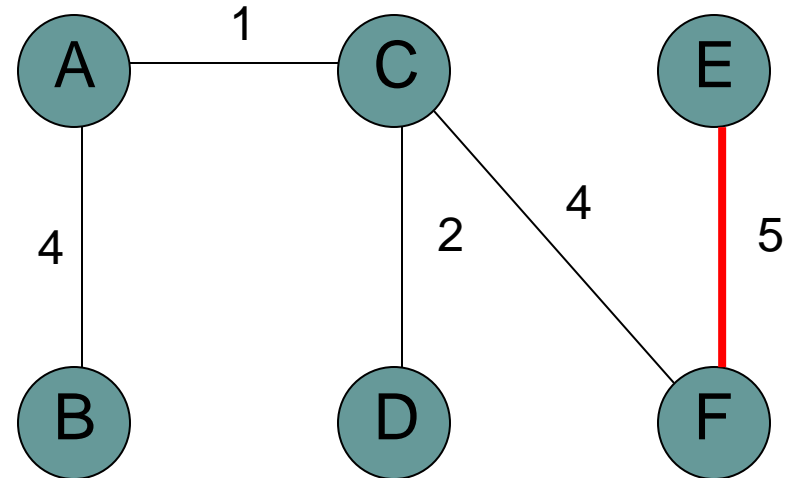
# Kruskal's algorithm

Add smallest edge that connects  
two sets not already connected



G

MST





# Correctness of Kruskal's

- Never adds an edge that connects already connected vertices
- Always adds lowest cost edge to connect two sets. By min cut property, that edge must be part of the MST

KRUSKAL( $G$ )

```
1  for all  $v \in V$ 
2      MAKESET( $v$ )
3   $T \leftarrow \{\}$ 
4  sort the edges of  $E$  by weight
5  for all edges  $(u, v) \in E$  in increasing order of weight
6      if FIND-SET( $u$ )  $\neq$  FIND-SET( $v$ )
7          add edge to  $T$ 
8          UNION(FIND-SET( $u$ ), FIND-SET( $v$ ))
```



# Running time of Kruskal's

```
KRUSKAL( $G$ )
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# Running time of Kruskal's

KRUSKAL( $G$ )

1 **for** all  $v \in V$

2     MAKESET( $v$ )

3  $T \leftarrow \{\}$

4 sort the edges of  $E$  by weight

5 **for** all edges  $(u, v) \in E$  in increasing order of weight

6     **if** FIND-SET( $u$ )  $\neq$  FIND-SET( $v$ )

7         add edge to  $T$

8         UNION(FIND-SET( $u$ ), FIND-SET( $v$ ))

$|V|$  calls to MakeSet

$O(|E| \log |E|)$

$2|E|$  calls to FindSet

$|V|$  calls to Union



# Running time of Kruskal's

## Disjoint set data structure

$$O(|E| \log |E|) +$$

	MakeSet	FindSet $ E $ calls	Union $ V $ calls	Total
Linked lists	$ V $	$O( V   E )$	$ V $	$O( V  E  +  E  \log  E )$ $O( V   E )$
Linked lists + heuristics	$ V $	$O( E  \log  V )$	$ V $	$O( E  \log  V  +  E  \log  E )$ $O( E  \log  E )$

# Prim's algorithm



PRIM( $G, r$ )

```
1  for all  $v \in V$ 
2       $key[v] \leftarrow \infty$ 
3       $prev[v] \leftarrow null$ 
4   $key[r] \leftarrow 0$ 
5   $H \leftarrow \text{MAKEHEAP}(key)$ 
6  while !Empty( $H$ )
7       $u \leftarrow \text{EXTRACT-MIN}(H)$ 
8       $visited[u] \leftarrow true$ 
9      for each edge  $(u, v) \in E$ 
10         if !visited[ $v$ ] and  $w(u, v) < key(v)$ 
11             DECREASE-KEY( $v, w(u, v)$ )
12              $prev[v] \leftarrow u$ 
```

# Prim's algorithm



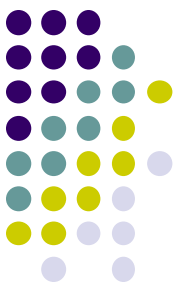
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10         if !visited[ $v$ ] and  $w(u, v) < key[v]$ 
11             DECREASE-KEY( $v, w(u, v)$ )
12              $prev[v] \leftarrow u$ 
```

DIJKSTRA( $G, s$ )

```
1  for all  $v \in V$ 
2       $dist[v] \leftarrow \infty$ 
3       $prev[v] \leftarrow null$ 
4   $dist[s] \leftarrow 0$ 
5   $Q \leftarrow \text{MAKEHEAP}(V)$ 
6  while !EMPTY( $Q$ )
7       $u \leftarrow \text{EXTRACTMIN}(Q)$ 
8      for all edges  $(u, v) \in E$ 
9         if  $dist[v] > dist[u] + w(u, v)$ 
10              $dist[v] \leftarrow dist[u] + w(u, v)$ 
11             DECREASEKEY( $Q, v, dist[v]$ )
12              $prev[v] \leftarrow u$ 
```

# Prim's algorithm



PRIM( $G, r$ )

```
1  for all  $v \in V$ 
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11             DECREASE-KEY( $v, w(u, v)$ )
12              $prev[v] \leftarrow u$ 
```





