



# Lecture 6

## Syntax Analysis

Awanish Pandey

Department of Computer Science and Engineering  
Indian Institute of Technology  
Roorkee

January 31, 2025

# Take aways from the last class

- Extended regular expressions

# Take aways from the last class

- Extended regular expressions
- Lexical Analyzer generator

# Take aways from the last class

- Extended regular expressions
- Lexical Analyzer generator
- Lex file format and compilation steps

# Take aways from the last class

- Extended regular expressions
- Lexical Analyzer generator
- Lex file format and compilation steps
- Working principle of the `lex`

# Take aways from the last class

- Extended regular expressions
- Lexical Analyzer generator
- Lex file format and compilation steps
- Working principle of the lex
- Correctness check of a string based on lex rules

move from one transition diagram to the next diagram.

# Take aways from the last class

- Extended regular expressions
- Lexical Analyzer generator
- Lex file format and compilation steps
- Working principle of the `lex`
- Correctness check of a string based on `lex` rules
- Interface with other passes

# Overview of Syntax Analysis

- Check syntax and construct abstract syntax tree



# Overview of Syntax Analysis

- Check syntax and construct abstract syntax tree
- Error reporting and recovery

# Overview of Syntax Analysis

- Check syntax and construct abstract syntax tree
- Error reporting and recovery
- Model using context-free grammars

# Overview of Syntax Analysis

- Check syntax and construct abstract syntax tree
- Error reporting and recovery
- Model using context-free grammars
- Recognize using push-down automata/table-driven Parsers

# Overview of Syntax Analysis

- Check syntax and construct abstract syntax tree
- Error reporting and recovery
- Model using context-free grammars
- Recognize using push-down automata/table-driven Parsers
- To check whether variables are of types on which operations are allowed

# Overview of Syntax Analysis

- Check syntax and construct abstract syntax tree
- Error reporting and recovery
- Model using context-free grammars
- Recognize using push-down automata/table-driven Parsers
- To check whether variables are of types on which operations are allowed **X**

# Overview of Syntax Analysis

- Check syntax and construct abstract syntax tree
- Error reporting and recovery
- Model using context-free grammars
- Recognize using push-down automata/table-driven Parsers
- To check whether variables are of types on which operations are allowed **X**
- To check whether a variable has been declared before use

# Overview of Syntax Analysis

- Check syntax and construct abstract syntax tree
- Error reporting and recovery
- Model using context-free grammars
- Recognize using push-down automata/table-driven Parsers
- To check whether variables are of types on which operations are allowed **X**
- To check whether a variable has been declared before use **X**

# Overview of Syntax Analysis

- Check syntax and construct abstract syntax tree
- Error reporting and recovery
- Model using context-free grammars
- Recognize using push-down automata/table-driven Parsers
- To check whether variables are of types on which operations are allowed **X**
- To check whether a variable has been declared before use **X**
- To check whether a variable has been initialized



# Overview of Syntax Analysis

- Check syntax and construct abstract syntax tree
- Error reporting and recovery
- Model using context-free grammars
- Recognize using push-down automata/table-driven Parsers
- To check whether variables are of types on which operations are allowed **X**
- To check whether a variable has been declared before use **X**
- To check whether a variable has been initialized **X**

# Overview of Syntax Analysis

- Check syntax and construct abstract syntax tree
- Error reporting and recovery
- Model using context-free grammars
- Recognize using push-down automata/table-driven Parsers
- To check whether variables are of types on which operations are allowed ✗
- To check whether a variable has been declared before use ✗
- To check whether a variable has been initialized ✗
- These issues will be handled in semantic analysis

# Derivation

Does  $9 - 5 + 2$  belong to the following grammar?

$list \rightarrow list + digit$

$| list - digit$

$| digit$

$digit \rightarrow 0|1|2 \dots |9$

# Derivation

Does  $9 - 5 + 2$  belong to the following grammar?

$list \rightarrow list + digit$

$| list - digit$

$| digit$

$digit \rightarrow 0|1|2 \dots |9$

**String Derivation:**

# Derivation

Does  $9 - 5 + 2$  belong to the following grammar?