# Prim's algorithm

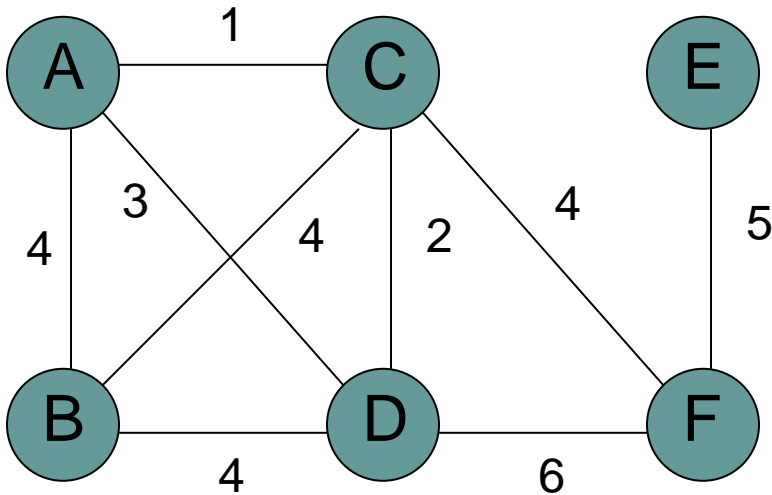
Start at some root node and build out the MST by adding the lowest weighted edge at the frontier

PRIM( $G, r$ )

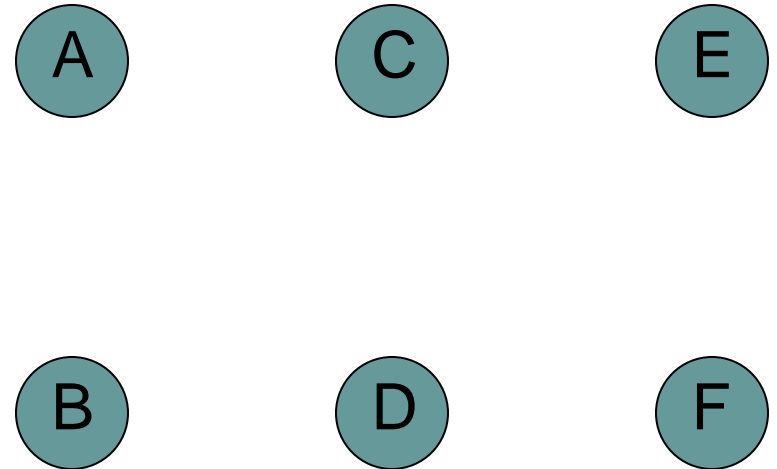
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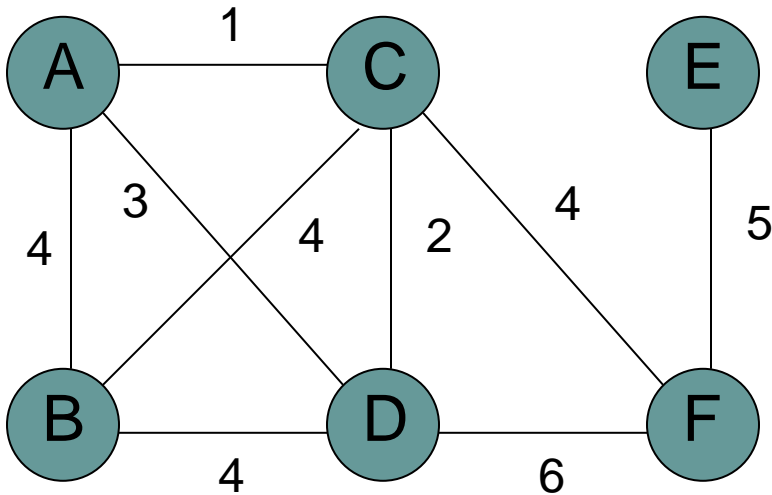