$list \rightarrow list + digit$

$| list - digit$

$| digit$

$digit \rightarrow 0|1|2 \dots |9$

**String Derivation:**

$list \rightarrow \underline{list} + digit$

# Derivation

Does  $9 - 5 + 2$  belong to the following grammar?

$list \rightarrow list + digit$

$| list - digit$

$| digit$

$digit \rightarrow 0|1|2 \dots |9$

**String Derivation:**

$list \rightarrow \underline{list} + digit$

$list \rightarrow \underline{list} - digit + digit$

# Derivation

Does  $9 - 5 + 2$  belong to the following grammar?

$list \rightarrow list + digit$   
 $\quad | list - digit$   
 $\quad | digit$

$digit \rightarrow 0|1|2 \dots |9$

**String Derivation:**

$list \rightarrow \underline{list} + digit$

$list \rightarrow \underline{list} - digit + digit$

$list \rightarrow \underline{digit} - digit + digit$

# Derivation

Does  $9 - 5 + 2$  belong to the following grammar?

$list \rightarrow list + digit$   
 $\quad | list - digit$   
 $\quad | digit$

$digit \rightarrow 0|1|2 \dots |9$

**String Derivation:**

$list \rightarrow \underline{list} + digit$

$list \rightarrow \underline{list} - digit + digit$

$list \rightarrow \underline{digit} - digit + digit$

$list \rightarrow 9 - \underline{digit} + digit$



# Derivation

Does  $9 - 5 + 2$  belong to the following grammar?

$list \rightarrow list + digit$

$| list - digit$

$| digit$

$digit \rightarrow 0|1|2 \dots |9$

**String Derivation:**

$list \rightarrow \underline{list} + digit$

$list \rightarrow \underline{list} - digit + digit$

$list \rightarrow \underline{digit} - digit + digit$

$list \rightarrow 9 - \underline{digit} + digit$

$list \rightarrow 9 - 5 + \underline{digit}$

# Derivation

Does  $9 - 5 + 2$  belong to the following grammar?

$list \rightarrow list + digit$   
 $\quad | list - digit$   
 $\quad | digit$

$digit \rightarrow 0|1|2 \dots |9$

**String Derivation:**

$list \rightarrow \underline{list} + digit$

$list \rightarrow \underline{list} - digit + digit$

$list \rightarrow \underline{digit} - digit + digit$

$list \rightarrow 9 - \underline{digit} + digit$

$list \rightarrow 9 - 5 + \underline{digit}$

$list \rightarrow 9 - 5 + 2$

# Derivation

Does  $9 - 5 + 2$  belong to the following grammar?

$list \rightarrow list + digit$   
 $list \rightarrow list - digit$   
 $list \rightarrow digit$

$digit \rightarrow 0|1|2 \dots |9$

**String Derivation:**

$list \rightarrow \underline{list} + digit$

$list \rightarrow \underline{list} - digit + digit$

$list \rightarrow \underline{digit} - digit + digit$

$list \rightarrow 9 - \underline{digit} + digit$

$list \rightarrow 9 - 5 + \underline{digit}$

$list \rightarrow 9 - 5 + 2$

- Which non-terminal should I choose?

# Derivation

Does  $9 - 5 + 2$  belong to the following grammar?

$list \rightarrow list + digit$   
 $list \rightarrow list - digit$   
 $list \rightarrow digit$

$digit \rightarrow 0|1|2 \dots |9$

**String Derivation:**

$list \rightarrow \underline{list} + digit$

$list \rightarrow \underline{list} - digit + digit$

$list \rightarrow \underline{digit} - digit + digit$

$list \rightarrow 9 - \underline{digit} + digit$

$list \rightarrow 9 - 5 + \underline{digit}$

$list \rightarrow 9 - 5 + 2$

- Which non-terminal should I choose?
- Which production rule should I select?

# Derivation

- If there is a production  $A \rightarrow \alpha$  then we say that A derives  $\alpha$  and is denoted by  $A \Rightarrow \alpha$

# Derivation

- If there is a production  $A \rightarrow \alpha$  then we say that A derives  $\alpha$  and is denoted by  $A \Rightarrow \alpha$
- $\alpha A \beta \Rightarrow \alpha \gamma \beta$  if  $A \rightarrow \gamma$  is a production

# Derivation

- If there is a production  $A \rightarrow \alpha$  then we say that A derives  $\alpha$  and is denoted by  $A \Rightarrow \alpha$
- $\alpha A \beta \Rightarrow \alpha \gamma \beta$  if  $A \rightarrow \gamma$  is a production
- If  $\alpha_1 \Rightarrow \alpha_2 \Rightarrow \dots \Rightarrow \alpha_n$  then  $\alpha_1 \Rightarrow^+ \alpha_n$

# Derivation

- If there is a production  $A \rightarrow \alpha$  then we say that  $A$  derives  $\alpha$  and is denoted by  $A \Rightarrow \alpha$
- $\alpha A \beta \Rightarrow \alpha \gamma \beta$  if  $A \rightarrow \gamma$  is a production
- If  $\alpha_1 \Rightarrow \alpha_2 \Rightarrow \dots \Rightarrow \alpha_n$  then  $\alpha_1 \Rightarrow^+ \alpha_n$
- If  $S \Rightarrow^+ \alpha$  where  $\alpha$  is a string of terminals and non-terminals of  $G$  then we say that  $\alpha$  is a **sentential** form of  $G$ .



sentential form may have non-terminals as well as terminals.



# Derivation

- If there is a production  $A \rightarrow \alpha$  then we say that  $A$  derives  $\alpha$  and is denoted by  $A \Rightarrow \alpha$
- $\alpha A \beta \Rightarrow \alpha \gamma \beta$  if  $A \rightarrow \gamma$  is a production
- If  $\alpha_1 \Rightarrow \alpha_2 \Rightarrow \dots \Rightarrow \alpha_n$  then  $\alpha_1 \Rightarrow^+ \alpha_n$
- If  $S \Rightarrow^+ \alpha$  where  $\alpha$  is a string of terminals and non-terminals of  $G$  then we say that  $\alpha$  is a **sentential** form of  $G$ .
- If in a sentential form only the leftmost non terminal is replaced then it becomes leftmost derivation

# Derivation

- If there is a production  $A \rightarrow \alpha$  then we say that  $A$  derives  $\alpha$  and is denoted by  $A \Rightarrow \alpha$
- $\alpha A \beta \Rightarrow \alpha \gamma \beta$  if  $A \rightarrow \gamma$  is a production
- If  $\alpha_1 \Rightarrow \alpha_2 \Rightarrow \dots \Rightarrow \alpha_n$  then  $\alpha_1 \Rightarrow^+ \alpha_n$
- If  $S \Rightarrow^+ \alpha$  where  $\alpha$  is a string of terminals and non-terminals of  $G$  then we say that  $\alpha$  is a **sentential** form of  $G$ .
- If in a sentential form only the leftmost non terminal is replaced then it becomes leftmost derivation
- Every leftmost step can be written as  $wA\gamma \Rightarrow^{lm*} w\delta\gamma$  where  $w$  is a string of terminals and  $A \rightarrow \delta$  is a production.

# Derivation

- If there is a production  $A \rightarrow \alpha$  then we say that  $A$  derives  $\alpha$  and is denoted by  $A \Rightarrow \alpha$
- $\alpha A \beta \Rightarrow \alpha \gamma \beta$  if  $A \rightarrow \gamma$  is a production
- If  $\alpha_1 \Rightarrow \alpha_2 \Rightarrow \dots \Rightarrow \alpha_n$  then  $\alpha_1 \Rightarrow^+ \alpha_n$
- If  $S \Rightarrow^+ \alpha$  where  $\alpha$  is a string of terminals and non-terminals of  $G$  then we say that  $\alpha$  is a **sentential** form of  $G$ .
- If in a sentential form only the leftmost non terminal is replaced then it becomes leftmost derivation
- Every leftmost step can be written as  $wA\gamma \Rightarrow^{lm*} w\delta\gamma$  where  $w$  is a string of terminals and  $A \rightarrow \delta$  is a production.
- Similarly, right most derivation can be defined.

# Derivation

do note the meaning of double and single arrow...