## MST

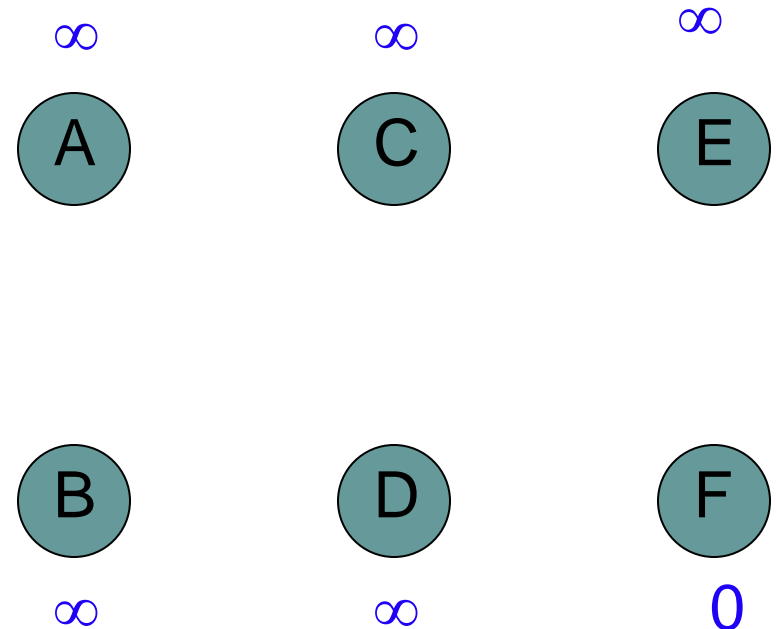


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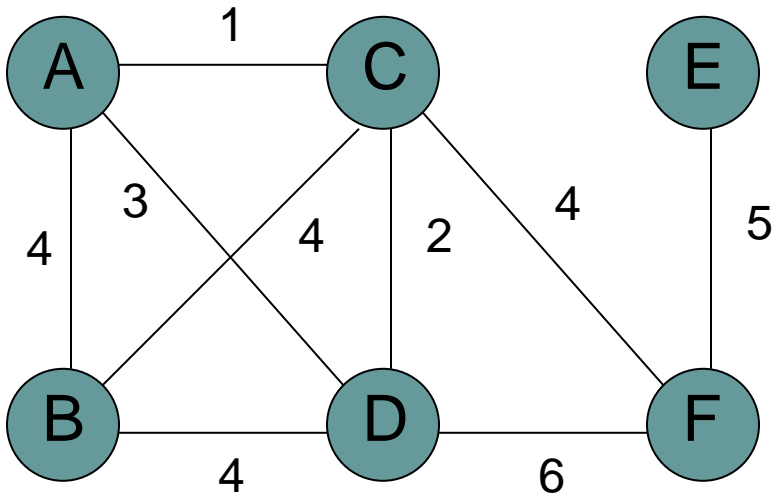


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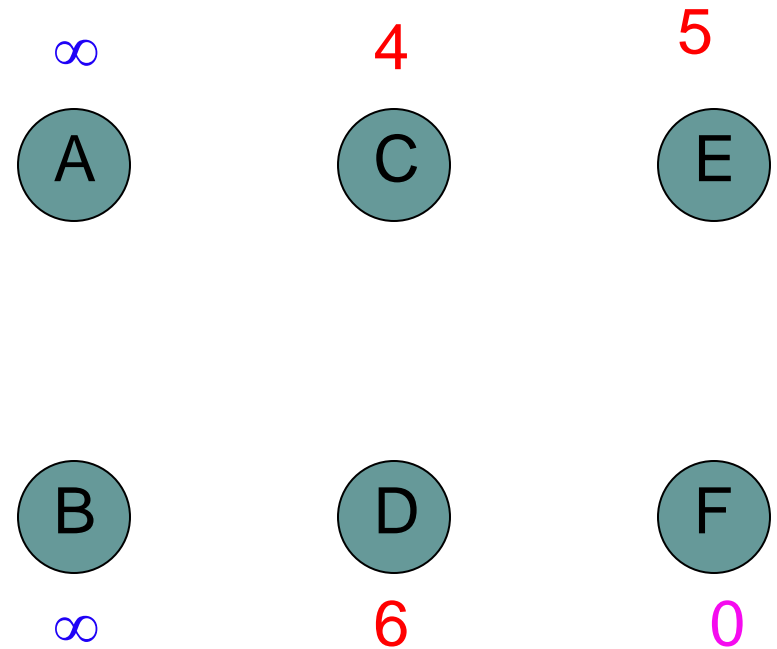


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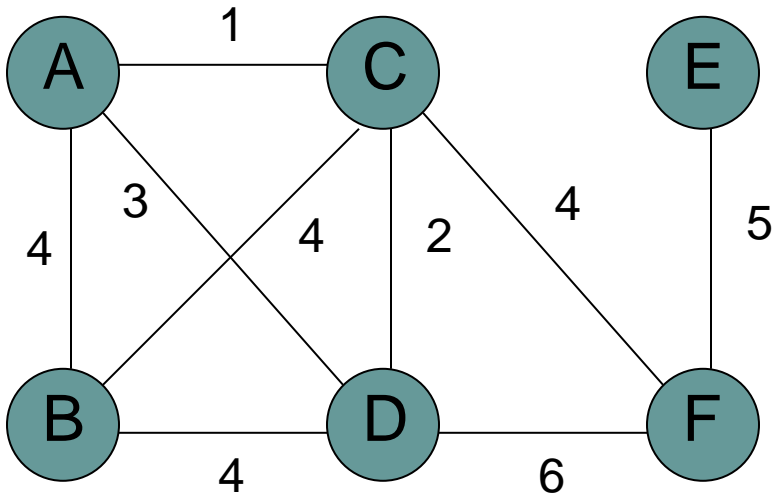