- If there is a production  $A \rightarrow \alpha$  then we say that  $A$  derives  $\alpha$  and is denoted by  $A \Rightarrow \alpha$
- $\alpha A \beta \Rightarrow \alpha \gamma \beta$  if  $A \rightarrow \gamma$  is a production
- If  $\alpha_1 \Rightarrow \alpha_2 \Rightarrow \dots \Rightarrow \alpha_n$  then  $\alpha_1 \Rightarrow^+ \alpha_n$
- If  $S \Rightarrow^+ \alpha$  where  $\alpha$  is a string of terminals and non-terminals of  $G$  then we say that  $\alpha$  is a **sentential** form of  $G$ .
- If in a sentential form only the leftmost non terminal is replaced then it becomes leftmost derivation
- Every leftmost step can be written as  $wA\gamma \Rightarrow^{lm*} w\delta\gamma$  where  $w$  is a string of terminals and  $A \rightarrow \delta$  is a production.
- Similarly, right most derivation can be defined.
- An ambiguous grammar is one that produces more than one leftmost/rightmost derivation of a sentence

# Parse Tree

- It shows how the start symbol of a grammar derives a string in the language.

# Parse Tree

- It shows how the start symbol of a grammar derives a string in the language.
- *root* is labeled by the start symbol

# Parse Tree

- It shows how the start symbol of a grammar derives a string in the language.
- *root* is labeled by the start symbol
- *leaf* nodes are labeled by tokens

# Parse Tree

- It shows how the start symbol of a grammar derives a string in the language.
- *root* is labeled by the start symbol
- *leaf* nodes are labeled by tokens
- Each internal node is labeled by a non-terminal



# Parse Tree

- It shows how the start symbol of a grammar derives a string in the language.
- *root* is labeled by the start symbol
- *leaf* nodes are labeled by tokens
- Each internal node is labeled by a non-terminal
- If  $A$  is a non-terminal labeling an internal node and  $x_1, x_2, \dots, x_n$  are labels of the children of that node, then  $A \rightarrow x_1 x_2 \dots x_n$  is a production

# Ambiguity

# Ambiguity

- A Grammar can have more than one parse tree for a string

# Ambiguity

- A Grammar can have more than one parse tree for a string
- Consider grammar

# Ambiguity

- A Grammar can have more than one parse tree for a string
- Consider grammar

$string \rightarrow string + string$

$| string - string$

$| 0|1|\dots|9$

# Ambiguity

- A Grammar can have more than one parse tree for a string

- Consider grammar

$string \rightarrow string + string$

$| string - string$

$| 0 | 1 | \dots | 9$

- String  $9 - 5 + 2$  has two parse trees

# Ambiguity

- A Grammar can have more than one parse tree for a string

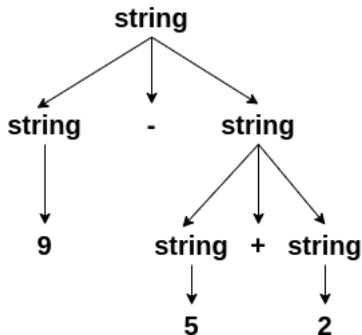
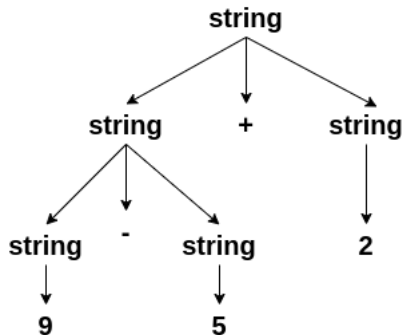
- Consider grammar

$string \rightarrow string + string$

$| string - string$

$| 0 | 1 | \dots | 9$

- String  $9 - 5 + 2$  has two parse trees



# Ambiguity

- Ambiguity is problematic because meaning of the programs can be incorrect



# Ambiguity

- Ambiguity is problematic because meaning of the programs can be incorrect
- Ambiguity can be handled in several ways

# Ambiguity

- Ambiguity is problematic because meaning of the programs can be incorrect
- Ambiguity can be handled in several ways
  - ▶ Enforce associativity and precedence

# Ambiguity

- Ambiguity is problematic because meaning of the programs can be incorrect
- Ambiguity can be handled in several ways
  - ▶ Enforce associativity and precedence
  - ▶ Rewrite the grammar (cleanest way)