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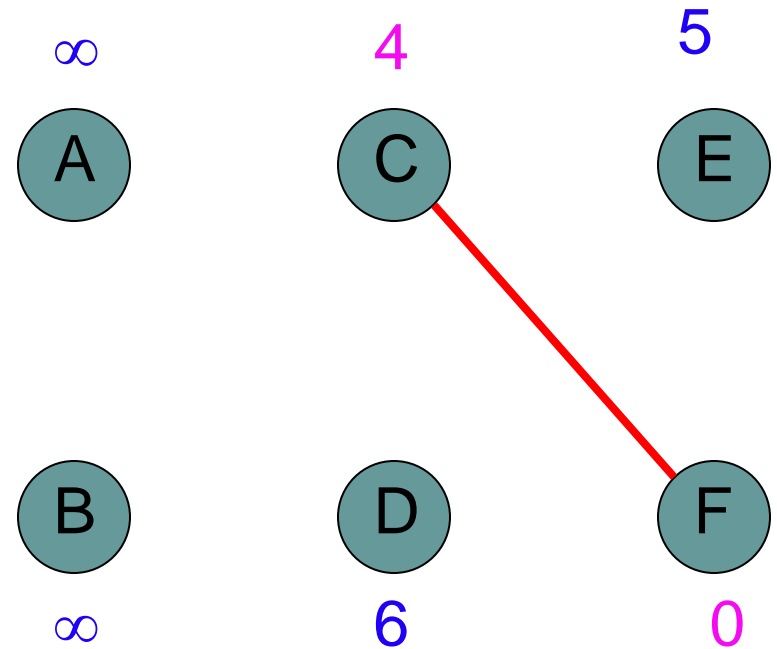


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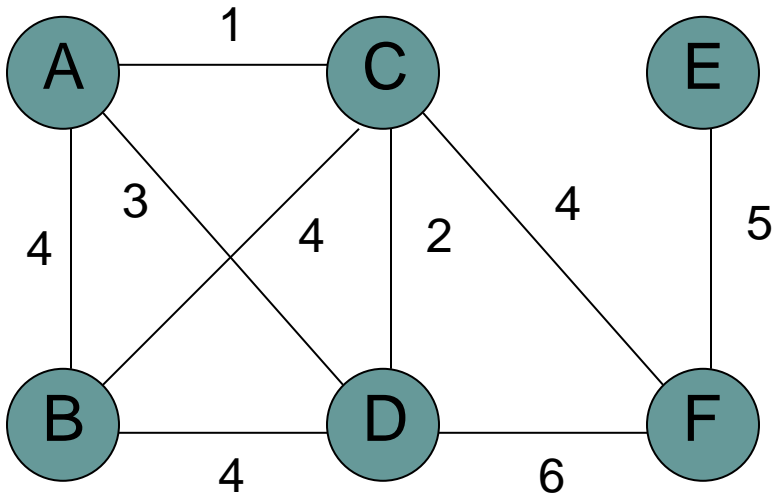


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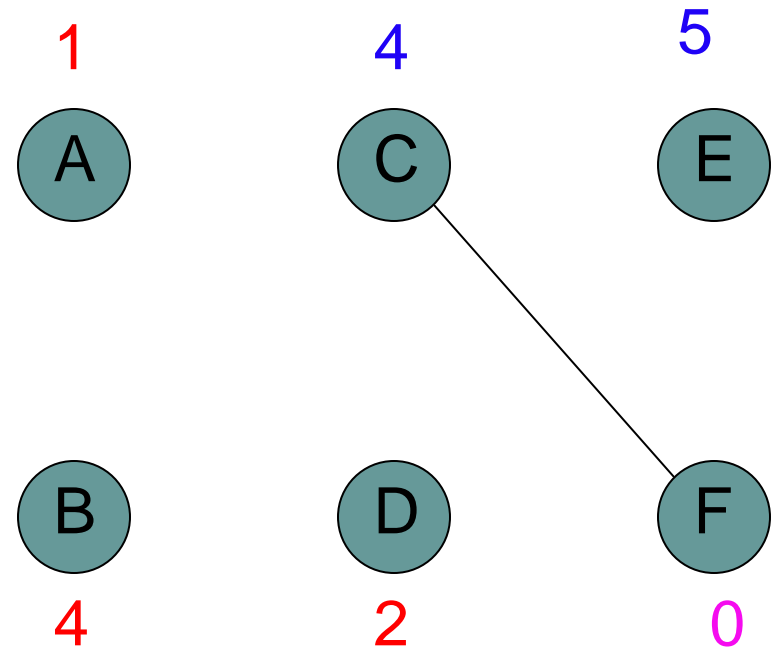


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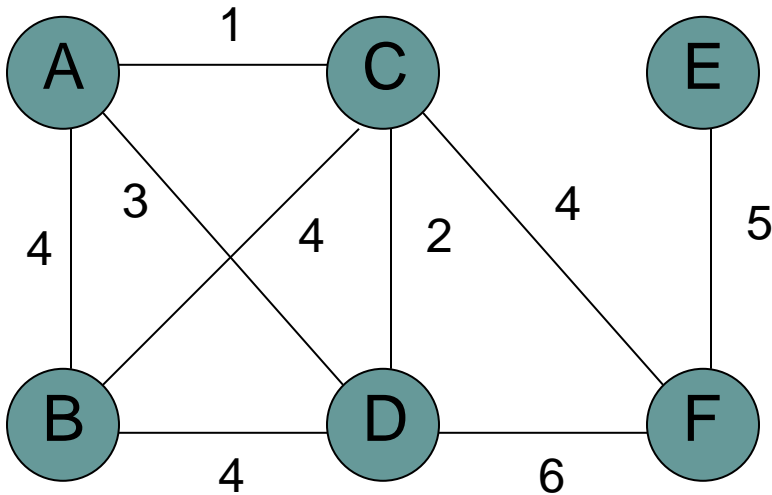