# Ambiguity

- Ambiguity is problematic because meaning of the programs can be incorrect
- Ambiguity can be handled in several ways
  - ▶ Enforce associativity and precedence
  - ▶ Rewrite the grammar (cleanest way)
- There are no general techniques for handling ambiguity

# Ambiguity

- Ambiguity is problematic because meaning of the programs can be incorrect
- Ambiguity can be handled in several ways
  - ▶ Enforce associativity and precedence
  - ▶ Rewrite the grammar (cleanest way)
- There are no general techniques for handling ambiguity
- It is impossible to convert automatically an ambiguous grammar to an unambiguous one.  
in programming

# Associativity and Precedence

- If an operand has operators on both of the sides, the side on which operators takes this operand is the associativity of that operator.

# Associativity and Precedence

- If an operand has operators on both of the sides, the side on which operators takes this operand is the associativity of that operator.
- In  $a + b + c$   $b$  is taken by left  $+$

# Associativity and Precedence

- If an operand has operators on both of the sides, the side on which operators takes this operand is the associativity of that operator.
- In  $a + b + c$   $b$  is taken by left  $+$
- $+$ ,  $-$ ,  $*$ ,  $/$  are left associative



# Associativity and Precedence

- If an operand has operators on both of the sides, the side on which operators takes this operand is the associativity of that operator.
- In  $a + b + c$   $b$  is taken by left  $+$
- $+$ ,  $-$ ,  $*$ ,  $/$  are left associative
- $^=$  are right associative

# Associativity and Precedence

- If an operand has operators on both of the sides, the side on which operators takes this operand is the associativity of that operator.
- In  $a + b + c$   $b$  is taken by left  $+$
- $+$ ,  $-$ ,  $*$ ,  $/$  are left associative
- $^=$  are right associative
- String  $a+5*2$  has two possible interpretations because of two different parse trees corresponding to  $(a + 5) * 2$  and  $a + (5 * 2)$ .

# Associativity and Precedence

- If an operand has operators on both of the sides, the side on which operators takes this operand is the associativity of that operator.
  - In  $a + b + c$   $b$  is taken by left  $+$
  - $+$ ,  $-$ ,  $*$ ,  $/$  are left associative
  - $^=$  are right associative
  - String  $a+5*2$  has two possible interpretations because of two different parse trees corresponding to  $(a + 5) * 2$  and  $a + (5 * 2)$ .
  - Precedence determines the correct interpretation.
- $a = b = c$  means first assign the value of  $c$  to  $b$  and then  $b$  to  $a$ .

# Ambiguity

- Dangling else problem

# Ambiguity

- Dangling else problem

$$\begin{array}{l} Stmt \rightarrow if \quad expr \quad then \quad stmt \\ \quad | if \quad expr \quad then \quad stmt \quad else \quad stmt \end{array}$$

# Ambiguity

- Dangling else problem

$Stmt \rightarrow if \quad expr \quad then \quad stmt$   
 $\quad \quad | if \quad expr \quad then \quad stmt \quad else \quad stmt$

- `if e1 then if e2 then S1 else S2` has two parse trees

# Ambiguity

- Dangling else problem

$$\begin{aligned} Stmt \rightarrow & \text{if } expr \text{ then } stmt \\ & | \text{if } expr \text{ then } stmt \text{ else } stmt \end{aligned}$$

- if e1 then if e2 then S1 else S2 has two parse trees

```
if(e1)
  if(e2)
    S1
  else
    S2
```

# Ambiguity

- Dangling else problem

$Stmt \rightarrow if \ expr \ then \ stmt$   
 $\quad \quad | if \ expr \ then \ stmt \ else \ stmt$

- if e1 then if e2 then S1 else S2 has two parse trees

```
if(e1)
  if(e2)
    S1
  else
    S2
```

```
if(e1)
  if(e2)
    S1
else
  S2
```



# Resolving dangling else problem

- Match each else with the closest previous then

# Resolving dangling else problem

- Match each else with the closest previous then
- $stmt \rightarrow \begin{array}{l} \text{matched-stmt} \\ | \\ \text{unmatched-stmt} \end{array}$