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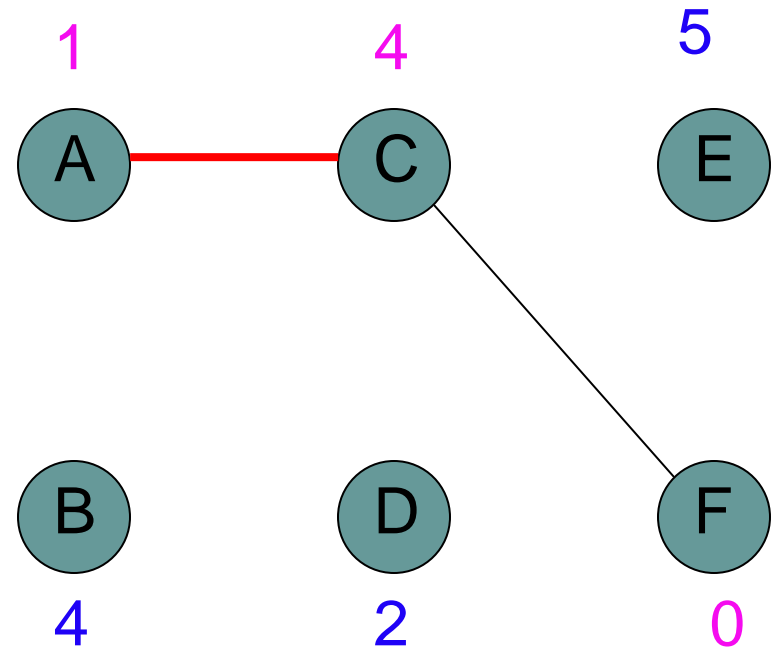


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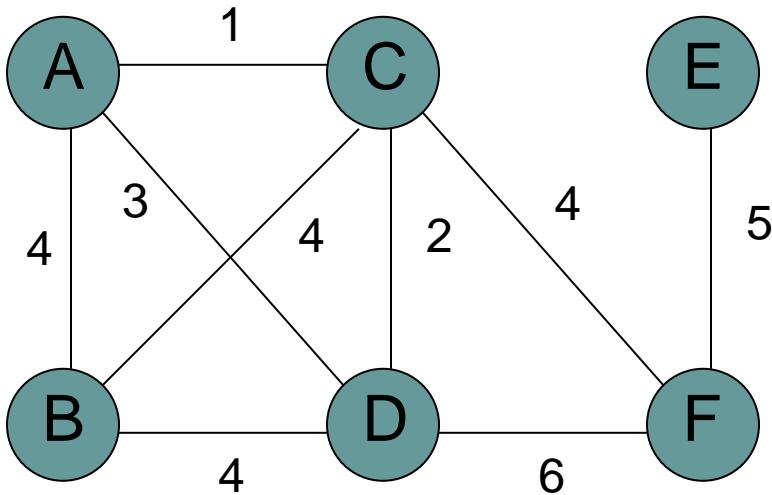


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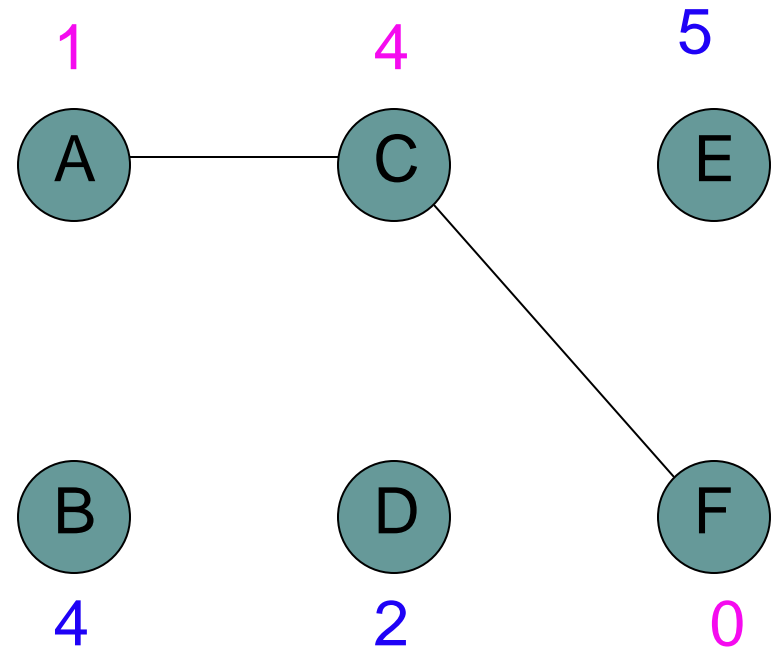


# Prim's

```
6  while !Empty(H)
7      u ← EXTRACT-MIN(H)
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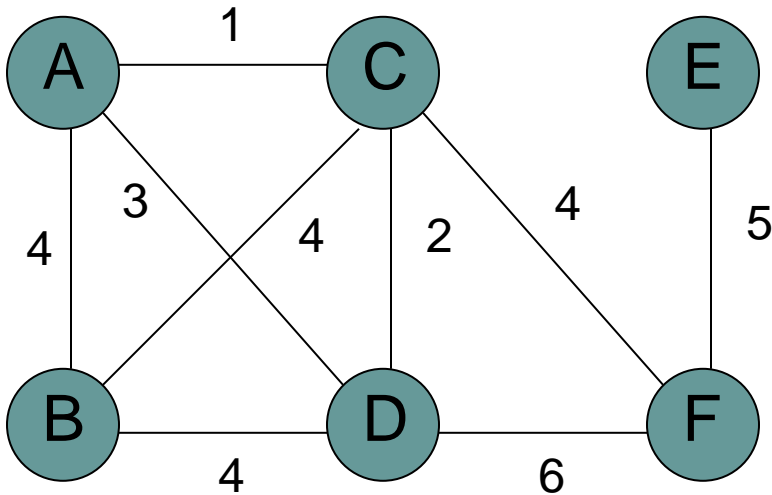
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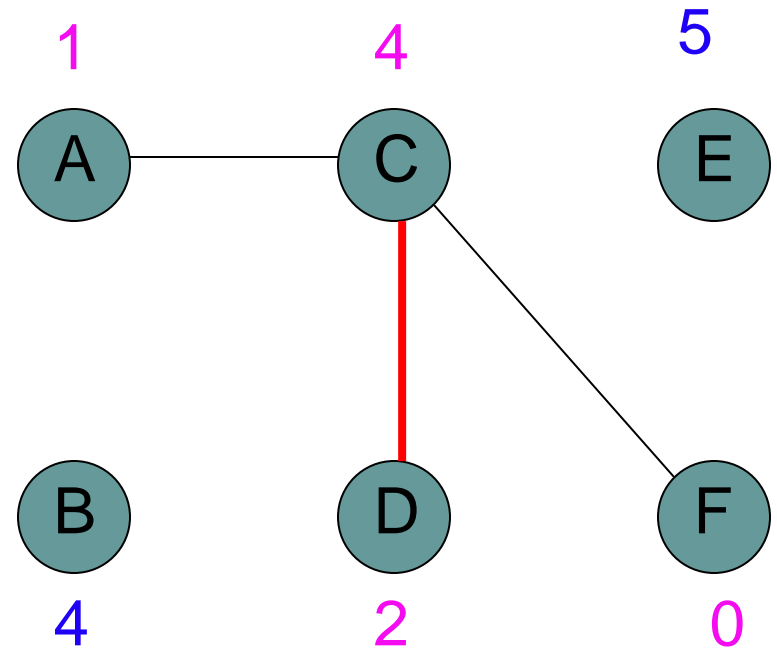


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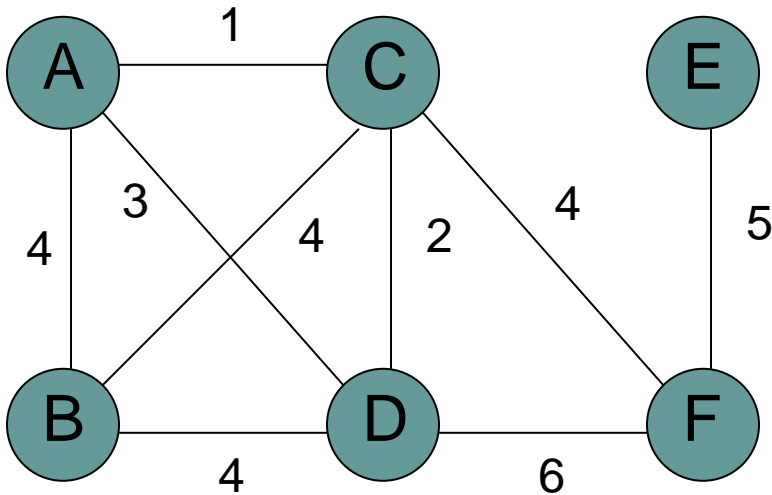


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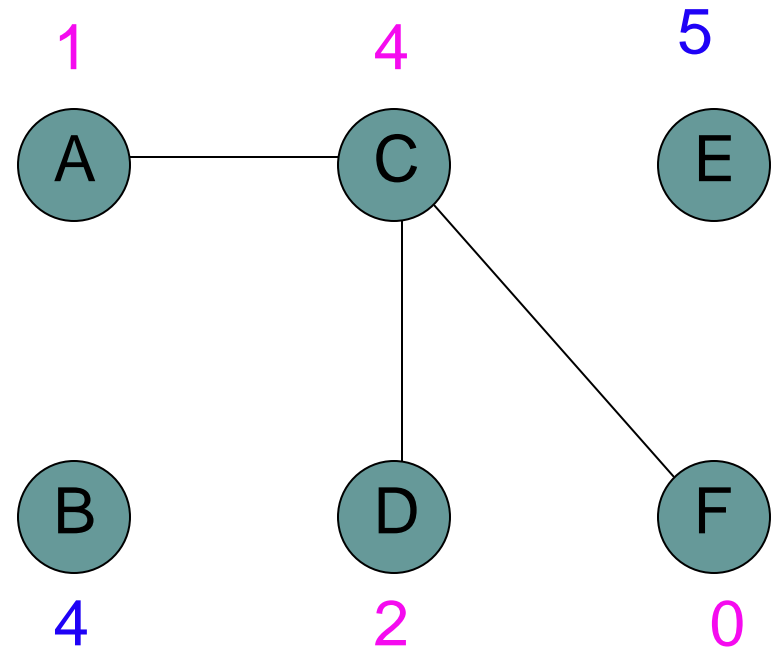


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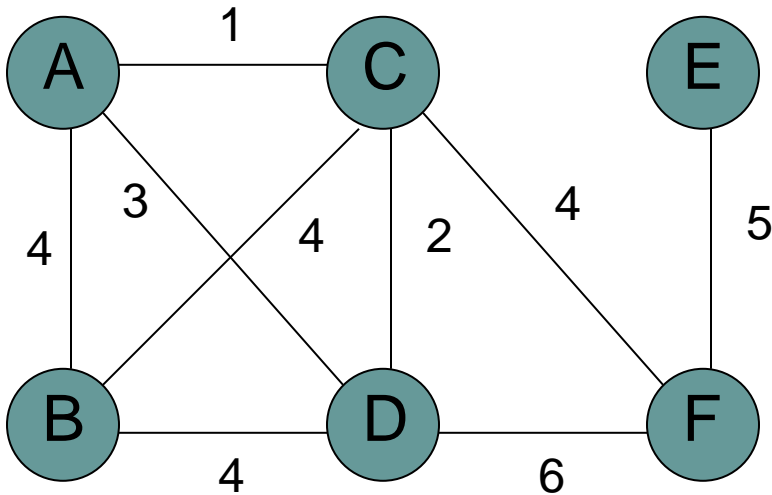


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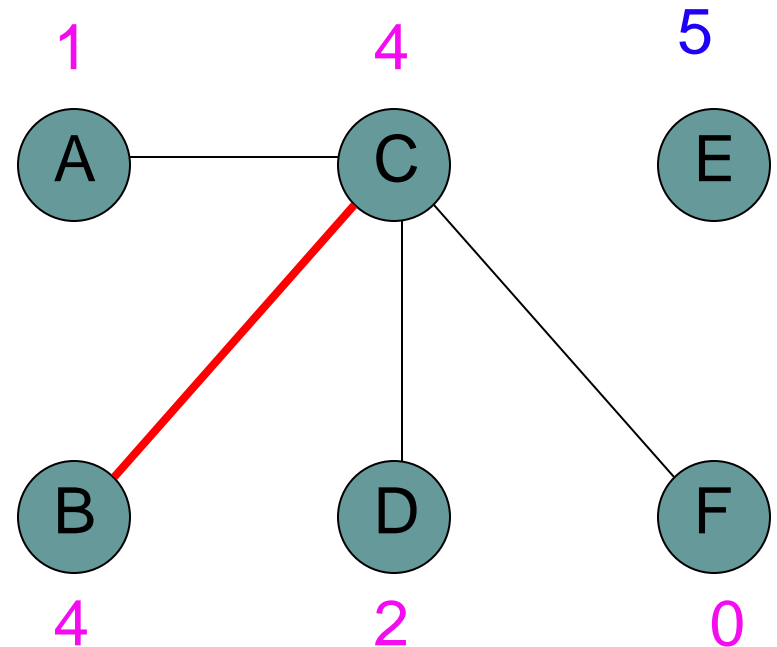