# Resolving dangling `else` problem

- Match each `else` with the closest previous `then`
- $stmt \rightarrow \begin{array}{l} matched\text{-}stmt \\ | \\ unmatched\text{-}stmt \end{array}$
- $matched\text{-}stmt \rightarrow \begin{array}{l} \text{if } expr \text{ then } matched\text{-}stmt \text{ else } matched\text{-}stmt \\ | \\ others \end{array}$

# Resolving dangling else problem

- Match each else with the closest previous then
- $stmt \rightarrow matched\text{-}stmt$   
|  $unmatched\text{-}stmt$
- $matched\text{-}stmt \rightarrow if\ expr\ then\ matched\text{-}stmt\ else\ matched\text{-}stmt$   
|  $others$
- $unmatched\text{-}stmt \rightarrow if\ expr\ then\ stmt$   
|  $if\ expr\ then\ matched\text{-}stmt\ else\ unmatched\text{-}stmt$

to resolve ambiguity, we want some disambiguating rules such as this one

# Parsing

- Process of determination whether a string can be generated by a grammar.

# Parsing

- Process of determination whether a string can be generated by a grammar.
- Parsing falls in two categories:

# Parsing

- Process of determination whether a string can be generated by a grammar.
- Parsing falls in two categories:
  - ▶ **Top-down parsing:** Construction of the parse tree starts at the root (from the start symbol) and proceeds towards leaves (token or terminals). Ex ANTLR

# Parsing

- Process of determination whether a string can be generated by a grammar.
- Parsing falls in two categories:
  - ▶ **Top-down parsing:** Construction of the parse tree starts at the root (from the start symbol) and proceeds towards leaves (token or terminals). Ex **ANTLR**
  - ▶ **Bottom-up parsing:** Construction of the parse tree starts from the leaf nodes (tokens or terminals of the grammar) and proceeds towards root (start symbol). Ex **YACC and BISON**



# Top Down Parsing

- Construction of a parse tree is done by starting the root labeled by a start symbol

# Top Down Parsing

- Construction of a parse tree is done by starting the root labeled by a start symbol
- repeat following two steps

# Top Down Parsing

- Construction of a parse tree is done by starting the root labeled by a start symbol
- repeat following two steps
  - ▶ at a node labeled with non terminal A select one of the productions of A and construct children nodes (Which production?)

# Top Down Parsing

- Construction of a parse tree is done by starting the root labeled by a start symbol
- repeat following two steps
  - ▶ at a node labeled with non terminal A select one of the productions of A and construct children nodes (Which production?)
  - ▶ find the next node at which subtree is Constructed (Which node?)

# Top Down Parsing

- Construction of a parse tree is done by starting the root labeled by a start symbol
- repeat following two steps
  - ▶ at a node labeled with non terminal A select one of the productions of A and construct children nodes (Which production?)
  - ▶ find the next node at which subtree is Constructed (Which node?)

# Recursive Descent parsing

for every non-terminal, associate a recursive procedure to it.

---

## Algorithm A()

---

- 1: Choose an A-production,  $A \rightarrow X_1 X_2 \cdots X_k$
  - 2: **for**  $i = 1$  to  $k$  **do**
  - 3:   **if**  $X_i$  is a nonterminal **then**
  - 4:     call procedure  $X_i()$
  - 5:   **else if**  $X_i$  equals the current input symbol  $\alpha$  **then**
  - 6:     advance the input to the next symbol
  - 7:   **else**
  - 8:     error()
  - 9:   **end if**
  - 10: **end for**
-

# Recursive Descent parsing

- Non-deterministic due to line 1 of the Algorithm 1

# Recursive Descent parsing

- Non-deterministic due to line 1 of the Algorithm 1
- Require backtracking



# Recursive Descent parsing

- Non-deterministic due to line 1 of the Algorithm 1
- Require backtracking
- May require repeated scans over the input.

# Recursive Descent parsing

- Non-deterministic due to line 1 of the Algorithm 1
- Require backtracking
- May require repeated scans over the input.
- Dynamic Programming or tabular method may be used.

we are choosing production randomly, and it required backtracking over all the productions at any stage.

think like backtracking is itself a non-deterministic algo.

note that using backtracking will not remove non-determinism in procedure, as we are not sure of using what production.

# Left Recursion

- A top-down parser with production  $A \rightarrow A\alpha$  may loop forever.

# Left Recursion

- A top-down parser with production  $A \rightarrow A\alpha$  may loop forever.
- From the grammar  $A \rightarrow A\alpha|\beta$  left recursion may be eliminated by transforming the grammar to

# Left Recursion

- A top-down parser with production  $A \rightarrow A\alpha$  may loop forever.
- From the grammar  $A \rightarrow A\alpha|\beta$  left recursion may be eliminated by transforming the grammar to

$$A \rightarrow \beta R$$

# Left Recursion

- A top-down parser with production  $A \rightarrow A\alpha$  may loop forever.
- From the grammar  $A \rightarrow A\alpha|\beta$  left recursion may be eliminated by transforming the grammar to

$$A \rightarrow \beta R$$

$$R \rightarrow \alpha R|\epsilon$$

# Left Recursion

- A top-down parser with production  $A \rightarrow A\alpha$  may loop forever.
- From the grammar  $A \rightarrow A\alpha|\beta$  left recursion may be eliminated by transforming the grammar to

$$A \rightarrow \beta R$$

$$R \rightarrow \alpha R|\epsilon$$

- In general  $A \rightarrow A\alpha_1|A\alpha_2|\cdots|A\alpha_m|\beta_1|\beta_2|\cdots|\beta_n$  transforms to

# Left Recursion

- A top-down parser with production  $A \rightarrow A\alpha$  may loop forever.
- From the grammar  $A \rightarrow A\alpha|\beta$  left recursion may be eliminated by transforming the grammar to

$$A \rightarrow \beta R$$

$$R \rightarrow \alpha R|\epsilon$$

- In general  $A \rightarrow A\alpha_1|A\alpha_2|\dots|A\alpha_m|\beta_1|\beta_2|\dots|\beta_n$  transforms to

$$A \rightarrow \beta_1 A'|\beta_2 A'|\dots|\beta_n A'$$

$$A' \rightarrow \alpha_1 A'|\alpha_2 A'|\dots|\alpha_m A'|\epsilon$$



# Example

- Consider grammar for arithmetic expressions

$$E \rightarrow E + T \mid T$$

$$T \rightarrow T * F \mid F$$

$$F \rightarrow (E) \mid id$$

## Example

- Consider grammar for arithmetic expressions

$$E \rightarrow E + T \mid T$$

$$T \rightarrow T * F \mid F$$

$$F \rightarrow (E) \mid id$$

- After removal of left recursion the grammar becomes

## Example

- Consider grammar for arithmetic expressions

$$E \rightarrow E + T \mid T$$

$$T \rightarrow T * F \mid F$$

$$F \rightarrow (E) \mid id$$

- After removal of left recursion the grammar becomes

$$E \rightarrow TE'$$

$$E' \rightarrow +TE' \mid \epsilon$$

$$T \rightarrow FT'$$

$$T' \rightarrow *FT' \mid \epsilon$$

$$F \rightarrow (E) \mid id$$

# Left recursion hidden due to many productions

- Left recursion may also be introduced by two or more grammar rules. For example:

$$S \rightarrow Aa|b$$

$$A \rightarrow Ac|Sd|\epsilon$$

# Left recursion hidden due to many productions

- Left recursion may also be introduced by two or more grammar rules. For example:

$$S \rightarrow Aa|b$$

$$A \rightarrow Ac|Sd|\epsilon$$

- Hidden left recursion due to  $S \rightarrow Aa \rightarrow Sda$

# Left recursion hidden due to many productions

- Left recursion may also be introduced by two or more grammar rules. For example:  
 $S \rightarrow Aa|b$   
 $A \rightarrow Ac|Sd|\epsilon$
- Hidden left recursion due to  $S \rightarrow Aa \rightarrow Sda$
- Remove left recursion systematically.

# Left recursion hidden due to many productions

- Left recursion may also be introduced by two or more grammar rules. For example:  
 $S \rightarrow Aa|b$   
 $A \rightarrow Ac|Sd|\epsilon$
- Hidden left recursion due to  $S \rightarrow Aa \rightarrow Sda$
- Remove left recursion systematically.
  - ▶ Starting from the first rule and replacing all the occurrences of the first non terminal symbol.