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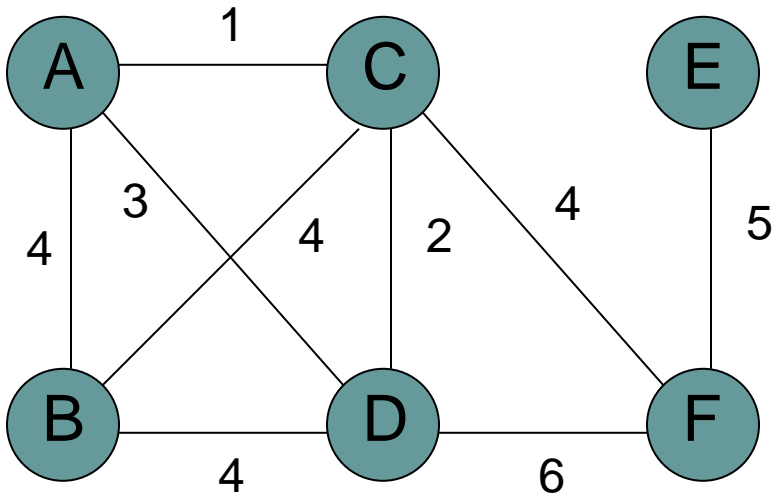


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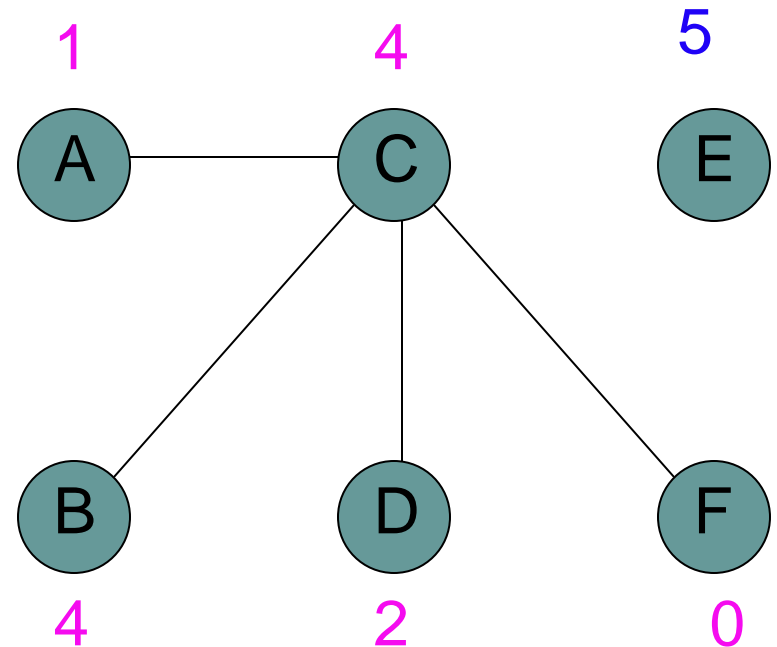


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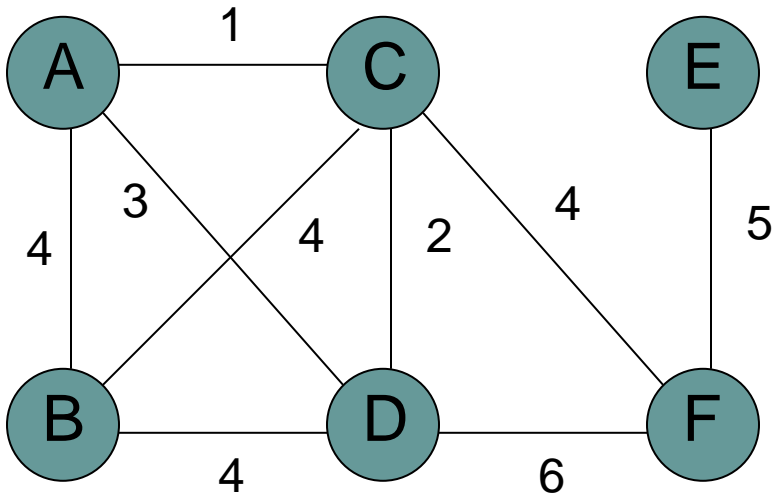


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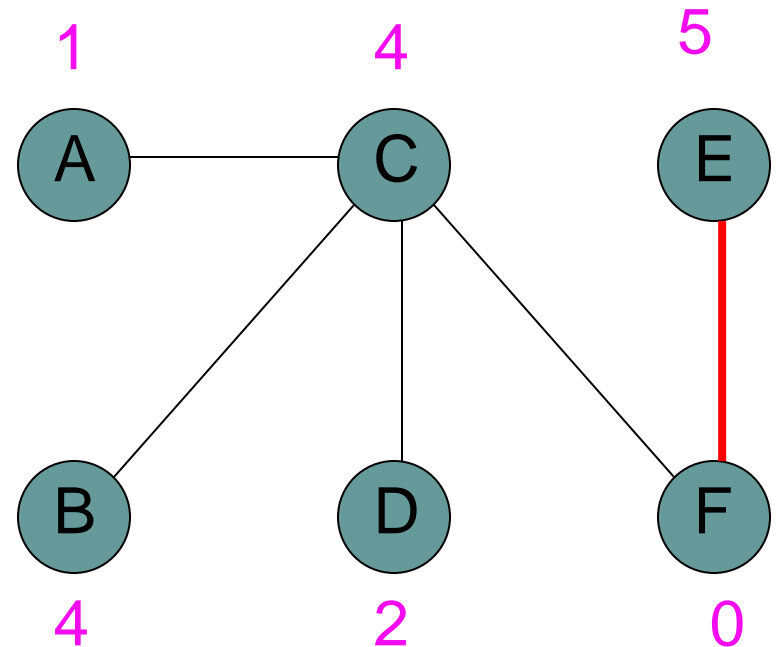


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11              $\text{DECREASE-KEY}(v, w(u, v))$ 
12              $\text{prev}[v] \leftarrow u$ 
```