# Left recursion hidden due to many productions

- Left recursion may also be introduced by two or more grammar rules. For example:  
 $S \rightarrow Aa|b$   
 $A \rightarrow Ac|Sd|\epsilon$
- Hidden left recursion due to  $S \rightarrow Aa \rightarrow Sda$
- Remove left recursion systematically.
  - ▶ Starting from the first rule and replacing all the occurrences of the first non terminal symbol.
  - ▶ Removing left recursion from the modified grammar.



# Left recursion hidden due to many productions

- Left recursion may also be introduced by two or more grammar rules. For example:  
 $S \rightarrow Aa|b$   
 $A \rightarrow Ac|Sd|\epsilon$
- Hidden left recursion due to  $S \rightarrow Aa \rightarrow Sda$
- Remove left recursion systematically.
  - ▶ Starting from the first rule and replacing all the occurrences of the first non terminal symbol.
  - ▶ Removing left recursion from the modified grammar.
- After the first step (substitute  $S$  by its rhs in the rules) the grammar becomes

# Left recursion hidden due to many productions

- Left recursion may also be introduced by two or more grammar rules. For example:  
 $S \rightarrow Aa|b$   
 $A \rightarrow Ac|Sd|\epsilon$
- Hidden left recursion due to  $S \rightarrow Aa \rightarrow Sda$
- Remove left recursion systematically.
  - ▶ Starting from the first rule and replacing all the occurrences of the first non terminal symbol.
  - ▶ Removing left recursion from the modified grammar.
- After the first step (substitute  $S$  by its rhs in the rules) the grammar becomes  
 $S \rightarrow Aa|b$   
 $A \rightarrow Ac|Aad|bd|\epsilon$

# Left recursion hidden due to many productions

- Left recursion may also be introduced by two or more grammar rules. For example:  
 $S \rightarrow Aa|b$   
 $A \rightarrow Ac|Sd|\epsilon$
- Hidden left recursion due to  $S \rightarrow Aa \rightarrow Sda$
- Remove left recursion systematically.
  - ▶ Starting from the first rule and replacing all the occurrences of the first non terminal symbol.
  - ▶ Removing left recursion from the modified grammar.
- After the first step (substitute  $S$  by its rhs in the rules) the grammar becomes  
 $S \rightarrow Aa|b$   
 $A \rightarrow Ac|Aad|bd|\epsilon$
- After the second step (removal of left recursion) the grammar becomes

# Left recursion hidden due to many productions

- Left recursion may also be introduced by two or more grammar rules. For example:  
 $S \rightarrow Aa|b$   
 $A \rightarrow Ac|Sd|\epsilon$
- Hidden left recursion due to  $S \rightarrow Aa \rightarrow Sda$
- Remove left recursion systematically.
  - ▶ Starting from the first rule and replacing all the occurrences of the first non terminal symbol.
  - ▶ Removing left recursion from the modified grammar.
- After the first step (substitute  $S$  by its rhs in the rules) the grammar becomes  
 $S \rightarrow Aa|b$   
 $A \rightarrow Ac|Aad|bd|\epsilon$
- After the second step (removal of left recursion) the grammar becomes  
 $S \rightarrow Aa|b$   
 $A \rightarrow bdA'|A'$   
 $A' \rightarrow cA'|adA'|\epsilon$

# Left Factoring

- In top-down parsing when it is not clear which production to choose for expansion of a symbol defer the decision till we have seen enough input.

# Left Factoring

- In top-down parsing when it is not clear which production to choose for expansion of a symbol defer the decision till we have seen enough input.
- $A \rightarrow \alpha\beta_1 | \alpha\beta_2$  transforms to

# Left Factoring

- In top-down parsing when it is not clear which production to choose for expansion of a symbol defer the decision till we have seen enough input.
  - $A \rightarrow \alpha\beta_1 | \alpha\beta_2$  transforms to
  - $A \rightarrow \alpha A'$   
 $A' \rightarrow \beta_1 | \beta_2$
- three things to remove from grammar before performing top-down parsing-
1. Ambiguity
  2. Left recursion
  3. Left factoring