## MST





# Correctness of Prim's?

- Can we use the min-cut property?
  - Given a partition  $S$ , let edge  $e$  be the minimum cost edge that **crosses** the partition. *Every* minimum spanning tree contains edge  $e$ .
- Let  $S$  be the set of vertices visited so far
- The only time we add a new edge is if it's the lowest weight edge from  $S$  to  $V-S$



# Running time of Prim's

```
PRIM( $G, r$ )
1  for all  $v \in V$ 
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3       $prev[v] \leftarrow null$ 
4   $key[r] \leftarrow 0$ 
5   $H \leftarrow \text{MAKEHEAP}(key)$ 
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```

$\Theta(|V|)$

$\Theta(|V|)$

$|V|$  calls to Extract-Min

$|E|$  calls to Decrease-Key





# Running time of Prim's

Same as Dijkstra's algorithm

	1 MakeHeap	$ V $ ExtractMin	$ E $ DecreaseKey	Total
Array	$O( V )$	$O( V ^2)$	$O( E )$	$O( V ^2)$
Bin heap	$O( V )$	$O( V  \log  V )$	$O( E  \log  V )$	$O(( V + E ) \log  V )$ $O( E  \log  V )$
Fib heap	$O( V )$	$O( V  \log  V )$	$O( E )$	$O( V  \log  V  +  E )$
Kruskal's:				$O( E  \log  E )$